

ORIGINAL

**COUNTY OF MONTEREY STANDARD AGREEMENT  
(MORE THAN \$100,000)**

This **Agreement** is made by and between the County of Monterey, a political subdivision of the State of California (hereinafter "County") and:

Shelter Outreach Plus,  
(hereinafter "CONTRACTOR").

In consideration of the mutual covenants and conditions set forth in this Agreement, the parties agree as follows:

**1.0 GENERAL DESCRIPTION.**

1.01 The County hereby engages CONTRACTOR to perform, and CONTRACTOR hereby agrees to perform, the services described in **Exhibit A** in conformity with the terms of this Agreement. The goods and/or services are generally described as follows:  
**Provide** housing services, domestic violence counseling, and Information & Referral to Monterey County homeless population.

**2.0 PAYMENT PROVISIONS.**

2.01 County shall pay the CONTRACTOR in accordance with the payment provisions set forth in **Exhibit A**, subject to the limitations set forth in this Agreement. The total amount payable by County to CONTRACTOR under this Agreement shall not exceed the sum of \$ 118,551.00.

**3.0 TERM OF AGREEMENT.**

3.01 The term of this Agreement is from January 1, 2015 to December 31, 2015, unless sooner terminated pursuant to the terms of this Agreement. This Agreement is of no force or effect until signed by both CONTRACTOR and County and with County signing last, and **CONTRACTOR may not commence work before County signs this Agreement.**

3.02 The County reserves the right to cancel this Agreement, or any extension of this Agreement, without cause, with a thirty day (30) written notice, or with cause immediately.

**4.0 SCOPE OF SERVICES AND ADDITIONAL PROVISIONS.**

4.01 The following attached exhibits are incorporated herein by reference and constitute a part of this Agreement:

- |                  |   |   |
|------------------|---|---|
| <b>Exhibit A</b> | <b>Scope of Services/Payment Provisions</b> |   |
| Exhibit A-1      | NPI Programs Report                         | Exhibit E HIPAA Certification                 |
| Exhibit A-2      | CSBG Client Characteristics Report          | Exhibit F Lobbying Certification              |
| Exhibit A-3      | CalWORKs Client Information Report          | Exhibit G Audit Requirements                  |
| Exhibit C        | Budget                                      | Exhibit H Child Abuse Reporting Certification |
| Exhibit D        | Sample Invoice                              | Exhibit I Elder Abuse Reporting Certification |

## 5.0 PERFORMANCE STANDARDS.

- 5.01 CONTRACTOR warrants that CONTRACTOR and CONTRACTOR's agents, employees, and subcontractors performing services under this Agreement are specially trained, experienced, competent, and appropriately licensed to perform the work and deliver the services required under this Agreement and are not employees of the County, or immediate family of an employee of the County.
- 5.02 CONTRACTOR, its agents, employees, and subcontractors shall perform all work in a safe and skillful manner and in compliance with all applicable laws and regulations. All work performed under this Agreement that is required by law to be performed or supervised by licensed personnel shall be performed in accordance with such licensing requirements.
- 5.03 CONTRACTOR shall furnish, at its own expense, all materials, equipment, and personnel necessary to carry out the terms of this Agreement, except as otherwise specified in this Agreement. CONTRACTOR shall not use County premises, property (including equipment, instruments, or supplies) or personnel for any purpose other than in the performance of its obligations under this Agreement.

## 6.0 PAYMENT CONDITIONS.

- 6.01 Prices shall remain firm for the initial term of the Agreement and, thereafter, may be adjusted annually as provided in this paragraph. The County does not guarantee any minimum or maximum amount of dollars to be spent under this Agreement.
- 6.02 Negotiations for rate changes shall be commenced, by CONTRACTOR, a minimum of ninety days (90) prior to the expiration of the Agreement. Rate changes are not binding unless mutually agreed upon in writing by the County and the CONTRACTOR.
- 6.03 Invoice amounts shall be billed directly to the ordering department.
- 6.04 CONTRACTOR shall submit such invoice periodically or at the completion of services, but in any event, not later than 30 days after completion of services. The invoice shall set forth the amounts claimed by CONTRACTOR for the previous period, together with an itemized basis for the amounts claimed, and such other information pertinent to the invoice. The County shall certify the invoice, either in the requested amount or in such other amount as the County approves in conformity with this Agreement, and shall promptly submit such invoice to the County Auditor-Controller for payment. The County Auditor-Controller shall pay the amount certified within 30 days of receiving the certified invoice.

## 7.0 TERMINATION.

- 7.01 During the term of this Agreement, the County may terminate the Agreement for any reason by giving written notice of termination to the CONTRACTOR at least thirty (30) days prior to the effective date of termination. Such notice shall set forth the effective date of termination. In the event of such termination, the amount payable under this Agreement shall be reduced in proportion to the services provided prior to the date of termination.

- 7.02 The County may cancel and terminate this Agreement for good cause effective immediately upon written notice to CONTRACTOR. "Good cause" includes the failure of CONTRACTOR to perform the required services at the time and in the manner provided under this Agreement. If County terminates this Agreement for good cause, the County may be relieved of the payment of any consideration to CONTRACTOR, and the County may proceed with the work in any manner, which County deems proper. The cost to the County shall be deducted from any sum due the CONTRACTOR under this Agreement.
- 7.03 The County's payments to CONTRACTOR under this Agreement are funded by local, state and federal governments. If funds from local, state and federal sources are not obtained and continued at a level sufficient to allow for the County's purchase of the indicated quantity of services, then the County may give written notice of this fact to CONTRACTOR, and the obligations of the parties under this Agreement shall terminate immediately, or on such date thereafter, as the County may specify in its notice, unless in the meanwhile the parties enter into a written amendment modifying this Agreement.

## 8.0 INDEMNIFICATION.

- 8.01 CONTRACTOR shall indemnify, defend, and hold harmless the County, its officers, agents, and employees, from and against any and all claims, liabilities, and losses whatsoever (including damages to property and injuries to or death of persons, court costs, and reasonable attorneys' fees) occurring or resulting to any and all persons, firms or corporations furnishing or supplying work, services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims, liabilities, and losses occurring or resulting to any person, firm, or corporation for damage, injury, or death arising out of or connected with the CONTRACTOR's performance of this Agreement, unless such claims, liabilities, or losses arise out of the sole negligence or willful misconduct of the County. "CONTRACTOR's performance" includes CONTRACTOR's action or inaction and the action or inaction of CONTRACTOR's officers, employees, agents and subcontractors.

## 9.0 INSURANCE REQUIREMENTS.

### 9.01 Evidence of Coverage:

Prior to commencement of this Agreement, the Contractor shall provide a "Certificate of Insurance" certifying that coverage as required herein has been obtained. Individual endorsements executed by the insurance carrier shall accompany the certificate. In addition, the Contractor upon request shall provide a certified copy of the policy or policies.

This verification of coverage shall be sent to the County's Contracts/Purchasing Department, unless otherwise directed. The Contractor shall not receive a "Notice to Proceed" with the work under this Agreement until it has obtained all insurance required and the County has approved such insurance. This approval of insurance shall neither relieve nor decrease the liability of the Contractor.

### 9.02 Qualifying Insurers:

All coverage's, except surety, shall be issued by companies which hold a current policy holder's alphabetic and financial size category rating of not less than A- VII, according to

the current Best's Key Rating Guide or a company of equal financial stability that is approved by the County's Purchasing Manager.

- 9.03 **Insurance Coverage Requirements:** Without limiting CONTRACTOR's duty to indemnify, CONTRACTOR shall maintain in effect throughout the term of this Agreement a policy or policies of insurance with the following minimum limits of liability:

**Commercial General Liability Insurance,** including but not limited to premises and operations, including coverage for Bodily Injury and Property Damage, Personal Injury, Contractual Liability, Broad form Property Damage, Independent Contractors, Products and Completed Operations, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

*(Note: any proposed modifications to these general liability insurance requirements shall be attached as an Exhibit hereto, and the section(s) above that are proposed as not applicable shall be lined out in blue ink. All proposed modifications are subject to County approval.)*

**Business Automobile Liability Insurance,** covering all motor vehicles, including owned, leased, non-owned, and hired vehicles, used in providing services under this Agreement, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

*(Note: any proposed modifications to these auto insurance requirements shall be attached as an Exhibit hereto, and the section(s) above that are proposed as not applicable shall be lined out in blue ink. All proposed modifications are subject to County approval.)*

**Workers' Compensation Insurance,** if CONTRACTOR employs others in the performance of this Agreement, in accordance with California Labor Code section 3700 and with Employer's Liability limits not less than \$1,000,000 each person, \$1,000,000 each accident and \$1,000,000 each disease.

*(Note: any proposed modifications to these workers' compensation insurance requirements shall be attached as an Exhibit hereto, and the section(s) above that are proposed as not applicable shall be lined out in blue ink. All proposed modifications are subject to County approval.)*

**Professional Liability Insurance,** if required for the professional services being provided, (e.g., those persons authorized by a license to engage in a business or profession regulated by the California Business and Professions Code), in the amount of not less than \$1,000,000 per claim and \$2,000,000 in the aggregate, to cover liability for malpractice or errors or omissions made in the course of rendering professional services. If professional liability insurance is written on a "claims-made" basis rather than an occurrence basis, the CONTRACTOR shall, upon the expiration or earlier termination of this Agreement, obtain extended reporting coverage ("tail coverage") with the same liability limits. Any such tail coverage shall continue for at least three years following the expiration or earlier termination of this Agreement.

*(Note: any proposed modifications to these insurance requirements shall be attached as an Exhibit hereto, and the section(s) above that are proposed as not applicable shall be lined out in blue ink. All proposed modifications are subject to County approval.)*

9.04 Other Requirements:

All insurance required by this Agreement shall be with a company acceptable to the County and issued and executed by an admitted insurer authorized to transact Insurance business in the State of California. Unless otherwise specified by this Agreement, all such insurance shall be written on an occurrence basis, or, if the policy is not written on an occurrence basis, such policy with the coverage required herein shall continue in effect for a period of three years following the date CONTRACTOR completes its performance of services under this Agreement.

Each liability policy shall provide that the County shall be given notice in writing at least thirty days in advance of any endorsed reduction in coverage or limit, cancellation, or intended non-renewal thereof. Each policy shall provide coverage for Contractor and additional insureds with respect to claims arising from each subcontractor, if any, performing work under this Agreement, or be accompanied by a certificate of insurance from each subcontractor showing each subcontractor has identical insurance coverage to the above requirements.

**Commercial general liability and automobile liability policies shall provide an endorsement naming the County of Monterey, its officers, agents, and employees as Additional Insureds with respect to liability arising out of the CONTRACTOR'S work, including ongoing and completed operations, and shall further provide that such insurance is primary insurance to any insurance or self-insurance maintained by the County and that the insurance of the Additional Insureds shall not be called upon to contribute to a loss covered by the CONTRACTOR'S insurance.** The required endorsement form for Commercial General Liability Additional Insured is ISO Form CG 20 10 11-85 or CG 20 10 10 01 in tandem with CG 20 37 10 01 (2000). The required endorsement form for Automobile Additional Insured endorsement is ISO Form CA 20 48 02 99.

Prior to the execution of this Agreement by the County, CONTRACTOR shall file certificates of insurance with the County's contract administrator and County's Contracts/Purchasing Division, showing that the CONTRACTOR has in effect the insurance required by this Agreement. The CONTRACTOR shall file a new or amended certificate of insurance within five calendar days after any change is made in any insurance policy, which would alter the information on the certificate then on file. Acceptance or approval of insurance shall in no way modify or change the indemnification clause in this Agreement, which shall continue in full force and effect.

CONTRACTOR shall at all times during the term of this Agreement maintain in force the insurance coverage required under this Agreement and shall send, without demand by County, annual certificates to County's Contract Administrator and County's Contracts/Purchasing Division. If the certificate is not received by the expiration date, County shall notify CONTRACTOR and CONTRACTOR shall have five calendar days to send in the certificate, evidencing no lapse in coverage during the interim. Failure by CONTRACTOR to maintain such insurance is a default of this Agreement, which entitles County, at its sole discretion, to terminate this Agreement immediately.

## 10.0 RECORDS AND CONFIDENTIALITY.

- 10.01 Confidentiality. CONTRACTOR and its officers, employees, agents, and subcontractors shall comply with any and all federal, state, and local laws, which provide for the confidentiality of records and other information. CONTRACTOR shall not disclose any confidential records or other confidential information received from the County or prepared in connection with the performance of this Agreement, unless County specifically permits CONTRACTOR to disclose such records or information. CONTRACTOR shall promptly transmit to County any and all requests for disclosure of any such confidential records or information. CONTRACTOR shall not use any confidential information gained by CONTRACTOR in the performance of this Agreement except for the sole purpose of carrying out CONTRACTOR's obligations under this Agreement.
- 10.02 County Records. When this Agreement expires or terminates, CONTRACTOR shall return to County any County records which CONTRACTOR used or received from County to perform services under this Agreement.
- 10.03 Maintenance of Records. CONTRACTOR shall prepare, maintain, and preserve all reports and records that may be required by federal, state, and County rules and regulations related to services performed under this Agreement. CONTRACTOR shall maintain such records for a period of at least three years after receipt of final payment under this Agreement. If any litigation, claim, negotiation, audit exception, or other action relating to this Agreement is pending at the end of the three year period, then CONTRACTOR shall retain said records until such action is resolved.
- 10.04 Access to and Audit of Records. The County shall have the right to examine, monitor and audit all records, documents, conditions, and activities of the CONTRACTOR and its subcontractors related to services provided under this Agreement. Pursuant to Government Code section 8546.7, if this Agreement involves the expenditure of public funds in excess of \$10,000, the parties to this Agreement may be subject, at the request of the County or as part of any audit of the County, to the examination and audit of the State Auditor pertaining to matters connected with the performance of this Agreement for a period of three years after final payment under the Agreement.
- 10.05 Royalties and Inventions. County shall have a royalty-free, exclusive and irrevocable license to reproduce, publish, and use, and authorize others to do so, all original computer programs, writings, sound recordings, pictorial reproductions, drawings, and other works of similar nature produced in the course of or under this Agreement. CONTRACTOR shall not publish any such material without the prior written approval of County.

## 11.0 NON-DISCRIMINATION.

- 11.01 During the performance of this Agreement, CONTRACTOR, and its subcontractors, shall not unlawfully discriminate against any person because of race, religious creed, color, sex, national origin, ancestry, physical disability, mental disability, medical condition, marital status, age (over 40), or sexual orientation, either in CONTRACTOR's employment practices or in the furnishing of services to recipients. CONTRACTOR shall ensure that the evaluation and treatment of its employees and applicants for employment and all persons receiving and requesting services are free of such discrimination. CONTRACTOR and any subcontractor shall, in the performance of this Agreement, fully comply with all federal,

state, and local laws and regulations which prohibit discrimination. The provision of services primarily or exclusively to such target population as may be designated in this Agreement shall not be deemed to be prohibited discrimination.

**12.0 COMPLIANCE WITH TERMS OF STATE OR FEDERAL GRANTS.**

12.01 If this Agreement has been or will be funded with monies received by the County pursuant to a contract with the state or federal government in which the County is the grantee, CONTRACTOR will comply with all the provisions of said contract, to the extent applicable to CONTRACTOR as a subgrantee under said contract, and said provisions shall be deemed a part of this Agreement, as though fully set forth herein. Upon request, County will deliver a copy of said contract to CONTRACTOR, at no cost to CONTRACTOR.

**13.0 INDEPENDENT CONTRACTOR.**

13.01 In the performance of work, duties, and obligations under this Agreement, CONTRACTOR is at all times acting and performing as an independent contractor and not as an employee of the County. No offer or obligation of permanent employment with the County or particular County department or agency is intended in any manner, and CONTRACTOR shall not become entitled by virtue of this Agreement to receive from County any form of employee benefits including but not limited to sick leave, vacation, retirement benefits, workers' compensation coverage, insurance or disability benefits. CONTRACTOR shall be solely liable for and obligated to pay directly all applicable taxes, including federal and state income taxes and social security, arising out of CONTRACTOR's performance of this Agreement. In connection therewith, CONTRACTOR shall defend, indemnify, and hold County harmless from any and all liability which County may incur because of CONTRACTOR's failure to pay such taxes.

**14.0 NOTICES.**

14.01 Notices required under this Agreement shall be delivered personally or by first-class, postage pre-paid mail to the County and CONTRACTOR'S contract administrators at the addresses listed below:

<b>FOR COUNTY:</b>	<b>FOR CONTRACTOR:</b>
Lauren Miller, MA II	Reyes Bonilla, Executive Director
Name and Title	Name and Title
1000 South Main Street, Suite 301 Salinas, CA 93901	P.O. Box 1340 Marina, CA 93933
Address	Address
(831) 796-3584 fax: (831) 755-8477	(831) 384-3388 fax: (831) 384-1308
Phone	Phone

## 15.0 MISCELLANEOUS PROVISIONS.

- 15.01 Conflict of Interest. CONTRACTOR represents that it presently has no interest and agrees not to acquire any interest during the term of this Agreement, which would directly, or indirectly conflict in any manner or to any degree with the full and complete performance of the services required to be rendered under this Agreement.
- 15.02 Amendment. This Agreement may be amended or modified only by an instrument in writing signed by the County and the CONTRACTOR.
- 15.03 Waiver. Any waiver of any terms and conditions of this Agreement must be in writing and signed by the County and the CONTRACTOR. A waiver of any of the terms and conditions of this Agreement shall not be construed as a waiver of any other terms or conditions in this Agreement.
- 15.04 Contractor. The term "CONTRACTOR" as used in this Agreement includes CONTRACTOR's officers, agents, and employees acting on CONTRACTOR's behalf in the performance of this Agreement.
- 15.05 Disputes. CONTRACTOR shall continue to perform under this Agreement during any dispute.
- 15.06 Assignment and Subcontracting. The CONTRACTOR shall not assign, sell, or otherwise transfer its interest or obligations in this Agreement without the prior written consent of the County. None of the services covered by this Agreement shall be subcontracted without the prior written approval of the County. Notwithstanding any such subcontract, CONTRACTOR shall continue to be liable for the performance of all requirements of this Agreement.
- 15.07 Successors and Assigns. This Agreement and the rights, privileges, duties, and obligations of the County and CONTRACTOR under this Agreement, to the extent assignable or delegable, shall be binding upon and inure to the benefit of the parties and their respective successors, permitted assigns, and heirs.
- 15.08 Compliance with Applicable Law. The parties shall comply with all applicable federal, state, and local laws and regulations in performing this Agreement.
- 15.09 Headings. The headings are for convenience only and shall not be used to interpret the terms of this Agreement.
- 15.10 Time is of the Essence. Time is of the essence in each and all of the provisions of this Agreement.
- 15.11 Governing Law. This Agreement shall be governed by and interpreted under the laws of the State of California.
- 15.12 Non-exclusive Agreement. This Agreement is non-exclusive and both County and CONTRACTOR expressly reserve the right to contract with other entities for the same or similar services.



- 15.13 Construction of Agreement. The County and CONTRACTOR agree that each party has fully participated in the review and revision of this Agreement and that any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply in the interpretation of this Agreement or any amendment to this Agreement.
- 15.14 Counterparts. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same Agreement.
- 15.15 Authority. Any individual executing this Agreement on behalf of the County or the CONTRACTOR represents and warrants hereby that he or she has the requisite authority to enter into this Agreement on behalf of such party and bind the party to the terms and conditions of this Agreement.
- 15.16 Integration. This Agreement, including the exhibits, represent the entire Agreement between the County and the CONTRACTOR with respect to the subject matter of this Agreement and shall supersede all prior negotiations, representations, or agreements, either written or oral, between the County and the CONTRACTOR as of the effective date of this Agreement, which is the date that the County signs the Agreement.
- 15.17 Interpretation of Conflicting Provisions. In the event of any conflict or inconsistency between the provisions of this Agreement and the Provisions of any exhibit or other attachment to this Agreement, the provisions of this Agreement shall prevail and control.

-----*This section left blank intentionally*-----

16.0 SIGNATURE PAGE.

IN WITNESS WHEREOF, County and CONTRACTOR have executed this Agreement as of the day and year written below.

**COUNTY OF MONTEREY**

**CONTRACTOR**

By: \_\_\_\_\_  
Contracts/Purchasing Officer

Date: \_\_\_\_\_

By: [Signature]  
Department Head (if applicable)

Date: 2/2/15

By: \_\_\_\_\_  
Board of Supervisors (if applicable)

Date: \_\_\_\_\_

Approved as to Form<sup>1</sup>

By: [Signature]  
County Counsel

Date: 12.17.14

Approved as to Fiscal Provisions<sup>2</sup>

By: [Signature]  
Auditor/Controller

Date: 12/22/14

Approved as to Liability Provisions<sup>3</sup>

By: \_\_\_\_\_  
Risk Management

Date: \_\_\_\_\_

Shelter Outreach Plus  
Contractor's Business Name\*

By: [Signature]  
(Signature of Chair, President, or Vice-President)\*

Teresa Erickson, Pr  
Name and Title

Date: 12/12/14

By: [Signature]  
(Signature of Secretary, Asst. Secretary, CFO, Treasurer or Asst. Treasurer)\*

Christopher Gbanillas, Tr  
Name and Title

Date: 12/22/14

County Board of Supervisors' Agreement Number: \_\_\_\_\_, approved on (date): \_\_\_\_\_

\*INSTRUCTIONS: If CONTRACTOR is a corporation, including limited liability and non-profit corporations, the full legal name of the corporation shall be set forth above together with the signatures of two specified officers. If CONTRACTOR is a partnership, the name of the partnership shall be set forth above together with the signature of a partner who has authority to execute this Agreement on behalf of the partnership. If CONTRACTOR is contracting in an individual capacity, the individual shall set forth the name of the business, if any, and shall personally sign the Agreement.

<sup>1</sup>Approval by County Counsel is required  
<sup>2</sup>Approval by Auditor-Controller is required  
<sup>3</sup>Approval by Risk Management is necessary only if changes are made in paragraphs 8 or 9

## SCOPE OF SERVICES

## SHELTER OUTREACH PLUS

- A. Total Funding \$118,551
- |                            |           |
|----------------------------|-----------|
| Homeless Services          | \$18,002  |
| Domestic Violence Services | \$100,549 |
- B. Contract Term: January 1, 2015 to December 31, 2015
- C. County Contact Monterey County Community Action Partnership  
 County Contract Monitor Lauren Miller, Management Analyst  
 1000 S. Main Street, Suite 301, Salinas, CA 93901  
 (831) 796-3584 Fax: (831) 755.8477  
[millerl@co.monterey.ca.us](mailto:millerl@co.monterey.ca.us)
- D. Agency Information
- Administrative Office Shelter Outreach Plus  
 Reyes Bonilla, Executive Director  
 P.O. Box 1340  
 Marina, CA. 93933  
 831.384.3388 Fax 831.384.1308  
[rbonilla.ed@shelteroutreachplus.org](mailto:rbonilla.ed@shelteroutreachplus.org)
  - Location of Services
    - Salinas
      - Men's Lodging Program (MLP)  
 831.384.3362 Fax 831.384.3382  
 Hours of Operation: Seven days a week, 24 hours a day
      - Salinas Shelter (confidential location)  
 831.422.2201 Fax 831.753.6306  
 Hours of Operation: Seven days a week; 24 hours a day
    - Monterey Peninsula
      - Seaside Shelter (confidential location)  
 831.394.8372 Fax 831.394.8372 (same as phone)  
 Hours of Operation: Seven days a week; 24 hours a day
    - North County
      - Mobile Outreach Services Team (MOST) Van, Pajaro River  
 831.384.3362 Fax 831.384.3382  
 Hours of Operation: Wednesday; 11am to 1pm

## SCOPE OF SERVICES

- Salinas Shelter (confidential location)  
831.422.2201      Fax 831.753.6306  
Hours of Operation: Seven days a week; 24 hours a day

### South County

- Mobile Outreach Services Team (MOST) Van, Gonzales  
831.384.3362      Fax 831.384.3382  
Hours of Operation: Friday, 12pm to 3pm
- Salinas Shelter (confidential location)  
831.422.2201      Fax 831.753.6306  
Hours of Operation: Seven days a week; 24 hours a day

### 3. Contact Persons

#### Contractual and Program Matters

Reyes Bonilla, Executive Director

P.O. Box 1340

Marina, CA. 93933

831.384-3388      Fax 831.384.1308

[rbonilla.ed@shelteroutreachplus.org](mailto:rbonilla.ed@shelteroutreachplus.org)

#### Program Matters – Salinas Shelter & Seaside Shelter

Leticia Bejarano, Program Director

831.737.9964      Fax 831.753.6306

[leticiabejarano@shelteroutreachplus.org](mailto:leticiabejarano@shelteroutreachplus.org)

### E. Background

Contractor provides housing/homeless services, domestic violence counseling services, information and referral, and completion of forms through the Men's Lodging Program, Mobile Outreach Services Team (MOST) Program, and the Salinas and Peninsula Shelter. The Men's Lodging Program offers year-around nightly shelter, food, and support to single homeless men at a fixed site in Marina, CA. A client activity center is available for men and women to attend case management appointments. The MOST Program offers assistance through distribution of clothing, food, toiletries and outreach services to homeless individuals and families. The confidential shelter offers counseling, emergency shelter and support to domestic violence victims and homeless individuals and families. Contractor will assist individuals and families with information and referral/completion of forms as required.

### F. Description of Services

#### 1. Services To Be Provided

- Contractor shall perform administrative duties which directly support services that include, but are not limited to:

## SCOPE OF SERVICES

- a. Housing/Homeless Services
  - b. Domestic Violence Counseling
  - c. Crisis Intervention Individual and Group
  - d. Mobile Outreach Services
  - e. Mental Health Evaluations and Referral
  - f. Housing/Homeless Case Management
  - g. Information and Referral
  - h. Completion of Forms
- Contractor shall ensure that this funding is used to provide services to low-income residents of Monterey County who are at or below the current Federal Poverty Guidelines.
  - Contractor shall request and review documentation from customers indicating their income level and securely maintain the information provided.
  - Contractor shall provide services in Spanish and English.

### 2. Collaborative Participation

As a member of the North County, South County, Monterey Peninsula and Salinas City Collaboratives, Contractor shall attend all scheduled meetings and work closely with the other member agencies to refer families and individuals to the appropriate services to meet their needs. Agencies in the Collaboratives will work together to refer families and individuals to the services appropriate to meet their needs.

Contractor is encouraged to invite other agencies who provide services to the various regions low income population, but who are not funded through the CAP collaborative, to the collaborative meetings. This activity will increase the collaborative's membership and networking capabilities, and provide for better wrap-around services to the area's low income population.

## G. Reporting and Invoicing

### 1. Reporting Instructions and Submission

Contractor shall achieve the goals as stated in the National Performance Indicators report attached as **Exhibit A-1**. The NPI shall be a cumulative report reflecting actual outcomes for all geographic regions.

Contractor will report semi-annually using two California State Forms; the National Performance Indicators Report CSD-801 (Rev. 11/11), **Exhibit A-1**, and the Programmatic Data-Client Characteristic Report CSD 295-CCR (Rev. 2011), **Exhibit A-2**.

Both the CSD-801 (Rev. 11/11) and the CSD 295-CCR (Rev. 2011) will be due on:

- July 10, 2015 (for the period January 1 to June 30, 2015)
- January 10, 2016 (for the cumulative period January 1 to December 31, 2015)

## SCOPE OF SERVICES

Submit all reports to Lauren Miller via e-mail at: [millerl@co.monterey.ca.us](mailto:millerl@co.monterey.ca.us)

### 2. Invoicing Instructions and Submission

Invoicing shall be submitted against the total contract dollar amount and be tracked separately by service program. The Invoice shall be submitted on the form set forth in **Exhibit D**.

The Client Information Report, **Exhibit A-3**, is a monthly submission capturing data on CalWORKs recipients served by Contractor in accordance with HIPPA rules and regulations as stated in **Exhibit E**.

Contractor shall submit client information report and signed invoices with supportive documentation to the County setting forth the amount claimed by the 10th day of the month following the month in which services were performed commencing January 2015, with the final invoice due no later than December 10, 2015. Contractor acknowledges that all funding under this contract will be exhausted by November 30, 2015; however, services will continue through December 31, 2015 with other program funding.

All Invoices shall be mailed to:  
Lauren Miller, Community Action Partnership  
1000 South Main Street, Suite 301  
Salinas, CA 93901

The maximum amount payable by COUNTY to CONTRACTOR under this agreement shall not exceed **one hundred eighteen thousand, five hundred and fifty-one dollars (\$118,551)**.

Per Exhibit B, Section VI. of this Agreement, funding is contingent on State and Federal funding.

Contract No. \_\_\_\_\_  
 Mid-Year Report (Jan-June)  
 Annual Report (Jan-Dec)

## CSBG/NPI Programs Report

Contractor Name: Shelter Outreach Plus  
 Contact Person and Title: Reyes Bonilla, Executive Director  
 Phone Number: (831) 384-3388 Ext. Number: #116  
 E-mail Address: rbonilla.ed@shelteroutreachplus.org Fax Number: (831) 384-1308

**Goal 1: Low-income people become more self-sufficient.**

**NPI 1.1: Employment**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

The recent unemployment rate in Monterey County was 9.8 percent which is equal to the California state average of 9.8 percent and above the national average of 7.7 percent. Based on these facts, it is clear that many low-income families and individuals in Monterey County are chronically unemployed or underemployed and earn less than adequate living wages to support themselves and their families.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

The Monterey County Community Action Partnership plans to provide employment support services to low-income families and individuals throughout the year. This goal will be accomplished by subcontracting with community-based organizations which as part of their service delivery will also provide assistance to families and individuals in the area of obtaining initial employment or to re-enter the workforce.

National Performance Indicator 1.1 <b>Employment</b>	Reporting Period	I Number of Participants Expected to Achieve Outcome in Reporting Period (#)	II Number of Participants Enrolled in Program(s) in Reporting Period (#)	III Number of Participants Achieving Outcome in Reporting Period (#)	IV Percentage Achieving Outcome in Reporting Period (III/I=IV) (%)	V Explanations Required  (Report on explanation tab)
The number and percentage of low-income participants who get a job or become self-employed, as a result of Community Action Assistance, as measured by <u>one or more</u> of the following:	A. Unemployed and obtained a job	Mid-Year	45			
	Annual	65				
B. Employed and maintained a job for a least 90 days	Mid-Year					
	Annual					
C. Employed and obtained an increase in employment income <b>and/or</b> benefits	Mid-Year					
	Annual					
D. Achieved "living wage" employment and/or benefits	Mid-Year					
	Annual					
<i>In the rows below, please include any additional indicators for NPI 1.1 that were not captured above.</i>						
	Mid-Year					
	Annual					

## CSBG/NPI Programs Report

### Goal 1: *Low-income people become more self-sufficient.*

#### NPI 1.2: Employment Supports

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

The unemployment rate in the Monterey County area has had significant impact on self-sufficiency due in part to the recent trends of property depreciation, foreclosures, rate of bankruptcy filings, and the high cost of living in the Monterey County area. These barriers lead to extended challenges in seeking and obtaining stable employment.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

Through subcontractors, the Monterey County Community Action Partnership plans to provide assistance in reducing some of these barriers to employment. Subcontracting agencies will offer employment support services as they assist families with other services such as case management while in transitional housing, money management, assistance seeking employment, resume writing, and interviewing skills.

National Performance Indicator 1.2		I	II	III	IV	V
Employment Supports	Reporting Period	Number of Participants Expected to Achieve Outcome in Reporting Period (#)	Number of Participants Enrolled in Program(s) in Reporting Period (#)	Number of Participants Achieving Outcome in Reporting Period (#)	Percentage Achieving Outcome in Reporting Period (III/I=IV) (%)	Explanations Required (Report on explanation tab)
The number of low-income participants for whom barriers to initial or continuous employment are reduced or eliminated through assistance from Community Action, as measured by <u>one or more</u> of the following:	A. Obtained skills/competencies required for employment	Mid-Year	20			
	Annual	40				
B. Completed ABE/GED and received certificate or diploma	Mid-Year					
	Annual					
C. Completed post-secondary education program and obtained certificate or diploma	Mid-Year					
	Annual					
D. Enrolled children in "before" or "after" school programs	Mid-Year					
	Annual					
E. Obtained care for child or other dependant	Mid-Year					
	Annual					
F. Obtained access to reliable transportation and/or driver's license	Mid-Year					
	Annual					
G. Obtained health care services for themselves and/or a family member	Mid-Year					
	Annual					
H. Obtained and/or maintained safe and affordable housing	Mid-Year	25				
	Annual	45				
I. Obtained food assistance	Mid-Year	100				
	Annual	200				
J. Obtained non-emergency LIHEAP energy assistance	Mid-Year					
	Annual					
K. Obtained non-emergency WX energy assistance	Mid-Year					
	Annual					
L. Obtained other non-emergency energy assistance (State/local/private energy programs. Do Not Include LIHEAP or WX)	Mid-Year					
	Annual					

*In the rows below, please include any additional indicators for NPI 1.2 that were not captured above.*

	Mid-Year					
	Annual					



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## CSBG/NPI Programs Report

**Goal 1: Low-income people become more self-sufficient.**

**NPI 1.3: Economic Asset Enhancement and Utilization**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

In Monterey County, the IRS estimates that 10,810 households fail to claim their Earned Income Tax Credit (EITC), leaving \$16,157,443 on the table. The average unclaimed EITC is \$1,495 per household. It is known that these families need assistance and guidance on how to claim this money and put it to good use. The 2013 Needs Assessment indicated that there is a clear need for financial counseling. Enhancing financial education can help people move into self-sufficiency in the area of budgeting money in addition to facilitating access to available resources through the IRS and other financial system supports.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

The Volunteer Income Tax Assistance (VITA) Program continues strong in Monterey County. Last year, 1,273 filers used VITA to claim \$2 million in refunds and credits. The program expects to file even more tax returns this year and bring approximately \$3 million in refunds and credits. The Monterey County Community Action Partnership partially funds this program and provides volunteer support and building access to the program. The VITA program also supports financial education and financial savings programs. The money refunded to these individuals and families provide additional benefit to the community as it is circulated back into the local economy.

<b>National Performance Indicator 1.3</b>		<b>I</b>	<b>II</b>	<b>III</b>	<b>IV</b>	<b>V</b>	<b>VI</b>
<b>Economic Asset Enhancement and Utilization</b>	<b>Reporting Period</b>	<b>Number of Participants Expected to Achieve Outcome in Reporting Period (#)</b>	<b>Number of Participants Enrolled in Program(s) in Reporting Period (#)</b>	<b>Number of Participants Achieving Outcome in Reporting Period (#)</b>	<b>Percentage Achieving Outcome in Reporting Period (III/I=IV) (%)</b>	<b>Explanations Required (Report on explanation tab)</b>	<b>Aggregated Dollar Amounts (Payments, Credits or Savings) (\$)</b> <i>If no dollars reported please explain</i>
The number and percentage of low-income households that achieve an increase in financial assets and/or financial skills as a result of Community Action assistance, and the aggregated amount of those assets and resources for all participants achieving the outcome, as measured by <u>one or more</u> of the following:							

### ENHANCEMENT

<b>A.</b> Number and percent of participants in tax preparation programs who qualified for any type of Federal or State tax credit and the expected aggregated dollar amount of credits.	Mid-Year						
	Annual						
<b>B.</b> Number and percent of participants who obtained court-ordered child support payments and the expected annual aggregated dollar amount of payments.	Mid-Year						
	Annual						
<b>C.</b> Number and percent of participants who were enrolled in telephone lifeline and/or energy discounts with the assistance of the agency and the expected aggregated dollar amount of savings.	Mid-Year						
	Annual						

### CSBG/NPI Programs Report

<b>National Performance Indicator 1.3</b>		<b>I</b>	<b>II</b>	<b>III</b>	<b>IV</b>	<b>V</b>	<b>VI</b>
<p><b>Economic Asset Enhancement and Utilization</b></p> <p>The number and percentage of low-income households that achieve an increase in financial assets and/or financial skills as a result of community action assistance, and the aggregated amount of those assets and resources for all participants achieving the outcome, as measured by <u>one or more</u> of the following:</p>	<b>Reporting Period</b>	<b>Number of Participants Expected to Achieve Outcome in Reporting Period (#)</b>	<b>Number of Participants Enrolled in Program(s) in Reporting Period (#)</b>	<b>Number of Participants Achieving Outcome in Reporting Period (#)</b>	<b>Percentage Achieving Outcome in Reporting Period (III/I=IV) (%)</b>	<b>Explanations Required (Report on explanation tab)</b>	<b>Aggregated Dollar Amounts (Payments, Credits or Savings) (\$)</b> <i>If no dollars reported please explain</i>

#### UTILIZATION

<b>D.</b> Number and percent of participants demonstrating ability to complete and maintain a budget for over 90 days	Mid-Year						<b>N/A</b>
	Annual						
<b>E.</b> Number and percent of participants opening an Individual Development Account (IDA) or other savings account	Mid-Year						<b>N/A</b>
	Annual						
<b>F.</b> Number and percent of participants who increased their savings through IDA or other savings accounts and the aggregated amount of savings	Mid-Year						
	Annual						
<b>G.</b> Number and percent of participants capitalizing a small business with accumulated IDA or other savings	Mid-Year						
	Annual						
<b>H.</b> Number and percent of participants pursuing post-secondary education with accumulated IDA or other savings	Mid-Year						
	Annual						
<b>I.</b> Number and percent of participants purchasing a home with accumulated IDA or other savings	Mid-Year						
	Annual						
<b>J.</b> Number and percent of participants purchasing other assets with accumulated IDA or other savings	Mid-Year						
	Annual						

*In the rows below, please include any additional indicators for NPI 1.3 that were not captured above.*

	Mid-Year						
	Annual	2015 SOP Exhibit A-1 NPI.xlsx	Goal 1 Reporting				Page 4

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### CSBG/NPI Programs Report

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 E-mail Address: rbonilla.ed@shelteroutreachplus.org Fax Number: (831) 384-1308

**Goal 2: The conditions in which low-income people live are improved.**

**NPI 2.1: Community Improvement and Revitalization**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

National Performance Indicator 2.1		I	II	III	IV	V	VI
Community Improvement and Revitalization	Reporting Period	Number of Projects or Initiatives Expected to Achieve in Reporting Period (#)	Number of Projects or Initiatives (#)	Number of Opportunities and/or Community Resources Preserved or Increased (#)	Percentage Achieving Outcome in Reporting Period (II/I=IV) (%)	Explanations Required (Report on explanation tab) (II/I=V)	Explanations Required for Projects to Opportunities Ratio (II is greater than III) (Report on explanation tab)
A. Jobs created, or saved, from reduction or elimination in the community.	Mid-Year						
	Annual						
B. Accessible "living wage" jobs created, or saved, from reduction or elimination in the community.	Mid-Year						
	Annual						
C. Safe and affordable housing units created in the community	Mid-Year						
	Annual						
D. Safe and affordable housing units in the community preserved or improved through construction, weatherization, or rehabilitation achieved by community action activity or advocacy	Mid-Year						
	Annual						
E. Accessible safe and affordable health care services/ facilities for low-income people created, or saved from reduction or elimination	Mid-Year						
	Annual						
F. Accessible safe and affordable child care or child development placement opportunities for low-income families created, or saved from reduction or elimination	Mid-Year						
	Annual						
G. Accessible "before school" and "after school" program placement opportunities for low-income families created, or saved from reduction or elimination	Mid-Year						
	Annual						
H. Accessible new or expanded transportation resources, or those that are saved from reduction or elimination, that are available to low-income people, including public or private transportation.	Mid-Year						
	Annual						
I. Accessible or increased educational and training placement opportunities, or those that are saved from reduction or elimination, that are available for low-income people in the community, including vocational, literacy, and life skill training, ABE GED, and post-secondary education	Mid-Year						
	Annual						
<i>In the rows below, please include any additional indicators for NPI 2.1 that were not captured above.</i>							
	Mid-Year						
	Annual						

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### CSBG/NPI Programs Report

*Goal 2: The conditions in which low-income people live are improved.*

**NPI 2.2: Community Quality of Life and Assets**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

National Performance Indicator 2.2 <b>Community Quality of Life and Assets</b>		I	II	III	IV	V	VI
The quality of life and assets in low-income neighborhoods are improved by Community Action initiative or advocacy, as measured by <u>one or more</u> of the following:	<b>Reporting Period</b>	<b>Number of Program Initiatives or Advocacy Efforts Expected to Achieve in Reporting Period (#)</b>	<b>Number of Projects or Initiatives or Advocacy Efforts (#)</b>	<b>Number of Community Assets, Services or Facilities Preserved or Increased (#)</b>	<b>Percentage Achieving Outcome in Reporting Period (II/I=IV) (%)</b>	<b>Explanations Required (Report on explanation tab)</b>	<b>Explanations Required for Project to Opportunity Ratio (II is greater than III) (Report on explanation tab)</b>
A. Increases in community assets as a result of a change in law, regulation, or policy, which results in improvements in quality of life and assets	Mid-Year						
	Annual						
B. Increase in the availability or preservation of community facilities	Mid-Year						
	Annual						
C. Increase in the availability or preservation of community services to improve public health and safety	Mid-Year						
	Annual						
D. Increase in the availability or preservation of commercial services within low-income neighborhoods	Mid-Year						
	Annual						
E. Increase or preservation of neighborhood quality-of-life resources	Mid-Year						
	Annual						
<i>In the rows below, please include any additional indicators for NPI 2.2 that were not captured above.</i>							
	Mid-Year						
	Annual						

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### CSBG/NPI Programs Report

**Goal 2: The conditions in which low-income people live are improved.**

**NPI 2.3: Community Engagement**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

Monterey County struggles with community engagement at times due to the county's geographical variations and diverse spectrum of population socio-economics, race, education, etc. Finding common ground and greater consensus among the population is a long-term challenge especially when the population expresses feelings of helplessness in the face of disagreeing politicians and national economic struggles. The challenge is to help community members overcome this feeling and convince them that this investment is for the long term and will have multiple benefits across the community network.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

As a agency under the arm of the Monterey County Department of Social Services, the Monterey County Community Action Partnership is able to form connections, build lasting contacts and partially finance several community based organizations. These contracts produce thousands of volunteer hours in a variety of fields in areas all across the county. The Community Action Partnership is lead by the advisory of the Community Action Commission which represents the voice of the community and commissioners donate several hundred hours a year to the program and community.

National Performance <u>Indicator 2.3</u>			I	II	III	IV
Community Engagement			Number of Total Contribution by Community Expected to Achieve in Reporting Period (#)	Total Contribution by Community	Percentage Achieving Outcome in Reporting Period (II/I=III) (%)	Explanations Required  (Report on explanation tab)
The number of community members working with Community Action to improve conditions in the community.		Reporting Period				
<b>A.</b> Number of community members mobilized by Community Action that participate in community revitalization and anti-poverty initiatives		Mid-Year	2			
		Annual	5			
<b>B.</b> Number of volunteer hours donated to the agency (This will be All volunteer hours)		Mid-Year	350			
		Annual	500			
<i>In the rows below, please include any additional indicators for NPI 2.3 that were not captured above.</i>		Mid-Year				
		Annual				

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## CSBG/NPI Programs Report

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**Goal 3: Low-income people own a stake in their community.**

**NPI 3.1: Community Enhancement through Maximum Feasible Participation**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

Monterey County is designated as a "high intensity gang activity area" by the state of California. Living in areas with high gang violence, it is important for the community to be engaged and have safe activities for the community to be involved. Low income individuals who are engaged in productive activities such as volunteer work are more likely to have a stabilized lifestyle and be encouraged to demonstrate healthy habits.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a

Through our needs assessment process, the community members shared their experiences in volunteering for programs such as the Food Bank for Monterey County which mainly operates through the efforts of volunteers. Several of the non-profit agencies that the Community Action Partnership plans to collaborate with utilize volunteers to expand their service coverage ability. By encouraging this effort, the community is able to take a role in enhancing their own community and lifestyle.

National Performance Indicator 3.1 Community Enhancement through Maximum Feasible Participation	Reporting Period	I	II	III	IV
The number of volunteer hours donated to Community Action.		Total Number of Volunteer Hours Expected to Achieve in Reporting Period (#)	Total Number of Volunteer Hours (#)	Percentage Achieving Outcome in Reporting Period (II/I=III) (%)	Explanations Required (Report on explanation tab)
The total number of volunteer hours donated by <u>low-income</u> individuals to Community Action. (This is ONLY the number of volunteer hours from individuals who are low-income.)	Mid-Year				
	Annual				

*In the rows below, please include any additional indicators for NPI 3.1 that were not captured above.*

	Mid-Year				
	Annual				

## CSBG/NPI Programs Report

**Goal 3: Low-income people own a stake in their community.**

**NPI 3.2: Community Empowerment Through Maximum Feasible Participation**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

Gang related crimes and violence impact communities in a destructive and often times deadly manner. According to local law enforcement figures, there are an estimated 5,000 certified and affiliated gang members in Monterey County and it is approximated that about 3,000 live in the city of Salinas. Two California State Prisons reside within Monterey County, the Salinas Valley State Prison and the Correctional Training Facility (Soledad). Close proximity to these prisons have exacerbated gang problems in cities like Salinas, as family members and gang associates desire to be close to incarcerated individuals. The area of East Salinas is of concern due to very low economic and education rates in this area.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

The Monterey County Community Action Partnership plans to partially fund programs that address gang prevention and intervention. The Community Action Partnership will support programs that provide outreach and engagement to parents and community members about gang violence and how to participate in the community activities that address the concern. These parents will learn to become advocates for their community and can engage others to get involved.

National Performance Indicator 3.2		I	II	III	IV
Community Empowerment through Maximum Feasible Participation	Reporting Period	Number of Low- Income People Expected to Achieve in Reporting Period (#)	Number of Low-Income People in Reporting Period (#)	Percentage Achieving Outcome in Reporting Period (II/I=III) (%)	Explanations Required  (Report on explanation tab)
The number of low-income people mobilized as a direct result of Community Action initiative to engage in activities that support and promote their own well-being and that of their community, as measured by <u>one or more</u> of the following:					
A. Number of low-income people participating in formal community organizations, government, boards, or councils that provide input to decision making and policy setting through community action efforts	Mid-Year	1			
	Annual	1			
B. Number of low-income people acquiring businesses in their community as a result of community action assistance	Mid-Year				
	Annual				
C. Number of low-income people purchasing their own home in their community as a result of community action assistance	Mid-Year				
	Annual				
D. Number of low-income people engaged in non-governance community activities or groups created or supported by community action	Mid-Year				
	Annual				

*In the rows below, please include any additional indicators for NPI 3.2 that were not captured above.*

	Mid-Year				
	Annual				

**CSBG/NPI Programs Report**

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**Goal 4: Partnerships among supporters and providers of services to low-income people are achieved.**

**NPI 4.1: Expanding Opportunities through Community-Wide Partnerships**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

Access to local and affordable health services and support was a major need identified through the needs assessment process. Many local residents indicate they do not qualify for state and federal programs such as medi-cal and there are very few alternatives available particularly in the more rural areas of the north and south county.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

With the upcoming implementation of health care reform, it is more important than ever that the Community Action network of providers collaboration with local community based organizations. The Monterey County Community Action Partnership could support health needs and services by collaborating resources with local agencies to provide outreach and education on expanded coverage becoming available in 2014. Local service providers can utilize their services to reach a

National Performance Indicator 4.1 Expanding Opportunities Through Community-Wide Partnerships	Reporting Period	I	II	III	IV	V	VI
		Number of Organizations Expected to Achieve in Reporting Period (#)	Number of Partnerships Expected to Achieve in Reporting Period (#)	Number of Organizations in Reporting Period (#)	Number of Partnerships in Reporting Period (#)	Explanations Required (III/I=V) (Report on explanation tab)	Explanations Required (IV/II=VI) (Report on explanation tab)
The number of organizations, both public and private, that Community Action actively works with to expand resources and opportunities in order to achieve family and community outcomes.	Mid-Year						
	Annual						
A. Non-Profit	Mid-Year	5	10				
	Annual	10	15				
B. Faith Based	Mid-Year	5	15				
	Annual	10	20				
C. Local Government	Mid-Year	2	2				
	Annual	2	4				
D. State Government	Mid-Year	1	1				
	Annual	1	1				
E. Federal Government	Mid-Year	1	1				
	Annual	1	1				
F. For-Profit Business or Corporation	Mid-Year	3	3				
	Annual	5	5				
G. Consortiums/Collaboration	Mid-Year	2	2				
	Annual	4	4				
H. Housing Consortiums/Collaboration	Mid-Year	2	2				
	Annual	4	4				
I. School Districts	Mid-Year	1	1				
	Annual	2	2				
J. Institutions of postsecondary education/training	Mid-Year	1	1				
	Annual	3	3				
K. Financial/Banking Institutions	Mid-Year	2	2				
	Annual	4	4				
L. Health Service Institutions	Mid-Year	1	1				
	Annual	2	2				
M. State wide associations or collaborations	Mid-Year	1	1				
	Annual	1	1				

*In the rows below, please add other types of partners with which your CA has formed relationships that were not captured above.*

	Mid-Year						
	Annual						
<b>The total number of organizations CAAs work with to promote family and community outcomes (automatically caculates)</b>	Mid-Year	27	42				
	Annual	49	66				



**CSBG/NPI Programs Report**

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**Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.**

**NPI 6.1: Independent Living**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

According to the 2013 Community Needs Assessment, supportive health services for the disabled and elderly ranked high as a local need and increasing services are important. Currently, seniors and individuals living with disabilities must rely on basic safety net resources to meet their needs. This need was expressed particularly in the north and south county areas where there is less access to resources such as congregate meals and health services.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

The Monterey Community Action Partnership plans to collaborate and partially fund agencies which assist the disabled, low-income population in Monterey County. The Community Action Partnership will support agencies with providing safety-net resources to seniors and the disabled such as rental assistance, emergency shelter, and food.

National Performance Indicator 6.1	Reporting Period	I Number of Vulnerable Individuals Living Independently Expected to be Served in Reporting Period (#)	II Number of Vulnerable Individuals Living Independently in Reporting Period (#)	III Percentage Achieving Outcome in Reporting Period (II/I=III) (%)	IV Explanations Required (Report on explanation tab)
<b>Independent Living</b>  The number of vulnerable individuals receiving services from Community Action who maintain an independent living situation as a result of those services:					
<b>A. Senior Citizens</b> ( <i>seniors can be reported twice, once under Senior Citizens and again, if they are disabled, under Individuals with Disabilities, ages 55-over.</i> )	Mid-Year				
	Annual				
<b>B. Individuals with Disabilities</b>					
<b>Ages:</b>	Mid-Year				
a. 0-17	Annual				
b. 18-54	Mid-Year				
	Annual				
c. 55-over	Mid-Year				
	Annual				
d. Age Unknown	Mid-Year				
	Annual				
<b>TOTAL Individuals with Disabilities (automatically calculates)</b>	Mid-Year				
	Annual				
<i>In the rows below, please include any additional indicators for NPI 6.1 that were not captured above.</i>					
	Mid-Year				
	Annual				

## CSBG/NPI Programs Report

**Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.**

### NPI 6.2: Emergency Assistance

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

The 2013 Community Needs Assessment highlighted the need for emergency services in all areas of Monterey County. The top priority need identified was for food resources with rent and utility support, homeless services, and health related services close behind. These identified priorities indicate the need to continue support for emergency assistance throughout the county as testimonies indicated that individuals were continuing to struggle financially with the poor economy.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

The Monterey County Community Action Partnership plans to collaborate with local community based-organizations to support and supplement emergency assistance needs in the county. By continuing to partially fund local programs which provide the services indicated below, the agency can fulfill one of its core goals in addressing emergency safety net services for the county.

National Performance Indicator 6.2		I	II	III	IV	V
Emergency Assistance	Reporting Period	Number of Individuals Seeking Assistance Projected to be Served in Reporting Period (#)	Number of Individuals Seeking Assistance in Reporting Period (#)	Number of Individuals Receiving Assistance in Reporting Period (#)	Percentage Achieving Outcome in Reporting Period (III/I=IV) (%)	Explanations Required  (Report on explanation tab)
The number of low-income individuals served by Community Action who sought emergency assistance and the number of those individuals for whom assistance was provided, including such service as:						
A. Emergency Food	Mid-Year	200				
	Annual	400				
B. Emergency fuel or utility payments funded by LIHEAP or other public and private funding sources	Mid-Year					
	Annual					
C. Emergency Rent or Mortgage Assistance	Mid-Year					
	Annual					
D. Emergency Car or Home Repair (i.e. structural appliance, heating systems, etc.)	Mid-Year					
	Annual					
E. Emergency Temporary Shelter	Mid-Year	100				
	Annual	200				
F. Emergency Medical Care	Mid-Year					
	Annual					
G. Emergency Protection from Violence	Mid-Year	40				
	Annual	80				
H. Emergency Legal Assistance	Mid-Year					
	Annual					
I. Emergency Transportation	Mid-Year					
	Annual					
J. Emergency Disaster Relief	Mid-Year					
	Annual					
K. Emergency Clothing	Mid-Year	200				
	Annual	400				

*In the rows below, please include any additional indicators for NPI 6.2 that were not captured above.*

	Mid-Year					
	Annual					

**CSBG/NPI Programs Report**

**Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.**

**NPI 6.3: Child and Family Development**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

Child and youth services were identified as a key priority in the 2013 Community Needs Assessment especially in the north county area. Surveys indicated that parents felt a lack of safe and affordable child care and engaging local youth services. Throughout the county, but particularly in the north county area, many individuals reported feeling there is a lack of safe, organized activities for children to engage in after school. Lack of child and youth development activities can leave gaps for children to become involved in less desirable activities.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

By collaborating with local non-profit agencies who are experienced in child and family services, the Monterey Community Action Partnership plans to partially fund services that address this needs gap. The Community Action Partnership will be looking to support services that engage youth in positive activities and promote healthy social development. Gang awareness and prevention services would be part of this goal as well.

National Performance Indicator 6.3		I	II	III	IV	V
Child and Family Development	Reporting Period	Number of Participants Expected to Achieve Outcome in Reporting Period (#)	Number of Participants Enrolled in Program(s) in Reporting Period (#)	Number of Participants Achieving Outcome in Reporting Period (#)	Percentage Achieving Outcome in Reporting Period (III/I=IV) (%)	Explanations Required  (Report on explanation tab)
The number and percentage of all infants, children, youth, parents, and other adults participating in developmental or enrichment programs that achieve program goals, as measured by <u>one or more</u> of the following:						

**INFANTS & CHILDREN**

A. Infants and children obtain age appropriate immunizations, medical, and dental care	Mid-Year					
	Annual					
B. Infant and child health and physical development are improved as a result of adequate nutrition	Mid-Year					
	Annual					
C. Children participate in pre-school activities to develop school readiness skills	Mid-Year					
	Annual					
D. Children who participate in pre-school activities are developmentally ready to enter Kindergarten or 1st Grade	Mid-Year					
	Annual					

**YOUTH**

E. Youth improve health and physical development	Mid-Year					
	Annual					
F. Youth improve social/emotional development	Mid-Year					
	Annual					
G. Youth avoid risk-taking behavior for a defined period of time	Mid-Year					
	Annual					
H. Youth have reduced involvement with criminal justice system	Mid-Year					
	Annual					
I. Youth increase academic, athletic, or social skills for school success	Mid-Year					
	Annual					

**PARENTS AND OTHER ADULTS**

J. Parents and other adults learn and exhibit improved parenting skills	Mid-Year					
	Annual					
K. Parents and other adults learn and exhibit improved family functioning skills	Mid-Year					
	Annual					

*In the rows below, please include any additional indicators for NPI 6.3 that were not captured above.*

	Mid-Year					
	Annual					

## CSBG/NPI Programs Report

**Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.**

### NPI 6.4: Family Supports

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

According to the U.S. Census, Small Area Income and Poverty Estimates, Monterey County's poverty rate is 17.1% compared to the national average of 15.3%. This indicator reflects the surveys gathered during the 2013 Community Needs Assessment which recorded top priorities in safety net services such as food access, rental utility support, and homeless service needs. In all areas surveyed, families and individuals indicated a need in obtaining services that reduce the barriers to stability due to poverty.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

The Monterey County Community Action Partnership plans to continue funding to support local non-profits that provide safety net services such as housing resources, food, utility support, and health services. By providing some relief in these areas, low income families are better positioned to remain or obtain stable living conditions.

<b>National Performance Indicator 6.4</b> <b>Family Supports (Seniors, Disabled and Caregivers)</b>  Low-income people who are <b>unable to work</b> , especially seniors, adults with disabilities, and caregivers, for whom barriers to family stability are reduced or eliminated, as measured by one or more of the following:	<b>Reporting Period</b>	<b>I</b> Number of Participants Expected to Achieve Outcome in Reporting Period (#)	<b>II</b> Number of Participants Enrolled in Program(s) in Reporting Period (#)	<b>III</b> Number of Participants Achieving Outcome in Reporting Period (#)	<b>IV</b> Percentage Achieving Outcome in Reporting Period (III/I=IV) (%)	<b>V</b> Explanations Required  (Report on explanation tab)
<b>A.</b> Enrolled children in before or after school programs	Mid-Year					
	Annual					
<b>B.</b> Obtained care for child or other dependent	Mid-Year					
	Annual					
<b>C.</b> Obtained access to reliable transportation and/or driver's license	Mid-Year					
	Annual					
<b>D.</b> Obtained health care services for themselves or family member	Mid-Year					
	Annual					
<b>E.</b> Obtained and/or maintained safe and affordable housing	Mid-Year					
	Annual					
<b>F.</b> Obtained food assistance	Mid-Year					
	Annual					
<b>G.</b> Obtained non-emergency LIHEAP energy assistance	Mid-Year					
	Annual					
<b>H.</b> Obtained non-emergency WX energy assistance	Mid-Year					
	Annual					
<b>I.</b> Obtained other non-emergency energy assistance. (State/local/private energy programs. Do Not Include LIHEAP or WX)	Mid-Year					
	Annual					

*In the rows below, please include any additional indicators for NPI 6.4 that were not captured above.*

	Mid-Year					
	Annual					

## CSBG/NPI Programs Report

**Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.**

### NPI 6.5: Service Counts

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

The top priority need identified during the 2013 Needs Assessment was food resources. According to the U.S. Census American Community Survey, 5.16 percent of the population receives SNAP assistance to supplement food support but another 9.3 percent whose income is below poverty levels do not receive SNAP assistance. Low income families need alternative access to food and other basic resources to meet basic standards of living in the expensive Monterey County area.

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

By supporting food access programs in Monterey County, the Monterey County Community Action Partnership helps more families and individuals have access to supplemental food. Food access programs have a substantial impact on the greater community by supplying food to local community pantries and faith-based organizations. In addition to food resources, the other non-profit agencies which the Community Action Partnerships plans to support also provide food boxes, supplemental clothing, and information and referral assistance.

National Performance Indicator 6.5		I	II	III	IV
Service Counts	Reporting Period	Number of Services Expected in Reporting Period (#)	Number of Services in Reporting Period (#)	Percentage Achieving Outcome in Reporting Period (II/I=III) (%)	Explanations Required  (Report on explanation tab)
The number of services provided to low-income individuals and/or families, as measured by <u>one or more</u> of the following:					
A. Food Boxes	Mid-Year	50			
	Annual	100			
B. Pounds of Food	Mid-Year				
	Annual				
C. Units of Clothing	Mid-Year	50			
	Annual	100			
D. Rides Provided	Mid-Year	15			
	Annual	30			
E. Information and Referral Calls	Mid-Year	500			
	Annual	800			
<i>In the rows below, please include any additional indicators for NPI 6.5 that were not captured above.</i>					
	Mid-Year				
	Annual				



Please use the CSD 295 Client Characteristic Report Instructions and Helpful Hints to complete this form.

1 Contractor Name:		Contract #:	
Prepared By (name):		Report Period:	
Phone Number:		Email address:	

Demographic data should be collected on ALL clients receiving services under any program administered by the designated Community Action Agency.

<b>Yellow Highlighted Sections represent demographics collected on INDIVIDUALS</b>			
2	Total unduplicated number of persons about whom one or more characteristics were obtained		
3	Total unduplicated number of persons about whom no characteristics were obtained		
<b>Blue Highlighted Sections represent demographics collected on FAMILIES</b>			
4	Total unduplicated number of families about whom one or more characteristics were obtained		
5	Total unduplicated number of families about whom no characteristics were obtained		
<b>6. Gender</b>		<b>Number of Persons*</b>	
a.	Male		
b.	Female		
	<b>*Total</b>	0	
<b>7. Age</b>		<b>Number of Persons*</b>	
a.	0-5		
b.	6-11		
c.	12-17		
d.	18-23		
e.	24-44		
f.	45-54		
g.	55-69		
h.	70+		
	<i>Sum of 7e thru 7h =</i>	0	
	<b>*Total</b>	0	
<b>8. Ethnicity/Race</b>			
<i>I. Ethnicity</i>			
a.	Hispanic, Latino or Spanish Origin		
b.	Not Hispanic, Latino or Spanish Origin		
	<b>*Total</b>	0	
<i>II. Race</i>			
a.	White		
b.	Black or African American		
c.	American Indian and Alaskan Native		
d.	Asian		
e.	Native Hawaiian and Other Pacific Islander		
f.	Other		
g.	Multi-Race (any 2 or more of the above)		
	<b>*Total</b>	0	
<b>9. Education Level of Adults</b>		<b>Number of Persons 24+**</b>	
a.	0-8		
b.	9-12/Non-Graduate		
c.	High School Graduate/GED		
d.	12+ Some Post Secondary		
e.	2 or 4 yr. College Graduates		
	<b>** Total</b>	0	
<b>10. Other Characteristics</b>		<b>Number of Persons*</b>	
		<b>Yes</b>	<b>No</b>
a.	Health Insurance		
b.	Disabled		
			0
			0
<b>11. Family Type</b>		<b>Number of Families***</b>	
a.	Single Parent/Female		
b.	Single Parent/Male		
c.	Two-Parent Household		
d.	Single Person		
e.	Two Adults - No Children		
f.	Other		
	<b>***Total</b>	0	
<b>12. Family Size</b>		<b>Number of Families ***</b>	
a.	One		
b.	Two		
c.	Three		
d.	Four		
e.	Five		
f.	Six		
g.	Seven		
h.	Eight or more		
	<b>***Total</b>	0	
<b>13. Source of Family Income</b>		<b>Number of Families</b>	
a.	Unduplicated # of Families Reporting One or More Sources of Income***		
b.	Unduplicated # of Families Reporting No Income		
<b>Total UNDUP Families who responded as either having a source of income or having no income ***</b>		0	
<b>Record the sources of each family income as reported in 13a above:</b>			
c.	TANF		
d.	SSI		
e.	Social Security		
f.	Pension		
g.	General Assistance		
h.	Unemployment Insurance		
i.	Employment + Other Source		
j.	Employment only		
k.	Other:		
	<b>****Total (c. through k.)</b>	0	
<b>14. Level of Family Income % of HHS guideline</b>		<b>Number of Families ***</b>	
a.	Up to 50%		
b.	51% to 75%		
c.	76% to 100%		
d.	101% to 125% *****		
e.	126% to 150% *****		
f.	151% to 175% *****		
g.	176% to 200% *****		
h.	201% and over *****		
	<b>***Total</b>	0	
<b>15. Housing</b>		<b>Number of Families ***</b>	
a.	Own		
b.	Rent		
c.	Homeless		
d.	Other: list below what other includes		
	<b>***Total</b>	0	
<b>16. Other family characteristics</b>		<b>Number of Families***</b>	
a.	Farmer		
b.	Migrant Farmworker		
c.	Seasonal Farmworker		

\* The sum in this category should not exceed the value of Section 2.  
 \*\* The sum in this category should not exceed the value of Section 7.e-h.  
 \*\*\* The sum in this category should not exceed the value of Section 4.  
 \*\*\*\* The sum in this category should be greater than or equal to Section 13.a.  
 \*\*\*\*\* Reminder, September 30, 2010 was the cutoff date for reporting CSBG clients served up to 200% of the Federal Poverty Guidelines.





## EXHIBIT B

### MONTEREY COUNTY DEPARTMENT OF SOCIAL SERVICES

#### ADDITIONAL PROVISIONS

##### I. PAYMENT BY COUNTY:

**1.01 Monthly claims/invoices by CONTRACTOR:** Not later than the tenth (10<sup>th</sup>) day of each month, CONTRACTOR shall submit to COUNTY a signed invoice setting forth the amount claimed. All invoices (monthly and final) shall be submitted in the form set forth in **Exhibit D**.

**1.02 Final Invoice; forfeiture for late invoice:** CONTRACTOR's final month and end of fiscal year invoice is due, and must be received by COUNTY, no later than close of business on **July 10<sup>th</sup>**. **If the Final Invoice is not received by COUNTY by close of business on July 10<sup>th</sup>, CONTRACTOR understands and agrees that the reimbursement of CONTRACTOR's final expenses represented by that invoice may be forfeited, and COUNTY shall have no legal obligation regarding it, nor shall COUNTY be required to make any payment towards that untimely/late invoiced claim.**

**1.03 Allowable Costs:** Allowable costs shall be the CONTRACTOR's actual costs of developing, supervising and delivering the services under this Agreement, as set forth in **Exhibit C**. Only the costs listed in **Exhibit C** as contract expenses may be claimed as allowable costs. Any dispute over whether costs are allowable shall be resolved in accordance with the provisions of 45 Code of Federal Regulations, Part 74, Sub-Part F and 48 Code of Federal Regulations (CFR), Chapter 1, Part 31.

**1.04 Cost Control:** CONTRACTOR shall not exceed by more than twenty (20) percent any contract expense line item amount in the budget without the written approval of COUNTY, given by and through the Contract Administrator or Contract Administrator's designee. CONTRACTOR shall submit an amended budget with its request for such approval. Such approval shall not permit CONTRACTOR to receive more than the maximum total amount payable under this contract. Therefore, an increase in one line item will require corresponding decreases in other line items.

**1.05 Payment in Full:**

(a) If COUNTY certifies and pays the amount requested by CONTRACTOR, such payment shall be deemed payment in full for the month in question and may not thereafter be reviewed or modified, except to permit COUNTY's recovery of overpayments.

(b) If COUNTY certifies and pays a lesser amount than the amount requested, COUNTY shall, immediately upon certification of the lesser amount, notify CONTRACTOR in writing of such certification. If CONTRACTOR does not protest the lesser amount by delivering to COUNTY a written notice of protest within twenty (20) days after

## EXHIBIT B

CONTRACTOR's receipt of the certification, then payment of the lesser amount shall be deemed payment in full for the month in question and may not thereafter be questioned by CONTRACTOR.

**1.06 Disputed payment amount:** If COUNTY pays a lesser amount than the amount requested, and if CONTRACTOR submits a written notice of protest to COUNTY within twenty (20) days after CONTRACTOR's receipt of the certification, then the parties shall promptly meet to review the dispute and resolve it on a mutually acceptable basis. No court action may be taken on such dispute until the parties have met and attempted to resolve the dispute in person.

## II. PERFORMANCE STANDARDS & COMPLIANCE

**2.01 Outcome objectives and performance standards:** CONTRACTOR shall for the entire term of this Agreement provide the service outcomes set forth in **Exhibit A**. CONTRACTOR shall meet the contracted level of service and the specified performance standards described in **Exhibit A**, unless prevented from doing so by circumstances beyond CONTRACTOR's control, including but not limited to, natural disasters, fire, theft, and shortages of necessary supplies or materials due to labor disputes.

**2.02 County monitoring of services:** COUNTY shall monitor services provided under this Agreement in order to evaluate the effectiveness and quality of services provided.

**2.03 Notice of defective performance:** COUNTY shall notify CONTRACTOR in writing within thirty (30) days after discovering any defects in CONTRACTOR's performance. CONTRACTOR shall promptly take action to correct the problem and to prevent its recurrence. Such corrective action shall be completed and a written report made to the COUNTY concerning such action not later than thirty (30) days after the date of the COUNTY's written notice to CONTRACTOR.

**2.04 Termination for cause:** Notwithstanding Section 7.02 of the Agreement, if the corrective actions required above are not completed and the report to the COUNTY not made within thirty (30) days, the COUNTY may terminate this Agreement by giving five (5) days' written notice to CONTRACTOR.

### **2.05 Remedies for Inadequate Service Levels:**

- a) For each month that service falls below 80% of the contracted level, CONTRACTOR shall submit to the COUNTY an analysis of the causes of the problem and any necessary actions to be taken to correct the problem. If the problem continues for another month, the COUNTY shall meet with CONTRACTOR to explore the problem and develop an appropriate written corrective action plan with appropriate time frames.

## EXHIBIT B

- b) If CONTRACTOR does not carry out the required corrective action within the time frame specified, sanctions shall be applied in accordance with funding source regulations.
- c) Notwithstanding Section 7.02 of the Agreement, if, after the COUNTY notifies CONTRACTOR of any sanctions to be imposed, CONTRACTOR continues in its failure to take corrective action, then COUNTY may terminate this contract by giving CONTRACTOR five (5) days' written notice.
- d) If all appropriate corrective actions are taken but service still falls 80% or more below contracted level, COUNTY and CONTRACTOR may renegotiate the contracted level of service.

**2.06 Training for Staff:** CONTRACTOR shall insure that sufficient training is provided to its volunteer and paid staff to enable them to perform effectively on the project, and to increase their existing level of skills. Additionally, CONTRACTOR shall ensure that all staff completes Division 21 Civil Rights training.

**2.07 Bi-lingual Services:** CONTRACTOR shall ensure that qualified staff is available to accommodate non-English speaking, and limited English proficient, individuals.

**2.08 Assurance of drug free-workplace:** CONTRACTOR shall submit to the COUNTY evidence of compliance with the California Drug-Free Workplace Act of 1990, Government Code sections 8350 et seq., by doing the following:

- Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the person's or organization's workplace and specifying the actions that will be taken against employees for violations of the prohibition;
  - Establishing a drug-free awareness program to inform employees about all of the following:
    - 1) the dangers of drug abuse in the workplace;
    - 2) the organization's policy of maintaining a drug-free workplace;
    - 3) any available drug counseling, rehabilitation, and employee assistance programs;
    - 4) the penalties that may be imposed upon employees for drug abuse violations;
    - 5) requiring that each employee engaged in the performance of the contract or grant be given a copy of the company's drug-free policy statement and that, as a condition of employment on the contract or grant, the employee agrees to abide by the terms of the statement.

### III. CONFIDENTIALITY

CONTRACTOR and its officers, employees, agents, and subcontractors shall comply with Welfare and Institutions (W & I) Code Sec. 10850, 45 CFR Sec. 205.50, and all other applicable provisions of law which provide for the confidentiality of records and prohibit

## EXHIBIT B

their being opened for examination for any purpose not directly connected with the administration of public social services. Whether or not covered by W&I Code Sec. 10850 or by 45 CFR Sec. 205.50, confidential medical or personnel records and the identities of clients and complainants shall not be disclosed unless there is proper consent to such disclosure or a court order requiring disclosure. Confidential information gained by CONTRACTOR from access to any such records, and from contact with its clients and complainants, shall be used by CONTRACTOR only in connection with its conduct of the program under this Agreement. The COUNTY, through the Director of the Department of Social Services, and his/her representatives, shall have access to such confidential information and records to the extent allowed by law, and such information and records in the hands of the COUNTY shall remain confidential and may be disclosed only as permitted by law.

### IV. NON-DISCRIMINATION

CONTRACTOR certifies that to the best of its ability and knowledge it will comply with the nondiscrimination program requirements set forth in this Section.

**4.01 Discrimination Defined:** The term “discrimination” as used in this contract, is the same term that is used in Monterey County Code, Chapter 2.80 “Procedures for Investigation and Resolution of Discrimination Complaints”; it means the illegal denial of equal employment opportunity, harassment (including sexual harassment and violent harassment), disparate treatment, favoritism, subjection to unfair or unequal working conditions, and/or other discriminatory practice by any Monterey County official, employee or agent, due to an individual’s race, color, ethnic group, national origin, ancestry, religious creed, sex, sexual orientation, age, veteran’s status, cancer-related medical condition, physical handicap (including AIDS) or disability. The term also includes any act of retaliation.

**4.02 Application of Monterey COUNTY Code Chapter 2.80:** The provisions of Monterey COUNTY Code Chapter 2.80 apply to activities conducted pursuant to this Agreement. Complaints of discrimination made by CONTRACTOR against the COUNTY, or by recipients of services against CONTRACTOR, may be pursued using the procedures established by Chapter 2.80. CONTRACTOR shall establish and follow its own written procedures for the prompt and fair resolution of discrimination complaints made against CONTRACTOR by its own employees and agents, and shall provide a copy of such procedures to COUNTY on demand by COUNTY.

**4.03 Compliance with laws:** During the performance of this Agreement, CONTRACTOR shall comply with all applicable federal, state and local laws and regulations which prohibit discrimination, including but not limited to the following:

- **California Fair Employment and Housing Act**, California Government Code Sec. 12900 et seq., see especially Section 12940 (c), (h), (1), (i), and (j); and the administrative regulations issued thereunder, 2 Calif. Code of Regulations Secs. 7285.0 et seq. (Division 4 - Fair Employment and Housing Commission);

## EXHIBIT B

- **California Government Code Secs. 11135 - 11139.5**, as amended (Title 2, Div. 3, Part 1, Chap. 1, Art. 9.5) and any applicable administrative rules and regulations issued under these sections; including **Title 22 California Code of Regulations 98000-98413**.
- **Federal Civil Rights Acts of 1964 and 1991** (see especially Title VI, 42 USC Secs. 2000d et seq.), as amended, and all administrative rules and regulations issued thereunder (see especially 45 CFR Part 80);
- **The Rehabilitation Act of 1973**, Secs. 503 and 504 (29 USC Sec. 793 and 794), as amended; all requirements imposed by the applicable HHS regulations (45 CFR Parts 80, 84 and 91); and all guidelines and interpretations issued pursuant thereto;
- **7 Code of Federal Regulations (CFR)**, Part 15 and **28 CFR** Part 42;
- **Title II of the Americans with Disabilities Act of 1990** (P.L. 101-336), 42 U.S.C. Secs. 12101 et seq. and 47 U.S.C. Secs. 225 and 611, and any federal regulations issued pursuant thereto (see 24 CFR Chapter 1; 28 CFR Parts 35 and 36; 29 CFR Parts 1602, 1627, and 1630; and 36 CFR Part 1191);
- **Unruh Civil Rights Act**, Calif. Civil Code Sec. 51 et seq., as amended;
- **Monterey COUNTY Code**, Chap. 2.80.;
- **Age Discrimination in Employment Act 1975**, as amended (ADEA), 29 U.S.C. Secs 621 et seq.;
- **Equal Pay Act of 1963**, 29 U.S.C. Sec. 206(d);
- **California Equal Pay Act**, Labor Code Sec.1197.5.
- **California Government Code** Section 4450;
- **The Dymally-Alatorre Bilingual Services Act; Calif. Government Code Sec. 7290 et seq.**
- **The Food Stamp Act of 1977**, as amended and in particular **Section 272.6**.
- **California Code of Regulations, Title 24, Section 3105A(e)**
- **Removal of Barriers to Inter-Ethnic Adoption Act of 1996, Section 1808**

## EXHIBIT B

**4.04 Written assurances:** Upon request by COUNTY, CONTRACTOR will give any written assurances of compliance with the Civil Rights Acts of 1964 and 1991, the Rehabilitation Act of 1973 and/or the Americans with Disabilities Act of 1990, as may be required by the federal government in connection with this Agreement, pursuant to 45 CFR Sec. 80.4 or 45 CFR Sec. 84.5, and 91; 7 CFR Part 15; and 28 CFR Part 35, or other applicable State or federal regulation.

**4.05 Written non-discrimination policy:** Contractor shall maintain a written statement of its non-discrimination policies which shall be consistent with the terms of this Agreement. Such statement shall be available to employees, recipients of services, and members of the public, upon request.

**4.06 Grievance Information:** CONTRACTOR shall advise applicants who are denied CONTRACTOR's services, and recipients who do receive services, of their right to present grievances, and of their right to a State hearing concerning services received under this Agreement.

**4.07 Notice to Labor Unions:** CONTRACTOR shall give written notice of its obligations under paragraphs 4.01 - 4.08 to labor organizations with which it has a collective bargaining or other agreement.

**4.08 Access to records by government agencies:** CONTRACTOR shall permit access by COUNTY and by representatives of the State Department of Fair Employment and Housing, and any state agency providing funds for this Agreement, upon reasonable notice at any time during normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, facilities, and other sources of information as the inspecting party may deem appropriate to ascertain compliance with these non-discrimination provisions.

**4.09 Binding on Subcontractors:** The provisions of paragraphs 4.01 - 4.08 shall also apply to all of CONTRACTOR's subcontractors. CONTRACTOR shall include the non-discrimination and compliance provisions of these paragraphs in all subcontracts to perform work or provide services under this Agreement.

## V. CONTRACT ADMINISTRATORS

**5.01 Contract Administrator – CONTRACTOR:** CONTRACTOR hereby designates **Reyes Bonilla** as its Contract Administrator for this Agreement. All matters concerning this Agreement which are within the responsibility of CONTRACTOR shall be under the direction of, or shall be submitted to, the CONTRACTOR's Contract Administrator. CONTRACTOR may, in its sole discretion, change its designation of the Contract Administrator, and shall promptly give written notice to COUNTY of any such change.

**5.02 Contract Administrator – COUNTY:** COUNTY hereby designates the Director of the Monterey County Department of Social Services as its Contract Administrator for this Agreement. All matters concerning this Agreement which are within the responsibility of

## EXHIBIT B

COUNTY shall be under the direction of, or shall be submitted to, the Director or such other COUNTY employee in the Department of Social Services as the Director may appoint. COUNTY may, in its sole discretion, change its designation of the Contract Administrator, and shall promptly give written notice to CONTRACTOR of any such change.

### VI. CONTRACT DEPENDENT ON GOVERNMENT FUNDING

COUNTY's payments to CONTRACTOR under this Agreement are funded by the State and Federal governments. If funds from State and Federal sources are not obtained and continued at a level sufficient to allow for COUNTY's purchase of the indicated quantity of services, then COUNTY may give written notice of this fact to CONTRACTOR, and the obligations of the parties under this Agreement shall terminate immediately, or on such date thereafter, as COUNTY may specify in its notice, unless in the meanwhile the parties enter into a written Amendment modifying this Agreement.

### VII. APPEAL PROCESS

In the event of a dispute or grievance regarding the terms and conditions of this Agreement, both parties shall abide by the following procedures:

A. CONTRACTOR shall first discuss the problem informally with the designated DSES Contact/Program Analyst. If the problem is not resolved, CONTRACTOR must, within fifteen (15) working days of the failed attempt to resolve the dispute with DSES Contact/Program Analyst, submit a written complaint, together with any evidence, to the DSES Division Deputy Director. The complaint must include a description of the disputed issues, the legal authority/basis for each issue which supports CONTRACTOR's position, and the remedy sought. The Division Deputy Director shall, within fifteen (15) working days after receipt of CONTRACTOR's written complaint, make a determination on the dispute, and issue a written decision and reasons therefore. All written communication shall be pursuant to Section 14. NOTICES of this Agreement. Should CONTRACTOR disagree with the decision of the Division Deputy Director, CONTRACTOR may appeal the decision to the Director of the Department of Social & Employment Services.

B. CONTRACTOR's appeal of the Division Deputy Director's decision must be submitted to the Department Director within ten (10) working days from the date of the decision; be in writing, state the reasons why the decision is unacceptable, and include the original complaint, the decision that is the subject of appeal, and all supporting documents. Within twenty (20) working days from the date of CONTRACTOR'S appeal, the Department Director, or his/her designee, shall meet with CONTRACTOR to review the issues raised on appeal. The Department Director shall issue a final written decision within fifteen (15) working days of such meeting.

C. CONTRACTOR may appeal the final decision of the Department Director in accordance with the procedures set forth in Division 25.1 (commencing with Section 38050) of the Health and Safety Code and the regulations adopted thereunder. (Title 1, Subchapter 2.5 commencing with Section 251, or Subchapter 3 commencing with Section 300, whichever is applicable, of the California Code of Regulations).

## EXHIBIT B

D. CONTRACTOR shall continue to carry out the obligations under this Agreement during any dispute.

E. Costs incurred by CONTRACTOR for administrative/court review are not reimbursable by COUNTY.



## COMMUNITY ACTION PARTNERSHIP

## SHELTER OUTREACH PLUS

## 2015 BUDGET

CATEGORY	HOMELESS SERVICES	DOMESTIC VIOLENCE REVENTION/INTERVENTIO	CONTRACT BUDGET
	\$	\$	\$
	18,002.00	100,549.00	118,551.00
SALARIES	12,601.00	68,128.00	80,729.00
EMPLOYEE BENEFITS	2,520.00	18,348.00	20,868.00
PAYROLL TAXES	551.00	3,000.00	3,551.00
OCCUPANCY	-	8,000.00	8,000.00
VEHICLE OPER. COSTS	1,000.00	-	1,000.00
INDIRECT COSTS (Maximum 8%)	1,330.00	3,073.00	4,403.00
OTHER	-	-	-
TOTAL	18,002.00	100,549.00	118,551.00

I hereby certify that this budget is correct and complete to the best of my knowledge.

Person Completing form: Reyes Bonilla

Date: 12-2-14

**Shelter Outreach Plus  
Community Action Partnership Invoice  
Calendar Year 2015**

**Exhibit D**

Invoice Month: January-15

**Remit To:**  
Shelter Outreach Plus  
PO Box 1340 Marina, CA 93933

Expense Categories	Total Budget	DV Prevention/Intervention	Total Monthly Expenses	Year to Date Expenses	Balance Remaining
Salaries	\$ 68,128.00	-	\$0.00	\$0.00	\$ 68,128.00
Employee Benefits	\$ 18,348.00	-	\$0.00	\$0.00	\$ 18,348.00
Payroll Taxes	\$ 3,000.00	-	\$0.00	\$0.00	\$ 3,000.00
Occupancy	\$ 8,000.00	-	\$0.00	\$0.00	\$ 8,000.00
Indirect Costs (Maximum 8%)	\$ 3,073.00	-	\$0.00	\$0.00	\$ 3,073.00
Other	\$ -	-	\$0.00	\$0.00	-
DV Services Total	\$ 100,549	-	\$0.00	\$0.00	\$ 100,549.00
Total DV Services Budget	\$ 100,549	\$ 100,549.00			
Year to Date		-			
Balance Remaining		\$ 100,549.00			

Expense Categories	Total Budget	Homeless Services	Total Monthly Expenses	Year to Date Expenses	Balance Remaining
Salaries	\$ 12,601.00	-	\$0.00	\$0.00	\$ 12,601.00
Employee Benefits	\$ 2,520.00	-	\$0.00	\$0.00	\$ 2,520.00
Payroll Taxes	\$ 551.00	-	\$0.00	\$0.00	\$ 551.00
Vehicle Operating Costs	\$ 1,000.00	-	\$0.00	\$0.00	\$ 1,000.00
Indirect Costs (Maximum 8%)	\$ 1,330.00	-	\$0.00	\$0.00	\$ 1,330.00
Other	\$ -	-	\$0.00	\$0.00	-
Homeless Svs Total	\$ 18,002	-	\$0.00	\$0.00	\$ 18,002.00
Total Homeless Svs Budget	\$ 18,002	\$ 18,002.00			
Year to Date		-			
Balance Remaining		\$ 18,002.00			
<b>Complete Total</b>	<b>\$ 118,551</b>	<b>\$ -</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$ 118,551.00</b>
Total Budget	\$	\$ 118,551.00			
Year to Date	\$	-			
Balance Remaining	\$	118,551.00			

I certify that this report is correct and complete to the best of my knowledge and that the costs are eligible pursuant to the terms of the contract.

Person Completing Invoice

Title

Phone #

Authorizing Signature / Date

Monterey County Authorized Signature / Date

## EXHIBIT E

### Health Insurance Portability & Accountability Act (HIPAA) Certification

WHEREAS, Sections 261 through 264 of the federal Health Insurance Portability and Accountability Act of 1996, Public Law 104-191, known as “the Administrative Simplification provisions,” direct the Department of Health and Human Services to develop standards to protect the security, confidentiality and integrity of health information; and

WHEREAS, pursuant to the Administrative Simplification provisions, the Secretary of Health and Human Services has issued regulations modifying 45 CFR Parts 160 and 164 (the “HIPAA Privacy Rule”); and

WHEREAS, CONTRACTOR and COUNTY have entered into an Agreement (“the Agreement”) to which this Certification is an attachment whereby CONTRACTOR will provide certain services to COUNTY ; and

WHEREAS, CONTRACTOR may have access to Protected Health Information (as defined below) in fulfilling its responsibilities under the underlying Agreement.

THEREFORE, in consideration of the Parties’ continuing obligations under the Agreement, compliance with the HIPAA Privacy Rule, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, CONTRACTOR agrees to the provisions of this Certification and of the HIPAA Privacy Rule and to protect the interests of COUNTY.

#### I. DEFINITIONS

Except as otherwise defined herein, any and all capitalized terms in this Section shall have the definitions set forth in the HIPAA Privacy Rule. In the event of an inconsistency between the provisions of this Certification and mandatory provisions of the HIPAA Privacy Rule, as amended, the HIPAA Privacy Rule shall control. Where provisions of this Certification are different than those mandated in the HIPAA Privacy Rule, but are nonetheless permitted by the HIPAA Privacy Rule, the provisions of this Certification shall control.

The term “Protected Health Information” means individually identifiable health information including, without limitation, all information, data, documentation, and materials, including without limitation, demographic, medical and financial information, that relates to the past, present, or future physical or mental health or condition of an individual; the provision of health care to an individual; or the past, present, or future payment for the provision of health care to an individual; and that identifies the individual or with respect to which there is a reasonable basis to believe the information can be used to identify the individual.

CONTRACTOR acknowledges and agrees that all Protected Health Information that is created or received by COUNTY and disclosed or made available in any form, including paper record, oral communication, audio recording, and electronic display by COUNTY, or its operating units, to CONTRACTOR or is created or received by CONTRACTOR on COUNTY’s behalf shall be subject to this Certification.

II. CONFIDENTIALITY REQUIREMENTS

- (a) CONTRACTOR agrees:
- (i) to use or disclose any Protected Health Information solely: (1) for meeting its obligations as set forth in any agreements between the Parties evidencing their business relationship or (2) as required by applicable law, rule or regulation, or by accrediting or credentialing organization to whom COUNTY is required to disclose such information, or as otherwise permitted under this Certification, or the underlying Agreement ,(if consistent with this Certification and the HIPAA Privacy Rule), or the HIPAA Privacy Rule, and (3) as would be permitted by the HIPAA Privacy Rule if such use or disclosure were made by COUNTY; and
  - (ii) at termination of the Agreement, (or any similar documentation of the business relationship of the Parties), or upon request of COUNTY, whichever occurs first, if feasible CONTRACTOR will return or destroy all Protected Health Information received from or created or received by CONTRACTOR on behalf of COUNTY that CONTRACTOR still maintains in any form, and retain no copies of such information, or if such return or destruction is not feasible, CONTRACTOR will extend the protections of this Agreement to the information and limit further uses and disclosures to those purposes that make the return or destruction of the information not feasible; and
  - (iii) to ensure that its agents, including a subcontractor(s), to whom it provides Protected Health Information received from or created by CONTRACTOR on behalf of COUNTY, agrees to the same restrictions and conditions that apply to CONTRACTOR with respect to such information. In addition, CONTRACTOR agrees to take reasonable steps to ensure that its employees' actions or omissions do not cause CONTRACTOR to breach the terms of the Agreement.
- (b) Notwithstanding the prohibitions set forth in this Certification or the Agreement, CONTRACTOR may use and disclose Protected Health Information as follows:
- (i) if necessary, for the proper management and administration of CONTRACTOR or to carry out the legal responsibilities of CONTRACTOR, provided that as to any such disclosure, the following requirements are met:
    - (A) the disclosure is required by law; or
    - (B) CONTRACTOR obtains reasonable assurances from the person to whom the information is disclosed that it will be held confidentially and used or further disclosed only as required by law, or for the purpose for which it was disclosed to the person, and the person notifies CONTRACTOR of any instances of which it is aware in which the confidentiality of the information has been breached;
  - (ii) for data aggregation services, if to be provided by CONTRACTOR for the health care operations of COUNTY pursuant to any agreements between the Parties evidencing their business relationship. For purposes of this Certification and the Agreement, data aggregation services means the combining of Protected Health Information by CONTRACTOR with the protected health information received by CONTRACTOR in its capacity as CONTRACTOR of another COUNTY, to permit data analyses that relate to the health care operations of the respective covered entities.

## EXHIBIT E

- (c) CONTRACTOR will implement appropriate safeguards to prevent use or disclosure of Protected Health Information other than as permitted in this Certification. The Secretary of Health and Human Services shall have the right to audit CONTRACTOR's records and practices related to use and disclosure of Protected Health Information to ensure COUNTY's compliance with the terms of the HIPAA Privacy Rule. CONTRACTOR shall report to COUNTY any use or disclosure of Protected Health Information which is not in compliance with the terms of this Certification of which it becomes aware. In addition, CONTRACTOR agrees to mitigate, to the extent practicable, any harmful effect that is known to CONTRACTOR of a use or disclosure of Protected Health Information by CONTRACTOR in violation of the requirements of this Certification or the Agreement.

### III. AVAILABILITY OF PHI

CONTRACTOR agrees to make available Protected Health Information to the extent and in the manner required by Section 164.524 of the HIPAA Privacy Rule. CONTRACTOR agrees to make Protected Health Information available for amendment and incorporate any amendments to Protected Health Information in accordance with the requirements of Section 164.526 of the HIPAA Privacy Rule. In addition, CONTRACTOR agrees to make Protected Health Information available for purposes of accounting of disclosures, as required by Section 164.528 of the HIPAA Privacy Rule.

### IV. TERMINATION

Notwithstanding anything in this Certification or the Agreement to the contrary, COUNTY shall have the right to terminate the Agreement immediately if COUNTY determines that CONTRACTOR has violated any material term of this Certification and/or the Agreement. If COUNTY reasonably believes that CONTRACTOR will violate a material term of this Certification and/or the Agreement and, where practicable, COUNTY gives written notice to CONTRACTOR of such belief within a reasonable time after forming such belief, and CONTRACTOR fails to provide adequate written assurances to COUNTY that it will not breach the cited term of this Certification and/or the Agreement within a reasonable period of time given the specific circumstances, but in any event, before the threatened breach is to occur, then COUNTY shall have the right to terminate the Agreement immediately.

### V. MISCELLANEOUS

Except as expressly stated herein or the HIPAA Privacy Rule, the parties to the Agreement do not intend to create any rights in any third parties. The obligations of CONTRACTOR under this Section shall survive the expiration, termination, or cancellation of this Certification and/or the Agreement, and/or the business relationship of the parties, and shall continue to bind CONTRACTOR, its agents, employees, contractors, successors, and assigns as set forth herein.

The parties agree that, in the event that any documentation of the arrangement pursuant to which CONTRACTOR provides services to COUNTY contains provisions relating to the use or disclosure of Protected Health Information which are more restrictive than the provisions of this Certification or the Agreement, the provisions of the more restrictive documentation will control. The provisions of this

**EXHIBIT E**

Certification and the Agreement are intended to establish the minimum requirements regarding CONTRACTOR's use and disclosure of Protected Health Information.

In the event that either party believes in good faith that any provision of this Certification and/or the Agreement fails to comply with the then current requirements of the HIPAA Privacy Rule, such party shall notify the other party in writing. For a period of up to thirty (30) days, the parties shall address in good faith such concern and amend the terms of this Certification and/or the Agreement, if necessary to bring it into compliance. If, after such thirty-day period, the Certification and/or the Agreement fails to comply with the HIPAA Privacy Rule, then either party has the right to terminate upon written notice to the other party.

**CONTRACTOR: SHELTER OUTREACH PLUS**

By: Ray B  
Title: Executive Director  
Date: 12/6/14

**CERTIFICATION REGARDING LOBBYING**

**Shelter Outreach Plus**

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
2. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award document for sub-awards at all tiers (including sub-contracts, sub-grants, and contracts under grants, loans, and cooperative agreements, and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Ray B.  
Signature

Executive Dir.  
Title

Shelter Outreach Plus  
Agency/Organization

12-16-14  
Date

**AUDIT & RECOVERY OF OVERPAYMENTS REQUIREMENTS**

**I. CPA Audit on Termination:**

**1.01 Audit Requirement**

At the request of COUNTY, CONTRACTOR shall give to COUNTY an audit or audit reports covering the contract period, prepared by an independent Certified Public Accountant. The audit requirement is for the purpose of determining whether the reported costs are fair and reasonable and have been computed in accordance with generally accepted accounting principles, with the provisions of this Agreement, and with all applicable COUNTY requirements. Such audit shall be performed in accordance with the "Standards for Audit of Governmental Organizations, Programs, Activities, and Functions" as published by the Comptroller General of the United States, and in accordance with generally accepted auditing standards.

**1.02 Audit Submission /Fiscal Year-end**

CONTRACTOR shall provide COUNTY with the audit, or audit report, required herein no later than 120 days after the close of CONTRACTOR's Fiscal Year. If CONTRACTOR's fiscal records adhere to a Fiscal Year different from COUNTY's, then CONTRACTOR's audit will include a schedule(s) coinciding with COUNTY's Fiscal Year (July-June), or CONTRACTOR may submit a program specific audit coinciding with COUNTY's Fiscal Year (July-June).

In the case where providing the required audit within the specified time period represents an unreasonable hardship, CONTRACTOR shall alert COUNTY and request an extension. Additional documentation may be requested by COUNTY in order to grant the extension. The submittal of the audit will continue to be required and due **no later than six (6) months** after the close of CONTRACTOR's fiscal year-end.

**1.03 Audit Format**

CONTRACTOR may submit to COUNTY one of the following in satisfaction of this Audit requirement:

1) An annual independent audit and Management Letter conducted in accordance with Generally Accepted Auditing Standards (GAAS) and Government Auditing Standards (yellow book audit) issued by the Comptroller General of the United States. **The audit must identify all federal, state and matching funds issued under this Agreement as a note, or as a supplemental schedule of expenses within Contractor's audits.**

**-OR-**

2) If CONTRACTOR is not required to have an annual independent audit conducted in accordance with **both** Generally Accepted Auditing Standards (GAAS) and Government Auditing Standards (yellow book audit) issued by the Comptroller General of the United States, other than to comply with COUNTY's request, **then** an annual independent audit and Management Letter, conducted only in accordance with Generally Accepted Auditing Standards (GAAS) may be submitted *as long as the audit includes this grant/program as part of the testing*. **The audit must identify all federal, state and matching funds issued under this Agreement as a note, or as a supplemental schedule of expenses within Contractor's audits.**



**EXHIBIT G**

COUNTY reserves the right to require a program specific audit at COUNTY's discretion.

**1.04 Payment for Audit**

CONTRACTOR shall bear all costs in connection with, or resulting from, any audit and/or inspections including, but not limited to, actual cost incurred and the payment/repayment of any expenditures disallowed by COUNTY, State or Federal government entities, including any assessed interest and penalties.

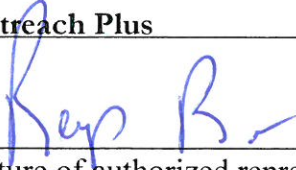
If CONTRACTOR is exempt from federal audit procedures under OMB Circular 133, then payment for this audit shall be made by CONTRACTOR with resources other than grant funds, or those used for matching purposes. If CONTRACTOR is not exempt from federal audit procedures under OMB Circular 133, the cost of audits made in accordance with the provisions of this part are allowable charges to Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with the provisions of applicable OMB cost principles circulars, the Federal Acquisition Regulation (FAR) (48 CFR parts 30 and 31), or other applicable cost principles or regulations.

**II. Contractor Records**

Funds provided by COUNTY shall be accounted for separately in CONTRACTOR's books and records. CONTRACTOR shall keep a systematic accounting record of the receipt and disbursement of COUNTY funds. CONTRACTOR shall permit COUNTY to audit, examine and to copy excerpts and transcripts from such records and to conduct audits or reviews of all records including, but not limited to, invoices, materials, personnel records, bank account records, business records, billing statements, payroll records, business expense records, and any and all other data related to matters covered by this Agreement. CONTRACTOR shall maintain such data and records in an accessible location and condition for a period of at least four (4) years from the close of this Agreement term, or until after the conclusion of any audit, whichever occurs last. The State of California and/or any Federal agency providing funds for this Agreement shall have the same rights conferred upon COUNTY herein. CONTRACTOR shall keep records that are sufficient to permit the tracing of funds to a level of expenditure adequate to ensure that the funds have not been unlawfully spent. CONTRACTOR's records shall describe and support the use of funds for the agreed upon project or services outlined in this Agreement.

**III. Recovery of Overpayments:** If any audit shows that COUNTY has paid to CONTRACTOR any amount in excess of properly allowable costs, then CONTRACTOR shall reimburse COUNTY for that amount, either by a cash payment made within thirty (30) days after COUNTY notifies CONTRACTOR of the overpayment, or by an offset made by COUNTY against any payments owed by COUNTY to CONTRACTOR under this or any other contract.

**Shelter Outreach Plus**

  
\_\_\_\_\_  
(signature of authorized representative)

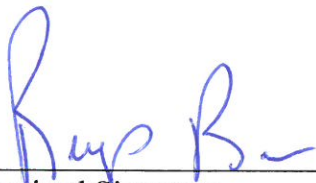
12-16-14  
\_\_\_\_\_  
(date)

**CHILD ABUSE & NEGLECT REPORTING  
CERTIFICATION**

Shelter Outreach Plus

HEREBY acknowledges that this contract for services will bring CONTRACTOR in contact with children, and that CONTRACTOR has received from COUNTY a copy of Penal Code Sections 11165.7 and 11166 as required by the Child Abuse and Neglect Reporting Act (Penal Code Sections 11164, et seq). CONTRACTOR further certifies that it has knowledge of the provisions of the Act, and will comply with its provisions, which define a mandated reporter and requires that reports of child abuse or neglect be made by a mandated reporter whenever, in his or her professional capacity or within the scope of his or her employment, he/she has knowledge or observes a child whom he/she knows or reasonably suspects has been a victim of neglect or abuse.

CONTRACTOR further gives assurance that all of its employees, consultants, and agents performing services under this Agreement, who are mandated reporters under the Act, sign statements indicating that they know of, and will comply with, the Act's reporting requirements.



\_\_\_\_\_  
Authorized Signature

12-16-14

\_\_\_\_\_  
Date

- ◆ 24-hour Bilingual Child Abuse Hotline 1-800-606-6618
- ◆ Mandated Child Abuse Reporter Training is available, at no cost, through the Child Abuse Prevention Council of Monterey County (CAPC), 755-4737.

**ELDER/DEPENDENT ADULT  
ABUSE & NEGLECT REPORTING  
CERTIFICATION**

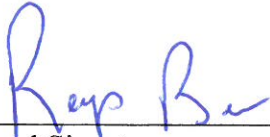
**Shelter Outreach Plus**

HEREBY acknowledges that this contract for services will bring CONTRACTOR in contact with dependent adults or elders, and that CONTRACTOR has received from COUNTY a copy of Welfare & Institutions Code Section 15659 as required by the Elder Abuse and Dependent Adult Civil Protection Act (Welfare & Institutions Code Sections 15600, et seq). CONTRACTOR certifies that it has knowledge of the provisions of the Act, and will comply with its provisions which define a mandated reporter, and requires that reports of abuse or neglect be made by a mandated reporter when, in his or her professional capacity, or within the scope of his or her employment, he/she observes or has knowledge of an incident that reasonably appears to be physical abuse, abandonment, isolation, financial abuse, or neglect.


Form SOC 341, Report of Suspected Dependent Adult/Elder Abuse, and General Instructions are available on the California Department of Social Services website: <http://www.dss.cahwnet.gov/cdssweb/entres/forms/English/SOC341.pdf>

CONTRACTOR further gives assurance that all of its employees, consultants, and agents performing services under this Agreement, who are mandated reporters under the Act, sign statements indicating that they know of and will comply with the Act's reporting requirements.

Form SOC 341A, Statement Acknowledging Requirement to Report Suspected Abuse of Dependent Adult and Elders, is available on the California Department of Social Services website: <http://www.dss.cahwnet.gov/cdssweb/entres/forms/English/SOC341A.pdf>



\_\_\_\_\_  
Authorized Signature



\_\_\_\_\_  
Date

To Report Suspected Dependent Adult/Elder Abuse during regular business hours, call **1 (800) 510-2020**  
To Report Suspected Dependent Adult/Elder Abuse after hours, call **911**

WELFARE AND INSTITUTIONS CODE  
SECTION 15659

15659.

- (a) Any person who enters into employment on or after January 1, 1995, as a care custodian, health practitioner, or with an adult protective services agency or a local law enforcement agency, prior to commencing his or her employment and as a prerequisite to that employment shall sign a statement on a form, that shall be provided by the prospective employer, to the effect that he or she has knowledge of Section 15630 and will comply with its provisions. The signed statement shall be retained by the employer.
- (b) Agencies or facilities that employ persons required to make reports pursuant to Section 15630, who were employed prior to January 1, 1995, shall inform those persons of their responsibility to make reports by delivering to them a copy of the statement specified in subdivision (a).
- (c) The cost of printing, distribution, and filing of these statements shall be borne by the employer.
- (d) On and after January 1, 1995, when a person is issued a state license or certificate to engage in a profession or occupation the members of which are required to make a report pursuant to Section 15630, the state agency issuing the license or certificate shall send a statement substantially similar to the one contained in subdivision (a) to the person at the same time as it transmits the document indicating licensure or certification to the person.
- (e) As an alternative to the procedure required by subdivision (d), a state agency may cause the required statement to be printed on all application forms for a license or certificate printed on or after January 1, 1995.
- (f) The retention of statements required by subdivision (a), and the delivery of statements required by subdivision (b) shall be the full extent of the employer's duty pursuant to this section. The failure of any employee or other person associated with the employer to report abuse of elders or dependent adults pursuant to Section 15630 or otherwise meet the requirements of this chapter shall be the sole responsibility of that person. The employer or facility shall incur no civil or other liability for the failure of these persons to comply with the requirements of this chapter.