

**COUNTY OF MONTEREY AGREEMENT FOR PROFESSIONAL SERVICES  
WITH SURVEYORS, ARCHITECTS, ENGINEERS & DESIGN PROFESSIONALS  
(MORE THAN \$100,000)\***

This Professional Services Agreement ("Agreement") is made by and between the County of Monterey, a political subdivision of the State of California (hereinafter "County") and:  
Biggs Cardosa Associates, Inc.  
(hereinafter "CONTRACTOR").

In consideration of the mutual covenants and conditions set forth in this Agreement, the parties agree as follows:

1. **SERVICES TO BE PROVIDED.** The County hereby engages CONTRACTOR to perform, and CONTRACTOR hereby agrees to perform, the services described in Exhibit A in conformity with the terms of this Agreement. The services are generally described as follows:  
Provide construction management services for the Hartnell Road Bridge Replacement Project

2. **PAYMENTS BY COUNTY.** County shall pay the CONTRACTOR in accordance with the payment provisions set forth in Exhibit A, subject to the limitations set forth in this Agreement. The total amount payable by County to CONTRACTOR under this Agreement shall not exceed the sum of \$ 393,328.

3. **TERM OF AGREEMENT.** The term of this Agreement is from June 15, 2022 to June 14 2025 unless sooner terminated pursuant to the terms of this Agreement. This Agreement is of no force or effect until signed by both CONTRACTOR and County and with County signing last, and CONTRACTOR may not commence work before County signs this Agreement.

4. **ADDITIONAL PROVISIONS/EXHIBITS.** The following attached exhibits are incorporated herein by reference and constitute a part of this Agreement:

- Exhibit A** Scope of Services/Payment Provisions
- Exhibit B Federal Provisions
- Exhibit C Revision to Paragraphs 8 and 11 and Addition of Paragraphs 16 and 17 to Agreement
- Exhibit D Incorporation of Request for Proposals (RFP) #10804, Addendums No. 1 & No. 2 to RFP #10804 and Proposal Documents, on file with the Department of Public Works, Facilities, & Parks

5. **PERFORMANCE STANDARDS.**

5.01. CONTRACTOR warrants that CONTRACTOR and CONTRACTOR's agents, employees, and subcontractors performing services under this Agreement are specially trained, experienced, competent, and appropriately licensed to perform the work and deliver the services required under this Agreement and are not employees of the County, or immediate family of an employee of the County.

5.02. CONTRACTOR, its agents, employees, and subcontractors shall perform all work in a safe and skillful manner and in compliance with all applicable laws and regulations. All work performed under this Agreement that is required by law to be performed or supervised by licensed personnel shall be performed in accordance with such licensing requirements.

\*Approved by County Board of Supervisors on \_\_\_\_\_

5.03. CONTRACTOR shall furnish, at its own expense, all materials , equipment, and personne] necessary to carry out the terms of this Agreement, except as otherwise specified in this Agreement. CONTRACTOR shall not use County premises, property (including equipment, instruments, or supplies) or personnel for any purpose other than in the performance of its obligations under this Agreement.

**6. PAYMENT CONDITIONS.**

6.01. CONTRACTOR shall submit to the Contract Administrator an invoice on a form acceptable to County. If not otherwise specified, the CONTRACTOR may submit such invoice periodically or at the completion of services, but in any event, not later than 30 days after completion of services. The invoice shall set forth the amounts claimed by CONTRACTOR for the previous period, together with an itemized basis for the amounts claimed, and such other information pertinent to the invoice as the County may require. The Contract Administrator or his or her designee shall certify the invoice; either in the requested amount or in such other amount as the County approves in conformity with this Agreement, and shall promptly submit such invoice to the County Auditor-Controller for payment. The County Auditor-Controller shall pay the amount certified within 30 days of receiving the certified invoice.

6.02. CONTRACTOR shall not receive reimbursement for travel expenses unless set forth in this Agreement.

**7. TERMINATION.**

7.01. During the term of this Agreement, the County may terminate the Agreement for any reason by giving written notice of termination to the CONTRACTOR at least thirty (30) days prior to the effective date of termination. Such notice shall set forth the effective date of termination. In the event of such termination, the amount payable under this Agreement shall be reduced in proportion to the services provided prior to the date of termination.

7.02. The County may cancel and terminate this Agreement for good cause effective immediately upon written notice to CONTRACTOR. "Good cause" includes the failure of CONTRACTOR to perform the required services at the time and in the manner provided under this Agreement. If County terminates this Agreement for good cause, the County may be relieved of the payment of any consideration to CONTRACTOR, and the County may proceed with the work in any manner, which County deems proper. The cost to the County shall be deducted from any sum due the CONTRACTOR under this Agreement.

Please refer to Exhibit C of Agreement.

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Contractor's Initials Date

**8. INDEMNIFICATION.**

8.01 For purposes of the following indemnification provisions ("Indemnification Agreement"), "design-professional" has the same meaning as set forth in California Civil Code section 2782.8. If any term, provision or application of this Indemnification Agreement is found to be invalid, in violation of public policy or unenforceable to any extent, such finding shall not invalidate any other term or provision of this Indemnification Agreement and such other terms and provisions shall continue in full force and effect. If there is any conflict between the terms, provisions or application of this Indemnification Agreement and the provisions of California Civil Code Sections 2782 or 2782.8, the broadest indemnity protection for the COUNTY under this Indemnity Agreement that is permitted by law shall be provided by CONTRACTOR.

Please refer to Exhibit C of Agreement.

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Contractor's Initials

Date

**8.02 Indemnification for Design Professional Services Claims:**

CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claims that arise out of, or pertain to, or relate to the negligence, recklessness, or willful misconduct of the CONTRACTOR, its employees, subcontractors, and agents in the performance of design professional services under this Agreement, excepting only liability arising from the sole negligence, active negligence or willful misconduct of the COUNTY, or defect in a design furnished by the COUNTY.

**8.03 Indemnification for All Other Claims or Loss:**

For any claim, loss, injury, damage, expense or liability other than claims arising out of the CONTRACTOR's performance of design professional services under this Agreement, CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claim for loss, injury, damage, expense or liability resulting from or alleging injury to or death of any person or loss of use of or damage to property, arising from or related to the performance of services under this Agreement by CONTRACTOR, its employees, subcontractors or agents, excepting only liability arising from the sole negligence, active negligence or willful misconduct of the COUNTY, or defect in a design furnished by the COUNTY.

**9.0 INSURANCE.****9.01 Evidence of Coverage:**

Prior to commencement of this Agreement, the Contractor shall provide a "Certificate of Insurance" certifying that coverage as required herein has been obtained. Individual endorsements executed by the insurance carrier shall accompany the certificate. In addition the Contractor upon request shall provide a certified copy of the policy or policies.

This verification of coverage shall be sent to the County's, Contracts/Purchasing Department, unless otherwise directed. The Contractor shall not receive a "Notice to Proceed" with the work under this Agreement until it has obtained all insurance required and such, insurance has been approved by the County. This approval of insurance shall neither relieve nor decrease the liability of the Contractor.

**9.02 Qualifying Insurers:**

All coverage's, except surety, shall be issued by companies which hold a current policy holder's alphabetic and financial size category rating of not less than A" VII, according to the current Best's Key Rating Guide or a company of equal financial stability that is approved by the County's Purchasing Manager.

**9.03 Insurance Coverage Requirements:** Without limiting CONTRACTOR's duty to indemnify, CONTRACTOR shall maintain in effect throughout the term of this Agreement a policy or policies of insurance with the following minimum limits of liability:

Commercial general liability insurance, including but not limited to premises and operations, including coverage for Bodily Injury and Property Damage, Personal Injury, Contractual Liability, Broadform Property Damage, Independent Contractors, Products and Completed Operations, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

Modification (Justification attached; subject to approval).

Business automobile liability insurance, covering all motor vehicles, including owned, leased, non-owned, and hired vehicles, used in providing services under this Agreement, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

Modification (Justification attached; subject to approval).

Workers' Compensation Insurance, if CONTRACTOR employs others in the performance of this Agreement, in accordance with California Labor Code section 3700 and with Employer's Liability limits not less than \$1,000,000 each person, \$1,000,000 each accident and \$1,000,000 each disease.

Modification (Justification attached; subject to approval).

Professional liability insurance, if required for the professional services being provided, (e.g., those persons authorized by a license to engage in a business or profession regulated by the California Business and Professions Code), in the amount of not less than \$1,000,000 per claim and \$2,000,000 in the aggregate, to cover liability for malpractice or errors or omissions made in the course of rendering professional services. If professional liability insurance is written on a "claims-made" basis rather than an occurrence basis, the CONTRACTOR shall, upon the expiration or earlier termination of this Agreement, obtain extended reporting coverage ("tail coverage") with the same liability limits. Any such tail coverage shall continue for at least three years following the expiration or earlier termination of this Agreement.

Modification (Justification attached; subject to approval).

#### 9.04 Other Insurance Requirements.

All insurance required by this Agreement shall be with a company acceptable to the County and issued and executed by an admitted insurer authorized to transact Insurance business in the State of California. Unless otherwise specified by this Agreement, all such insurance shall be written on an occurrence basis, or, if the policy is not written on an occurrence basis, such policy with the coverage required herein shall continue in effect for a period of three years following the date CONTRACTOR completes its performance of services under this Agreement.

Each liability policy shall provide that the County shall be given notice in writing at least thirty days in advance of any endorsed reduction in coverage or limit, cancellation, or intended non-renewal thereof. Each policy shall provide coverage for Contractor and additional insureds with respect to claims arising from each subcontractor, if any, performing work under this Agreement, or be accompanied by a certificate of insurance from each subcontractor showing each subcontractor has identical insurance coverage to the above requirements.

Commercial general liability and automobile liability policies shall provide an endorsement naming the County of Monterey, its officers, agents, and employees as Additional Insureds with respect to liability arising out of the CONTRACTOR'S work, including ongoing and completed



operations, and shall further provide that such insurance is primary insurance to any insurance or self-insurance maintained by the County and that the insurance of the Additional Insureds shall not be called upon to contribute to a loss covered by the CONTRACTOR'S insurance. The required endorsement form for Commercial General Liability Additional Insured is ISO Form CG 20 10 11-85 or CG 20 10 10 01 in tandem with CG 20 37 10 01 (2000). The required endorsement form for Automobile Additional Insured endorsement is ISO Form CA 20 48 02 99.

Prior to the execution of this Agreement by the County, CONTRACTOR shall file certificates of insurance with the County's contract administrator and County's Contracts/Purchasing Division, showing that the CONTRACTOR has in effect the insurance required by this Agreement. The CONTRACTOR shall file a new or amended certificate of insurance within five calendar days after any change is made in any insurance policy, which would alter the information on the certificate then on file. Acceptance or approval of insurance shall in no way modify or change the indemnification clause in this Agreement, which shall continue in full force and effect.

CONTRACTOR shall at all times during the term of this Agreement maintain in force the insurance coverage required under this Agreement and shall send, without demand by County, annual certificates to County's Contract Administrator and County's Contracts/Purchasing Division. If the certificate is not received by the expiration date, County shall notify CONTRACTOR and CONTRACTOR shall have five calendar days to send in the certificate, evidencing no lapse in coverage during the interim. Failure by CONTRACTOR to maintain such insurance is a default of this Agreement, which entitles County, at its sole discretion, to terminate this Agreement immediately.

## 10. RECORDS AND CONFIDENTIALITY.

- 10.01 Confidentiality. CONTRACTOR and its officers, employees, agents, and subcontractors shall comply with any and all federal, state, and local laws, which provide for the confidentiality of records and other information. CONTRACTOR shall not disclose any confidential records or other confidential information received from the County or prepared in connection with the performance of this Agreement, unless County specifically permits CONTRACTOR to disclose such records or information. CONTRACTOR shall promptly transmit to County any and all requests for disclosure of any such confidential records or information. CONTRACTOR shall not use any confidential information gained by CONTRACTOR in the performance of this Agreement except for the sole purpose of carrying out CONTRACTOR's obligations under this Agreement.
- 10.02 County Records. When this Agreement expires or terminates, CONTRACTOR shall return to County any County records which CONTRACTOR used or received from County to perform services under this Agreement.
- 10.03 Maintenance of Records. CONTRACTOR shall prepare, maintain, and preserve all reports and records that may be required by federal, state, and County rules and regulations related to services performed under this Agreement. CONTRACTOR shall maintain such records for a period of at least three years after receipt of final payment under this Agreement. If any litigation, claim, negotiation, audit exception, or other action relating to this Agreement is pending at the end of the three year period, then CONTRACTOR shall retain said records until such action is resolved.

10.04 Access to and Audit of Records. The County shall have the right to examine, monitor and audit all records, documents, conditions, and activities of the CONTRACTOR and its subcontractors related to services provided under this Agreement. Pursuant to Government Code section 8546.7, if this Agreement involves the expenditure of public funds in excess of \$10,000, the parties to this Agreement may be subject, at the request of the County or as part of any audit of the County, to the examination and audit of the State Auditor pertaining to matters connected with the performance of this Agreement for a period of three years after final payment under the Agreement.

10.05 Royalties and Inventions. County shall have a royalty-free, exclusive and irrevocable license to reproduce, publish, and use, and authorize others to do so, all original computer programs, writings, sound recordings, pictorial reproductions, drawings, and other works of similar nature produced in the course of or under this Agreement. CONTRACTOR shall not publish any such material without the prior written approval of County.

Please refer to Exhibit C of Agreement. 

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Contractor's Initials		Date

11. **NON-DISCRIMINATION.** During the performance of this Agreement, CONTRACTOR, and its subcontractors, shall not unlawfully discriminate against any person because of race, religious creed, color, sex, national origin, ancestry, physical disability, mental disability, medical condition, marital status, age (over 40), or sexual orientation, either in CONTRACTOR's employment practices or in the furnishing of services to recipients. CONTRACTOR shall ensure that the evaluation and treatment of its employees and applicants for employment and all persons receiving and requesting services are free of such discrimination. CONTRACTOR and any subcontractor shall, in the performance of this Agreement, fully comply with all federal, state, and local laws and regulations, which prohibit discrimination. The provision of services primarily or exclusively to such target population as may be designated in this Agreement shall not be deemed to be prohibited discrimination.

12. **COMPLIANCE WITH TERMS OF STATE OR FEDERAL GRANT.** If this Agreement has been or will be funded with monies received by the County pursuant to a contract with the state or federal government in which the County is the grantee, CONTRACTOR will comply with all the provisions of said contract, to the extent applicable to CONTRACTOR as a subgrantee under said contract, and said provisions shall be deemed a part of this Agreement, as though fully set forth herein. Upon request, County will deliver a copy of said contract to CONTRACTOR, at no cost to CONTRACTOR.

13. **INDEPENDENT CONTRACTOR.** In the performance of work, duties, and obligations under this Agreement, CONTRACTOR is at all times acting and performing as an independent contractor and not as an employee of the County. No offer or obligation of permanent employment with the County or particular County department or agency is intended in any manner, and CONTRACTOR shall not become entitled by virtue of this Agreement to receive from County any form of employee benefits including but not limited to sick leave, vacation, retirement benefits, workers' compensation coverage, insurance or disability benefits. CONTRACTOR shall be solely liable for and obligated to pay directly all applicable taxes, including federal and state income taxes and social security, arising out of CONTRACTOR's performance of this Agreement. In connection therewith, CONTRACTOR shall defend, indemnify, and hold County harmless from any and all liability, which County may incur because of CONTRACTOR's failure to pay such taxes.

14. **NOTICES.** Notices required under this Agreement shall be delivered personally or by first-class, postage pre-paid mail to the County and CONTRACTOR'S contract administrators at the addresses listed below:

<b>FOR COUNTY:</b>	<b>FOR CONTRACTOR:</b>
Dalia Mariscal-Martinez, Management Analyst III	Ronald Oen, PE, QSD, Construction Manager/RE
Name and Title	Name and Title
1441 Schilling Place, South 2nd Floor Salinas, California 93901-4527	865 The Alameda San Jose, California 95126
Address	Address
(831) 755-8966	(408) 296-5515
Phone	Phone

15. **MISCELLANEOUS PROVISIONS.**

- 15.01 Conflict of Interest. CONTRACTOR represents that it presently has no interest and agrees not to acquire any interest during the term of this Agreement, which would directly or indirectly conflict in any manner or to any degree with the full and complete performance of the professional services required to be rendered under this Agreement.
- 15.02 Amendment. This Agreement may be amended or modified only by an instrument in writing signed by the County and the CONTRACTOR.
- 15.03 Waiver. Any waiver of any terms and conditions of this Agreement must be in writing and signed by the County and the CONTRACTOR. A waiver of any of the terms and conditions of this Agreement shall not be construed as a waiver of any other terms or conditions in this Agreement.
- 15.04 Contractor. The term "CONTRACTOR" as used in this Agreement includes CONTRACTOR's officers, agents, and employees acting on CONTRACTOR's behalf in the performance of this Agreement.
- 15.05 Disputes. CONTRACTOR shall continue to perform under this Agreement during any dispute.
- 15.06 Assignment and Subcontracting. The CONTRACTOR shall not assign, sell, or otherwise transfer its interest or obligations in this Agreement without the prior written consent of the County. None of the services covered by this Agreement shall be subcontracted without the prior written approval of the County. Notwithstanding any such subcontract, CONTRACTOR shall continue to be liable for the performance of all requirements of this Agreement.
- 15.07 Successors and Assigns. This Agreement and the rights, privileges, duties, and obligations of the County and CONTRACTOR under this Agreement, to the extent assignable or delegable, shall be binding upon and inure to the benefit of the parties and their respective successors, permitted assigns, and heirs.

- 15.08 Compliance with Applicable Law. The parties shall comply with all applicable federal, state, and local laws and regulations in performing this Agreement.
- 15.09 Headings. The headings are for convenience only and shall not be used to interpret the terms of this Agreement.
- 15.10 Time is of the Essence. Time is of the essence in each and all of the provisions of this Agreement.
- 15.11 Governing Law. This Agreement shall be governed by and interpreted under the laws of the State of California.
- 15.12 Non-exclusive Agreement. This Agreement is non-exclusive and both County and CONTRACTOR expressly reserve the right to contract with other entities for the same or similar services.
- 15.13 Construction of Agreement. The County and CONTRACTOR agree that each party has fully participated in the review and revision of this Agreement and that any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply in the interpretation of this Agreement or any amendment to this Agreement.
- 15.14 Counterparts. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same Agreement.
- 15.15 Authority. Any individual executing this Agreement on behalf of the County or the CONTRACTOR represents and warrants hereby that he or she has the requisite authority to enter into this Agreement on behalf of such party and bind the party to the terms and conditions of this Agreement.
- 15.16 Integration. This Agreement, including the exhibits, represent the entire Agreement between the County and the CONTRACTOR with respect to the subject matter of this Agreement and shall supersede all prior negotiations, representations, or agreements, either written or oral, between the County and the CONTRACTOR as of the effective date of this Agreement, which is the date that the County signs the Agreement.
- 15.17 Interpretation of Conflicting Provisions. In the event of any conflict or inconsistency between the provisions of this Agreement and the Provisions of any exhibit or other attachment to this Agreement, the provisions of this Agreement shall prevail and control.

**This space is left blank, intentionally.**



IN WITNESS WHEREOF, County and CONTRACTOR have executed this Agreement as of the day and year written below.

**COUNTY OF MONTEREY**

**CONTRACTOR**

DocuSigned by:  
By: Debra R. Wilson  
7B741037AA0D41B  
Date: 6/8/2022 | 9:25 AM PDT  
Purchasing Officer

Biggs Cardosa Associates, Inc.  
Contractor's Business Name\*

By: \_\_\_\_\_  
Date: \_\_\_\_\_  
Department Head (if applicable)

DocuSigned by:  
By: Mahvash M. Harms  
99FBF04E77C9427...  
(Signature of Chair, President, or Vice-President)\*  
Mahvash M. Harms, Chairman of the Board and Executive Vice President

By: \_\_\_\_\_  
Date: \_\_\_\_\_  
Board of Supervisors (if applicable)

Date: 5/18/2022 | 11:04 AM PDT  
Name and Title

Approved as to Form<sup>1</sup>  
DocuSigned by:  
By: Mary Grace Perry, Deputy  
A1933B26E717442  
Date: 5/18/2022 | 8:43 PM PDT  
County Counsel

DocuSigned by:  
By: Daniel B. Devlin  
80EAE87EC909459  
(Signature of Secretary, Asst. Secretary, CFO, Treasurer or Asst. Treasurer)\*  
Daniel B. Devlin, Secretary

Approved as to Fiscal Provisions<sup>2</sup>  
DocuSigned by:  
By: Gary Giboney  
D3834BFEC1D8449  
Date: 5/19/2022 | 8:44 AM PDT  
Auditor/Controller

Date: 5/18/2022 | 1:36 PM CDT  
Name and Title

Approved as to Liability Provisions<sup>3</sup>  
By: \_\_\_\_\_  
Date: \_\_\_\_\_  
Risk Management

County Board of Supervisors' Agreement Number: \_\_\_\_\_.

\*INSTRUCTIONS: If CONTRACTOR is a corporation, including limited liability and non-profit corporations, the full legal name of the corporation shall be set forth above together with the signatures of two specified officers. If CONTRACTOR is a partnership, the name of the partnership shall be set forth above together with the signature of a partner who has authority to execute this Agreement on behalf of the partnership. If CONTRACTOR is contracting in an individual capacity, the individual shall set forth the name of the business, if any, and shall personally sign the Agreement.

<sup>1</sup>Approval by County Counsel is required for all Professional Service Agreements over \$100,000

<sup>2</sup>Approval by Auditor/Controller is required for all Professional Service Agreements

<sup>3</sup>Approval by Risk Management is required only if changes are made in paragraph 8 or 9

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

**To Agreement by and between  
County of Monterey, hereinafter referred to as “County”  
and  
Biggs Cardosa Associates, Inc., hereinafter referred to as “CONTRACTOR”**

### **A. SCOPE OF SERVICES**

#### **A.1 CONTRACTOR shall provide services and staff, and otherwise do all things necessary for or incidental to the performance of work, as set forth below:**

CONTRACTOR shall provide construction management services for the replacement of the Hartnell Road Bridge Replacement Project. The Project consists of replacing the existing bridge with a two (2)-lane cast-in-place reinforced concrete double-box culvert. The new bridge would be approximately sixty-three feet (63') long and forty-three feet (43') wide, with two (2) twelve feet (12') travel lanes and two (2) unstriped eight feet (8') shoulders that meet American Association of State Highway and Transportation Officials (AASHTO) minimum lane and shoulder width standards. The roadway profile of the bridge would be raised by two (2) point two (2) feet (2.2') in order to match the roadway improvements described below. The new bridge structure would be skewed at an approximate angle of forty-five (45) degrees to the roadway in order to facilitate the flow of Alisal Creek. Wingwalls would also be constructed to direct the flow through the culvert.

The Scope of Services for the Project includes, but is not limited to, Resident Engineer, Structures Representative, certified special inspectors (welding, post-tensioning, concrete, masonry, etc.), Stormwater Pollution Prevention Plan (SWPPP) Compliance Inspector, Labor Compliance Officer, Claims Specialist, Field Surveyor, Environmental Permit Compliance Construction Monitoring Specialists, Geotechnical Engineer/Inspector (as needed), etc. CONTRACTOR is comprised of the specialists noted above to ensure proper quality assurance for the project, and supplement the County as needed on each task order.

CONTRACTOR's work plan for each task order for the project generally conforms to the Caltrans construction management procedures and guidelines outlined in the Caltrans Local Assistance Procedures Manual (LAPM) and Caltrans Construction Records and Procedures Manual. The Scope of Services will consist of Preconstruction Services as outlined in Task 1, Construction Management/Oversight and Quality Assurance/Quality Control as outlined in Task 2, and Post Construction Services as outlined in Task 3.

CONTRACTOR's construction management services shall include but not be limited to the following services and requirements for the County as follows:

#### **Task 1 – Preconstruction Services**

a. Plans, Specification, and Permit Review: CONTRACTOR shall conduct a thorough review of the contract plans, specifications, engineers estimate and quantities, permits, agreements, easements, environmental documents, pertinent reports, and studies to ensure that all stakeholders' interests are incorporated into the work plan and schedule. All environmental documents and permits will be identified and reviewed to ensure that staff is fully familiar with the mitigation and regulatory agency requirements of the project to be able to monitor subcontractors' compliance throughout the construction. A comprehensive schedule of all

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

mitigation and permit requirements will be developed and included in the project master schedule as milestones. Special focus will be given to the final Plans, Specifications and Estimate (PS&E) construction documents for their overall constructability and to any anomalies that could lead to potential claims that may arise during the course of construction stemming from potential issues or ideas that have not been reviewed during the design phase. A list of all the submittals required by the CONTRACTOR shall be prepared by CONTRACTOR to assist the County in monitoring the submittals. The list will be used to coordinate and track submittals and will be distributed on a weekly basis to all pertinent parties either at CONTRACTOR meetings or through electronic mailing.

b. Resident Engineer (RE) File Review: CONTRACTOR shall review the RE's file to become familiar with key elements and issues of the project. The core management team will meet with County representatives and the Design Consultant to discuss key project features, establish lines of communication, review permits, review applicable County local ordinances and review contract administration procedures. This meeting will help brief CONTRACTOR's key personnel on the scope of the project, contract PS&E, Permits, Licenses, Agreements and Certifications (PLAC) requirements, anticipated construction schedule, traffic control measures, environmental mitigation measures, project objectives, project constraints and project funding.

The Traffic Plan and control procedures will be reviewed by CONTRACTOR. The continuous and safe movement of traffic through the project corridor and adjacent connecting roads during construction for both AM and PM traffic should be incorporated into any staging efforts. This effort should also include assessment of any haul and delivery routes, maintaining emergency vehicle access at all times, ingress/egress to the project, pedestrian travel, detours, or delays that may affect public or business safety and convenience. Safe and continuous travel through the affected corridor(s) must be assured at all times, taking into consideration a wide variety of factors and users: commuters, seasonal variations, delivery schedules, any business access, and adjacent residential flows. Traffic flows of adjacent local streets for vehicular travel will be monitored continuously over the course of construction and adjustments made as necessary in order to minimize or avoid localized impacts.

In addition, CONTRACTOR can meet with stakeholders near the project that will be affected by construction activities. Although Hartnell Road is classified as a local collector road that provides direct access to Highway 101, the purpose of this type of meeting would be to discuss anticipated construction operations and understand potential impacts to the area, as well as to develop any needed public outreach strategies that will inform the local community to minimize impact on residences and any businesses alike.

c. Bid Support: If requested, CONTRACTOR can assist the County's staff during the construction bidding phase by reviewing and commenting on bidder inquiries, addendums and bids received, and provide the County's staff with written recommendations.

d. Construction Administration and Control Procedures: CONTRACTOR shall establish the proposed contract administration and record keeping procedures to be used during construction. These procedures will be developed into a project specific Construction Manual and submitted to the County for review and approval by the County's Project Manager (PM) and Project Engineer (PE). The Construction Manual will detail how CONTRACTOR shall administer the construction of the project including outlining the proposed lines of communication, establishing CONTRACTOR Requests for Information (RFI), submittal and claim protocol, identifying regulatory monitoring needs, providing the required inspection and

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

materials testing protocol (as requested by the County) and establishing record keeping forms and checklists. All record keeping documents will be written to coincide with the standard guidelines established by the Caltrans Construction Procedures and Records Manual and the Caltrans LAPM. CONTRACTOR shall integrate their Construction Manual with the County's relevant reference material to create one (1) manual to be followed by all parties throughout the project.

CONTRACTOR shall develop and maintain a Quality Control (QC) program throughout the progress of the project which shall include the observation and monitoring of the work in compliance with the approved plans and specifications. CONTRACTOR shall be fully apprised of the project construction documents. Also, included will be the oversight of all project submittals and long-lead items to ensure that they are furnished in a timely manner and per schedule. Materials testing (as requested by the County) and inspection quality assurance would also be a function of this task item for full project compliance. Our QC plan will be submitted for the County's approval prior to the start of the construction work as part of our project specific Construction Manual.

Prior to breaking ground, CONTRACTOR's field staff, using digital equipment, will photograph, video, and make notes of the entire project including surrounding properties to develop an "existing" condition record. This will be done in conjunction with the County, CONTRACTOR, and local property owners. The complete photographic record will be maintained by the County in safe keeping with copies kept by the County's PM and one (1) set provided to CONTRACTOR. CONTRACTOR'S field staff will also take daily digital photos. The entire project will have photos and video taken weekly.

e. Preconstruction Conference: CONTRACTOR shall organize a preconstruction meeting with the County's PM, Construction Contractor who is awarded the construction contract, their subcontractors, the Design Engineer of Record, representatives of affected agencies such as Caltrans, California Emergency Management Agency (Cal EMA), the local police and fire department representatives, permitting agencies, third party utility companies, and other interested parties to discuss the project and the work involved. The meeting is intended to introduce the staff of each organization that will be involved in the project, establish administrative matters including lines of communication, project expectations, permit and local ordinance requirements, the submittal review and approval process, RFI and Contract Change Order (CCO) protocol, quality assurance/quality control implementation, CONTRACTOR's payment application, labor compliance requirements, Disadvantaged Business Enterprise (DBE) utilization, safety, including job site and public safety, rules for visitors to the project site, accident prevention, emergency response, traffic control, storm water pollution prevention, construction schedule and working days, and to answer questions from the Construction Contractor and other interested parties, and address issues that need to be resolved prior to construction mobilization. Weekly construction progress meetings will be scheduled, and the CONTRACTOR's progress payment review/approval process can be discussed.

Other discussion topics shall include the importance of timely submittals and reviews, project construction safety, procedures for protests, access for nearby businesses, as well as community safety, noise levels and general concerns related to construction. The meeting can also facilitate a general overview of the construction documents by the Design Engineer including special design features that may relate to materials, construction staking, first order of work items, and stage construction.

## **EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

In order to promote a good “partnering” relationship with the Construction Contractor, CONTRACTOR shall review the construction documents, coordinate with the County PM, and then furnish Construction Contractor with a copy of the project submittal list. This list will help Construction Contractor to immediately focus and prioritize critical path submittals and start the construction project on the right foot.

f. Cost Reduction Incentive Proposals: CONTRACTOR shall assist the County in evaluating Cost Reduction Incentive Proposals (CRIP) submitted by the Construction Contractor. Before the CONTRACTOR prepares a CRIP, CONTRACTOR would meet with the Construction Contractor, subcontractors and County to discuss the proposal concept, project impacts, permit issues, overall proposal merits, and review times required by agencies with review jurisdiction. CONTRACTOR shall ensure that the CRIP does not impair the project’s essential functions or characteristics including service life, operation economy, maintenance ease, desired appearance, and the design and safety of the project. After thorough evaluation and coordination with the County PM, CONTRACTOR shall provide written recommendations to the County PM for further coordination with the Design Engineer and processing.

### **Task 2 - Construction Management/Oversight & Quality Assurance/Quality Control**

a. Project Coordination and Correspondence: The Construction Manager/RE (CM/RE) will serve as the focal point for coordination among the County, CONTRACTOR, permitting agencies, utility companies, surveyors, inspectors, material testers (as requested by the County) and other interested parties, and will be directly supported by the Structures Representative. The CM/RE and Structures Representative will encompass the core construction management team for CONTRACTOR and will be responsible for the following:

- Maintaining constant oral communication with the County’s PM and copying both on all project correspondence.
- Receive all CONTRACTOR and subcontractor correspondence and prepare and transmit responses to the selected Construction Contractor and subcontractors after receiving concurrence from the County. Coordinate with applicable parties as required to develop responses. All correspondence received and responses transmitted will be tracked, filed, and transmitted to the County as part of the project’s construction documentation.
- Participate in weekly (or as necessary) construction meetings with the County’s PM, CONTRACTOR, and other interested parties. Other special meetings will be held to resolve project issues as needed. Meeting minutes will be taken and distributed to all parties designated in the project instructions within one (1) working day of the meeting.

b. Public Outreach: CONTRACTOR shall provide public outreach prior and during construction as required.

- Develop stakeholders’ database information including local residents and business contacts and addresses.
- Coordinate public information logistics including developing Project Notification Fact Sheet and sending mailers. If required, facilitate public meeting including creating meeting invitation flyers to invite the residents and business owners to public meetings, obtaining conference room, developing project presentation, comment response forms and meeting exhibits. Distribute these invitations to local businesses, connected non-profits, and mail out invitations to residents via United States Postal Service (USPS) Every Door Direct or by protocols established by the County.
- Update stakeholders’ database and collect, log, coordinate responses and file follow-up comment- response forms



## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

- c. Construction Oversight and Administration: CONTRACTOR shall oversee the progress of construction to ensure construction is per the approved plans and specifications. CONTRACTOR shall:
- Maintain contract files in a systematic and orderly manner consistent with the Caltrans Construction Records and Procedures Manual. CONTRACTOR's RE will be responsible for general filing and distribution of correspondence.
  - Perform preconstruction biological surveys, develop listed species (i.e., California Red-Legged Frog (CRLF)) relocation plans as required, and oversee requirements of various permitting agencies (California Department of Fish and Wildlife (CDFW), National Marine Fisheries Service (NMFS), Regional Water Quality Control Board (RWQCB), United States Army Corps of Engineers (USACE), etc.) and the County's local ordinances.
  - Proactively coordinate third party utility activities with the CONTRACTOR's construction.
  - Maintain submittal, RFI and CCO logs to document and track the status of each of these items.
  - Facilitate Construction Contractor's submittals, RFIs, and required CCOs between the Construction Contractor and the County to ensure timely and coordinated reviews, responses, and resolutions.
  - Observe work progress to ensure conformance to the plans, specifications, and schedule. CONTRACTOR shall help identify any actual or potential project challenges, propose engineering solutions to these challenges, and consult with the County PM. CONTRACTOR shall ensure all proposed deviations from the contract plans and specifications have been approved by the County in writing.
  - Maintain and ensure the Construction Contractor is using the current set of construction documents. Field changes will be consolidated, transcribed, and documented on the RE set of construction documents to be used later for developing the as-built record drawings.
  - Maintain a daily journal documenting observations and construction progress. An electronic copy of these daily journal entries will be transmitted to the County on a weekly basis.
  - Maintain a photo documentation and log of construction progress and issues. An electronic copy of the photo documentation will be transmitted to the County on a monthly basis.
  - Prepare and submit monthly progress reports to the County PM describing construction progress, photo documentation, key issues, critical path issues, and schedule status. CONTRACTOR shall also prepare and approve progress payments to CONTRACTOR and subcontractors.
- d. Submittal Management: CONTRACTOR shall perform the following:
- Develop a submittal distribution list to identify parties responsible for review and acceptance.
  - Receive and log submittals, provide review comments, and distribute to responsible parties as required for additional review and approvals.
  - Track, coordinate, and consolidate submittal review comments with County PM, County testing laboratory, and other responsible parties through the review processes to facilitate final submittal approval.
- e. RFI: CONTRACTOR shall perform the following:

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

- Receive, log, process, and monitor RFIs from Construction Contractor.
  - Provide responses to RFIs and transmit a copy of the design related RFIs/responses to the County PM for coordination with the Design Engineer of Record.
  - Conduct meetings with Construction Contractor and other parties as needed to discuss and resolve RFI's.
- f. Contractor Claims: CONTRACTOR shall perform the following:
- Maintain a file and log all submitted Construction Contractor's claims.
  - Review all submitted subcontractor claims. Close coordination with the County PM will be maintained at all times.
  - A Claims Specialist is included on CONTRACTOR's team to perform claims analysis in accordance with standard Caltrans procedures. CONTRACTOR shall develop a written response to each claim for review by the County PM. After approval by the County PM, the written response will be submitted to Construction Contractor.
  - If Construction Contractor has a dispute with the written response to the claim, a claim settlement conference shall be held to facilitate agreement to the claim.
- g. CCOs:
- Maintain a file and log for CCOs.
  - Develop and perform change order administration, including obtaining County approval of change order requests, maintaining logs of proposed change orders, issuing change orders to Construction Contractor, and assessing and negotiating change order schedules and cost impacts.
  - Tracking of the change order(s) will be done on a monthly spread sheet and paid accordingly upon approval and will be documented on the next pending monthly progress payment.
- h. Project Budget: Budget monitoring is of high importance. CONTRACTOR shall track the quantities on a daily basis with the submittal of contract item quantities. CONTRACTOR shall inform the County if there are any anticipated overruns that may lead to an increase of costs.
- i. Project Schedule: It is important for Construction Contractor to submit, in accordance with the contract documents, a baseline schedule for approval with the inclusion of cost resource loading and the identification of the project milestones. CONTRACTOR shall monitor the project schedule and associated costs, document and keep all affected parties apprised of the project status via weekly reports and team meetings. CONTRACTOR shall also carefully analyze Construction Contractor initial schedule of values for reasonableness in compliance with the Construction Contractor's intent and make recommendation for acceptance or denial and obtain from Construction Contractor progress updates of the schedule incorporating actual progress, weather delays, and change order impacts.
- j. Safety: As the Project Safety Coordinator, CONTRACTOR shall conduct and document project safety meetings in accordance with project requirements (Caltrans Standards) and maintain an awareness of safety and health requirements. CONTRACTOR shall enforce Construction Contractor and subcontractors to comply with applicable regulations and contract provisions for the protection of the public and project personnel. Any safety issues will be brought to the immediate attention of the County PM and will be documented with digital photographs and written reports.

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

k. Labor Compliance: Labor compliance and review of certified payroll will be carried out by CONTRACTOR's Office Engineer. Office Engineer/Labor Compliance Officer will review, track, and organize certified payroll received from the Construction Contractor and Subcontractors to verify conformance of required Prevailing Wage Rates and DBE percentages.

l. Water Pollution Control: CONTRACTOR shall assist the County in the review of the Water Pollution Control Plan (WPCP) or SWPPP submittal, as well as monitoring and inspecting the work activities to ensure compliance with the WPCP and SWPPP. CONTRACTOR shall provide a certified Compliance Inspector to ensure development and implementation of the WPCP or SWPPP, CONTRACTOR's Water Pollution Control Manager is conducting onsite training, monitoring, and inspecting CONTRACTOR's work activities, and correcting water pollution control practices.

m. Environmental (Biological & Cultural) Permits Monitoring: The approved mitigation measures and permit requirements of the project include the need to conduct biological monitoring during active site construction. CONTRACTOR has developed an effective monitoring and tracking system that includes photo documentation, a daily log, attendance at construction meetings to provide feedback directly to construction personnel, and a weekly and monthly progress reporting system to communicate compliance with permits and mitigation measures to the County staff and agency regulators. This system will be modified to fit the County's needs and employed during biological and/or cultural environmental construction monitoring.

Construction monitoring is typically required to ensure compliance with California Environmental Quality Act (CEQA)/National Environmental Policy Act (NEPA) measures and regulatory permit conditions. CONTRACTOR shall ensure that construction personnel have a clear understanding of the permit requirements prior to construction, that preventive measures are installed at an appropriate time and maintained throughout construction, and that any unforeseen challenges are dealt with immediately to ensure that the project remains in compliance at all times.

CONTRACTOR shall attend pre-construction meetings and provide the construction staff with an overview of environmental conditions, existing biological resources, and proper chain of communication. When appropriate, CONTRACTOR provides more in-depth training for construction staff, providing additional information on protected resources and specific procedures that must be implemented if these resources are encountered during construction.

Prior to construction, CONTRACTOR performs pre-construction surveys for wildlife and any sensitive species with potential to be in the project area. If sensitive species are found, CONTRACTOR contacts the appropriate regulatory agencies and establishes appropriate measures, including physical or temporal buffers, to avoid or minimize impacts on the species. CONTRACTOR works with the project RE and regulatory agencies to ensure that measures are implemented effectively and remain in place until there is no longer any potential for impacts on biological resources.

During project construction, CONTRACTOR provides onsite monitoring of construction site conditions and activities to ensure that permit requirements are being implemented successfully in the field. All non-compliance issues are reported immediately to the RE onsite, as well as the client and regulatory agencies, as required. If these issues cannot be corrected at the time they are observed, CONTRACTOR proactively follows up with the appropriate individuals to ensure that

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

action is taken to correct the problem. CONTRACTOR prepares monitoring reports following each site visit that document site conditions, compliance with environmental conditions, and any required actions.

When onsite water quality monitoring is required by regulatory permits, CONTRACTOR completes all analysis using water quality parameters (e.g., temperature, pH, dissolved oxygen, turbidity), and United States Environmental Protection Agency (US EPA) methods if required. CONTRACTOR compiles results of monitoring and prepares detailed monitoring reports for our clients and regulatory agencies that provide adequate data and analysis to comply with regulatory requirements.

When construction is complete, CONTRACTOR inspects the site to document successful site cleanup. If habitat restoration is required for the project, CONTRACTOR shall monitor the restoration efforts to ensure that implementation of any onsite re-vegetation is completed, as required by the environmental conditions. When all construction and restoration tasks are completed, CONTRACTOR prepares any post-construction documentation required by environmental conditions and provides the final reports to our clients and/or resource agencies.

n. Construction Observation/Inspection Services: CONTRACTOR shall provide inspectors for day-to-day, on-the-job observation and inspection of all construction and improvements as shown on the plans and required by the specifications. The inspectors shall make reasonable efforts to guard the County against defects and deficiencies of Construction Contractor's work and to ensure provisions of the contract documents are being fulfilled. Special Inspectors will be Association of Construction Inspectors (ACI), International Code Council (ICC), International Conference of Building Officials (ICBO) and/or American Welding Society (AWS) certified for the special inspection being performed. Duties of the inspectors include but are not limited to the following:

- Prepare daily inspection reports documenting observed construction activities.
- Take progress photographs and maintain electronic storage of the photographs.
- Mark up a field blue line set of drawings to incorporate Construction Contractor's record drawing markups.
- Closely monitor Construction Contractor's operations for adherence to safety standards and public convenience.
- Monitor Construction Contractor's construction critical-path schedule and keep the County PM informed of potential schedule-related issues.
- Observe all field surveying to ensure that the project is being built to the proper lines and grades.
- Observe and test (as requested by the County) all geotechnical related activities including drilling of Cast-In-Drilled-Hole (CIDH) foundations, excavation, backfill and compaction to ensure conformance to contract documents.
- Review all Certificates of Compliance, and recommend approval or rejection to the County PM.
- Maintain a material and testing "Summary Log" for each material requiring multiple sampling and testing (as requested by the County).
- At the request of the County, perform all specified compaction testing, sampling and materials testing to ensure all materials were constructed and placed in accordance with the plans and to the required specifications.
- Provide Source Inspections for all items required by contract documents including but not limited to:

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

- Project elements
  - Water
  - Coarse and fine aggregates
  - Concrete mix uniformity
  - Admixtures
  - Asphalt
  - Polytetrafluoroethylene (PTFE) bearings
  - Imported borrow
  - Cement
  - Bases and sub-bases
  - Concrete batch plants
  - Structure backfills
  - Shop welding
  - Permeable material
  - Perform on-site observations of construction and associated specialty inspections, sampling and materials testing required by the contract documents (as applicable and as requested by the County) including but not limited to:
    - Bridge foundation layout and staking
    - Finishing all concrete surfaces
    - Drilling of CIDH foundations
    - All overall dimensions of structural elements
    - All concrete
    - Bridge bearings and joint seal assemblies
    - All reinforcing steel
    - Bridge deck drains
    - Pile installations
    - Utility casings
    - Falsework
    - Drilling and bonding dowels
    - Temporary protection covers
    - Welding operations
    - Temporary supports
    - Concrete barriers and handrailing
    - Post-tensioning duct and strand
    - Grouting post pockets
    - Stressing and grouting post tensioning
    - Joint seal installation
    - High strength bolts
    - Earthwork operations
    - Concrete removal
    - Column casing installation
    - Pressure grouting
    - Waterproofing
  - Prepare final checklist of items remaining to be completed by CONTRACTOR. Monitor checklist to insure satisfactory project close-out.
- o. Materials Testing: CONTRACTOR shall provide all necessary quality assurance materials testing for the project including, materials sampling, transporting samples, and testing, reports in compliance with the Caltrans LAPM and the Special Provisions of the project. CONTRACTOR shall maintain files of all materials sampling and testing records.



## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

CONTRACTOR shall review subcontractor's provided manufacturers shop or mill test certificates including test reports from independent materials testing laboratories and make recommendations as to acceptability of materials, equipment, performance ratings, soil density tests, concrete cylinder tests and other field test results to ensure compliance within the construction contract specifications. Since Federal funds are anticipated to be used for this project, a quality assurance for sampling and testing shall be in compliance with Section 16 of the Caltrans LAPM. Upon completion of the project, CONTRACTOR shall assist the County in preparing a Materials Certificate for project certification.

p. Construction Surveys: CONTRACTOR shall coordinate all construction control and survey staking services with the County Survey Staff and County PM. If requested by the County, CONTRACTOR shall provide construction control and construction staking services consistent with the latest edition of the Caltrans Survey Manual, Chapter 12, and "Construction Surveys".

### Task 3 - Post Construction Services

a. Construction Punch List: Near the completion of construction, CONTRACTOR shall hold a project close-out meeting at the project site with the County PM and Construction Contractor to perform a walkthrough of the project. Upon completion of the project close-out meeting, a punch list will be developed noting the remaining items of work to be completed prior to project closeout. The punch list will be coordinated with the County PM prior to submittal to Construction Contractor. After submittal of the punch list to Construction Contractor, CONTRACTOR shall inspect all punch list items performed by Construction Contractor. CONTRACTOR shall track and coordinate the punch list items with Construction Contractor and County PM to ensure every item has been properly addressed and accepted prior to issuance of notice of completion and CONTRACTOR's demobilization. CONTRACTOR shall also assist the County with review of material warranties and bond reduction payments as well as assist with the preparation of the following documents in accordance with Chapter 17 of the Caltrans LAPM if applicable to the project:

- Final Detail Estimate
- Change Order Summary (Exhibit 17-E)
- Final Report – Utilization of Disadvantage Businesses and Women-Owned Business Enterprises (Exhibit 17-F)
- DBE Certification
- Status Change (Exhibit 17-0)
- Statement of Materials and Labor Used by Contractors Involving Federal Funds (Exhibit 17-H)
- Materials Certificate (Exhibit 17-G)

b. Record Drawings: During construction, CONTRACTOR shall maintain a master set of the project drawings documenting all variations of the improvement plans using information from RFIs, CCOs, submittals, photos, daily reports, daily journal entries and other construction documentation. Upon completion of the work, CONTRACTOR shall produce a master record set of plans by neatly transferring by hand using a red pen all such noted variations to black and white copies of the same full-size drawings. Based on this master record set of plans, record drawings can be developed by hand or electronically using AutoCAD per the discretion of the County.

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

c. Project Close-out and Transfer of Project Records: In accordance with the Caltrans filing system, CONTRACTOR shall catalog and transmit the following construction documentation to the County for archiving. All contract documents should be digitized and filed electronically.

- Complete hardcopy sets of submittals, RFIs, and CCOs, and associated logs and conformance review letters bound in a three-ring binder along with an electronic copy.
- Complete hardcopy set of daily observation journal entries bound in a three (3)-ring binder along with an electronic copy.
- Complete hardcopy set of survey records.
- Complete hardcopy set of the Labor Compliance Records.
- Complete electronic copy of photo documentation.
- Complete hardcopy set of monthly progress reports bound in a three (3)-ring binder along with an electronic copy.
- Approved “as-built” full-size mylars prepared by the Design Engineer of Record.
- Complete hardcopy set of special inspection reports and associated log that contain the date, quantity/description, location and test results for all special inspections and material tests bound in a three (3)-ring binder along with an electronic copy.
- Copy of all material tags (concrete, rebar, sand, gravel, pipe, conduit, etc.) bound in a three (3)-ring binder along with electronic copy.
- Copy of shop drawings that include all field notes filed by specification.
- Copy of all correspondence bound in three (3)-ring binder and electronic copy.
- Completed Punch List.
- Project acceptance report and written assurance that the work is completed and ready for acceptance by the County.

## B. PAYMENT PROVISIONS

### B.1 COMPENSATION/PAYMENT

County shall pay an amount not to exceed **\$393,328**, for the performance of all things necessary for or incidental to the performance of work as set forth in the Scope of Services. CONTRACTOR's compensation for services rendered shall be based according to the Hourly Billing Rates included in Exhibit 10-H2 Cost Proposal, of the Caltrans LAPM within Exhibit B – Federal Provisions of this Agreement or in accordance with the following terms:

County and CONTRACTOR agree that CONTRACTOR shall be reimbursed for travel expenses during this Agreement. CONTRACTOR shall receive compensation for travel expenses as per the “County Travel Policy”. A copy of the policy is available online at <https://www.co.monterey.ca.us/home/showdocument?id=69364>. To receive reimbursement, CONTRACTOR must provide a detailed breakdown of authorized expenses, identifying what was expended and when.

CONTRACTOR warrants that the cost charged for services under the terms of this Agreement are not in excess of those charged to any other client for the same services performed by the same individuals.

### B.2 CONTRACTOR’S BILLING PROCEDURES

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

Payment shall be based upon satisfactory acceptance of services.

Invoices under this Agreement shall be submitted monthly and promptly, and in accordance with Section 6, "Payment Conditions", of the Agreement. All invoices shall reference the Multi-Year Agreement (MYA) number, Project name, and/or services, and associated Delivery Order (DO) number, and an original hardcopy shall be sent to the following address or via email to [PWFP-Finance-AP@co.monterey.ca.us](mailto:PWFP-Finance-AP@co.monterey.ca.us):

County of Monterey  
Department of Public Works, Facilities, & Parks (PWFP) – Finance Division  
1441 Schilling Place, South 2<sup>nd</sup> Floor  
Salinas, California 93901-4527

Any questions pertaining to invoices under this Agreement shall be directed to the PWFP Finance Division at (831) 755-4800 or via email to: [PWFP-Finance-AP@co.monterey.ca.us](mailto:PWFP-Finance-AP@co.monterey.ca.us).

County may, in its sole discretion, terminate the Agreement or withhold payments claimed by CONTRACTOR for services rendered if CONTRACTOR fails to satisfactorily comply with any term or condition of this Agreement.

No payments in advance or in anticipation of services or supplies to be provided under this Agreement shall be made by County.

County shall not pay any claims for payment for services submitted more than twelve (12) months after the calendar month in which the services were completed.

**DISALLOWED COSTS:** CONTRACTOR is responsible for any audit exceptions or disallowed costs incurred by its own organization or that of its subcontractors.

**EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

In compliance with RFP #10804, which uses Federal Highway Administration (FHWA) funds, the CONTRACTOR will be required to comply with Federal Provisions. Federal Provisions, Exhibit B, attached hereto, shall be completed by CONTRACTOR and submitted to COUNTY for approval prior to COUNTY issuing CONTRACTOR with a Notice to Proceed for the Project.

**EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

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**EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

**Article I - 1 Contact Information**

County Project Manager

Name: José L. Gómez

Title: Project Manager II

Address: 1441 Schilling Place, South 2nd Floor

Salinas, CA 93901

Telephone Number: (831) 755-4816

Contractor Project Manager

Name: Ronald Oen, PE, QSD

Title: Construction Manager/Resident Engineer

Address: 865 The Alameda

San Jose, CA 95126

Telephone Number: (408) 296-5515

**Article I - 2 Terms**

All references to "Contract" herein shall include the County of Monterey Agreement for Professional Services.

**Article II Contractor's Reports or Meetings**

The selected option shall apply to this contract:

Option 1 – For standard contracts:

- A. CONTRACTOR shall submit progress reports at least once a month. The report should be sufficiently detailed for the COUNTY's Contract Administrator to determine, if CONTRACTOR is performing to expectations, or is on schedule; to provide communication of interim findings, and to sufficiently address any difficulties or special problems encountered, so remedies can be developed.
- B. CONTRACTOR's Project Manager shall meet with COUNTY's Contract Administrator, as needed, to discuss progress on the contract.

Option 2 – For on-call contracts:

- A. CONTRACTOR shall submit progress reports on each specific project in accordance with the Task Order. These reports shall be submitted at least once a month. The report should be sufficiently detailed for COUNTY's Contract Administrator or Project Coordinator to determine, if CONTRACTOR is performing to expectations, or is on schedule; to provide communication of interim findings, and to sufficiently address any difficulties or special problems encountered, so remedies can be developed.
- B. CONTRACTOR's Project Manager shall meet with COUNTY's Contract Administrator or Project Coordinator, as needed, to discuss progress on the project(s).

**Article III Statement of Work**

A. CONTRACTOR Services

Not Applicable to this Contract

CONTRACTOR shall provide services as described in the Scope of Services, Exhibit. The Scope of Services must include reference to the appropriate standards for design or other standards for work performance and acceptance criteria. The CONTRACTOR/engineer must sign the plans,

## CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL

specifications and estimate (PS&E), furnish engineering data including registration number.

Environmental documents are not considered complete until a Caltrans District Senior Environmental Planner signs the Categorical Exclusion, a Caltrans Deputy District Director signs the Finding of No Significant Impact, or the Caltrans District Director signs the Record of Decision (see LAPM Chapter 6: Environmental Procedures, and the Standard Environmental Reference).

- B. Right of Way  Not Applicable to this Contract  
CONTRACTOR shall provide right of way services as described in the Scope of Services, Exhibit N/A. The Scope of Services must include Right of Way requirements to be determined and shown by CONTRACTOR, land surveys and computations with metes and bounds descriptions to be made, and Right of Way parcel maps to be furnished.
- C. Surveys  Not Applicable to this Contract  
CONTRACTOR shall provide services for surveys as described in the Scope of Services, Exhibit A. The Scope of Services must include CONTRACTOR's responsibility to perform preliminary or construction surveys.
- D. Subsurface Investigations  Not Applicable to this Contract  
CONTRACTOR shall provide services for subsurface investigations as described in the Scope of Services, Exhibit A. The Scope of Services must include CONTRACTOR's responsibility to perform subsurface investigations. If borings or other specialized services are to be made by others under the supervision of CONTRACTOR, appropriate provisions are to be incorporated. Archaeological testing and data recovery guidance can be found in the Standard Environmental Reference.
- E. COUNTY Obligations  Not Applicable to this Contract  
All data applicable to the project and in possession of COUNTY, another agency, or government agency that are to be made available to CONTRACTOR are referred to in the Contract including any other assistance or services to be furnished to CONTRACTOR. Please refer to Scope of Service, Exhibit A.
- F. Conferences, Site Visits, Inspection of Work  Not Applicable to this Contract  
CONTRACTOR shall provide services for conferences, site visits and inspection work as described in the Scope of Services, Exhibit A. The Scope of Services must include conferences as needed, visits to the site, and inspection of the work by representatives of the COUNTY, State, and/or FHWA. Costs incurred by CONTRACTOR for meetings, subsequent to the initial meeting shall be included in the fee.
- G. Checking Shop Drawings  Not Applicable to this Contract  
CONTRACTOR shall provide services for checking shop drawings as described in the Scope of Services, Exhibit A. The Scope of Services must include provisions requiring CONTRACTOR to prepare construction drawings, and check shop drawings. Payment for checking shop drawings by CONTRACTOR is included in the Contract fee.

**EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

- H. CONTRACTOR Services During Construction  Not Applicable to this Contract  
CONTRACTOR shall provide services during construction as described in the Scope of Services, Exhibit A. The Scope of Services by CONTRACTOR during construction such as material testing, construction surveys, design support, environmental support etc., are specified in the CONTRACT together with the method of payment for such services.
- I. Documentation and Schedules  Not Applicable to this Contract  
CONTRACTOR shall provide services for documentation and schedule as described in the Scope of Services, Exhibit A. CONTRACTOR must document the results of the work to the satisfaction of COUNTY, and if applicable, the State and FHWA. This include preparation of progress and final reports, plans, specifications and estimates, or similar evidence of attainment of the contract objectives.
- J. Deliverables and Number of Copies  Not Applicable to this Contract  
CONTRACTOR shall provide services for the number of copies or documents to be furnished as described in the Scope of Services, Exhibit such as reports, brochures, sets of plans, specifications, or specified Right of Way parcel maps. Please refer to Scope of Services, Exhibit A on payment provisions for additional copies.

**MANDATORY FISCAL AND FEDERAL PROVISIONS**

**Article IV Performance Period (Verbatim)**

- A. This contract shall go into effect on April 1, 2022 contingent upon approval by COUNTY, and CONTRACTOR shall commence work after notification to proceed by COUNTY Contract Administrator. The contract shall end on March 31, 2025 unless extended by contract amendment.
- B. CONTRACTOR is advised that any recommendation for contract award is not binding on COUNTY until the contract is fully executed and approved by COUNTY.

For on-call contracts, paragraph C below applies, in addition to paragraph A & B above.

- C. The period of performance for each specific project shall be in accordance with the Task Order for that project. If work on a Task Order is in progress on the expiration date of this contract, the terms of the contract shall be extended by contract amendment prior to the expiration of the contract to cover the time needed to complete the task order in progress only. The maximum term shall not exceed five (5) years.

**Article V Allowable Costs and Payments (Verbatim)**

The selected option shall apply to this contract:

Exhibit 10-H1, Exhibit 10-H2 and Exhibit 10-H3 in fillable format can be downloaded at the following website:

<https://dot.ca.gov/-/media/dot-media/programs/local-assistance/documents/lapm/c10/10h.pdf>

**EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

Option 1 – Actual Cost-Plus-Fixed Fee (Use Exhibit 10-H1 Cost Proposal Format)

The method of payment for this contract will be based on actual cost plus a fixed fee. COUNTY will reimburse CONTRACTOR for actual costs (including labor costs, employee benefits, travel, equipment rental costs, overhead and other direct costs) incurred by CONTRACTOR in performance of the work. CONTRACTOR will not be reimbursed for actual costs that exceed the estimated wage rates, employee benefits, travel, equipment rental, overhead, and other estimated costs set forth in the approved CONTRACTOR'S Cost Proposal, unless additional reimbursement is provided for by contract amendment. In no event, will CONTRACTOR be reimbursed for overhead costs at a rate that exceeds COUNTY'S approved overhead rate set forth in the Cost Proposal. In the event, that COUNTY determines that a change to the work from that specified in the Cost Proposal and contract is required, the contract time or actual costs reimbursable by COUNTY shall be adjusted by contract amendment to accommodate the changed work. The maximum total cost as specified in Paragraph "I" shall not be exceeded, unless authorized by contract amendment.

The indirect cost rate established for this contract is extended through the duration of this specific contract. CONTRACTOR'S agreement to the extension of the 1-year applicable period shall not be a condition or qualification to be considered for the work or contract award.

In addition to the allowable incurred costs, COUNTY will pay CONTRACTOR a fixed fee of 10%. The fixed fee is nonadjustable for the term of the contract, except in the event of a significant change in the scope of work and such adjustment is made by contract amendment. Reimbursement for transportation and subsistence costs shall not exceed the rates specified in the approved Cost Proposal.

When milestone cost estimates are included in the approved Cost Proposal, CONTRACTOR shall obtain prior written approval for a revised milestone cost estimate from the Contract Administrator before exceeding such cost estimate.

Progress payments will be made monthly in arrears based on services provided and allowable incurred costs. A pro rata portion of CONTRACTOR'S fixed fee will be included in the monthly progress payments. If CONTRACTOR fails to submit the required deliverable items according to the schedule set forth in Article III Statement of Work, COUNTY shall have the right to delay payment or terminate this Contract in accordance with the provisions of Article VI Termination.

No payment will be made prior to approval of any work, nor for any work performed prior to approval of this contract.

CONTRACTOR will be reimbursed, as promptly as fiscal procedures will permit upon receipt by COUNTY'S Contract Administrator of itemized invoices in triplicate. Invoices shall be submitted no later than forty-five (45) calendar days after the performance of work for which CONTRACTOR is billing. Invoices shall detail the work performed on each milestone and each project as applicable. Invoices shall follow the format stipulated for the approved Cost Proposal and shall reference this contract number and project title. Final invoice must contain the final cost and all credits due COUNTY including any equipment purchased under the provisions of Article XI Equipment

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Purchase of this contract. The final invoice should be submitted within sixty (60) calendar days after completion of CONTRACTOR's work. Invoices shall be mailed to COUNTY's Contract Administrator at the following address:

County of Monterey  
Department of Public Works, Facilities, & Parks  
1441 Schilling Place, South 2<sup>nd</sup> Floor  
Salinas, CA 93901-4527  
Attn: Finance Division

- H. The total amount payable by COUNTY including the fixed fee shall not exceed \$ 393,328.
- I. Salary increases will be reimbursable if the new salary is within the salary range identified in the approved Cost Proposal and is approved by COUNTY's Contract Administrator.

For personnel subject to prevailing wage rates as described in the California Labor Code, all salary increases, which are the direct result of changes in the prevailing wage rates are reimbursable.

- J. All subcontracts in excess of \$25,000 shall contain the above provisions.

Option 2 – Cost per Unit of Work (Use Exhibit 10-H3 Cost Proposal Format)

- A. The method of payment for the following items shall be at the rate specified for each item, as described in this Article. The specified rate shall include full compensation to CONTRACTOR for the item as described, including but not limited to, any repairs, maintenance, or insurance, and no further compensation will be allowed, therefore.
- B. The specified rate to be paid for vehicle expense for CONTRACTOR's field personnel shall be ~~per approved Cost Proposal~~. This rate shall be for a fully equipped vehicle(s) specified in Article III Statement of Work, as applicable with radio and flashing yellow light (if needed), as specified in Article II - 2 of this contract.  
The specified rate to be paid for equipment shall be, as listed in Attachment\_\_\_\_\_.
- C. The method of payment for this contract, except those items to be paid for on a specified rate basis, will be based on cost per unit of work. COUNTY will reimburse CONTRACTOR for actual costs (including labor costs, employee benefits, travel, equipment-rental costs, overhead and other direct costs) incurred by CONTRACTOR in performance of the work. CONTRACTOR will not be reimbursed for actual costs that exceed the estimated wage rates, employee benefits, travel, equipment rental, overhead and other estimated costs set forth in the approved Cost Proposal, unless additional reimbursement is provided for, by contract amendment. In no event, will CONTRACTOR be reimbursed for overhead costs at a rate that exceeds COUNTY approved overhead rate set forth in the approved Cost Proposal. In the event, COUNTY determines that changed work from that specified in the approved Cost Proposal and contract is required; the actual costs reimbursable by COUNTY may be adjusted by contract amendment to accommodate



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the changed work. The maximum total cost as specified in Paragraph “I,” shall not be exceeded unless authorized by contract amendment.

- D. Reimbursement for transportation and subsistence costs shall not exceed the rates specified in the approved Cost Proposal.
- E. When milestone cost estimates are included in the approved Cost Proposal, CONTRACTOR shall obtain prior written approval for a revised milestone cost estimate from the Contract Administrator before exceeding such cost estimate.
- F. Progress payments will be made monthly in arrears based on services provided and allowable incurred costs. A pro rata portion of CONTRACTOR’s fixed fee will be included in the monthly progress payments. If CONTRACTOR fails to submit the required deliverable items according to the schedule set forth in Article III Statement of Work, COUNTY shall have the right to delay payment or terminate this Contract in accordance with the provisions of Article VI Termination.
- G. No payment will be made prior to approval of any work, nor for any work performed prior to approval of this contract.
- H. CONTRACTOR will be reimbursed, as promptly as fiscal procedures will permit upon receipt by COUNTY’s Contract Administrator of itemized invoices in triplicate. Invoices shall be submitted no later than forty-five (45) calendar days after the performance of work for which CONTRACTOR is billing. Invoices shall detail the work performed on each milestone and each project as applicable. Invoices shall follow the format stipulated for the approved Cost Proposal and shall reference this contract number and project title. Final invoice must contain the final cost and all credits due COUNTY including any equipment purchased under the provisions of Article XI Equipment Purchase of this contract. The final invoice should be submitted within 60 calendar days after completion of CONTRACTOR’s work. Invoices shall be mailed to COUNTY’s Contract Administrator at the following address:  
  
County of Monterey  
Department of Public Works, Facilities, & Parks  
1441 Schilling Place, South 2<sup>nd</sup> Floor  
Salinas, CA 93901-4527  
Attn: Finance Division
- I. The total amount payable by COUNTY including the fixed fee shall not exceed \$\_\_\_\_\_.
- J. Salary increases will be reimbursable if the new salary is within the salary range identified in the approved Cost Proposal and is approved by COUNTY’s Contract Administrator.  
For personnel subject to prevailing wage rates as described in the California Labor Code, all salary increases, which are the direct result of changes in the prevailing wage rates are reimbursable.
- K. All subcontracts in excess of \$25,000 shall contain the above provisions.

Option 3 – Specific Rates of Compensation (Use Exhibit 10-H2 Cost Proposal Format)

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- A. CONTRACTOR will be reimbursed for hours worked at the hourly rates specified in CONTRACTORs approved Cost Proposal. The specified hourly rates shall include direct salary costs, employee benefits, prevailing wages, employer payments, overhead, and fee. These rates are not adjustable for the performance period set forth in this Contract. Contractor will be reimbursed within forty-five (45) calendar days upon receipt by COUNTY’s Contract Administrator of itemized invoices in triplicate.
- B. In addition, CONTRACTOR will be reimbursed for incurred (actual) direct costs other than salary costs that are in the approved cost proposal and identified in the approved cost proposal and in the executed Task Order.
- C. Specific projects will be assigned to CONTRACTOR through issuance of Task Orders.
- D. After a project to be performed under this contract is identified by COUNTY, COUNTY will prepare a draft Task Order; less the cost estimate. A draft Task Order will identify the scope of services, expected results, project deliverables, period of performance, project schedule and will designate a COUNTY Project Coordinator. The draft Task Order will be delivered to CONTRACTOR for review. CONTRACTOR shall return the draft Task Order within ten (10) calendar days along with a Cost Estimate, including a written estimate of the number of hours and hourly rates per staff person, any anticipated reimbursable expenses, overhead, fee if any, and total dollar amount. After agreement has been reached on the negotiable items and total cost; the finalized Task Order shall be signed by both COUNTY and CONTRACTOR.
- E. Task Orders may be negotiated for a lump sum (\$\_\_\_\_\_ ) or for specific rates of compensation, both of which must be based on the labor and other rates set forth in CONTRACTOR’s approved Cost Proposal.

CONTRACTOR shall be responsible for any future adjustments to prevailing wage rates including but not limited to, base hourly rates and employer payments as determined by the Department of Industrial Relations. CONTRACTOR is responsible for paying the appropriate rate, including escalations that take place during the term of the contract.

- F. Reimbursement for transportation and subsistence costs shall not exceed the rates as specified in the approved Cost Proposal. CONTRACTOR will be responsible for transportation and subsistence costs in excess of State rates.
- G. When milestone cost estimates are included in the approved Cost Proposal, CONTRACTOR shall obtain prior written approval in the form of a contract amendment for a revised milestone cost estimate from the Contract Administrator before exceeding such estimate.
- H. Progress payments for each Task Order will be made monthly in arrears based on services provided and actual costs incurred.
- I. CONTRACTOR shall not commence performance of work or services until this contract has been approved by COUNTY, and notification to proceed has been issued by COUNTY’S Contract Administrator. No payment will be made prior to approval or for any work performed prior to approval of this contract.

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- J. A Task Order is of no force or effect until returned to COUNTY and signed by an authorized representative of COUNTY. No expenditures are authorized on a project and work shall not commence until a Task Order for that project has been executed by COUNTY.
- K. CONTRACTOR will be reimbursed, as promptly as fiscal procedures will permit upon receipt by COUNTY'S Contract Administrator of itemized invoices in triplicate. Separate invoices itemizing all costs are required for all work performed under each Task Order. Invoices shall be submitted no later than forty-five (45) calendar days after the performance of work for which CONTRACTOR is billing, or upon completion of the Task Order. Invoices shall detail the work performed on each milestone, on each project as applicable. Invoices shall follow the format stipulated for the approved Cost Proposal and shall reference this contract number, project title and Task Order number. Credits due COUNTY that include any equipment purchased under the provisions of Article XI Equipment Purchase of this contract, must be reimbursed by CONTRACTOR prior to the expiration or termination of this contract. Invoices shall be mailed to COUNTY's Contract Administrator at the following address:  
  
County of Monterey  
Department of Public Works, Facilities, & Parks  
1441 Schilling Place, South 2<sup>nd</sup> Floor  
Salinas, CA 93901-4527  
Attn: Finance Division
- L. The period of performance for Task Orders shall be in accordance with dates specified in the Task Order. No Task Order will be written which extends beyond the expiration date of this Contract.
- M. The total amount payable by COUNTY for an individual Task Order shall not exceed the amount agreed to in the Task Order, unless authorized by contract amendment.
- N. If the Contractor fails to satisfactorily complete a deliverable according to the schedule set forth in a Task Order, no payment will be made until the deliverable has been satisfactorily completed.
- O. Task Orders may not be used to amend the language (or terms) of this Agreement and nor to exceed the scope of work under this contract.
- P. The total amount payable by COUNTY for all Task Orders resulting from this contract shall not exceed \$ \_\_\_\_\_. It is understood and agreed that there is no guarantee, either expressed or implied that this dollar amount will be authorized under this contract through Task Orders.
- Q. All subcontracts in excess of \$25,000 shall contain the above provisions.

Option 4 – Lump Sum (Use Exhibit 10-H1 Cost Proposal Format)

- A. The method of payment for this contract will be based on lump sum. The total lump sum price paid to CONTRACTOR will include compensation for all work and deliverables, including travel and equipment described in Article III Statement of Work of this contract. No additional compensation will be paid to CONTRACTOR, unless there is a change in the scope of the work or

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the scope of the project. In the instance of a change in the scope of work or scope of the project, adjustment to the total lump sum compensation will be negotiated between CONTRACTOR and COUNTY. Adjustment in the total lump sum compensation will not be effective until authorized by contract amendment and approved by COUNTY.

- B. Progress payments may be made monthly in arrears based on the percentage of work completed by CONTRACTOR. If CONTRACTOR fails to submit the required deliverable items according to the schedule set forth in Article III Statement of Work, COUNTY shall have the right to delay payment or terminate this Contract in accordance with the provisions of Article VI Termination.
- C. CONTRACTOR shall not commence performance of work or services until this contract has been approved by COUNTY and notification to proceed has been issued by COUNTY'S Contract Administrator. No payment will be made prior to approval of any work, or for any work performed prior to approval of this contract.
- D. CONTRACTOR will be reimbursed, as promptly as fiscal procedures will permit, upon receipt by COUNTY'S Contract Administrator of itemized invoices in triplicate. Invoices shall be submitted no later than forty-five (45) calendar days after the performance of work for which CONTRACTOR is billing. Invoices shall detail the work performed on each milestone, on each project as applicable. Invoices shall follow the format stipulated for the Cost Proposal and shall reference this contract number and project title. Final invoice must contain the final cost and all credits due COUNTY that include any equipment purchased under the provisions of Article XI Equipment Purchase of this contract. The final invoice should be submitted within sixty (60) calendar days after completion of CONTRACTOR's work. Invoices shall be mailed to COUNTY's Contract Administrator at the following address:

County of Monterey  
Department of Public Works, Facilities, & Parks  
1441 Schilling Place, South 2<sup>nd</sup> Floor  
Salinas, CA 93901-4527  
Attn: Finance Division

- E. The total amount payable by COUNTY shall not exceed \$\_\_\_\_\_.
- F. All subcontracts in excess of \$25,000 shall contain the above provisions.

**Article VI Termination (Verbatim)**

- A. This contract may be terminated by COUNTY, provided that COUNTY gives not less than thirty (30) calendar days' written notice (delivered by certified mail, return receipt requested) of intent to terminate. Upon termination, COUNTY shall be entitled to all work, including but not limited to, reports, investigations, appraisals, inventories, studies, analyses, drawings and data estimates performed to that date, whether completed or not, and in accordance with Section 15, Property of COUNTY.

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- B. COUNTY may terminate this contract with CONTRACTOR should CONTRACTOR fail to perform the covenants herein contained at the time and in the manner herein provided. In the event of such termination, COUNTY may proceed with the work in any manner deemed proper by COUNTY. If COUNTY terminates this contract with CONTRACTOR, COUNTY shall pay CONTRACTOR the sum due to CONTRACTOR under this contract prior to termination, unless the cost of completion to COUNTY exceeds the funds remaining in the contract. In which case the overage shall be deducted from any sum due CONTRACTOR under this contract and the balance, if any, shall be paid to CONTRACTOR upon demand.
- C. The maximum amount for which the Government shall be liable if this contract is terminated is 0 dollars.
- D. COUNTY may temporarily suspend this contract, at no additional cost to COUNTY, provided that CONTRACTOR is given written notice (delivered by certified mail, return receipt requested) of temporary suspension. If COUNTY gives such notice of temporary suspension, CONTRACTOR shall immediately suspend its activities under this contract. A temporary suspension may be issued concurrent with the notice of termination provided for in subsection A of this section.
- E. Notwithstanding any provisions of this contract, CONTRACTOR shall not be relieved of liability to COUNTY for damages sustained by COUNTY by virtue of any breach of this contract by CONTRACTOR, and COUNTY may withhold any payments due to CONTRACTOR until such time as exact amount of damage, if any, due COUNTY from CONTRACTOR is determined.
- F. In the event of termination, CONTRACTOR shall be compensated as provided for in this contract, except as provided in Section 11C. Upon termination, COUNTY shall be entitled to all work, including but not limited to, reports, investigations, appraisals, inventories, studies, analyses, drawings and data estimates performed to that date, whether completed or not, and in accordance with Section 15, Property of COUNTY.

**Article VII Cost Principles and Administrative Requirements (Verbatim)**

- A. CONTRACTOR agrees that the Contract Cost Principles and Procedures, 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31.000 et seq., shall be used to determine the cost allow ability of individual items.
- B. CONTRACTOR also agrees to comply with Federal procedures in accordance with 2 CFR, Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.
- C. Any costs for which payment has been made to CONTRACTOR that are determined by subsequent audit to be unallowable under 2 CFR Part 200 and 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31.000 et seq., are subject to repayment by CONTRACTOR to COUNTY.
- D. All subcontracts in excess of \$25,000 shall contain the above provisions.

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### Article VIII Retention of Records/Audit (Verbatim)

For the purpose of determining compliance with Public Contract Code 10115, et seq. and Title 21, California Code of Regulations, Chapter 21, Section 2500 et seq., when applicable and other matters connected with the performance of the contract pursuant to Government Code 8546.7; the CONTRACTOR, subcontractors, and COUNTY shall maintain and make available for inspection all books, documents, papers, accounting records, Independent CPA Audited Indirect Cost Rate work papers, and other evidence pertaining to the performance of the contract, including but not limited to, the costs of administering the contract. All parties, including the CONTRACTOR's Independent CPA, shall make such materials available at their respective offices at all reasonable times during the contract period and for three (3) years from the date of final payment under the contract. The state, Caltrans Auditor, COUNTY, FHWA, or any duly authorized representative of the Federal Government having jurisdiction under Federal laws or regulations (including the basis for Federal funding in whole or in part) shall have access to any books, records, and documents of CONTRACTOR, subcontractor and the CONTRACTOR's Independent certified public accountants (CPA) work papers that are pertinent to the contract for audits, examinations, work paper review, excerpts, and transactions, and copies thereof shall be furnished if requested without limitations. Subcontracts in excess of \$25,000 shall contain this provision.

### Article IX Audit Review Procedures

- A. Any dispute concerning a question of fact arising under an interim or post audit of this contract that is not disposed of by agreement, shall be reviewed by COUNTY'S Chief Financial Officer.
- B. Not later than thirty (30) calendar days after issuance of the final audit report, CONTRACTOR may request a review by COUNTY'S Chief Financial Officer of unresolved audit issues. The request for review will be submitted in writing.
- C. Neither the pendency of a dispute nor its consideration by COUNTY will excuse CONTRACTOR from full and timely performance, in accordance with the terms of this contract.
- D. CONTRACTOR and subcontractor contracts, including cost proposals and Indirect Cost Rates (ICR), may be subject to audits or reviews such as, but not limited to, a contract audit, an incurred cost audit, an ICR Audit, or a CPA ICR audit work paper review. If selected for audit or review, the contract, cost proposal and ICR and related work papers, if applicable, will be reviewed to verify compliance with 48 CFR, Part 31 and other related laws and regulations. In the instances of a CPA ICR audit work paper review, it is CONTRACTOR's responsibility to ensure federal, state, COUNTY or local government officials are allowed full access to the CPA's work papers including making copies as necessary. The contract, cost proposal, and ICR shall be adjusted by CONTRACTOR and approved by COUNTY Contract Administrator to conform to the audit or review recommendations. CONTRACTOR agrees that individual terms of costs identified in the audit report shall be incorporated into the contract by this reference if directed by COUNTY at its sole discretion. Refusal by CONTRACTOR to incorporate audit or review recommendations, or to ensure that the federal, COUNTY or local governments have access to CPA work papers, will be

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considered a breach of contract terms and cause for termination of the contract and disallowance of prior reimbursed costs.

- E. CONTRACTOR's Cost Proposal may be subject to a CPA ICR Audit Work Paper Review and/or audit by the Independent Office of Audits and Investigations (IOAI). IOAI, at its sole discretion, may review and/or audit and approve the CPA ICR documentation. The Cost Proposal shall be adjusted by the CONTRACTOR and approved by the COUNTY Contract Administrator to conform to the Work Paper Review recommendations included in the management letter or audit recommendations included in the audit report. Refusal by the CONTRACTOR to incorporate the Work Paper Review recommendations included in the management letter or audit recommendations included in the audit report will be considered a breach of the contract terms and cause for termination of the contract and disallowance of prior reimbursed costs.

1. During IOAI's review of the ICR audit work papers created by the CONTRACTOR's independent CPA, IOAI will work with the CPA and/or CONTRACTOR toward a resolution of issues that arise during the review. Each party agrees to use its best efforts to resolve any audit disputes in a timely manner. If IOAI identifies significant issues during the review and is unable to issue a cognizant approval letter, COUNTY will reimburse the CONTRACTOR at an accepted ICR until a FAR (Federal Acquisition Regulation) compliant ICR {e.g. 48 CFR, Part 31; GAGAS (Generally Accepted Government Auditing Standards); CAS (Cost Accounting Standards), if applicable; in accordance with procedures and guidelines of the American Association of State Highways and Transportation Officials (AASHTO) Audit Guide; and other applicable procedures and guidelines} is received and approved by IOAI.

Accepted rates will be as follows:

- a. If the proposed rate is less than one hundred fifty percent (150%) - the accepted rate reimbursed will be ninety percent (90%) of the proposed rate.
  - b. If the proposed rate is between one hundred fifty percent (150%) and two hundred percent (200%) - the accepted rate will be eighty-five percent (85%) of the proposed rate.
  - c. If the proposed rate is greater than two hundred percent (200%) - the accepted rate will be seventy-five percent (75%) of the proposed rate.
2. If IOAI is unable to issue a cognizant letter per paragraph E.1. above, IOAI may require CONTRACTOR to submit a revised independent CPA-audited ICR and audit report within three (3) months of the effective date of the management letter. IOAI will then have up to six (6) months to review the CONTRACTOR's and/or the independent CPA's revisions.
  3. If the CONTRACTOR fails to comply with the provisions of this paragraph E, or if IOAI is still unable to issue a cognizant approval letter after the revised independent CPA-audited ICR is submitted, overhead cost reimbursement will be limited to the

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accepted ICR that was established upon initial rejection of the ICR and set forth in paragraph E.1. above for all rendered services. In this event, this accepted ICR will become the actual and final ICR for reimbursement purposes under this contract.

4. CONTRACTOR may submit to COUNTY final invoice only when all of the following items have occurred: (1) IOAI accepts or adjusts the original or revised independent CPA-audited ICR; (2) all work under this contract has been completed to the satisfaction of COUNTY; and, (3) IOAI has issued its final ICR review letter. The CONTRACTOR MUST SUBMIT ITS FINAL INVOICE TO County no later than sixty (60) calendar days after occurrence of the last of these items. The accepted ICR will apply to this contract and all other contracts executed between COUNTY and the CONTRACTOR, either as a prime or subcontractor, with the same fiscal period ICR.

### Article X Subcontracting

- A. Nothing contained in this contract or otherwise, shall create any contractual relation between COUNTY and any subcontractor(s), and no subcontract shall relieve CONTRACTOR of its responsibilities and obligations hereunder. CONTRACTOR agrees to be as fully responsible to COUNTY for the acts and omissions of its subcontractor(s) and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by CONTRACTOR. CONTRACTOR'S obligation to pay its subcontractor(s) is an independent obligation from COUNTY'S obligation to make payments to the CONTRACTOR.
- B. CONTRACTOR shall perform the work contemplated with resources available within its own organization and no portion of the work shall be subcontracted without written authorization by COUNTY's Contract Administrator, except that, which is expressly identified in the CONTRACTOR's approved Cost Proposal.
- C. Any subagreement entered into as a result of this contract shall contain all the provisions stipulated in this entire contract to be applicable to subcontractors unless otherwise noted.
- D. CONTRACTOR shall pay its subcontractors within Fifteen (15) calendar days from receipt of each payment made to the CONTRACTOR by the COUNTY.
- E. Any substitution of subcontractor(s) must be approved in writing by COUNTY's Contract Administrator in advance of assigning work to a substitute subcontractor(s).
- F. Prompt Progress Payment

CONTRACTOR or subcontractor shall pay to any subcontractor, not later than fifteen (15) days after receipt of each progress payment, unless otherwise agreed to in writing, the respective amounts allowed CONTRACTOR on account of the work performed by the subcontractors, to the extent of each subcontractor's interest therein. In the event that there is a good faith dispute over all or any portion of the amount due on a progress payment from CONTRACTOR or subcontractor to a subcontractor, CONTRACTOR or



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subcontractor may withhold no more than 150 percent of the disputed amount. Any violation of this requirement shall constitute a cause for disciplinary action and shall subject the licensee to a penalty, payable to the subcontractor, of 2 percent of the amount due per month for every month that payment is not made.

In any action for the collection of funds wrongfully withheld, the prevailing party shall be entitled to his or her attorney's fees and costs. The sanctions authorized under this requirement shall be separate from, and in addition to, all other remedies, either civil, administrative, or criminal. This clause applies to both DBE and non-DBE subcontractors.

**G. Prompt Payment of Withheld Funds to subcontractors**

The COUNTY may hold retainage from CONTRACTOR and shall make prompt and regular incremental acceptances of portions, as determined by the COUNTY, of the contract work, and pay retainage to CONTRACTOR based on these acceptances. The COUNTY shall designate one of the methods below in the contract to ensure prompt and full payment of any retainage kept by CONTRACTOR or subcontractor to a subcontractor.

(Choose either Method 1, Method 2, or Method 3 below and delete the other two.)

**Method 1:** No retainage will be held by the COUNTY from progress payments due to CONTRACTOR. CONTRACTORS and subcontractors are prohibited from holding retainage from subcontractors. Any delay or postponement of payment may take place only for good cause and with the COUNTY's prior written approval. Any violation of these provisions shall subject the violating CONTRACTOR or subcontractor to the penalties, sanctions, and other remedies specified in Section 3321 of the California Civil Code. This requirement shall not be construed to limit or impair any contractual, administrative or judicial remedies, otherwise available to CONTRACTOR or subcontractor in the event of a dispute involving late payment or nonpayment by CONTRACTOR, deficient subcontractor performance and/or noncompliance by a subcontractor. This clause applies to both DBE and non-DBE subcontractors.

**Method 2:** No retainage will be held by the COUNTY from progress payments due to CONTRACTOR. Any retainage kept by CONTRACTOR or by a subcontractor must be paid in full to the earning subcontractor within 15 days after the subcontractor's work is satisfactorily completed. Any delay or postponement of payment may take place only for good cause and with the COUNTY's prior written approval. Any violation of these provisions shall subject the violating CONTRACTOR or subcontractor to the penalties, sanctions, and remedies specified in Section 3321 of the California Civil Code. This requirement shall not be construed to limit or impair any contractual, administrative or judicial remedies, otherwise available to CONTRACTOR or subcontractor in the event of a dispute involving late payment or nonpayment by CONTRACTOR, deficient subcontractor performance and/or noncompliance by a subcontractor. This clause applies to both DBE and non-DBE subcontractors.

**Method 3:** The COUNTY shall hold retainage from CONTRACTOR and shall make prompt and regular incremental acceptances of portions, as determined by the COUNTY of the contract work and pay retainage to CONTRACTOR based on these acceptances. CONTRACTOR or subcontractor shall return all monies withheld in retention from all subcontractors within 15 days after receiving payment for work satisfactorily completed and accepted including

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incremental acceptances of portions of the contract work by the COUNTY. Any delay or postponement of payment may take place only for good cause and with the COUNTY's prior written approval. Any violation of these provisions shall subject the violating CONTRACTOR or subcontractor to the penalties, sanctions, and other remedies specified in Section 3321 of the California Civil Code. This requirement shall not be construed to limit or impair any contractual, administrative or judicial remedies otherwise available to CONTRACTOR or subcontractor in the event of a dispute involving late payment or nonpayment by CONTRACTOR; deficient subcontractor performance and/or noncompliance by a subcontractor. This clause applies to both DBE and non-DBE subcontractors.

Any violation of these provisions shall subject the violating CONTRACTOR or subcontractor to the penalties, sanctions and other remedies specified therein. These requirements shall not be construed to limit or impair any contractual, administrative, or judicial remedies otherwise available to CONTRACTOR or subcontractor in the event of a dispute involving late payment or nonpayment by CONTRACTOR, deficient subcontract performance, or noncompliance by a subcontractor.

#### Article XI Equipment Purchase (Verbatim)

- A. Prior authorization in writing, by COUNTY's Contract Administrator shall be required before CONTRACTOR enters into any unbudgeted purchase order, or subcontract exceeding five thousand dollars (\$5,000) for supplies, equipment, or CONTRACTOR services. CONTRACTOR shall provide an evaluation of the necessity or desirability of incurring such costs.
- B. For purchase of any item, service or consulting work not covered in CONTRACTOR's approved Cost Proposal and exceeding five thousand dollars (\$5,000) with prior authorization by COUNTY's Contract Administrator; three competitive quotations must be submitted with the request, or the absence of bidding must be adequately justified.
- C. Any equipment purchased with funds provided under the terms of this contract is subject to the following: "CONTRACTOR shall maintain an inventory of all nonexpendable property. Nonexpendable property is defined as having a useful life of at least two years and an acquisition cost of five thousand dollars (\$5,000) or more. If the purchased equipment needs replacement and is sold or traded in, COUNTY shall receive a proper refund or credit at the conclusion of the contract, or if the contract is terminated, CONTRACTOR may either keep the equipment and credit COUNTY in an amount equal to its fair market value, or sell such equipment at the best price obtainable at a public or private sale, in accordance with established COUNTY procedures; and credit COUNTY in an amount equal to the sales price. If CONTRACTOR elects to keep the equipment, fair market value shall be determined at CONTRACTOR's expense, on the basis of a competent independent appraisal of such equipment. Appraisals shall be obtained from an appraiser mutually agreeable to by COUNTY and CONTRACTOR, if it is determined to sell the equipment, the terms and conditions of such sale must be approved in advance by COUNTY." Regulation 2 CFR Part 200 requires a credit to Federal funds when participating equipment with a fair market value greater than five thousand dollars (\$5,000) is credited to the project.
- D. All subcontracts in excess \$25,000 shall contain the above provisions.

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**Article XII State Prevailing Wage Rates (Verbatim)**

The selected option shall apply to this contract:



Option 1 – For contract where a portion of the proposed work to be performed are crafts affected by state labor laws.

- A. CONTRACTOR shall comply with the State of California’s General Prevailing Wage Rate requirements in accordance with California Labor Code, Section 1770, and all Federal, State, and local laws and ordinances applicable to the work.
- B. Any subcontract entered into as a result of this contract, if for more than \$25,000 for public works construction or more than \$15,000 for the alteration, demolition, repair, or maintenance of public works, shall contain all of the provisions of this Article.
- C. When prevailing wages apply to the services described in the scope of work, transportation and subsistence costs shall be reimbursed at the minimum rates set by the Department of Industrial Relations (DIR) as outlined in the applicable Prevailing Wage Determination. See <http://www.dir.ca.gov>.
- D. No CONTRACTOR or Subcontractor may be awarded a contract containing public work elements unless registered with the Department of Industrial Relations (DIR) pursuant to Labor Code §1725.5. Registration with DIR must be maintained throughout the entire term of this contract, including any subsequent amendments.
- E. The CONTRACTOR shall comply with all of the applicable provisions of the California Labor Code requiring the payment of prevailing wages. The General Prevailing Wage Rate Determinations applicable to work under this contract are available and on file with the Department of Transportation's Regional/District Labor Compliance Officer.  
  
([http://www.dot.ca.gov/hq/construc/LaborCompliance/documents/District-Region\\_Map\\_Construction\\_7-8-15.pdf](http://www.dot.ca.gov/hq/construc/LaborCompliance/documents/District-Region_Map_Construction_7-8-15.pdf)). These wage rates are made a specific part of this contract by reference pursuant to Labor Code §1773.2 and will be applicable to work performed at a construction project site. Prevailing wages will be applicable to all inspection work performed at COUNTY construction sites, at COUNTY facilities and at off-site locations that are set up by the construction contractor or one of its subcontractors solely and specifically to serve COUNTY projects. Prevailing wage requirements do not apply to inspection work performed at the facilities of vendors and commercial materials suppliers that provide goods and services to the general public.
- F. General Prevailing Wage Rate Determinations applicable to this project may also be obtained from the Department of Industrial Relations Internet site at <http://www.dir.ca.gov>.

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**G. Payroll Records**

1. Each CONTRACTOR and Subcontractor shall keep accurate certified payroll records and supporting documents as mandated by Labor Code §1776 and as defined in 8 CCR §16000 showing the name, address, social security number, work classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by the CONTRACTOR or Subcontractor in connection with the public work. Each payroll record shall contain or be verified by a written declaration that it is made under penalty of perjury, stating both of the following:

- a. The information contained in the payroll record is true and correct.
- b. The employer has complied with the requirements of Labor Code §1771, §1811, and §1815 for any work performed by his or her employees on the public works project.

2. The payroll records enumerated under paragraph (1) above shall be certified as correct by the CONTRACTOR under penalty of perjury. The payroll records and all supporting documents shall be made available for inspection and copying by COUNTY representatives at all reasonable hours at the principal office of the CONTRACTOR. The CONTRACTOR shall provide copies of certified payrolls or permit inspection of its records as follows:

- a. A certified copy of an employee's payroll record shall be made available for inspection or furnished to the employee or the employee's authorized representative on request.
- b. A certified copy of all payroll records enumerated in paragraph (1) above, shall be made available for inspection or furnished upon request to a representative of COUNTY, the Division of Labor Standards Enforcement and the Division of Apprenticeship Standards of the Department of Industrial Relations. Certified payrolls submitted to COUNTY, the Division of Labor Standards Enforcement and the Division of Apprenticeship Standards shall not be altered or obliterated by the CONTRACTOR.
- c. The public shall not be given access to certified payroll records by the CONTRACTOR. The CONTRACTOR is required to forward any requests for certified payrolls to the COUNTY Contract Administrator by both email and regular mail on the business day following receipt of the request.

3. Each CONTRACTOR shall submit a certified copy of the records enumerated in paragraph (1) above, to the entity that requested the records within ten (10) calendar days after receipt of a written request.

4. Any copy of records made available for inspection as copies and furnished upon request to the public or any public agency by COUNTY shall be marked or obliterated in such a manner as to prevent disclosure of each individual's name, address, and social security number. The name and address of the CONTRACTOR or Subcontractor performing the work shall not be marked or obliterated.

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5. The CONTRACTOR shall inform COUNTY of the location of the records enumerated under paragraph (1) above, including the street address, city and county, and shall, within five (5) working days, provide a notice of a change of location and address.

6. The CONTRACTOR or Subcontractor shall have ten (10) calendar days in which to comply subsequent to receipt of written notice requesting the records enumerated in paragraph (1) above. In the event the CONTRACTOR or Subcontractor fails to comply within the ten (10) calendar day period, he or she shall, as a penalty to COUNTY, forfeit one hundred dollars (\$100) for each calendar day, or portion thereof, for each worker, until strict compliance is effectuated. Such penalties shall be withheld by COUNTY from payments then due. CONTRACTOR is not subject to a penalty assessment pursuant to this section due to the failure of a Subcontractor to comply with this section.

H. When prevailing wage rates apply, the CONTRACTOR is responsible for verifying compliance with certified payroll requirements. Invoice payment will not be made until the invoice is approved by the COUNTY Contract Administrator.

I. Penalty

1. The CONTRACTOR and any of its Subcontractor shall comply with Labor Code §1774 and §1775. Pursuant to Labor Code §1775, the CONTRACTOR and any Subcontractor shall forfeit to the COUNTY a penalty of not more than two hundred dollars (\$200) for each calendar day, or portion thereof, for each worker paid less than the prevailing rates as determined by the Director of DIR for the work or craft in which the worker is employed for any public work done under the contract by the CONTRACTOR or by its Subcontractor in violation of the requirements of the Labor Code and in particular, Labor Code §§1770 to 1780, inclusive.

2. The amount of this forfeiture shall be determined by the Labor Commissioner and shall be based on consideration of mistake, inadvertence, or neglect of the CONTRACTOR or Subcontractor in failing to pay the correct rate of prevailing wages, or the previous record of the CONTRACTOR or Subcontractor in meeting their respective prevailing wage obligations, or the willful failure by the CONTRACTOR or Subcontractor to pay the correct rates of prevailing wages. A mistake, inadvertence, or neglect in failing to pay the correct rates of prevailing wages is not excusable if the CONTRACTOR or Subcontractor had knowledge of the obligations under the Labor Code. The CONTRACTOR is responsible for paying the appropriate rate, including any escalations that take place during the term of the AGREEMENT.

3. In addition to the penalty and pursuant to Labor Code §1775, the difference between the prevailing wage rates and the amount paid to each worker for each calendar day or portion thereof for which each worker was paid less than the prevailing wage rate shall be paid to each worker by the CONTRACTOR or Subcontractor.

4. If a worker employed by a Subcontractor on a public works project is not paid the general prevailing per diem wages by the Subcontractor, the prime CONTRACTOR of the project is not liable for the penalties described above unless the prime CONTRACTOR had knowledge of that failure of the Subcontractor to pay the specified prevailing rate of wages to those workers or

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unless the prime CONTRACTOR fails to comply with all of the following requirements:

- a. The AGREEMENT executed between the CONTRACTOR and the Subcontractor for the performance of work on public works projects shall include a copy of the requirements in Labor Code §§ 1771, 1775, 1776, 1777.5, 1813, and 1815.
- b. The CONTRACTOR shall monitor the payment of the specified general prevailing rate of per diem wages by the Subcontractor to the employees by periodic review of the certified payroll records of the Subcontractor.
- c. Upon becoming aware of the Subcontractor's failure to pay the specified prevailing rate of wages to the Subcontractor's workers, the CONTRACTOR shall diligently take corrective action to halt or rectify the failure, including but not limited to, retaining sufficient funds due the Subcontractor for work performed on the public works project.
- d. Prior to making final payment to the Subcontractor for work performed on the public works project, the CONTRACTOR shall obtain an affidavit signed under penalty of perjury from the Subcontractor that the Subcontractor had paid the specified general prevailing rate of per diem wages to the Subcontractor's employees on the public works project and any amounts due pursuant to Labor Code §1813.

5. Pursuant to Labor Code §1775, COUNTY shall notify the CONTRACTOR on a public works project within fifteen (15) calendar days of receipt of a complaint that a Subcontractor has failed to pay workers the general prevailing rate of per diem wages.

6. If COUNTY determines that employees of a Subcontractor were not paid the general prevailing rate of per diem wages and if COUNTY did not retain sufficient money under the contract to pay those employees the balance of wages owed under the general prevailing rate of per diem wages, the CONTRACTOR shall withhold an amount of moneys due the Subcontractor sufficient to pay those employees the general prevailing rate of per diem wages if requested by COUNTY.

J. Hours of Labor

Eight (8) hours labor constitutes a legal day's work. The CONTRACTOR shall forfeit, as a penalty to the COUNTY, twenty-five dollars (\$25) for each worker employed in the execution of the contract by the CONTRACTOR or any of its Subcontractors for each calendar day during which such worker is required or permitted to work more than eight (8) hours in any one calendar day and forty (40) hours in any one calendar week in violation of the provisions of the Labor Code, and in particular §§1810 to 1815 thereof, inclusive, except that work performed by employees in excess of eight (8) hours per day, and forty (40) hours during any one week, shall be permitted upon compensation for all hours worked in excess of eight (8) hours per day and forty (40) hours in any week, at not less than one and one-half (1.5) times the basic rate of pay, as provided in §1815.

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K. Employment of Apprentices

1. Where either the prime contract or the subcontract exceeds thirty thousand dollars (\$30,000), the CONTRACTOR and any subcontractors under him or her shall comply with all applicable requirements of Labor Code §§ 1777.5, 1777.6 and 1777.7 in the employment of apprentices.

2. CONTRACTORS and subcontractors are required to comply with all Labor Code requirements regarding the employment of apprentices, including mandatory ratios of journey level to apprentice workers. Prior to commencement of work, CONTRACTOR and subcontractors are advised to contact the DIR Division of Apprenticeship Standards website at <https://www.dir.ca.gov/das/>, for additional information regarding the employment of apprentices and for the specific journey-to- apprentice ratios for the contract work. The CONTRACTOR is responsible for all subcontractors' compliance with these requirements. Penalties are specified in Labor Code §1777.7.

Option 2 – For contracts where all of the proposed work is performed by crafts not affected by state labor laws or are not contemplated for use.

A. The State of California's General Prevailing Wage Rates are not applicable to this contract.

Note: The Federal "Payment of Predetermined Minimum Wage" applies only to federal-aid construction contracts.

**Article XIII Conflict of Interest (Verbatim)**

A. During the term of contract, the CONTRACTOR shall disclose any financial, business, or other relationship with COUNTY that may have an impact upon the outcome of this contract, or any ensuing COUNTY construction project. CONTRACTOR shall also list current clients who may have a financial interest in the outcome of this contract, or any ensuing COUNTY construction project, which will follow.

B. CONTRACTOR certifies that it has disclosed to COUNTY any actual, apparent, or potential conflicts of interest that may exist relative to the services to be provided pursuant to this contract. CONTRACTOR agrees to advise COUNTY of any actual, apparent or potential conflicts of interest that may develop subsequent to the date of execution of this contract.

CONTRACTOR further agrees to complete any statements of economic interest if required by either COUNTY ordinance or State law.

C. CONTRACTOR hereby certifies that it does not now have, nor shall it acquire any financial or business interest that would conflict with the performance of services under this contract.

D. Any subcontract in excess of \$25,000 entered into as a result of this contract, shall contain all of the provisions of this Article.

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The selected option below applies to this contract:

Option 1 – PS&E contracts

- E. CONTRACTOR hereby certifies that neither CONTRACTOR, nor any firm affiliated with CONTRACTOR will bid on any construction contract, or on any contract to provide construction inspection for any construction project resulting from this contract. An affiliated firm is one, which is subject to the control of the same persons through joint-ownership, or otherwise.
- F. Except for subcontractors whose services are limited to providing surveying or materials testing information, no subcontractor who has provided design services in connection with this contract shall be eligible to bid on any construction contract, or on any contract to provide construction inspection for any construction project resulting from this contract.

Option 2 – Construction Contract Administration contracts

- G. CONTRACTOR hereby certifies that neither CONTRACTOR, its employees, nor any firm affiliated with CONTRACTOR providing services on this project prepared the Plans, Specifications, and Estimate for any construction project included within this contract. An affiliated firm is one, which is subject to the control of the same persons through joint- ownership, or otherwise.
- H. CONTRACTOR further certifies that neither CONTRACTOR, nor any firm affiliated with CONTRACTOR, will bid on any construction subcontracts included within the construction contract. Additionally, CONTRACTOR certifies that no person working under this contract is also employed by the construction contractor for any project included within this contract.
- I. Except for subcontractors whose services are limited to materials testing, no subcontractor who is providing service on this contract shall have provided services on the design of any project included within this contract.

Article XIV Rebates, Kickbacks or other Unlawful Consideration (Verbatim)  
CONTRACTOR warrants that this contract was not obtained or secured through rebates kickbacks or other unlawful consideration, either promised or paid to any COUNTY employee. For breach or violation of this warranty, COUNTY shall have the right in its discretion; to terminate the contract without liability; to pay only for the value of the work actually performed; or to deduct from the contract price; or otherwise recover the full amount of such rebate, kickback or other unlawful consideration.



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**Article XV Prohibition of Expending County, State, or Federal Funds for Lobbying  
(Verbatim)**

This provision (Article XV) only applies to contracts where federal funding will exceed \$150,000. If less than \$150,000 in federal funds will be expended on the contract, this does not apply.

- A. CONTRACTOR certifies to the best of his or her knowledge and belief that:
1. No state, federal or County appropriated funds have been paid, or will be paid by-or-on behalf of CONTRACTOR to any person for influencing or attempting to influence an officer or employee of any state or federal agency; a Member of the State Legislature or United States Congress; an officer or employee of the Legislature or Congress; or any employee of a Member of the Legislature or Congress, in connection with the awarding of any state or federal contract; the making of any state or federal grant; the making of any state or federal loan; the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any state or federal contract, grant, loan, or cooperative agreement.
  2. If any funds other than federal appropriated funds have been paid, or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency; a Member of Congress; an officer or employee of Congress, or an employee of a Member of Congress; in connection with this federal contract, grant, loan, or cooperative agreement; CONTRACTOR shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
- B. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than ten thousand dollars (\$10,000) and not more than one hundred thousand dollars (\$100,000) for each such failure.
- C. CONTRACTOR also agrees by signing this document that he or she shall require that the language of this certification be included in all lower-tier subcontracts, which exceed one hundred thousand dollars (\$100,000) and that all such subrecipients shall certify and disclose accordingly.

**CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL****Article XVI Non-Discrimination Clause and Statement of Compliance**

- A. CONTRACTOR's signature affixed herein and dated shall constitute a certification under penalty of perjury under the laws of the State of California that CONTRACTOR has, unless exempt, complied with, the nondiscrimination program requirements of Government Code § 12990 and 2 CCR §8103.
- B. During the performance of this Contract, Contractor and its subcontractors shall not deny the Contract's benefits to any person on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status, nor shall they unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment.
- C. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 et seq.), the applicable regulations promulgated there under (2 CCR §11000 et seq.), the provisions of Gov. Code §11135-11139.5, and the regulations or standards adopted by COUNTY to implement such article. The applicable regulations of the Fair Employment and Housing Commission implementing Government Code §12990 (a-f), set forth 2 CCR §§8100-8504, are incorporated into this Contract by reference and made a part hereof as if set forth in full.
- D. CONTRACTOR shall permit access by representatives of the Department of Fair Employment and Housing and the COUNTY upon reasonable notice at any time during the normal business hours, but in no case less than twenty-four (24) hours' notice, to such of its books, records, accounts, and all other sources of information and its facilities as said Department or COUNTY shall require to ascertain compliance with this clause.
- E. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.
- F. CONTRACTOR shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under this contract.
- G. The Contractor, with regard to the work performed under this contract, shall act in accordance with Title VI of the Civil Rights Act of 1964 (42 U.S.C. §2000d et seq.). Title VI provides that the recipients of federal assistance will implement and maintain a policy of nondiscrimination in which no person in the United States shall, on the basis of race, color, national origin, religion, sex, age, disability, be excluded from participation in, denied the benefits of or subject to discrimination under any program or activity by the recipients of federal assistance or their assignees and successors in interest.
- H. The Contractor, shall comply with regulations relative to non-discrimination in federally-assisted programs of the U.S. Department of Transportation (49 CFR Part 21 - Effectuation of Title VI of the Civil Rights Act of 1964). Specifically, the CONTRACTOR shall not participate either directly or indirectly in the discrimination prohibited by 49 CFR §21.5, including employment practices and the selection and retention of Subcontractors.
- I. CONTRACTOR, subrecipient or subcontractor will never exclude any person from participation

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in, deny any person the benefits of, or otherwise discriminate against anyone in connection with the award and performance of any contract covered by 49 CFR 26 on the basis of race, color, sex, or national origin. In administering the COUNTY components of the DBE Program Plan, CONTRACTOR, subrecipient or subcontractor will not, directly, or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing accomplishment of the objectives of the DBE Program Plan with respect to individuals of a particular race, color, sex, or national origin.

**Article XVII Debarment and Suspension Certification**

- A. CONTRACTOR's signature affixed herein, shall constitute a certification under penalty of perjury under the laws of the State of California, that the CONTRACTOR has or any person associated therewith in the capacity of owner, partner, director, officer, or manager:
  - 1. Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
  - 2. Has not been suspended, debarred, voluntarily excluded, or determined ineligible by any federal agency within the past three (3) years;
  - 3. Does not have a proposed debarment pending; and
  - 4. Has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years.
- B. Any exceptions to this certification must be disclosed to COUNTY. Exceptions will not necessarily result in denial of recommendation for award, but will be considered in determining CONTRACTOR responsibility. Disclosures must indicate the party to whom exceptions apply, initiating agency, and dates of agency action.
- C. Exceptions to the Federal Government Excluded Parties List System maintained by the U.S. General Services Administration are to be determined by the Federal Highway Administration.

**MISCELLANEOUS PROVISIONS**

**Article XVIII Funding Requirements**

- A. It is mutually understood between the parties that this contract may have been written before ascertaining the availability of funds or appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays that would occur if the contract were executed after that determination was made.
- B. This contract is valid and enforceable only if sufficient funds are made available to COUNTY for the purpose of this contract. In addition, this contract is subject to any additional restrictions, limitations, conditions, or any statute enacted by the Congress, State Legislature, or COUNTY governing board that may affect the provisions, terms, or funding of this contract in any manner.
- C. It is mutually agreed that if sufficient funds are not appropriated, this contract may be amended to reflect any reduction in funds.

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- D. COUNTY has the option to terminate the contract under the 30-day termination clause pursuant to Article VI, or by mutual agreement to amend the contract to reflect any reduction of funds.

**Article XIX Change in Terms**

- A. This contract may be amended or modified only by mutual written agreement of the parties.
- B. CONTRACTOR shall only commence work covered by an amendment after the amendment is executed and notification to proceed has been provided by COUNTY's Contract Administrator.
- C. There shall be no change in CONTRACTOR's Project Manager or members of the project team, as listed in the approved Cost Proposal, which is a part of this contract without prior written approval by COUNTY's Contract Administrator.

**Article XX Disadvantaged Business Enterprises (DBE) Participation**

- A. CONTRACTOR, subrecipient (COUNTY), or Subcontractor shall take necessary and reasonable steps to ensure that DBEs have opportunities to participate in the contract (49 CFR 26). To ensure equal participation of DBEs provided in 49 CFR 26.5, The COUNTY shows a contract goal for DBEs. CONTRACTOR shall make work available to DBEs and select work parts consistent with available DBE Subcontractors and suppliers.

CONTRACTOR shall meet the DBE goal shown elsewhere in these special provisions or demonstrate that they made adequate good faith efforts to meet this goal. It is CONTRACTOR's responsibility to verify that the DBE firm is certified as DBE at date of proposal opening and document the record by printing out the California Unified Certification Program (CUCP) data for each DBE firm. A list of DBEs certified by the CUCP can be found [here](#).

All DBE participation will count toward the California Department of Transportation's federally mandated statewide overall DBE goal. Credit for materials or supplies CONTRACTOR purchases from DBEs counts towards the goal in the following manner:

- 100 percent counts if the materials or supplies are obtained from a DBE manufacturer.
- 60 percent counts if the materials or supplies are purchased from a DBE regular dealer.
- Only fees, commissions, and charges for assistance in the procurement and delivery of materials or supplies count if obtained from a DBE that is neither a manufacturer nor regular dealer. 49CFR26.55 defines "manufacturer" and "regular dealer."

This contract is subject to 49 CFR, Part 26 entitled "Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs". Contractors who enter into a federally funded agreement will assist the COUNTY in a good faith effort to achieve California's statewide overall DBE goal.

- B. The goal for DBE participation for this contract is 7%. Participation by DBE contractor or subcontractors shall be in accordance with information contained in Exhibit 10-02: Consultant Contract DBE Commitment attached hereto and incorporated as part of the

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Contract. If a DBE subcontractor is unable to perform, CONTRACTOR must make a good faith effort to replace him/her with another DBE subcontractor, if the goal is not otherwise met.

- C. CONTRACTOR can meet the DBE participation goal by either documenting commitments to DBEs to meet the contract goal, or by documenting adequate good faith efforts to meet the contract goal. An adequate good faith effort means that the CONTRACTOR must show that it took all necessary and reasonable steps to achieve a DBE goal that, by their scope, intensity, and appropriateness to the objective, could reasonably be expected to meet the DBE goal. If CONTRACTOR has not met the DBE goal, complete and submit Exhibit 15-H: DBE Information – Good Faith Efforts to document efforts to meet the goal. Refer to 49 CFR Part 26 for guidance regarding evaluation of good faith efforts to meet the DBE goal.

- D. Contract Assurance Under 49 CFR 26.13(b)

CONTRACTOR, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. CONTRACTOR shall carry out applicable requirements of 49 CFR 26 in the award and administration of federal aid contracts.

Failure by CONTRACTOR to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:

- (1) Withholding monthly progress payments;
- (2) Assessing sanctions;
- (3) Liquidated damages; and/or
- (4) Disqualifying the CONTRACTOR from future proposing as non-responsible

- E. Termination and Substitution of DBE Subcontractors

CONTRACTOR shall utilize the specific DBEs listed to perform the work and supply the materials for which each is listed unless CONTRACTOR or DBE Subcontractor obtains the COUNTY's written consent. CONTRACTOR shall not terminate or substitute a listed DBE for convenience and perform the work with their own forces or obtain materials from other sources without authorization from the COUNTY. Unless the COUNTY's consent is provided, the CONTRACTOR shall not be entitled to any payment for work or material unless it is performed or supplied by the listed DBE on the Exhibit 10-02 Consultant Contract DBE Commitment form, included in the Bid.

The COUNTY authorizes a request to use other forces or sources of materials if CONTRACTOR shows any of the following justifications:

1. Listed DBE fails or refuses to execute a written contract based on plans and specifications for the project.

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2. The COUNTY stipulated that a bond is a condition of executing the subcontract and the listed DBE fails to meet the COUNTY's bond requirements.
3. Work requires a contractor's license and listed DBE does not have a valid license under Contractors License Law.
4. Listed DBE fails or refuses to perform the work or furnish the listed materials (failing or refusing to perform is not an allowable reason to remove a DBE if the failure or refusal is a result of bad faith or discrimination).
5. Listed DBE's work is unsatisfactory and not in compliance with the contract.
6. Listed DBE is ineligible to work on the project because of suspension or debarment.
7. Listed DBE becomes bankrupt or insolvent.
8. Listed DBE voluntarily withdraws with written notice from the AGREEMENT.
9. Listed DBE is ineligible to receive credit for the type of work required.
10. Listed DBE owner dies or becomes disabled resulting in the inability to perform the work on the AGREEMENT
11. The COUNTY determines other documented good cause.

CONTRACTOR shall notify the original DBE of the intent to use other forces or material sources and provide the reasons and provide the DBE with 5 days to respond to the notice and advise CONTRACTOR and the COUNTY of the reasons why the use of other forces or sources of materials should not occur. CONTRACTOR's request to use other forces or material sources must include:

1. One or more of the reasons listed in the preceding paragraph.
2. Notices from CONTRACTOR to the DBE regarding the request.
3. Notices from the DBEs to CONTRACTOR regarding the request.

If a listed DBE is terminated or substituted, CONTRACTOR must make good faith efforts to find another DBE to substitute for the original DBE. The substitute DBE must perform at least the same amount of work as the original DBE under the contract to the extent needed to meet or exceed the DBE goal.

**F. Commitment and Utilization**

The COUNTY's DBE program must include a monitoring and enforcement mechanism to ensure that DBE commitments reconcile to DBE utilization. The COUNTY shall request CONTRACTOR to:

1. Notify the COUNTY's contract administrator or designated representative of any changes to its anticipated DBE participation
2. Provide this notification before starting the affected work
3. Maintain records including:
  - Name and business address of each 1<sup>st</sup>-tier Subcontractor
  - Name and business address of each DBE Subcontractor, DBE vendor, and DBE trucking company, regardless of tier
  - Date of payment and total amount paid to each business (see Exhibit 9-F *Monthly Disadvantaged Business Enterprise Payment*)

If CONTRACTOR is a DBE CONTRACTOR, they shall include the date of work performed by their own forces and the corresponding value of the work.

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If a DBE is decertified before completing its work, the DBE must notify CONTRACTOR in writing of the decertification date. If a business becomes a certified DBE before completing its work, the business must notify CONTRACTOR in writing of the certification date. CONTRACTOR shall submit the notifications to the COUNTY. On work completion, CONTRACTOR shall complete a Disadvantaged Business Enterprises (DBE) Certification Status Change, Exhibit 17-O, form and submit the form to the COUNTY within 30 days of contract acceptance.

Upon work completion, CONTRACTOR shall complete Exhibit 17-F Final Report – Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors and submit it to the COUNTY within 90 days of contract acceptance. The COUNTY will withhold \$10,000 until the form is submitted. The COUNTY will release the withhold upon submission of the completed form.

In the COUNTY's reports of DBE participation to Caltrans, the COUNTY must display both commitments and attainments.

- G. A DBE is only eligible to be counted toward the contract goal if it performs a Commercially Useful Function (CUF) on the contract. CUF must be evaluated on an agreement by agreement basis. A DBE performs a CUF when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a CUF, the DBE must also be responsible with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material, and installing (where applicable) and paying for the material itself. To determine whether a DBE is performing a CUF, evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the contract is commensurate with the work it is actually performing, and other relevant factors.
- H. A DBE does not perform a CUF if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of DBE participation. In determining whether a DBE is such an extra participant, examine similar transactions, particularly those in which DBEs do not participate.
- I. If a DBE does not perform or exercise responsibility for at least thirty percent (30%) of the total cost of its contract with its own work force, or the DBE subcontracts a greater portion of the work of the contract than would be expected on the basis of normal industry practice for the type of work involved, it will be presumed that it is not performing a CUF.
- J. CONTRACTOR shall maintain records of materials purchased or supplied from all subcontracts entered into with certified DBEs. The records shall show the name and business address of each DBE or vendor and the total dollar amount actually paid each DBE or vendor, regardless of tier. The records shall show the date of payment and the total dollar figure paid to all firms. DBE contractors shall also show the date of work performed by their own forces along with the corresponding dollar value of the work.
- K. If a DBE subcontractor is decertified during the life of the contract, the decertified subcontractor shall notify CONTRACTOR in writing with the date of decertification. If a subcontractor becomes a certified DBE during the life of the Contract, the subcontractor shall notify CONTRACTOR in writing with the date of certification. Any changes should be reported to COUNTY's Contract Administrator within thirty (30) calendar days.

**EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

- L. After submitting an invoice for reimbursement that includes a payment to a DBE, but no later than the 10<sup>th</sup> of the following month, the prime contractor/consultant shall complete and email the Exhibit 9- F: Disadvantaged Business Enterprise Running Tally of Payments to [business.support.unit@dot.ca.gov](mailto:business.support.unit@dot.ca.gov) with a copy to the Agency.
  
- M. Any subcontract entered into as a result of this contract shall contain all of the provisions of this section.

**Article XXI Contingent Fee**

CONTRACTOR warrants, by execution of this contract that no person or selling agency has been employed, or retained, to solicit or secure this contract upon an agreement or understanding, for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees, or bona fide established commercial or selling agencies maintained by CONTRACTOR for the purpose of securing business. For breach or violation of this warranty, COUNTY has the right to annul this contract without liability; pay only for the value of the work actually performed, or in its discretion to deduct from the contract price or consideration, or otherwise recover the full amount of such commission, percentage, brokerage, or contingent fee.

**Article XXII Disputes**

Prior to either party commencing any legal action under this contract, the parties agree to try in good faith, to settle any dispute amicably between them. If a dispute has not been settled after forty-five (45) days of good-faith negotiations and as may be otherwise provided herein, then either party may commence legal action against the other.

The selected option below applies to this contract:



Option 1 – For contracts without PS&E submittal

- A. Any dispute, other than audit, concerning a question of fact arising under this contract that is not disposed of by agreement shall be decided by a committee consisting of COUNTY’s Contract Administrator and Assistant Director of PWFP, who may consider written or verbal information submitted by CONTRACTOR.
  
- B. Not later than thirty (30) calendar days after completion of all work under the contract, CONTRACTOR may request review by COUNTY Governing Board of unresolved claims or disputes, other than audit. The request for review will be submitted in writing.
  
- C. Neither the pendency of a dispute, nor its consideration by the committee will excuse CONTRACTOR from full and timely performance in accordance with the terms of this contract.



Option 2 – For contracts requiring PS&E submittal, replace paragraph B above with the following:

- B. Not later than thirty (30) calendar days after completion of all deliverables necessary to complete the plans, specifications and estimate, CONTRACTOR may request review by COUNTY Governing Board of unresolved claims or disputes, other than audit. The request for review will be submitted in writing.



**EXHIBIT B – FEDERAL PROVISIONS**  
**CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

### Article XXIII Inspection of Work

CONTRACTOR and any subcontractor shall permit COUNTY, the state, and the FHWA if federal participating funds are used in this contract; to review and inspect the project activities and files at all reasonable times during the performance period of this contract including review and inspection on a daily basis.

### Article XXIV Safety

- A. CONTRACTOR shall comply with OSHA regulations applicable to CONTRACTOR regarding necessary safety equipment or procedures. CONTRACTOR shall comply with safety instructions issued by COUNTY Safety Officer and other COUNTY representatives. CONTRACTOR personnel shall wear hard hats and safety vests at all times while working on the construction project site.
- B. Pursuant to the authority contained in Section 591 of the Vehicle Code, COUNTY has determined that such areas are within the limits of the project and are open to public traffic. CONTRACTOR shall comply with all of the requirements set forth in Divisions 11, 12, 13, 14, and 15 of the Vehicle Code. CONTRACTOR shall take all reasonably necessary precautions for safe operation of its vehicles and the protection of the traveling public from injury and damage from such vehicles.
- C. Any subcontract entered into as a result of this contract, shall contain all of the provisions of this Article.

Paragraph D below applies for contracts requiring trenching of five feet or deeper:

- D. CONTRACTOR must have a Division of Occupational Safety and Health (CAL-OSHA) permit(s), as outlined in California Labor Code Sections 6500 and 6705, prior to the initiation of any practices, work, method, operation, or process related to the construction or excavation of trenches which are five (5) feet or deeper.

### Article XXV Insurance

The selected option below applies to this contract:



Option 1 – For contracts with a scope of services that may require the contractor or subcontractor to work within the operating state or County Highway Right of Way; where there would be exposure to public traffic or construction operations:

- A. Prior to commencement of the work described herein, CONTRACTOR shall furnish COUNTY a Certificate of Insurance stating that there is general comprehensive liability insurance presently in effect for CONTRACTOR with a combined single limit (CSL) of not less than one million dollars (\$1,000,000) per occurrence.
- B. The Certificate of Insurance will provide:
  - 1. That the insurer will not cancel the insured's coverage without thirty (30) calendar days prior written notice to COUNTY.
  - 2. That COUNTY, its officers, agents, employees, and servants are included as additional insureds, but only insofar as the operations under this contract are concerned.

**EXHIBIT B – FEDERAL PROVISIONS  
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3. That COUNTY will not be responsible for any premiums or assessments on the policy.
  
- C. CONTRACTOR agrees that the bodily injury liability insurance herein provided for, shall be in effect at all times during the term of this contract. In the event said insurance coverage expires at any time or times during the term of this contract, CONTRACTOR agrees to provide at least thirty (30) calendar days prior notice to said expiration date; and a new Certificate of Insurance evidencing insurance coverage as provided for herein, for not less than either the remainder of the term of the contract, or for a period of not less than one (1) year. New Certificates of Insurance are subject to the approval of COUNTY. In the event CONTRACTOR fails to keep in effect at all times insurance coverage as herein provided, COUNTY may, in addition to any other remedies it may have, terminate this contract upon occurrence of such event.

Option 2 – For contracts with a scope of services that will not require the Contractor or subcontractor to work within the operating State or COUNTY Highway Right of Way where there would be exposure to public traffic or construction Contractor operations:

CONTRACTOR is not required to show evidence of general comprehensive liability insurance.

#### Article XXVI Ownership of Data

- A. It is mutually agreed that all materials prepared by CONTRACTOR under this contract shall become the property of COUNTY, and CONTRACTOR shall have no property right therein whatsoever. Immediately upon termination, COUNTY shall be entitled to, and CONTRACTOR shall deliver to COUNTY, reports, documents, plans, specifications, and estimates, investigations, appraisals, inventories, studies, analyses, drawings and data estimates performed to that date, whether completed or not, and other such materials as may have been prepared or accumulated to date by CONTRACTOR in performing this contract which is not CONTRACTOR's privileged information, as defined by law, or CONTRACTOR's personnel information, along with all other property belonging exclusively to COUNTY which is in CONTRACTOR's possession. Publication of the information derived from work performed or data obtained in connection with services rendered under this contract must be approved in writing by COUNTY.
  
- B. Additionally, it is agreed that the Parties intend this to be a contract for services and each considers the products and results of the services to be rendered by CONTRACTOR hereunder to be work made for hire. CONTRACTOR acknowledges and agrees that the work (and all rights therein, including, without limitation, copyright) belongs to and shall be the sole and exclusive property of COUNTY without restriction or limitation upon its use or dissemination by COUNTY.
  
- C. Nothing herein shall constitute or be construed to be any representation by CONTRACTOR that the work product is suitable in any way for any other project except the one detailed in this Contract. Any reuse by COUNTY for another project or project location shall be at COUNTY's sole risk. It is understood and agreed that all calculations, drawings and specifications, whether in hard copy or machine-readable form, are intended for one-time use in the construction of the project for which this contract has been entered into.

**EXHIBIT B – FEDERAL PROVISIONS  
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- D. CONTRACTOR is not liable for claims, liabilities, or losses arising out of, or connected with the modification, or misuse by COUNTY of the machine-readable information and data provided by CONTRACTOR under this contract; further, CONTRACTOR is not liable for claims, liabilities, or losses arising out of, or connected with any use by COUNTY of the project documentation on other projects for additions to this project, or for the completion of this project by others, except only such use as may be authorized in writing by CONTRACTOR.
- E. Applicable patent rights provisions regarding rights to inventions shall be included in the contracts as appropriate (48 CFR 27, Subpart 27.3 - Patent Rights under Government Contracts for federal-aid contracts).
- F. COUNTY may permit copyrighting reports or other agreement products. If copyrights are permitted; the agreement shall provide that the FHWA shall have the royalty-free nonexclusive and irrevocable right to reproduce, publish, or otherwise use; and to authorize others to use, the work for government purposes.
- G. Any subcontract in excess of \$25,000 entered into as a result of this contract, shall contain all of the provisions of this Article.

**Article XXVII Claims Filed by County's Construction Contractor**

- A. If claims are filed by COUNTY's construction contractor relating to work performed by CONTRACTOR's personnel, and additional information or assistance from CONTRACTOR's personnel is required in order to evaluate or defend against such claims; CONTRACTOR agrees to make its personnel available for consultation with COUNTY'S construction contract administration and legal staff and for testimony, if necessary, at depositions and at trial or arbitration proceedings.
- B. CONTRACTOR's personnel that COUNTY considers essential to assist in defending against construction contractor claims will be made available on reasonable notice from COUNTY. Consultation or testimony will be reimbursed at the same rates, including travel costs that are being paid for CONTRACTOR's personnel services under this contract.
- C. Services of CONTRACTOR's personnel in connection with COUNTY's construction contractor claims will be performed pursuant to a written contract amendment, if necessary, extending the termination date of this contract in order to resolve the construction claims.
- D. Any subcontract in excess of \$25,000 entered into as a result of this contract, shall contain all of the provisions of this Article.

**Article XXVIII Confidentiality of Data**

- A. All financial, statistical, personal, technical, or other data and information relative to COUNTY's operations, which are designated confidential by COUNTY and made available to CONTRACTOR in order to carry out this contract, shall be protected by CONTRACTOR from unauthorized use and disclosure.

**EXHIBIT B – FEDERAL PROVISIONS  
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- B. Permission to disclose information on one occasion, or public hearing held by COUNTY relating to the contract, shall not authorize CONTRACTOR to further disclose such information, or disseminate the same on any other occasion.
- C. CONTRACTOR shall not comment publicly to the press or any other media regarding the contract or COUNTY's actions on the same, except to COUNTY's staff, CONTRACTOR's own personnel involved in the performance of this contract, at public hearings or in response to questions from a Legislative committee.
- D. CONTRACTOR shall not issue any news release or public relations item of any nature, whatsoever, regarding work performed or to be performed under this contract without prior review of the contents thereof by COUNTY, and receipt of COUNTY'S written permission.
- E. Any subcontract entered into as a result of this contract shall contain all of the provisions of this Article.

For PS&E contracts, paragraph F below applies:

- F. All information related to the construction estimate is confidential, and shall not be disclosed by CONTRACTOR to any entity other than COUNTY, Caltrans, and/or FHWA. All of the materials prepared or assembled by CONTRACTOR pursuant to performance of this Contract are confidential and CONTRACTOR agrees that they shall not be made available to any individual or organization without the prior written approval of COUNTY or except by court order. If CONTRACTOR or any of its officers, employees, or subcontractors does voluntarily provide information in violation of this Contract, COUNTY has the right to reimbursement and indemnity from CONTRACTOR for any damages caused by CONTRACTOR releasing the information, including, but not limited to, COUNTY's attorney's fees and disbursements, including without limitation experts' fees and disbursements.

### **Article XXIX National Labor Relations Board Certification**

In accordance with Public Contract Code Section 10296, CONTRACTOR hereby states under penalty of perjury that no more than one final unappealable finding of contempt of court by a federal court has been issued against CONTRACTOR within the immediately preceding two-year period, because of CONTRACTOR's failure to comply with an order of a federal court that orders CONTRACTOR to comply with an order of the National Labor Relations Board.

### **Article XXX Evaluation of Contractor**

CONTRACTOR's performance will be evaluated by COUNTY. A copy of the evaluation will be sent to CONTRACTOR for comments. The evaluation together with the comments shall be retained as part of the contract record.

### **Article XXXI Retention of Funds**

- A. Any subcontract entered into as a result of this Contract shall contain all of the provisions of this section.

**EXHIBIT B – FEDERAL PROVISIONS**  
**CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

The selected paragraph below (B, C, or D) applies to this contract:

- B.  No retainage will be withheld by the Agency from progress payments due the prime contractor. Retainage by the prime contractor or subcontractors is prohibited, and no retainage will be held by the prime contractor from progress due subcontractors. Any violation of this provision shall subject the violating prime contractor or subcontractors to the penalties, sanctions, and other remedies specified in Section 7108.5 of the California Business and Professions Code. This requirement shall not be construed to limit or impair any contractual, administrative, or judicial remedies, otherwise available to the prime contractor or subcontractor in the event of a dispute involving late payment or nonpayment by the prime contractor or deficient subcontractor performance, or noncompliance by a subcontractor. This provision applies to both DBE and non-DBE prime contractors and subcontractors.
- C.  No retainage will be held by the Agency from progress payments due the prime contractor. Any retainage held by the prime contractor or subcontractors from progress payments due subcontractors shall be promptly paid in full to subcontractors within thirty (30) calendar days after the subcontractor's work is satisfactorily completed. Federal law (49 CFR 26.29) requires that any delay or postponement of payment over the thirty (30) calendar days may take place only for good cause and with the Agency's prior written approval. Any violation of this provision shall subject the violating prime contractor or subcontractor to the penalties, sanctions and other remedies specified in Section 7108.5 of the Business and Professions Code. These requirements shall not be construed to limit or impair any contractual, administrative, or judicial remedies, otherwise available to the prime contractor or subcontractor in the event of a dispute involving late payment or nonpayment by the prime contractor, deficient subcontractor performance, or noncompliance by a subcontractor. This provision applies to both DBE and non-DBE prime contractor and subcontractors.
- D.  The Agency shall hold retainage from the prime contractor and shall make prompt and regular incremental acceptances of portions, as determined by the Agency, of the contract work, and pay retainage to the prime contractor based on these acceptances. The prime contractor, or subcontractor, shall return all monies withheld in retention from a subcontractor within thirty (30) calendar days after receiving payment for work satisfactorily completed and accepted including incremental acceptances of portions of the contract work by the agency. Federal law (49 CFR 26.29) requires that any delay or postponement of payment over thirty (30) calendar days may take place only for good cause and with the agency's prior written approval. Any violation of this provision shall subject the violating prime contractor or subcontractor to the penalties, sanctions and other remedies specified in Section 7108.5 of the Business and Professions Code. These requirements shall not be construed to limit or impair any contractual, administrative, or judicial remedies, otherwise available to the prime contractor or subcontractor in the event of a dispute involving late payment or nonpayment by the prime Contractor, deficient subcontractor performance, or noncompliance by a subcontractor. This provision applies to both DBE and non-DBE prime contractor and subcontractors.

**EXHIBIT B – FEDERAL PROVISIONS  
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Prompt Payment from the County to the Contractor  
The COUNTY shall make any progress payment within 30 days after receipt of an undisputed and properly submitted payment request from CONTRACTOR on a professional service contract. If the COUNTY fails to pay promptly, the COUNTY shall pay interest to the contractor, which accrues at the rate of 10 percent per annum on the principal amount of a money judgment remaining unsatisfied. Upon receipt of a payment request, the COUNTY shall act in accordance with both of the following:

- (1) Each payment request shall be reviewed by the COUNTY as soon as practicable after receipt for the purpose of determining that the payment request is a proper payment request.
- (2) Any payment request determined not to be a proper payment request suitable for payment shall be returned to CONTRACTOR as soon as practicable, but not later than seven (7) days, after receipt. A request returned pursuant to this paragraph shall be accompanied by a document setting forth in writing the reasons why the payment request is not proper

**Notice to Proposers DBE Information (Exhibit 10-1)**

The following will be issued to the short list/pool of qualified firms at the time when the County will request for proposals for specific projects.

The Agency has established a DBE goal for this Contract of 7%.

**1. TERMS AS USED IN THIS DOCUMENT**

- The term “Disadvantaged Business Enterprise” or “DBE” means a for-profit small business concern owned and controlled by a socially and economically disadvantaged person(s) as defined in Title 49, Code of Federal Regulations (CFR), Part 26.5.
- The term “Agreement” also means “Contract.”
- Agency also means the local entity entering this contract with the Contractor or Consultant.
- The term “Small Business” or “SB” is as defined in 49 CFR 26.65.

**2. AUTHORITY AND RESPONSIBILITY**

- A. DBEs and other small businesses are strongly encouraged to participate in the performance of Contracts financed in whole or in part with federal funds (See 49 CFR 26, “Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs”). The Contractor must ensure that DBEs and other small businesses have the opportunity to participate in the performance of the work that is the subject of this solicitation and should take all necessary and reasonable steps for this assurance. The proposer must not discriminate on the basis of race, color, national origin, or sex in the award and performance of subcontracts.
- B. Proposers are encouraged to use services offered by financial institutions owned and controlled by DBEs.

**EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

**3. SUBMISSION OF DBE INFORMATION**

If there is a DBE goal on the contract, Exhibit 10-01 *Consultant Proposal DBE Commitment* must be included in the Request for Proposal. In order for a proposer to be considered responsible and responsive, the proposer must make good faith efforts to meet the goal established for the contract. If the goal is not met, the proposer must document adequate good faith efforts. All DBE participation will be counted towards the contract goal; therefore, all DBE participation shall be collected and reported.

Exhibit 10-02 *Consultant Contract DBE Information* must be included with the Request for Proposal. Even if no DBE participation will be reported, the successful proposer must execute and return the form.

**4. DBE PARTICIPATION GENERAL INFORMATION**

It is the proposer's responsibility to be fully informed regarding the requirements of 49 CFR, Part 26, and the Department's DBE program developed pursuant to the regulations. Particular attention is directed to the following:

- A. A DBE must be a small business firm defined pursuant to 13 CFR 121 and be certified through the California Unified Certification Program (CUCP).
- B. A certified DBE may participate as a prime consultant, subconsultant, joint venture partner, as a vendor of material or supplies, or as a trucking company.
- C. A DBE proposer not proposing as a joint venture with a non-DBE, will be required to document one or a combination of the following:
  - 1. The proposer is a DBE and will meet the goal by performing work with its own forces.
  - 2. The proposer will meet the goal through work performed by DBE subconsultants, suppliers or trucking companies.
  - 3. The proposer, prior to proposing, made adequate good faith efforts to meet the goal.
- D. A DBE joint venture partner must be responsible for specific contract items of work or clearly defined portions thereof. Responsibility means actually performing, managing, and supervising the work with its own forces. The DBE joint venture partner must share in the capital contribution, control, management, risks and profits of the joint venture commensurate with its ownership interest.
- E. A DBE must perform a commercially useful function pursuant to 49 CFR 26.55, that is, a DBE firm must be responsible for the execution of a distinct element of the work and must carry out its responsibility by actually performing, managing and supervising the work.
- F. The proposer shall list only one subconsultant for each portion of work as defined in their proposal and all DBE subconsultants should be listed in the bid/cost proposal list of subconsultants.

**EXHIBIT B – FEDERAL PROVISIONS  
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G. A prime consultant who is a certified DBE is eligible to claim all of the work in the Contract toward the DBE participation except that portion of the work to be performed by non-DBE subconsultants.

5. RESOURCES

A. The CUCP database includes the certified DBEs from all certifying agencies participating in the CUCP. If you believe a firm is certified that cannot be located on the database, please contact the Caltrans Office of Certification toll free number 1-866-810-6346 for assistance.

B. Access the CUCP database from the Department of Transportation, Office of Business and Economic Opportunity Web site at: <http://www.dot.ca.gov/hq/bep/>.

1. Click on the link in the left menu titled Disadvantaged Business Enterprise;
2. Click on Search for a DBE Firm link; and
3. Click on Access to the DBE Query Form located on the first line in the center of the page.

Searches can be performed by one or more criteria. Follow instructions on the screen.

6. MATERIALS OR SUPPLIES PURCHASED FROM DBE'S COUNT TOWARDS THE DBE GOAL UNDER THE FOLLOWING CONDITIONS

A. If the materials or supplies are obtained from a DBE manufacturer, count 100 percent of the cost of the materials or supplies. A DBE manufacturer is a firm that operates or maintains a factory, or establishment that produces on the premises the materials, supplies, articles, or equipment required under the Contract and of the general character described by the specifications.

B. If the materials or supplies purchased from a DBE regular dealer, count 60 percent of the cost of the materials or supplies. A DBE regular dealer is a firm that owns, operates or maintains a store, warehouse, or other establishment in which the materials, supplies, articles or equipment of the general character described by the specifications and required under the Contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. To be a DBE regular dealer, the firm must be an established, regular business that engages, as its principal business and under its own name, in the purchase and sale or lease of the products in question. A person may be a DBE regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone or asphalt without owning, operating or maintaining a place of business provided in this section.

C. If the person both owns and operates distribution equipment for the products, any supplementing of regular dealers' own distribution equipment shall be, by a long-term lease agreement and not an ad hoc or Agreement-by-Agreement basis. Packagers, brokers, manufacturers' representatives, or other persons who arrange or expedite transactions are not DBE regular dealers within the meaning of this section.

D. Materials or supplies purchased from a DBE, which is neither a manufacturer nor a regular dealer, will be limited to the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on the job site, provided the fees are reasonable and not excessive



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as compared with fees charged for similar services.

**CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL FORMS**

The following forms can be view and downloaded in fillable format at:

<https://dot.ca.gov/programs/local-assistance/forms/local-assistance-procedures-manual-forms>

**EXHIBIT 10-H2 COST PROPOSAL**

SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-ups are Not Allowed

Consultant Biggs Cardosa Associates, Inc.  Prime Consultant  Subconsultant  2<sup>nd</sup> Tier Subconsultant

Project No. Hartnell Bridge Replacement CM & Inspection Contract No. \_\_\_\_\_ Participation Amount **\$328,000** Date: **4/14/2022**  
(including subs): \_\_\_\_\_

For Combined Rate	Fringe Benefit % + General & Administrative %	=	<b>129.54%</b>	Combined ICR%
	OR			
For Home Office Rate	Fringe Benefit % + General & Administrative %	=		Home Office ICR%
For Field Office Rate	Fringe Benefit % + General & Administrative %	=		Field Office ICR%
	Fee	=	<b>10%</b>	%

BILLING INFORMATION

CALCULATION INFORMATION

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>3</sup>			Effective date of hourly rate		Actual or Avg. Hourly Rate <sup>4</sup>	% or \$ increase	Hourly range - for classifications only
	Straight	OT(1.5x)	OT(2x)	From	To			
Mahvash Harms* / Principal in Charge / QA Manager	\$ 294.26	N/A	N/A	10/1/2021	9/30/2022	\$ 116.54		Not Applicable
	\$ 308.97	N/A	N/A	10/1/2022	9/30/2023	\$ 122.37	5.00%	Not Applicable
	\$ 324.42	N/A	N/A	10/1/2023	9/30/2024	\$ 128.49	5.00%	Not Applicable
	\$ 340.64	N/A	N/A	10/1/2024	9/30/2025	\$ 134.91	5.00%	Not Applicable
Ron Oen * / Contract Manager - Resident Engineer (RE) - Structures Rep (SR) / Principal	\$ 224.34	N/A	N/A	10/1/2021	9/30/2022	\$ 88.85		Not Applicable
	\$ 235.56	N/A	N/A	10/1/2022	9/30/2023	\$ 93.29	5.00%	Not Applicable
	\$ 247.34	N/A	N/A	10/1/2023	9/30/2024	\$ 97.96	5.00%	Not Applicable
	\$ 259.70	N/A	N/A	10/1/2024	9/30/2025	\$ 102.85	5.00%	Not Applicable
John Alciati * / Asst RE - SR / Associate	\$ 183.54	N/A	N/A	10/1/2021	9/30/2022	\$ 72.69		Not Applicable
	\$ 192.71	N/A	N/A	10/1/2022	9/30/2023	\$ 76.32	5.00%	Not Applicable
	\$ 202.35	N/A	N/A	10/1/2023	9/30/2024	\$ 80.14	5.00%	Not Applicable
	\$ 212.47	N/A	N/A	10/1/2024	9/30/2025	\$ 84.15	5.00%	Not Applicable
Principal III	\$ 315.62	N/A	N/A	10/1/2021	9/30/2022	\$ 125.00		\$ 115.00 to \$ 130.00
	\$ 331.40	N/A	N/A	10/1/2022	9/30/2023	\$ 131.25	5.00%	\$ 120.75 to \$ 136.50
	\$ 347.97	N/A	N/A	10/1/2023	9/30/2024	\$ 137.81	5.00%	\$ 126.79 to \$ 143.33
	\$ 365.37	N/A	N/A	10/1/2024	9/30/2025	\$ 144.70	5.00%	\$ 133.13 to \$ 150.49
Principal II	\$ 272.69	N/A	N/A	10/1/2021	9/30/2022	\$ 108.00		\$ 95.00 to \$ 115.00
	\$ 286.33	N/A	N/A	10/1/2022	9/30/2023	\$ 113.40	5.00%	\$ 99.75 to \$ 120.75
	\$ 300.64	N/A	N/A	10/1/2023	9/30/2024	\$ 119.07	5.00%	\$ 104.74 to \$ 126.79
	\$ 315.68	N/A	N/A	10/1/2024	9/30/2025	\$ 125.02	5.00%	\$ 109.97 to \$ 133.13
Principal I	\$ 227.24	N/A	N/A	10/1/2021	9/30/2022	\$ 90.00		\$ 85.00 to \$ 105.00
	\$ 238.61	N/A	N/A	10/1/2022	9/30/2023	\$ 94.50	5.00%	\$ 89.25 to \$ 110.25
	\$ 250.54	N/A	N/A	10/1/2023	9/30/2024	\$ 99.23	5.00%	\$ 93.71 to \$ 115.76
	\$ 263.06	N/A	N/A	10/1/2024	9/30/2025	\$ 104.19	5.00%	\$ 98.40 to \$ 121.55
Associate	\$ 217.14	N/A	N/A	10/1/2021	9/30/2022	\$ 86.00		\$ 70.00 to \$ 90.00
	\$ 228.00	N/A	N/A	10/1/2022	9/30/2023	\$ 90.30	5.00%	\$ 73.50 to \$ 94.50
	\$ 239.40	N/A	N/A	10/1/2023	9/30/2024	\$ 94.82	5.00%	\$ 77.18 to \$ 99.23

**EXHIBIT 10-H2 COST PROPOSAL**  
 SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
 (CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-ups are Not Allowed

Consultant Biggs Cardosa Associates, Inc.  Prime Consultant  Subconsultant  2<sup>nd</sup> Tier Subconsultant

Project No. Hartnell Bridge Replacement CM & Inspection Contract No. \_\_\_\_\_ Participation Amount **\$328,000** Date: **4/14/2022**  
 (including subs): \_\_\_\_\_

For Combined Rate	Fringe Benefit % + General & Administrative %	=	<b>129.54%</b>	Combined ICR%
	OR			
For Home Office Rate	Fringe Benefit % + General & Administrative %	=		Home Office ICR%
For Field Office Rate	Fringe Benefit % + General & Administrative %	=		Field Office ICR%
		Fee =	<b>10%</b>	%

BILLING INFORMATION

CALCULATION INFORMATION

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>3</sup>			Effective date of hourly rate		Actual or Avg. Hourly Rate <sup>4</sup>	% or \$ increase	Hourly range - for classifications only		
	Straight	OT(1.5x)	OT(2x)	From	To					
	\$ 251.37	N/A	N/A	10/1/2024	9/30/2025	\$ 99.56	5.00%	\$ 81.03	to	\$ 104.19
Engineering Manager	\$ 184.32	N/A	N/A	10/1/2021	9/30/2022	\$ 73.00		\$ 65.00	to	\$ 80.00
	\$ 193.54	N/A	N/A	10/1/2022	9/30/2023	\$ 76.65	5.00%	\$ 68.25	to	\$ 84.00
	\$ 203.21	N/A	N/A	10/1/2023	9/30/2024	\$ 80.48	5.00%	\$ 71.66	to	\$ 88.20
	\$ 213.37	N/A	N/A	10/1/2024	9/30/2025	\$ 84.51	5.00%	\$ 75.25	to	\$ 92.61
Senior Engineer	\$ 166.65	N/A	N/A	10/1/2021	9/30/2022	\$ 66.00		\$ 55.00	to	\$ 70.00
	\$ 174.98	N/A	N/A	10/1/2022	9/30/2023	\$ 69.30	5.00%	\$ 57.75	to	\$ 73.50
	\$ 183.73	N/A	N/A	10/1/2023	9/30/2024	\$ 72.77	5.00%	\$ 60.64	to	\$ 77.18
	\$ 192.91	N/A	N/A	10/1/2024	9/30/2025	\$ 76.40	5.00%	\$ 63.67	to	\$ 81.03
Project Engineer	\$ 143.92	N/A	N/A	10/1/2021	9/30/2022	\$ 57.00		\$ 45.00	to	\$ 65.00
	\$ 151.12	N/A	N/A	10/1/2022	9/30/2023	\$ 59.85	5.00%	\$ 47.25	to	\$ 68.25
	\$ 158.67	N/A	N/A	10/1/2023	9/30/2024	\$ 62.84	5.00%	\$ 49.61	to	\$ 71.66
	\$ 166.61	N/A	N/A	10/1/2024	9/30/2025	\$ 65.98	5.00%	\$ 52.09	to	\$ 75.25
Staff Engineer	\$ 113.62	N/A	N/A	10/1/2021	9/30/2022	\$ 45.00		\$ 40.00	to	\$ 55.00
	\$ 119.30	N/A	N/A	10/1/2022	9/30/2023	\$ 47.25	5.00%	\$ 42.00	to	\$ 57.75
	\$ 125.27	N/A	N/A	10/1/2023	9/30/2024	\$ 49.61	5.00%	\$ 44.10	to	\$ 60.64
	\$ 131.53	N/A	N/A	10/1/2024	9/30/2025	\$ 52.09	5.00%	\$ 46.31	to	\$ 63.67
Assistant Engineer	\$ 108.57	N/A	N/A	10/1/2021	9/30/2022	\$ 43.00		\$ 35.00	to	\$ 45.00
	\$ 114.00	N/A	N/A	10/1/2022	9/30/2023	\$ 45.15	5.00%	\$ 36.75	to	\$ 47.25
	\$ 119.70	N/A	N/A	10/1/2023	9/30/2024	\$ 47.41	5.00%	\$ 38.59	to	\$ 49.61
	\$ 125.69	N/A	N/A	10/1/2024	9/30/2025	\$ 49.78	5.00%	\$ 40.52	to	\$ 52.09
Junior Engineer	\$ 95.95	N/A	N/A	10/1/2021	9/30/2022	\$ 38.00		\$ 34.00	to	\$ 40.00
	\$ 100.75	N/A	N/A	10/1/2022	9/30/2023	\$ 39.90	5.00%	\$ 35.70	to	\$ 42.00
	\$ 105.78	N/A	N/A	10/1/2023	9/30/2024	\$ 41.90	5.00%	\$ 37.49	to	\$ 44.10
	\$ 111.07	N/A	N/A	10/1/2024	9/30/2025	\$ 43.99	5.00%	\$ 39.36	to	\$ 46.31
Sr. Computer Drafter	\$ 126.25	N/A	N/A	10/1/2021	9/30/2022	\$ 50.00		\$ 40.00	to	\$ 60.00
	\$ 132.56	N/A	N/A	10/1/2022	9/30/2023	\$ 52.50	5.00%	\$ 42.00	to	\$ 63.00

**EXHIBIT 10-H2 COST PROPOSAL**

SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-ups are Not Allowed

Consultant Biggs Cardosa Associates, Inc.  Prime Consultant  Subconsultant  2<sup>nd</sup> Tier Subconsultant

Project No. Hartnell Bridge Replacement CM & Inspection Contract No. \_\_\_\_\_ Participation Amount **\$328,000** Date: **4/14/2022**  
(including subs): \_\_\_\_\_

For Combined Rate	Fringe Benefit % + General & Administrative % = <b>129.54%</b> Combined ICR%
OR	
For Home Office Rate	Fringe Benefit % + General & Administrative % = Home Office ICR%
For Field Office Rate	Fringe Benefit % + General & Administrative % = Field Office ICR%
Fee = <b>10%</b>	

BILLING INFORMATION

CALCULATION INFORMATION

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>3</sup>			Effective date of hourly rate		Actual or Avg. Hourly Rate <sup>4</sup>	% or \$ increase	Hourly range - for classifications only		
	Straight	OT(1.5x)	OT(2x)	From	To					
	\$ 139.19	N/A	N/A	10/1/2023	9/30/2024	\$ 55.13	5.00%	\$ 44.10	to	\$ 66.15
	\$ 146.15	N/A	N/A	10/1/2024	9/30/2025	\$ 57.88	5.00%	\$ 46.31	to	\$ 69.46
Computer Drafter	\$ 113.62	N/A	N/A	10/1/2021	9/30/2022	\$ 45.00		\$ 35.00	to	\$ 55.00
	\$ 119.30	N/A	N/A	10/1/2022	9/30/2023	\$ 47.25	5.00%	\$ 36.75	to	\$ 57.75
	\$ 125.27	N/A	N/A	10/1/2023	9/30/2024	\$ 49.61	5.00%	\$ 38.59	to	\$ 60.64
	\$ 131.53	N/A	N/A	10/1/2024	9/30/2025	\$ 52.09	5.00%	\$ 40.52	to	\$ 63.67
Jr. Computer Drafter	\$ 101.00	N/A	N/A	10/1/2021	9/30/2022	\$ 40.00		\$ 30.00	to	\$ 50.00
	\$ 106.05	N/A	N/A	10/1/2022	9/30/2023	\$ 42.00	5.00%	\$ 31.50	to	\$ 52.50
	\$ 111.35	N/A	N/A	10/1/2023	9/30/2024	\$ 44.10	5.00%	\$ 33.08	to	\$ 55.13
	\$ 116.92	N/A	N/A	10/1/2024	9/30/2025	\$ 46.31	5.00%	\$ 34.73	to	\$ 57.88
BIM/Visualization Specialist	\$ 126.25	N/A	N/A	10/1/2021	9/30/2022	\$ 50.00		\$ 40.00	to	\$ 60.00
	\$ 132.56	N/A	N/A	10/1/2022	9/30/2023	\$ 52.50	5.00%	\$ 42.00	to	\$ 63.00
	\$ 139.19	N/A	N/A	10/1/2023	9/30/2024	\$ 55.13	5.00%	\$ 44.10	to	\$ 66.15
	\$ 146.15	N/A	N/A	10/1/2024	9/30/2025	\$ 57.88	5.00%	\$ 46.31	to	\$ 69.46
Project Administrator	\$ 141.40	N/A	N/A	10/1/2021	9/30/2022	\$ 56.00		\$ 20.00	to	\$ 65.00
	\$ 148.47	N/A	N/A	10/1/2022	9/30/2023	\$ 58.80	5.00%	\$ 21.00	to	\$ 68.25
	\$ 155.89	N/A	N/A	10/1/2023	9/30/2024	\$ 61.74	5.00%	\$ 22.05	to	\$ 71.66
	\$ 163.68	N/A	N/A	10/1/2024	9/30/2025	\$ 64.83	5.00%	\$ 23.15	to	\$ 75.25
Project Coordinator	\$ 118.67	N/A	N/A	10/1/2021	9/30/2022	\$ 47.00		\$ 20.00	to	\$ 65.00
	\$ 124.61	N/A	N/A	10/1/2022	9/30/2023	\$ 49.35	5.00%	\$ 21.00	to	\$ 68.25
	\$ 130.84	N/A	N/A	10/1/2023	9/30/2024	\$ 51.82	5.00%	\$ 22.05	to	\$ 71.66
	\$ 137.38	N/A	N/A	10/1/2024	9/30/2025	\$ 54.41	5.00%	\$ 23.15	to	\$ 75.25
Construction Manager	\$ 227.24	N/A	N/A	10/1/2021	9/30/2022	\$ 90.00		\$ 80.00	to	\$ 100.00
	\$ 238.61	N/A	N/A	10/1/2022	9/30/2023	\$ 94.50	5.00%	\$ 84.00	to	\$ 105.00
	\$ 250.54	N/A	N/A	10/1/2023	9/30/2024	\$ 99.23	5.00%	\$ 88.20	to	\$ 110.25
	\$ 263.06	N/A	N/A	10/1/2024	9/30/2025	\$ 104.19	5.00%	\$ 92.61	to	\$ 115.76

**EXHIBIT 10-H2 COST PROPOSAL**  
 SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
 (CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-ups are Not Allowed

Consultant Biggs Cardosa Associates, Inc.  Prime Consultant  Subconsultant  2<sup>nd</sup> Tier Subconsultant

Project No. Hartnell Bridge Replacement CM & Inspection Contract No. \_\_\_\_\_ Participation Amount **\$328,000** Date: **4/14/2022**  
 (including subs): \_\_\_\_\_

For Combined Rate	Fringe Benefit % + General & Administrative %	=	<b>129.54%</b>	Combined ICR%
	OR			
For Home Office Rate	Fringe Benefit % + General & Administrative %	=		Home Office ICR%
For Field Office Rate	Fringe Benefit % + General & Administrative %	=		Field Office ICR%
		Fee =	<b>10%</b>	%

BILLING INFORMATION

CALCULATION INFORMATION

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>3</sup>			Effective date of hourly rate		Actual or Avg. Hourly Rate <sup>4</sup>	% or \$ increase	Hourly range - for classifications only		
	Straight	OT(1.5x)	OT(2x)	From	To					
Senior Structures Representative	\$ 202.00	\$242.00	\$282.00	10/1/2021	9/30/2022	\$ 80.00		\$ 70.00	to	\$ 90.00
	\$ 212.09	\$254.09	\$296.09	10/1/2022	9/30/2023	\$ 84.00	5.00%	\$ 73.50	to	\$ 94.50
	\$ 222.70	\$266.80	\$310.90	10/1/2023	9/30/2024	\$ 88.20	5.00%	\$ 77.18	to	\$ 99.23
	\$ 233.83	\$280.14	\$326.44	10/1/2024	9/30/2025	\$ 92.61	5.00%	\$ 81.03	to	\$ 104.19
Structures Representative	\$ 189.37	\$226.87	\$264.37	10/1/2021	9/30/2022	\$ 75.00		\$ 65.00	to	\$ 80.00
	\$ 198.84	\$238.21	\$277.59	10/1/2022	9/30/2023	\$ 78.75	5.00%	\$ 68.25	to	\$ 84.00
	\$ 208.78	\$250.12	\$291.47	10/1/2023	9/30/2024	\$ 82.69	5.00%	\$ 71.66	to	\$ 88.20
	\$ 219.22	\$262.63	\$306.04	10/1/2024	9/30/2025	\$ 86.82	5.00%	\$ 75.25	to	\$ 92.61
Assistant Structures Representative	\$ 166.65	\$199.65	\$232.65	10/1/2021	9/30/2022	\$ 66.00		\$ 45.00	to	\$ 70.00
	\$ 174.98	\$209.63	\$244.28	10/1/2022	9/30/2023	\$ 69.30	5.00%	\$ 47.25	to	\$ 73.50
	\$ 183.73	\$220.11	\$256.49	10/1/2023	9/30/2024	\$ 72.77	5.00%	\$ 49.61	to	\$ 77.18
	\$ 192.91	\$231.12	\$269.32	10/1/2024	9/30/2025	\$ 76.40	5.00%	\$ 52.09	to	\$ 81.03
Resident Engineer	\$ 239.87	\$287.37	\$334.87	10/1/2021	9/30/2022	\$ 95.00		\$ 85.00	to	\$ 100.00
	\$ 251.86	\$301.74	\$351.61	10/1/2022	9/30/2023	\$ 99.75	5.00%	\$ 89.25	to	\$ 105.00
	\$ 264.46	\$316.82	\$369.19	10/1/2023	9/30/2024	\$ 104.74	5.00%	\$ 93.71	to	\$ 110.25
	\$ 277.68	\$332.67	\$387.65	10/1/2024	9/30/2025	\$ 109.97	5.00%	\$ 98.40	to	\$ 115.76
Assistant Resident Engineer	\$ 189.37	\$226.87	\$264.37	10/1/2021	9/30/2022	\$ 75.00		\$ 55.00	to	\$ 80.00
	\$ 198.84	\$238.21	\$277.59	10/1/2022	9/30/2023	\$ 78.75	5.00%	\$ 57.75	to	\$ 84.00
	\$ 208.78	\$250.12	\$291.47	10/1/2023	9/30/2024	\$ 82.69	5.00%	\$ 60.64	to	\$ 88.20
	\$ 219.22	\$262.63	\$306.04	10/1/2024	9/30/2025	\$ 86.82	5.00%	\$ 63.67	to	\$ 92.61
Inspector IV	\$ 159.07	\$190.57	\$222.07	10/1/2021	9/30/2022	\$ 63.00		\$ 33.00	to	\$ 80.00
	\$ 167.02	\$200.10	\$233.17	10/1/2022	9/30/2023	\$ 66.15	5.00%	\$ 34.65	to	\$ 84.00
	\$ 175.38	\$210.10	\$244.83	10/1/2023	9/30/2024	\$ 69.46	5.00%	\$ 36.38	to	\$ 88.20
	\$ 184.14	\$220.61	\$257.08	10/1/2024	9/30/2025	\$ 72.93	5.00%	\$ 38.20	to	\$ 92.61
Inspector III	\$ 148.97	\$178.47	\$207.97	10/1/2021	9/30/2022	\$ 59.00		\$ 30.00	to	\$ 70.00
	\$ 156.42	\$187.40	\$218.37	10/1/2022	9/30/2023	\$ 61.95	5.00%	\$ 31.50	to	\$ 73.50

**EXHIBIT 10-H2 COST PROPOSAL**

SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-ups are Not Allowed

Consultant Biggs Cardosa Associates, Inc.  Prime Consultant  Subconsultant  2<sup>nd</sup> Tier Subconsultant

Project No. Hartnell Bridge Replacement CM & Inspection Contract No. \_\_\_\_\_ Participation Amount **\$328,000** Date: **4/14/2022**  
(including subs): \_\_\_\_\_

For Combined Rate	Fringe Benefit % + General & Administrative %	=	<b>129.54%</b>	Combined ICR%
	OR			
For Home Office Rate	Fringe Benefit % + General & Administrative %	=		Home Office ICR%
For Field Office Rate	Fringe Benefit % + General & Administrative %	=		Field Office ICR%
	Fee	=	<b>10%</b>	%

BILLING INFORMATION

CALCULATION INFORMATION

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>3</sup>			Effective date of hourly rate		Actual or Avg. Hourly Rate <sup>4</sup>	% or \$ increase	Hourly range - for classifications only		
	Straight	OT(1.5x)	OT(2x)	From	To					
	\$ 164.24	\$196.76	\$229.29	10/1/2023	9/30/2024	\$ 65.05	5.00%	\$ 33.08	to	\$ 77.18
	\$ 172.45	\$206.60	\$240.75	10/1/2024	9/30/2025	\$ 68.30	5.00%	\$ 34.73	to	\$ 81.03
Inspector II	\$ 138.87	\$166.37	\$193.87	10/1/2021	9/30/2022	\$ 55.00		\$ 25.00	to	\$ 65.00
	\$ 145.82	\$174.69	\$203.57	10/1/2022	9/30/2023	\$ 57.75	5.00%	\$ 26.25	to	\$ 68.25
	\$ 153.11	\$183.42	\$213.74	10/1/2023	9/30/2024	\$ 60.64	5.00%	\$ 27.56	to	\$ 71.66
	\$ 160.76	\$192.60	\$224.43	10/1/2024	9/30/2025	\$ 63.67	5.00%	\$ 28.94	to	\$ 75.25
Inspector I	\$ 133.82	\$160.32	\$186.82	10/1/2021	9/30/2022	\$ 53.00		\$ 22.00	to	\$ 65.00
	\$ 140.51	\$168.34	\$196.16	10/1/2022	9/30/2023	\$ 55.65	5.00%	\$ 23.10	to	\$ 68.25
	\$ 147.54	\$176.75	\$205.97	10/1/2023	9/30/2024	\$ 58.43	5.00%	\$ 24.26	to	\$ 71.66
	\$ 154.92	\$185.59	\$216.27	10/1/2024	9/30/2025	\$ 61.35	5.00%	\$ 25.47	to	\$ 75.25

NOTES:

- Key personnel must be marked with an asterisk (\*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (\*\*). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
- The cost proposal format shall not be amended.
- Billing rate = actual hourly rate \* (1+ ICR) \* (1+ Fee). Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans. All costs must comply with the Federal cost principles for reimbursement.
- For named employees and key personnel enter the actual hourly rate. For classifications only, enter the Average Hourly Rate for that classification.

**EXHIBIT 10-H2 COST PROPOSAL**

SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
 (CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-ups are Not Allowed

Consultant Biggs Cardosa Associates, Inc.     Prime Consultant     Subconsultant

Project No. **Hartnell Bridge Replacement CM & Inspection**

Contract No. \_\_\_\_\_

Date **4/14/2022**

**SCHEDULE OF OTHER DIRECT COST ITEMS**

Description of Item	Quantity	Unit	Unit Cost	Total
<b>Travel</b>				
A. Airfare		EA	Actual	
B. Rental Vehicle		EA	Actual	
C. Per Diem		EA	IRS Rate	
D. Lodging		EA	IRS Rate	
<b>Prints &amp; Reproductions</b>				
A. Outside Reproduction		EA	Actual	
B. In-House CADD Prints		EA	\$0.30	
C. In-House CADD Plots		EA	\$1.50	
<b>Project Specific Delivery Services</b>				
A. Delivery Services		EA	Actual	
B. Express Mail/USPS		EA	Actual	
C. Truck Rental		EA	Actual	
<b>Subconsultants</b>				
Ninyo & Moore:			Actual	\$15,000
Galvin Preservation Associates:			Actual	\$23,000
BKF:			Actual	\$10,000

**NOTES:**

1. List other direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentation.
2. Proposed ODC items should be consistently billed regardless of client and contract type.
3. Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
4. Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
5. Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
6. Travel related costs should be pre-approved by the contracting agency and shall not exceed current State Department of Personnel Administration rules.
  
7. If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
8. If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is its standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.
9. The cost proposal format shall not be amended. All costs must comply with the Federal cost principles.
10. Add additional pages if necessary.
11. Subconsultants must provide their own cost proposals.



EXHIBIT 10-H2 COST PROPOSAL

**Certification of Direct Costs:**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. [Title 23 United States Code Section 112](#) - Letting of Contracts
4. [48 Code of Federal Regulations Part 31](#) - Contract Cost Principles and Procedures
5. [23 Code of Federal Regulations Part 172](#) - Procurement, Management, and Administration of Engineering and Design Related Service
6. [48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board](#) (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

**Prime Consultant or Subconsultant Certifying:**

Name: Mahvash Harms Title \*: Principal/ Executive Vice President

Signature : *Mahvash Harms* Date of Certification (mm/dd/yyyy): 4/14/2022

Email: mharms@biggscardosa.com Phone Number: 408.839-8878

\* An individual executive or financial officer of the consultant’s or subconsultant’s organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

**Hartnell Bridge Replacement CM & Inspection assuming 145 working days in construction contract**

**EXHIBIT 10-H2 COST PROPOSAL** Page 1 of 3

SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)

(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-ups are Not Allowed

Consultant BKF Engineers  Prime Consultant  Subconsultant  2<sup>nd</sup> Tier Subconsultant

Project No. Construction Management Services for Hartnell Road Bridge Replacement Project Located in Monterey County, California. Contract No. #10804 Participation Amount \$ \$10,000 Date May 9, 2022

For Combined Rate	Fringe Benefit % + General & Administrative %	= 184.62%	Combined ICR%
OR			
For Home Office Rate	Fringe Benefit % + General & Administrative %	=	Home Office ICR%
For Field Office Rate	Fringe Benefit % + General & Administrative %	=	Field Office ICR%

Fee	=	10%
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**BILLING INFORMATION**

**CALCULATION INFORMATION**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective Date of Hourly Rate		Actual or Avg. Hourly Rate <sup>4</sup>	% or \$ Increase	Hourly Range - for Classifications Only
	Straight <sup>3</sup>	OT(1.5x)	OT(2x)	From	To			
Davis Thresh - Principal-in-Charge	\$304.91	N/A	N/A	01/01/2021	12/31/2021	\$97.39		Not Applicable
	\$320.16	N/A	N/A	01/01/2022	12/31/2022	\$102.26	5.0%	
	\$336.16	N/A	N/A	01/01/2023	12/31/2023	\$107.37	5.0%	
Walter Stemberga - QA/QC Manager	\$243.95	N/A	N/A	01/01/2021	12/31/2021	\$77.92		Not Applicable
	\$256.15	N/A	N/A	01/01/2022	12/31/2022	\$81.82	5.0%	
	\$268.96	N/A	N/A	01/01/2023	12/31/2023	\$85.91	5.0%	
David Darling - Survey Manager	\$209.76	N/A	N/A	01/01/2021	12/31/2021	\$67.00		Not Applicable
	\$220.25	N/A	N/A	01/01/2022	12/31/2022	\$70.35	5.0%	
	\$231.27	N/A	N/A	01/01/2023	12/31/2023	\$73.87	5.0%	
John Lanfranki - Project Surveyor	\$172.20	N/A	N/A	01/01/2021	12/31/2021	\$55.00		Not Applicable
	\$180.80	N/A	N/A	01/01/2022	12/31/2022	\$57.75	5.0%	
	\$189.85	N/A	N/A	01/01/2023	12/31/2023	\$60.64	5.0%	
Jason Simmons - Laser Scanning Specialist	\$203.50	N/A	N/A	01/01/2021	12/31/2021	\$65.00		Not Applicable

	\$213.68	N/A	N/A	01/01/2022	12/31/2022	\$68.25	5.0%	
	\$224.36	N/A	N/A	01/01/2023	12/31/2023	\$71.66	5.0%	

(Add pages as necessary)

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective Date of Hourly Rate		Actual <b>or</b> Avg. Hourly Rate <sup>4</sup>	% or \$ Increase	Hourly Range - for Classifications Only
	Straight <sup>3</sup>	OT(1.5x)	OT(2x)	From	To			
Matt Dinatale - Field Surveyor	\$164.90	N/A	N/A	01/01/2021	12/31/2021	\$52.67		Not Applicable
	\$173.15	N/A	N/A	01/01/2022	12/31/2022	\$55.30	5.0%	
	\$181.80	N/A	N/A	01/01/2023	12/31/2023	\$58.07	5.0%	

NOTES:

1. Key personnel **must** be marked with an asterisk (\*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (\*\*). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
2. The cost proposal format shall not be amended.
3. Billing rate = actual hourly rate \* (1+ ICR) \* (1+ Fee). Indirect cost rates shall be updated on an annual basis in accordance with the consultant’s annual accounting period and established by a cognizant agency or accepted by Caltrans. All costs must comply with the Federal cost principles for reimbursement.
4. For named employees and key personnel enter the actual hourly rate. For classifications only, enter the Average Hourly Rate for that classification.

**EXHIBIT 10-H2 COST PROPOSAL** Page 2 of 3

SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Consultant BKF Engineers  Prime Consultant  Subconsultant

Project No. Construction Management Services for Hartnell Road Bridge Replacement Project Located in Monterey County, California Contract No. #10804 Date May 9, 2022

<b>SCHEDULE OF OTHER DIRECT COST ITEMS (Add additional pages as necessary)</b>				
<b>Description of Item</b>	<b>Quantity</b>	<b>Unit</b>	<b>Unit Cost</b>	<b>Total</b>
Mileage Costs			\$	\$
Equipment Rental and Supplies			\$	\$
Permit Fees			\$	\$
Plan Sheets			\$	\$
Test			\$	\$
Vehicle			\$	\$
Subconsultant 1:				\$
Subconsultant 2:				\$
Subconsultant 3:				\$
Subconsultant 4:				\$
Subconsultant 5:				\$

Note: Add additional pages if necessary.

NOTES:

1. List other direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentation.
2. Proposed ODC items should be consistently billed regardless of client and contract type.
3. Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
4. Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).

5. Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
6. Travel related costs should be pre-approved by the contracting agency and shall not exceed current State Department of Personnel Administration rules.

7. If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
8. If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is its standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.
9. The cost proposal format shall not be amended. All costs must comply with the Federal cost principles.
10. Add additional pages if necessary.
11. Subconsultants must provide their own cost proposals.

**EXHIBIT 10-H2 COST PROPOSAL** Page 3 of 3

**Certification of Direct Costs:**


I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

- 7. Generally Accepted Accounting Principles (GAAP)
- 8. Terms and conditions of the contract
- 9. [Title 23 United States Code Section 112](#) - Letting of Contracts
- 10. [48 Code of Federal Regulations Part 31](#) - Contract Cost Principles and Procedures
- 11. [23 Code of Federal Regulations Part 172](#) - Procurement, Management, and Administration of Engineering and Design Related Service
- 12. [48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board](#) (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

**Prime Consultant or Subconsultant Certifying:**

Name: Jean Chen Title \*: CFO

Signature :  Date of Certification (mm/dd/yyyy): 05/10/22

Email: jchen@bkf.com Phone Number: (650) 482-6300

Address: 255 Shoreline Drive, #200, Redwood City, CA 94065

\* An individual executive or financial officer of the consultant’s or subconsultant’s organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

[Survey and R/W Engineering Services](#)

**EXHIBIT 10-H2 COST PROPOSAL**

SPECIFIC RATE OF COMPENSATION (Use for On-Call or As-Needed Contracts)  
 (CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-Ups are Not Allowed

Consultant GPA Consulting  Prime Consultant  Subconsultant  2nd Tier Subconsultant  
 Project No. Hartnell Road Bridge Replacement Project Contract No. RFP #10804 Participation Amount \$ \$23,000 Date 12-Nov-21

For Combined Rate	97.51%	54.13%					
	Fringe Benefit % + General & Administrative %		=	151.64%	Combined ICR%		
For Home Office Rate							
	Fringe Benefit % + General & Administrative %		=		Home Office ICR%		
For Field Office Rate							
	Fringe Benefit % + General & Administrative %		=		Field Office ICR%		
			Fee	=	10.00%		

**BILLING INFORMATION**

**CALCULATION INFORMATION**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective Date of Hourly Rate		Actual or Average Hourly Rate <sup>4</sup>	% or \$ Increase	Hourly Range - for Classifications Only
	Straight <sup>3</sup>	OT(1.5x)	OT(2x)	From	To			
Richard Galvin* Principal Environmental Planner Exempt	\$274.04	N/A	N/A	1/1/2022	12/31/2023	\$99.00		Not Applicable
	\$282.26	N/A	N/A	1/1/2023	12/31/2024	\$101.97	3.00%	
	\$290.72	N/A	N/A	1/1/2024	12/31/2025	\$105.03	3.00%	
	\$299.45	N/A	N/A	1/1/2025	12/31/2026	\$108.18	3.00%	
	\$308.43	N/A	N/A	1/1/2026	12/31/2027	\$111.43	3.00%	
Angela Scudiere Senior Biologist Exempt	\$139.73	N/A	N/A	1/1/2022	12/31/2023	\$50.48		Not Applicable
	\$143.92	N/A	N/A	1/1/2023	12/31/2024	\$51.99	3.00%	
	\$148.24	N/A	N/A	1/1/2024	12/31/2025	\$53.55	3.00%	
	\$152.69	N/A	N/A	1/1/2025	12/31/2026	\$55.16	3.00%	
	\$157.27	N/A	N/A	1/1/2026	12/31/2027	\$56.82	3.00%	
Stan Glowacki Senior Biologist Exempt	\$135.74	N/A	N/A	1/1/2022	12/31/2023	\$49.04		Not Applicable
	\$139.82	N/A	N/A	1/1/2023	12/31/2024	\$50.51	3.00%	
	\$144.01	N/A	N/A	1/1/2024	12/31/2025	\$52.03	3.00%	
	\$148.33	N/A	N/A	1/1/2025	12/31/2026	\$53.59	3.00%	
	\$152.78	N/A	N/A	1/1/2026	12/31/2027	\$55.19	3.00%	



**EXHIBIT 10-H2 COST PROPOSAL**

SPECIFIC RATE OF COMPENSATION (Use for On-Call or As-Needed Contracts)  
 (CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-Ups are Not Allowed

Consultant GPA Consulting  Prime Consultant  Subconsultant  2nd Tier Subconsultant  
 Project No. Hartnell Road Bridge Replacement Project Contract No. RFP #10804 Participation Amount \$ \$23,000 Date 12-Nov-21

For Combined Rate	97.51%	54.13%						
	Fringe Benefit % + General & Administrative %		=	151.64%	Combined ICR%			
For Home Office Rate								
	Fringe Benefit % + General & Administrative %		=		Home Office ICR%			
For Field Office Rate								
	Fringe Benefit % + General & Administrative %		=		Field Office ICR%			
			Fee	=	10.00%			

**BILLING INFORMATION**

**CALCULATION INFORMATION**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>						Effective Date of Hourly Rate		Actual or Average Hourly Rate <sup>4</sup>	% or \$ Increase	Hourly Range - for Classifications Only
	Straight <sup>3</sup>	OT(1.5x)		OT(2x)		From	To				
STAFF	\$212.92 - \$308.44	\$319.38 - \$462.66	\$425.84 - \$616.89	1/1/2022	12/31/2023	\$94.18		\$76.92 - \$111.43			
Principal Architectural Historian	\$219.31 - \$317.70	\$328.96 - \$476.54	\$438.61 - \$635.39	1/1/2023	12/31/2024	\$97.00	3.00%	\$79.23 - \$114.77			
Exempt	\$225.88 - \$327.23	\$338.83 - \$490.84	\$451.77 - \$654.45	1/1/2024	12/31/2025	\$99.91	3.00%	\$81.60 - \$118.22			
	\$232.66 - \$337.04	\$348.99 - \$505.57	\$465.32 - \$674.09	1/1/2025	12/31/2026	\$102.91	3.00%	\$84.05 - \$121.76			
	\$239.64 - \$347.15	\$359.46 - \$520.73	\$479.28 - \$694.31	1/1/2026	12/31/2027	\$105.99	3.00%	\$86.57 - \$125.42			
STAFF	\$159.69 - \$243.59	\$239.53 - \$365.38	\$319.38 - \$487.18	1/1/2022	12/31/2023	\$72.85		\$57.69 - \$88.00			
Senior Associate	\$164.48 - \$250.90	\$246.72 - \$376.34	\$328.96 - \$501.79	1/1/2023	12/31/2024	\$75.03	3.00%	\$59.42 - \$90.64			
Exempt	\$169.41 - \$258.42	\$254.12 - \$387.63	\$338.83 - \$516.84	1/1/2024	12/31/2025	\$77.28	3.00%	\$61.20 - \$93.36			
	\$174.50 - \$266.17	\$261.74 - \$399.26	\$348.99 - \$532.35	1/1/2025	12/31/2026	\$79.60	3.00%	\$63.04 - \$96.16			
	\$179.73 - \$274.16	\$269.60 - \$411.24	\$359.46 - \$548.32	1/1/2026	12/31/2027	\$81.99	3.00%	\$64.93 - \$99.04			
STAFF	\$115.79 - \$164.48	\$173.68 - \$246.72	\$231.57 - \$328.95	1/1/2022	12/31/2023	\$50.63		\$41.83 - \$59.42			
Senior	\$119.26 - \$169.41	\$178.89 - \$254.12	\$238.52 - \$338.82	1/1/2023	12/31/2024	\$52.14	3.00%	\$43.08 - \$61.20			
Exempt	\$122.84 - \$174.49	\$184.26 - \$261.74	\$245.68 - \$348.99	1/1/2024	12/31/2025	\$53.71	3.00%	\$44.38 - \$63.04			
	\$126.52 - \$179.73	\$189.79 - \$269.59	\$253.05 - \$359.46	1/1/2025	12/31/2026	\$55.32	3.00%	\$45.71 - \$64.93			
	\$130.32 - \$185.12	\$195.48 - \$277.68	\$260.64 - \$370.24	1/1/2026	12/31/2027	\$56.98	3.00%	\$47.08 - \$66.88			
STAFF	\$86.78 - \$119.25	\$130.17 - \$178.87	\$173.56 - \$238.49	1/1/2022	12/31/2023	\$37.22		\$31.35 - \$43.08			
Associate	\$89.38 - \$122.82	\$134.07 - \$184.24	\$178.76 - \$245.65	1/1/2023	12/31/2024	\$38.33	3.00%	\$32.29 - \$44.37			
Non-Exempt	\$92.06 - \$126.51	\$138.09 - \$189.76	\$184.13 - \$253.02	1/1/2024	12/31/2025	\$39.48	3.00%	\$33.26 - \$45.70			
	\$94.82 - \$130.30	\$142.24 - \$195.46	\$189.65 - \$260.61	1/1/2025	12/31/2026	\$40.67	3.00%	\$34.26 - \$47.07			
	\$97.67 - \$134.21	\$146.50 - \$201.32	\$195.34 - \$268.43	1/1/2026	12/31/2027	\$41.89	3.00%	\$35.28 - \$48.49			

**EXHIBIT 10-H2 COST PROPOSAL**

SPECIFIC RATE OF COMPENSATION (Use for On-Call or As-Needed Contracts)  
 (CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-Ups are Not Allowed

Consultant GPA Consulting  Prime Consultant  Subconsultant  2nd Tier Subconsultant  
 Project No. Hartnell Road Bridge Replacement Project Contract No. RFP #10804 Participation Amount \$ \$23,000 Date 12-Nov-21

For Combined Rate	97.51%	54.13%			
	Fringe Benefit % + General & Administrative %		=	151.64%	Combined ICR%
For Home Office Rate					
	Fringe Benefit % + General & Administrative %		=		Home Office ICR%
For Field Office Rate					
	Fringe Benefit % + General & Administrative %		=		Field Office ICR%
			Fee	=	10.00%

**BILLING INFORMATION**

**CALCULATION INFORMATION**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>						Effective Date of Hourly Rate		Actual or Average Hourly Rate <sup>4</sup>	% or \$ Increase	Hourly Range - for Classifications Only
	Straight <sup>3</sup>		OT(1.5x)		OT(2x)		From	To			
STAFF	\$59.87	- \$88.96	\$89.81	- \$133.45	\$119.75	- \$177.93	1/1/2022	12/31/2023	\$26.89		\$21.63 - \$32.14
Biologist, Env. Planner, Architectural Historian	\$61.67	- \$91.63	\$92.50	- \$137.45	\$123.34	- \$183.27	1/1/2023	12/31/2024	\$27.69	3.00%	\$22.28 - \$33.10
Non-Exempt	\$63.52	- \$94.38	\$95.28	- \$141.57	\$127.04	- \$188.77	1/1/2024	12/31/2025	\$28.52	3.00%	\$22.95 - \$34.10
	\$65.42	- \$97.21	\$98.14	- \$145.82	\$130.85	- \$194.43	1/1/2025	12/31/2026	\$29.38	3.00%	\$23.64 - \$35.12
	\$67.39	- \$100.13	\$101.08	- \$150.20	\$134.77	- \$200.26	1/1/2026	12/31/2027	\$30.26	3.00%	\$24.34 - \$36.17

**EXHIBIT 10-H2 COST PROPOSAL**

SPECIFIC RATE OF COMPENSATION (Use for On-Call or As-Needed Contracts)  
 (CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-Ups are Not Allowed

Consultant GPA Consulting  Prime Consultant  Subconsultant  2nd Tier Subconsultant  
 Project No. Hartnell Road Bridge Replacement Project Contract No. RFP #10804 Participation Amount \$ \$23,000 Date 12-Nov-21

For Combined Rate	97.51%	54.13%		
	Fringe Benefit % + General & Administrative %		=	151.64% Combined ICR%
For Home Office Rate	Fringe Benefit % + General & Administrative %		=	Home Office ICR%
For Field Office Rate	Fringe Benefit % + General & Administrative %		=	Field Office ICR%
Fee			=	10.00%

**BILLING INFORMATION**

**CALCULATION INFORMATION**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective Date of Hourly Rate		Actual or Average Hourly Rate <sup>4</sup>	% or \$ Increase	Hourly Range - for Classifications Only
	Straight <sup>3</sup>	OT(1.5x)	OT(2x)	From	To			

NOTES:

1. Key personnel **must** be marked with an asterisk (\*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (\*\*). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
2. The cost proposal format shall not be amended
3. Billing rate = actual hourly rate \* (1+ ICR) \* (1+ Fee). Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans. All costs must comply with the Federal cost principles for reimbursement
4. For named employees and key personnel enter the actual hourly rate. For classifications only, enter the Average Hourly Rate for that classification.

EXHIBIT 10-H2 COST PROPOSAL

**EXHIBIT 10-H2 COST PROPOSAL**

SPECIFIC RATE OF COMPENSATION (Use for On-Call or As-Needed Contracts)  
(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Consultant GPA Consulting  Prime Consultant  Subconsultant  
 Project No. Hartnell Road Bridge Replacement Project Contract No. RFP #10804 Date 12-Nov-21

SCHEDULE OF OTHER DIRECT COST ITEMS				
Description of Item	Quantity	Unit	Unit Cost	TOTAL
Mileage Costs		Mile		Actual Cost
Postage/Delivery		Each		Actual Cost
Copies/Reproduction		Each		Actual Cost
Research Fees		Each		Actual Cost
Parking		Each		Actual Cost
Rental Car, Airfare, Lodging, Meals		Each		Actual Cost
Filing Fees		Each		Actual Cost

NOTES:

- List direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentations.
- Proposed ODC items should be consistently billed regardless of client and contract type.
- Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
- Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
- Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
- Travel related costs should be pre-approved by the contracting agency and shall not exceed current State Department of Personnel Administration rules.
- If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
- If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is its standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.
- The cost proposal format shall not be amended. All costs must comply with the Federal cost principles.
- Add additional pages if necessary.
- Subconsultants must provide their own cost proposals.

**EXHIBIT 10-H2 COST PROPOSAL**

**Certification of Direct Costs:**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Service
6. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

**Prime Consultant or Subconsultant Certifying:**

Name: Richard Galvin Title \*: Vice President

Signature:  Date of Certification (mm/dd/yyyy): 11/12/2021

Email: [richard@gpaconsulting-us.com](mailto:richard@gpaconsulting-us.com) Phone Number: 310-792-2690

Address: 201 Nevada St., Suite B, El Segundo, CA 90245

\*An individual executive or financial officer of the consultant’s or subconsultant’s organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

Environmental Quality Assurance Oversight of Preconstruction Biological Surveys and Environmental Monitoring performed by the Contractor Supplied Biologist, including reviewing Contractor Supplied Biologist resumes, preconstruction biological survey and environmental monitoring reports for compliance to the regulatory permits and contract documents.

**EXHIBIT 10-H2 COST PROPOSAL** Page 1 of 3  
 SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
 (NON-PREVAILING WAGE CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Consultant Ninyo & Moore                       Prime Consultant                       Subconsultant                       2nd Tier Subconsultant

Project No. Co of Monterey Hartnell Road Bridge Replacement                      Contract No. 10804                      Participation Amount \$15,000                      Date 11/5/2021

For Combined Rate	Fringe Benefit % + General Administrative %	=	137.19%
OR			
For Home Office Rate	Fringe Benefit % + General Administrative %	=	137.19%
For Field Office Rate	Fringe Benefit % + General Administrative %	=	137.19%
<b>FEE</b>			<b>= 10%</b>

**BILLING INFORMATION**

**CALCULATION INFORMATION**

Name/Company Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective Date of Hourly Rate		Actual or Avg. Hourly Rate <sup>4</sup>	% or \$ increase	Hourly Range - for Classifications Only
	Straight <sup>3</sup>	OT(1.5X)	OT(2x)	From	To			
Ransom Hennefer, PE, GE	\$188.17	N/A	N/A	1/1/22	12/31/22	\$72.12	0%	Not applicable
Principal Engineer	\$195.69	N/A	N/A	1/1/23	12/31/23	\$75.00	4%	
	\$203.52	N/A	N/A	1/1/24	12/31/24	\$78.00	4%	
	\$211.66	N/A	N/A	1/1/25	12/31/25	\$81.13	4%	
Bryan Steinbruek, ACI, ICC, NICET, Caltrans	\$119.16	\$141.99	\$164.83	1/1/22	12/31/22	\$45.67	0%	Not applicable
Laboratory Manager	\$123.92	\$147.67	\$171.42	1/1/23	12/31/23	\$47.50	4%	
	\$128.88	\$153.58	\$178.28	1/1/24	12/31/24	\$49.40	4%	
	\$134.04	\$159.72	\$185.41	1/1/25	12/31/25	\$51.37	4%	
Jalal Keramat, EIT, ACI, ICC, DSA	\$165.16	\$196.81	\$228.46	1/1/22	12/31/22	\$63.30	0%	Not applicable
Special Inspector	\$171.76	\$204.68	\$237.59	1/1/23	12/31/23	\$65.83	4%	
	\$178.63	\$212.86	\$247.10	1/1/24	12/31/24	\$68.47	4%	
	\$185.78	\$221.38	\$256.98	1/1/25	12/31/25	\$71.20	4%	
Johnkin Eliyeh-Ordshahi, AWS-CWI	\$168.08	\$200.29	\$232.50	1/1/22	12/31/22	\$64.42	0%	Not applicable
Special Inspector	\$174.80	\$208.30	\$241.80	1/1/23	12/31/23	\$67.00	4%	
	\$181.79	\$216.63	\$251.47	1/1/24	12/31/24	\$69.68	4%	
	\$189.06	\$225.30	\$261.53	1/1/25	12/31/25	\$72.46	4%	
Peter Manchester, ACI, Caltrans	\$140.26	\$167.14	\$194.02	1/1/22	12/31/22	\$53.76	0%	Not applicable
Field Technician	\$145.88	\$173.83	\$201.79	1/1/23	12/31/23	\$55.91	4%	
	\$151.71	\$180.78	\$209.86	1/1/24	12/31/24	\$58.15	4%	
	\$157.78	\$188.02	\$218.25	1/1/25	12/31/25	\$60.47	4%	
	\$0.00	\$0.00	\$0.00	1/1/19	12/31/19	\$0.00	0%	\$00 - \$00
	\$0.00	\$0.00	\$0.00	1/1/20	12/31/20	\$0.00	0%	\$00 - \$00
	\$0.00	\$0.00	\$0.00	1/1/21	12/31/21	\$0.00	0%	\$00 - \$00
	\$0.00	\$0.00	\$0.00	1/1/22	12/31/22	\$0.00	0%	\$00 - \$00
	\$0.00	\$0.00	\$0.00	1/1/23	12/31/23	\$0.00	0%	\$00 - \$00
	\$0.00	\$0.00	\$0.00	1/1/19	12/31/19	\$0.00	0%	\$00 - \$00
	\$0.00	\$0.00	\$0.00	1/1/20	12/31/20	\$0.00	0%	\$00 - \$00
	\$0.00	\$0.00	\$0.00	1/1/21	12/31/21	\$0.00	0%	\$00 - \$00
	\$0.00	\$0.00	\$0.00	1/1/22	12/31/22	\$0.00	0%	\$00 - \$00
	\$0.00	\$0.00	\$0.00	1/1/23	12/31/23	\$0.00	0%	\$00 - \$00

**EXHIBIT 10-H2 COST PROPOSAL**  
 SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
 (NON-PREVAILING WAGE CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Consultant Ninyo & Moore

Prime Consultant

Subconsultant

Project No. f Monterey Hartnell Road Bridge Replace

Contract No. 10804

Date 11/05/2021

**SCHEDULE OF OTHER DIRECT COST ITEMS**

Description of Item	Quantity	Unit	Unit Cost	Total
Mileage Costs	TBD	mile	\$ 0.56	#VALUE!
Vehicle	TBD	hour	\$ 12.00	#VALUE!
Concrete Core Equipment (includes one tech)	TBD	hour	\$ 160.00	#VALUE!
Anchor Load Test Equipment (includes tech)	TBD	hour	\$ 105.00	#VALUE!
Hand Auger Equipment	TBD	day	\$ 65.00	#VALUE!
Rebar Locator	TBD	hour	\$ 30.00	#VALUE!
Nuclear Density Gauge Usage	TBD	hour	\$ 13.00	#VALUE!
Laboratory Testing See attached fee schedule				\$ -
Subconsultant 1:				\$ -
Subconsultant 2:				\$ -
Subconsultant 3:				\$ -
Subconsultant 4:				\$ -

**IMPORTANT NOTES:**

- List direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentation.
- Proposed items should be consistently billed regardless of client and contract type.
- Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
- Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
- Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
- Travel related costs should be pre-approved by the contracting agency and shall not exceed current Sate Department of Personnel Administration rules.
- If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
- If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is their standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.
- The cost proposal format shall not be amended. All costs must comply with the Federal cost principles.
- Add additional pages if necessary.
- Subconsultants must provide their own cost proposals.

**EXHIBIT 10-H2 COST PROPOSAL**

**Certification of Direct Costs:**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. [Title 23 United States Code Section 112](#) - Letting of Contracts
4. [48 Code of Federal Regulations Part 31](#) - Contract Cost Principles and Procedures
5. [23 Code of Federal Regulations Part 172](#) - Procurement, Management, and Administration of Engineering and Design Related Service
6. [48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board](#) (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

**Prime Consultant or Subconsultant Certifying:**

Name: Avram Ninyo, PE, GE \_\_\_\_\_

Title \*: Principal Engineer \_\_\_\_\_

Signature :  \_\_\_\_\_

Date of Certification (mm/dd/yyyy): 11/17/2021 \_\_\_\_\_

Email: [aninyo@ninyoandmoore.com](mailto:aninyo@ninyoandmoore.com) \_\_\_\_\_

Phone Number: 408.435-9000 \_\_\_\_\_

\* An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

On-Call Site and Laboratory Soil and Material Testing required for the Hartnell Road Bridge Replacement Project



**EXHIBIT 10-K CONSULTANT ANNUAL CERTIFICATION OF  
INDIRECT COSTS AND FINANCIAL MANAGEMENT SYSTEM**

*(Note: If a Safe Harbor Indirect Cost Rate is approved, this form is not required.)*

Consultant's Full Legal Name: Biggs Cardosa Assocites, Inc.

**Important:** Consultant means the individual or consultant providing engineering and design related services as a party of a contract with a recipient or sub-recipient of Federal assistance. Therefore, the Indirect Cost Rate(s) shall not be combined with its parent company or subsidiaries.

**Indirect Cost Rate:**

Combined Rate 129.54 % OR

Home Office Rate \_\_\_\_\_% and Field Office Rate (if applicable) \_\_\_\_\_%

Facilities Capital Cost of Money \_\_\_\_\_% (if applicable)

**Fiscal period** \* 01/01/2020 - 12/31/2020

\* Fiscal period is annual one year applicable accounting period that the Indirect Cost Rate was developed (not the contract period). The Indirect Cost Rate is based on the consultant's one-year applicable accounting period for which financial statements are regularly prepared by the consultant.

I have reviewed the proposal to establish an Indirect Cost Rate(s) for the **fiscal period** as specified above and have determined to the best of my knowledge and belief that:

- All costs included in the cost proposal to establish the indirect cost rate(s) are allowable in accordance with the cost principles of the Federal Acquisition Regulation (FAR) 48, Code of Federal Regulations (CFR), Chapter 1, Part 31 (48 CFR Part 31);
- The cost proposal does not include any costs which are expressly unallowable under the cost principles of 48 CFR Part 31;
- The accounting treatment and billing of prevailing wage delta costs are consistent with our prevailing wage policy as either direct labor, indirect costs, or other direct costs on all federally-funded A&E Consultant Contracts.
- All known material transactions or events that have occurred subsequent to year-end affecting the consultant's ownership, organization, and indirect cost rates have been disclosed as of the date of this certification.

I am providing the required and applicable documents as instructed on Exhibit 10-A.

**Financial Management System:**

Our labor charging, job costing, and accounting systems meet the standards for financial reporting, accounting records, and internal control adequate to demonstrate that costs claimed have been incurred, appropriately accounted for, are allocable to the contract, and comply with the federal requirements as set forth in [Title 23 United States Code \(U.S.C.\) Section 112\(b\)\(2\); 48 CFR Part 31.201-2\(d\); 23 CFR, Chapter 1, Part 172.11\(a\)\(2\)](#); and all applicable state and federal rules and regulations.

Our financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts;
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into separate cost

accounts;

- Ability to accumulate and segregate allowable direct costs by project, contract and type of cost;
- Internal controls to maintain integrity of financial management system;
- Ability to account and record costs consistently and to ensure costs billed are in compliance with FAR;
- Ability to ensure and demonstrate costs billed reconcile to general ledgers and job costing system; and
- Ability to ensure costs are in compliance with contract terms and federal and state requirement

**Cost Reimbursements on Contracts:**

I also understand that failure to comply with 48 CFR Part 16.301-3 or knowingly charge unallowable costs to Federal-Aid Highway Program (FAHP) contracts may result in possible penalties and sanctions as provided by the following:

- Sanctions and Penalties - [23 CFR Part 172.11\(c\)\(4\)](#)
- False Claims Act - [Title 31 U.S.C. Sections 3729-3733](#)
- Statements or entries generally - [Title 18 U.S.C. Section 1001](#)
- Major Fraud Act - [Title 18 U.S.C. Section 1031](#)

**All A&E Contract Information:**

- Total participation amount \$ 35,000,000.00 on all State and FAHP contracts for Architectural & Engineering services that the consultant received in the last three fiscal periods.
- The number of states in which the consultant does business is 1.00.
- Years of consultant's experience with 48 CFR Part 31 is 25.00.
- Audit history of the consultant's current and prior years (if applicable)
 

<input checked="" type="checkbox"/> Cognizant ICR Audit	<input checked="" type="checkbox"/> Local Gov't ICR Audit	<input checked="" type="checkbox"/> Caltrans ICR Audit
<input checked="" type="checkbox"/> CPA ICR Audit	<input type="checkbox"/> Federal Gov't ICR Audit	

I, the undersigned, certify all of the above to the best of my knowledge and belief and that I have reviewed the Indirect Cost Rate Schedule to determine that any costs which are expressly unallowable under the Federal cost principles have been removed and comply with [Title 23 U.S.C. Section 112\(b\)\(2\)](#), [48 CFR Part 31](#), [23 CFR Part 172](#), and all applicable state and federal rules and regulations. I also certify that I understand that all documentation of compliance must be retained by the consultant. I hereby acknowledge that costs that are noncompliant with the federal and state requirements are not eligible for reimbursement and must be returned to Caltrans.

Name\*\*: Mahvash Harms

Title\*\*: Principal/ Vice President

Signature: *M. Harms*

Date of Certification (mm/dd/yyyy): 01/14/2022

Email\*\*: mharms@biggsardosa.com

Phone Number\*\*: 408-296-5515

\*\*An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President, a Chief Financial Officer, or equivalent, who has authority to represent the financial information used to establish the indirect cost rate.

Note: ***Both prime and subconsultants as parties of a contract must complete their own Exhibit 10-K forms. Caltrans will not process local agency's invoices until a complete Exhibit 10-K form is accepted and approved by Caltrans Audits and Investigations.***

**Distribution:** 1) Original - Local Agency Project File  
2) Copy - Consultant  
3) Copy - Caltrans Audits and Investigations

**EXHIBIT 10-K CONSULTANT ANNUAL CERTIFICATION OF  
INDIRECT COSTS AND FINANCIAL MANAGEMENT SYSTEM**

*(Note: If a Safe Harbor Indirect Cost Rate is approved, this form is not required.)*

Consultant's Full Legal Name: BKF Engineers

**Important:** Consultant means the individual or consultant providing engineering and design related services as a party of a contract with a recipient or sub-recipient of Federal assistance. Therefore, the Indirect Cost Rate(s) shall not be combined with its parent company or subsidiaries.

**Indirect Cost Rate:**

Combined Rate 184.62 % OR

Home Office Rate \_\_\_\_\_% and Field Office Rate (if applicable) \_\_\_\_\_%

Facilities Capital Cost of Money \_\_\_\_\_% (if applicable)

Fiscal period \* 1/1/2020 to 12/31/2020

\* Fiscal period is annual one year applicable accounting period that the Indirect Cost Rate was developed (not the contract period). The Indirect Cost Rate is based on the consultant's one-year applicable accounting period for which financial statements are regularly prepared by the consultant.

I have reviewed the proposal to establish an Indirect Cost Rate(s) for the **fiscal period** as specified above and have determined to the best of my knowledge and belief that:

- All costs included in the cost proposal to establish the indirect cost rate(s) are allowable in accordance with the cost principles of the Federal Acquisition Regulation (FAR) 48, Code of Federal Regulations (CFR), Chapter 1, Part 31 (48 CFR Part 31);
- The cost proposal does not include any costs which are expressly unallowable under the cost principles of 48 CFR Part 31;
- The accounting treatment and billing of prevailing wage delta costs are consistent with our prevailing wage policy as either direct labor, indirect costs, or other direct costs on all federally-funded A&E Consultant Contracts.
- All known material transactions or events that have occurred subsequent to year-end affecting the consultant's ownership, organization, and indirect cost rates have been disclosed as of the date of this certification.

I am providing the required and applicable documents as instructed on Exhibit 10-A.

**Financial Management System:**

Our labor charging, job costing, and accounting systems meet the standards for financial reporting, accounting records, and internal control adequate to demonstrate that costs claimed have been incurred, appropriately accounted for, are allocable to the contract, and comply with the federal requirements as set forth in Title 23 United States Code (U.S.C.) Section 112(b)(2); 48 CFR Part 31.201-2(d); 23 CFR, Chapter 1, Part 172.11(a)(2); and all applicable state and federal rules and regulations.

Our financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts;
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into separate cost

accounts;

- Ability to accumulate and segregate allowable direct costs by project, contract and type of cost;
- Internal controls to maintain integrity of financial management system;
- Ability to account and record costs consistently and to ensure costs billed are in compliance with FAR;
- Ability to ensure and demonstrate costs billed reconcile to general ledgers and job costing system; and
- Ability to ensure costs are in compliance with contract terms and federal and state requirement

**Cost Reimbursements on Contracts:**

I also understand that failure to comply with 48 CFR Part 16.301-3 or knowingly charge unallowable costs to Federal-Aid Highway Program (FAHP) contracts may result in possible penalties and sanctions as provided by the following:

- Sanctions and Penalties - 23 CFR Part 172.11(c)(4)
- False Claims Act - Title 31 U.S.C. Sections 3729-3733
- Statements or entries generally - Title 18 U.S.C. Section 1001
- Major Fraud Act - Title 18 U.S.C. Section 1031

**All A&E Contract Information:**

- Total participation amount \$ 50,000.00 on all State and FAHP contracts for Architectural & Engineering services that the consultant received in the last three fiscal periods.
- The number of states in which the consultant does business is 1.
- Years of consultant's experience with 48 CFR Part 31 is 20.
- Audit history of the consultant's current and prior years (if applicable)
 

<input type="checkbox"/> Cognizant ICR Audit	<input type="checkbox"/> Local Gov't ICR Audit	<input type="checkbox"/> Caltrans ICR Audit
<input checked="" type="checkbox"/> CPA ICR Audit	<input type="checkbox"/> Federal Gov't ICR Audit	

I, the undersigned, certify all of the above to the best of my knowledge and belief and that I have reviewed the Indirect Cost Rate Schedule to determine that any costs which are expressly unallowable under the Federal cost principles have been removed and comply with Title 23 U.S.C. Section 112(b)(2), 48 CFR Part 31, 23 CFR Part 172, and all applicable state and federal rules and regulations. I also certify that I understand that all documentation of compliance must be retained by the consultant. I hereby acknowledge that costs that are noncompliant with the federal and state requirements are not eligible for reimbursement and must be returned to Caltrans.

Name\*\*: Gordon C. Sweet

Title\*\*: Principal/Vice President

Signature: Gordon C. Sweet, PE

Date of Certification (mm/dd/yyyy): 03/09/2022

Email\*\*: gsweet@bkf.com

Phone Number\*\*: 925.396.7700

\*\*An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President, a Chief Financial Officer, or equivalent, who has authority to represent the financial information used to establish the indirect cost rate.

Note: ***Both prime and subconsultants as parties of a contract must complete their own Exhibit 10-K forms. Caltrans will not process local agency's invoices until a complete Exhibit 10-K form is accepted and approved by Caltrans Audits and Investigations.***

Distribution: 1) Original - Local Agency Project File  
2) Copy - Consultant  
3) Copy - Caltrans Audits and Investigations

**EXHIBIT 10-K CONSULTANT ANNUAL CERTIFICATION OF  
INDIRECT COSTS AND FINANCIAL MANAGEMENT SYSTEM**

*(Note: If a Safe Harbor Indirect Cost Rate is approved, this form is not required.)*

**Consultant's Full Legal Name:** Ninyo & Moore Geotechnical and Environmental Sciences Consultants

**Important:** Consultant means the individual or consultant providing engineering and design related services as a party of a contract with a recipient or sub-recipient of Federal assistance. Therefore, the Indirect Cost Rate(s) shall not be combined with its parent company or subsidiaries.

**Indirect Cost Rate:**

Combined Rate 137.19 % OR

Home Office Rate \_\_\_\_\_% and Field Office Rate (if applicable) \_\_\_\_\_%

Facilities Capital Cost of Money \_\_\_\_\_% (if applicable)

**Fiscal period \*** January 1, 2020 to December 31, 2020

\* Fiscal period is annual one year applicable accounting period that the Indirect Cost Rate was developed (not the contract period). The Indirect Cost Rate is based on the consultant's one-year applicable accounting period for which financial statements are regularly prepared by the consultant.

I have reviewed the proposal to establish an Indirect Cost Rate(s) for the **fiscal period** as specified above and have determined to the best of my knowledge and belief that:

- All costs included in the cost proposal to establish the indirect cost rate(s) are allowable in accordance with the cost principles of the Federal Acquisition Regulation (FAR) 48, Code of Federal Regulations (CFR), Chapter 1, Part 31 (48 CFR Part 31);
- The cost proposal does not include any costs which are expressly unallowable under the cost principles of 48 CFR Part 31;
- The accounting treatment and billing of prevailing wage delta costs are consistent with our prevailing wage policy as either direct labor, indirect costs, or other direct costs on all federally-funded A&E Consultant Contracts.
- All known material transactions or events that have occurred subsequent to year-end affecting the consultant's ownership, organization, and indirect cost rates have been disclosed as of the date of this certification.

I am providing the required and applicable documents as instructed on Exhibit 10-A.

**Financial Management System:**

Our labor charging, job costing, and accounting systems meet the standards for financial reporting, accounting records, and internal control adequate to demonstrate that costs claimed have been incurred, appropriately accounted for, are allocable to the contract, and comply with the federal requirements as set forth in Title 23 United States Code (U.S.C.) Section 112(b)(2); 48 CFR Part 31.201-2(d); 23 CFR, Chapter 1, Part 172.11(a)(2); and all applicable state and federal rules and regulations.

Our financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts;
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into separate cost

accounts;

- Ability to accumulate and segregate allowable direct costs by project, contract and type of cost;
- Internal controls to maintain integrity of financial management system;
- Ability to account and record costs consistently and to ensure costs billed are in compliance with FAR;
- Ability to ensure and demonstrate costs billed reconcile to general ledgers and job costing system; and
- Ability to ensure costs are in compliance with contract terms and federal and state requirement

**Cost Reimbursements on Contracts:**

I also understand that failure to comply with 48 CFR Part 16.301-3 or knowingly charge unallowable costs to Federal-Aid Highway Program (FAHP) contracts may result in possible penalties and sanctions as provided by the following:

- Sanctions and Penalties - 23 CFR Part 172.11(c)(4)
- False Claims Act - Title 31 U.S.C. Sections 3729-3733
- Statements or entries generally - Title 18 U.S.C. Section 1001
- Major Fraud Act - Title 18 U.S.C. Section 1031

**All A&E Contract Information:**

- Total participation amount \$ 10 million on all State and FAHP contracts for Architectural & Engineering services that the consultant received in the last three fiscal periods.
- The number of states in which the consultant does business is 6.
- Years of consultant's experience with 48 CFR Part 31 is 30.
- Audit history of the consultant's current and prior years (if applicable)
 

<input type="checkbox"/> Cognizant ICR Audit	<input type="checkbox"/> Local Gov't ICR Audit	<input checked="" type="checkbox"/> Caltrans ICR Audit
<input type="checkbox"/> CPA ICR Audit	<input type="checkbox"/> Federal Gov't ICR Audit	

I, the undersigned, certify all of the above to the best of my knowledge and belief and that I have reviewed the Indirect Cost Rate Schedule to determine that any costs which are expressly unallowable under the Federal cost principles have been removed and comply with Title 23 U.S.C. Section 112(b)(2), 48 CFR Part 31, 23 CFR Part 172, and all applicable state and federal rules and regulations. I also certify that I understand that all documentation of compliance must be retained by the consultant. I hereby acknowledge that costs that are noncompliant with the federal and state requirements are not eligible for reimbursement and must be returned to Caltrans.

Name\*\*: Tamera Richards Title\*\*: Controller  
 Signature: Tamera Richards Date of Certification (mm/dd/yyyy): 3/8/2022  
 Email\*\*: trichards@ninyoandmoore.com Phone Number\*\*: 858/576-1000

\*\*An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President, a Chief Financial Officer, or equivalent, who has authority to represent the financial information used to establish the indirect cost rate.

Note: ***Both prime and subconsultants as parties of a contract must complete their own Exhibit 10-K forms. Caltrans will not process local agency's invoices until a complete Exhibit 10-K form is accepted and approved by Caltrans Audits and Investigations.***

Distribution: 1) Original - Local Agency Project File  
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 3) Copy - Caltrans Audits and Investigations

**EXHIBIT 10-K CONSULTANT ANNUAL CERTIFICATION OF  
INDIRECT COSTS AND FINANCIAL MANAGEMENT SYSTEM**

*(Note: If a Safe Harbor Indirect Cost Rate is approved, this form is not required.)*

**Consultant's Full Legal Name:** Galvin Preservation Associates Inc. (dba GPA Consulting)

**Important:** Consultant means the individual or consultant providing engineering and design related services as a party of a contract with a recipient or sub-recipient of Federal assistance. Therefore, the Indirect Cost Rate(s) shall not be combined with its parent company or subsidiaries.

**Indirect Cost Rate:**

Combined Rate 151.64 % **OR**

Home Office Rate \_\_\_\_\_% and Field Office Rate (if applicable) \_\_\_\_\_%

Facilities Capital Cost of Money \_\_\_\_\_% (if applicable)

**Fiscal period \*** 1/1/2020-12/31/2020

\* Fiscal period is annual one year applicable accounting period that the Indirect Cost Rate was developed (not the contract period). The Indirect Cost Rate is based on the consultant's one-year applicable accounting period for which financial statements are regularly prepared by the consultant.

I have reviewed the proposal to establish an Indirect Cost Rate(s) for the **fiscal period** as specified above and have determined to the best of my knowledge and belief that:

- All costs included in the cost proposal to establish the indirect cost rate(s) are allowable in accordance with the cost principles of the Federal Acquisition Regulation (FAR) 48, Code of Federal Regulations (CFR), Chapter 1, Part 31 (48 CFR Part 31);
- The cost proposal does not include any costs which are expressly unallowable under the cost principles of 48 CFR Part 31;
- The accounting treatment and billing of prevailing wage delta costs are consistent with our prevailing wage policy as either direct labor, indirect costs, or other direct costs on all federally-funded A&E Consultant Contracts.
- All known material transactions or events that have occurred subsequent to year-end affecting the consultant's ownership, organization, and indirect cost rates have been disclosed as of the date of this certification.

I am providing the required and applicable documents as instructed on Exhibit 10-A.

**Financial Management System:**

Our labor charging, job costing, and accounting systems meet the standards for financial reporting, accounting records, and internal control adequate to demonstrate that costs claimed have been incurred, appropriately accounted for, are allocable to the contract, and comply with the federal requirements as set forth in Title 23 United States Code (U.S.C.) Section 112(b)(2); 48 CFR Part 31.201-2(d); 23 CFR, Chapter 1, Part 172.11(a)(2); and all applicable state and federal rules and regulations.

Our financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts;
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into separate cost

## Local Assistance Procedures Manual

Exhibit 10-K

## Consultant Annual Certification of Indirect Costs and Financial Management System

accounts;

- Ability to accumulate and segregate allowable direct costs by project, contract and type of cost;
- Internal controls to maintain integrity of financial management system;
- Ability to account and record costs consistently and to ensure costs billed are in compliance with FAR;
- Ability to ensure and demonstrate costs billed reconcile to general ledgers and job costing system; and
- Ability to ensure costs are in compliance with contract terms and federal and state requirement

Cost Reimbursements on Contracts:

I also understand that failure to comply with 48 CFR Part 16.301-3 or knowingly charge unallowable costs to Federal-Aid Highway Program (FAHP) contracts may result in possible penalties and sanctions as provided by the following:

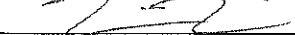
- Sanctions and Penalties - 23 CFR Part 172.11(c)(4)
- False Claims Act - Title 31 U.S.C. Sections 3729-3733
- Statements or entries generally - Title 18 U.S.C. Section 1001
- Major Fraud Act - Title 18 U.S.C. Section 1031

All A&E Contract Information:

- Total participation amount \$ 18,707,324.31 on all State and FAHP contracts for Architectural & Engineering services that the consultant received in the last three fiscal periods.
- The number of states in which the consultant does business is 1.
- Years of consultant's experience with 48 CFR Part 31 is 18.
- Audit history of the consultant's current and prior years (if applicable)
 

<input type="checkbox"/> Cognizant ICR Audit	<input type="checkbox"/> Local Gov't ICR Audit	<input checked="" type="checkbox"/> Caltrans ICR Audit
<input type="checkbox"/> CPA ICR Audit	<input type="checkbox"/> Federal Gov't ICR Audit	

I, the undersigned, certify all of the above to the best of my knowledge and belief and that I have reviewed the Indirect Cost Rate Schedule to determine that any costs which are expressly unallowable under the Federal cost principles have been removed and comply with Title 23 U.S.C. Section 112(b)(2), 48 CFR Part 31, 23 CFR Part 172, and all applicable state and federal rules and regulations. I also certify that I understand that all documentation of compliance must be retained by the consultant. I hereby acknowledge that costs that are noncompliant with the federal and state requirements are not eligible for reimbursement and must be returned to Caltrans.

Name\*\*: Richard GalvinTitle\*\*: Vice PresidentSignature: Date of Certification (mm/dd/yyyy): 3/8/22Email\*\*: richard@gpaconsulting-us.comPhone Number\*\*: 310-792-2690

\*\*An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President, a Chief Financial Officer, or equivalent, who has authority to represent the financial information used to establish the indirect cost rate.


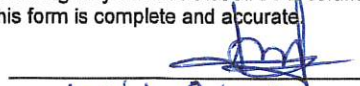
Note: **Both prime and subconsultants as parties of a contract must complete their own Exhibit 10-K forms. Caltrans will not process local agency's invoices until a complete Exhibit 10-K form is accepted and approved by Caltrans Audits and Investigations.**

Distribution: 1) Original - Local Agency Project File  
2) Copy - Consultant  
3) Copy - Caltrans Audits and Investigations



**EXHIBIT 10-01 CONSULTANT PROPOSAL DBE COMMITMENT**

1. Local Agency: County of Monterey 2. Contract DBE Goal: 7%  
 3. Project Description: Hartnell Bridge Replacement Construction Management Services  
 4. Project Location: Salinas, CA  
 5. Consultant's Name: Biggs Cardosa Associates, Inc. 6. Prime Certified DBE:

7. Description of Work, Service, or Materials Supplied	8. DBE Certification Number	9. DBE Contact Information	10. DBE %
Environmental/Biological Survey & Monitoring	36278	GPA Consulting, 2600 Capitol Avenue, Suite 100 Sacramento, CA 95816, T: 310.792.2690	7.00
<b>Local Agency to Complete this Section</b>		<b>11. TOTAL CLAIMED DBE PARTICIPATION</b> 7.00 %	
17. Local Agency Contract Number: <u>3854</u> 18. Federal-Aid Project Number: <u>BRLO-5944(103)</u> 19. Proposed Contract Execution Date: <u>March 31, 2022</u> 20. Consultant's Ranking after Evaluation: <u>  </u>	IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Written confirmation of each listed DBE is required.   12. Preparer's Signature _____ 13. Date <u>11/18/2021</u> Ron Oen, PE, QSD 408.296.5515 14. Preparer's Name _____ 15. Phone _____ Constuction Manager/RE 16. Preparer's Title _____		
Local Agency certifies that all DBE certifications are valid and information on this form is complete and accurate.   <u>José L. Gómez</u> <u>Feb 16, 2022</u> Project Manager II			


DISTRIBUTION: Original – Included with consultant's proposal to local agency.

ADA Notice: For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**NOT APPLICABLE**

**EXHIBIT 10-Q DISCLOSURE OF LOBBYING ACTIVITIES**

COMPLETE THIS FORM TO DISCLOSE LOBBYING ACTIVITIES PURSUANT TO 31 U.S.C. 1352

<b>1. Type of Federal Action:</b>		<b>2. Status of Federal Action:</b>		<b>3. Report Type:</b>	
<input type="checkbox"/>	a. contract	<input type="checkbox"/>	a. bid/offer/application	<input type="checkbox"/>	a. initial
<input type="checkbox"/>	b. grant	<input type="checkbox"/>	b. initial award	<input type="checkbox"/>	b. material change
<input type="checkbox"/>	c. cooperative agreement	<input type="checkbox"/>	c. post-award	<b>For Material Change Only:</b>	
<input type="checkbox"/>	d. loan	year _____ quarter _____			
<input type="checkbox"/>	e. loan guarantee	date of last report _____			
<input type="checkbox"/>	f. loan insurance				
<b>4. Name and Address of Reporting Entity</b>			<b>5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:</b>		
<input type="checkbox"/>	Prime	<input type="checkbox"/>	Subawardee		
Tier _____, if known			Congressional District, if known		
<b>6. Federal Department/Agency:</b>			<b>7. Federal Program Name/Description:</b>		
			CFDA Number, if applicable _____		
<b>8. Federal Action Number, if known:</b>			<b>9. Award Amount, if known:</b>		
<b>10. Name and Address of Lobby Entity</b> (If individual, last name, first name, MI)			<b>11. Individuals Performing Services</b> (including address if different from No. 10) (last name, first name, MI)		
(attach Continuation Sheet(s) if necessary)					
<b>12. Amount of Payment (check all that apply)</b>			<b>14. Type of Payment (check all that apply)</b>		
\$ _____	<input type="checkbox"/> actual	<input type="checkbox"/> planned	<input checked="" type="checkbox"/>	a. retainer	
<b>13. Form of Payment (check all that apply):</b>			<input type="checkbox"/>	b. one-time fee	
<input checked="" type="checkbox"/>	a. cash	<input type="checkbox"/>	<input type="checkbox"/>	c. commission	
<input type="checkbox"/>	b. in-kind; specify: nature _____	<input type="checkbox"/>	<input type="checkbox"/>	d. contingent fee	
Value _____		<input type="checkbox"/>	<input type="checkbox"/>	e. deferred	
		<input type="checkbox"/>	<input type="checkbox"/>	f. other, specify _____	
<b>15. Brief Description of Services Performed or to be performed and Date(s) of Service, including officer(s), employee(s), or member(s) contacted, for Payment Indicated in Item 12:</b>					
(attach Continuation Sheet(s) if necessary)					
<b>16. Continuation Sheet(s) attached: Yes <input type="checkbox"/> No <input type="checkbox"/></b>					
<b>17. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying reliance was placed by the tier above when his transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to Congress semiannually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</b>			Signature: 		
			Print Name: <sup>408.296.5515</sup> Mahvash M. Harms		
			Title: <del>Principal</del> President		
			Telephone No.: 408.296.5515 Date: 2/16/2022		
			EXT. 1128		
			Authorized for Local Reproduction		
			Standard Form - LLL		
<b>Federal Use Only:</b>					

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**EXHIBIT C – REVISION TO PARAGRAPH 8, INDEMNIFICATION, AND PARAGRAPH 11, NON-DISCRIMINATION, AND ADDITION OF PARAGRAPH 16, COMPLIANCE WITH APPLICABLE LAWS, AND PARAGRAPH 17, CONSENT TO USE OF ELECTRONIC SIGNATURES, TO AGREEMENT**

**8. INDEMNIFICATION.**

8.01 For purposes of the following indemnification provisions (“Indemnification Agreement”), “design professional” has the same meaning as set forth in California Civil Code section 2782.8. If any term, provision or application of this Indemnification Agreement is found to be invalid, in violation of public policy or unenforceable to any extent, such finding shall not invalidate any other term or provision of this Indemnification Agreement and such other terms and provisions shall continue in full force and effect. If there is any conflict between the terms, provisions or application of this Indemnification Agreement and the provisions of California Civil Code Sections 2782 or 2782.8, the broadest indemnity protection for COUNTY under this Indemnity Agreement that is permitted by law shall be provided by CONTRACTOR.

8.02 Indemnification for Design Professional Services Claims:

CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claims that arise out of, or pertain to, or relate to the negligence, recklessness, or willful misconduct of CONTRACTOR, its employees, subcontractors, and agents in the performance of design professional services under this Agreement, excepting only liability arising from the sole negligence, active negligence or willful misconduct of COUNTY, or defect in a design furnished by COUNTY, but in no event shall the amount of such CONTRACTOR’s liability exceed such CONTRACTOR’s proportionate percentage of fault as determined by a court, arbitrator or mediator, or as set out in a settlement agreement. In the event one (1) or more defendants to any action involving such claim or claims against COUNTY is unable to pay its share of defense costs due to bankruptcy or dissolution of the business, such CONTRACTOR shall meet and confer with the other parties to such action regarding unpaid defense costs.

8.03 Indemnification for All Other Claims or Loss:

For any claim, loss, injury, damage, expense or liability other than claims arising out of CONTRACTOR’s performance of design professional services under this Agreement, CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claim for loss, injury, damage, expense or liability resulting from or alleging injury to or death of any person or loss of use of or damage to property, arising from or related to the performance of services under this Agreement by CONTRACTOR, its employees, subcontractors or agents, excepting only liability arising from the sole negligence, active negligence or willful misconduct of COUNTY, or defect in a design furnished by COUNTY.

**11. NON-DISCRIMINATION.** During the performance of this Agreement, CONTRACTOR, and its subcontractors, shall not unlawfully discriminate against any person because of race, religious creed, color, sex, national origin, ancestry, physical disability, mental disability, medical condition, marital status, age (over 40), sexual orientation, or any other characteristic set forth in California Government code § 12940(a), either in CONTRACTOR's employment practices or in the furnishing of services to recipients. CONTRACTOR shall ensure that the evaluation and treatment of its employees and applicants for employment and all persons receiving and requesting services are free of such discrimination. CONTRACTOR and any subcontractor shall, in the performance of this Agreement, fully comply with all federal, state, and local laws and regulations which prohibit discrimination. The provision of services primarily or exclusively to such target population as may be designated in this Agreement shall not be deemed to be prohibited discrimination.

**16. COMPLIANCE WITH APPLICABLE LAWS**

16.01 CONTRACTOR shall keep itself informed of and in compliance with all federal, state and local laws, ordinances, regulations, and orders including but not limited to all state and federal tax laws that may affect in any manner the Project or the performance of the Services or those engaged to perform Services under this Agreement as well as any privacy laws including, if applicable, Health Insurance Portability and Accountability Act (HIPAA). CONTRACTOR shall procure all permits and licenses, pay all charges and fees, and give all notices required by law in the performance of the Services.

16.02 CONTRACTOR shall report immediately to County's Contracts/Purchasing Officer, in writing, any discrepancy or inconsistency it discovers in the laws, ordinances, regulations, orders, and/or guidelines in relation to the Project of the performance of the Services.

16.03 All documentation prepared by CONTRACTOR shall provide for a completed project that conforms to all applicable codes, rules, regulations, and guidelines that are in force at the time such documentation is prepared.

**17. CONSENT TO USE OF ELECTRONIC SIGNATURES**

17.01 The parties to this Agreement consent to the use of electronic signatures via DocuSign to execute this Agreement. The parties understand and agree that the legality of electronic signatures is governed by state and federal law, 15 U.S.C. Section 7001 et seq.; California Government Code Section 16.5; and, California Civil Code Section 1633.1 *et seq.* Pursuant to said state and federal law as may be amended from time to time, the parties to this Agreement hereby authenticate and execute this Agreement, and any and all Exhibits to this Agreement, with their respective electronic signatures, including any and all scanned signatures in portable document format (PDF).

17.02 Counterparts. The parties to this Agreement understand and agree that this Agreement can be executed in two (2) or more counterparts and transmitted electronically via facsimile transmission or by delivery of a scanned counterpart in portable document format (PDF) via email transmittal.

17.03 Form: Delivery by E-Mail or Facsimile. Executed counterparts of this Agreement may be delivered by facsimile transmission or by delivery of a scanned counterpart in portable format (PDF) by e-mail transmittal, in either case with delivery confirmed. On such confirmed delivery, the signatures in the facsimile or PDF data file shall be deemed to have the same force and effect as if the manually signed counterpart or counterparts had been delivered to the other party in person.

**EXHIBIT D – INCORPORATION OF RFP #10804, ADDENDUMS NO. 1 AND NO. 2 TO  
RFP #10804 AND PROPOSAL DOCUMENTS**

The County invited submittals to Request for Proposals (RFP) through RFP #10804, to provide construction management services for the Hartnell Road Bridge Replacement Project (Project). Biggs Cardosa Associates, Inc. submitted a responsive and responsible Proposal to perform the services listed in RFP #10804. County selected Biggs Cardosa Associates, Inc. to provide construction management services for the Project.

RFP #10804, including Addendums No. 1 and No. 2 to RFP #10804, and the Proposal submitted by Biggs Cardosa Associates, Inc. are hereby incorporated into this Agreement by this reference.