

**AMENDMENT NO. 2
TO STANDARD AGREEMENT A-13961
BETWEEN COUNTY OF MONTEREY AND
MARK WILLIAM STANFORD, Ph.D.**

This **AMENDMENT NO. 2** to the County of Monterey Standard Agreement A-13961 (hereinafter referred to as “AGREEMENT”) is entered by and between Mark William Stanford, Ph.D. (hereinafter referred to as “CONTRACTOR”), and the County of Monterey, a political subdivision of the State of California, (hereinafter referred to as “COUNTY”).

WHEREAS, the COUNTY entered into an AGREEMENT with CONTRACTOR in the amount of \$5,799 for the term from July 1, 2018 to June 30, 2019 for training on the use of the American Society of Addiction Medicine (ASAM) Criteria as applied to the County of Monterey Health Department Behavioral Health Bureau Drug Medi-Cal Organized Delivery System; and

WHEREAS, the COUNTY entered in an AMENDMENT NO. 1 to AGREEMENT to revise Section 2.0 Payment Provisions, Section 3.0 Term of Agreement, EXHIBIT A: SCOPE OF SERVICES/PAYMENT PROVISIONS to add \$19,800 for ASAM Phase 2 and Substance Use Disorder (SUD) training services, and revise EXHIBIT C: BEHAVIORAL HEALTH INVOICE FORM, accordingly for a revised AGREEMENT amount of \$25,599 for the term from July 1, 2018 to June 30, 2020; and

WHEREAS, the COUNTY and CONTRACTOR hereby wish to amend the AGREEMENT to revise Section 2.0 PAYMENT PROVISIONS, Section 3.0 TERM OF AGREEMENT, EXHIBIT A-1: SCOPE OF SERVICES/PAYMENT PROVISIONS to add funds for SUD and ASAM Phase 1 training services in the amount of \$13,000, and add Consultation services in the amount of \$12,500 for a total increase of \$25,500 and a revised AGREEMENT in the amount of \$51,099 for the term from July 1, 2018 to June 30, 2022; and

NOW THEREFORE, the COUNTY and CONTRACTOR hereby agree to amend the AGREEMENT in the following manner:

1. Section 2.01 PAYMENT PROVISIONS shall be amended by removing “*The total amount payable by the County to CONTRACTOR under this Agreement is not to exceed the sum of \$25,599*” and replacing it with “*The total amount payable by County to CONTRACTOR under this Agreement is not to exceed the sum of \$51,099.*”
2. Section 3.01 TERM OF AGREEMENT shall be amended by removing “*The term of this Agreement is from July 1, 2018 to **June 30, 2020**, unless sooner terminated pursuant to the terms of this Agreement*” and replacing it with “*The term of this Agreement is from July 1, 2018 to **June 30, 2022**, unless sooner terminated pursuant to the terms of this Agreement.*”
3. EXHIBIT A-2: SCOPE OF SERVICES/PAYMENT PROVISIONS replaces EXHIBITS A-1 and A. All references in the AGREEMENT to EXHIBIT A shall be construed to refer to EXHIBIT A-2 as attached to this AMENDMENT NO. 2 and incorporated herein.

4. EXHIBIT C-2: BEHAVIORAL HEALTH INVOICE FORM replaces EXHIBITS C-1 and C. All references in the AGREEMENT to EXHIBIT C shall be construed to refer to EXHIBIT C-2 as attached to this AMENDMENT NO. 2 and incorporated herein.
5. Except as provided herein, all remaining terms, conditions and provisions of the AGREEMENT are unchanged and unaffected by this AMENDMENT and shall continue in full force and effect as set forth in the AGREEMENT.
6. This Amendment No. 2 shall be effective June 1, 2020.
7. A copy of this AMENDMENT shall be attached to the original AGREEMENT executed by the COUNTY on June 27, 2018.

(The remainder of this page is intentionally left blank.)

IN WITNESS WHEREOF, COUNTY and CONTRACTOR have executed this AMENDMENT NO. 2 to the AGREEMENT A-13961 as of the day and year written below.

COUNTY OF MONTEREY

CONTRACTOR

MARK WILLIAM STANFORD, Ph.D.

By: _____
Contracts/Purchasing Officer
Date: _____
By: _____
Department Head (if applicable)
Date: _____
By: _____
Board of Supervisors (if applicable)
Date: _____

Contractor's Business Name*
By: _____
(Signature of Chair, President, or Vice-President)*
MARK STANFORD owner
Name and Title
Date: 04/27/2020

Approved as to Form ¹
By: _____
DocuSigned by:
Marina Pantchenko
County Counsel
Date: 5/7/2020 | 1:44 PM PDT

By: _____
(Signature of Secretary, Asst. Secretary, CFO, Treasurer or Asst. Treasurer)*
Name and Title
Date: _____

Approved as to Fiscal Provisions ²
By: _____
DocuSigned by:
Burcu Mousa
Auditor/Controller
Date: 5/8/2020 | 1:48 PM PDT

Approved as to Liability Provisions ³
By: _____
Risk Management
Date: _____

County Board of Supervisors' Agreement Number: A-13961

*INSTRUCTIONS: IF CONTRACTOR is a corporation, including non-profit corporations, the full legal name of the corporation shall be set forth above together with the signatures of two (2) specified officers per California Corporations Code Section 313. If CONTRACTOR is a Limited Liability Corporation (LLC), the full legal name of the LLC shall be set forth above together with the signatures of two (2) managers. If the CONTRACTOR is a partnership, the full legal name of the partnership shall be set forth above together with the signature of a partner who has authority to execute this Agreement on behalf of the partnership. If CONTRACTOR is contracting in an individual capacity, the individual shall set forth the name of the business, if any, and shall personally sign the Agreement or Amendment to said Agreement.

¹Approval by County Counsel is required

²Approval by Auditor-Controller is required

³Approval by Risk Management is necessary only if changes are made in paragraphs 8 or 9

EXHIBIT A-2: SCOPE OF SERVICES/PAYMENT PROVISIONS

**County of Monterey Standard Agreement
between
County of Monterey
Health Department Behavioral Health Bureau and
Mark William Stanford, Ph.D., Consultant**

Exhibit A-2 shall be incorporated by reference as part of the Standard Agreement governing work to be performed under the above referenced AGREEMENT, the nature of the working relationship between the COUNTY and the CONTRACTOR, and specific obligations of the CONTRACTOR.

- I. PURPOSE:** To provide training and consultation, as requested by COUNTY, to Monterey County Substance Use Disorder (SUD) contract treatment providers and the Monterey County Health Department Behavioral Health Bureau (“MCBH”) staff.
- II. PERIOD OF PERFORMANCE:** Subject to other AGREEMENT provisions, the period of performance under this AGREEMENT shall be from **July 1, 2018 to June 30, 2022.**
- III. SCOPE OF WORK**

- A. PROGRAM GOALS AND OBJECTIVES:** The CONTRACTOR shall provide specialized **ASAM A, B and C Phase 2** Training Series, on-site and/or on-line during the 2019 novel coronavirus (“COVID-19”) pandemic crisis, as conditions determine, for four (4) cohorts for a combination of half and full days for up to a total of **twelve (12) full days**. The training shall consist of emphasizing the ASAM system integration within SUD treatment provider organization as applied by the County of Monterey Health Department Behavioral Health Bureau’s (“MCBH”) Drug Medi-Cal Organized Delivery System. This training shall emphasize the ASAM system integration within treatment provider organizations.

The training shall be delivered to the SUD treatment providers. Program Officers and selected MCBH Quality Assurance representatives shall be the target audience and otherwise do all things necessary for, or incidental to, the performance of work, in that training shall enable participants to meet the Learning Objectives, as set forth below:

1. Identify program site ASAM Champions who shall be trained as the lead person for that program’s staff on matters related to ASAM implementation.
2. Develop and train on the use of an internal self-monitoring tool for internal audits on applied ASAM for patient care and service delivery.
3. Develop the consistent ability to create an individual treatment plan based upon the ASAM Adult Level of Care patient assessment.
4. Use progress notes to demonstrate movement toward attainment of treatment plan goals and discover new interventions when initial plan goals are not being met.

5. Develop weekly case consultation at each program site using the ASAM Criteria to present and discuss patient cases.

B. PROGRAM GOALS AND OBJECTIVES: The CONTRACTOR shall provide training on-line during the COVID-19 pandemic crisis for up to **one (1) half-day**. The training shall consist of general research-based **SUD** information as well as evidence-based practices in the management of SUD in culturally diverse communities.

The training shall be provided to Proposition 47 Community Partners in the South County region of Monterey County. and otherwise do all things necessary for, or incidental to, the performance of work, in that training shall enable participants to meet the Learning Objectives, as set forth below:

1. Describe the research-based major features of SUD and explain how a SUD is identified per current research findings and the Diagnostic and Statistical Manual (DSM)-5.
2. Identify factors that predispose an individual to SUD.
3. Explain the evidence-based treatments for SUD including medication-assisted treatment and the principles of recovery.
4. Compare recovery outcomes of SUD treatment to the treatment outcomes of other chronic medical conditions.
5. Identify the clinical framework for treating persons with co-occurring disorders.

C. PROGRAM GOALS AND OBJECTIVES: The CONTRACTOR shall provide training on-site or on-line during the COVID-19 pandemic crisis, as conditions determine, for up to **one (1) half-day** on the importance of **“Medication-Assisted Treatment (MAT)”** for persons struggling with substance use disorders including opioid addiction.

The training shall be delivered to Monterey County SUD contract treatment providers, MCBH staff, and others within the treatment community who have an interest in this topic, and otherwise do all things necessary for, or incidental to, the performance of work, in that training shall enable participants to meet the Learning Objectives, as set forth below:

1. To learn about recent advances in addiction science and medications used in SUD treatment for opioid, alcohol, cannabis and nicotine use disorders as well as some promising new medicines still in research for methamphetamine use disorder.
2. To increase the awareness of how programs might begin to integrate the evidence-based treatment of MAT into their programs services that can benefit many of the patients served.

D. PROGRAM GOALS AND OBJECTIVES: The CONTRACTOR shall provide trainings on-site and/or on-line during the COVID-19 pandemic crisis, as conditions determine, for up to **three (3) full days** on an **“Overview of Co-Occurring Disorders and Integrated Care”** strategies. The emphasis of these trainings asserts that to effectively impact the problems of addiction and mental illness, treatment

programs and staff must be fully aware of their co-existence, etiologies, and clinical manifestations. The training introduces co-occurring disorders and the evidence-based practices used in the treatment, as well as linkages to other resources for more in-depth information.

The training shall be delivered to Monterey County SUD contract treatment providers in the community and the MCBH staff, and otherwise do all things necessary for, or incidental to, the performance of work, in that training shall enable participants to meet the Learning Objectives, as set forth below:

1. Become familiar with common terminology and current research regarding co-occurring issues in clients with substance use disorders.
2. Learn to recognize the behaviors that generally signal substance use and mental illness, and understand how substances can mimic, mask, or make mental health symptoms worse.
3. Understand the need for accurate screening, assessment and diagnosis and become familiar with effective screening tools and referral processes, including confidentiality requirements.
4. Understand treatment strategies and the clinical experience required for providing treatment and become familiar with effective evidenced-based treatment models.
5. Understand how advances in neuroscience research continue to update treatment strategies, including the use and management of medications in treatment.
6. Understand how a system of care can become “co-occurring competent” and learn from the experiences of Santa Clara County and other Counties in California who have embarked on a similar venture toward integrated and co-occurring competent systems of care.

- E. PROGRAM GOALS AND OBJECTIVES:** The CONTRACTOR shall provide training on-site or on-line during the COVID-19 pandemic crisis, as conditions determine, for up to **one (1) half-day** on counselor skills development entitled **“Dancing with Ambivalence: A Counselor’s Guide to Engaging Clients in the Change Process.”**

The training shall be delivered to Monterey County SUD contract treatment providers in the community and the MCBH staff, and otherwise do all things necessary for, or incidental to, the performance of work, in that training shall enable participants to meet the Learning Objectives, as set forth below:

1. Develop a keener understanding of when and when not to use Motivational Interviewing in client populations being treated for substance use disorder.
2. Through case studies, practice a more in-depth application of counseling clients who are ambivalent toward change.

- F. PROGRAM GOALS AND OBJECTIVES:** The CONTRACTOR shall provide training on-site or on-line during the COVID-19 pandemic crisis, as conditions determine, for up to **one (1) half-day** on the value of developing a **“Continuing Care Approaches for Sustained Recovery Post Treatment: Beyond the Concept of Aftercare”** to enhance current treatment and aftercare protocols. The training

shall demonstrate how the various types of “Continuous Recovery Monitoring (CRM)” can be adapted to a program’s current infrastructure without being overwhelming, time intensive or labor prohibitive.

The training shall be delivered to Monterey County SUD contract treatment providers in the community and the MCBH staff, and otherwise do all things necessary for, or incidental to, the performance of work, in that the training shall enable participants to meet the Learning Objectives, as set forth below:

1. Review the research on the various types of CRM and their effectiveness to help patients who successfully completed treatment sustain recovery. CRM is a feasible way to extend a system’s or program’s existing continuum of care.
2. Show providers how to gather follow up data on clients who have successfully completed treatment using CRM, including identifying organizational and logistical challenges and solutions to overcome challenges.

G. PROGRAM GOALS AND OBJECTIVES: The CONTRACTOR shall provide training on-site or on-line during the COVID-19 pandemic crisis, as conditions determine, for up to **one (1) half-day** on “**Trauma-Informed Relapse Prevention for Methamphetamine and Other Substance Use Disorders.**” This training shall provide how “Mindfulness-Based Relapse Prevention (MBRP)” can be applied successfully to patients with methamphetamine and other stimulant use disorders as well as substance use disorder in general.

The training shall be delivered to Monterey County SUD contract treatment providers in the community and the MCBH staff, and otherwise do all things necessary for, or incidental to, the performance of work, in that training shall enable participants to meet the Learning Objectives, as set forth below:

1. Understand the scope of stimulant use disorders in the United States.
2. Describe the mechanism of action of stimulants and the symptoms for the intoxication and withdrawal states.
3. Understand treatment options for stimulant use disorders including psychosocial interventions, but with an emphasis on developing a MBRP to be incorporated as part of the treatment services in programs providing treatment for addiction.

H. PROGRAM GOALS AND OBJECTIVES: The CONTRACTOR shall provide **ASAM A, B and C Training Series Phase 1**, on-site and/or on-line during the COVID-19 pandemic crisis, as conditions determine, for up to **three (3) half-days**. The **on-line** trainings shall be recorded by MCBH to further educate MCBH staff and its’ contract providers new to ASAM A, B and C. The trainings shall consist on the use of the ASAM Criteria as applied to the County of Monterey Health Department Behavioral Health Bureau’s Organized Delivery System, and otherwise do all things necessary for or incidental to the performance of work, in that training shall consist of goals and objectives, as set forth below:

1. **ASAM A:** A general overview of ASAM as well as instruction related to the proper utilization of ASAM criteria.

Learning Objectives will enable participants to:

- a. List the six (6) dimensions of multidimensional patient assessment.
 - b. Explain how the five (5) broad levels of care as recommended by ASAM criteria reflect specific services that are available throughout California.
 - c. Demonstrate ability to apply ASAM risk ratings to information gathered through multidimensional patient assessment.
2. **Applied ASAM B:** Integrated treatment planning and documentation required to support current level of care and transition to other levels of care.

Learning Objectives will enable participants to:

- a. Demonstrate ability to apply ASAM risk ratings to information gathered through multidimensional patient assessment.
 - b. Demonstrate ability to create an individual treatment plan based upon the ASAM criteria and risk across the six (6) dimensions of multidimensional patient assessment.
 - c. Use progress notes to demonstrate movement toward attainment of treatment plan goals.
3. **Applied ASAM C:** Using the ASAM Criteria to Re-Assess Clients within the context of the California Treatment System.

Learning Objectives will enable participants to:

- a. Review the ASAM Criteria and discuss the value of a multidimensional assessment.
- b. Apply, through case study discussion, the use of ASAM Criteria to establish risk ratings for each case study across the six (6) ASAM dimensions.
- c. Explain how to determine an appropriate level of care based upon the risk ratings for each case study reviewed.

I. CONSULTATION: The CONTRACTOR shall provide online and/or phone call **Consultation** about SUD and related conditions, and the various treatment approaches, for up to fifty (50) hours. The Consultations shall be centered around the evidence-based treatment and recovery of substance use and co-occurring disorders. The Consultation shall be delivered to MCBH staff and the network of SUD contract treatment providers in the community.

1. The CONTRACTOR shall act as the Clinical Advisor to assist MCBH staff and the network of contract providers in the implementation of SUD treatment and recovery services focusing on the ASAM Criteria and skills development learned through identified trainings and webinars.
2. The CONTRACTOR, at the request of MCBH staff and/or the managers of the network of SUD programs, shall provide follow up Consultations related to SUD treatment and recovery.

IV. DESIGNATED CONTRACT MONITOR:

Andrew Heald, Behavioral Health Services Manager
Monterey County Health Department Behavioral Health Bureau
1441 Constitution Blvd., Bldg. 400, Suite 200, Salinas, CA 93906
(831) 755-6383

V. PAYMENT PROVISIONS

A. COMPENSATION/PAYMENT

COUNTY shall pay an amount not to exceed **\$51,099** for the performance of all things necessary for, or incidental to, the performance of work as set forth in the Scope of Work. CONTRACTOR'S compensation for services rendered shall be based on the following rates or in accordance with the following terms:

DESCRIPTION OF SERVICES	In-Person or Live On-line ALL-INCLUSIVE RATE OF SERVICE	CONTRACT AMOUNT
ASAM A, B & C Training Series for three (3) Cohorts Rate = one (1) Cohort consisting of three (3) hour half-days for three (3)-days For the period of July 1, 2018 to May 31, 2019	\$1,933 per Cohort	\$5,799
ASAM A, B & C Phase 2 Training Series for four (4) Cohorts Up to a total combination of half and full days not to exceed twelve (12) full days. Full Day = six (6) - eight (8) hours Half-Day = three (3) – four (4) hours For the period of June 1, 2019 to June 30, 2020	\$1,600 Full day & \$800 Half-day per Cohort	\$19,200
SUD Training for South County Proposition 47 Community Partners Rate = one (1) three (3)-hour half-day For the period of June 1, 2019 to June 30, 2020	\$600	\$600
Substance Use Disorder (SUD) Training Up to a total combination of half and full days not to exceed five (5) full days. Full Day = six (6) - eight (8) hours Half-Day = three (3) – four (4) hours For the period of July 1, 2020 to June 30, 2022	\$2,000/ Full day & \$1,000/ Half-day	\$10,000
ASAM A, B, C Phase 1 Training Series Up to a total of three (3) half-days. On-line training shall be recorded by MCBH. Half-Day = three (3) – four (4) hours For the period of July 1, 2020 to June 30, 2022	\$1,000/ Half-day	\$3,000
SUD Consultations (on-line or phone call) Rate = one (1)-hour for up to fifty (50)-hours For the period of July 1, 2020 to June 30, 2022	\$250	\$12,500
Total County Maximum Obligation – Amendment No. 2		\$51,099

B. There shall be no travel reimbursement allowed during this Agreement.

- C. To receive any payment under this Agreement, CONTRACTOR shall submit reports and invoices in such form as may be required by the County of Monterey Behavioral Health Bureau. Specifically, CONTRACTOR shall submit its invoice on Exhibit C-2 – Invoice Form to COUNTY to reach the Behavioral Health Bureau no later than the thirtieth (30th) day of the month following the month of service.
- D. CONTRACTOR shall submit via email an Invoice using Exhibit C-2 – Invoice Form in Excel format with electronic signature(s) along with supporting documentation, as may be required by the COUNTY for services rendered to:
MCHDBHFinance@co.monterey.ca.us

VI. CONTRACTOR’S BILLING PROCEDURES

- A. The COUNTY shall not pay any Invoices for payment for services submitted more than twelve (12) months after the calendar month in which the services were completed.
- B. COUNTY shall review and certify CONTRACTOR's Invoice either in the requested amount or in such other amount as COUNTY approves in conformity with this Agreement and shall promptly submit such Invoice to the COUNTY Auditor-Controller for payment. The COUNTY Auditor-Controller shall pay the amount certified within thirty (30) days of receiving the certified Invoice.
- C. If COUNTY certifies payment at a lesser amount than the amount requested, COUNTY shall immediately notify the CONTRACTOR in writing of such certification and shall specify the reason for it. If the CONTRACTOR desires to contest the certification, the CONTRACTOR must submit a written notice of protest to the COUNTY within twenty (20) days after the CONTRACTOR's receipt of the COUNTY notice. The parties shall thereafter promptly meet to review the dispute and resolve it on a mutually acceptable basis. No court action may be taken on such a dispute until the parties have met and attempted to resolve the dispute in person.

VII. MAXIMUM OBLIGATION OF COUNTY

- A. Subject to the limitations set forth herein, COUNTY shall pay to CONTRACTOR during the term of this Agreement a maximum amount not to exceed **\$51,099** for services rendered under this Agreement for the period of **July 1, 2018 to June 30, 2022**.
- B. Maximum Liability Amount:

TERM	AMOUNT
July 1, 2018 to June 30, 2022	\$51,099
MAXIMUM COUNTY OBLIGATION	\$51,099

