

CALIFORNIA DEPARTMENT OF AGING  
2880 Gateway Oaks Drive, Suite 200  
Sacramento, CA 95833  
www.aging.ca.gov  
TEL 916-419-7500  
FAX 916-928-2267  
TTY1-800-735-2929



## CONTRACT RELEASE MEMO

**To:** Area Agencies on Aging (AAAs)  
**CM No:** 22-09  
**SUBJECT:** IF-2223 Budget and Reporting Information  
**ISSUE DATE:** June 10, 2022  
**CONTRACT TERM:** July 1, 2022, through December 31, 2024  
**SUPERSEDES:** N/A  
**PROGRAMS AFFECTED:** Dignity at Home Fall Prevention  
Older Californians Nutrition Program and Intergenerational Activities  
Family Caregiver Support Program  
Senior Legal Services

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### **Purpose:**

This Contract Release Memo (CM) provides funding information and specific reporting requirements for your IF-2223 contract.

Please refer to your original contract email for all contract-related documents, including Budget Displays and Local Assistance Checklist (CDA 9007A).

### **Funding:**

The total contract funding amount is \$51,700,000 and is based on the 2022-23 Governor's Budget. The following amounts were allocated to each program:

- Dignity at Home Fall Prevention: \$9,400,000
- Older Californians Nutrition Program and Intergenerational Activities: \$20,700,000
- Family Caregiver Support Program: \$2,800,000
- Senior Legal Services: \$18,800,000

### **Request for Funds and Expenditure Reports:**

Beginning July 1st, IF-2223 will be utilizing a new electronic format for submitting payment reports. Information regarding submission will be provided to all AAAs in June. No actual forms will be issued or required.

Advance payment will be offered in this contract (if they so choose on the budget submission). For Advance payment, July 1st or the date the contract is fully executed (whichever comes last), 1/6th of the total fund allocation will be disbursed. Ongoing disbursements will be made every month contingent upon monthly expenditure reports.

Example Advance Payment Method:

July Disbursement = \$10,000 (1/6 of allocation)

July Expenditure = \$8,000

August Disbursement = \$8,000

Reimbursement payment will still be available. Expenditure should be submitted to CDA no later than 30 days following the last day of the billable month (i.e. August expenditure report should be submitted no later than September 30<sup>th</sup>). CDA will reconcile the expenses, approve the payment, then forward to the State Controller's Office for issuance.

Example Reimbursement Payment Method:

- July Disbursement = \$0
- July Expenditure = \$5,000
- August Disbursement = \$5,000

Those AAAs that do not submit their expenditure reports within 30 days will not be issued payment until the expenditure reports are received and expenditures are reconciled.

**Deadlines:**

All Contract-related documents identified in the Local Assistance Contract Checklist (CDA 9007C) must be returned as soon as possible but no later than the contract start date.

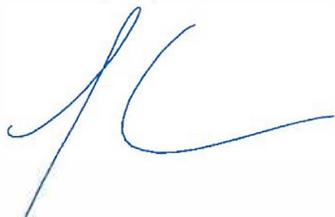
AAAs must submit budgets for the IF-2223 programs they are receiving funding for as soon as possible, but no later than 30 days from the date of this Contract Release Memo.

**Inquiries:**

For questions, please contact the following:

- Financial - CDA Local Finance Bureau (previously the Program Fiscal Team) at [Finance@aging.ca.gov](mailto:Finance@aging.ca.gov)
  - Payments - CDA Accounting Management Bureau at [CDA.Accounting@aging.ca.gov](mailto:CDA.Accounting@aging.ca.gov)
  - Contract - CDA Business Management Bureau at [BMBCContractAnalyst@aging.ca.gov](mailto:BMBCContractAnalyst@aging.ca.gov)
- Program Questions:
- Fall Prevention: [FallPreventionProgram@aging.ca.gov](mailto:FallPreventionProgram@aging.ca.gov)
  - Sr Legal: [CDASupportiveServices@aging.ca.gov](mailto:CDASupportiveServices@aging.ca.gov)
  - Intergenerational Activities: [CDANutritionandHealthPromotion@aging.ca.gov](mailto:CDANutritionandHealthPromotion@aging.ca.gov)
  - Fam Caregiver Support: [CDASupportiveServices@aging.ca.gov](mailto:CDASupportiveServices@aging.ca.gov)

Thank you,



Thomas D. Cameron, Deputy Director  
Division of Administrative Services

California Department of Aging

cc: Supportive Services Bureau, Health at Home Branch, Division of Home and  
Community Living  
Nutrition & Wellness Bureau, Health at Home Branch, Division of Home and  
Community Living  
Nicole Shimosaka, Chief Financial Officer, Financial Management Branch,  
Division of Administrative Services  
Local Finance Bureau, Financial Management Branch, Division of  
Administrative Services  
Accounting Management Bureau, Financial Management Branch, Division of  
Administrative Services  
Business Management Bureau, Operations Support Branch, Division of  
Administrative Services

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## PROGRAM MEMO

**To:** Area Agencies on Aging

**No:** 22-09

**DATE ISSUED:** June 10, 2022

**SUBJECT:** Older Adults Recovery and Resilience (OARR) Funding for the Older Californians Nutrition Program and Intergenerational Activities

**EXPIRES:** December 31, 2024

**PROGRAMS AFFECTED:** Older Californians Nutrition Program under the Older Americans Act

**SUPERSEDES:** N/A

### PURPOSE

This Program Memo (PM) outlines the funding intent, process, methodology, and timing for the FY 2022-23 Older Adults Recovery and Resilience (OARR) funding for the Older Californians Nutrition Program (OCNP) and intergenerational activities.

### FUNDING INTENT

The OARR funding for the OCNP and intergenerational activities provides \$20.7 million, one-time over three years, to California to strengthen older adult's recovery and resilience from the severe isolation and health impacts from staying at home due to the COVID-19 pandemic. The need for home-delivered, nutritious meals has increased dramatically due to the pandemic. With the stay-at-home orders lifted and the state reopening, the need remains for additional home-delivered meals and resources to reestablish community-based meal sites. The OARR funding will support the AAAs and their service providers in serving more meals to more older adults to help bridge the significant food gap that exists in California.

Additionally, the OARR funding is intended to support AAAs and their service providers in developing partnerships and efforts, including the planning, development, and implementation of intergenerational activities that connect OCNP participants with younger generations. Mutually beneficial intergenerational activities promote greater understanding and respect between generations and strengthen older adult's recovery and resilience from the isolation and health impacts from the COVID-19 pandemic.

### ALLOWABLE ACTIVITIES

OARR funding utilized for the OCNP must be expended on allowable Older Americans Act (OAA) activities as defined by the OAA and California Code of Regulations to support the goal to provide more meals to more older adults. OARR funding utilized for intergenerational activities support the goal of connecting older adults with children, youth, and adults through the OCNP. Examples of activities include, but are not limited to the following:

- Development or maintenance of partnerships and collaborative efforts with programs serving children to foster intergenerational connections between older adults and children.
- Planning, development, or implementation of shared sites with programs serving meals to children to promote intergenerational socialization among the participants.
- Planning, development, or implementation of intergenerational cooking demonstrations or classes to support healthy eating habits.
- Planning, development, or implementation of a shared garden site(s) and intergenerational gardening activities and nutrition education.
- Virtual or in-person lunch companion for OCNP participants to socialize with children, youths, or adults while enjoying a meal.
- Virtual or in-person intergenerational social activities related to the OCNP.

#### **ALLOWABLE EXPENSES**

Allowable expenses include supplies or equipment necessary to support the implementation of intergenerational activities such as cooking demonstrations, shared garden site development and maintenance, and social activities related to the OCNP.

OARR funding may be used to provide meals for older adults and younger generations participating in activities that support intergenerational connections, however, OARR funding may not supplant funds that would otherwise be available from other nutrition programs. CDA encourages AAAs to pursue opportunities to build partnerships to foster intergenerational connections between the OCNP and other nutrition programs where both are contributing and benefitting from the collaboration.

For capital expenses over \$5000, such as refrigerators, freezers, vehicles, or any computing devices, the Senior Nutrition Infrastructure funding or other funding sources are to be utilized.

#### **PROGRAM FLEXIBILITIES**

Program flexibilities provided under the Major Disaster Declaration apply to the OARR funding and remain in place until further notice. Funding flexibilities provided under the Major Disaster Declaration are not applicable to OARR funding.

#### **FUNDING FORMULA AND DISTRIBUTION**

Each AAA will receive a base allocation of \$300 thousand with the remaining funding allocated based on the Intrastate Funding Formula Washington factors. AAAs can opt to receive the OARR funding on an advance or reimbursement basis per Welfare and Institutions Code Section 9114.

**For the AAAs that have opted to receive advance funding, a check for one-sixth of the allocated funding will be issued upon execution of the contract. AAAs on an advance will not be required to submit Request for Funds.**

#### **BUDGET DISPLAYS**

The Budget Displays for the OARR allocations reflect funding available between July 1, 2022 through December 31, 2024. AAAs can utilize up to 10% (maximum) of the funding for Administration. OARR funding does not have any required match and cannot be used as match for any other program.

## EXPENDITURE REPORTS AND CLOSEOUT

**This funding is separate from all other Title III C/Area Plan funding and must be tracked separately.** Data and expenses for OARR funding should not be tracked in the NAPIS/SPR systems. Data reporting includes following categories:

- The service units (meals) and unduplicated client count for congregate (C-1) and home-delivered (C-2) meals provided to OCNP clients using OARR funds.
- The service units and estimated participant count for meals provided to intergenerational participants who are ineligible for OCNP meals.
- A brief one-page narrative describing successes and challenges of the program, as instructed by CDA.

Data is reported quarterly in format specified by CDA. Refer to **IF-2223, Exhibit E, Article III. Reporting Provisions** for further detail.

**AAAs are required to report expenditures monthly.** To report expenditures, AAAs must use the Local Finance Reporting System.

**These funds will end December 31, 2024 and the closeout must be completed by January 31, 2025.** Closeout information will be forthcoming.

## UPDATES ON ADDITIONAL FUNDING DISTRIBUTIONS: STATE AND FEDERAL

A new calendar of all current and upcoming funding has been shared with the network and is now posted on the [AAA - Contract Information](#) page of CDA's website. More tools will be posted on an ongoing basis and can be proposed to CDA's Division of Administrative Services.

## **INQUIRIES**

For questions regarding this PM, please email:  
CDANutritionandHealthPromotion@aging.ca.gov.

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Sutep Laohavanich, Deputy Director  
Division of Home and Community Living

cc:

Susan DeMarois, Director  
Mark Beckley, Deputy Director  
Thomas Cameron, Deputy Director, Division of Administrative Services  
Nicole Shimosaka, Chief Financial Officer  
California Association of Area Agencies on Aging (C4A)

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## PROGRAM MEMO

**To:** Area Agencies on Aging

**No:** 22-10

**DATE ISSUED:** June 10, 2022

**SUBJECT:** Older Adults Recovery and Resilience (OARR) Funding for the Dignity at Home Fall Prevention Program

**EXPIRES:** December 31, 2024

**PROGRAMS AFFECTED:** Dignity at Home Fall Prevention

**SUPERSEDES:** N/A

### PURPOSE

This Program Memo (PM) outlines the process, methodology, and funding intent for the Fiscal Year (FY) 2022-23 and FY 2023-24 Home and Community Based Services (HCBS), Older Adults' Recovery and Resilience (OARR), Dignity at Home Fall Prevention (DHFP) program, agreement numbers IF-2223-XX.

### FUNDING INTENT AND ALLOWABLE EXPENSES

This OARR funding has provided \$10 million to Area Agencies on Aging (AAAs) to provide fall-prevention modifications, education, outreach, and training to reduce falls in older adults and adults with disabilities.

Please note, CDA has removed the income eligibility requirement for this program.

### PROGRAM FLEXIBILITIES

Program flexibilities provided under the Major Disaster Declaration apply to the OARR funding and remain in place until further notice. Funding flexibilities provided under the Major Disaster Declaration are not applicable to OARR funding.

### FUNDING FORMULA AND DISTRIBUTION

Each AAA will receive a base allocation of \$150,000 with the remaining funding allocated based on Population-All Ages, Square Miles, and Geographic Isolation/Rural factors. AAAs can opt to receive the OARR funding on an advance or reimbursement basis per Welfare and Institutions Code Section 9114.

**For the AAAs that have opted to receive advance funding, a check for one-sixth of the allocated funding will be issued upon execution of the contract. AAAs on an advance will not be required to submit Request for Funds.**

**BUDGET DISPLAYS**

The Budget Displays for the OARR allocations reflect funding available between July 1, 2022 through December 31, 2024. AAAs can utilize up to 10% (maximum) of the funding for Administration. OARR funding does not have any required match and cannot be used as match for any other program.

**EXPENDITURE REPORTS AND CLOSEOUT**

Data reporting shall include brief narratives describing the progress, successes and challenges of the program development for OARR funded initiatives. This narrative should include a description of the Dignity at Home Fall Prevention program prior to the OARR investment spending and include a description of what has changed and developed due to the OARR investment spending. Supporting evidence, data and documentation is encouraged, which may be valuable for informing future public investment opportunities.

Data is reported quarterly in format specified by CDA. Refer to **IF-2223, Exhibit E, Article III. Reporting Provisions** for further detail.

**AAAs are required to report expenditures monthly.** To report expenditures, AAAs must use the Local Finance Reporting System. Monitoring of equipment expenditures and budget narratives will take place during the funding period. These funds are subject to future audits.

**These funds will end December 31, 2024 and the closeout must be completed by January 31, 2025.** Closeout information will be forthcoming.

**UPDATES ON ADDITIONAL FUNDING DISTRIBUTIONS: STATE AND FEDERAL**

A new calendar of all current and upcoming funding has been shared with the network and is now posted on the [AAA - Contract Information](#) page of CDA's website. More tools will be posted on an ongoing basis and can be proposed to CDA's Division of Administrative Services.

**INQUIRIES**

For questions regarding this PM, please email: [fallpreventionprogram@aging.ca.gov](mailto:fallpreventionprogram@aging.ca.gov).

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Sutep Laohavanich, Deputy Director  
Division of Home and Community Living  
California Department of Aging

cc:

Susan DeMarois, Director, California Department of Aging  
Mark Beckley, Deputy Director, California Department of Aging  
Thomas Cameron, Deputy Director, Division of Administrative Services, Department of Aging  
Nicole Shimosaka, Chief Financial Officer, California Department of Aging  
California Association of Area Agencies on Aging (C4A)

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## PROGRAM MEMO

**To:** Area Agencies on Aging

**No:** 22-11

**DATE ISSUED:** June 10, 2022

**SUBJECT:** Older Adults Recovery and Resilience (OARR) Funding for the Title III B Senior Legal Services Program

**EXPIRES:** December 31, 2024

**PROGRAMS AFFECTED:** Title III B Senior Legal Services Program

**SUPERSEDES:** N/A

### PURPOSE

This Program Memo (PM) outlines the process, methodology, and funding intent for the Fiscal Year (FY) 2022-23 and FY 2023-24 Home and Community Based Services (HCBS), Older Adults' Recovery and Resilience (OARR), Senior Legal Services (SLS) program, agreement numbers IF-2223-XX.

### FUNDING INTENT AND ALLOWABLE EXPENSES

The OARR funding has provided \$18.8 million to Area Agencies on Aging (AAAs) to expand existing legal services that assist older adults, and older adults with disabilities, with a variety of legal problems concerning housing, consumer fraud, elder abuse, Social Security, Supplemental Security Income (SSI), Medicare, Medi-Cal, age discrimination, pensions, nursing homes, protective services, conservatorships, and other matters.

### PROGRAM FLEXIBILITIES

Program flexibilities provided under the Major Disaster Declaration apply to the OARR funding and remain in place until further notice. Funding flexibilities provided under the Major Disaster Declaration are not applicable to OARR funding.

### FUNDING FORMULA AND DISTRIBUTION

Each AAA will receive a base allocation of \$100,000 with the remaining funding allocated based on the Population – Age 60+ and Low-Income factors. AAAs can opt to receive the OARR funding on an advance or reimbursement basis per Welfare and Institutions Code Section 9114.

**For the AAAs that have opted to receive advance funding, a check for one-sixth of the allocated funding will be issued upon execution of the contract. AAAs on an advance will not be required to submit Request for Funds.**

### **BUDGET DISPLAYS**

The Budget Displays for the OARR allocations reflect funding available between July 1, 2022 through December 31, 2024. AAAs can utilize up to 10% (maximum) of the funding for Administration. OARR funding does not have any required match and cannot be used as match for any other program.

### **EXPENDITURE REPORTS AND CLOSEOUT**

**This funding is separate from all other Title III B/Area Plan funding and must be tracked separately.** Data and expenses for OARR funding should not be tracked in the NAPIS/SPR systems. Data reporting includes following categories:

- Number of service units delivered
- Number of persons served
- Total expenditure amount
- Brief narratives describing the progress, successes and challenges of the program development for OARR funded initiatives.
  - This narrative should include a description of the Title III B program prior to the OARR investment spending and include a description of what has changed and developed due to the OARR investment spending. Supporting evidence, data and documentation is encouraged, which may be valuable for informing future public investment opportunities.

Data is reported quarterly in format specified by CDA. Refer to **IF-2223, Exhibit E, Article III. Reporting Provisions** for further detail.

**AAAs are required to report expenditures monthly.** To report expenditures, AAAs must use the Local Finance Reporting System. Monitoring of equipment expenditures and budget narratives will take place during the funding period. These funds are subject to future audits.

**These funds will end December 31, 2024 and the closeout must be completed by January 31, 2025.** Closeout information will be forthcoming.

### **UPDATES ON ADDITIONAL FUNDING DISTRIBUTIONS: STATE AND FEDERAL**

A new calendar of all current and upcoming funding has been shared with the network and is now posted on the [AAA - Contract Information](#) page of CDA's website. More tools will be posted on an ongoing basis and can be proposed to CDA's Division of Administrative Services.

### **INQUIRIES**

For questions regarding this PM, please email: [CDASupportiveServices@aging.ca.gov](mailto:CDASupportiveServices@aging.ca.gov).

*/s/*

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Sutep Laohavanich, Deputy Director  
Division of Home and Community Living  
California Department of Aging

cc:

Susan DeMarois, Director, California Department of Aging

Mark Beckley, Deputy Director, California Department of Aging

Thomas Cameron, Deputy Director, Division of Administrative Services, Department of Aging

Nicole Shimosaka, Chief Financial Officer, California Department of Aging

California Association of Area Agencies on Aging (C4A)

**CALIFORNIA DEPARTMENT OF AGING**  
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## PROGRAM MEMO

**To:** OAA Title III E Family Caregiver Support Program Subgrantees

**No:** 22-12

**DATE ISSUED:** June 12, 2022

**SUBJECT:** Older Adults Recovery and Resilience (OARR) Funding for the Title III E Family Caregiver Support Program (FCSP)

**EXPIRES:** December 31, 2024

**PROGRAMS AFFECTED:** Title III E Family Caregiver Support Program (FCSP)

**SUPERSEDES:** N/A

### PURPOSE

This Program Memo (PM) outlines the process, methodology, and funding intent for the Fiscal Year (FY) 2022-23 and FY 2023-24 Home and Community Based Services (HCBS), Older Adults' Recovery and Resilience (OARR), Family Caregiver Support Program (FCSP), agreement numbers IF-2223-XX.

The FCSP provides a local, multifaceted system of support services to unpaid family caregivers of older adults, and grandparents, or other older relatives, with primary caregiving responsibilities for a child through access assistance, information services, respite care, supplemental services, and supportive services (i.e., assessments, counseling, support groups and training).

The purpose of the OARR funding is to strengthen older adults and caregivers' recovery and resilience from the severe isolation and health impacts from staying at home during the COVID-19 pandemic. OARR funding will serve as an additional resource to help aid the transition of older Californians back into their local communities, which will reduce isolation, loneliness, and mitigate other health concerns.

### FUNDING INTENT AND ALLOWABLE EXPENSES

This OARR funding has provided \$2.8 million to the Title III E FCSP. Funding intent is for any III E FCSP service category and allowable program activity.

In October 2021, CDA conducted a survey of the 33 AAAs to ascertain unmet Title III E needs in their respective PSAs. The recommendations put forth here are based on the responses from the AAAs as well as from our internal Title III E FCSP discovery research. CDA's recommendations for implementing OARR funding are as follows:

Category	Examples
<b>Caregiver Respite</b>	Increase Respite Services to informal/unpaid family caregivers.
<b>Caregiver Information Services</b>	<ul style="list-style-type: none"> <li>- Increase caregiver outreach efforts via Title III E Information Services.</li> <li>- Increase Family Caregiver Support Program awareness and increase caregiver engagement.</li> </ul>
<b>Caregiver Support Services</b>	<ul style="list-style-type: none"> <li>- Increase Caregiver Support Services by offering additional training options (such as virtual webinars, multilingual support groups, and multilingual training resources) with specialized modules on topics such as Alzheimer's, Parkinson's, Stroke, Caregiving in COVID-19 era etc.</li> </ul>

#### **PROGRAM FLEXIBILITIES**

Program flexibilities provided under the Major Disaster Declaration apply to the OARR funding and remain in place until further notice. Funding flexibilities provided under the Major Disaster Declaration are not applicable to OARR funding.

#### **FUNDING FORMULA AND DISTRIBUTION**

Each AAA will receive a base allocation of \$50,000 with the remaining funding allocated based on Low Income, Minority, and Geographic Isolation/Rural factors. AAAs can opt to receive the OARR funding on an advance or reimbursement basis per Welfare and Institutions Code Section 9114.

**For the AAAs that have opted to receive advance funding, a check for one-sixth of the allocated funding will be issued upon execution of the contract. AAAs on an advance will not be required to submit Request for Funds.**

#### **BUDGET DISPLAYS**

The Budget Displays for the OARR allocations reflect funding available between July 1, 2022 through December 31, 2024. AAAs can utilize up to 10% (maximum) of the funding for Administration. OARR funding does not have any required match and cannot be used as match for any other program.

**EXPENDITURE REPORTS AND CLOSEOUT**

**This funding is separate from all other Title III E/Area Plan funding and must be tracked separately.** Data and expenses for OARR funding should not be tracked in the NAPIS/SPR systems. Data reporting includes following categories:

- Number of service units delivered
- Number of persons served
- Total expenditure amount
- Brief narratives describing the progress, successes and challenges of the program development for OARR funding initiatives.
  - This narrative should include a description of the Title III E FCSP program prior to the OARR investment spending and include a description of what has changed and developed due to the OARR investment spending. Supporting evidence, data and documentation is encouraged, which may be valuable for informing future public investment opportunities.

Data is reported quarterly in format specified by CDA. Refer to **IF-2223, Exhibit E, Article III. Reporting Provisions** for further detail.

**AAAs are required to report expenditures monthly.** To report expenditures, AAAs must use the Local Finance Reporting System. Monitoring of equipment expenditures and budget narratives will take place during the funding period. These funds are subject to future audits.

**These funds will end December 31, 2024 and the closeout must be completed by January 31, 2025.** Closeout information will be forthcoming.

**UPDATES ON ADDITIONAL FUNDING DISTRIBUTIONS: STATE AND FEDERAL**

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**INQUIRIES**

For questions regarding this PM, please email: [CDAFamilyCaregiver@aging.ca.gov](mailto:CDAFamilyCaregiver@aging.ca.gov).

\_\_\_\_\_/s/\_\_\_\_\_

Sutep Laohavanich  
Deputy Director  
Division of Home and Community Living

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State of California California Department of Aging		Award #: <b>IF-2223-XX</b> Date: <b>7/1/2022</b> Page 1 of 1			
<b>Exhibit B, Attachment 1 - Budget Display</b>					
<b>DIGNITY AT HOME FALL PREVENTION</b> Budget Display					
<b>Statewide Summary</b>					
<b>July 1, 2022 through December 31, 2024</b>					
Program	Fund Type	Project Number	Baseline	Adjustment	TOTAL
Dignity at Home Fall Prevention	Older Adults' Recovery and Resilience Fund - Home and Community Based Services	OFPL	\$9,400,000	\$0	\$9,400,000
<b>Notes</b> AAAs can utilize up to 10% of the funding for Administration. Expenditures must be reported in closeout by January 31, 2025.					

State of California California Department of Aging		Award #: <b>IF-2223-32</b> Date: <b>7/1/2022</b> Page 1 of 1			
<b>Exhibit B, Attachment 1 - Budget Display</b>					
<b>DIGNITY AT HOME FALL PREVENTION</b> Budget Display					
<b>County of Monterey</b>					
<b>July 1, 2022 through December 31, 2024</b>					
Program	Fund Type	Project Number	Baseline	Adjustment	TOTAL
Dignity at Home Fall Prevention	Older Adults' Recovery and Resilience Fund - Home and Community Based Services	OFPL	\$208,800	\$0	\$208,800
<b>Notes</b> AAAs can utilize up to 10% of the funding for Administration. Expenditures must be reported in closeout by January 31, 2025.					

State of California  
 California Department of Aging

Award #: **IF-2223-XX**  
 Date: **7/1/2022**  
 Page 1 of 1

**Exhibit B, Attachment 2 - Budget Display**

**OLDER CALIFORNIANS NUTRITION PROGRAM AND INTERGENERATIONAL ACTIVITIES**  
**Budget Display**  
**Statewide Summary**

**July 1, 2022 through December 31, 2024**

Program	Fund Type	Project Number	Baseline	Adjustment	TOTAL
Intergenerational Activities	Older Adults' Recovery and Resilience Fund - Home and Community Based Services	OC1L	\$4,761,003	\$0	\$4,761,003
Intergenerational Activities	Older Adults' Recovery and Resilience Fund - Home and Community Based Services	OC2L	\$15,938,997	\$0	\$15,938,997
<b>Total</b>			<b>\$20,700,000</b>	<b>\$0</b>	<b>\$20,700,000</b>

**Notes**

AAAs can utilize up to 10% of the funding for Administration.  
 Expenditures must be reported in closeout by January 31, 2025.

State of California California Department of Aging			Award #: <b>IF-2223-32</b> Date: <b>7/1/2022</b> Page 1 of 1		
<b>Exhibit B, Attachment 2 - Budget Display</b>					
<b>OLDER CALIFORNIANS NUTRITION PROGRAM AND INTERGENERATIONAL ACTIVITIES</b>					
<b>Budget Display</b>					
<b>County of Monterey</b>					
<b>July 1, 2022 through December 31, 2024</b>					
Program	Fund Type	Project Number	Baseline	Adjustment	TOTAL
Intergenerational Activities	Older Adults' Recovery and Resilience Fund - Home and Community Based Services	OC1L	\$99,347	\$0	\$99,347
Intergenerational Activities	Older Adults' Recovery and Resilience Fund - Home and Community Based Services	OC2L	\$332,597	\$0	\$332,597
Total			\$431,944	\$0	\$431,944
<b>Notes</b>					
AAAs can utilize up to 10% (maximum) of the funding for Administration. Expenditures must be reported in closeout by January 31, 2025.					

State of California

California Department of Aging

Award #: **IF-2223-XX**Date: **7/1/2022**

Page 1 of 1

**Exhibit B, Attachment 3 - Budget Display**

**FAMILY CAREGIVING SUPPORT**  
Budget Display

**Statewide Summary**

**July 1, 2022 through December 31, 2024**

Program	Fund Type	Project Number	Baseline	Adjustment	TOTAL
Family Caregiving Support	Older Adults' Recovery and Resilience Fund - Home and Community Based Services	OFCL	\$2,800,000	\$0	\$2,800,000

**Notes**

AAAs can utilize up to 10% of the funding for Administration.  
Expenditures must be reported in closeout by January 31, 2025.

State of California California Department of Aging		Award #: <b>IF-2223-32</b> Date: <b>7/1/2022</b> Page 1 of 1			
<b>Exhibit B, Attachment 3 - Budget Display</b>					
<b>FAMILY CAREGIVING SUPPORT</b> Budget Display					
<b>County of Monterey</b>					
<b>July 1, 2022 through December 31, 2024</b>					
Program	Fund Type	Project Number	Baseline	Adjustment	TOTAL
Family Caregiving Support	Older Adults' Recovery and Resilience Fund - Home and Community Based Services	OFCL	\$63,561	\$0	\$63,561
<b>Notes</b> AAAs can utilize up to 10% of the funding for Administration. Expenditures must be reported in closeout by January 31, 2025.					

State of California California Department of Aging		Award #: <b>IF-2223-XX</b> Date: <b>7/1/2022</b> Page 1 of 1			
<b>Exhibit B, Attachment 4 - Budget Display</b>					
<b>SENIOR LEGAL SERVICES</b> Budget Display					
<b>Statewide Summary</b>					
<b>July 1, 2022 through December 31, 2024</b>					
Program	Fund Type	Project Number	Baseline	Adjustment	TOTAL
Senior Legal Services	Older Adults' Recovery and Resilience Fund - Home and Community Based Services	OSLL	\$18,800,000	\$0	\$18,800,000
<b>Notes</b> AAAs can utilize up to 10% of the funding for Administration. Expenditures must be reported in closeout by January 31, 2025.					

State of California California Department of Aging		Award #: <b>IF-2223-32</b> Date: <b>7/1/2022</b> Page 1 of 1			
<b>Exhibit B, Attachment 4 - Budget Display</b>					
<b>SENIOR LEGAL SERVICES</b> Budget Display					
<b>County of Monterey</b>					
<b>July 1, 2022 through December 31, 2024</b>					
Program	Fund Type	Project Number	Baseline	Adjustment	TOTAL
Senior Legal Services	Older Adults' Recovery and Resilience Fund - Home and Community Based Services	OSLL	\$269,252	\$0	\$269,252
<b>Notes</b> AAAs can utilize up to 10% of the funding for Administration. Expenditures must be reported in closeout by January 31, 2025.					

**IF-2223 Contract  
Exhibit A, Attachment 1 – General Information**

**EXHIBIT A, Attachment 1  
General Information**

1. The Contractor agrees to provide to the California Department of Aging (CDA) the services described herein Agreement number IF-2223-32.
2. The services shall be performed in Planning and Service Area(s): 32.
3. The services shall be provided as needed.
4. The project representatives during the term of this agreement will be:

State Agency: California Department of Aging	Contractor: County of Monterey
Name: Andrew Sachs, Chief Local Finance Officer Fiscal Management Branch	Name: Diana Jimenez, Director
Phone: (916) 931-1936	Phone: (831) 755-8493
Email: finance@aging.ca.gov	Email: jimenezdm@co.monterey.ca.us

Direct only contract inquiries to:

State Agency: California Department of Aging	Contractor: County of Monterey
Section/Unit: Business Services and Contracts	Section/Unit:
Attention: Sharan Singh	Attention: Marleen Bush
Address: 2880 Gateway Oaks Dr, Ste 200 Sacramento, CA 95834	Address: 730 La Guardia Salinas, CA, 93906
Phone: (916) 898-9184	Phone: (831) 796-3342
Email: Sharan.Singh@aging.ca.gov	Email: BushML@co.monterey.ca.us

The parties may change their representatives upon providing ten days written notice to the other party. Said changes do not require an amendment to this agreement.

California Department of Aging

IF-2223 Contract  
Scope of Work – Exhibit A

ARTICLE I. PROGRAM DEFINITIONS

A. Definitions Specific to Dignity at Home Fall Prevention Program

1. **Assembly Bill No. 74 (A.B. 74)** of the State of California Budget Act of 2019, Chapter 23, Appropriation 4170-101-0001, Schedule 2, Provision 4, is the enabling legislation for the Dignity at Home Fall Prevention Program.
2. **Dignity at Home Fall Prevention Program** means a program that provides grants to Area Agencies on Aging (AAAs) to provide fall and injury prevention information, education, referral services, equipment, assessments, services, materials and labor costs to the eligible service population as stipulated in Section (3) below.
3. **Eligible Service Population** means individuals who are sixty (60) years of age or have disabilities and whose adjusted household income does not exceed 80 percent of the area median income and who are at risk of falling or institutionalization. [A.B.74].
4. **Program Requirements** means A.B. 74 program requirements found in the Budget Act of 2019 and California Department of Aging Program Memoranda.
5. **Purchased Fall Prevention Services** means a variety of services including: Injury prevention information, education, referral services, injury prevention equipment, and injury prevention assessments, services, materials and labor costs.

B. Definitions Specific to the Older Californians Nutrition Program (OCNP) and Intergenerational Activities

1. **Adult** means an individual between eighteen (18) years and sixty (60) years old.
2. **Child** means an individual under eighteen (18) years old.
3. **Eligible Population** means older individuals sixty (60) years of age or older, with emphasis on those in greatest economic and social need with particular attention to low-income minority older individuals, older individuals with Limited English Proficiency (LEP), and older individuals residing in rural areas. [Older Americans Act (OAA) § 305 (a)(2)(E); 22 CCR 7125, 7127, 7130, 7135]
  - a. Individuals eligible to receive a meal at a congregate nutrition site shall include the following:

California Department of Aging

IF-2223 Contract  
Scope of Work – Exhibit A

ARTICLE I. PROGRAM DEFINITIONS (continued)

- i. Any older individual.
    - ii. The spouse of any older individual.
    - iii. A person with a disability, under age sixty (60) who resides in housing facilities occupied primarily by older individuals at which congregate nutrition services are provided.
    - iv. A disabled individual who resides at home with and accompanies an older individual who participates in the program.
    - v. A volunteer under age sixty (60), if doing so will not deprive an older individual sixty (60) or older of a meal. [CCR 7636.9(b)(3); CCR 7638.7(b); and OAA 339(H)]
  - b. Individuals eligible to receive a home-delivered meal are individuals who are:
    - i. Frail as defined by 22 CCR 7119, homebound by reason of illness or disability, or otherwise isolated. (These individuals shall be given priority in the delivery of services.) [45 Code of Federal Regulations (CFR) 1321.69(a)].
    - ii. A spouse of a person defined in 22 CCR 7638.7(c)(2), regardless of age or condition, if an assessment concludes that is in the best interest of the homebound older individual.
    - iii. An individual with a disability who resides at home with older individuals, if an assessment concludes that it is in the best interest of the homebound older individual who participates in the program.
4. **Intergenerational Activities** means efforts related to the planning, development, and implementation of activities and programs that bring participants of the Older Californians Nutrition Program (OCNP) together with children or adults. Mutually beneficial intergenerational activities promote greater understanding and respect between generations and also strengthen older adult’s recovery and resilience from the isolation and health impacts from the COVID-19 pandemic.
5. **Older Californians Nutrition Program** means the Title III C-1 Congregate Nutrition Services and Title III C-2 Home-Delivered Nutrition Services.

## California Department of Aging

IF-2223 Contract  
Scope of Work – Exhibit A

## ARTICLE I. PROGRAM DEFINITIONS (continued)

6. **Title III C-1 (Congregate Nutrition Services)** means nutrition services for older individuals in a congregate setting. Services include meals, nutrition and health promotion education, health promotion programs, nutrition risk screening, and opportunities for socialization. Each meal shall provide one-third (1/3) of the Dietary Reference Intakes (DRI) and comply with the most current Dietary Guidelines for Americans (DGA). To be an eligible Title III C-1 congregate nutrition site, the site must meet all of the following criteria: [22 CCR 7638.7(a)]
    - a. Be open to the public. [45 CFR 1321.53(b)(3)]
    - b. Not means test. [OAA § 315(b)(3)]
    - c. Provide participants the opportunity to make voluntary contributions and not deny service for not contributing to the cost of the service. [OAA § 315(b)(4); 22 CCR 7638.9]
    - d. Not receive funds from another source for the cost of the same meal, equipment, or services. [2 CFR 200.403(f); 45 CFR 75.403(f)]
  7. **Title III C-2 (Home-Delivered Nutrition Services)** means nutrition services provided to homebound older individuals including meals, nutrition and health promotion education, and nutrition risk screening. Each meal shall provide one-third (1/3) of the DRI and comply with the most current DGA. [22 CCR 7135, 22 CCR 7638.7(c)]
- C. Definitions Specific to Family Caregiver Support Program (FCSP)
1. **Caregiver Assessment** means a defined process of gathering information to identify the specific needs, barriers to carrying out caregiving responsibilities, and existing supports of a family caregiver or older relative caregiver, as identified by the caregiver involved, to appropriately target recommendations for support services described in section 373(b). Such assessment shall be administered through direct contact with the caregiver, which may include contact through a home visit, the Internet, telephone, or teleconference, or in-person interaction. [OAA §372(a)(1)]
  2. **Child** means an individual who is not more than eighteen (18) years of age or who is an individual with a disability. [OAA § 372(a)(1)]
  3. **Eligible Service Population for FCSP** means an adult family member, or another individual, who is an informal provider of in-home and community care to an older individual or to an individual of any age with Alzheimer's

California Department of Aging

IF-2223 Contract  
Scope of Work – Exhibit A

ARTICLE I. PROGRAM DEFINITIONS (continued)

disease or a related disorder with neurological and organic brain dysfunction. [OAA § 302(3)]

4. **Older relative caregiver** means a caregiver who is-
  - a. Is age 55 or older; and
  - b. Lives with, is the informal provider of in-home and community care to, and is the primary caregiver for, a child or an individual with a disability;
  - c. In the case of a caregiver for a child--
    - i. Is the grandparent, step grandparent, or other relative (other than the parent) by blood, marriage, or adoption, of the child;
    - ii. Is the primary caregiver of the child because the biological or adoptive parents are unable or unwilling to serve as the primary caregivers of the child; and
    - iii. Has a legal relationship to the child, such as legal custody, adoption, or guardianship, or is raising the child informally
  - d. In the case of a caregiver for an individual with a disability, is the parent, grandparent, or other relative by blood, marriage, or adoption, of the individual with a disability. [OAA § 372(a)(3)]
5. **Individual with a disability-** The term “individual with a disability” means an individual with a disability, as defined in Section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102), who is not less than age 18 and not more than age 59. [OAA § 372(a)(2)]
6. **Priority Services for FCSP** means services provided to:
  - a. Caregivers who are older individuals with greatest social need, and older individuals with greatest economic need (with particular attention to low-income older individuals)
  - b. Older relative caregivers of children with severe disabilities, or individuals with disabilities who have severe disabilities. [OAA§373(c)(2)(A-B)]

## California Department of Aging

IF-2223 Contract  
Scope of Work – Exhibit A

## ARTICLE I. PROGRAM DEFINITIONS (continued)

- c. Family caregivers who provide care for individuals with Alzheimer's disease and related disorders with neurological and organic brain dysfunction. [OAA § 372(b)]
7. **Program Requirements** means Title III program requirements found in the OAA [42 USC 3001-3058]; [45 CFR 1321]; the California Code of Regulations [22 CCR 7000 et seq.]; and CDA Program Memoranda, and California Retail Food Code (CRFC).

D. Definitions Specific to Legal Assistance Services

1. **Legal Assistance** (a) means legal advice and representation provided by an attorney to older individuals with economic or social needs; and (b) includes – (i) to the extent feasible, counseling or other appropriate assistance by a paralegal or law student under the direct supervision of an attorney; and (ii) counseling or representation by a nonlawyer where permitted by law. See 42 U.S.C. § 3002(33). Direct legal assistance may be provided face-to-face, by telephone, or by electronic communication and includes, but is not limited to, advice and consultation, litigation, administrative representation, brief services, preparing legal documents and pro se assistance.
2. **Eligible Service Population** means individuals sixty (60) years of age or older, with emphasis on those in greatest economic and social need with particular attention to low-income minority older individuals, older individuals with Limited English Proficiency (LEP), and older individuals residing in rural areas. [OAA § 305 (a)(2)(E); 22 CCR 7119, 7125, 7127, 7130, 7135 and 7638.7]
3. **Target Populations** specific to Legal Assistance Services are older individuals with the greatest economic and social need, with particular attention to low-income individuals, low-income minority individuals, older individuals residing in rural areas, with limited English proficiency, with severe disabilities (physical and/or mental), isolated because of sexual orientation or gender identity, at risk for institutional placement, or other isolated older individuals. [45 CFR 1321.71(c)(5)]
4. **Priority Legal Issues-** Area agencies on aging shall give priority to legal assistance related to income, health care, long-term care, nutrition, housing, utilities, protective services, defense of guardianship, abuse, neglect, and age discrimination. [ OAA 307.11(E)]

**California Department of Aging**

**IF-2223 Contract  
Scope of Work – Exhibit A**

**ARTICLE II. SCOPE OF WORK – Dignity at Home Fall Prevention Program**

- A. The Contractor shall provide the following programmatic services to eligible individuals:
1. Information and education about injury prevention to older adults and persons with disabilities.
  2. Referrals and provision of fall and injury prevention resources in eligible individuals' local communities.
  3. In-home environmental assessments.
  4. Instruction on behavioral, physical and environmental aspects of injury prevention.
- B. The Contractor shall purchase injury prevention equipment, services, materials and labor costs for homeowners and renters who meet eligibility requirements established pursuant to Exhibit A, Article II, Section (E) of this Agreement. Equipment, materials and services covered under the program shall include, but not be limited to the following:
1. Grab bars, nonskid surfaces, toilet seat risers, shower seats, and transfer benches.
  2. Indoor and outdoor handrails and threshold modifications (e.g., ramps).
  3. Reconfiguration of furniture and other elements of the physical home environment to reduce hazards.
  4. Improved lighting including light fixtures, lamps and night lights.
  5. Medication management items (e.g., pill organizers).
  6. Licensed electrician services (i.e., electrical outlets installed by a licensed electrician when necessary to properly place wires that present a trip hazard).
  7. Unsafe flooring repair (e.g., fixing broken/uneven steps or replacing worn carpet/tread).
- C. To carry out the responsibilities of this Agreement, Contractor shall subcontract with local nonprofit or for-profit agencies that are experienced in injury prevention and home safety services, including, but not limited to, medical providers and hospital systems, independent living centers, and home modification providers. Contractors subcontracting with a for-profit entity are subject to the provisions in Exhibit D, Article V. Subcontracts, Section (I).

**California Department of Aging**

**IF-2223 Contract  
Scope of Work – Exhibit A**

**ARTICLE II. SCOPE OF WORK – Dignity at Home Fall Prevention Program (continued)**

- D. Participant eligibility for the Dignity at Home Fall Prevention Program shall be limited to those who meet all the following requirements:
  - 1. Individuals with disabilities or persons 60 years of age and older.
  - 2. An adjusted household income that does not exceed 80 percent of the area median income.
  - 3. The older adult or person with a disability has fallen, is at risk for falling, or is at risk for institutionalization.
  
- E. The Contractor shall perform the following tasks to ensure the integrity of the Dignity at Home Fall Prevention Program:
  - 1. Submit a one-page description of your fall prevention program for any newly participating Contractors or an updated one-page description of your fall prevention program for all continuing Contractors.
  - 2. Conduct an intake with each potential program participant to determine eligibility for purchased fall prevention services.
  - 3. Collect and maintain program data for reporting (e.g., number of completed assessments, number of clients served, fall prevention equipment purchased, number of in-home assessments performed, quality assurance data and other forms of programmatic data.)
  - 4. Administer program evaluation and quality assurance tools, which may include but are not limited to, client satisfaction surveys and questionnaires.
  - 5. Conduct outreach to ensure the maximum number of eligible individuals participate in the program.
  - 6. Generate monthly expenditure reports and quarterly programmatic reports as required by the State.

**ARTICLE III. SCOPE OF WORK – OCNP and Intergenerational Activities**

- A. The Contractor shall provide the following:
  - 1. Provide meals in accordance with the OAA and California Code of Regulations (CCR).

**California Department of Aging**

**IF-2223 Contract  
Scope of Work – Exhibit A**

**ARTICLE III. SCOPE OF WORK – OCNP and Intergenerational Activities (continued)**

2. This Contract shall promote and maintain high standards of food safety and sanitation as required by the California Retail Food Code.
3. Conduct services and activities that support the goal to provide more meals to more older adults and/or the goal to pursue and conduct intergenerational activities for the purpose of connecting older adults with children/adults in conjunction with the OCNP. Examples of intergenerational activities include, but are not limited, the following:
  - a. Development or maintenance of partnerships and collaborative efforts with programs serving children to foster intergenerational connections between older adults and children;
  - b. Planning, development, or implementation of shared sites with programs serving meals to children to promote intergenerational meal programs;
  - c. Planning, development, or implementation of intergenerational cooking demonstrations or classes;
  - d. Planning, development, or implementation of shared garden site and intergenerational gardening activities;
  - e. Virtual or in-person intergenerational social activities related to the C-1 or C-2 program;
  - f. Virtual or in-person adult lunch companion for C-2 participants.
4. OARR funding may be used to provide meals for participants in activities that support intergenerational connections, however, OARR funding may not supplant funds that would otherwise be available for other nutrition programs.

**ARTICLE IV. SCOPE OF WORK – Family Caregiver Support Program (FCSP) Activities**

- A. The Contractor shall provide the following FCSP service categories to eligible individuals [OAA 373(b)]:
  1. Information Services
  2. Access Assistance
  3. Support Services
  4. Respite Care

**California Department of Aging**

**IF-2223 Contract  
Scope of Work – Exhibit A**

**ARTICLE IV. SCOPE OF WORK – Family Caregiver Support Program (FCSP) Activities  
(continued)**

5. Supplemental Services

- B. The Contractor shall ensure FCSP services are compliant with all OAA and CCR requirements.

**ARTICLE V. SCOPE OF WORK – Legal Assistance Services**

A. The Contractor shall:

1. Make legal assistance available and accessible, at no cost, throughout the PSA to the targeted groups identified in the Area Plan.
2. AAAs shall give priority to legal assistance related to the following areas:
  - a. Income.
  - b. Health care.
  - c. Long-term care.
  - d. Nutrition.
  - e. Housing.
  - f. Utilities.
  - g. Protective services.
  - h. Defense of guardianship or conservatorship.
  - i. Abuse.
  - j. Neglect.
  - k. Age discrimination.
3. Primary focus shall be the direct representation of older individuals in legal matters.
4. Include in its bid proposal the specific techniques to be used to make potential clients aware of the legal assistance that will be provided.
5. All attorneys providing legal assistance must be licensed and in good standing to practice law in the State of California and shall carry malpractice insurance. Legal assistance may be provided by law students or paralegals only under the direct and regular supervision of a licensed attorney.
6. Funds received shall be used to maintain and/or increase the level of legal assistance furnished to older individuals. Funds shall not be used to supplant funds from other federal or non-federal sources.

California Department of Aging

IF-2223 Contract

Exhibit B – Budget Detail, Payment Provisions, and Closeout

ARTICLE I. FUNDS

A. Expenditure of Funds

1. The Contractor shall expend all funds received hereunder in accordance with this Agreement, no later than December 31, 2024.
2. Any reimbursement for authorized travel and per diem shall be at rates not to exceed those amounts paid by the State in accordance with the California Department of Human Resources' (CalHR) rules and regulations.

In State: Mileage/Per Diem (meals and incidentals)/Lodging  
<http://www.calhr.ca.gov/employees/pages/travel-reimbursements.aspx>

This is not to be construed as limiting the Contractor from paying any differences in costs, from funds other than those provided by CDA, between the CalHR rates and any rates the Contractor is obligated to pay under other contractual agreements. No travel outside the State of California shall be reimbursed. [2 CCR 599.615 et seq.]

The Contractor agrees to include these requirements in all contracts it enters into with subcontractors to provide services pursuant to this Agreement.

3. CDA reserves the right to refuse payment to the Contractor or disallow costs for any expenditure, as determined by CDA to be: out of compliance with this Agreement, unrelated or inappropriate to contract activities, when adequate supporting documentation is not presented, or where prior approval was required but was either not requested or not granted.

B. Accountability for Funds

1. The Contractor shall maintain accounting records for funds received under the terms and conditions of this Agreement. These records shall be separate from those for any other funds administered by the Contractor, and shall be maintained in accordance with Generally Accepted Accounting Principles and Procedures.
2. Financial Management Systems: The Contractor shall meet the following standards for its financial management systems:
  - a. Financial Reporting.
  - b. Accounting Records.
  - c. Complete Disclosure.

**California Department of Aging**

**IF-2223 Contract**

**Exhibit B – Budget Detail, Payment Provisions, and Closeout**

ARTICLE I. FUNDS (continued)

- d. Source Documentation.
- e. Internal Control.
- f. Budgetary Control.
- g. Cash Management (written procedures).
- h. Allowable Costs (written procedures).

C. Unexpended Funds

Upon termination, cancellation, or expiration of this Agreement, or dissolution of the entity, the Contractor shall return to the State immediately upon written demand, any funds provided under this Agreement, which are not payable for goods or services delivered prior to the termination, cancellation, or expiration of this Agreement, or the dissolution of the entity.

D. Funding Contingencies

1. It is understood between the parties that this Agreement may have been written before ascertaining the availability or appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays that would occur if this Agreement were executed after that determination was made.
2. This Agreement is valid and enforceable only if sufficient funds are made available to the State through the Budget Acts of the appropriate fiscal years for purposes of this program(s). In addition, this Agreement is subject to any additional restrictions, limitations, or conditions enacted by the Congress or the Legislature that may affect the provisions, terms, or funding of this Agreement in any manner.

3. Limitation of State Liability

Payment for performance by the Contractor shall be dependent upon the availability of future appropriations by the Legislature or Congress for the purposes of this Contract and approval of an itemized Budget. No legal liability on the part of the State for any payment may arise under this Contract until funds are made available; the itemized Budget is received and approved by the State and the Contractor has received an executed contract.

California Department of Aging

IF-2223 Contract

Exhibit B – Budget Detail, Payment Provisions, and Closeout

ARTICLE I. FUNDS (continued)

4. Funding Reduction(s)

- a. If funding for any State fiscal year is reduced or deleted by the Department of Finance, Legislature, or Congress for the purposes of this program, the State shall have the option to either:
  - i. Terminate the Contract pursuant to Exhibit D, Article XII., A of this Agreement, or
  - ii. Offer a contract amendment to the Contractor to reflect the reduced funding for this Contract.
- b. In the event the State elects to offer an amendment, it shall be mutually understood by both parties that:
  - i. The State reserves the right to determine which contracts, if any, under this program shall be reduced.
  - ii. Some contracts may be reduced by a greater amount than others, and
  - iii. The State shall determine at its sole discretion the amount that any or all of the contracts shall be reduced for the fiscal year.

E. Interest Earned

1. Interest earned on federal advance payments deposited in interest-bearing accounts must be remitted annually to CDA. Interest amounts up to \$500 per year may be retained by the Contractor and subcontractors for administrative expenses. [45 CFR 75.305 (b)(9)]
2. Interest earned on advances of federal funds shall be identified as non-match cash.
3. The Contractor must maintain advance payments of federal awards in interest-bearing accounts, unless the following apply: [45 CFR 75.305 (b)(8)]
  - a. The Contractor receives less than \$120,000 in federal awards per year.

**California Department of Aging**

**IF-2223 Contract**

**Exhibit B – Budget Detail, Payment Provisions, and Closeout**

ARTICLE I. FUNDS (continued)

- b. The best reasonably available interest-bearing account would not be expected to earn interest in excess of \$500 per year on federal cash balances.
- c. The depository would require an average or minimum balance so high that it would not be feasible within the expected federal and non-federal cash resources.
- d. A foreign government or banking system prohibits or precludes interest bearing accounts.

ARTICLE II. BUDGET AND BUDGET REVISION

- A. The Contractor shall be compensated for expenses only as itemized in the approved Budget and shall not be entitled to payment for these expenses until this Agreement is approved and executed by CDA. The approved Budget is hereby incorporated by reference into this Agreement as part of Exhibit B.
- B. The Budget must set forth in detail the items, unit rates and extended total amounts for each line item. The Contractor's Budget shall include, at a minimum, the following items under this Agreement:
  - 1. Personnel Costs. For each personnel classification, monthly, weekly, or hourly rates, as appropriate together with the percentage of time to be charged to this Agreement and personnel classifications.
  - 2. Fringe Benefits
  - 3. Consultant costs – subcontract and consultant cost detail
  - 4. Indirect costs - costs incurred for a common or joint purpose benefiting more than one cost objective and not readily assignable to the cost
  - 5. Rent
  - 6. Supplies
  - 7. Equipment/Property - detailed descriptions and total costs.
  - 8. In State Travel - mileage reimbursement rate, lodging, per diem and other costs.
  - 9. Out of State Travel – any travel outside the State of California including mileage reimbursement rate, lodging, per diem and other costs.

California Department of Aging

IF-2223 Contract

Exhibit B – Budget Detail, Payment Provisions, and Closeout

ARTICLE II. BUDGET AND BUDGET REVISION (continued)

10. Training.
11. Other Costs - a detailed list of other operating expenses.
12. Specific to Fall Prevention: Purchased Fall Prevention Services –injury prevention information, education, referral services, injury prevention equipment, and injury prevention assessments, services, materials, and labor costs, as stated in Exhibit A, Article II of this Agreement.
13. Specific to Family Caregiver Support: Purchased family caregiver support services as allowable under the Older Americans Act.
14. Specific to Senior Legal Services:
  - a. Purchased legal services as allowable under the Older Americans Act- legal advice, counseling and/or representation by an attorney or other person acting under the supervision of an attorney.
  - b. Hiring incentive for Legal Services personnel.

ARTICLE III. PROGRAM SPECIFIC FUNDS

- A. Program Income  
No Program Income is required under the terms and conditions of this agreement.
- B. One-Time-Only (OTO) Funds  
No One-Time-Only funding is associated with the terms and conditions of this agreement.
- C. Matching Contributions  
No match is required under the terms and conditions of this agreement.
- D. Administration  
Contractor Administration shall be no more than ten percent (10%) of the total program allocation.
- E. Equipment  
Equipment/Property with per unit cost over \$5,000 or any computing devices, regardless of cost requires justification from the Contractor and approval from CDA. To request approval for specific equipment items, requests with

## California Department of Aging

IF-2223 Contract

## Exhibit B – Budget Detail, Payment Provisions, and Closeout

## ARTICLE III. PROGRAM SPECIFIC FUNDS (continued)

justifications shall be sent to [cdaequipment@aging.ca.gov](mailto:cdaequipment@aging.ca.gov). Such items must also be included in Contractor's approved budgets. Please note an approved budget is not approval for equipment purchase.

F. Indirect Costs

1. The maximum reimbursement amount allowable for indirect costs is ten percent (10%) of the Contractor's and/or Subcontractor's Modified Total Direct Costs (MTDC), excluding in-kind contributions and nonexpendable equipment, unless there is an accepted negotiated rate. [45 CFR 75.414 (c) (1) and (f)]. Indirect costs shall not exceed 10% of the Contractor's MTDC per funding category.
2. Contractors requesting reimbursement for indirect costs shall retain on file an approved indirect cost rate or an allocation plan documenting the methodology used to determine the indirect costs.
3. For major Institutes of Higher Education and major nonprofit organizations, indirect costs must be classified within two broad categories: "Facilities" and "Administration." "Facilities" is defined as depreciation on buildings, equipment and capital improvement, interest on debt associated with certain buildings, equipment and capital improvements, and operations and maintenance expenses. "Administration" is defined as general administration and general expenses such as the director's office, accounting, personnel and all other types of expenditures not listed specifically under one of the subcategories of "Facilities" (including cross allocations from other pools, where applicable). [45 CFR 75.414(a)]

## ARTICLE IV. PROGRAM SPECIFIC BUDGET AND BUDGET REVISION

- A. The original Contract budget is due electronically to the Contractor's CDA Local Finance Analyst no later than thirty (30) days from the date of the transmission of the Budget Display and Contract.
- B. The final date to submit a revised budget shall be no later than sixty (60) days prior to the end of the Contract period unless otherwise specified by CDA.
- C. The Contractor shall ensure that the Subcontractor shall submit a budget, which shall be incorporated by reference into the Subcontract and will have, at a minimum, the categories listed in Exhibit B above.

**California Department of Aging**

**IF-2223 Contract**

**Exhibit B – Budget Detail, Payment Provisions, and Closeout**

**ARTICLE IV. PROGRAM SPECIFIC BUDGET AND BUDGET REVISION (continued)**

D. Funds made available under this agreement shall supplement, and not supplant, any federal, State, or local funds expended by a State or unit of general-purpose local government.

E. Categorical Budget Transfers

The Contractor may transfer contract funds between budget categories (Direct Costs, Administration, Indirect Costs and Contractual Costs) under the following terms and conditions:

1. The Contractor shall submit a revised budget to CDA when one or the cumulative categorical budget transfers exceeds twenty-five percent (25%) of the total budget.
2. The Contractor shall maintain a written record of all budget changes and clearly document all budget changes. Such record shall include the date, amount, and purpose of the transfer. This record shall be available to CDA upon request and shall be maintained in the same manner as all other financial records of the Contractor.

**ARTICLE V. PAYMENTS**

The State shall reimburse Contractor with Dignity at Home Fall Prevention, Older Californians Nutrition Program and Intergenerational Activities, Family Caregiver Support, and Senior Legal Services funding that has been appropriated, designated, encumbered, or otherwise made available for payment by the State under this Agreement. The following applies to all funding within this Agreement:

- A. The Contractor shall submit monthly expenditures in an electronic format, utilizing the CDA online Local Finance Reporting System, no later than the last business day of each month unless otherwise specified by CDA, reporting costs and funding for the month prior.
- B. Payments will be made to reimburse monthly expenditures reported. CDA shall process and approve reported expenditures that are based upon actual, not estimated expenditures. CDA shall notify the Contractor of any disputed expenditures.
- C. Contractors shall notify CDA if they wish to be on a reimbursement or advanced payment.
  1. If Contractor requests reimbursement payment, CDA shall not advance one-sixth of the approved total allocated budget amount for the initial month of the contract. Payments shall be based on monthly expenditure reports as outlined in this section.

**California Department of Aging**

**IF-2223 Contract**

**Exhibit B – Budget Detail, Payment Provisions, and Closeout**

**ARTICLE V. PAYMENTS (continued)**

2. If Contractor requests an advance payment, CDA shall advance one-sixth of the approved total allocated budget amount for the initial month of the contract. Future payments shall be based on monthly expenditure reports as outlined in this section.
- D. The Contractor shall submit timely expenditures to CDA. Late expenditures may lead to a delay in payment until the following month.
- E. Upon written request by CDA, Contractor shall submit additional documentation or justification to support the reported expenditure.
- F. Contractor shall be charged \$75 per program funding source(s) for expedited payments to recover the fees charged by the State Controller's Office. CDA may waive the fees on a case-by-case basis as appropriate.
  1. Expedite Fees
    - a. If the contract is executed late to no fault of CDA then the contractor may be liable for the incurred processing fees.
    - b. If the contract is executed late due to CDA's handling then CDA shall cover the incurred processing fees.
- G. The Contractor shall ensure, to the extent feasible, that all budgeted funds are expended by the expiration of this Agreement.

**ARTICLE VI. CLOSEOUT**

- A. Separate Financial Closeout Reports for Dignity at Home Fall Prevention, Older Californians Nutrition Program and Intergenerational Activities, Family Caregiver Support, and Senior Legal Services funding and the Program Property Inventory Certification (CDA 9024) shall be submitted when either the total contract allocation has been expended, or 30 days after the expiration of this Agreement, whichever is earlier.
- B. Final expenditures must be reported to CDA in accordance with the Budget Display in Exhibit B. If the expenditures reported by the Contractor exceed the advanced amount, CDA will reimburse the difference to the Contractor up to the contract amount. If the expenditures reported by the Contractor are less than the advanced amount, CDA will invoice the Contractor for the unspent funds.

The payment on the invoice is due immediately upon receipt or no later than 30 days from the date on the invoice.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE I. DEFINITIONS AND RESOLUTIONS OF LANGUAGE CONFLICTS**

**A. General Definitions**

1. "Agreement" or "Contract" means the Standard Agreement (Std. 213), Exhibits A, B, C, D and E, an approved Budget Display as identified in Exhibit B, and if applicable, a Work Plan or Budget Summary, which are hereby incorporated by reference, amendments, and any other documents incorporated by reference; unless otherwise provided for in this Article.
2. "Contractor" means the Area Agency on Aging (AAA) awarded funds under this Agreement and is accountable to the State and/or federal government for use of these funds and is responsible for executing the provisions for services provided under this Agreement.
3. "CCR" means California Code of Regulations.
4. "CFR" means Code of Federal Regulations.
5. "DUNS" means the nine-digit, Data Universal Numbering System number established and assigned by Dun and Bradstreet, Inc., to uniquely identify business entities.
6. "Cal. Gov. Code" means California Government Code.
7. "OMB" means the federal Office of Management and Budget.
8. "Cal. Pub. Con. Code" means the California Public Contract Code.
9. "Cal. Civ. Code" means California Civil Code
10. "Reimbursable item" also means "allowable cost" and "compensable item."
11. "State" and "Department" mean the State of California and the California Department of Aging (CDA) interchangeably.
12. "Subcontractor" means the legal entity that receives funds from the Contractor to carry out part of a federal award identified in this Agreement.
13. "Subcontract" means any form of legal agreement between the Contractor and the Subcontractor, including an agreement that the Contractor considers a contract, including vendor type Agreements for providing goods or services under this Agreement.
14. "Vendor" means an entity selling goods or services to the Contractor or Subcontractor during the Contractor or Subcontractor's performance of the Agreement.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE I. DEFINITIONS AND RESOLUTIONS OF LANGUAGE CONFLICTS (Continued)**

15. "USC" means United States Code.
16. "HHS" means United States Department of Health and Human Services.
17. "OAA" means Older Americans Act.
18. "Allocation" means the process of assigning a cost, or a group of costs, to one or more cost objective(s), in reasonable proportion to the benefit provided or other equitable relationship. The process may entail assigning a cost(s) directly to a final cost objective or through one or more intermediate cost objectives. (2 CFR 200.4 and 45 CFR 75.2)
19. "Disallowed costs" means those charges determined to be unallowable, in accordance with the applicable Federal statutes, regulations, or the terms and conditions of the Federal award. (2 CFR 200.31 and 45 CFR 75.2)
20. "Questioned Costs" means a cost that is questioned by the auditor because of an audit finding which resulted from a violation or possible violation of a statute, regulation, or the terms and conditions of a Federal award, including for funds used to match Federal funds; where the costs, at the time of the audit, are not supported by adequate documentation; or where the costs incurred appear unreasonable and do not reflect the actions a prudent person would take in the circumstances. (2 CFR 200.84 and 45 CFR 75.2).
21. "Recoverable cost" means the state and federal share of the questioned cost.

**B. Resolution of Language Conflicts**

The terms and conditions of this federal award and other requirements have the following order of precedence, if there is any conflict in what they require:

1. The Grant Terms and Conditions.
2. The Older Americans Act and other applicable federal statutes and their implementing regulations.
3. If applicable, the Older Californians Act and other California State codes and regulations.
4. Standard Agreement (Std. 213), all Exhibits and any amendments thereto.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE I. DEFINITIONS AND RESOLUTIONS OF LANGUAGE CONFLICTS (Continued)**

5. Any other documents incorporated herein by reference including, if applicable, the federal HHS terms and conditions found in Part II of the HHS Grant Policy Statement. The HHS Grant Policy Statement is available under the HHS Policy Requirements Topic at <https://www.hhs.gov/grants/grants/grants-policies-regulations/index.html>
6. Program memos and other guidance issued by CDA.

**ARTICLE II. ASSURANCES**

**A. Law, Policy and Procedure, Licenses, and Certificates**

The Contractor agrees to administer this Agreement and require any subcontractors to administer their subcontracts in accordance with this Agreement, and with all applicable local, State, and federal laws and regulations including, but not limited to, discrimination, wages and hours of employment, occupational safety, and to fire, safety, health, and sanitation regulations, directives, guidelines, and/or manuals related to this Agreement and resolve all issues using good administrative practices and sound judgment. The Contractor and its subcontractors shall keep in effect all licenses, permits, notices, and certificates that are required by law.

**B. Subcontracts**

The Contractor shall require language in all subcontracts to require all subcontractors to comply with all applicable State and federal laws.

**C. Nondiscrimination**

The Contractor shall comply with all federal statutes relating to nondiscrimination. These include those statutes and laws contained in the Contractor Certification Clauses (CCC 307), which is hereby incorporated by reference. In addition, the Contractor shall comply with the following:

**1. Equal Access to Federally-Funded Benefits, Programs and Activities**

The Contractor shall ensure compliance with Title VI of the Civil Rights Act of 1964 [42 USC 2000d; 45 CFR 80], which prohibits recipients of federal financial assistance from discriminating against persons based on race, color, religion, or national origin.

**2. Equal Access to State-Funded Benefits, Programs and Activities**

The Contractor shall, unless exempted, ensure compliance with the

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE II. ASSURANCES (Continued)**

requirements of Cal. Gov. Code § 11135 et seq., and 2 CCR § 11140 et seq., which prohibit recipients of state financial assistance from discriminating against persons based on race, national origin, ethnic group identification, religion, age, sex, sexual orientation, color, or disability. [22 CCR § 98323]

**3. California Civil Rights Laws**

The Contractor shall, ensure compliance with the requirements of California Public Contract Code § 2010 by submitting a completed California Civil Rights Laws Certification, prior to execution of this Agreement. The certificate is available at: <http://www.dgs.ca.gov/ols/Forms.aspx>

The California Civil Rights Laws Certification ensures Contractor compliance with the Unruh Civil Rights Act (Cal. Civ. Code § 51) and the Fair Employment and Housing Act (Cal. Gov. Code § 12960), and ensures that Contractor internal policies are not used in violation of California Civil Rights Laws.

**4. The Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. [42 USC 12101 et seq.]**

**5. The Contractor agrees to include these requirements in all contracts it enters into with subcontractors to provide services pursuant to this Agreement.**

**D. Standards of Work**

The Contractor agrees that the performance of work and services pursuant to the requirements of this Agreement shall conform to accepted professional standards.

**E. Conflict of Interest**

**1. The Contractor shall prevent employees, consultants, or members of governing bodies from using their positions for purposes including, but not limited to, the selection of subcontractors, that are, or give the appearance of being, motivated by a desire for private gain for themselves or others, such as family, business, or other ties. In the event that the State determines that a conflict of interest exists, any increase in costs associated with the conflict of interest may be disallowed by the State and such conflict may constitute grounds for termination of the Agreement.**

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

ARTICLE II. ASSURANCES (Continued)

2. This provision shall not be construed to prohibit employment of persons with whom the Contractor's officers, agents, or employees have family, business, or other ties, so long as the employment of such persons does not result in a conflict of interest (real or apparent) or increased costs over those associated with the employment of any other equally qualified applicant, and such persons have successfully competed for employment with the other applicants on a merit basis.

F. Covenant Against Contingent Fees

1. The Contractor warrants that no person or selling agency has been employed or retained to solicit this Agreement. There has been no agreement to make commission payments in order to obtain this Agreement.
2. For breach or violation of this warranty, CDA shall have the right to terminate this Agreement without liability or at its discretion to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such commission, percentage, brokerage, or contingency fee.

G. Payroll Taxes and Deductions

The Contractor shall promptly forward payroll taxes, insurances, and contributions, including State Disability Insurance, Unemployment Insurance, Old Age Survivors Disability Insurance, and federal and State income taxes withheld, to designated governmental agencies as required by law.

H. Facility Construction or Repair

This section applies only to Title III funds and not to other funds allocated to other Titles under the OAA. Title III funds may be used for facility construction or repair.

1. When applicable for purposes of construction or repair of facilities, the Contractor shall comply with the provisions contained in the following and shall include such provisions in any applicable agreements with subcontractors:
  - a. Copeland "Anti-Kickback" Act. [18 USC 874, 40 USC 3145] [29 CFR 3]
  - b. Davis-Bacon Act. [40 USC 3141 et seq.] [29 CFR 5]

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

ARTICLE II. ASSURANCES (Continued)

- c. Contract Work Hours and Safety Standards Act. [40 USC 3701 et seq.] [29 CFR 5, 6, 7, 8]
    - d. Executive Order 11246 of September 14, 1965, entitled "Equal Employment Opportunity" as amended by Executive Order 11375 of October 13, 1967, as supplemented in Department of Labor Regulations. [41 CFR 60]
  - 2. Payments are not permitted for construction, renovation, alteration, improvement, or repair of privately-owned property which would enhance the owner's value of such property except where permitted by law and by CDA.
  - 3. When funding is provided for construction and non-construction activities, the Contractor must obtain prior written approval from CDA before making any fund or budget transfers between construction and non-construction.
- I. Contracts in Excess of \$100,000

If all funding provided herein exceeds \$100,000, the Contractor shall comply with all applicable orders or requirements issued under the following laws:

  - 1. Clean Air Act, as amended. [42 USC 7401]
  - 2. Federal Water Pollution Control Act, as amended. [33 USC 1251 et seq.]
  - 3. Environmental Protection Agency Regulations. [40 CFR 29] [Executive Order 11738]
  - 4. State Contract Act [Cal. Pub. Con. Code §10295 et seq.]
  - 5. Unruh Civil Rights Act [Cal. Pub. Con. Code § 2010]
- J. Debarment, Suspension, and Other Responsibility Matters
  - 1. The Contractor certifies to the best of its knowledge and belief, that it and its subcontractors:
    - a. Are not presently debarred, suspended, proposed for disbarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency.
    - b. Have not, within a three-year period preceding this Agreement, been convicted of, or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE II. ASSURANCES (Continued)**

obtaining, attempting to obtain, or performing a public (federal, State, or local) transaction or contract under a public transaction; violation of federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.

- c. Are not presently indicted for, or otherwise criminally or civilly charged by a governmental entity (federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification.
  - d. Have not, within a three-year period preceding this Agreement, had one or more public transactions (federal, State, or local) terminated for cause or default.
2. The Contractor shall report immediately to CDA in writing, any incidents of alleged fraud and/or abuse by either the Contractor or subcontractors.
  3. The Contractor shall maintain any records, documents, or other evidence of fraud and abuse until otherwise notified by CDA.
  4. The Contractor agrees to timely execute any and all amendments to this Agreement or other required documentation relating to the Subcontractor's debarment/suspension status.

**K. Agreement Authorization**

1. If a public entity, the Contractor shall submit to CDA a copy of an approved resolution, order, or motion referencing this Agreement number authorizing execution of this Agreement. If a private nonprofit entity, the Contractor shall submit to CDA an authorization by the Board of Directors to execute this Agreement, referencing this Agreement number.
2. These documents, including minute orders must also identify the action taken.
3. Documentation in the form of a resolution, order, or motion by the Governing Board of the AAA is required for the original and each subsequent amendment to this Agreement. This requirement may also be met by a single resolution from the Governing Board of the Contractor authorizing the AAA Director or designee to execute the original and all subsequent amendments to this Agreement.

## California Department of Aging

IF-2223 Contract  
Exhibit D – Special Terms and Conditions

## ARTICLE II. ASSURANCES (Continued)

L. Contractor's Staff

1. The Contractor shall maintain adequate staff to meet the Contractor's obligations under this Agreement.
2. This staff shall be available to the State for training and meetings which the State may find necessary from time to time.

M. DUNS Number and Related Information

1. The DUNS number must be provided to CDA prior to the execution of this Agreement. Business entities may register for a DUNS number at <http://www.dnb.com/duns-number.html>.
2. The Contractor must register the DUNS number and maintain an "Active" status within the federal System for Award Management available online at <https://www.sam.gov/portal/SAM/#1>.
3. If CDA cannot access or verify "Active" status the Contractor's DUNS information, which is related to this federal subaward on the Federal Funding Accountability and Transparency Act Subaward Reporting System (SAM.gov) due to errors in the Contractor's data entry for its DUNS number, the Contractor must immediately update the information as required.

N. Corporate Status

1. The Contractor shall be a public entity, private nonprofit entity, or Joint Powers Authority (JPA). If a private nonprofit corporation or JPA, the Contractor shall be in good standing with the Secretary of State of California and shall maintain that status throughout the term of this Agreement.
2. The Contractor shall ensure that any subcontractors providing services under this Agreement shall be of sound financial status.
3. Any subcontracting private entity or JPA shall be in good standing with the Secretary of State of California and shall maintain that status throughout the term of this Agreement.
4. Failure to maintain good standing by the contracting entity shall result in suspension or termination of this Agreement with CDA until satisfactory status is restored. Failure to maintain good standing by a subcontracting entity shall result in suspension or termination of the subcontract by the Contractor until satisfactory status is restored.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

ARTICLE II. ASSURANCES (Continued)

O. Lobbying Certification

The Contractor, by signing this Agreement, hereby certifies to the best of its knowledge and belief, that:

1. No federally appropriated funds have been paid or will be paid, by or on behalf of the Contractor, to any person for influencing or attempting to influence an officer or employee of any agency; a Member of Congress; an officer or employee of Congress; or an employee of a Member of Congress; in connection with the awarding of any federal contract; the making of any federal grant; the making of any federal loan; the entering into of any cooperative agreement; and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
2. If any funds other than federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the Contractor shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.
3. The Contractor shall require that the language of this certification be included in the award documents for all subcontracts at all tiers (including contracts under grants, loans, and cooperative agreements which exceed \$100,000) and that all subcontractors shall certify and disclose accordingly.
4. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into.
5. This certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352.
6. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

- P. The Contractor and its Subcontractor/Vendors shall comply with Governor's Executive Order 2-18-2011, which bans expenditures on promotional and marketing items colloquially known as "S.W.A.G." or "Stuff We All Get."

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE III. AGREEMENT**

A copy of this executed Agreement is on file and available for inspection at the California Department of Aging, 2880 Gateway Oaks Drive, Suite 200, Sacramento, California 95833.

**ARTICLE IV. COMMENCEMENT OF WORK**

Should the Contractor or subcontractor begin work in advance of receiving notice that this Agreement is approved, that work may be considered as having been performed at risk as a volunteer and may not be reimbursed or compensated.

**ARTICLE V. SUBCONTRACTS**

- A. The Contractor is responsible for carrying out the terms of this Agreement, including the satisfaction, settlement, and resolution of all administrative, programmatic, and fiscal aspects of the program(s), including issues that arise out of any subcontracts, and shall not delegate or contract these responsibilities to any other entity. This includes, but is not limited to, disputes, claims, protests of award, or other matters of a contractual nature. The Contractor's decision is final and the Subcontractor has no right of appeal to CDA.
- B. The Contractor shall, in the event any subcontractor is utilized by the Contractor for any portion of this Agreement, retain the prime responsibility for all the terms and conditions set forth, including but not limited to, the responsibility for preserving the State's copyrights and rights in data in accordance with Article XIX of this Exhibit, for handling property in accordance with Article VII. of this Exhibit, and ensuring the keeping of, access to, availability of, and retention of records of subcontractors in accordance with Article VI. of this Exhibit.
- C. The Contractor shall not obligate funds for this Agreement in any subcontracts for services beyond the ending date of this Agreement.
- D. The Contractor shall have no authority to contract for, or on behalf of, or incur obligations on behalf of the State.
- E. The Contractor shall maintain on file copies of subcontracts, memorandums and/or Letters of Understanding which shall be made available for review at the request of CDA.
- F. The Contractor shall monitor the insurance requirements of its subcontractors in accordance with Article XI of this Exhibit.
- G. The Contractor shall require language in all subcontracts to require all subcontractors to indemnify, defend, and save harmless the Contractor, its officers, agents, and employees from any and all claims and losses accruing to or resulting from any subcontractors, suppliers, laborers, and any other person,

**California Department of Aging****IF-2223 Contract  
Exhibit D – Special Terms and Conditions****ARTICLE V. SUBCONTRACTS (Continued)**

firm, or corporation furnishing or supplying work services, materials, or supplies in connection with any activities performed for which funds from this Agreement were used and from any and all claims and losses accruing or resulting to any person, firm, or corporation who may be injured or damaged by the Subcontractor(s) in the performance of this Agreement.

- H. The Contractor shall ensure that the Subcontractor will complete all reporting and expenditure documents requested by CDA. These reporting and expenditure documents shall be sent to the Contractor in a timely manner and at intervals as determined by CDA.
- I. The Contractor shall, prior to the awarding of a subcontract to any for-profit entity, submit the following to CDA for review and approval:
  - 1. The Request for Proposal (RFP) or Invitation for Bid.
  - 2. All bid proposals received.
  - 3. The proposal or bid evaluation documentation, along with the Contractor's rationale for awarding the subcontract to a for-profit entity. [22 CCR 7362]
  - 4. Description and documentation of dissemination of information concerning the RFP to elicit adequate competition. [22 CCR 7356]

Where a program may be subcontracted to a for-profit organization, the Contractor should include in its contract with the for-profit entity, a requirement for performance of a program-specific audit of the subcontracted program by an independent audit firm.

- J. The Contractor shall require all subcontractors to maintain adequate staff to meet the Subcontractor's Agreement with the Contractor. This staff shall be available to the State for training and meetings which the State may find necessary from time to time.
- K. If a private nonprofit corporation, the Subcontractor shall be in good standing with the Secretary of State of California and shall maintain that status throughout the term of the Agreement.
- L. The Contractor shall refer to 2 CFR 200.330, Subpart D - Subrecipient and Contractor Determinations and 45 CFR 75.351, Subpart D - Subrecipient and Contractor Determinations in making a determination if a subcontractor relationship exists. If such a relationship exists, then the Contractor shall follow the procurement requirements in the applicable OMB Circular.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE V. SUBCONTRACTS (Continued)**

- M. The Contractor shall utilize procurement procedures as follows:
1. The Contractor shall obtain goods and services through open and competitive awards. Each Contractor shall have written policies and procedures, including application forms, for conducting an open and competitive process, and any protests resulting from the process.
  2. For goods and services purchased with Title III or Title VII funds, the procurement procedures must include, at a minimum, the requirements set forth in 22 CCR 7352. The only exception is contained in 22 CCR 7360(a). The Contractor issuing a noncompetitive award must comply with 22 CCR 7360(b)-(d).

**ARTICLE VI. RECORDS**

- A. The Contractor shall maintain complete records which shall include, but not be limited to, accounting records, contracts, agreements, a reconciliation of the "Financial Closeout Report" (CDA Closeout) to the audited financial statements, single audit report, and general ledgers, and a summary worksheet identifying the results of performing an audit resolution of its subcontractors in accordance with Article X. of this Exhibit. This includes the following: Letters of Agreement, insurance documentation, memorandums and/or Letters of Understanding, patient or client records, and electronic files of its activities and expenditures hereunder in a form satisfactory to CDA. All records pertaining to this Agreement must be made available for inspection and audit by the State or its duly authorized agents, at any time during normal business hours. The reconciliation of the CDA Closeout to the Contractor general ledger must be submitted with the CDA Closeout package.
- B. All such records, including confidential records, must be maintained and made available by the Contractor: (1) until an audit has occurred and an audit resolution has been issued or unless otherwise authorized in writing by CDA's Audit Branch, (2) for such longer period, if any, as is required by applicable statute, by any other clause of this Agreement, or by Sections A and C of this Article, and (3) for such longer period as CDA deems necessary.
- C. If this Agreement is completely or partially terminated, the records relating to the work terminated shall be preserved and made available for the same periods as specified in Section A above. The Contractor shall ensure that any resource directories and all client records remain the property of CDA upon termination of this Agreement, and are returned to CDA or transferred to another contractor as instructed by CDA.
- D. In the event of any litigation, claim, negotiation, audit exception, or other action involving the records, all records relative to such action shall be maintained and made available until every action has been cleared to the satisfaction of the State and is so stated in writing to the Contractor.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE VI. RECORDS (Continued)**

- E. Adequate source documentation of each transaction shall be maintained relative to the allowability of expenditures reimbursed by the State under this Agreement. If the allowability of expenditures cannot be determined because records or documentation of the Contractor are nonexistent or inadequate according to guidelines set forth in 2 CFR 200.302 and 45 CFR 75.302, the expenditures will be questioned in the audit and may be disallowed by CDA during the audit resolution process.
  
- F. All records containing confidential information shall be handled in a confidential manner in accordance with the requirements for information integrity and security, and in accordance with guidelines set forth in this Article, and Article XVIII. After the authorized period has expired, confidential records shall be shredded and disposed of in a manner that will maintain confidentiality.

**ARTICLE VII. PROPERTY**

- A. Unless otherwise provided for in this Article, property refers to all assets used in operation of this Agreement.
  - 1. Property includes land, buildings, improvements, machinery, vehicles, furniture, tools, and intangibles, etc.
  - 2. Property does not include consumable office supplies such as paper, pencils, toner cartridges, file folders, etc.
  
- B. Property acquired under this agreement, which meets any of the following criteria is subject to the reporting requirements:
  - 1. Has a normal useful life of at least one (1) year and has a unit acquisition cost of at least \$5,000 (a desktop or laptop setup, is considered a unit, if purchased as a unit).
  - 2. All computing devices, regardless of cost (including but not limited to, workstations, servers, laptops, personal digital assistants, notebook computers, tablets, smartphones and cellphones).
  - 3. All Portable electronic storage media, regardless of cost (including but not limited to, thumb/flash drives and portable hard drives).
  
- C. Additions, improvements, and betterments to assets meeting all of the conditions in Section B above must also be reported. Additions typically involve physical extensions of existing units. Improvements and betterments typically do not increase the physical size of the asset. Instead, improvements and betterments enhance the condition of an asset (e.g., extend life, increase service capacity,

## California Department of Aging

IF-2223 Contract  
Exhibit D – Special Terms and Conditions

## ARTICLE VII. PROPERTY (Continued)

and lower operating costs). Examples of assets that might be improved and bettered include roads, bridges, curbs and gutters, tunnels, parking lots, streets and sidewalks, drainage, and lighting systems.

- D. Intangibles are property which lack physical substance but give valuable rights to the owner. Examples of intangible property include patents, copyrights, leases, and computer software. By contrast, hardware consists of tangible equipment (e.g., computer printer, terminal, etc.). Costs include all amounts incurred to acquire and to ready the intangible asset for its intended use. Typical intangible property costs include the purchase price, legal fees, and other costs incurred to obtain title to the asset.
- E. The Contractor shall keep track of property purchased with funds from this Agreement that meet the requirements as defined in Exhibit D, Article VII, item B, and submit to CDA a Property Acquisition Form (CDA 9023) for all property furnished or purchased by either the Contractor or the Subcontractor with funds awarded under the terms of this Agreement, as instructed by the CDA. The Contractor shall certify their reported property inventory annually with the Closeout by completing the Program Property Inventory Certification (CDA 9024), unless further restricted by Exhibit E, where applicable.

The Contractor shall record, at minimum, the following information when property is acquired:

1. Date acquired.
2. Item description (include model number).
3. CDA tag number.
4. Serial number (if applicable).
5. Purchase cost or other basis of valuation.
6. Fund source

## F. Disposal of Property

1. Prior to disposal of any property purchased by the Contractor or the Subcontractor with funds from this Agreement or any predecessor Agreement, the Contractor must obtain approval from CDA for all reportable property as defined in Section B of this Article. Disposition, which includes sale, trade-in, discarding, or transfer to another agency may not occur until approval is received from CDA. The Contractor shall submit to CDA a Request to Dispose of Property (CDA 248). CDA will then instruct the AAA on disposition of the property. Once approval for disposal has been received from CDA and the AAA has reported to CDA the Property Survey Report's (STD 152) Certification of Disposition, the item(s) shall be removed from the Contractor's inventory report.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE VII. PROPERTY (Continued)**

2. The Contractor must remove all confidential, sensitive, or personal information from CDA property prior to disposal, including removal or destruction of data on computing devices with digital memory and storage capacity. This includes, but is not limited to magnetic tapes, flash drives, personal computers, personal digital assistants, cell or smart phones, multi-function printers, and laptops.
- G. Any loss, damage, or theft of equipment shall be investigated, fully documented and the Contractor shall promptly notify CDA.
- H. The State reserves title to all State-purchased or financed property not fully consumed in the performance of this Agreement, unless otherwise required by federal law or regulations or as otherwise agreed by the parties.
- I. The Contractor shall exercise due care in the use, maintenance, protection, and preservation of such property during the period of the project, and shall assume responsibility for replacement or repair of such property during the period of the project, or until the Contractor has complied with all written instructions from CDA regarding the final disposition of the property.
- J. In the event of the Contractor's dissolution or upon termination of this Agreement, the Contractor shall provide a final property inventory to the State. The State reserves the right to require the Contractor to transfer such property to another entity, or to the State.
- K. To exercise the above right, no later than one hundred twenty (120) days after termination of this Agreement or notification of the Contractor's dissolution, the State will issue specific written disposition instructions to the Contractor.
- L. The Contractor shall use the property for the purpose for which it was intended under the Agreement. When no longer needed for that use, the Contractor shall use it, if needed, and with written approval of the State for other purposes in this order:
  1. For another CDA program providing the same or similar service.
  2. For another CDA-funded program.
- M. The Contractor may share use of the property and equipment or allow use by other programs, upon written approval from CDA. As a condition of the approval, CDA may require reimbursement under this Agreement for its use.
- N. The Contractor or subcontractors shall not use equipment or supplies acquired under this Agreement with federal and/or State monies for personal gain or to usurp the competitive advantage of a privately-owned business entity.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE VII. PROPERTY (Continued)**

- O. If purchase of equipment is a reimbursable item, the equipment to be purchased will be specified in the Budget Summary.
- P. The Contractor shall include the provisions contained in this Article in all its subcontracts awarded under this Agreement.

**ARTICLE VIII. ACCESS**

The Contractor shall provide access to the federal or State contracting agency, the California State Auditor, the Comptroller, General of the United States, or any of their duly authorized federal or State representatives to any books, documents, papers, and records of the Contractor or subcontractor which are directly pertinent to this specific Agreement for the purpose of making an audit, examination, excerpts, and transcriptions. The Contractor shall include this requirement in its subcontracts.

**ARTICLE IX. MONITORING AND EVALUATION**

- A. Authorized State representatives shall have the right to monitor and evaluate the Contractor's administrative, fiscal and program performance pursuant to this Agreement. Said monitoring and evaluation may include, but is not limited to, administrative processes, fiscal, data and procurement components. This will include policies, procedures, procurement, audits, inspections of project premises, interviews of project staff and participants, and when applicable, inspection of food preparation sites.
- B. The Contractor shall cooperate with the State in the monitoring and evaluation processes, which include making any administrative, program and fiscal staff available during any scheduled process.
- C. The Contractor shall monitor contracts and subcontracts to ensure compliance with laws, regulations, and the provisions of contracts that may have a direct and/or material effect on each of its CDA funded programs.
- D. The Contractor is responsible for maintaining supporting documentation including financial and statistical records, contracts, subcontracts, monitoring reports, and all other pertinent records until an audit has occurred and an audit resolution has been issued or unless otherwise authorized in writing by CDA.

**ARTICLE X. AUDIT REQUIREMENTS**

- A. General
  - 1. Any duly authorized representative of the federal or State government, which includes but is not limited to the State Auditor, CDA Staff, and any

**California Department of Aging****IF-2223 Contract  
Exhibit D – Special Terms and Conditions****ARTICLE X. AUDIT REQUIREMENTS (Continued)**

entity selected by State to perform inspections, shall have the right to monitor and audit Contractor and all subcontractors providing

services under this Agreement through on-site inspections, audits, and other applicable means the State determines necessary. In the event that CDA is informed of an audit by an outside federal or State government entity affecting the Contractor, CDA will provide timely notice to Contractor.

2. Contractor shall make available all reasonable information necessary to substantiate that expenditures under this agreement are allowable and allocable, including, but not limited to books, documents, papers, and records. Contractor shall agree to make such information available to the federal government, the State, or any of their duly authorized representatives, including representatives of the entity selected by State to perform inspections, for examination, copying, or mechanical reproduction, on or off the premises of the appropriate entity upon a reasonable request.
3. All agreements entered into by Contractor and subcontractors with audit firms for purposes of conducting independent audits under this Agreement shall contain a clause permitting any duly authorized representative of the federal or State government access to the supporting documentation of said audit firm(s).
4. The Contractor shall cooperate with and participate in any further audits which may be required by the State, including CDA fiscal and compliance audits.

**B. CDA Fiscal and Compliance Audits**

1. The CDA Audits Branch shall perform fiscal and compliance audits of Contractors in accordance with Generally Accepted Government Auditing Standards (GAGAS) to ensure compliance with applicable laws, regulations, grants, and contract requirements.
2. The CDA fiscal and compliance audits may include, but not be limited to, a review of:
  - a. Financial closeouts (2 CFR 200.16 and 45 CFR 75.2)
  - b. Internal controls (2 CFR 200.303 and 45 CFR 75.303)
  - c. Allocation of expenditures (2 CFR 200.4 and 45 CFR 75.2)
  - d. Allowability of expenditures (2 CFR 200.403 and 45 CFR 75.403)

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE X. AUDIT REQUIREMENTS (Continued)**

- e. Equipment expenditures and approvals, if required (2 CFR 200.439 and 45 CFR 75.439)
- C. Single Audit Reporting Requirements (2 CFR 200 Subpart F and 45 CFR 75 Subpart F)
  - 1. Contractor Single Audit Reporting Requirements
    - a. Contractors that expend \$750,000 or more in federal funds shall arrange for an audit to be performed as required by the Single Audit Act of 1984, Public Law 98-502; the Single Audit Act Amendments of 1996, Public Law 104-156; 2 CFR 200.501 to 200.521 and 45 CFR 75.501 to 75.521. A copy shall be submitted to the:  

California Department of Aging  
Attention: Audits Branch  
2880 Gateway Oaks Drive, Suite 200  
Sacramento, California 95833
    - b. The copy shall be submitted within thirty (30) days after receipt of the Auditor’s report or nine (9) months after the end of the audit period, whichever occurs first, or unless a longer period is agreed to in advance by the cognizant or oversight agency.
    - c. For purposes of reporting, the Contractor shall ensure that State-funded expenditures are displayed discretely along with the related federal expenditures in the single audit report’s “Schedule of Expenditures of Federal Awards” (SEFA) under the Catalog of Federal Domestic Assistance (CFDA) number.
    - d. For State contracts that do not have CFDA numbers, the Contractor shall ensure that the State-funded expenditures are discretely identified in the SEFA by the appropriate program name, identifying grant/contract number, and as passed through CDA.
  - 2. The Contractor shall perform a reconciliation of the “Financial Closeout Report” to the audited financial statements, single audit, and general ledgers. The reconciliation shall be maintained and made available for CDA review.
  - 3. Contract Resolution of Contractor’s Subrecipients  

The Contractor shall have the responsibility for resolving its contracts with subcontractors to determine whether funds provided under this Agreement

**California Department of Aging****IF-2223 Contract  
Exhibit D – Special Terms and Conditions****ARTICLE X. AUDIT REQUIREMENTS (Continued)**

are expended in accordance with applicable laws, regulations, and provisions of contracts or agreements. The Contractor shall, at a minimum, perform Contract resolution within fifteen (15) months of the "Financial Closeout Report."

4. The Contractor shall ensure that subcontractor single audit reports meet 2 CFR 200 and 45 CFR 75, Subparts F-Audit Requirements
5. Contract resolution includes:
  - a. Ensuring that subcontractors expending \$750,000 or more in federal awards during the subcontractor's fiscal year have met the audit requirements of 2 CFR 200.501 - 200.521 and 45 CFR 75.501 to 75.521.
  - b. Issuing a management decision on audit findings within six (6) months after receipt of the Subcontractor's single audit report and ensuring that the Subcontractor takes appropriate and timely corrective action.
  - c. Reconciling expenditures reported to the Contractor to the amounts identified in the single audit or other type of audit if the Subcontractor was not subject to the single audit requirements. For a subcontractor who was not required to obtain a single audit and did not obtain another type of audit, the reconciliation of expenditures reported to CDA must be accomplished through performing alternative procedures (e.g., risk assessment [2 CFR 200.331 and 45 CFR 75.352], documented review of financial statements, and documented expense verification, including match, etc.).
6. When alternative procedures are used, the Contractor shall perform financial management system testing, which provides, in part, for the following:
  - a. Accurate, current, and complete disclosure of the financial results of each federal award or program.
  - b. Records that identify adequately the source and application of funds for each federally funded activity.
  - c. Effective control over, and accountability for, all funds, property, and other assets to ensure these items are used solely for authorized purposes.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE X. AUDIT REQUIREMENTS (Continued)**

- d. Comparison of expenditures with budget amounts for each federal award.
  - e. Written procedures to implement the requirements of 2 CFR 200.305.
  - f. Written procedures for determining the allowability of costs in accordance with 2 CFR Part 200 and 45 CFR Part 75, Subparts E - Cost Principles.  
  
[2 CFR 200.302 and 45 CFR 75.302]
  - g. The Contractor shall document system and expense testing to show an acceptable level of reliability, including a review of actual source documents.
  - h. Determining whether the results of the reconciliations performed necessitate adjustment of the Contractor's own records.
7. The Contractor shall ensure that subcontractor single audit reports meet 2 CFR 200 and 45 CFR 75, Subparts F - Audit Requirements:
- a. Performed timely – not less frequently than annually and a report submitted timely. The audit is required to be submitted within thirty (30) days after receipt of the Auditor's report or nine (9) months after the end of the audit period, whichever occurs first.  
[2 CFR 200 512 and 45 CFR 75.512]
  - b. Properly procured – use procurement standards for auditor selection. [2 CFR 200.509 and CFR 75.509]
  - c. Performed in accordance with Generally Accepted Government Auditing Standards. [2 CFR 200.514 and 45 CFR 75.514]
  - d. All inclusive – includes an opinion (or disclaimer of opinion) of the financial statements; a report on internal control related to the financial statements and major programs; an opinion (or disclaimer of opinion) on compliance with laws, regulations, and the provisions of contracts; and the schedule of findings and questioned costs. [2 CFR 200.515 and 45 CFR 75.515]
  - e. Performed in accordance with provisions applicable to this program as identified in 2 CFR Part 200, and 45 CFR Part 75, Subpart F, Audit Requirements.

**California Department of Aging****IF-2223 Contract  
Exhibit D – Special Terms and Conditions****ARTICLE X. AUDIT REQUIREMENTS (Continued)**

8. Requirements identified in Sections D and E of this Article shall be included in contracts with the Subcontractor. Further, the Subcontractor shall be required to include in its contract with the independent Auditor that the Auditor will comply with all applicable audit requirements/standards; CDA shall have access to all audit reports and supporting work papers, and CDA has the option to perform additional work, as needed.
9. The Contractor shall prepare a summary worksheet of results from the contract resolutions performed of all subcontractors. The summary worksheet shall include, but not be limited to, contract amounts; amounts resolved; amounts of match verified, resolution of variances; recovered amounts; whether an audit was relied upon or the Contractor performed an independent expense verification review (alternative procedures) of the Subcontractor in making a determination; whether audit findings were issued; and, if applicable, issuance date of the management letter; and any communication or follow-up performed to resolve the findings.
10. A reasonably proportionate share of the costs of audits required by, and performed in, accordance with the Single Audit Act Amendments of 1996, as implemented by requirements of this part, are allowable. However, the following audit costs are unallowable:
  - a. Any costs when audits required by the Single Audit Act and 2 CFR 200 and 45 CFR 75, Subparts F – Audit Requirements have not been conducted or have been conducted but not in accordance therewith; and
  - b. Any costs of auditing a non-federal entity that is exempted from having an audit conducted under the Single Audit Act and 2 CFR 200 and 45 CFR 75, Subparts F – Audit Requirements because its expenditures under federal awards are less than \$750,000 during the non-federal entity's fiscal year.
    - i. The costs of a financial statement audit of a non-federal entity that does not currently have a federal award may be included in the indirect cost pool for a cost allocation plan or indirect cost proposal.
    - ii. Pass-through entities may charge federal awards for the cost of agreed-upon-procedures engagements to monitor subcontractors who are exempted from the requirements of the Single Audit Act and 2 CFR 200 and 45 CFR 75, Subparts F – Audit Requirements. This cost is allowable only if the agreed-upon procedures engagements are

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE X. AUDIT REQUIREMENTS (Continued)**

conducted in accordance with Generally Accepted Government Auditing Standards (GAGAS) attestation standards, paid for and arranged by the pass-through entity, and limited in scope to one or more of the following types of compliance requirements: activities allowed or not allowed; allowable costs/cost principles; eligibility; and reporting.

[2 CFR 200.425]

**ARTICLE XI. INSURANCE**

- A. Prior to commencement of any work under this Agreement, the Contractor shall provide for the term of this Agreement, the following insurance:
  - 1. General liability of not less than \$1,000,000 per occurrence for bodily injury and property damage combined. Higher limits may be required by the State in cases of higher than usual risks.
  - 2. Automobile liability including non-owned auto liability, of not less than \$1,000,000 for volunteers and paid employees providing services supported by this Agreement.
  - 3. If applicable, or unless otherwise amended by future regulation, the Contractor and subcontractors shall comply with the Public Utilities Commission General Order No. 115-F which requires higher levels of insurance for charter-party carriers of passengers and is based on seating capacity as follows:
    - a. \$750,000 if seating capacity is under 8
    - b. \$1,500,000 if seating capacity is 8 – 15
    - c. \$5,000,000 if seating capacity is over 15
  - 4. Professional liability of not less than \$1,000,000 as it appropriately relates to the services rendered. Coverage shall include medical malpractice and/or errors and omissions. (All programs except Title V).
- B. The insurance will be obtained from an insurance company acceptable to the Department of General Services, Office of Risk and Insurance Management (DGS, ORIM), or be provided through partial or total self-insurance acceptable to the Department of General Services (DGS).
- C. Evidence of insurance shall be in a form and content acceptable to DGS, ORIM.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE XI. INSURANCE (Continued)**

- D. The Contractor shall notify the State within five (5) business days of any cancellation, non-renewal, or material change that affects required insurance coverage.
- E. Insurance obtained through commercial carriers shall meet the following requirements:
  - 1. The Certificate of Insurance shall provide the statement: "The Department of Aging, State of California, its officers, agents, employees, and servants are included as additional insureds, with respect to work performed for the State of California under this Agreement." Professional liability coverage is exempt from this requirement.
  - 2. CDA shall be named as the certificate holder and CDA's address must be listed on the certificate.
- F. The insurance provided herein shall be in effect at all times during the term of this Agreement. In the event the insurance coverage expires during the term of this Agreement, the Contractor agrees to provide CDA, at least thirty (30) days prior to the expiration date, a new Certificate of Insurance evidencing insurance coverage as provided herein for a period not less than the remaining Agreement term or for a period not less than one (1) year. In the event the Contractor fails to keep in effect at all times said insurance coverage, CDA may, in addition to any other remedies it may have, terminate this Agreement.
- G. The Contractor shall require its subcontractors under this Agreement, other than units of local government which are similarly self-insured, to maintain adequate insurance coverage for general liability, Worker's Compensation liabilities, and if appropriate, auto liability including non-owned auto and professional liability, and further, the Contractor shall require all of its subcontractors to hold the Contractor harmless. The Subcontractor's Certificate of Insurance for general and auto liability shall also name the Contractor, not the State, as the certificate holder and additional insured. The Contractor shall maintain Certificates of Insurance for all of its subcontractors.
- H. A copy of each appropriate Certificate of Insurance or letter of self-insurance, referencing this Agreement number shall be submitted to CDA with this Agreement.
- I. The Contractor shall be insured against liability for Worker's Compensation or undertake self-insurance in accordance with the provisions of the Labor Code and the Contractor affirms to comply with such provisions before commencing the performance of the work under this Agreement. [Labor Code § 3700]

## California Department of Aging

IF-2223 Contract  
Exhibit D – Special Terms and Conditions

## ARTICLE XII. TERMINATION

A. Termination Without Cause

CDA may terminate performance of work under this Agreement, in whole or in part, without cause, if CDA determines that a termination is in the State's best interest. CDA may terminate the Agreement upon ninety (90) days written notice to the Contractor. The Notice of Termination shall specify the extent of the termination and shall be effective ninety (90) days from the delivery of the Notice. The parties agree that if the termination of the Contract is due to a reduction or deletion of funding by the Department of Finance (DOF), Legislature or Congress, the Notice of Termination shall be effective thirty (30) days from the delivery of the Notice. The Contractor shall submit to CDA a Transition Plan as specified in Exhibit E of this Agreement. The parties agree that for the terminated portion of the Agreement, the remainder of Agreement shall be deemed to remain in effect and is not void.

B. Termination for Cause

CDA may terminate, in whole or in part, for cause the performance of work under this Agreement. CDA may terminate the Agreement upon thirty (30) days written notice to the Contractor. The Notice of Termination shall be effective thirty (30) days from the delivery of the Notice of Termination unless the grounds for termination are due to threat to life, health or safety of the public and in that case, the termination shall take effect immediately. The Contractor shall submit to CDA a Transition Plan as specified in Exhibit E of this Agreement. The grounds for termination for cause shall include, but are not limited to, the following:

1. In case of threat of life, health or safety of the public, termination of the Agreement shall be effective immediately.
2. A violation of the law or failure to comply with any condition of this Agreement.
3. Inadequate performance or failure to make progress so as to endanger performance of this Agreement.
4. Failure to comply with reporting requirements.
5. Evidence that the Contractor is in an unsatisfactory financial condition as determined by an audit of the Contractor or evidence of a financial condition that endangers performance of this Agreement and/or the loss of other funding sources.
6. Delinquency in payment of taxes or payment of costs for performance of this Agreement in the ordinary course of business.

California Department of Aging

IF-2223 Contract  
Exhibit D – Special Terms and Conditions

ARTICLE XII. TERMINATION (Continued)

7. Appointment of a trustee, receiver, or liquidator for all or a substantial part of the Contractor's property, or institution of bankruptcy, reorganization or the arrangement of liquidation proceedings by or against the Contractor.
8. Service of any writ of attachment, levy of execution, or commencement of garnishment proceedings against the Contractor's assets or income.
9. The commission of an act of bankruptcy.
10. Finding of debarment or suspension. [Article II J]
11. The Contractor's organizational structure has materially changed.
12. CDA determines that the Contractor may be considered a "high risk" agency as described in 2 CFR 200.205 and 45 CFR 75.205. If such a determination is made, the Contractor may be subject to special conditions or restrictions.

C. Contractor's Obligation After Notice of Termination

After receipt of a Notice of Termination, and except as directed by CDA, the Contractor shall immediately proceed with the following obligations, as applicable, regardless of any delay in determining or adjusting any funds due under this clause.

The Contractor shall:

1. Stop work as specified in the Notice of Termination.
2. Place no further subcontracts for materials or services, except as necessary, to complete the continued portion of the Contract.
3. Terminate all subcontracts to the extent they relate to the work terminated.
4. Settle all outstanding liabilities and termination settlement proposals arising from the termination of subcontracts, (the approval or ratification of which will be final for purposes of this clause).

D. Effective Date

Termination of this Agreement shall take effect immediately in the case of an emergency such as threat to life, health, or safety of the public. The effective date for Termination with Cause or for funding reductions is thirty (30) days and

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE XII. TERMINATION (Continued)**

Termination without Cause is ninety (90) days subsequent to written notice to the Contractor. The notice shall describe the action being taken by CDA, the reason for such action and, any conditions of the termination, including the date of termination.

**E. Voluntary Termination of Area Plan Agreement (Title III Only)**

Pursuant to 22 CCR 7210, the Contractor may voluntarily terminate its contract prior to its expiration either by mutual agreement with CDA or upon thirty (30) days written notice to CDA. In case of voluntary termination, the Contractor shall allow CDA up to one hundred eighty (180) days to transition services. The Contractor shall submit a Transition Plan in accordance with Exhibit E of this Agreement.

**F. Notice of Intent to Terminate by Contractor (All other non-Title III Programs)**

In the event the Contractor no longer intends to provide services under this Agreement, the Contractor shall give CDA Notice of Intent to Terminate. Such notice shall be given in writing to CDA at least one hundred eighty (180) days prior to the proposed termination date. Unless mutually agreed upon, the Contractor does not have the authority to terminate the Agreement. The Notice of Intent to Terminate shall include the reason for such action and the anticipated last day of work. The Contractor shall submit a Transition Plan in accordance with Exhibit E.

**G. In the Event of a Termination Notice**

CDA will present written notice to the Contractor of any condition, such as, but not limited to, transfer of clients, care of clients, return of unspent funds; and disposition of property, which must be met prior to termination.

**ARTICLE XIII. REMEDIES**

The Contractor agrees that any remedy provided in this Agreement is in addition to and not in derogation of any other legal or equitable remedy available to CDA as a result of breach of this Agreement by the Contractor, whether such breach occurs before or after completion of the project.

**ARTICLE XIV. DISSOLUTION OF ENTITY**

The Contractor shall notify CDA immediately of any intention to discontinue existence of the entity or to bring an action for dissolution.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE XV. AMENDMENTS, REVISIONS OR MODIFICATIONS**

- A. No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed and approved through the State amendment process in accordance with the State Contract Manual. No oral understanding or agreement not incorporated in this Agreement is binding on any of the parties.
- B. The State reserves the right to revise, waive, or modify the Agreement to reflect any restrictions, limitations, or conditions enacted by Congress or the Legislature or as directed by the Executive Branch of State government.

**ARTICLE XVI. NOTICES**

- A. Any notice to be given hereunder by either party to the other may be effected by personal delivery in writing or by registered or certified mail, overnight mail, postage prepaid, return receipt requested, provided the Contractor retains receipt, and shall be communicated as of actual receipt.
- B. Any notice given to CDA for the Contractor's change of legal name, main address, or name of the Director shall be completed by submitting a Std. 204 form to [AAAcontactinfo@aging.ca.gov](mailto:AAAcontactinfo@aging.ca.gov).
- C. All other notices with the exception of those identified in Section B of this Article shall be addressed to the California Department of Aging, AAA Based Teams, 2880 Gateway Oaks Drive, Suite 200, Sacramento, California, 95833. Notices mailed to the Contractor shall be to the address indicated on the coversheet of this Agreement.
- D. Either party may change its address by written notice to the other party in accordance with this Article.

**ARTICLE XVII. DEPARTMENT CONTACT**

- A. The name of CDA's contact to request revisions, waivers, or modifications affecting this Agreement, will be provided by the State to the Contractor upon full execution of this Agreement.
- B. Contractor shall submit to CDA changes to Contractor's legal name, main address, Director, or any key staff to be added or removed from the distribution list by submitting a Contact Report to [AAAcontactinfo@aging.ca.gov](mailto:AAAcontactinfo@aging.ca.gov). You may request the Contact Report by emailing [AAAcontactinfo@aging.ca.gov](mailto:AAAcontactinfo@aging.ca.gov).

**California Department of Aging****IF-2223 Contract  
Exhibit D – Special Terms and Conditions****ARTICLE XVIII. INFORMATION INTEGRITY, AND SECURITY****A. Information Assets**

The Contractor, and its Subcontractors/Vendors, shall have in place operational policies, procedures, and practices to protect State information assets, including those assets used to store or access Personal Health Information (PHI), Personal Information (PI) and any information protected under the Health Insurance Portability and Accountability Act (HIPAA), (i.e., public, confidential, sensitive and/or personal identifying information) herein referred to as Personal, Sensitive and Confidential Information (PSCI) as specified in the State Administrative Manual, 5300 to 5365.3; Cal. Gov. Code § 11019.9, DGS Management Memo 06-12; DOF Budget Letter 06-34; and CDA Program Memorandum 07-18 Protection of Information Assets and the Statewide Health Information Policy Manual.

Information assets may be in hard copy or electronic format and may include but is not limited to:

1. Reports
2. Notes
3. Forms
4. Computers, laptops, cellphones, printers, scanners
5. Networks (LAN, WAN, WIFI) servers, switches, routers
6. Storage media, hard drives, flash drives, cloud storage
7. Data, applications, databases

**B. Encryption of Computing Devices**

The Contractor, and its Subcontractors/Vendors, are required to use 128-Bit encryption for PSCI data that is collected and stored under this Agreement that is confidential, sensitive, and/or personal information including data stored on all computing devices (including but not limited to, workstations, servers, laptops, personal digital assistants, notebook computers and backup media) and/or portable electronic storage media (including but not limited to, discs, thumb/flash drives, portable hard drives, and backup media).

**C. Disclosure**

1. The Contractor, and its Subcontractors/Vendors, shall ensure that all PSCI is protected from inappropriate or unauthorized access or disclosure in accordance with applicable laws, regulations and State policies.
2. The Contractor, and its Subcontractors/Vendors, shall protect from unauthorized disclosure, PSCI such as names and other identifying information concerning

## California Department of Aging

IF-2223 Contract  
Exhibit D – Special Terms and Conditions

## ARTICLE XVIII. INFORMATION INTEGRITY, AND SECURITY (Continued)

persons receiving services pursuant to this Agreement, except for statistical information not identifying any participant.

3. “Personal Identifying information” shall include, but not be limited to: name; identifying number; social security number; state driver’s license or state identification number; financial account numbers; and symbol or other identifying characteristic assigned to the individual, such as finger or voice print or a photograph.
4. The Contractor, and its Subcontractors/Vendors, shall not use PSCI above for any purpose other than carrying out the Contractor’s obligations under this Agreement. The Contractor and its Subcontractors are authorized to disclose and access identifying information for this purpose as required by OAA.
5. The Contractor and its Subcontractors/Vendors, shall not, except as otherwise specifically authorized or required by this Agreement or court order, disclose any identifying information obtained under the terms of this Agreement to anyone other than CDA without prior written authorization from CDA. The Contractor may be authorized, in writing, by a participant to disclose identifying information specific to the authorizing participant.
6. The Contractor, and its Subcontractors/Vendors, may allow a participant to authorize the release of information to specific entities, but shall not request or encourage any participant to give a blanket authorization or sign a blank release, nor shall the Contractor accept such blanket authorization from any participant.

D. Security Awareness Training

1. The Contractor’s employees, Subcontractors/Vendors, and volunteers handling PSCI must complete the required CDA Security Awareness Training module located at <https://www.aging.ca.gov/ProgramsProviders/#Resources> within thirty (30) days of the start date of the Contract/Agreement, within thirty (30) days of the start date of any new employee, Subcontractor, Vendor or volunteer’s employment and annually thereafter.
2. The Contractor must maintain certificates of completion on file and provide them to CDA upon request.

## California Department of Aging

IF-2223 Contract  
Exhibit D – Special Terms and Conditions

## ARTICLE XVIII. INFORMATION INTEGRITY, AND SECURITY (Continued)

E. Health Insurance Portability and Accountability Act (HIPAA)

The Contractor agrees to comply with the privacy and security requirements of HIPAA and ensure that Subcontractors/Vendors comply with the privacy and security requirements of HIPAA.

F. Information Integrity and Security Statement

The Contractor shall sign and return an Information Integrity and Security Statement (CDA 1024) form with this Agreement. This is to ensure that the Contractor is aware of, and agrees to comply with, their obligations to protect CDA information assets, including PSCI, from unauthorized access and disclosure.

G. Security Incident Reporting

A security incident occurs when CDA information assets are or reasonably believed to have been accessed, modified, destroyed, or disclosed without proper authorization, or are lost or stolen. The Contractor, and its Subcontractors/Vendors, must comply with CDA's security incident reporting procedure located at <https://www.aging.ca.gov/ProgramsProviders/#Resources>.

H. Security Breach Notifications

Notice must be given by the Contractor, and/or its Subcontractors/Vendors to anyone whose PSCI could have been breached in accordance with HIPAA, the Information Practices Act of 1977, and State policy.

I. Software Maintenance

The Contractor, and its Subcontractors/Vendors, shall apply security patches and upgrades in a timely manner and keep virus software up-to-date on all systems on which State data may be stored or accessed.

J. Electronic Backups

The Contractor, and its Subcontractors/Vendors, shall ensure that all electronic information is protected by performing regular backups of files and databases and ensure the availability of information assets for continued business. The Contractor, and its Subcontractors/Vendors, shall ensure that all data, files and backup files are encrypted.

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

ARTICLE XVIII. INFORMATION INTEGRITY, AND SECURITY (Continued)

K. Provisions of this Article

The provisions contained in this Article shall be included in all contracts of both the Contractor and its Subcontractors/Vendors.

ARTICLE XIX. COPYRIGHTS AND RIGHTS IN DATA

A. Copyrights

1. If any material funded by this Agreement is subject to copyright, the State reserves the right to copyright such material and the Contractor agrees not to copyright such material, except as set forth in Section B of this Article.
2. The Contractor may request permission to copyright material by writing to the Director of CDA. The Director shall grant permission, or give reason for denying permission to the Contractor in writing within sixty (60) days of receipt of the request.
3. If the material is copyrighted with the consent of CDA, the State reserves a royalty-free, non-exclusive, and irrevocable license to reproduce, prepare derivative works, publish, distribute and use such materials, in whole or in part, and to authorize others to do so, provided written credit is given to the author.
4. The Contractor certifies that it has appropriate systems and controls in place to ensure that State funds will not be used in the performance of this contract for the acquisition, operation, or maintenance of computer software in violation of copyright laws.

B. Rights in Data

1. The Contractor shall not publish or transfer any materials, as defined in paragraph 2 below, produced or resulting from activities supported by this Agreement without the express written consent of the Director of CDA. That consent shall be given, or the reasons for denial shall be given, and any conditions under which it is given or denied, within thirty (30) days after the written request is received by CDA. CDA may request a copy of the material for review prior to approval of the request. This subsection is not intended to prohibit the Contractor from sharing identifying client information authorized by the participant or summary program information which is not client-specific.
2. As used in this Agreement, the term “subject data” means writings, sound recordings, pictorial reproductions, drawings, designs or graphic representations, procedural manuals, forms, diagrams, workflow charts,

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE XIX. COPYRIGHTS AND RIGHTS IN DATA (Continued)**

equipment descriptions, data files and data processing or computer programs, and works of any similar nature (whether or not copyrighted or copyrightable) which are first produced or developed under this Agreement. The term does not include financial reports, cost analyses and similar information incidental to contract administration, or the exchange of that information between AAAs to facilitate uniformity of contract and program administration on a statewide basis.

3. Subject only to other provisions of this Agreement, the State may use, duplicate, or disclose in any manner, and have or permit others to do so subject to State and federal law, all subject data delivered under this Agreement.

**ARTICLE XX. BILINGUAL AND LINGUISTIC PROGRAM SERVICES**

**A. Needs Assessment**

1. The Contractor shall conduct a cultural and linguistic group-needs assessment of the eligible client population in the Contractor's service area to assess the language needs of the population and determine what reasonable steps are necessary to ensure meaningful access to services and activities to eligible individuals. [22 CCR 98310, 98314]

The group-needs assessment shall take into account the following four (4) factors:

- a. Number or proportion of persons with Limited English Proficiency (LEP) eligible to be served or encountered by the program.
- b. Frequency with which LEP individuals come in contact with the program.
- c. Nature and importance of the services provided.
- d. Local or frequently used resources available to the Contractor.

This group-needs assessment will serve as the basis for the Contractor's determination of "reasonable steps" and provide documentary evidence of compliance with Cal. Gov. Code § 11135 et seq.; 2 CCR 11140, 2 CCR 11200 et seq., and 22 CCR98300 et seq.

2. The Contractor shall prepare and make available a report of the findings of the group-needs assessment that summarizes:

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE XX. BILINGUAL AND LINGUISTIC PROGRAM SERVICES (Continued)**

- a. Methodologies used.
  - b. The linguistic and cultural needs of non-English speaking or LEP groups.
  - c. Services proposed to address the needs identified and a timeline for implementation. [22 CCR 98310]
3. The Contractor shall maintain a record of the group-needs assessment on file at the Contractor's headquarters at all times during the term of this Agreement. [22 CCR 98310, 98313]

**B. Provision of Services**

1. The Contractor shall take reasonable steps, based upon the group-needs assessment identified in Section A of this Article, to ensure that "alternative communication services" are available to non-English speaking or LEP beneficiaries of services under this Agreement.  
  
[22 CCR 11162]
2. "Alternative communication services" include, but are not limited to, the provision of services and programs by means of the following:
  - a. Interpreters or bilingual providers and provider staff.
  - b. Contracts with interpreter services.
  - c. Use of telephone interpreter lines.
  - d. Sharing of language assistance materials and services with other providers.
  - e. Translated written information materials, including but not limited to, enrollment information and descriptions of available services and programs.
  - f. Referral to culturally and linguistically appropriate community service programs.
3. Based upon the findings of the group-needs assessment, the Contractor shall ensure that reasonable alternative communication services are available to meet the linguistic needs of identified eligible client population groups at key points of contact. Key points of contact include, but are not limited to, telephone contacts, office visits and in-home visits.  
  
[22 CCR 11162]

**California Department of Aging**

**IF-2223 Contract  
Exhibit D – Special Terms and Conditions**

**ARTICLE XX. BILINGUAL AND LINGUISTIC PROGRAM SERVICES (Continued)**

The Contractor shall self-certify to compliance with the requirements of this section and shall maintain the self-certification record on file at the Contractor's office at all times during the term of this Agreement. [22 CCR 98310]

4. The Contractor shall notify its employees of clients' rights regarding language access and the Contractor's obligation to ensure access to alternative communication services where determined appropriate based upon the needs assessment conducted by the Contractor. [22 CCR 98324]
5. Noncompliance with this section may result in suspension or termination of funds and/or termination of this Agreement. [22 CCR 98370]

**C. Compliance Monitoring**

1. The Contractor shall develop and implement policies and procedures for assessing and monitoring the performance of individuals and entities that provide alternative communication services to non-English and LEP clients. [22 CCR 98310]
2. The Contractor shall monitor, evaluate, and take effective action to address any needed improvement in the delivery of culturally and linguistically appropriate services. [22 CCR 98310]
3. The Contractor shall permit timely access to all records of compliance with this section. Failure to provide access to such records may result in appropriate sanctions. [22 CCR 98314]

**D. Notice to Eligible Beneficiaries of Contracted Services**

1. The Contractor shall designate an employee to whom initial complaints or inquiries regarding national origin can be directed. [22 CCR 98325]
2. The Contractor shall make available to ultimate beneficiaries of contracted services and programs information regarding CDA's procedure for filing a complaint and other information regarding the provisions of Cal. Gov. Code § 11135 et seq. [22 CCR 98326]
3. The Contractor shall notify CDA immediately of a complaint alleging discrimination based upon a violation of State or federal law. [2 CCR 11162, 22 CCR 98310, 98340]

**California Department of Aging**

**IF-2223 Contract  
Exhibit E – Additional Provisions**

**ARTICLE I. ASSURANCES SPECIFIC TO THIS AGREEMENT**

- A. The Contractor shall assure that the following conditions are met:
1. Services shall be provided only to eligible participants of the Dignity at Home Fall Prevention Program, Older Californians Nutrition Program (OCNP)/Intergenerational Activities, Family Caregiver Support Program (FCSP), and Legal Services as defined in Exhibit A.
  2. Funds made available under this Agreement shall supplement, not supplant, any federal, State, or local funds expended by a State or unit of local government to provide fall prevention services.
  3. For the OCNP, FCSP, and Legal Services, the Contractor assures that voluntary contributions shall be allowed and may be solicited in accordance with the following requirements [OAA § 315(b)]:
    - a. The Contractor or any subcontractors for any services covered in this agreement shall not use means tests.
    - b. Any client that does not contribute toward the cost of the services received shall not be denied services.
    - c. Methods used to solicit voluntary contributions for services covered in this agreement shall be non-coercive.
    - d. Each service provider will:
      - i. Provide each recipient with an opportunity to voluntarily contribute to the cost of the service.
      - ii. Clearly inform each recipient that there is no obligation to contribute, and that the contribution is purely voluntary.
      - iii. Protect the privacy and confidentiality of each recipient with respect to the recipient's contribution or lack of contribution; and
      - iv. Establish appropriate procedures to safeguard and account for all contributions.
      - v. Use all collected contributions to expand the services for which the contributions were given and to supplement (not supplant) funds received under this Act.
  4. Funds made available under this Agreement shall be budgeted and expended in accordance with the five federal support service components

**California Department of Aging**

**IF-2223 Contract  
Exhibit E – Additional Provisions**

specified in OAA § 373(b), and distinguished between “caregiver” and “grandparent” support services, as required for OAAPS.

5. Funds made available under this Agreement shall supplement and not supplant other services that may directly or indirectly support unpaid caregiving, such as Medicaid waiver programs (e.g., the Multipurpose Senior Services Program, etc.) or other caregiver services such as those provided through the Department of Social Services’ Kinship Support Service Programs, the California Community Colleges’ Foster and Kinship Care Education Programs, the Department of Developmental Services’ Regional Centers, the California Caregiver Resource Centers, and other Title III funded providers.

**ARTICLE II. SUBCONTRACTS**

The Contractor shall ensure that the subcontractor’s selection process is based upon equitable criteria that provides for adequate publicity, screens out unqualified subcontractors, and awards contracts to the lowest responsible and responsive bidder(s).

- A. Subcontracts shall require all subcontractors to report immediately in writing to the Contractor any incidents of fraud or abuse to program participants, in the delivery of services, or in subcontractors’ operations.
- B. The Contractor shall require all subcontracts to comply with the Health Insurance Portability and Accountability Act (HIPAA) Business Associate requirements in Exhibit F, as it relates to services rendered.
- C. The Contractor shall make timely payments to its subcontractors under this Agreement.

**ARTICLE III. REPORTING PROVISIONS**

- A. The Contractor shall submit reports to the State for all programs as follows on a format prescribed by CDA, and will assure that all submitted data is complete, accurate, and verifiable to the best of their knowledge.
  1. Quarterly, the Contractor shall electronically submit the following service level data elements for all programs:
    - a. Number of service units delivered
    - b. Number of persons served
    - c. Total expenditure amount
    - d. Any additional reporting elements as specified for each program

## California Department of Aging

IF-2223 Contract  
Exhibit E – Additional Provisions

2. The Contractor shall electronically submit data as instructed by CDA using the due dates as indicated below:

Table 1-Data Reporting Due Dates

Quarter	Reporting Period	Due Date
Quarter 1	July 1 – September 30	October 31
Quarter 2	October 1 – December 31	January 31
Quarter 3	January 1 – March 31	April 30
Quarter 4	April 1 – June 30	July 31

3. For reports that will be submitted late, ten (10) calendar days prior to the report due date, the Contractor shall submit to the Data Team ([DataTeam.Reports@aging.ca.gov](mailto:DataTeam.Reports@aging.ca.gov)), a written explanation including the reasons for the delay and the estimated date of submission.
4. Fiscal Closeout Report

As part of the closeout procedures for this contract, the Contractor shall submit a closeout package. CDA will transmit specific closeout instructions, including the Closeout Report due date, under separate cover.

## B. Reporting requirements specific to OCNP/Intergenerational Activities

1. In addition to the data elements found in Section A, the contractor shall submit a brief narrative describing any successes and challenges of the program as instructed by CDA.

## C. Reporting requirements specific to Family Caregiver Support Program

1. In addition to the data elements found in Section A, the contractor shall submit a brief narrative describing any successes and challenges of the program as instructed by CDA.

## D. Reporting requirements specific to Dignity at Home Fall Prevention Program

1. In addition to the data elements found in Section A, the Contractor shall submit a brief narrative describing any successes and challenges of the program as instructed by CDA.

## E. Reporting requirements specific to Legal Services

1. In addition to the data elements found in Section A, the Contractor shall submit a brief narrative describing any successes and challenges of the program as instructed by CDA.

# Contractor Certification Clauses

CCC 04/2017

## CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Contractor/Bidder Firm Name (Printed)	Federal ID Number
County of Monterey	94-6000524
By (Authorized Signature)	

Printed Name and Title of Person Signing	
Lori A. Medina, Director, Department of Social Services	
Date Executed	Executed in the County of
	Monterey CA

## CONTRACTOR CERTIFICATION CLAUSES

1. **STATEMENT OF COMPLIANCE:** Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 11102) (Not applicable to public entities.)

2. **DRUG-FREE WORKPLACE REQUIREMENTS:** Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

- 1) the dangers of drug abuse in the workplace;
- 2) the person's or organization's policy of maintaining a drug-free workplace;
- 3) any available counseling, rehabilitation and employee assistance programs; and,
- 4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

- 1) receive a copy of the company's drug-free workplace policy statement; and,

2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

4. CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO REQUIREMENT: Contractor hereby certifies that Contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lesser of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. EXPATRIATE CORPORATIONS: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

6. SWEATFREE CODE OF CONDUCT:

a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at [www.dir.ca.gov](http://www.dir.ca.gov), and Public Contract Code Section 6108.

b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably

required by authorized officials of the contracting agency, the Department of Industrial Relations, or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).

7. DOMESTIC PARTNERS: For contracts of \$100,000 or more, Contractor certifies that Contractor is in compliance with Public Contract Code section 10295.3.

8. GENDER IDENTITY: For contracts of \$100,000 or more, Contractor certifies that Contractor is in compliance with Public Contract Code section 10295.35.

## **DOING BUSINESS WITH THE STATE OF CALIFORNIA**

The following laws apply to persons or entities doing business with the State of California.

1. CONFLICT OF INTEREST: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.

2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.

2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (Pub. Contract Code §10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (Pub. Contract Code §10430 (e))

2. LABOR CODE/WORKERS' COMPENSATION: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and

Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)

3. AMERICANS WITH DISABILITIES ACT: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

4. CONTRACTOR NAME CHANGE: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.

b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.

c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.

6. RESOLUTION: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

7. AIR OR WATER POLLUTION VIOLATION: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.

8. PAYEE DATA RECORD FORM STD. 204: This form must be completed by all contractors that are not another state agency or other governmental entity.

STATE OF CALIFORNIA  
 CALIFORNIA DEPARTMENT OF AGING  
**CALIFORNIA CIVIL RIGHTS LAWS CERTIFICATION**  
 CDA 9026 (NEW 04/2018)



Pursuant to Public Contract Code section 2010, a person that submits a bid or proposal to, or otherwise proposes to enter into or renew a contract with, a state agency with respect to any contract in the amount of \$100,000 or above shall certify, under penalty of perjury, at the time the bid or proposal is submitted or the contract is renewed, all of the following:

1. **CALIFORNIA CIVIL RIGHTS LAWS:** For contracts executed or renewed after January 1, 2017, the contractor certifies compliance with the Unruh Civil Rights Act (Section 51 of the Civil Code) and the Fair Employment and Housing Act (Section 12960 of the Government Code); and
2. **EMPLOYER DISCRIMINATORY POLICIES:** For contracts executed or renewed after January 1, 2017, if a Contractor has an internal policy against a sovereign nation or peoples recognized by the United States government, the Contractor certifies that such policies are not used in violation of the Unruh Civil Rights Act (Section 51 of the Civil Code) or the Fair Employment and Housing Act (Section 12960 of the Government Code).

**CERTIFICATION**

I, the official named below, certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.	
Contractor Name (Printed): County of Monterey	Federal ID Number: 94-60000524
By (Authorized Signature):	
Printed Name and Title of Person Signing: Lori A. Medina, Director, Department of Social Services	
Date Executed:	Executed in the County and State of: Monterey CA
Indicate all California Department of Aging contracts your organization participates in:	
<input checked="" type="checkbox"/> Area Plan (AP)	<input type="checkbox"/> Financial Alignment (FA)
<input checked="" type="checkbox"/> HICAP (HI)	<input checked="" type="checkbox"/> MIPPA (MI)
<input type="checkbox"/> MSSP (MS)	<input type="checkbox"/> SNAP-Ed (SP)
<input type="checkbox"/> Title V (TV)	

STATE OF CALIFORNIA  
CALIFORNIA DEPARTMENT OF AGING  
**INFORMATION INTEGRITY AND SECURITY STATEMENT**  
CDA 1024 (REV 03/2020)



**In compliance with California Government Code Section 11019.9, California Civil Code Section 1798 et seq., Department of General Services Management Memo 06-12, and Statewide Information Management Manual (SIMM) 5300 the California Department of Aging (CDA) hereby requires the Contractor/Vendor to:**

**ACKNOWLEDGE:**

- Any wrongful access, inspection, use, or disclosure of Personal, Confidential or Sensitive Information (PSCI) is a crime and is prohibited under state and federal laws, including but not limited to California Penal Code Section 502, California Government Code Section 15619, California Civil Code Section 1798.53 and 1798.55, and the Health Insurance Portability and Accountability Act. Acknowledge.
- Any wrongful access, inspection, use, disclosure, or modification of PSCI information may result in termination of this Contract/Agreement.

**MEET THE FOLLOWING REQUIREMENTS:**

- PSCI information shall be protected from disclosure in accordance with all applicable laws, regulations, and policies.
- PSCI data be protected by authorized access using the principles of least privilege.
- Any occurrence that actually or potentially jeopardizes the confidentiality, integrity, or availability of an information system or the information the system processes, stores, or transmits or that constitutes a violation or imminent threat of violation of security policies, security procedures or acceptable use policies will immediately be reported to CDA by completing a Security Incident Report CDA (1025A and 1025B).
- All access codes which allow access to confidential information will be properly safeguarded.
- Obligations to protect PSCI information obtained under this Contract/Agreement will continue after termination of the Contract/Agreement with CDA.
- All employees/subcontractors of the Contractor/Vendor will complete the required Security Awareness Training module located at [https://aging.ca.gov/Information\\_security/](https://aging.ca.gov/Information_security/) within 30 days of the start date of the Contract/Agreement or within 30 days of the start date of any new employee or subcontractor. This training must be completed annually.
- All employees/subcontractors of the Contractor/Vendor must comply with CDA's confidentiality and data security requirements as outlined in the Contract/Agreement.
- All employees/subcontractors of the Contractor/Vendor must comply with the Appendix D, section XVIII encryption and self-certification requirements as outlined in the contract.

STATE OF CALIFORNIA  
CALIFORNIA DEPARTMENT OF AGING  
**INFORMATION INTEGRITY AND SECURITY STATEMENT**  
CDA 1024 (REV 03/2020)



**CERTIFY:**

To protect PSCI information by:

- Accessing, inspecting, using, disclosing or modifying PSCI information only for the purpose of performing official duties.
- Never accessing, inspecting, using, disclosing, or modifying PSCI information for curiosity, personal gain, or any non-business-related reason.
- Securing PSCI information in approved locations.
- Never removing PSCI information from the work site without authorization.

Meets the encryption requirements in Exhibit D Article 18:

- Is in full compliance with the 128 Encryption requirements.
- Is not in compliance with the 128 Encryption requirements and will achieve compliance by \_\_\_\_\_.

**I hereby certify that I have reviewed this Confidentiality Statement and will comply with the above statements.**

Lori A. Medina, Director, Department of Social Services

\_\_\_\_\_  
Contractor/Vendor Printed Name and Title

\_\_\_\_\_  
Contractor/Vendor Signature

\_\_\_\_\_  
Date

Fall Prevention, Nutrition, Family Caregiver Support, Intergr  
CDA Program/Project

IF-2223

\_\_\_\_\_  
Contract Number

SCO ID: 4170-IF222332

STATE OF CALIFORNIA – DEPARTMENT OF GENERAL SERVICES

**STANDARD AGREEMENT**

STD 213 (Rev. 04/2020)

AGREEMENT NUMBER

IF-2223-32

PURCHASING AUTHORITY NUMBER (If Applicable)

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

California Department of Aging

CONTRACTOR NAME

County of Monterey

2. The term of this Agreement is:

START DATE

07/01/2022

THROUGH END DATE

12/31/2024

3. The maximum amount of this Agreement is:

\$ 973,557 Nine hundred seventy-three thousand five hundred fifty-seven and 00/100 dollars

4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of the Agreement.

EXHIBITS	TITLE	PAGES
Exhibit A	Scope of Work	9 pages
Exhibit A, Attachment 1	General Information	1 page
Exhibit B	Budget Detail, Payment Provisions, and Closeout	8 pages
Exhibit B, Attachment 1	Budget Display – Dignity at Home Fall Prevention	1 page
Exhibit B, Attachment 2	Budget Display – Nutrition Program & Intergenerational Activities	1 page
Exhibit B, Attachment 3	Budget Display – Family Caregiver Support	1 page
Exhibit B, Attachment 4	Budget Display – Senior Legal Services	1 page
Exhibit C*	General Terms and Conditions – GTC-4/2017*	0 pages
Exhibit D	Special Terms and Conditions	34 pages
Exhibit E	Additional Provisions	3 pages

Items shown with an asterisk (\*), are hereby incorporated by reference and made part of this agreement as if attached hereto.

These documents can be viewed at <https://www.dgs.ca.gov/OLS/Resources>**IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.****CONTRACTOR**

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

County of Monterey

CONTRACTOR BUSINESS ADDRESS  
1000 South Main Street Suite 301CITY  
SalinasSTATE  
CAZIP  
93901-  
2356

PRINTED NAME OF PERSON SIGNING

Lori A. Medina

TITLE

Director, Department of Social Services

CONTRACTOR AUTHORIZED SIGNATURE

DATE SIGNED

**STATE OF CALIFORNIA**

CONTRACTING AGENCY NAME

California Department of Aging

CONTRACTING AGENCY ADDRESS  
2880 Gateway Oaks Drive, Suite 200CITY  
SacramentoSTATE  
CAZIP  
95833

PRINTED NAME OF PERSON SIGNING

Nate Gillen

TITLE

Chief, Business Management Bureau

CONTRACTING AGENCY AUTHORIZED SIGNATURE

DATE SIGNED

CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL

EXEMPTION (If Applicable)

AG OP 80-111

DocuSigned by:

Anne Brenton, County Counsel

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8/19/2022 | 12:53 PM PDT

SCO ID: 4170-IF222332

STATE OF CALIFORNIA – DEPARTMENT OF GENERAL SERVICES

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Exhibit B, Attachment 2	Budget Display – Nutrition Program & Intergenerational Activities	1 page
Exhibit B, Attachment 3	Budget Display – Family Caregiver Support	1 page
Exhibit B, Attachment 4	Budget Display – Senior Legal Services	1 page
Exhibit C*	General Terms and Conditions – GTC-4/2017*	0 pages
Exhibit D	Special Terms and Conditions	34 pages
Exhibit E	Additional Provisions	3 pages

Items shown with an asterisk (\*), are hereby incorporated by reference and made part of this agreement as if attached hereto.

These documents can be viewed at <https://www.dgs.ca.gov/OLS/Resources>

IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.

**CONTRACTOR**

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

County of Monterey

CONTRACTOR BUSINESS ADDRESS  
1000 South Main Street Suite 301CITY  
SalinasSTATE  
CAZIP  
93901-  
2356

PRINTED NAME OF PERSON SIGNING

Lori A. Medina

TITLE

Director, Department of Social Services

CONTRACTOR AUTHORIZED SIGNATURE

DATE SIGNED

**STATE OF CALIFORNIA**

CONTRACTING AGENCY NAME

California Department of Aging

CONTRACTING AGENCY ADDRESS  
2880 Gateway Oaks Drive, Suite 200CITY  
SacramentoSTATE  
CAZIP  
95833

PRINTED NAME OF PERSON SIGNING

Nate Gillen

TITLE

Chief, Business Management Bureau

CONTRACTING AGENCY AUTHORIZED SIGNATURE

DATE SIGNED

CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL

EXEMPTION (If Applicable)

AG OP 80-111

DocuSigned by:

Anne Brennon, County Counsel

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SCO ID: 4170-IF222332

STATE OF CALIFORNIA – DEPARTMENT OF GENERAL SERVICES

**STANDARD AGREEMENT**

STD 213 (Rev. 04/2020)

AGREEMENT NUMBER

IF-2223-32

PURCHASING AUTHORITY NUMBER (If Applicable)

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

California Department of Aging

CONTRACTOR NAME

County of Monterey

2. The term of this Agreement is:

START DATE

07/01/2022

THROUGH END DATE

12/31/2024

3. The maximum amount of this Agreement is:

\$ 973,557 Nine hundred seventy-three thousand five hundred fifty-seven and 00/100 dollars

4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of the Agreement.

EXHIBITS	TITLE	PAGES
Exhibit A	Scope of Work	9 pages
Exhibit A, Attachment 1	General Information	1 page
Exhibit B	Budget Detail, Payment Provisions, and Closeout	8 pages
Exhibit B, Attachment 1	Budget Display – Dignity at Home Fall Prevention	1 page
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