

Attachment D



Monterey County Board of Supervisors

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1st Floor
Salinas, CA 93901
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www.co.monterey.ca.us

Board Order

A motion was made by Supervisor John M Phillips, seconded by Supervisor Mary L. Adams to:

Agreement No.: A-15326 & Agreement No.: A-15327

- a. Approve Professional Services Agreements with the following two (2) contractors: Harris & Associates, Inc. and Wallace Group, a California Corporation, to provide engineering reports for County Services Areas and on-call engineering services for County Service Areas and County Sanitation Districts pursuant to Request for Proposals (RFP) #10782, amounts not to exceed \$500,000 each, for a combined total of \$1,000,000, for a term of three (3) years beginning June 1, 2021 to May 31, 2024, with the option to extend each Agreement for up to two (2) additional years; and
- b. Authorize the Contracts/Purchasing Officer or Contracts/Purchasing Supervisor to execute the Standard Agreements and future amendments to the Agreements where the amendments do not increase the approved Agreement amount.

PASSED AND ADOPTED on this 15th day of June 2021, by roll call vote:

AYES: Supervisors Alejo, Phillips, Lopez, Askew and Adams
NOES: None
ABSENT: None
(Government Code 54953)

I, Valerie Ralph, Clerk of the Board of Supervisors of the County of Monterey, State of California, hereby certify that the foregoing is a true copy of an original order of said Board of Supervisors duly made and entered in the minutes thereof of Minute Book 82 for the meeting June 15, 2021.

Dated: June 17, 2021
File ID: A 21-248
Agenda Item No.: 59

Valerie Ralph, Clerk of the Board of Supervisors
County of Monterey, State of California

Julian Lorenzana, Deputy

**COUNTY OF MONTEREY AGREEMENT FOR PROFESSIONAL SERVICES
WITH SURVEYORS, ARCHITECTS, ENGINEERS & DESIGN PROFESSIONALS
(MORE THAN \$100,000)***

This Professional Services Agreement (“Agreement”) is made by and between the County of Monterey, a political subdivision of the State of California (hereinafter “County”) and:
Wallace Group, a California Corporation
(hereinafter “CONTRACTOR”).

In consideration of the mutual covenants and conditions set forth in this Agreement, the parties agree as follows:

1. **SERVICES TO BE PROVIDED.** The County hereby engages CONTRACTOR to perform, and CONTRACTOR hereby agrees to perform, the services described in **Exhibit A** in conformity with the terms of this Agreement. The services are generally described as follows:
Provide engineering reports to determine annual maintenance requirements and capital improvement needs for selected County Service Areas (CSA) & On-Call Engineering Services for variety of infrastructure upgrade and repair projects for the CSAs and County Sanitation Districts (CSDs).

2. **PAYMENTS BY COUNTY.** County shall pay the CONTRACTOR in accordance with the payment provisions set forth in **Exhibit A**, subject to the limitations set forth in this Agreement. The total amount payable by County to CONTRACTOR under this Agreement shall not exceed the sum of \$500,000.

3. **TERM OF AGREEMENT.** The term of this Agreement is from June 1, 2021 to May 31, 2024, unless sooner terminated pursuant to the terms of this Agreement. This Agreement is of no force or effect until signed by both CONTRACTOR and County and with County signing last, and CONTRACTOR may not commence work before County signs this Agreement.

4. **ADDITIONAL PROVISIONS/EXHIBITS.** The following attached exhibits are incorporated herein by reference and constitute a part of this Agreement:

- Exhibit A** **Scope of Services/Payment Provisions**
- Exhibit B Incorporation of RFP #10782, Addendum No. 1 to RFP #10782, and Proposal Documents
- Exhibit C Revision to Paragraph 8, Indemnification, of Agreement

5. PERFORMANCE STANDARDS.

5.01. CONTRACTOR warrants that CONTRACTOR and CONTRACTOR’s agents, employees, and subcontractors performing services under this Agreement are specially trained, experienced, competent, and appropriately licensed to perform the work and deliver the services required under this Agreement and are not employees of the County, or immediate family of an employee of the County.

5.02. CONTRACTOR, its agents, employees, and subcontractors shall perform all work in a safe and skillful manner and in compliance with all applicable laws and regulations. All work performed under this Agreement that is required by law to be performed or supervised by licensed personnel shall be performed in accordance with such licensing requirements.

*Approved by County Board of Supervisors on _____.

5.03. CONTRACTOR shall furnish, at its own expense, all materials, equipment, and personnel necessary to carry out the terms of this Agreement, except as otherwise specified in this Agreement. CONTRACTOR shall not use County premises, property (including equipment, instruments, or supplies) or personnel for any purpose other than in the performance of its obligations under this Agreement.

6. PAYMENT CONDITIONS.

6.01. CONTRACTOR shall submit to the Contract Administrator an invoice on a form acceptable to County. If not otherwise specified, the CONTRACTOR may submit such invoice periodically or at the completion of services, but in any event, not later than 30 days after completion of services. The invoice shall set forth the amounts claimed by CONTRACTOR for the previous period, together with an itemized basis for the amounts claimed, and such other information pertinent to the invoice as the County may require. The Contract Administrator or his or her designee shall certify the invoice; either in the requested amount or in such other amount as the County approves in conformity with this Agreement, and shall promptly submit such invoice to the County Auditor-Controller for payment. The County Auditor-Controller shall pay the amount certified within 30 days of receiving the certified invoice.

6.02. CONTRACTOR shall not receive reimbursement for travel expenses unless set forth in this Agreement.

7. TERMINATION.

7.01. During the term of this Agreement, the County may terminate the Agreement for any reason by giving written notice of termination to the CONTRACTOR at least thirty (30) days prior to the effective date of termination. Such notice shall set forth the effective date of termination. In the event of such termination, the amount payable under this Agreement shall be reduced in proportion to the services provided prior to the date of termination.

7.02. The County may cancel and terminate this Agreement for good cause effective immediately upon written notice to CONTRACTOR. "Good cause" includes the failure of CONTRACTOR to perform the required services at the time and in the manner provided under this Agreement. If County terminates this Agreement for good cause, the County may be relieved of the payment of any consideration to CONTRACTOR, and the County may proceed with the work in any manner, which County deems proper. The cost to the County shall be deducted from any sum due the CONTRACTOR under this Agreement.

8. **INDEMNIFICATION.** Please refer to Exhibit C

DS BB	/	DS TE	/	5/4/2021	/	5/4/2021
Contractor's Initials			Date			

8.01 For purposes of the following indemnification provisions ("Indemnification Agreement"), "design professional" has the same meaning as set forth in California Civil Code section 2782.8. ~~If any term, provision or application of this Indemnification Agreement is found to be invalid, in violation of public policy or unenforceable to any extent, such finding shall not invalidate any other term or provision of this Indemnification Agreement and such other terms and provisions shall continue in full force and effect. If there is any conflict between the terms, provisions or application of this Indemnification Agreement and the provisions of California Civil Code Sections 2782 or 2782.8, the broadest indemnity protection for the COUNTY under this Indemnity Agreement that is permitted by law shall be provided by CONTRACTOR.~~

8.02 Indemnification for Design Professional Services Claims:

CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claims that arise out of, or pertain to, or relate to the negligence, recklessness, or willful misconduct of the CONTRACTOR, its employees, subcontractors, and agents in the performance of design professional services under this Agreement, excepting only liability arising from the sole negligence, active negligence or willful misconduct of the COUNTY, or defect in a design furnished by the COUNTY.

8.03 Indemnification for All Other Claims or Loss:

For any claim, loss, injury, damage, expense or liability other than claims arising out of the CONTRACTOR's performance of design professional services under this Agreement, CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claim for loss, injury, damage, expense or liability resulting from or alleging injury to or death of any person or loss of use of or damage to property, arising from or related to the performance of services under this Agreement by CONTRACTOR, its employees, subcontractors or agents, excepting only liability arising from the sole negligence, active negligence or willful misconduct of the COUNTY, or defect in a design furnished by the COUNTY.

9.0 INSURANCE.

9.01 Evidence of Coverage:

Prior to commencement of this Agreement, the Contractor shall provide a "Certificate of Insurance" certifying that coverage as required herein has been obtained. Individual endorsements executed by the insurance carrier shall accompany the certificate. In addition the Contractor upon request shall provide a certified copy of the policy or policies.

This verification of coverage shall be sent to the County's, Contracts/Purchasing Department, unless otherwise directed. The Contractor shall not receive a "Notice to Proceed" with the work under this Agreement until it has obtained all insurance required and such, insurance has been approved by the County. This approval of insurance shall neither relieve nor decrease the liability of the Contractor.

9.02 Qualifying Insurers:

All coverage's, except surety, shall be issued by companies which hold a current policy holder's alphabetic and financial size category rating of not less than A- VII, according to the current Best's Key Rating Guide or a company of equal financial stability that is approved by the County's Purchasing Manager.

9.03 Insurance Coverage Requirements: Without limiting CONTRACTOR's duty to indemnify, CONTRACTOR shall maintain in effect throughout the term of this Agreement a policy or policies of insurance with the following minimum limits of liability:

Commercial general liability insurance, including but not limited to premises and operations, including coverage for Bodily Injury and Property Damage, Personal Injury, Contractual Liability, Broadform Property Damage, Independent Contractors, Products and Completed Operations, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

Modification (Justification attached; subject to approval).

Business automobile liability insurance, covering all motor vehicles, including owned, leased, non-owned, and hired vehicles, used in providing services under this Agreement, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

Modification (Justification attached; subject to approval).

Workers' Compensation Insurance, if CONTRACTOR employs others in the performance of this Agreement, in accordance with California Labor Code section 3700 and with Employer's Liability limits not less than \$1,000,000 each person, \$1,000,000 each accident and \$1,000,000 each disease.

Modification (Justification attached; subject to approval).

Professional liability insurance, if required for the professional services being provided, (e.g., those persons authorized by a license to engage in a business or profession regulated by the California Business and Professions Code), in the amount of not less than \$1,000,000 per claim and \$2,000,000 in the aggregate, to cover liability for malpractice or errors or omissions made in the course of rendering professional services. If professional liability insurance is written on a "claims-made" basis rather than an occurrence basis, the CONTRACTOR shall, upon the expiration or earlier termination of this Agreement, obtain extended reporting coverage ("tail coverage") with the same liability limits. Any such tail coverage shall continue for at least three years following the expiration or earlier termination of this Agreement.

Modification (Justification attached; subject to approval).

9.04 Other Insurance Requirements.

All insurance required by this Agreement shall be with a company acceptable to the County and issued and executed by an admitted insurer authorized to transact Insurance business in the State of California. Unless otherwise specified by this Agreement, all such insurance shall be written on an occurrence basis, or, if the policy is not written on an occurrence basis, such policy with the coverage required herein shall continue in effect for a period of three years following the date CONTRACTOR completes its performance of services under this Agreement.

Each liability policy shall provide that the County shall be given notice in writing at least thirty days in advance of any endorsed reduction in coverage or limit, cancellation, or intended non-renewal thereof. Each policy shall provide coverage for Contractor and additional insureds with respect to claims arising from each subcontractor, if any, performing work under this Agreement, or be accompanied by a certificate of insurance from each subcontractor showing each subcontractor has identical insurance coverage to the above requirements.

Commercial general liability and automobile liability policies shall provide an endorsement naming the County of Monterey, its officers, agents, and employees as Additional Insureds with respect to liability arising out of the CONTRACTOR'S work, including ongoing and completed

operations, and shall further provide that such insurance is primary insurance to any insurance or self-insurance maintained by the County and that the insurance of the Additional Insureds shall not be called upon to contribute to a loss covered by the CONTRACTOR'S insurance. The required endorsement form for Commercial General Liability Additional Insured is ISO Form CG 20 10 11-85 or CG 20 10 10 01 in tandem with CG 20 37 10 01 (2000). The required endorsement form for Automobile Additional Insured endorsement is ISO Form CA 20 48 02 99.

Prior to the execution of this Agreement by the County, CONTRACTOR shall file certificates of insurance with the County's contract administrator and County's Contracts/Purchasing Division, showing that the CONTRACTOR has in effect the insurance required by this Agreement. The CONTRACTOR shall file a new or amended certificate of insurance within five calendar days after any change is made in any insurance policy, which would alter the information on the certificate then on file. Acceptance or approval of insurance shall in no way modify or change the indemnification clause in this Agreement, which shall continue in full force and effect.

CONTRACTOR shall at all times during the term of this Agreement maintain in force the insurance coverage required under this Agreement and shall send, without demand by County, annual certificates to County's Contract Administrator and County's Contracts/Purchasing Division. If the certificate is not received by the expiration date, County shall notify CONTRACTOR and CONTRACTOR shall have five calendar days to send in the certificate, evidencing no lapse in coverage during the interim. Failure by CONTRACTOR to maintain such insurance is a default of this Agreement, which entitles County, at its sole discretion, to terminate this Agreement immediately.

10. RECORDS AND CONFIDENTIALITY.

- 10.01 Confidentiality. CONTRACTOR and its officers, employees, agents, and subcontractors shall comply with any and all federal, state, and local laws, which provide for the confidentiality of records and other information. CONTRACTOR shall not disclose any confidential records or other confidential information received from the County or prepared in connection with the performance of this Agreement, unless County specifically permits CONTRACTOR to disclose such records or information. CONTRACTOR shall promptly transmit to County any and all requests for disclosure of any such confidential records or information. CONTRACTOR shall not use any confidential information gained by CONTRACTOR in the performance of this Agreement except for the sole purpose of carrying out CONTRACTOR's obligations under this Agreement.
- 10.02 County Records. When this Agreement expires or terminates, CONTRACTOR shall return to County any County records which CONTRACTOR used or received from County to perform services under this Agreement.
- 10.03 Maintenance of Records. CONTRACTOR shall prepare, maintain, and preserve all reports and records that may be required by federal, state, and County rules and regulations related to services performed under this Agreement. CONTRACTOR shall maintain such records for a period of at least three years after receipt of final payment under this Agreement. If any litigation, claim, negotiation, audit exception, or other action relating to this Agreement is pending at the end of the three year period, then CONTRACTOR shall retain said records until such action is resolved.

10.04 Access to and Audit of Records. The County shall have the right to examine, monitor and audit all records, documents, conditions, and activities of the CONTRACTOR and its subcontractors related to services provided under this Agreement. Pursuant to Government Code section 8546.7, if this Agreement involves the expenditure of public funds in excess of \$10,000, the parties to this Agreement may be subject, at the request of the County or as part of any audit of the County, to the examination and audit of the State Auditor pertaining to matters connected with the performance of this Agreement for a period of three years after final payment under the Agreement.

10.05 Royalties and Inventions. County shall have a royalty-free, exclusive and irrevocable license to reproduce, publish, and use, and authorize others to do so, all original computer programs, writings, sound recordings, pictorial reproductions, drawings, and other works of similar nature produced in the course of or under this Agreement. CONTRACTOR shall not publish any such material without the prior written approval of County.

11. **NON-DISCRIMINATION.** During the performance of this Agreement, CONTRACTOR, and its subcontractors, shall not unlawfully discriminate against any person because of race, religious creed, color, sex, national origin, ancestry, physical disability, mental disability, medical condition, marital status, age (over 40), or sexual orientation, either in CONTRACTOR's employment practices or in the furnishing of services to recipients. CONTRACTOR shall ensure that the evaluation and treatment of its employees and applicants for employment and all persons receiving and requesting services are free of such discrimination. CONTRACTOR and any subcontractor shall, in the performance of this Agreement, fully comply with all federal, state, and local laws and regulations, which prohibit discrimination. The provision of services primarily or exclusively to such target population as may be designated in this Agreement shall not be deemed to be prohibited discrimination.

12. **COMPLIANCE WITH TERMS OF STATE OR FEDERAL GRANT.** If this Agreement has been or will be funded with monies received by the County pursuant to a contract with the state or federal government in which the County is the grantee, CONTRACTOR will comply with all the provisions of said contract, to the extent applicable to CONTRACTOR as a subgrantee under said contract, and said provisions shall be deemed a part of this Agreement, as though fully set forth herein. Upon request, County will deliver a copy of said contract to CONTRACTOR, at no cost to CONTRACTOR.

13. **INDEPENDENT CONTRACTOR.** In the performance of work, duties, and obligations under this Agreement, CONTRACTOR is at all times acting and performing as an independent contractor and not as an employee of the County. No offer or obligation of permanent employment with the County or particular County department or agency is intended in any manner, and CONTRACTOR shall not become entitled by virtue of this Agreement to receive from County any form of employee benefits including but not limited to sick leave, vacation, retirement benefits, workers' compensation coverage, insurance or disability benefits. CONTRACTOR shall be solely liable for and obligated to pay directly all applicable taxes, including federal and state income taxes and social security, arising out of CONTRACTOR's performance of this Agreement. In connection therewith, CONTRACTOR shall defend, indemnify, and hold County harmless from any and all liability, which County may incur because of CONTRACTOR's failure to pay such taxes.

14. **NOTICES.** Notices required under this Agreement shall be delivered personally or by first-class, postage pre-paid mail to the County and CONTRACTOR'S contract administrators at the addresses listed below:

FOR COUNTY:	FOR CONTRACTOR:
Nancy Ayala Avila, Management Analyst II	Kari E. Wagner, PE C66026
Name and Title 1441 Schilling Place, South 2nd Floor Salinas, California 93901	Name and Title 612 Clarion Court San Luis Obispo, California 93401
Address	Address
831-755-4848	805-544-4011
Phone	Phone

15. **MISCELLANEOUS PROVISIONS.**

- 15.01 Conflict of Interest. CONTRACTOR represents that it presently has no interest and agrees not to acquire any interest during the term of this Agreement, which would directly or indirectly conflict in any manner or to any degree with the full and complete performance of the professional services required to be rendered under this Agreement.
- 15.02 Amendment. This Agreement may be amended or modified only by an instrument in writing signed by the County and the CONTRACTOR.
- 15.03 Waiver. Any waiver of any terms and conditions of this Agreement must be in writing and signed by the County and the CONTRACTOR. A waiver of any of the terms and conditions of this Agreement shall not be construed as a waiver of any other terms or conditions in this Agreement.
- 15.04 Contractor. The term "CONTRACTOR" as used in this Agreement includes CONTRACTOR's officers, agents, and employees acting on CONTRACTOR's behalf in the performance of this Agreement.
- 15.05 Disputes. CONTRACTOR shall continue to perform under this Agreement during any dispute.
- 15.06 Assignment and Subcontracting. The CONTRACTOR shall not assign, sell, or otherwise transfer its interest or obligations in this Agreement without the prior written consent of the County. None of the services covered by this Agreement shall be subcontracted without the prior written approval of the County. Notwithstanding any such subcontract, CONTRACTOR shall continue to be liable for the performance of all requirements of this Agreement.
- 15.07 Successors and Assigns. This Agreement and the rights, privileges, duties, and obligations of the County and CONTRACTOR under this Agreement, to the extent assignable or delegable, shall be binding upon and inure to the benefit of the parties and their respective successors, permitted assigns, and heirs.

- 15.08 Compliance with Applicable Law. The parties shall comply with all applicable federal, state, and local laws and regulations in performing this Agreement.
- 15.09 Headings. The headings are for convenience only and shall not be used to interpret the terms of this Agreement.
- 15.10 Time is of the Essence. Time is of the essence in each and all of the provisions of this Agreement.
- 15.11 Governing Law. This Agreement shall be governed by and interpreted under the laws of the State of California.
- 15.12 Non-exclusive Agreement. This Agreement is non-exclusive and both County and CONTRACTOR expressly reserve the right to contract with other entities for the same or similar services.
- 15.13 Construction of Agreement. The County and CONTRACTOR agree that each party has fully participated in the review and revision of this Agreement and that any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply in the interpretation of this Agreement or any amendment to this Agreement.
- 15.14 Counterparts. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same Agreement.
- 15.15 Authority. Any individual executing this Agreement on behalf of the County or the CONTRACTOR represents and warrants hereby that he or she has the requisite authority to enter into this Agreement on behalf of such party and bind the party to the terms and conditions of this Agreement.
- 15.16 Integration. This Agreement, including the exhibits, represent the entire Agreement between the County and the CONTRACTOR with respect to the subject matter of this Agreement and shall supersede all prior negotiations, representations, or agreements, either written or oral, between the County and the CONTRACTOR as of the effective date of this Agreement, which is the date that the County signs the Agreement.
- 15.17 Interpretation of Conflicting Provisions. In the event of any conflict or inconsistency between the provisions of this Agreement and the Provisions of any exhibit or other attachment to this Agreement, the provisions of this Agreement shall prevail and control.

This space is left blank, intentionally.

IN WITNESS WHEREOF, County and CONTRACTOR have executed this Agreement as of the day and year written below.

COUNTY OF MONTEREY

CONTRACTOR

By: DocuSigned by: Debra Wilson, Contracts/Purchasing Supervisor
Purchasing Officer
Date: 6/23/2021

By: Wallace Group, a California Corporation

By: _____
Date: _____
Department Head (if applicable)

By: DocuSigned by: Bradford Brechwald
Date: _____
(Signature of Chair, President, or Vice-President)*

By: _____
Date: _____
Board of Supervisors (if applicable)

By: Bradford R. Brechwald, President & CEO
Date: 5/4/2021
Name and Title

Approved as to Form¹
By: DocuSigned by: Mary Grace Perry
Date: 5/4/2021
County Counsel

By: DocuSigned by: Thomas Zehnder
Date: _____
(Signature of Secretary, Asst. Secretary, CFO, Treasurer or Asst. Treasurer)*
Thomas K. Zehnder, CFO
Name and Title

Approved as to Fiscal Provisions²
By: DocuSigned by: Gary Giboney
Date: 5/4/2021
Auditor/Controller

Date: 5/4/2021

Approved as to Liability Provisions³
By: _____
Date: _____
Risk Management

County Board of Supervisors' Agreement Number: _____.

*INSTRUCTIONS: If CONTRACTOR is a corporation, including limited liability and non-profit corporations, the full legal name of the corporation shall be set forth above together with the signatures of two specified officers. If CONTRACTOR is a partnership, the name of the partnership shall be set forth above together with the signature of a partner who has authority to execute this Agreement on behalf of the partnership. If CONTRACTOR is contracting in an individual capacity, the individual shall set forth the name of the business, if any, and shall personally sign the Agreement.

¹Approval by County Counsel is required for all Professional Service Agreements over \$100,000

²Approval by Auditor/Controller is required for all Professional Service Agreements

³Approval by Risk Management is required only if changes are made in paragraph 8 or 9

EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

**To Agreement by and between
County of Monterey, hereinafter referred to as “County”
and
Wallace Group, a California Corporation, hereinafter referred to as “CONTRACTOR”**

A. SCOPE OF SERVICES

A.1 CONTRACTOR shall provide services and staff, and otherwise do all things necessary for or incidental to the performance of work, as set forth below:

- a. CONTRACTOR’s Minimum Work Performance Percentage: CONTRACTOR shall perform with his own organization AGREEMENT work amounting to not less than fifty percent (50%) of the original total AGREEMENT price. CONTRACTOR shall not assign, subcontract, sell, or otherwise transfer its interest or obligations in this AGREEMENT without the prior written consent of County.
- b. CONTRACTOR shall provide engineering reports to determine annual maintenance requirements and capital improvement needs for selected County Service Areas (CSAs) and “On-Call Engineering Services” for a variety of infrastructure upgrade and repair projects for the CSAs and County Sanitation Districts (CSDs) as outlined within the scope below. CSA and CSD Location Maps are included in Attachment A - attached and incorporated by this reference.
- c. CONTRACTOR shall provide on-call engineering, design, scope development, construction management, and inspection services as necessary for Active CSA services (see Attachment B - attached and incorporated by this reference), including but not limited to: road repairs and maintenance, storm drain repairs and maintenance, flood control, and other infrastructure repairs as designated by County. Engineering and scope development may be necessary in advance of project initiation to allow for County budgeting cycle.
 1. County shall engage CONTRACTOR to request services related to an individual project by providing a brief scope and budget.
 - i. CONTRACTOR shall, upon notification from County and within two (2) weeks, perform site visit, prepare a detailed scope and itemized cost estimate for each individual project to be submitted to County for review and approval. If extensive investigation or analysis is required, additional time can be allotted upon written approval by County.
 - ii. CONTRACTOR shall be responsible for various phases of project(s), including, but not limited to: planning, studies,

EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

specification preparation, scope, design, estimating, construction management, and inspection.

2. CONTRACTOR shall provide immediate response for emergency services, within a two (2) hour window from County's initial contact/engagement in the event of sewer infrastructure or storm drain failure.
- d. CONTRACTOR may refer plans or technical studies that are outside of CONTRACTOR's expertise to a subcontractor for third-party review upon written approval by County staff.
- e. CONTRACTOR shall attend Board of Supervisors meetings and other public meetings as requested by County staff.
- f. **CSA Engineer's Reports**
In order to ensure future sustainability of CSAs, the County plans to analyze all CSAs over the next five (5) to seven (7) years to determine annual maintenance requirements and major infrastructure repair and replacement projects. Engineer Reports shall consist of a general Condition Assessment and a rate study to determine the level of valuation required to meet ongoing financial requirements. It is anticipated that most, if not all, CSAs will require a Proposition 218 Election to establish annual revenue and fund balance levels to support annual maintenance and improvement projects. Where applicable, other sources of funding, such as, grants and loans shall be included to meet fiscal requirements.

Upon receiving a Notice to Proceed from County:

1. CONTRACTOR shall prepare a general Condition Assessment report for all CSAs (excluding CSAs 17, 25, and 75) to determine if Engineer Reports and Proposition 218 Elections are needed.
 - i. The Condition Assessment shall address all active and authorized/enabled services specific to each CSA, which may include one (1) or more of the following:
 - a) Streets: Inventory and condition assessment utilizing County Pavement Condition Index (PCI); identification of deferred maintenance, repair needs, and capital improvement projects.
 - b) Sidewalks: Confirmation that sidewalks are the responsibility of the CSA. For sidewalks deemed to be the responsibility of the CSA: Linear foot inventory and inspection; identification of vertical or horizontal displacement, excessive cross slopes caused by tree roots, and any other conditions judged as defective or that may pose an inconvenience to the pedestrian path of travel.

EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

- c) Storm Drainage and Surface Water Disposition: Using final County maps (e.g., development maps), inventory and condition assessment of storm drainage system, including, but not limited to: number, size and type of inlets and outfall, flap gates, headwalls, retention and detention basins.
 - d) Streetlights: Using data provided by County (e.g., development maps and streetlight data), inventory and inspect all streetlights.
 - e) Park and Open Space Facilities: Inventory and inspection of all open space facilities, identification of deferred maintenance, repairs, and improvement projects.
2. CONTRACTOR shall prepare individual CSA Engineer's Reports as directed by County, that include but are not limited to, the following:
 - i. Clearly define the improvements to be funded.
 - ii. Capital Improvement and replacement plan, including prioritization of deferred maintenance, necessary repairs and replacement projects.
 - iii. Accurate cost estimates to construct needed maintenance, repair and infrastructure replacement, and potential cost-escalation factors.
3. CONTRACTOR shall address in each Engineer's Report all active and authorized/enabled services specific to each CSA, which may include one (1) or more of the following:
 - i. Streets: CONTRACTOR shall inventory and condition assessment utilizing the County PCI; identification of deferred maintenance, repair needs, and capital improvement projects.
 - ii. Sidewalks: CONTRACTOR shall perform linear foot inventory and inspection; identification of vertical or horizontal displacement, excessive cross slopes caused by tree roots, and any other conditions judged as defective or that may pose an inconvenience to the pedestrian path of travel.
 - iii. Storm Drainage and Surface Water Disposition: CONTRACTOR shall using final County maps (e.g., development maps), inventory and condition assessment of storm drainage system, including, but not limited to: number, size and type of inlets and outfalls, flap gates, headwalls, retention and detention basins.
 - iv. Streetlights: CONTRACTOR shall use data provided by County (e.g., development maps and streetlight data), inventory and inspect all streetlights.
 - v. Park and Open Space Facilities: CONTRACTOR shall inventory and inspect all open space facilities, identification of deferred maintenance, repairs, improvement projects.

EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

4. CSA Proposition 218 Election Assessment

Following completion of an Engineer's Report, County may request CONTRACTOR to prepare a Proposition 218 Election assessment.

Upon receiving a Notice to Proceed from County:

- i. CONTRACTOR shall prepare an assessment which includes development of fair and objective assessment rates to ensure services are provided on a self-supporting basis that is equitable in nature. The assessment shall:
 - a) reflect capital costs for annual maintenance, repair projects, and life cycle replacement of the infrastructure;
 - b) take into consideration any existing and/or future reserve funds;
 - c) prioritize and provide timing for repairs and maintenance needs;
 - d) provide a minimum of two (2) scenarios incorporating methodology for capital improvement cycle (such as 10, 20, 30-year plans), appropriate for the specific infrastructure needs and demographics of each CSA; and
 - e) result in fiscal sustainability within 10 to 20 years.

5. CSA Proposition 218 Election Implementation

Upon receiving a Notice to Proceed from County, CONTRACTOR shall implement a Proposition 218 Election, including, but not limited to:

- i. Preparation and publishing required legal notices;
- ii. Coordination and participation in at least two (2) community outreach meetings;
- iii. Ballot preparation, mailing, management of all aspects of the Proposition 218 process, and other tasks as required; and
- iv. Support to County staff in the preparation of board reports and public hearings.

6. Inclusion of options and strategies to support successful Proposition 218 Elections.

7. Kick-off meeting between CONTRACTOR and County staff and follow-up meetings as necessary.

g. CSD Sewer Needs

1. County shall engage CONTRACTOR to request services related to individual projects as outlined in the sections below by providing a brief scope and budget.

- i. CONTRACTOR shall, upon notification from County and within two (2) weeks, perform site visit, prepare a detailed scope, and

EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

- itemized cost estimate for each individual project to be submitted to County for review and approval. If extensive investigation or analysis is required, additional time can be allotted upon written approval by County.
- ii. CONTRACTOR shall provide immediate response for emergency services, within a two (2) hour window from County's initial contact/engagement in the event of critical equipment failure.
2. Repairs and Equipment Replacement
CONTRACTOR shall provide technical support for sanitation system projects, repairs, and upgrades to all sanitation system components, including, but not limited to: gravity lines and force mains, manholes, lift stations, pumps, generators, electrical systems, and new connections.
 3. Planning and Design of Improvements
CONTRACTOR shall advise County staff on the planning, coordination, and evaluation programs, plans, services, equipment, and infrastructure for the three (3) sewer districts. This includes design and project management services for construction of improvement projects.
 4. Development Review
CONTRACTOR shall work with County staff on the review and approval of sewer improvement plans for land development projects seeking to connect to County sewer district facilities. CONTRACTOR shall coordinate with the Applicant's design contractor to understand their design approach in order to expedite review efforts.
 5. Preparation of Conditions of Development
CONTRACTOR shall prepare "Conditions of Development" for private development projects seeking to connect to County sewer district facilities. CONTRACTOR shall also review the County's standard "Conditions of Development" and provide recommendations for modifications due to the specific nature of the project.

B. PAYMENT PROVISIONS

B.1 COMPENSATION/PAYMENT

County shall pay an amount not to exceed **\$500,000.00**, for the performance of all things necessary for or incidental to the performance of work as set forth in the Scope of Services. CONTRACTOR's compensation for services rendered shall be based on the following rates or in accordance with the following terms:

EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

Position/Title	Hourly Rate (per Calendar Year)		
	2021	2022	2023
Assistant Designer/Technician	\$90.00	\$94.00	\$98.00
Designer/Technician I – IV	\$95.00	\$99.00	\$103.00
	\$125.00	\$130.00	\$136.00
Senior Designer I – III	\$138.00	\$144.00	\$150.00
	\$148.00	\$154.00	\$161.00
GIS Technical Specialist	\$135.00	\$140.00	\$145.00
Senior GIS Technical Specialist	\$145.00	\$150.00	\$155.00
Associate Engineer I – III	\$115.00	\$119.00	\$123.00
	\$135.00	\$140.00	\$145.00
Engineer I – IV	\$145.00	\$150.00	\$155.00
	\$160.00	\$165.00	\$170.00
Senior Engineer I – III	\$170.00	\$176.00	\$182.00
	\$180.00	\$186.00	\$192.00
Director – Engineering	\$185.00	\$191.00	\$197.00
Principal Engineer/Consulting Engineer	\$215.00	\$222.00	\$229.00
Principal	\$230.00	\$237.00	\$245.00
Associate Survey Technician	\$95.00	\$98.00	\$101.00
One-Person Survey Crew	\$195.00	*	*
Two-Person Survey Crew	\$290.00	*	*
Three-Person Survey Crew	\$370.00	*	*
Survey Technician I – IV	\$115.00	\$119.00	\$123.00
	\$139.00	\$144.00	\$149.00
Land Surveyor I – IV	\$145.00	\$150.00	\$170.00
	\$155.00	\$160.00	\$182.00
Senior Land Surveyor I – III	\$160.00	\$165.00	\$170.00
	\$170.00	\$176.00	\$182.00
Director – Surveying	\$185.00	\$191.00	\$197.00
Associate Planner I – II	\$95.00	\$98.00	\$101.00
	\$105.00	\$109.00	\$113.00
Planner I – IV	\$128.00	\$132.00	\$136.00
	\$152.00	\$157.00	\$162.00
Senior Planner I – III	\$155.00	\$160.00	\$165.00
	\$165.00	\$170.00	\$176.00
Director – Planning	\$175.00	\$181.00	\$187.00
Associate Landscape Designer I-II	\$90.00	\$93.00	\$96.00
	\$100.00	\$103.00	\$107.00
Designer I – IV	\$110.00	\$114.00	\$118.00

EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

Position/Title	Hourly Rate (per Calendar Year)		
	2021	2022	2023
	\$128.00	\$132.00	\$136.00
	\$130.00	\$134.00	\$139.00
Landscape Architect I – IV	\$145.00	\$150.00	\$155.00
	\$147.00	\$152.00	\$157.00
Senior Landscape Architect I – III	\$161.00	\$166.00	\$171.00
Director – Landscape Architecture	\$165.00	\$170.00	\$176.00
	\$95.00	\$98.00	\$101.00
Construction Office Technician I – III	\$115.00	\$119.00	\$123.00
	\$155.00	*	*
Construction Inspector I – III	\$160.00	*	*
Senior Construction Inspector	\$165.00	*	*
	\$140.00	\$145.00	\$150.00
Assistant Resident Engineer I – II	\$145.00	\$150.00	\$155.00
	\$150.00	\$155.00	\$160.00
Resident Engineer I – III	\$160.00	\$165.00	\$170.00
Senior Resident Engineer	\$170.00	\$176.00	\$182.00
Director – Construction Manager	\$180.00	\$186.00	\$192.00
	\$110.00	\$114.00	\$118.00
Project Analyst I – IV	\$140.00	\$145.00	\$150.00
	\$145.00	\$150.00	\$155.00
Senior Project Analyst I – III	\$155.00	\$160.00	\$165.00
Senior Environmental Compliance Specialist I – III	\$160.00	\$165.00	\$170.00
	\$170.00	\$176.00	\$182.00
Office Assistant	\$85.00	\$88.00	\$91.00
	\$90.00	\$93.00	\$96.00
Project Assistant I - III	\$100.00	\$103.00	\$107.00

**Subcontractor(s) at Cost plus
Markup:**

15%
Markup

Reimbursable Items	Total Cost	% of Markup (shall not exceed 10%)
Mileage	Per current IRS rate	0%
Other Reimbursables	At cost	10%

EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

Notes:

* Prevailing Wage: State established prevailing wage rates may apply to some services and those rates are subject to change.

Additional Professional Services: Fees for expert witness preparation, testimony, court appearances, or depositions will be billed at the rate of \$300.00 per hour. As authorized in writing in advance by County, overtime on a project will be billed at 1.5 times the employee's typical hourly rate.

County and CONTRACTOR agree that CONTRACTOR shall be reimbursed for travel expenses during this Agreement. CONTRACTOR shall receive compensation for travel expenses as per the "County Travel Policy". A copy of the policy is available online at <https://www.co.monterey.ca.us/home/showdocument?id=69364>. To receive reimbursement, CONTRACTOR must provide a detailed breakdown of authorized expenses, identifying what was expended and when.

Under California Labor Code sections 1720 et seq., a contract for some or all of the work contemplated by this RFP may be considered a public work. If applicable, CONTRACTOR shall comply with provisions of the Labor Code (sections 1720 et seq.) governing public works, including payment of prevailing wages, payroll records, and employment of apprentices. Copies of the determination of the general prevailing rate of per diem wages are available to interested parties at: <http://www.dir.ca.gov/public-works/prevailing-wage.html>

CONTRACTOR warrants that the cost charged for services under the terms of this Agreement are not in excess of those charged to any other client for the same services performed by the same individuals.

B.2 CONTRACTOR'S BILLING PROCEDURES

Invoices under this Agreement shall be submitted monthly and promptly, and in accordance with Paragraph 6, "Payment Conditions", of the Agreement. All invoices shall reference the Multiyear Agreement (MYA) number, Project name, and/or services, and associated Delivery Order number, and an original hardcopy shall be sent to the following address or via email to RMA-Finance-AP@co.monterey.ca.us:

County of Monterey
Department of Public Works, Facilities, & Parks (PWFP) – Finance Division
1441 Schilling Place, South 2nd Floor
Salinas, California 93901-4527

Any questions pertaining to invoices under this Agreement shall be directed to the PWFP Finance Division at (831) 755-4800 or via email to: RMA-Finance-AP@co.monterey.ca.us.

EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

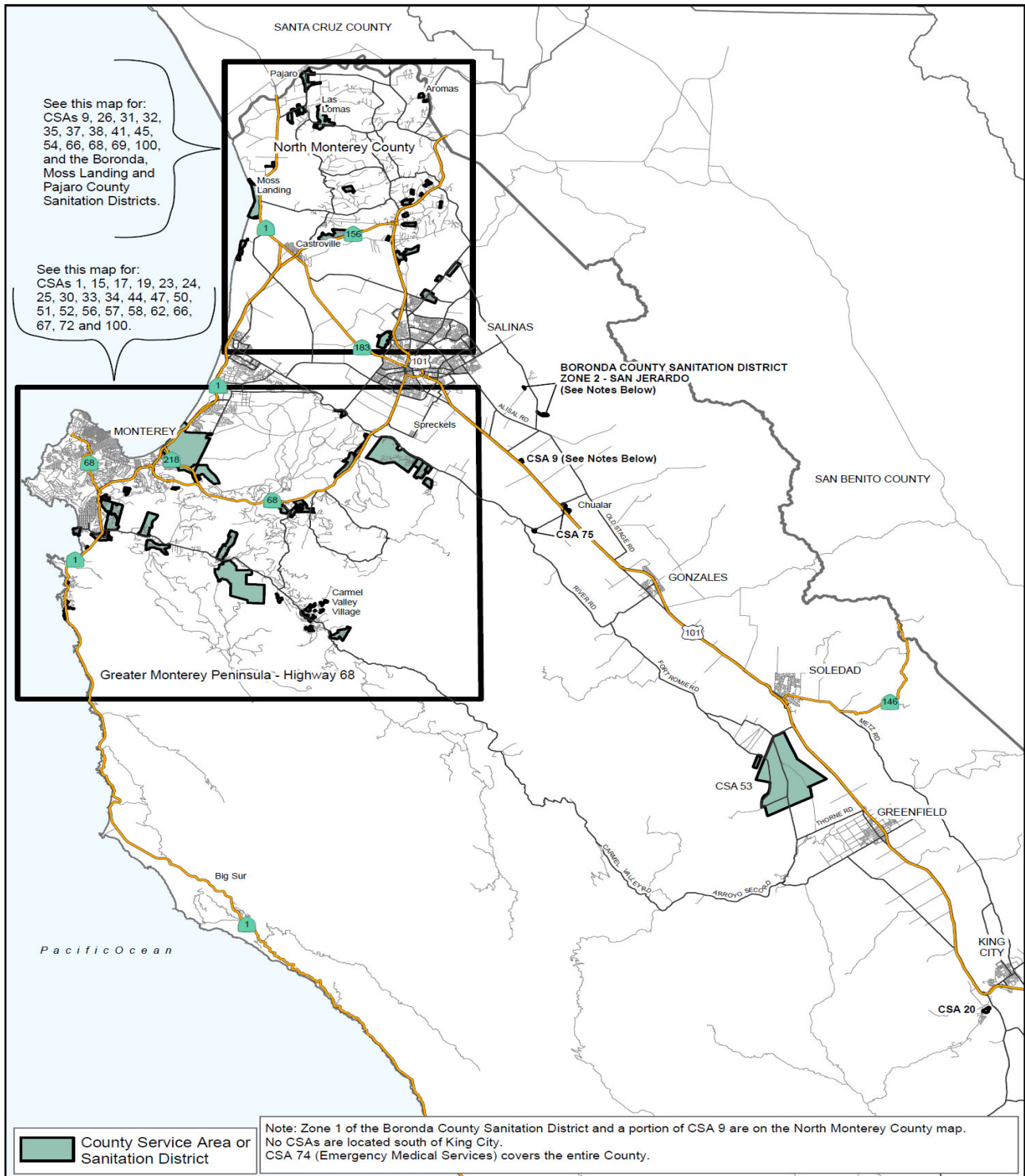
County may, in its sole discretion, terminate the Agreement or withhold payments claimed by CONTRACTOR for services rendered if CONTRACTOR fails to satisfactorily comply with any term or condition of this Agreement.

No payments in advance or in anticipation of services or supplies to be provided under this Agreement shall be made by County.

County shall not pay any claims for payment for services submitted more than twelve (12) months after the calendar month in which the services were completed.

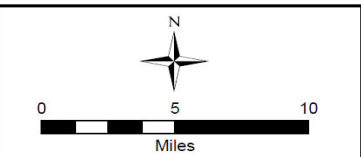
DISALLOWED COSTS: CONTRACTOR is responsible for any audit exceptions or disallowed costs incurred by its own organization or that of its subcontractors.

ATTACHMENT A – CSA & CSD LOCATION MAPS



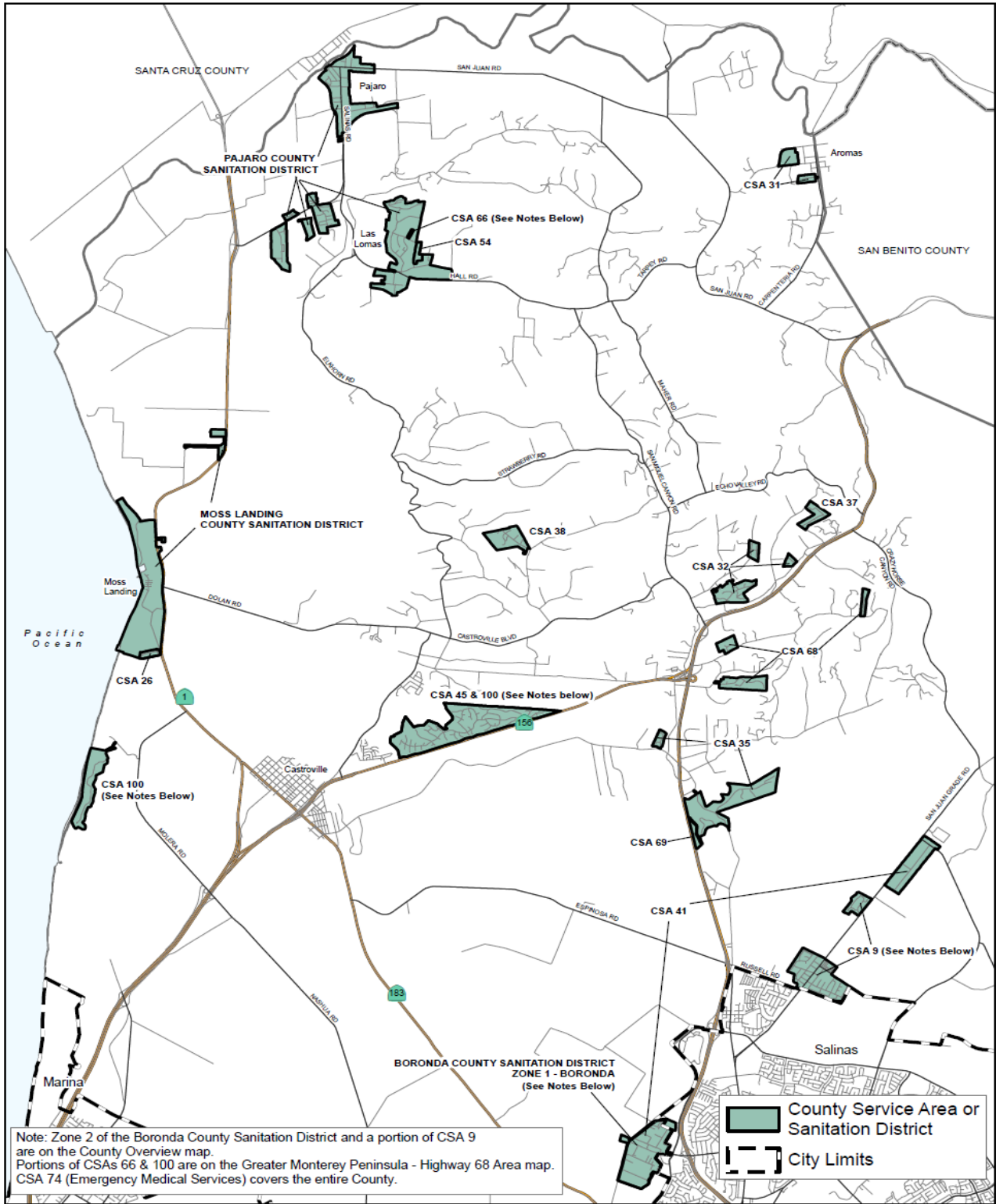
LAFCO of Monterey County
LOCAL AGENCY FORMATION COMMISSION

P.O. Box 1369 132 W. Gabilan St., Suite 102
Salinas, CA 93902 Salinas, CA 93901
Telephone (831) 754-5838 FAX (831) 754-5831

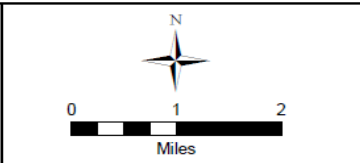


**OVERVIEW OF
COUNTY SERVICE AREAS (CSAs) &
COUNTY SANITATION DISTRICTS**

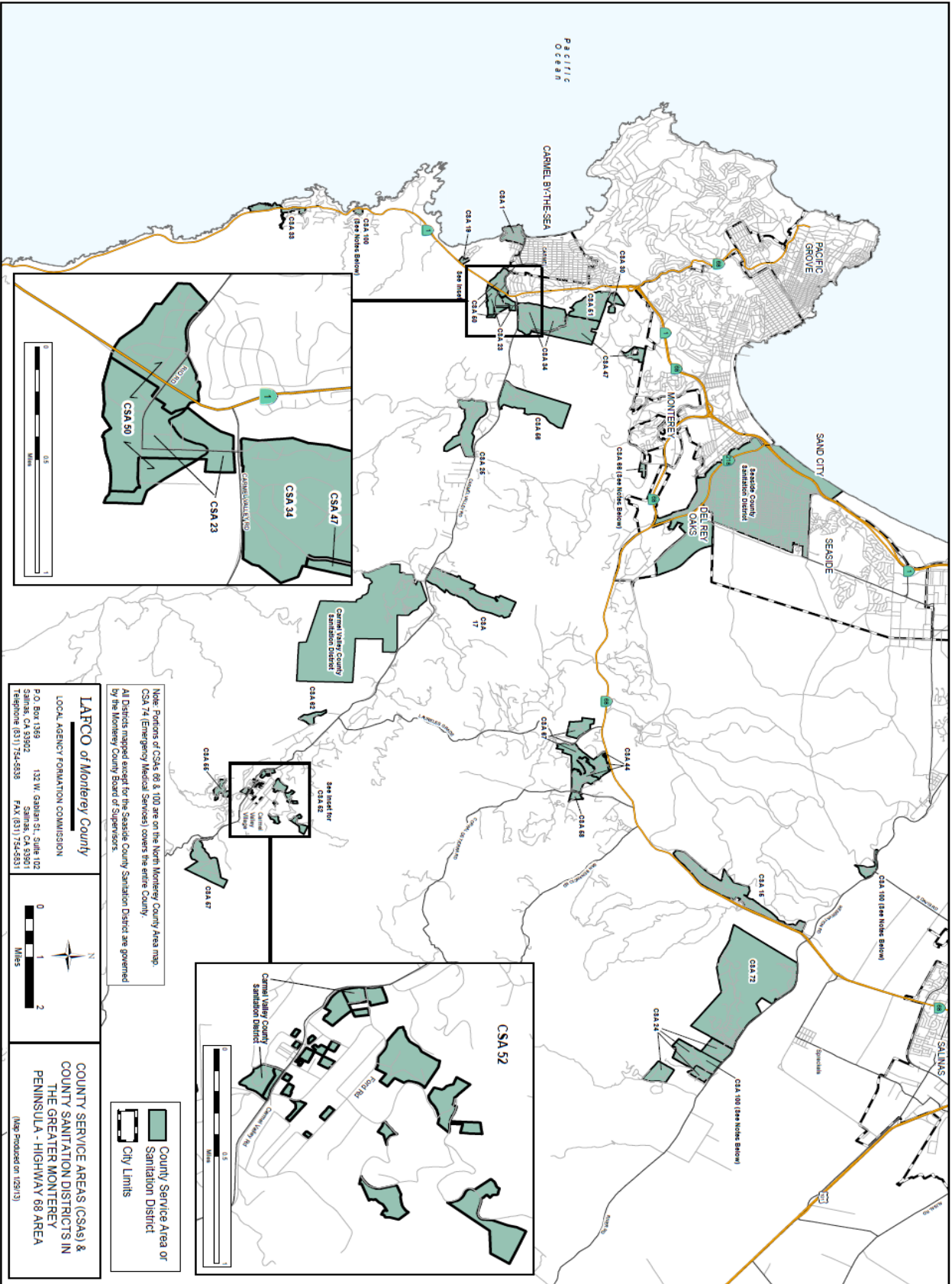
(Map Produced on 2/6/13)



LAFCO of Monterey County
 LOCAL AGENCY FORMATION COMMISSION
 P.O. Box 1369 Salinas, CA 93902 Telephone (831) 754-5838
 132 W. Gabilan St., Suite 102 Salinas, CA 93901 FAX (831) 754-5831



COUNTY SERVICE AREAS (CSAs) & COUNTY SANITATION DISTRICTS IN NORTH MONTEREY COUNTY
 (Map Produced on 2/6/13)



- End of Attachment A -

ATTACHMENT B CSA AUTHORIZED/ENABLED & ACTIVE SERVICES LIST

Inventory of Monterey County Service Areas Enabled and Active Services

CSA Number	Location	Street Lighting	Storm Drain & Surface Water	Street & Sidewalk Maintenance	Park/Recreation/ Parkway Facilities	Levee Maintenance	Sewage Facilities
1	Carmel Point	A	E	E	E		E
9	Oak Park	A	A	E	E		E
15	Sierra Village	A	A	A	A		E
17	Rancho Tierra Grande	E	A	E	A		E
19	Carmel Meadows	E	A	E	E		E
20	Royal Estates	A	A	E	E		E
23	Carmel Rancho	A	A	A	E		E
24	Pedrazzi Subdivision / Indian Springs	E	A	E	E		E
25	Carmel Valley Golf & Country Club	A	A	A	E		E
26	New Moss Landing Heights	A	E	E	E		E
30	Rancho Mar Monte	E	A	E	E		E
31	Aromas Hills Subdivision	E	A	E	E		E
32	Green Valley Acres / Moon Subdivision	A	A	E	E		E
33	Coast Ridge / Carmel Sur	E	A	E	E		E
34	Rancho Rio Vista / Carmel Knolls	E	A	E	E		E
35	Paradise Park / Rancho Borromeo	A	A	E	E		E
37	Colonial Oaks Estates	E	A	E	E		E
38	Paradise Lake Estates	E	A	E	E		E
41	Gabilan Acres / Boronda	A	E	E	E		E
44	Corral de Tierra Oaks 1/2/3	A	A	A	E		E
45	Oak Hills & Oak Hills - Open Space	A	A	E	A		E
47	Carmel Views / Mar Vista	A	A	A	E		E
50	Rioway Tract No.2	E	A	E	E	A	E
51	High Meadows	A	A	E	E		E
52	Carmel Valley Village	E	A	E	E		E
53	Arroyo Seco		A				
54	Manzanita / Sarsi Subdivisions	E	A	E	E		E
55	Robles Del Rio	E	A	E	E		E
56	Del Mesa Carmel	E	A	A	E		E
57	Los Tulares Subdivision	E	A	E	E		E
58	Vista Dorado	A	A	E	E		E
62	Rancho Del Monte 14	E	A	E	E		E
66	Oak Tree Views	A	A	A	A		E
67	Corral de Tierra Oaks 4	A	A	A	E		E
68	Vierra Canyon Knolls	E	A	E	E		E
72	Las Palmas Ranch	E	A	E	E		E
75	Chualar Consolidated	A	A	E	E		A
10	Laguna Seca Ranch (INACTIVE)						
59	Ralph Lane (INACTIVE)						

– End of Attachment B –

EXHIBIT B – INCORPORATION OF RFP #10782, ADDENDUM NO. 1 TO RFP #10782, AND PROPOSAL DOCUMENTS

The County invited submittals to Request for Proposals (RFP) #10782 Engineering Services for County Service Areas & County Sanitation Districts for County of Monterey Public Works, Facilities, & Parks (PWWP), issued December 24, 2020. Wallace Group, a California Corporation submitted a responsive and responsible proposal to perform the services listed in the RFP.

The aforementioned RFP, Addendum No. 1 and the proposal documents submitted by Wallace Group, a California Corporation are hereby incorporated into the Agreement by this reference.

The aforementioned RFP, Addendum No. 1, and the proposal documents submitted by Wallace Group, a California Corporation are on file with PWWP.

EXHIBIT C – REVISION TO PARAGRAPH 8, INDEMNIFICATION, OF AGREEMENT

8. INDEMNIFICATION.

8.01 For purposes of the following indemnification provisions (“Indemnification Agreement”), “design professional” has the same meaning as set forth in California Civil Code section 2782.8. If any term, provision or application of this Indemnification Agreement is found to be invalid, in violation of public policy or unenforceable to any extent, such finding shall not invalidate any other term or provision of this Indemnification Agreement and such other terms and provisions shall continue in full force and effect. If there is any conflict between the terms, provisions or application of this Indemnification Agreement and the provisions of California Civil Code Sections 2782 or 2782.8, the broadest indemnity protection for COUNTY under this Indemnity Agreement that is permitted by law shall be provided by CONTRACTOR.

8.02 Indemnification for Design Professional Services Claims:

CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claims that arise out of, or pertain to, or relate to the negligence, recklessness, or willful misconduct of CONTRACTOR, its employees, subcontractors, and agents in the performance of design professional services under this Agreement, excepting only liability arising from the sole negligence, active negligence or willful misconduct of COUNTY, or defect in a design furnished by COUNTY, but in no event shall the amount of such CONTRACTOR’s liability exceed such CONTRACTOR’s proportionate percentage of fault as determined by a court, arbitrator or mediator, or as set out in a settlement agreement. In the event one (1) or more defendants to any action involving such claim or claims against COUNTY is unable to pay its share of defense costs due to bankruptcy or dissolution of the business, such CONTRACTOR shall meet and confer with the other parties to such action regarding unpaid defense costs.

8.03 Indemnification for All Other Claims or Loss:

For any claim, loss, injury, damage, expense or liability other than claims arising out of CONTRACTOR’s performance of design professional services under this Agreement, CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claim for loss, injury, damage, expense or liability resulting from or alleging injury to or death of any person or loss of use of or damage to property, arising from or related to the performance of services under this Agreement by CONTRACTOR, its employees, subcontractors or agents, excepting only liability arising from the sole negligence, active negligence or willful misconduct of COUNTY, or defect in a design furnished by COUNTY.