

COUNTY OF MONTEREY

AMENDMENT #2 to AGREEMENT #5010-181 Alliance on Aging

THIS AMENDMENT is made and entered into by and between the County of Monterey, a political subdivision of the State of California, (hereinafter, "COUNTY"), and the Alliance on Aging (hereinafter, "CONTRACTOR").

WHEREAS, COUNTY and CONTRACTOR entered into an agreement for services for older adults, including providing advocacy services for residents in long-term care facilities in Monterey County for the period of July 1, 2021 through June 30, 2022 in the amount of \$587,275 (hereinafter, "Original Agreement").

WHEREAS, the parties amended the agreement via Amendment #1 to add \$57,365 for a new contract total of \$644,640 with no change to the contract term.

WHEREAS, the parties wish to amend the agreement via Amendment #2 by **revising the scope of services to add the Robotic Pet Companion Program and adding \$100,617** for a new contract total of **\$745,257** with no change to the contract term.

NOW THEREFORE, the parties agree to amend the Agreement as follows:

The Agreement is hereby amended on the terms and conditions as set forth in the Original Agreement and Amendment No. 1, incorporated herein by this reference, except as specifically set forth below.

1. **Exhibit AAA**, page 1, Section III, **SUBA`WARD INFORMATION**, is hereby amended and now reads as follows:
**"Social Security Act Elder Justice Title XX-93.747 -\$3,970
CARES Act for Ombudsman Program under Title VII of the Older Americans Act -93.042 -\$52,201
Expanding Access to COVID-19 Vaccines via the Aging Network -93.044 - \$35,763"**
2. **Exhibit AAA**, page 2, Section V, **SERVICES TO BE PROVIDED BY CONTRACTOR**, is hereby amended and now reads as follows:
"CONTRACTOR shall provide the services outlined in Exhibits AAA, A-1, A-2, A-3, AA-4, AA-5, AA-6, A-7 A-8, A-9 and A10 attached."
3. **Exhibit AAA**, page 3, Section VII, **AUDIT PROVISIONS**, is hereby amended and now reads as follows:
"Additionally, CONTRACTOR shall ensure that State-Funded expenditures are displayed along with the related federal expenditures in the Single Audit report "Schedule of Expenditures of Federal Awards" (SEFA) under the appropriate Catalog of Federal Domestic Assistance (CFDA) number as referenced in Exhibits AAA, A-1, A-2, A-3, AA-4, AA-5, AA-6, A-7 A-8, A-9 and A-10

4. **Exhibit AAA**, page 4, Section XI, Paragraph titled **INVOICE/PAYMENT PROVISIONS**, is amended to the following:

“The applicable benchmark for each type of service is identified in **Exhibits AAA, A-1, A-2, A-3, AA-4, AA-5, AA-6, A-7, A-8, A-9 and A-10** Section I, Services to be Provided, and Section II, Performance Reporting.”

5. **Exhibit AAA**, pages 5, and 7, Section XII, Paragraph titled **PAYMENT SUMMARY** is amended to the following:

“The total amount payable by COUNTY to CONTRACTOR for Outreach and all Ombudsman Services for the period July 1, 2021 to June 30, 2022 shall not exceed **three hundred fifty-nine thousand one hundred and seventy-eight dollars (\$359,178)**,” and,

“**The total amount payable by COUNTY to CONTRACTOR for EXPANDING ACCESS TO COVID-19 VACCINES VIA THE AGING NETWORK for the period February 1, 2021 through June 30, 2022 shall not exceed thirty-five thousand seven hundred and sixty-three dollars (\$35,763)**.” and,

“The maximum amount payable by COUNTY to CONTRACTOR for all services under this Agreement for the period July 1, 2021 through June 30, 2022 shall not exceed **seven hundred forty five thousand two hundred and fifty-seven dollars (\$745,257)**.”

This Agreement is funded by the California Department of Aging (CDA) Agreements#AP-2122-32, #AP-2122-32-A1, #AP-2122-32-A2, AAA Older Adult Vaccine Funding, #HI-2122-32, MI-2021-32, MI-2122-32 and #CARES-32.”

6. **Exhibits AA-4**, page 14, Paragraph titled **PAYMENT SUMMARY**, is amended to the following:

“The maximum amount payable by COUNTY to CONTRACTOR for Ombudsman Initiative – Senior Nursing Facilities (SNF) Quality and Accountability, State Health Facilities Citation Penalties Account (SHF) and Public Health Licensing & Certification Program Fund (PHF) for the period July 1, 2021 through June 30, 2022 shall not exceed **Forty thousand and seventy-two dollars (\$40,072)**.”

7. **Exhibit A-8** has been added to describe the COVID-19 Social Security Act Title XX Ombudsman services and reflects the new funding being added and date by which it is to be expended.

8. **Exhibit A-9** has been added to describe the Robotic Pet Companion funded scope of services and reflects the funding being added and date by which it is to be expended.

9. **Exhibit A-10** has been added to describe the Expanded Access to COVID-19 Vaccinations via the Aging Network funded scope of services and reflects the funding being added and date by which it is to be expended.
10. **Exhibit CC-4** provides budget detail for the **\$8,683** being added. **Exhibit C-8** provides budget detail for the **\$3,970** being added, **Exhibit C-9** provides budget detail for the **\$52,201** being added and **Exhibit C-10** provide budget detail for the **\$35,763** being added.
11. Except as provided herein, all remaining terms, conditions and provisions of the Agreement are unchanged and unaffected by this Amendment No. 1 and shall continue in full force and effect as set forth in the Agreement.
12. A copy of this Amendment No. 2 shall be attached to the Agreement.

(remainder of the page left intentionally blank)

IN WITNESS HEREOF, the parties hereby execute this amendment as follows:

COUNTY OF MONTEREY:

CONTRACTOR

By: _____
Lori A. Medina

Alliance on Aging

Contractor's Business Name

Date: _____

By: _____
(Chair, President, Vice President)

(Print Name & Title)

1/19/2022 | 12:44 PM PST

Date: _____

Approved as to Form:

DocuSigned by:
Anne Brenton, County Counsel
07025F3AA36B4A4...

Deputy County Counsel

1/19/2022 | 3:57 PM PST

Date: _____

By: _____
(Secretary, CFO, Treasurer)

(Print Name and Title)

1/19/2022 | 1:49 PM PST

Date: _____

Approved as to Fiscal Provisions:

DocuSigned by:
Gary Giboney
D3834BFEC1D8449...

Auditor-Controller

1/19/2022 | 3:59 PM PST

Date: _____

SCOPE OF SERVICES/PAYMENT PROVISIONS

ALLIANCE ON AGING JULY 1, 2021 to JUNE 30, 2022

I. CONTACT INFORMATION

Contact Person: Teresa Sullivan, Executive Director
(831) 758-4011

Disaster Preparedness Coordinator: Tamara McKee, Director of Operations
(831) 758-4011

County Contract Manager: Ronald Lee, Management Analyst
Area Agency on Aging
Department of Social Services
730 La Guardia Street
Salinas, CA 93905
(831) 755-8493
leerl@co.monterey.ca.us

II. OFFICES

Salinas: 247 Main Street, Salinas CA 93901
Monterey: 280 Dickman Avenue, Monterey CA 93940

Days and Hours of Service:
Monday to Friday, 9 a.m. to 5 p.m. Closed from noon to 1 p.m.

III. SUBAWARD INFORMATION

Sub-award: State of California, Department of Aging

CONTRACTOR DUNS Number: 024064826

Federal Award Identification Number (FAIN): AP-2122-32, HI-2122-32, MI-2021-32, MI-2122-32

Date County Awarded Funding: 7/1/2021

CFDA Pass-through Information and Dollar Amount: California Department of Aging

Title VII – 93.042 - \$35,694

Title IIIB – 93.044 - 227,241

MIPPA - 93.071 - \$44,901

SHIP – 92.324 - \$71,945

State of California, Department of Aging CARES Act. - \$11,403

Social Security Act Elder Justice Title XX-93.747 - \$3,970

CARES Act for Ombudsman Program under Title VII of the Older Americans Act - 93.042 - \$52,201

Expanding Access to COVID-19 Vaccines via the Aging Network - 93.044 - \$35,763

Federal Award Description:

Alliance on Aging FY-2021/22
Agreement #5010-181

Administration on Aging, Department of Health and Human Services

1. Special Programs for the Aging – Title VII, Chapter 2 – Long Term Care Ombudsman Services for Older Individuals
2. Special Programs for the Aging – Title III, Part B – Grants for Supportive Services and Senior Centers
3. Medicare Enrollment Assistance Program (MIPPA)

Department of Health and Human Services Administration for Community Living

1. State Health Insurance Assistance Program (SHIP)

Research and Development: no

Indirect Cost Rate: 10%

IV. COMPLIANCE REQUIREMENTS

This Agreement is supported with State and Federal funds and requires compliance with all regulations under the following laws:

1. Clean Air Act, as amended. [42 USC 7401]
2. Clean Water Act, as amended. [33 USC 1251]
3. Federal Water Pollution Control Act, as amended. [33 USC 1251, et seq.]
4. Environmental Protection Agency Regulations. [40 CFR, 29] [Executive Order 11738]
5. Public Contract Code Section 10295.3
6. Occupational Safety and Health Administration applicable regulations [OSHA Act].

In addition, there are local requirements of the Monterey County Area Agency on Aging (AA) for all service providers outlined in the AA Service Providers' Handbook. Electronic version available upon request.

V. SERVICES TO BE PROVIDED BY CONTRACTOR

CONTRACTOR shall provide the services outlined in Exhibits AAA, A-1, A-2, A-3, AA-4, AA-5, AA-6, A-7 A-8, A-9 and A10 attached.

VI. TARGETING POLICY

Recognizing that resources are limited and not all the needs of older residents can be met through Older Americans' Act funding, CONTRACTOR is required to ensure best efforts and attempts are demonstrated for reaching older adults in greatest social and economic need.

The Older Americans Act, Amendments of 2006 defines the term *Greatest Economic Need* as the need resulting from an income level at or below the poverty line. The term *Greatest Social Need* means the need caused by:

- Physical and mental disabilities
- Language barriers
- Isolation caused by cultural, racial or ethnic status
- Social or geographic isolation

Particular attention is required to serve older individuals that are:

- Low-income minorities

- Native Americans
- Residents in rural areas
- Limited English-speakers
- At risk for institutionalization
- Older adults with disabilities
- Older adults with Alzheimer's disease or related dementias
- Lesbian, Gay, Bisexual and Transgender (LGBT) older adults

VII. GETCARE LICENSES

COUNTY will pay for one (1) GetCare license each month. Any additional licenses shall be the financial responsibility of CONTRACTOR. To obtain additional licenses, contact Alana Hawkins at RTZ, (510) 986-6700 x511, or via e-mail at Alana@GetCare.com. Licenses will be issued to individuals. When there is a change in staff, CONTRACTOR must notify the COUNTY in writing within 15 days.

VIII. AUDIT PROVISIONS

CONTRACTOR is required to provide an audit as per the terms in Exhibit H. Additionally, CONTRACTOR shall ensure that State-Funded expenditures are displayed along with the related federal expenditures in the Single Audit report "Schedule of Expenditures of Federal Awards" (SEFA) under the appropriate Catalog of Federal Domestic Assistance (CFDA) number as referenced in **Exhibits AAA, A-1, A-2, A-3, AA-4, AA-5, AA-6, A-7 A-8, A-9 and A-10** attached.

For expenditures that do not have CFDA numbers, the CONTRACTOR shall ensure that the State-funded expenditures are identified in the SEFA by the appropriate program name, identifying grant/contract number, and as passed-through the County of Monterey.

IX. EQUIPMENT

CONTRACTOR must receive prior approval from COUNTY in writing for equipment purchases over \$5,000. In addition, any computing devices, regardless of cost, require justification and approval by COUNTY.

Competitive quotations shall be solicited for Equipment purchases and COUNTY will provide guidelines when quotes are required and how many quotes are required.

- 1) Less than \$3,000 – One quote minimum is required.
- 2) More than \$3,000 but less than \$15,000 – A minimum of two quotes is required.
- 3) Greater than \$15,000 but less than \$50,000 – Three quotes are required.

Prices may be obtained from competitive bids, catalogs, price lists, letter, telephone quotation, agreements, multi-user contact or verbally. The names of the businesses submitting quotations, date and amount of each quotation shall be recorded and maintained. The CONTRACTOR will select the quote that is most advantageous to the CONTRACTOR AND COUNTY. The action and results must be documented.

Exhibit D-3, Equipment Acquisition Report, shall accompany CONTRACTOR's invoice to COUNTY as appropriate.

Equipment must be received by June 30, 2021 for expenses to be claimed against this Agreement. Any equipment or physical assets obtained by CONTRACTOR utilizing funds pursuant to the terms of this Agreement shall be inventoried and considered the property of COUNTY and tendered to the COUNTY upon termination of services by CONTRACTOR. Any equipment no longer needed by CONTRACTOR must be tendered to the COUNTY. Equipment purchase guidelines are outlined in Exhibit D-5. A current Inventory Listing of all equipment shall be maintained and updated with each contract and amended contract as needed (Exhibit D-6).

X. PROGRAM INCOME

Program income is defined as revenue generated by CONTRACTOR through contract-support activities and includes:

- Voluntary contributions received from a participant or other party for services rendered (e.g. guest meal fees).
- Income from usage or rental fees of real or personal property acquired with grant funds or funds provided under this Agreement.
- Royalties received on patents and copyrights from contract-supported activities; and
- Proceeds from the sale of items purchased under a AAA agreement (REQUIRES WRITTEN APPROVAL FROM AAA).

It is required that the CONTRACTOR provide each recipient of a AAA funded service with an opportunity to voluntarily contribute. Those funds must be tracked and considered program income for that particular service. There shall be no tracking of recipients regarding contributions or lack of contributions. Estimated contributions are included in attached budgets and shall be used to expand the service.

All other Program Income must be received within the contract term and must be spent by the end of the fiscal year, June 30, 2022.

XI. INVOICE/PAYMENT PROVISIONS (Excludes MIPPA Program)

Claims for Payment will be submitted electronically through the GetCare system.

CONTRACTOR shall comply with the appropriate benchmark requirements for service units to be delivered in order to draw down contract funds in accordance with the terms of this Agreement. The applicable benchmark for each type of service is identified in Exhibits AAA, A-1, A-2, A-3, AA-4, AA-5, AA-6, A-7, A-8, A-9 and A-10 Section I, Services to be Provided, and Section II, Performance Reporting.

Ten percent (10%) of the maximum amount of grant funds may be drawn down per month. Amounts greater than 10% may be approved by the County Contract Manager.

It is required that the CONTRACTOR provide each recipient of an AA funded service with an opportunity to voluntarily contribute. Those funds must be tracked and considered program income for that particular service. There shall be no tracking of recipients regarding contributions or lack of contributions. Estimated contributions are included in attached budgets and shall be used to expand the service.

COUNTY shall pay CONTRACTOR in accordance with Exhibit B, Section I. PAYMENT BY COUNTY. Claims for payment shall be submitted in the form set forth in Exhibit D-1, Sample Invoice, by the 10th day of the month for services rendered in the previous month, with the final invoice due no later than June 10, 2022. CONTRACTOR acknowledges that all funding under this Agreement will be exhausted by May 31, 2022; however, services will continue through June 30, 2022 with other program funding and will be recorded as Cash Match.

Exhibit D-2, Annual Closeout Summary, shall be submitted by CONTRACTOR to COUNTY no later than July 10, 2022.

XII. PAYMENT SUMMARY

<i>Funding Type</i>	<i>July 1, 2021- Through June 30, 2022 TOTALS</i>	<i>February 1, 2022-Through June 2022 TOTALS</i>	<i>FY 2021-22 TOTALS</i>
Title III B, Outreach	\$87,266		\$87,266
Title III B, Ombudsman	\$139,975		\$139,975
Title VII A, Ombudsman	\$35,694		\$35,694
Ombudsman PHF	\$3,807		\$3,807
Ombudsman SHF	\$18,182		\$18,182
Ombudsman SNF	\$18,083		\$18,083
Title XX Elder Justice		\$3,970	\$3,970
Title VII A, Companion Pet Project		\$52,201	\$52,201
<i>SUB-TOTAL: S:</i>	\$303,007	\$56,171	\$359,178

The total amount payable by COUNTY to CONTRACTOR for Outreach and all Ombudsman Services for the period July 1, 2021 to June 30, 2022 shall not exceed **three hundred fifty-nine thousand one hundred and seventy-eight dollars (\$359,178)**.

<i>Funding Type</i>	<i>July 1, 2021 Through March 31, 2022</i>	<i>April 1, 2022 Through June 30, 2022</i>	<i>FY 2021-22 TOTALS</i>
HICAP Reimbursements			\$112,502
State HICAP Fund			\$56,257
Fund Augmentation			\$53,308
Federal SHIP Funds	\$53,970	\$0	\$53,970
Federal SHIP Funds	\$0	\$17,975	\$17,975
<i>SUB-TOTAL:</i>	\$53,970	\$17,975	\$294,012

The maximum amount payable by COUNTY to CONTRACTOR for services supported by Federal SHIP Funds for the period July 1, 2021 through March 31, 2022 shall not exceed fifty-three thousand, nine hundred and seventy dollars (\$53,970).

The maximum amount payable by COUNTY to CONTRACTOR for services supported by Federal SHIP Funds for the period April 1, 2022 through June 30, 2022 shall not exceed seventeen thousand, nine hundred and seventy-five dollars (\$17,975).

The total amount payable by COUNTY to CONTRACTOR for services supported by HICAP Reimbursements, State HICAP Fund, HICAP Fund Augmentation and Federal SHIP Funds for the period July 1, 2021 to June 30, 2022 shall not exceed two hundred ninety-four thousand and twelve dollars (\$294,012).

<i>Funding Type</i>	<i>7/1/21 – 8/31/21 Amounts</i>	<i>9/1/21 - 6/30/22 Amounts</i>	<i>7/1/21 – 6/30/2022 TOTALS</i>
MIPPA SHIP	\$11,652	\$20,239	\$31,891
MIPPA AAA	\$4,381	\$8,629	\$13,010
<i>SUB-TOTAL:</i>	\$16,033	\$28,868	\$44,901

The maximum amount payable by COUNTY to CONTRACTOR for Medicare Improvements for Patients and Providers (MIPPA) Services for the period July 1, 2021 through August 31, 2021 shall not exceed sixteen thousand thirty-three dollars (\$16,033).

The maximum amount payable by COUNTY to CONTRACTOR for Medicare Improvements for Patients and Providers (MIPPA) Services for the period September 1, 2021 through June 30, 2022 shall not exceed twenty-eight thousand, eight hundred and sixty-eight dollars (\$28,868).

The total amount payable by COUNTY to CONTRACTOR for Medicare Improvements for Patients and Providers (MIPPA) Services for the period July 1, 2021 through June 30, 2022 shall not exceed forty-four thousand, nine hundred and one dollars (\$44,901).

<i>Funding Type</i>	<i>July 1, 2021 through September 30, 2021 TOTALS</i>
Title III-B CARES Outreach	\$10,724
Title VII-A CARES	\$ 679
<i>SUB-TOTAL:</i>	\$11,403

The total amount payable by COUNTY to CONTRACTOR for CARES services for the period July 1, 2021 through September 30, 2021 shall not exceed eleven thousand four hundred and three dollars (\$11,403).

Allocation for CARES Outreach must be spent by September 30, 2021.

<i>Funding Type</i>	<i>February 1, 2022 through June 30, 2022</i>
	TOTALS
EXPANDING ACCESS TO COVID-19 VACCINES VIA THE AGING NETWORK	\$35,763
<i>SUB-TOTAL:</i>	\$35,763

The total amount payable by COUNTY to CONTRACTOR for EXPANDING ACCESS TO COVID-19 VACCINES VIA THE AGING NETWORK for the period February 1, 2021 through June 30, 2022 shall not exceed thirty-five thousand seven hundred and sixty-three dollars (\$35,763).

GRAND TOTAL	\$745,257
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The maximum amount payable by COUNTY to CONTRACTOR for all services under this Agreement for the period July 1, 2021 through June 30, 2022 shall not exceed seven hundred forty-five thousand two hundred and fifty-seven dollars (\$745,257).

This Agreement is funded by the California Department of Aging (CDA) Agreements #AP-2122-32, #AP-2122-32-A1, #AP-2122-32-A2, AAA Older Adult Vaccine Funding, #HI-2122-32, MI-2021-32, MI-2122-32 and #CARES-32. The terms and conditions of these CDA Agreements are incorporated herein by reference, and on file with County's Department of Social Services. Upon request, County will provide an electronic copy of the Agreements to CONTRACTOR.

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TITLE III-B (CFDA #93.044)
OUTREACH
SCOPE OF SERVICES

I. SERVICES TO BE PROVIDED

CONTRACTOR shall provide outreach to Seniors 60 years of age or older. Services shall be provided in accordance with the California Code of Regulations, Title 22, Social Security, Division 1.8, California Department of Aging.

Outreach services will be provided throughout all four regions of Monterey County by a bilingual/bicultural (English/Spanish) staff person, who will provide one-on-one contact through regular and predictable presence at identified sites. This staff person will be dedicated exclusively to outreach activities. Printed materials for all senior service programs will be regularly distributed by staff. An all-agency flyer and outreach schedule will be developed and distributed in English and Spanish. It will include names, contact numbers and websites for senior service programs. Outreach staff will participate in local community groups and events in order to identify potential clients. Although staff will maintain the strong partnerships developed over the years, emphasis will be placed on seeking out new and non-traditional partners and strategies for reaching this hard to serve population. Ties will be strengthened between faith communities, local businesses, law enforcement, neighborhood watch groups and the schools. Staff will develop closer and more regular contacts with rural community newspapers and radio stations.

1. Service:

Outreach (NAPIS 14)

Unit of Service Definition:

Interventions (one-on-one contacts) with individuals initiated by an agency or provider for the purpose of identifying potential clients (or their age 60+ caregivers) and encouraging their use of existing services and benefits.

Unit of Service Measurement:

1 Contact

Estimated Service Units to be delivered: 7,500

Benchmark of Service Units to be delivered:

by September 30 th :	1,500 Units	(25%)
by December 31 st :	4,000 Units	(50%)
by March 31 st :	6,000 Units	(75%)
by June 30 th :	7,500 Units	(100%)

2. Service:

Outreach (National Aging Program Information System [NAPIS] 14) Senior Benefit Clinics

Unit of Service Definition:

One-on-one contact with individuals at Senior Benefit Clinics. Individuals are screened, determined eligible for services, and enrollment assistance is provided when needed.

Unit of Service Measurement:

1 Contact

Estimated Service Units to be delivered: 500

Benchmark of Service Units to be delivered:

by September 30 th :	125 Units	(25%)
by December 31 st :	250 Units	(50%)

by March 31 st :	375 Units	(75%)
by June 30 th :	500 Units	(100%)

3. Service:

Distribution of Monterey Salinas Transit bus passes.

Unit of Service Definition:

Provide resources to older adults that meet pre-determined criteria and use provided signature logs for tracking purposes. Follow prescribed procedures as established.

Unit of Service Measurement:

1 Bus Pass

Estimated Service Units to be delivered: 250

Benchmark of Service Units to be delivered:

by September 30 th :	62 Units	(25%)
by December 31 st :	125 Units	(50%)
by March 31 st :	187 Units	(75%)
by June 30 th :	250 Units	(100%)

II. PERFORMANCE REPORTING

CONTRACTOR shall enter data monthly into the AA GetCare System by the 10th day of the month following the month of service. This is a non-registered service.

CONTRACTOR shall provide a quarterly narrative report to the COUNTY describing the progress of services rendered in the previous quarter by the 10th day of the following month. The Narrative Report shall be in the form of Exhibit D-4.

CONTRACTOR shall provide participant signature logs to COUNTY from the distribution of Monterey Salinas Transit bus passes monthly or as needed by the County Contract Manager.

COUNTY has an expectation that a certain number of services are delivered within each reporting period. The benchmark is determined by dividing the service units into the number of months within the contract term. The COUNTY has expectations that CONTRACTOR will deliver the contracted service units within 20% of the benchmark.

If CONTRACTOR falls below the required benchmark percentage for two (2) consecutive quarters, CONTRACTOR will provide, if requested a corrective action plan to the COUNTY describing the reason for the occurrence and a plan to meet the benchmark.

III. MATCH REQUIREMENTS

Title III-B requires a local cash/in-kind match of 10.53%. The required match is calculated by taking the total budgeted costs less program income and non-matching contributions, multiplied by the matching requirement percentage.

If in-kind match is applied, sub-contractor must provide written documentation explaining how the in-kind was determined and valued. Sub-contractor is required to maintain proper documentation supporting cash/ in-kind claimed and must be available upon request.

IV. PAYMENT SUMMARY

The total amount payable by COUNTY to CONTRACTOR for Title III-B-Outreach for the period July 1, 2021 to June 30, 2022 shall not exceed eighty-seven thousand, two hundred and sixty-six dollars (\$87,266).

TITLE III-B (CFDA #93.044)
OMBUDSMAN
SCOPE OF SERVICES

I. SERVICES TO BE PROVIDED

CONTRACTOR shall investigate, verify, mediate and resolve complaints and problems on behalf of Monterey County residents of long-term care facilities involving their health, welfare, safety and rights. Services shall be provided throughout the County of Monterey. Services shall be provided in accordance with the California Code of Regulations, Title 22, Social Security, Division 1.8, California Department of Aging.

1. Service and Definition:

Complaint Resolution Satisfaction Rate that is based on percentage of number of completed complaint investigations divided by total number of complaints.

Benchmark is to achieve 90% completion.

2. Service and Definition:

Work with Resident Councils at facilities. Begins with initial contact of appropriate staff/volunteers through continued participation at meetings.

Unit of Service Measurement: Each Council

Estimated Service Units to be delivered: Benchmark of 20 by June 30th

3. Service and Definition:

Consultation to facilities by providing information to staff at facilities.

Unit of Service Measurement: Each occurrence

Estimated Service Units to be delivered: Benchmark of 400 by June 30th

4. Service and Definition:

Information/consultation to individuals (residents, family members, and others that support residents -- not employed by facility).

Unit of Service Measurement: Each occurrence

Estimated Service Units to be delivered: Benchmark of 700 by June 30th

II. PERFORMANCE REPORTING

CONTRACTOR shall report program data as required in the Ombudsman Data Integration Network (ODIN) Reporting System.

CONTRACTOR shall provide a quarterly narrative report to the COUNTY describing the progress of services by October 10, 2021, January 10, 2022, April 10, 2022 and July 10, 2022. CONTRACTOR to attach copy of ODIN data reports to the quarterly narrative. The Narrative Report shall be in the form of Exhibit D-4.

COUNTY has an expectation that a certain number of services are delivered within each reporting period. The benchmark is determined by dividing the service units into the number of months within the

contract term (quarterly if it is a quarterly function). The COUNTY has expectations that CONTRACTOR will deliver the contracted service units within 20% of the benchmark.

If CONTRACTOR falls below the required benchmark percentage for two (2) consecutive quarters, CONTRACTOR will provide a corrective action plan to the Area Agency on Aging (AA) upon request describing the reason for the occurrence and a plan to meet the benchmark.

III. MATCH REQUIREMENTS

Title III-B requires a local cash/in-kind match of 10.53%. The required match is calculated by taking the total program costs less program income and non-matching contributions, multiplied by the matching requirement percentage.

If in-kind match is applied, sub-contractor must provide written documentation explaining how the in-kind was determined and valued. Sub-contractor is required to maintain proper documentation supporting cash/ in-kind claimed and must be available upon request.

IV. PAYMENT SUMMARY

The total amount payable by COUNTY to CONTRACTOR for Title III-B-Ombudsman for the period July 1, 2021 to June 30, 2022 shall not exceed one hundred and thirty-nine thousand, nine hundred seventy-five dollars (\$139,975).

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**TITLE VII-A (CFDA #93.042)
OMBUDSMAN
SCOPE OF SERVICES**

I. SERVICES TO BE PROVIDED

CONTRACTOR shall investigate, verify, mediate and resolve complaints and problems on behalf of Monterey County residents of long-term care facilities involving their health, welfare, safety and rights. Services shall be provided throughout the County of Monterey. Services shall be provided in accordance with the California Code of Regulations, Title 22, Social Security, Division 1.8, California Department of Aging.

1. Service and Definition:

Community education events where Ombudsman volunteers and/or staff participates in local health fairs, public gatherings, public meetings, or similar events.

Unit of Service Measurement: Each event

Estimated Service Units to be delivered: Benchmark of 10 by June 30th

II. PERFORMANCE REPORTING

CONTRACTOR shall report program data as required in the ODIN reporting system.

CONTRACTOR shall provide a quarterly narrative report to the COUNTY describing the progress of services by October 10, 2021 January 10, 2022, April 10, 2022 and July 10, 2022. CONTRACTOR shall attach a copy of ODIN data reports to the quarterly narrative. The Narrative Report shall be in the form of Exhibit D-4.

COUNTY has an expectation that a certain number of services are delivered within each reporting period. The benchmark is determined by dividing the service units into the number of months within the contract term (quarterly if it is a quarterly function). The COUNTY has expectations that CONTRACTOR will deliver the contracted service units within 20% of the benchmark.

If CONTRACTOR falls below the required benchmark percentage for two (2) consecutive quarters, CONTRACTOR will provide a corrective action plan to the AAA describing the reason for the occurrence and a plan to meet the benchmark.

III. MATCH REQUIREMENTS

Title VII-A does not require a local cash/in-kind match.

IV. PAYMENT SUMMARY

The maximum amount payable by COUNTY to CONTRACTOR for Title VII-A Ombudsman for the period July 1, 2021 through June 30, 2022 shall not exceed thirty-five thousand, six hundred and ninety-four dollars (\$35,694).

OMBUDSMAN INITIATIVE SCOPE OF SERVICES

I. SERVICES TO BE PROVIDED

CONTRACTOR shall provide advocacy services for residents in long-term care facilities in Monterey County. CONTRACTOR is federally mandated to do complaint investigation and resolution on behalf of these vulnerable residents and their families or representatives.

Funding under this Agreement will be used to increase the number of Ombudsman volunteers working in skilled nursing facilities (SNFs). This project is part of the Governor's Long-Term Care Consumer Protection Initiative.

1. Service and Definition:

Facility coverage at skilled nursing residential care facilities where Ombudsman volunteers visit the facility and engage with residents and/or staff (other than response to a complaint).

Benchmark is the percentage of facilities visited out of the total number in the County. The goal is to visit 100% of the facilities each quarter.

II. PERFORMANCE REPORTING

CONTRACTOR shall report program data as required in the ODIN Reporting System.

CONTRACTOR shall provide a quarterly narrative report to the COUNTY describing the progress of services by October 10, 2021, January 10, 2022, April 10, 2022 and July 10, 2022. CONTRACTOR shall attach a copy of ODIN data report to the quarterly narrative. The Narrative Report shall be in the form of Exhibit D-4.

COUNTY has an expectation that a certain number of services are delivered within each reporting period. The benchmark is determined by dividing the service units into the number of months within the contract term (quarterly if it is a quarterly function). The COUNTY has expectations that CONTRACTOR will deliver the contracted service units within 20% of the benchmark.

If CONTRACTOR falls below the required benchmark percentage for two (2) consecutive quarters, CONTRACTOR will provide a corrective action plan to the COUNTY/AA describing the reason for the occurrence and a plan to meet the benchmark.

III. MATCH REQUIREMENTS

The Ombudsman Initiative requires no local cash/in-kind match.

IV. PAYMENT SUMMARY

<i>Funding Type</i>	<i>FY 2021-22 TOTALS</i>
Ombudsman PHF	\$3,807
Ombudsman SHF	\$18,182
Ombudsman SNF	\$18,083

<i>SUB-TOTAL</i>	\$40,072
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The maximum amount payable by COUNTY to CONTRACTOR for Ombudsman Initiative – Senior Nursing Facilities (SNF) Quality and Accountability, State Health Facilities Citation Penalties Account (SHF) and Public Health Licensing & Certification Program Fund (PHF) for the period July 1, 2021 through June 30, 2022 shall not exceed **Forty thousand and seventy-two dollars (\$40,072)**.

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**HICAP FUND
REIMBURSEMENTS (INS FUND), STATE HICAP FUND, HICAP AUGMENTATION FUND,
FEDERAL SHIP FUNDS (CFDA #92.324)
SCOPE OF SERVICES**

I. SERVICES TO BE PROVIDED

CONTRACTOR shall provide health insurance counseling and advocacy services to (a) Medicare Beneficiaries, including Medicare Beneficiaries by virtue of a disability, and those persons imminent of Medicare eligibility and, (b) the public at large for Health Insurance Counseling and Advocacy Program (HICAP) community education services. Services shall be provided throughout the County of Monterey. CONTRACTOR must be in compliance with all Program Memoranda issued by the California Department of Aging.

1. Estimated Number of finalized intakes for each Public Service Area (PSA); Clients Counseled: 1,728
Note: Clients Counseled equals the number of Intakes closed and finalized by the Program Manager.
2. Estimated Number of Public and Media Events: 162
Note: Public and Media events include education/outreach presentations, booths/exhibits at health/senior fairs, and enrollment events, excluding public service announcements and printed outreach.
3. Estimated Number of Contacts for all Clients Counseled: 2,648
Note: This includes all counseling contacts via telephone, in-person at home, in-person at site, and electronic contacts (e-mail, fax, etc.) for duplicated client counts.
4. Estimated Number of Persons Reached at Public and Media Events: 4,676
Note: This includes the estimated number of attendees (e.g., people actually attending the event, not just receiving a flyer) reached through presentations, and those reached through booths/exhibits at health/senior fairs, and those enrolled at enrollment events, excluding public service announcements and printed outreach materials.
5. Estimated Number of Contacts with Beneficiaries with Medicare Status Due to a Disability: 180
Note: This includes all counseling contacts via telephone, in-person at home, in-person at site, and electronic contacts (e-mail, fax, etc.). Results are duplicated client counts with Medicare beneficiaries due to disability and not yet age 65.
6. Estimated Unduplicated Number of Low-Income Beneficiaries: 772
Note: This is the number of unduplicated low-income Medicare beneficiary contacts and/or contacts that discussed low-income subsidy (LIS). Low income means 150 percent of the Federal Poverty Level (FPL).
7. Estimated Unduplicated number of English as Second Language Contacts: 629
Note: This is the number of unduplicated English Second Language (ESL) where The Medicare beneficiary's Primary Language is not English.
8. Estimated Number of Enrollment and Enrollment Assistance Contacts: 1787
Note: This is the number of unduplicated enrollment contacts during which one or more qualifying enrollment topics were discussed. This includes all enrollment assistance, not just Part D.

Benchmark of Services Provided:

CONTRACTOR shall provide Twenty-five percent (25%) of services specified in Services 1 through 8 quarterly, as reported in the CONTRACTOR'S quarterly report. There will be some fluctuation by quarter in the services specified in Services 1 through 8 as driven by customer demand. It is anticipated that by June 30th, 2021 100% of specified services will have been provided.

CONTRACTOR shall use the State HICAP fund augmentation to provide for the equivalent of at least 1.0 full-time Volunteer Coordinator.

II. PERFORMANCE REPORTING

CONTRACTOR shall enter data monthly into the CDA Statewide HICAP Automated Reporting System (SHARP) System by the 10th day of the month following the month of service.

CONTRACTOR shall provide a quarterly narrative report to the COUNTY describing the progress of services by October 10, 2021, January 10, 2022, April 10, 2022 and July 10, 2022. CONTRACTOR shall attach a copy of CDA SHARP data reports to the quarterly narrative. The Narrative Report shall be in the form of Exhibit D-4.

COUNTY has an expectation that a certain number of services are delivered within each reporting period. The benchmark is determined by dividing the service units into the number of months within the contract term (quarterly if it is a quarterly function). The COUNTY has expectations that CONTRACTOR will deliver the contracted service units within 20% of the benchmark.

If CONTRACTOR falls below the required benchmark percentage for two (2) consecutive quarters, CONTRACTOR will provide a corrective action plan to the AAA describing the reason for the occurrence and a plan to meet the benchmark.

III. MATCH REQUIREMENTS

HICAP does not require a local cash/in-kind match.

IV. PAYMENT SUMMARY

The maximum amount payable by COUNTY to CONTRACTOR for services supported by Federal SHIP Funds for the period July 1, 2021 through March 31, 2022 shall not exceed fifty-three thousand, nine hundred and seventy dollars (\$53,970).

The maximum amount payable by COUNTY to CONTRACTOR for services supported by Federal SHIP Funds for the period April 1, 2022 through June 30, 2022 shall not exceed seventeen thousand, nine hundred and seventy-five dollars (\$17,975).

The total amount payable by COUNTY to CONTRACTOR for services supported by HICAP Reimbursements, State HICAP Fund and HICAP Fund Augmentation for the period July 1, 2021 to June 30 2022 shall not exceed two hundred twenty-two thousand and sixty-seven dollars (\$222,067).

The total amount payable by COUNTY to CONTRACTOR for services supported by HICAP Reimbursements, State HICAP Fund, HICAP Fund Augmentation and Federal SHIP Funds for the period

July 1, 2021 to June 30, 2022 shall not exceed two hundred ninety-four thousand and twelve dollars (\$294,012).

**MIPPA SHIP and MIPPA AA
MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS ACT (CFDA #93.071)**

FUNDING SOURCE: State Agreement MI-2021-32 and MI-2122-32

I. SERVICES TO BE PROVIDED BY CONTRACTOR

Services shall be provided in accordance with the California Code of Regulations, Title 22, Social Security, Division 1.8, California Department of Aging.

“Affordable Care Act (ACA) MIPPA” funding is contingent on meeting a minimum percent of the individual PSA’s total performance benchmarks in FY 2020-21. CDA will evaluate achievement of performance benchmarks for the reporting period ending September 29, 2021.

Service:

Medicare Improvements for Patients and Providers Act

Unit of Service Definition & Measurement:

Completed and submitted Low Income Subsidy (LIS) applications and Medicare Savings Plan (MSP) applications.

Estimated Service Units to be delivered:

Benchmark of 17 Service Units by September 29th

II. PERFORMANCE REPORTING

CONTRACTOR shall provide a quarterly narrative report to the COUNTY describing the progress of services by October 10, 2021, January 10, 2022, April 10, 2022 and July 10, 2022. The Narrative Report shall be in the form set forth in Exhibit D-4.

CONTRACTOR shall submit monthly MIPPA reports to the California Department of Aging (CDA) and to the COUNTY. All data reports must be completed in the format required and provided by CDA and available on the CDA website:

<http://www.aging.ca.gov/ProgramsProviders/AA/MIPPA/>

COUNTY has an expectation that a certain number of services are delivered within each reporting period. The benchmark is determined by dividing the service units into the number of periods within the contract term. The COUNTY has expectations that CONTRACTOR will deliver the contracted service units within 20% of the benchmark.

III. MATCH REQUIREMENTS

MIPPA does not require a local cash/in-kind match.

IV. PAYMENT SUMMARY

The maximum amount payable by COUNTY to CONTRACTOR for Medicare Improvements for Patients and Providers (MIPPA) Services for the period July 1, 2021 through August 31, 2021 shall not exceed sixteen thousand thirty-three dollars (\$16,033).

The maximum amount payable by COUNTY to CONTRACTOR for Medicare Improvements for Patients and Providers (MIPPA) Services for the period September 1, 2021 through June 30, 2022 shall not exceed twenty-eight thousand, eight hundred and sixty-eight dollars (\$28,868).

The total amount payable by COUNTY to CONTRACTOR for Medicare Improvements for Patients and Providers (MIPPA) Services for the period July 1, 2021 through June 30, 2022 shall not exceed forty-four thousand, nine hundred and one dollars (\$44,901).

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OUTREACH – Emergency COVID-19 Response SCOPE OF SERVICES

I. SERVICES TO BE PROVIDED

CONTRACTOR shall provide community outreach designed to engage seniors 60 years of age or older and encourage them to use services and benefits still available throughout the Coronavirus pandemic. Services shall be provided in accordance with the California Code of Regulations, Title 22, Social Security, Division 1.8, California Department of Aging.

Outreach services will be provided throughout all four regions of Monterey County by a bilingual/bicultural (English/Spanish) staff person when needed. This specialized outreach is to be done through a variety of media platforms and specifically targeted to isolated, frail, and low income seniors. Messaging shall focus on the services still available throughout the Coronavirus pandemic and will direct them to call for one-on-one service consultations.

1. Service:

Outreach (NAPIS 14)

Unit of Service Definition:

Interventions (one-on-one contacts) with individuals initiated by an agency or provider for the purpose of identifying potential clients (or their age 60+ caregivers) and encouraging their use of existing services and benefits.

Unit of Service Measurement: 1 Contact

Number of contacts will be based on readership, listenership, visit counts, and similar tracking methods available.

Secondary method to count contacts received by promoted phone numbers.

Estimated Service Units to be delivered: 1,200

Benchmark of Service Units to be delivered:

by September 30th: 1,200 Units (100%)

II. PERFORMANCE REPORTING

CONTRACTOR shall enter data monthly into the AA GetCare System by the 10th day of the month following the month of service. This is a non-registered service.

CONTRACTOR shall provide a quarterly narrative report to the COUNTY describing the progress of services rendered in the previous quarter by the 10th day of the following month. The Quarterly Narrative Report shall be in the form of Exhibit D-2.

CONTRACTOR shall provide participant signature logs to COUNTY from the distribution of Monterey Salinas Transit bus passes monthly or as needed by the County Contract Manager.

COUNTY has an expectation that a certain number of services are delivered within each reporting period. The benchmark is determined by dividing the service units into the number of months within the contract term. The COUNTY has expectations that CONTRACTOR will deliver the contracted service units within 20% of the benchmark.

If CONTRACTOR falls below the required benchmark percentage for two (2) consecutive quarters, CONTRACTOR will provide, if requested a corrective action plan to the COUNTY describing the reason for the occurrence and a plan to meet the benchmark.

III. MATCH REQUIREMENTS

CARES Act funding programs do not require a local cash/in-kind match.

IV. PAYMENT SUMMARY

The total amount payable by COUNTY to CONTRACTOR for Title III-B-Outreach for Emergency COVID-19 Response for the period July 1, 2021 through September 30, 2021 shall not exceed ten thousand seven hundred and twenty-four dollars (\$10,724).

**OMBUDSMAN – Emergency COVID-19 Response
SCOPE OF SERVICES**

I. SERVICES TO BE PROVIDED

CONTRACTOR shall investigate, verify, mediate, and resolve complaints and problems on behalf of Monterey County residents of long-term care facilities involving their health, welfare, safety, and rights. Services shall be provided throughout the County of Monterey. Services shall be provided in accordance with the California Code of Regulations, Title 22, Social Security, Division 1.8, California Department of Aging.

1. Enhanced services due to Coronavirus Pandemic Definition:
Provide additional support to residents and facilities so that the impacts of the Coronavirus Pandemic are minimized as much as possible.

II. PERFORMANCE REPORTING

CONTRACTOR shall report program data as required in the Ombudsman Data Integration Network (ODIN) Reporting System.

COUNTY has an expectation that a certain number of services are delivered within each reporting period. Due to the uniqueness and need to be flexible, there is no benchmark set for this special service. Instead, increased narrative reporting is required as outlined above.

III. MATCH REQUIREMENTS

CARES Act funding programs do not require a local cash/in-kind match.

IV. PAYMENT SUMMARY

The total amount payable by COUNTY to CONTRACTOR for Title VII-A Ombudsman Emergency COVID-19 Response Services for the period July 1, 2021 through September 30, 2021 shall not exceed six hundred and seventy-nine dollars (\$679).

Allocation for CARES Outreach and Ombudsman must be spent by September 30, 2021.

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**COVID-19 SOCIAL SECURITY ACT TITLE XX (CFDA #93.747)
LONG-TERM CARE OMBUDSMAN ELDER JUSTICE
SCOPE OF SERVICES**

I. SERVICES TO BE PROVIDED

COVID-19 Social Security Act Title XX funding is intended to enhance and improve Ombudsman program services to Monterey County residents residing within long-term care facilities in response to the COVID-19 pandemic.

CONTRACTOR shall:

1. Enhance Ombudsman program complaint investigations during the COVID-19 public health emergency to address complaints related to abuse, neglect and poor care.
2. Resume in-person visitation at such time as visitation is permitted, such as when the COVID-19 vaccine is accessible to residents, facility staff, and individuals working for the Ombudsman program.
3. Conduct education and outreach on abuse and neglect identification and prevention during the COVID-19 public health emergency to residents, their families and facility staff.
4. Enable travel for representatives of the Ombudsman program to ensure all residents have access to an Ombudsman program representative.
5. Continue purchase of needed Personal Protective Equipment.
6. Continue purchase of technology as needed.
7. Enable participation in state-level "strike teams" to address complaints related to care and neglect; and
8. Provide information and assistance on transitions from long-term care facilities to community-based, home care settings, consistent with section 712(a)(3) of the Older Americans Act.

II. PERFORMANCE REPORTING

CONTRACTOR shall report program data as required in the Ombudsman Data Integration Network (ODIN) Reporting System. CONTRACTOR shall provide a quarterly narrative report to the COUNTY describing the progress of services by April 10, 2022 and July 10, 2022. CONTRACTOR shall attach a copy of ODIN data report to the quarterly narrative.

COUNTY has an expectation that a certain number of services are delivered within each reporting period. Due to the uniqueness and need to be flexible, there is no benchmark set for this special service. Instead, increased narrative reporting is required as outlined above.

III. MATCH REQUIREMENTS

COVID-19 Social Security Act Title XX funding programs do not require a local cash/in-kind match.

IV. PAYMENT SUMMARY

The total amount payable by COUNTY to CONTRACTOR for Long-Term Care Ombudsman Elder Justice Services for the period February 1, 2022 through June 30, 2021 shall not exceed **three thousand, nine hundred and seventy dollars (\$3,970)**.

**TITLE VII-A (CFDA #93.042)
ROBOTIC COMPANION PETS PROJECT
SCOPE OF SERVICES**

I. SERVICES TO BE PROVIDED

CONTRACTOR shall purchase electronic companion pets that will be distributed as personal property to individual residents living in licensed long-term care (LTC) residential and skilled nursing facilities. Only one electronic companion pet should be given per resident. The pet will belong to the individual resident and should be recorded on the individual’s inventory log. The resident will have access to their pet as they wish and participate in determining if and where the pet is stored. The pet is not to be withheld to influence resident decisions or compliance, nor shall it be impounded by the facility as a penalty, for any reason. This initiative is person-centered and is at no cost to residents.

The CONTRACTOR shall:

1. Assist in protecting the health, safety, welfare, and rights of the residents of LTC facilities by combating their loneliness and social isolation exacerbated by the COVID-19 pandemic.
2. Contract directly with a vendor or supplier to purchase robotic pets for LTC facility residents, at no cost to the resident. Contractor shall negotiate the cost, delivery, payment method with the vendor.
3. Confirm eligibility of recipients. Recipients must be a resident living within a licensed LTC facility and have not received a robotic pet purchased by the Robotic Companion Pets Project.

Service:

Ombudsman Robotic Companion Pets Project

Unit of Service Definition:

Electronic companion pets distributed as personal property to individual residents living in licensed long-term care (LTC) residential and skilled nursing facilities

Unit of Service Measurement:

1 Robotic Companion Pet

Estimated Service Units to be delivered: 305

Benchmark of Service Units to be delivered:

by March 31 st :	152 Units	(50%)
by June 30 th :	305 Units	(100%)

II. PERFORMANCE REPORTING

CONTRACTOR shall report data for the Ombudsman Robotic Companion Pets Project, using Exhibit I (CDA 8000), and maintain records pertaining to recipient identification, number of pets purchased, number of residents who received a pet, and the number of facilities, including facility type, impacted. These reports shall be provided on March 31st, 2021 and June 30th, 2021. Records may include information about the impact the robotic pet has had on the individual and others at the LTC facilities. CONTRACTOR shall attach a copy of Exhibit I (CDA 8000) to the Ombudsman quarterly narrative.

III. MATCH REQUIREMENTS

Robotic Companion Pets Project funding program does not require a local cash/in-kind match.

IV. PAYMENT SUMMARY

The total amount payable by COUNTY to CONTRACTOR for Title VII-A Robotic Companion Pets Project for the period February 1, 2021 through June 30, 2022 shall not exceed **fifty-two thousand, two hundred and one dollars (\$52,201)**.

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**EXPANDING ACCESS TO COVID-19 VACCINES VIA THE AGING NETWORK
(CDFR #93.044)
SCOPE OF SERVICES**

I. SERVICES TO BE PROVIDED

CONTRACTOR shall assist older adults and their caregivers' access COVID-19 vaccines through a combination of any of the following activities:

1. Disseminating scientifically and medically supported information about the COVID-19 vaccines and helping direct those with questions to additional sources of similarly credible information.
2. Identifying people who may need help getting a COVID-19 vaccination, including those who are unable to independently travel to a vaccination site.
3. Helping with scheduling a COVID-19 vaccination appointment for those who require assistance.
4. Arranging or providing for accessible transportation to COVID-19 vaccination sites.
5. Providing technical assistance to local health departments and other entities on vaccine accessibility. This may include hosting vaccination clinics with licensed partner agencies.
6. Providing personal support to older adults, family caregivers, and aging network staff and volunteers as needed (e.g., peer support); and/or
7. Reminding the person of their second vaccination appointment, if necessary.

Service:

COVID-19 Vaccine Access

Unit of Service Definition:

Number of older adult clients (60+) or their caregivers to whom service is provided.

Unit of Service Measurement: 1 Contact

Number of contacts will be based on older adult clients (60+) or their caregivers who access COVID-19 vaccinations and/or receive scientifically and medically supported information about the COVID-19 vaccines, through any of the activities listed above.

Estimated Service Units to be delivered: 3,750

Benchmark of Service Units to be delivered:

by March 31st:	1,500 Units	(40%)
by June 30th:	3,750 Units	(100%)

II. PERFORMANCE REPORTING

CONTRACTOR shall enter data monthly into the AAA GetCare System by the 10th day of the month following the month of service and shall include the number of clients to whom a service is provided, the type of services provided, and the expenditures related to providing such services This is a non-registered service.

CONTRACTOR shall provide a quarterly narrative report to the COUNTY describing the progress of services rendered in the previous quarter by the 10th day of the following month. The Quarterly Narrative Report shall be in the form of Exhibit D-2.

III. MATCH REQUIREMENTS

Expanding Access to COVID-19 Vaccine Through the Aging Network funding program does not require a local cash/in-kind match.

IV. PAYMENT SUMMARY

The total amount payable by COUNTY to CONTRACTOR for Expanding Access to COVID-19 Vaccine Through the Aging Network for the period February 1, 2021 through June 30, 2022 shall not exceed **thirty-five thousand, seven hundred and sixty-three dollars (\$35,763)**.

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**MONTEREY COUNTY AREA AGENCY ON AGING
PLANNING AND SERVICE AREA NO. 32**

OMBUDSMAN BUDGET

BUDGET PERIOD: JULY 1, 2021 - JUNE 30, 2022

Name of Agency: ALLIANCE ON AGING

Address of Agency: 247 Main Street

Salinas CA 93901

Project Name: OMBUDSMAN INITIATIVE

Funding Source and Catalog #

Check one:	State Funds	<input checked="" type="checkbox"/>	Public Health L & C Program Fund
	State Funds	<input checked="" type="checkbox"/>	State Health Facilities Citation Penalties Account
	State Funds	<input checked="" type="checkbox"/>	SNF Quality & Accountability

Budget Version

Check one:	Original	<input type="checkbox"/>	
	Revision	<input checked="" type="checkbox"/>	9/21/2021

Certification:

I hereby certify to the best of my knowledge and belief that the Budget reflects the necessary, reasonable and allowable costs to attain the objectives and goals of this project. I further certify that the amounts displayed are accurate and correct.

Preparer's Signature / Date

Anthony McFarlane - 831-855-1334 ext 1300
Preparer's Name (Printed) and telephone number

Executive Director's Signature / Date

Executive Director's Name (Printed) and telephone number

For Area Agency on Aging Use Only

Reviewed for:	Date Budget Received: _____
Completeness and Accuracy	Budget Approved by Fiscal Officer: <u>Veronica Renteria 10/13/21</u>
Reviewed for Allowable Costs	Budget Approved by Program: _____
Indirect Cost limit 10%	Get-Care Updated by Vendor: _____
No Required Match	Get-Care Verified by Fiscal Officer: _____
	Budget Template Last Updated: <u>6/18/20 By Veronica Renteria</u>

Agency Name: ALLIANCE ON AGING OMBUDSMAN INITIATIVE

SECTION A:

BUDGET SUMMARY

Categories of Expenses		Public Health L & C Program Fund		State Health Facilities Citation Penalties Account		SNF Quality & Accountability		Total Budget	
Personnel		\$	3,410	\$	17,464	\$	17,570	\$	38,444
Operating Expenses		\$	397	\$	718	\$	513	\$	1,628
Total		\$	3,807	\$	18,182	\$	18,083	\$	40,072
Source of Revenue		Public Health L & C Program Fund		State Health Facilities Citation Penalties Account		SNF Quality & Accountability		Total Budget	
		Cash	In-Kind	Cash	In-Kind	Cash	In-Kind	Cash	In-Kind
<i>AAA Grant</i>		\$	3,807	\$	18,182	\$	18,083	\$	40,072
Project Income								\$ -	\$ -
Other Federal Funds	<i>Match</i>							\$ -	\$ -
	<i>Non-Match</i>							\$ -	\$ -
Other State Funds	<i>Match</i>							\$ -	\$ -
	<i>Non-Match</i>							\$ -	\$ -
County/City Funds	<i>Match</i>							\$ -	\$ -
	<i>Non-Match</i>							\$ -	\$ -
Private Grants	<i>Match</i>							\$ -	\$ -
	<i>Non-Match</i>							\$ -	\$ -
Net Fundraising	<i>Match</i>		\$ -		\$ -			\$ -	\$ -
	<i>Non-Match</i>							\$ -	\$ -
Totals by match	<i>Match</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Non-Match</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL		\$	3,807	\$	18,182	\$	18,083	\$	40,072

JULY 1, 2021 - JUNE 30, 2022

SECTION C:

ALLIANCE ON AGING
OMBUDSMAN INITIATIVE
OPERATING EXPENSES / EQUIPMENT
AND INDIRECT COSTS

OPERATING EXPENSE & EQUIPMENT	Public Health L & C Program Fund		State Health Facilities Citation		SNF Quality & Accountability		Total Budget	
	Cash	In-Kind	Cash	In-Kind	Cash	In-Kind	Cash	In-Kind
Occupancy							\$ -	\$ -
Volunteer Reimbursement							\$ -	\$ -
*Travel/Volunteer Travel							\$ -	\$ -
Conf/Trainings/Meetings							\$ -	\$ -
Professional Fees: Acct/Legal							\$ -	\$ -
Equipment Purchase							\$ -	\$ -
Equipment Rental/Maintenance							\$ -	\$ -
Insurance (Excluding Veh. & Occ.)							\$ -	\$ -
Utilities/Communications							\$ -	\$ -
Postage/ Shipping							\$ -	\$ -
Printing / Publications							\$ -	\$ -
Public Relations /Advertising							\$ -	\$ -
Sub/Membership Dues							\$ -	\$ -
Supplies							\$ -	\$ -
Food/Food Service							\$ -	\$ -
Vehicle Operation							\$ -	\$ -
Overhead: 8% limit of Grant Funding	\$ 397		\$ 718		\$ 513		\$ 1,628	\$ -
Awards/ Events							\$ -	\$ -
Client Support							\$ -	\$ -
Depreciation							\$ -	\$ -
Bank Service Fees							\$ -	\$ -
Subcontractor							\$ -	\$ -
Miscellaneous							\$ -	\$ -
Total Operating Expenses	397	-	718	-	513	-	1,628	-

*Eligible expenses shall be reimbursed per the County's Travel and Business Expense Reimbursement Policy available at:
<http://www.co.monterey.ca.us/auditor/policies.htm> CONTRACTOR must provide a detailed breakdown of authorized expenses.

MONTEREY COUNTY AREA AGENCY ON AGING
PLANNING AND SERVICE AREA NO. 32

OMBUDSMAN ELDER JUSTICE

BUDGET PERIOD: FEBRUARY 1, 2022 - JUNE 30, 2022

Name of Agency: Alliance on Aging

Address of Agency: 247 Main St
Salinas, CA 93901

Project Name: SOCIAL SECURITY ACT ELDER JUSTICE TITLE XX

Funding Source and Federal Catalog #

Check one:

<input checked="" type="checkbox"/>	93.747
<input type="checkbox"/>	

Budget Version

Check one: Original
Revision

<input checked="" type="checkbox"/>	
<input type="checkbox"/>	

Certification:

I hereby certify to the best of my knowledge and belief that the Budget reflects the necessary, reasonable and allowable costs to attain the objectives and goals of this project. I further certify that the amounts displayed are accurate and correct.

Preparer's Signature / Date

Preparer's Name (Printed) and telephone number

Executive Director's Signature / Date

Executive Director's Name (Printed) and telephone number

For Area Agency on Aging Use Only

Reviewed for:

- Completeness and Accuracy
- Reviewed for Allowable Costs
- Required Match of 10.53%
- Indirect Cost limit 10%

Date Budget Received:

- Budget Approved by Fiscal Officer: Veronica Renteria 12/21/21
- Budget Approved by Program: _____
- Get-Care Updated by Vendor: _____
- Get-Care Verified by Fiscal Officer: _____
- Budget Template Last Updated: 12/8/21 By Veronica Renteria

FEBRUARY 1, 2022 - JUNE 30, 2022

MONTEREY COUNTY AREA AGENCY ON AGING, PSA 32

Agency:	Alliance on Aging
Project:	SOCIAL SECURITY ACT ELDER JUSTICE TITLE XX

SECTION A:

LINE ITEM BUDGET

(1) Category	(2) Cash	(3) In-Kind
Salaries	3,023	-
Payroll Taxes	231	
Employee Benefits	320	
SUBTOTAL (Personnel Costs):	3,575	-
Volunteer Reimbursement		
*Travel/Volunteer Travel		
Conference/Training/Meetings		
Professional Fees: Acct/ Legal		
Equipment Purchase		
Equipment Rental and Maintenance		
Occupancy		
Insurance (Excluding Vehicle & Occupancy)		
Utilities/Communications		
Postage/Shipping		
Printing / Publications		
Public Relations /Advertising		
Membership Dues and Subscriptions		
Supplies		
Food/ Food Service		
Vehicle Operation		
Overhead: 10% limit of Grant Funding	395	
Awards/ Recognition/ Events		
Client Support		
Depreciation		
Nutrition Education		
Bank Services Fees		
Subcontractor		
Miscellaneous: (List Separately)		
Column Totals:	3,970	-
	Total Budget:	\$ 3,970

**Eligible expenses shall be reimbursed per the County's Travel and Business Expense Reimbursement Policy available at: <http://www.co.monterey.ca.us/auditor/policies.htm> CONTRACTOR must provide a detailed breakdown of authorized expenses.*

Alliance on Aging

FEBRUARY 1, 2022 - JUNE 30, 2022

SECTION C:**Funding Source Summary**

		Cash	In-Kind	Total Budgeted Amount
Project Income	Section D	-		\$ -
				\$ -
				\$ -
AAA Grant Funds		3,970		\$ 3,970
Total Funding		\$ 3,970	\$ -	\$ 3,970

SECTION D:**Program Income**

	Amount
Total:	\$0.00

Program Income Definition: Program Income is defined as earnings by a service provider realized from grant supported activities.

- A. The following types of income comprise "Program Income."
1. Participant donations from persons who participate or benefit from such activities.
 2. Usage or rental fees.
 3. Sales of assets purchased with grant funds.
 4. Royalties, patents, and copyrights.

Not to be included are:

1. Revenues from non-activity related fundraisers.
2. Gifts from philanthropic organizations or individuals.
3. Rebates, discounts, and recoveries on losses which should be treated as "applicable credits."

**MONTEREY COUNTY AREA AGENCY ON AGING
PLANNING AND SERVICE AREA NO. 32**

SUPPORTIVE SERVICES BUDGET

BUDGET PERIOD: FEBRUARY 1, 2022 - JUNE 30, 2022

Name of Agency: ALLIANCE ON AGING

Address of Agency: 247 Main Street
Salinas CA 93901

Project Name: CARES Act Funding- Robotic Companion Pet Project- Ombudsman

Funding Source and Federal Catalog #

Check one: Title III B		93.044
Title III D		93.043
Title VII A	x	93.042
Title VII B		93.041
SNAP-ED		10.561

Budget Version

Check one: Original	X	
Revision		

If agency is applying for more than one funding source, multiple budgets are required.

Certification:

I hereby certify to the best of my knowledge and belief that the Budget reflects the necessary, reasonable and allowable costs to attain the objectives and goals of this project. I further certify that the amounts displayed are accurate and correct.

Preparer's Signature / Date

Tony McFarlane (831) 655-4248
Preparer's Name (Printed) and telephone number

Executive Director's Signature / Date

Teresa Sullivan (831) 655-4240
Executive Director's Name (Printed) and telephone number

For Area Agency on Aging Use Only

Reviewed for:	Date Budget Received: _____
Completeness and Accuracy	Budget Approved by Fiscal Officer: <u>Veronica Renteria 2/21/22</u>
Reviewed for Allowable Costs	Budget Approved by Program: _____
Required Match of 10.53%	Get-Care Updated by Vendor: _____
Indirect Cost limit 10%	Get-Care Verified by Fiscal Officer: _____
	Budget Template Last Updated: <u>6/18/20 By Veronica Renteria</u>

FEBRUARY 1, 2022 - JUNE 30, 2022

MONTEREY COUNTY AREA AGENCY ON AGING, PSA 32

Agency: ALLIANCE ON AGING
Project: CARES Act Funding- Robotic Companion Pet Project- Om

SECTION A:

LINE ITEM BUDGET

(1) Category	(2) Cash	(3) In-Kind
Salaries	-	-
Payroll Taxes	-	-
Employee Benefits	-	-
SUBTOTAL (Personnel Costs):	-	-
Volunteer Reimbursement		
*Travel/Volunteer Travel		
Conference/Training/Meetings		
Professional Fees: Acct/ Legal		
Equipment Purchase	46,981	
Equipment Rental and Maintenance		
Occupancy		
Insurance (Excluding Vehicle & Occupancy)		
Utilities/Communications		
Postage/Shipping		
Printing / Publications		
Public Relations /Advertising		
Membership Dues and Subscriptions		
Supplies		
Food/ Food Service		
Vehicle Operation		
Overhead: 10% limit of Grant Funding	5,220	
Awards/ Recognition/ Events		
Client Support		
Depreciation		
Nutrition Education		
Bank Services Fees		
Subcontractor		
Miscellaneous: (List Separately)		
Column Totals:	52,201	-
	Total Budget:	\$ 52,201

*Eligible expenses shall be reimbursed per the County's Travel and Business Expense Reimbursement Policy available at: <http://www.co.monterey.ca.us/auditor/policies.htm> CONTRACTOR must provide a detailed breakdown of authorized expenses.

FEBRUARY 1, 2022 - JUNE 30, 2022

ALLIANCE ON AGING

SECTION B:

SCHEDULE OF PERSONNEL COSTS

No.	Paid Staff Positions	Annual Salary	% on Program	Program Cost
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
	Total Salaries	\$ -		\$ -
	Payroll Taxes			
	Employee Benefits			
	Total Paid Staff			\$ -

No.	In-Kind: Donated Services	Hourly Wage	Hours on Program	Program Cost
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
	Total In-Kind Staff			\$ -

	Total Personnel Costs			\$ -
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Costs reflected on this page must equal subtotal (Personnel Costs) shown on Page 2 (Section A), columns 2 and 3.

ALLIANCE ON AGING

FEBRUARY 1, 2022 - JUNE 30, 2022

SECTION C:**Funding Source Summary**

		Cash	In-Kind	Total Budgeted Amount
Project Income	Section D	-		\$ -
Contributions (+) Non-Matching	Section E	-	-	\$ -
Contributions (+) Matching	Section F	-	-	\$ -
AAA Grant Funds		52,201		\$ 52,201
Total Funding		\$ 52,201	\$ -	\$ 52,201

SECTION D:**Program Income**

	Amount
Total:	\$0.00

Program Income Definition: Program Income is defined as earnings by a service provider realized from grant supported activities.

- A. The following types of income comprise "Program Income."
1. Participant donations from persons who participate or benefit from such activities.
 2. Usage or rental fees.
 3. Sales of assets purchased with grant funds.
 4. Royalties, patents, and copyrights.

Not to be included are:

1. Revenues from non-activity related fundraisers.
2. Gifts from philanthropic organizations or individuals.
3. Rebates, discounts, and recoveries on losses which should be treated as "applicable credits."

MONTEREY COUNTY AREA AGENCY ON AGING
PLANNING AND SERVICE AREA NO. 32

AAA COVID VACCINE

BUDGET PERIOD: FEBRUARY 01, 2022 - JUNE 30, 2022

Name of Agency: Alliance on Aging

Address of Agency: 247 Main St
Salinas, CA 93901

Project Name: COVID-19 VACCINES

Funding Source and Federal Catalog #

Check one:

<input checked="" type="checkbox"/>	93.044
<input type="checkbox"/>	

Budget Version

Check one: Original
Revision

<input checked="" type="checkbox"/>	
<input type="checkbox"/>	

Certification:

I hereby certify to the best of my knowledge and belief that the Budget reflects the necessary, reasonable and allowable costs to attain the objectives and goals of this project. I further certify that the amounts displayed are accurate and correct.

Preparer's Signature / Date

Tony McFarlane (831) 655-4248
Preparer's Name (Printed) and telephone number

Executive Director's Signature / Date

Teresa Sullivan (831) 655-4240
Executive Director's Name (Printed) and telephone number

For Area Agency on Aging Use Only

Reviewed for:	Date Budget Received: _____
Completeness and Accuracy	Budget Approved by Fiscal Officer: <u>Veronica Renteria 12/21/21</u>
Reviewed for Allowable Costs	Budget Approved by Program: _____
Required Match of 10.53%	Get-Care Updated by Vendor: _____
Indirect Cost limit 10%	Get-Care Verified by Fiscal Officer: _____
	Budget Template Last Updated: <u>12/8/21 By Veronica Renteria</u>

FEBRUARY 01, 2022 - JUNE 30, 2022

MONTEREY COUNTY AREA AGENCY ON AGING, PSA 32

Agency:	Alliance on Aging
Project:	COVID-19 VACCINES

SECTION A:

LINE ITEM BUDGET

(1) Category	(2) Cash	(3) In-Kind
Salaries	24,667	-
Payroll Taxes	1,887	
Employee Benefits	2,467	
SUBTOTAL (Personnel Costs):	29,021	-
Volunteer Reimbursement		
*Travel/Volunteer Travel		
Conference/Training/Meetings		
Professional Fees: Acct/ Legal		
Equipment Purchase		
Equipment Rental and Maintenance		
Occupancy		
Insurance (Excluding Vehicle & Occupancy)		
Utilities/Communications		
Postage/Shipping		
Printing / Publications		
Public Relations /Advertising	3,166	
Membership Dues and Subscriptions		
Supplies		
Food/ Food Service		
Vehicle Operation		
Overhead: 10% limit of Grant Funding	3,576	
Awards/ Recognition/ Events		
Client Support		
Depreciation		
Nutrition Education		
Bank Services Fees		
Subcontractor		
Miscellaneous: (List Separately)		
Column Totals:	35,763	-
	Total Budget:	\$ 35,763

*Eligible expenses shall be reimbursed per the County's Travel and Business Expense Reimbursement Policy available at: <http://www.co.monterey.ca.us/auditor/policies.htm> CONTRACTOR must provide a detailed breakdown of authorized expenses.

FEBRUARY 01, 2022 - JUNE 30, 2022

Alliance on Aging

SECTION B:

SCHEDULE OF PERSONNEL COSTS

No.	Paid Staff Positions	Annual Salary	% on Program	Program Cost
1	Executive Director	\$125,000.00	3%	\$ 3,125
1	Program Director	\$78,271.00	5%	\$ 3,914
1	Special Events & Marketing Manager	\$46,800.00	33%	\$ 15,444
1	Outreach & Benefits Specialist	\$43,680.00	5%	\$ 2,184
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
	Total Salaries	\$ 293,751		\$ 24,667.00
	Payroll Taxes			\$ 1,887.03
	Employee Benefits			\$ 2,466.70
	Total Paid Staff			\$ 29,021.00

No.	In-Kind: Donated Services	Hourly Wage	Hours on Program	Program Cost
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
	Total In-Kind Staff			\$ -

	Total Personnel Costs			\$ 29,021
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Costs reflected on this page must equal subtotal (Personnel Costs) shown on Page 2 (Section A), columns 2 and 3.

Alliance on Aging

FEBRUARY 01, 2022 - JUNE 30, 2022

SECTION C:**Funding Source Summary**

		Cash	In-Kind	Total Budgeted Amount
Project Income	Section D	-		\$ -
				\$ -
				\$ -
AAA Grant Funds		35,763		\$ 35,763
Total Funding		\$ 35,763	\$ -	\$ 35,763

SECTION D:**Program Income**

	Amount
Total:	\$0.00

Program Income Definition: Program Income is defined as earnings by a service provider realized from grant supported activities.

A. The following types of income comprise "Program Income."

1. Participant donations from persons who participate or benefit from such activities.
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