

Existing Local Area

**Application for Subsequent Local Area Designation
and
Local Board Recertification
Program Years 2019-21**

Local Workforce Development Area

Monterey County

Local Board Membership

The WIOA Section 107(b)(2)(A) through (E) states the requirements for nominating and selecting members in each membership category. The WIOA Section 107(b)(2)(A) requires that business members constitute a majority of the Local Board. The chairperson shall be a business representative, per WIOA Section 107(b)(3).

The Local Chief Elected Official (CEO) is required to provide the names of the individuals appointed for each category listed on the following pages and attach a roster of the current Local Board which identifies each member's respective membership category.

Business – A majority of the members must be representatives of business in the Local Area who (i) are owners of businesses, chief executives or operating officers of businesses, or other business executives or employers with optimum policy-making or hiring authority; (ii) represent businesses, including small businesses, or organizations; and (iii) are appointed from among individuals nominated by local business organizations and business trade association (WIOA Section 107[b][2][A]).

Please identify the Local Board chairperson by typing CHAIR after his/her name.

Name	Title	Entity	Appointment Date	Term End Date
Julia Belliard	Executive Director	Agricultural Personnel Management Association (APMA)	10/23/18	10/23/21
Wendy Brickman	Owner	Brickman Marketing	10/4/16	6/25/19
Paula Calvetti	Human Resources Director	Hyatt Regency Monterey	10/4/16	6/15/19
Erik Cushman (Chair)	Publisher	Monterey County Weekly	12/6/16	12/6/19
Harvey Dadwal	President	Five Rivers Hospitality	2/14/17	2/4/20
Sherry Farson	Vice President	Wells Fargo Commercial Banking Office	2/14/17	2/14/20
Michael Hutchinson	Chief Executive Officer	Mee Memorial Hospital	4/23/19	4/16/22
Mary Ann Leffel	Founding Principal	Veritas Associates LLC	9/13/16	6/16/19
Salvador Munoz	Architect	Munoz and Associates	11/1/16	7/27/19
Steve Snodgrass	Vice President & CFO	Graniterock	2/26/19	4/26/20
Wesley Van Camp	Vice President & General Counsel	Tanimura & Antle	7/10/18	7/10/21

Labor – Not less than 20 percent of the members must be representatives of workforce within the Local Area who must include: (i) representatives of labor organizations who have been nominated by state labor federations; (ii) a member of a labor organization or a training director from a joint labor-management apprenticeship program, or if no such joint program

exists in the area, such a representative of an apprenticeship program in the area; and may include: (iii) representatives of community based organizations with demonstrated experience and expertise in addressing the employment needs of individuals with barriers to employment, veterans, or individuals with disabilities; and (iv) representatives of organizations with demonstrated experience and expertise in addressing the employment, training, or education needs of eligible youth and/or out-of-school youth (WIOA Section 107[b][2][B]).

California Unemployment Insurance Code (CUIC) Section 14202(b) further requires and specifies that at least **15 percent** of Local Board members shall be representatives of labor organizations unless the local labor federation fails to nominate enough members. For a local area in which no employees are represented by such organizations, other representatives of employees shall be appointed to the board but any local board that appoints representatives of employees that are not nominated by local labor federations shall demonstrate that no employees are represented by such organizations in the local area.

Name	Title	Entity	Appointment Date	Term End Date
1. Cesar Lara	Executive Director	Monterey Bay Central Labor Council	9/13/16	7/27/19
2. Steve MacArthur	Business Manager	Plumbers and Steamfitters Local 62	11/8/16	10/19/19
3. Jay Donato	Internal Organizer	SEIU Local 521	7/10/18	7/10/21
4. Cresencio Diaz	President	Teamsters Union Local 890	11/8/16	10/19/19

Education – Each Local Board shall include representatives of entities administering education and training activities in the Local Area who must include (i) a representative of eligible providers administering WIOA Title II adult education and literacy activities; (ii) a representative of institutions of higher education providing workforce investment activities; and may include (iii) representatives of local educational agencies, and community-based organizations with demonstrated experience and expertise in addressing the education or training needs of individuals with barriers to employment (WIOA Section 107[b][2][C]).

Name	Title	Entity	Appointment Date	Term End Date
1. Willard Lewallen	President	Hartnell College	7/10/18	7/10/21

Economic and Community Development – Each Local Board shall include representatives of governmental, economic, and community development entities serving the Local Area who must include (i) a representative of economic and community development entities; (ii) a representative from the state employment service office under the Wagner-Peyser Act; (iii) a representative of the Vocational Rehabilitation program; and may include (iv) representatives of agencies or entities administering programs serving the Local Area relating to transportation, housing, and public assistance; (v) Representatives of philanthropic organizations serving the

Local Area; and (E) individuals or representatives of entities as the local CEO in the Local Area may determine to be appropriate (WIOA Section 107[b][2][D] and [E]).

Name	Title	Entity	Appointment Date	Term End Date
1. Mary Ann Leffel	Founding Principal	Veritas Associates	9/13/16	6/16/19
2. Al Davis	Reverend	NAACP	1/29/19	10/4/20
3. Yuko Duckworth	Employment Program Manager	Employment Development Department	6/20/17	6/20/20
4. Mimi Laurent	Team Manager	Department of Rehabilitation	11/1/16	10/29/19
5. Mary Adams	Board of Supervisors	Monterey County Board of Supervisors	1/10/17	1/10/20

Sustained Fiscal Integrity

The Local Area hereby certifies that it has not been found in violation of one or more of the following during PY 16-17 or PY 17-18:

- *Final determination of significant finding(s)* from audits, evaluations, or other reviews conducted by state or local governmental agencies or the Department of Labor identifying issues of fiscal integrity or misexpended funds due to the willful disregard or failure to comply with any WIOA requirement, such as failure to grant priority of service or verify participant eligibility; or
- *Gross negligence* – defined as a conscious and voluntary disregard of the need to use reasonable care, which is likely to cause foreseeable grave injury or harm to persons, property, or both; or
- *Failure to observe accepted standards of administration* – Local areas must have adhered to the applicable uniform administrative requirements set forth in Title 2 *Code of Federal Regulations* (CFR) Part 200, WIOA regulations, and state guidance (In alignment with WIOA Section 106[e][2]).

Performed Successfully

The Local Area hereby certifies that it has performed successfully, defined as successfully negotiating PY 18-19 and PY 19-20 performance goals within their designated Regional Planning Unit in the following ways:

The four Workforce Development Board Directors meet annually to discuss and negotiate the regional performance measures with the State Board. The MCWDB led the Coastal Region’s negotiations relating to common performance goals for this program year.

Engaged in Regional Planning

The Local Area hereby certifies that it has participated in and contributed to regional planning and negotiating regional performance measures in the following ways:

SlingShot: With the MCWDB taking the lead, the Coastal Region has identified the Healthcare sector as a shared industry sector of focus. The RPU used regional SlingShot funds as well as Regional Plan Implementation Grant funds to identify the needs of the sector and meet the demand in the region. The MCWDB contracted with the Council for Adult and Experiential Learning (CAEL) to develop a Career Pathways study focused on the healthcare sector. The RPU has applied regional funds and data to meet the need in the following ways: 1) Development of a Community Healthcare Worker Curriculum and Certification; 2) Career Awareness Campaign to educate and encourage young people to pursue careers in the healthcare and fill the growing need within the sector; 3) Development of a regional website to provide a repository of information and resources for regional employers and partners.

P2EI: The Coastal Region, with the MCWDB's leadership, has brought together stakeholders from across the four counties to discuss the needs of the justice-involved population. The results of those meetings and conversations have been detailed in the Regional Plan Modification as well as the recently submitted application for the Prison to Employment (P2E) Implementation/Earn and Learn Grants. The Coastal Region will use those funds to provide services and fill gaps in the current service delivery model to better serve the needs of the justice-involved population.

Regional Training Coordination: The Coastal Region, led by the MCWDB, has successfully deployed regional training dollars to focus on the professional development of workforce staff across the Region. Most notably, the RPU offers Dynamic Works, online training for staff that results in a Workforce Development Professional Certification. The RPU is also utilizing the Business Engagement Roundtable's quarterly meetings to share best practices and assist new business services staff with professional development.

RPUs working together as a Region: The MCWDB has led the other Local Boards within the Region in their efforts to develop workplans and timelines for all regional initiatives including the Regional Plan Implementation and Prison to Employment grants. The MCWDB developed a Request for Proposals that identified a large pool of consultants from which the RPU can select and contract without conducting individual procurements, streamlining processes and saving the region time and money.

The MCWDB has assisted the RPU to form a 501c3 that can apply for and accept regional and other grant funds. The 501c3 will streamline fiscal processes and ensure equitable access to resources. The MCWDB is also working with a consultant to develop a regional website and regional policies to help streamline service delivery and ensure quality outcomes across the regional programs.

MC3: The MCWDB leads the Monterey Bay Collaborative (MBC), a partnership between the Workforce Development Boards of Santa Cruz, Monterey, and San Benito Counties. The MBC is participating in a regional Proposition 39 Pre-Apprenticeship grant, working directly with the Monterey & Santa Cruz Counties Building & Construction Trades Council. The partnerships and infrastructure for the MC3 curriculum trainings are now in place and working well. Any additional

funds for MC3 curriculum training could easily be folded into ongoing work and/or replicate the work that has been done to date with the current grant.

Local Area Assurances

Through PY 19-21, the Local Area assures that:

- A. It will comply with the applicable uniform administrative requirements, cost principles, and audit requirements (WIOA Section 184[a][2] and [3]).

Highlights of this assurance include:

- The Local Area's procurement procedures will avoid acquisition of unnecessary or duplicative items, software, and subscriptions (in alignment with Title 2 CFR Section 200.318).
- The Local Area will maintain and provide accounting and program records, including supporting source documentation, to auditors at all levels, as permitted by law (Title 2 CFR Section 200.508).

Note that failure to comply with the audit requirements specified in Title 2 CFR Part 200 Subpart F will subject the Local Area to potential cash hold (Title 2 CFR Section 200.338).

- B. All financial reporting will be done in compliance with federal and State regulations and guidance.

Highlights of this assurance include:

- Reporting will be done in compliance with Workforce Services Directive WSD16-13, *Monthly and Quarterly Financial Reporting Requirements*, (November 28, 2016).
- All close out reports will comply with the policies and procedures listed in WSD16-05, *WIOA Closeout Requirements* (July 29, 2016).

Note that failure to comply with financial reporting requirements will subject the Local Area to potential cash hold. (Title 2 CFR Section 200.338)

- C. Funds will be spent in accordance with federal and state laws, regulations, and guidance.

Highlights of this assurance include:

- The Local Area will meet the requirements of the *California Unemployment Insurance Code Section 14211*, to spend a minimum of 30 percent of combined total of WIOA Title I adult and dislocated worker formula fund allocations on training services.
 - The Local Area will not use funds to assist, promote, or deter union organizing (WIOA Section 181[b][7]).
- D. The Local Board will select the America's Job Center of CaliforniaSM operator(s), with the agreement of the local CEO, through a competitive process such as a Request for Proposal, unless granted a waiver by the state (WIOA Section 121[d][2][A] and 107[g][2]).
- E. The Local Board will collect, enter, and maintain data related to participant enrollment, activities, and performance necessary to meet all CalJOBSSM reporting requirements and deadlines.
- F. The Local Board will comply with the nondiscrimination provisions of WIOA Section 188, including the collection of necessary data.
- G. The Local Area will engage in and contribute to, regional planning and regional plan implementation (for example, Local Area has participated in regional planning meetings and regional plan implementation efforts, and the Local Board and local CEO have reviewed and approved the regional plan and modifications).
- H. The Local Area will participate in regional performance negotiations.
- I. It will comply with CWDB policies and guidelines, legislative mandates and/or other special provisions as may be required under federal law or policy, including the WIOA or state legislation.
- J. Priority shall be given to veterans, recipients of public assistance, other low-income individuals, and individuals who are basic skills deficient for receipt of career and training services funded by WIOA Adult funding (WIOA Section 134[c][3][E] and *Training and Employment Guidance Letter* [TEGL] 10-09, and TEGL 19-16).

Application Signature Page

Instructions – The local CEO and Local Board chair must sign and date this form. Include the original signatures in the application package.

By signing the application below, the local CEO and Local Board chair request initial designation of the existing Local Area and initial certification of the existing Local Board. They certify that the Local Area has performed successfully, sustained fiscal integrity during PY 16-2017 or PY 17-18, and engaged in the regional planning process as described in WIOA Section 106(c)(1). Additionally, they agree to abide by the Local Area assurances included in this application.

Local Workforce Development Board Chair



Signature

Erik Cushman

Name

Chair, Monterey County Workforce
Development Board

Title

6/5/2019

Date

Local Chief Elected Official

Signature

John M. Phillips

Name

Chair, Monterey County Board of
Supervisors

Title

Date