

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/> Completed by Grants.gov upon submission.	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text"/> County of Monterey		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text"/> 94-6000524	* c. Organizational DUNS: <input type="text"/> 8310239370000	
d. Address:		
* Street1: <input type="text"/> 168 W. Alisal St., 3rd Fl	Street2: <input type="text"/>	
* City: <input type="text"/> Salinas	County/Parish: <input type="text"/> Monterey	
* State: <input type="text"/> CA: California	Province: <input type="text"/>	
* Country: <input type="text"/> USA: UNITED STATES	* Zip / Postal Code: <input type="text"/> 939012439	
e. Organizational Unit:		
Department Name: <input type="text"/> County Administrative Office	Division Name: <input type="text"/> Housing & Economic Development	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text"/> Mr.	* First Name: <input type="text"/> Darby	
Middle Name: <input type="text"/>	* Last Name: <input type="text"/> Marshall	
Suffix: <input type="text"/>	Title: <input type="text"/> Redevelopment & Housing Analyst	
Organizational Affiliation: <input type="text"/> Employee		
* Telephone Number: <input type="text"/> 831.755-5391	Fax Number: <input type="text"/> 831.755-5387	
* Email: <input type="text"/> marshalld@co.monterey.ca.us		

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

Economic Development Administration

11. Catalog of Federal Domestic Assistance Number:

11.307

CFDA Title:

Economic Adjustment Assistance

* 12. Funding Opportunity Number:

PWEAA2020

* Title:

FY 2020 EDA Public Works and Economic Adjustment Assistance Programs including CARES Act Funding

13. Competition Identification Number:

EAA-NC

Title:

EDA Non-Construction Full Application 11.307

14. Areas Affected by Project (Cities, Counties, States, etc.):

2020-5-20 - SF424_2_1-V2.1 - Application At

Add Attachment

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View Attachment

* 15. Descriptive Title of Applicant's Project:

Recapitalization of Monterey County's Small Business Revolving Loan Fund to assist businesses impacted by COVID-19 and subsidy to administer the SBRLF to keep borrower costs to a minimum.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

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17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="1,760,000.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="1,760,000.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on .

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

Add Attachment

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21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

Attachment:
Areas Affected by Project

Monterey County, countywide.

<u>FIPS Code</u>	06053
<u>Cities</u>	<u>Census Designation Places</u>
Carmel-by-the-Sea	Aromas
Del Rey Oaks	Big Sur
Gonzales	Boronda
Greenfield	Bradley
King City	Carmel Valley
Marina	Castroville
Monterey	Chualar
Pacific Grove	Elkhorn
Salinas	Las Lomas
Salinas	Lockwood
Sand City	Moss Landing
Seaside	Pajaro
Soledad	Prunedale
	San Ardo
	San Lucas

<u>Zip Codes</u>	
93426	93928
93450	93930
93901	93932
93905	93933
93907	93940
93908	93943
93920	93950
93923	93955
93924	93960
93925	93962
93926	95004
93926	95012
93927	95039

Attachment 3
Application for Federal Assistance - Narrative

CARES Act Supplemental Award
Revolving Loan Fund
Narrative

This narrative provides supporting documentation for the noncompetitive, supplemental financial assistance application as part of EDA's CARES Act Recovery Assistance for the County of Monterey's existing EDA-funded Small Business Revolving Loan Fund (SBRLF). The supplemental SBRLF award will help the County respond to the coronavirus pandemic by continuing to support small, local businesses.

A-Lending Area

The Lending Area for the County of Monterey's Small Business Revolving Loan Fund (SBRLF) is the Countyline where it abuts (from south to north) San Luis Obispo, Kings, Fresno, San Benito, and Santa Cruz counties.

The size of Monterey County can create the impression of vast open spaces with little in the way of development. Less than 3% of the 3,280 square miles in the County is developed for civilian, non-agricultural uses. Land is more densely developed on and adjacent to the Monterey Peninsula but while this area accounts for 67% of the developed land, population density is only 1,742 people per square mile. The roughly 435 square mile area that stretches south-southeast from Castroville, through Salinas and down to King City, the southernmost city in South Monterey County includes only about 31 square miles that are developed. These developed areas have a much higher population density of approximately 7,404 people per square mile. Within these developed areas there are 12 incorporated cities (Carmel-by-the-Sea, Del Rey Oaks, Gonzales, Greenfield, King City, Marina, Monterey, Pacific Grove, Salinas, Sand City, Seaside and Soledad) and at least 4 named communities (Castroville, Chualar, Del Monte Forest, and Spreckels). There are at least eight other named, but much small communities (including Aromas, Big Sur, Elkhorn, Jolon, Lockwood, Pajaro, Prunedale, San Ardo, and San Lucas), scattered throughout the balance of the County.

Economy

The 21st Century economy in Monterey County is built around agriculture, tourism and a growing cluster of higher education and marine research. Monterey County is the fourth largest agricultural, by value, county in California, home to one of the oldest resorts on the west coast, and the oldest golf course and marine research station west of the Mississippi. What seems to make Monterey County somewhat unique is that each of these industries has long ties to the County and, unlike many communities, the County has not gone through the process of economic reinvention following the decline of economic engine. Instead, these industries have continued to evolve with consumer demands and capture larger market shares as they have been displaced from other areas.

While census figures indicate that the County is homogenous with more than 82% of the population reporting themselves as white, a far smaller share of less than 30% considers themselves white and not of Hispanic or Latino origin. The County's residents speak several

Attachment 3
Application for Federal Assistance - Narrative

languages at home, including many indigenous languages from Central America. Per capita income varies greatly throughout the County from a low of \$13,467 in Soledad to a high of \$49,874 in Pacific Grove and a median of \$28,836. The median household income varied as well but the countywide median was \$66,676, compared with \$71,228 for California as a whole.

Additionally, there are 9 Census tracts that are designated as Opportunity Zones under the Tax Cuts and Jobs Act of 2017. Five of these census tracts are in the City of Salinas, two are in the City of Seaside, one is in the City of Marina on the northern edge of the former Fort Ord, and the last tract is in the City of King City.

B-Strategic Planning Document

The last adopted a Comprehensive Economic Development Strategy (CEDS) in 2015 and began the process of preparing a new CEDS in late 2019, just as the COVID-19 public health crisis began. It is not known at this time how the current economic climate will shape the County's long-term economic development strategy.

County's 2015 CEDS identified three goals for supporting economic development. These goals are: 1) Reinforcing the existing economic pillars (agriculture, education-research, and tourism); 2) Pursuing equitable and sustainable opportunities; and 3) Promoting emerging, high-wage, sectors. Based on the current public health crisis, the County needs to focus more on the reinforcement of the existing economic pillars to ensure they do not greatly diminish their respective shares of the County's economy.

The SBRLF program allows the County to implement many of the strategies identified in the CEDS, but primarily aligns with the County's broad goal of supporting small business development to capitalize on the many hidden talents to be found in the County.

C-Administrative Activities

In order to facilitate rapid and prudent lending to respond to the pandemic, the SBRLF will operate under existing procedures used to manage the County's other EDA-funded RLF. The loan program will be administered primarily by the County's contract Program Administrator, California Coastal Rural Development Corporation (CalCoastal). Under the County's SBRLF Administrative Plan, the P.A. is responsible for all day-to-day operations of the SBRLF, including advertising, underwriting, documenting and disbursing approved loans, processing loan payments and providing the County with monthly financial statements. Staff from the County's Housing and Economic Development Department will continue to provide grant management support and review loan applications to ensure that they comply with the County's SBRLF Administrative Plan.

The County does not anticipate the need to procure vendors to help administer the new RLF. However, CalCoastal may need to hire additional staff or retain a contract loan underwriter to process loan applications and service. The County also will continue to utilize existing partnerships with the cities and non-profits to assist with advertising, outreach and technical assistance so that the local business community is aware of and able to capitalize on the RLF.

Attachment 3
Application for Federal Assistance - Narrative

D-Coronavirus Response

The proposed RLF and administrative activities will help the County of Monterey to prevent, prepare for, and respond to coronavirus and to respond to economic injury as a result of coronavirus. On March 4th, California Governor Newsom declared a State of Emergency to make additional resources available and prepare emergency actions for State agencies and departments in response to the spread of the novel coronavirus (COVID-19). Shortly thereafter, the County Public Health Officer issued a Declaration of Local Health Emergency. While these actions have had global impacts, they have hit the local agriculture and tourism industries particularly hard.

The agricultural industry has faced tremendous challenges associated with the national closure of restaurants and resulting disruptions to the largest single source of consumers. While home-based consumer demand has picked up, the industry is still challenged by increased packaging and distribution costs as it transitions from bulk purchases by national restaurant chains and suppliers to distribution in retail sizes through supermarkets. The tourism industry has been harder hit and potentially faces greater obstacles to recovery. Unlike agricultural products, travel is a completely discretionary expenditure and it may take longer for consumers to feel comfortable enough to spend on this activity. Additionally, hotels maybe considered vectors for the continuing spread of COVID-19 and require expensive renovations to redesign and construct HVAC systems to avoid transferring contaminated air from one room to another.

The purpose of this supplemental loan program is to provide rapid and prudent lending for emergency relief over the next two to three months, then continue with emergency relief loans as needed. The County will then transition to recovery loans to foster economic resiliency in the community. The program will provide easy to access, timely, and enough financial relief to small businesses that are most impacted by an acute downturn in revenue caused by an unforeseen emergency outside their immediate control. This program will ensure businesses can retain existing employees and hire new employees and keep their businesses afloat during this time of economic hardship while preventing a chain reaction of reduced spending, inability to pay bills, job losses, or even closure. The SBRLF goals will be augmented by the efforts of the Small Business Development Center (SBDC), to provide technical assistance to small businesses.

The basic economic philosophy that we are promoting through the program will address both emergency relief and recovery efforts by:

1. Concentrating immediate near-term investment in small businesses that provide services and employment opportunities to the community.
2. Implementing strategies that allow existing small businesses and property owners to defer near term expenses and retain capital (to be repaid or renegotiated after the recovery is underway).
3. Promoting policies that incentivize construction and other activities that provide jobs, procure materials, and create economic transactions.



ED-900 – General Application for EDA Programs

A. Applicant Information

A.1. EDA Application Identifier (if available):

A.2. Please identify all applicants for this project:

	Name	SAM.gov CAGE Code	SAM.gov Registration Expiration Date	Fiscal Year End Date (mm/dd)
Lead Applicant	County of Monterey	5JNU2	10/31/2020	06/30
Co-Applicant 1				

B. Project Information

B.1. Provide a geographical definition of the region to be served by the investment (project), including the specific geographic location of the project within the region.

A-Lending Area

The Lending Area for the County of Monterey’s Small Business Revolving Loan Fund (SBRLF) is the Countyline where it abuts (from south to north) San Luis Obispo, Kings, Fresno, San Benito, and Santa Cruz counties.

The size of Monterey County can create the impression of vast open spaces with little in the way of development. Less than 3% of the 3,280 square miles in the County is developed for civilian, non-agricultural uses. Land is more densely developed on and adjacent to the Monterey Peninsula but while this area accounts for 67% of the developed land, population density is only 1,742 people per square mile. The roughly 435 square mile area that stretches south-southeast from Castroville, through Salinas and down to King City, the southernmost city in South Monterey County includes only about 31 square miles that are developed. These developed areas have a much higher population density of approximately 7,404 people per square mile. Within these developed areas there are 12 incorporated cities (Carmel-by-the-Sea, Del Rey Oaks, Gonzales, Greenfield, King City, Marina, Monterey, Pacific Grove, Salinas, Sand City, Seaside and Soledad) and at least 4 named communities (Castroville, Chualar, Del Monte Forest, and Spreckels). There are at least eight other named, but much small communities (including Aromas, Big Sur, Elkhorn, Jolon, Lockwood, Pajaro, Prunedale, San Ardo, and San Lucas), scattered throughout the balance of the County.

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oldest golf course and marine research station west of the Mississippi. What seems to make Monterey County somewhat unique is that each of these industries has long ties to the County and, unlike many communities, the County has not gone through the process of economic reinvention following the decline of economic engine. Instead, these industries have continued to evolve with consumer demands and capture larger market shares as they have been displaced from other areas.

While census figures indicate that the County is homogenous with more than 82% of the population reporting themselves as white, a far smaller share of less than 30% considers themselves white and not of Hispanic or Latino origin. The County's residents speak several languages at home, including many indigenous languages from Central America. Per capita income varies greatly throughout the County from a low of \$13,467 in Soledad to a high of \$49,874 in Pacific Grove and a median of \$28,836. The median household income varied as well but the countywide median was \$66,676, compared with \$71,228 for California as a whole.

Additionally, there are 9 Census tracts that are designated as Opportunity Zones under the Tax Cuts and Jobs Act of 2017. Five of these census tracts are in the City of Salinas, two are in the City of Seaside, one is in the City of Marina on the northern edge of the former Fort Ord, and the last tract is in the City of King City.

B.2. Describe and outline the scope of work for the proposed EDA investment, including a list of tasks to be undertaken.

Recapitalize and existing small business revolving loan fund and amend the administrative plan to make it more responsive to the needs of small businesses impacted by the COVID-19 public health crisis.

B.3. Economic development needs

B.3.a. Does the region in which the project will be located have a Comprehensive Economic Development Strategy (CEDS)?

Yes If Yes, what is the source?

County of Monterey

No If No, then please check one:

B.3.a.i. An alternate strategic planning document that governs this investment is attached.

Add Attachment

Delete Attachment

View Attachment

B.3.a.ii. This investment is to develop a "strategy grant" to develop, update or refine a CEDS.

N/A – Not Applicable

B.3.b. Describe the economic conditions of your region. Define the economic development need to be addressed by the proposed EDA investment and explain how the proposed investment will address that need.

The proposed RLF and administrative activities will help the County of

Monterey to prevent, prepare for, and respond to coronavirus and to respond to economic injury as a result of coronavirus. On March 4th, California Governor Newsom declared a State of Emergency to make additional resources available and prepare emergency actions for State agencies and departments in response to the spread of the novel coronavirus (COVID-19). Shortly thereafter, the County Public Health Officer issued a Declaration of Local Health Emergency. While these actions have had global impacts, they have hit the local agriculture and tourism industries particularly hard.

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3. Promoting policies that incentivize construction and other activities that provide jobs, procure materials, and create economic transactions.

B.4. Applicant's capability

Briefly describe the applicant's capability to administer, implement, and maintain the project.

The County has successfully managed an EDA capitalized RLF since 1989.

B.5. List and describe the strategic partners and organizations to be engaged in this project

The County works with California Coastal Rural Development Corporation to implement the RLF.

B.6. Describe the investment (project) impact and fit with EDA funding priorities

Recapitalizing the County's RLF will allow it to continue to support small businesses negatively impacted by the current COVID-19 public health crisis. This is consistent with Congressional intent when it ratified the CARES Act, which made this funding opportunity possible.

B.7. Identify the proposed time schedule for the project

The County will use the additional capital to fund new loans beginning with the approval date of the grant award and through the next 2 years. After the initial award period the funds will continue to revolve to fund additional business loans.

B.8. Economic impacts of the project

B.8.a. Please describe the economic impacts of the project:

The recapitalized RLF is intended to help businesses weather the approximate 3 month shut down of nearly all discretionary economic activity. The loans are meant to help these businesses keep current with their employees, suppliers and land lords so that the business is viable when economic activity picks up.

B.8.b. Please identify the total estimated jobs and private investment that is expected to be generated by this project:

Estimated Jobs Created	Estimated Jobs Retained	Estimated Private Investment
	50	

B.8.c. Please identify the source of Estimates above (check as many as apply):

- Letters from Beneficiaries of the Project
- Input/Output Model (e.g. IMPLAN, REMI)
- Comparison to Similar Projects
- Other Method (specify below)

It is unknown how many jobs maybe retained as a result of these loans. The County anticipates that it may cost \$30,000 per job retained, which is about three times the current portfolio cost per job.

B.9. Beneficiaries of the project

Beneficiary Name	NAICS Code	Estimated Jobs Created	Estimated Jobs Retained	Estimated Private Investment
Total				

B.10. Non-EDA funding for the project

B.10.a. Are all non-EDA funds committed to the project, available as needed, and not conditioned or encumbered in any way that would preclude their use consistent with the purpose of the project?

- Yes No (explain below)

Non-EDA funds are not required for this application.

B.10.b. Identify the source, nature and amount of all non-EDA funds.

Source	Amount	Date Available	Type	Restriction/Comments

B.10.c. Does the applicant plan to seek other federal financial assistance as part of or in connection with this project? If so, please describe the source, amount and any terms and conditions of the funding, and when the funding will be available for use by the applicant.

- Yes (explain below) No

B.10.d. Please attach documentation confirming non-EDA (matching or cost share) funding:

Add Attachment

Delete Attachment

View Attachment

B.11. Justification for sole source procurement

Will you contract work to complete part or all of this project?

- B.11.a. No
 B.11.b. Yes If yes, will contracts be awarded by competitive bid?
 B.11.b.i. Yes
 B.11.b.ii. No

If contracts will not be awarded by competitive bid, please provide a justification. A cost analysis will be necessary when adequate price competition is lacking, and for sole source procurements.

B.12. Equipment

Will any funds be used to purchase equipment?

B.12.a. No

B.12.b. Yes If yes, will project funding be used to install the equipment?

Yes

No

Please attach a list, including cost, description, purpose, and estimated useful life of any equipment that will be purchased as a part of this project.

Add Attachment

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C. Regional Eligibility

C.1. Region

Define the area/region that is the basis for the applicant's claim of eligibility. EDA will review and evaluate documentation submitted by the applicant to verify and determine eligibility.

This application is in response to the sudden and severe economic dislocation that has resulted from the COVID-19 public health crisis. According to the California Employment Development Department unemployment in Monterey County was 20% in April 2020, 13% higher than the same month in 2019.

C.2. Economic Distress

Check all that apply in establishing regional eligibility (see NOFO for more details):

C.2.A. Unemployment rate

C.2.B. Per capita income

C.2.C. Special need, including:

Substantial out-migration or population loss;

Underemployment; that is, employment of workers at less than full-time or at less skilled tasks than their training or abilities permit;

Military base closure or realignment, defense contractor reductions-in-force, or U.S. Department of Energy defense-related funding reductions;

Natural or other major disasters or emergencies;

Extraordinary depletion of natural resources;

Closing or restructuring of an industrial firm or loss of other major employer;

Negative effects of changing trade patterns; or

Other circumstances set forth in the applicable NOFO (please explain below).

COVID-19 public health crisis.

C.3. Substantial Direct Benefit

If the project does not meet any of the criteria above, is it located in an Economic Development District (EDD), and will it provide substantial direct benefit to residents of an area within that EDD that does meet the distress criteria?

- Yes No

Which Economic Development District?

Please explain how the proposed project will provide a substantial direct benefit to this geographic area within the EDD.

C.4. Source of data provided for regional eligibility determination

Check the box denoting what data source you used to establish eligibility:

- C.4.a. The most recent ACS data published by the U.S. Census Bureau.
- C.4.b. The most recent Bureau of Labor Statistics Data.
- C.4.c. The most recent other federal data for the region in which the project is located (e.g., U.S. Census Bureau or the Bureaus of Economic Analysis, Labor Statistics, Indian Affairs, etc.).
- C.4.d. If no federal data are available, the most recent data available through the state government for the region in which the project is located.
- C.4.e. Other data to substantiate regional eligibility based on a "Special Need" as defined in 13 C.F.R. § 300.3.

Please attach a copy of the documentation used to support your claim of eligibility:

Add Attachment

Delete Attachment

View Attachment

D. Budget and Staffing

To be completed by applicants for non-construction assistance only

D.1. Budget justification

D.2. Indirect costs

D.3. Key applicant staff

E. Administrative Requirements

E.1. Civil rights

E.1.a. Does the applicant understand and agree to comply with all applicable civil rights requirements (see 13 C.F.R. § 302.20)?

Yes No (explain below)

E.1.b. Do identified "Other Parties" (as listed in question B.9.), businesses that will create and/or save fifteen or more jobs as a result of the EDA project, understand and agree to comply with all applicable civil rights requirements under 13 C.F.R. § 302.20, including the requirement to provide signed assurances of compliance (ED-900B)?

Not Applicable (No Other Parties Identified) Yes No (explain below)

E.2. Lobbying certifications

Will you be able to comply with federal requirements regarding lobbying?

Yes No (explain below)

E.3. Compliance with Executive Order 12372, State Single Point of Contact (SPOC)

Does the state in which the project will be located have a project review process that requires submission to a Single Point of Contact (SPOC)?

E.3.a. No. Go to Question E.4

E.3.b. Yes

If Yes, does this request for EDA investment assistance meet the SPOC process established by the state?

E.3.b.i. No E.3.b.ii. Yes

Please explain why not

If Yes, were SPOC comments/clearance received?

E.3.b.ii.a. Yes

Please attach the comments/clearance:

Add Attachment

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E.3.b.ii.b. No. The review period has expired and no comments were received.

E.3.b.ii.c. No. Comments have been requested but the review period has not yet expired.

Please attach evidence of your request for comments:

Add Attachment

Delete Attachment

View Attachment

E.4. Single Audit Act Requirement

E.4.a. Does the applicant understand and agree to the requirements of subpart F of 2 C.F.R. part 200 regarding federal audits?

Yes

No

E.4.b. Is the applicant currently audited under the Single Audit Act?

E.4.b.i. No

E.4.b.ii. Yes, If yes:

E.4.b.ii.a. What is the date of the most recent audit?

06/30/2019

E.4.b.ii.b. Was this audit submitted to the Federal Audit Clearinghouse?

Yes

No

F. Requirements for Non-Governmental Applicants (Excluding Public Universities and Certain District Organizations)

As indicated below, non-governmental applicants (excluding public universities and district organizations) must also provide a copy of the following items, either using the Attachments form that is part of the application package downloaded from www.Grants.gov or providing a hard copy.

F.1. Non-profit organizations must provide a current Certificate of Good Standing from the State in which they are incorporated.

[Add Attachment](#)[Delete Attachment](#)[View Attachment](#)

F.2. New non-profit organization applicants must provide their Articles of Incorporation and By-Laws. Non-profits with an active EDA grant must either provide a) a revised copy of their Articles of Incorporation or By-Laws if these have been amended or b) a statement certifying that there has been no change in the organization's Articles of Incorporation or By-Laws.

[Add Attachment](#)[Delete Attachment](#)[View Attachment](#)

F.3. Non-profit organizations must provide a resolution passed by a general purpose political subdivision of a State (e.g., local government entity) or a letter signed by an authorized representative of a local government acknowledging that the applicant is acting in cooperation with officials of the political subdivision. EDA may waive this requirement for certain projects of significant regional or national scope (see 13 CFR § 301.2(b)).

[Add Attachment](#)[Delete Attachment](#)[View Attachment](#)

F.4. If applying for a construction or RLF investment, an applicant must afford the appropriate general purpose governmental authority a minimum of 15 days to review and comment on the proposed project (13 CFR § 302.9(a)).

Will the applicant be able to provide these comments?

Yes

Not applicable, because the applicant is not applying for a construction or RLF grant

Not applicable, because this requirement has been satisfied under an existing RLF plan

No, for another reason (explain below)

Instructions for Form ED-900

A. Applicant Information

A.1. EDA Application Identifier – If EDA has previously provided an identifier for your proposal/application, please enter that identifier here. Otherwise, leave blank.

A.2. Please identify all applicants for this project:

The Lead Applicant should be the party who is responsible for handling disbursement of funds and reporting to EDA.

Note that Sam.gov registration is required of all EDA applicants and awardees. Please list the relevant CAGE Code and SAM.gov expiration data for all applicants and co-applicants (if any).

B. Project Information

B.1. Provide a geographical definition of the region to be served by the investment (project), including the specific geographic location of the project within the region.

Clearly and concisely describe the region where the project will be located, including the specific geographic location of the project within the region, as well as background on the assets of the area, which may include clusters, and workforce, physical, educational and financial infrastructure.

B.2. Describe and outline the scope of work for the proposed EDA investment, including a list of tasks to be undertaken.

List specific activities that will be undertaken and the specific deliverables that will be produced as a result of this investment. The description of the proposed project must include a clear statement of the overall purpose of the project.

Applicants for construction assistance (including design and engineering assistance) should also include a statement of project components. Indicate if the proposed project involves the construction of a new facility or facilities or the enlargement, expansion, renovation, or replacement of an existing facility or facilities. Describe the existing facility and proposed project components in terms of dimensions, capacities, quantities, etc.

Applicants for Partnership Planning Assistance should provide a narrative on the economic development activities that will be undertaken including managing and maintaining the CEDS process.

Applicants for Short Term Planning Assistance should provide a narrative explaining how the proposed scope of work will enhance economic development planning capacity of the identified region. Include any relationship or collaboration with other public and private entities. Please explain how the strategy will expand the capacity of public officials and economic development organizations to work effectively with employers and enable the region to plan and coordinate the use of available resources to support economic recovery and the development of a regional economy and/or develop innovative approaches to economic revitalization in the region.

Applicants for State Planning Assistance should provide a narrative outlining the proposed scope of work for the project. Include the relationship to any existing CEDS or similar planning processes in the region and the goals and objectives of the proposed project.

B.3. Economic development needs

Except for grants to fund developing, updating or refining a CEDS as described in 13 C.F.R. § 303.7, the region in which Public Works or Economic Adjustment projects will be located must have a CEDS with which the project is consistent.

B.3.a. Does the region in which the project will be located have a Comprehensive Economic Development Strategy (CEDS)?

If Yes, what is the source? *Note: If you are unsure if your region has a CEDS, please contact your local District Organization. In areas without a District Organization, CEDS may also be obtained at the City, County, or State level.*

If No, then please check one of the indicated options:

B.3.a.i. There is an alternate strategic planning document that will govern this investment. Please identify the strategy and provide a copy of this planning document, either by attaching the document to this application or submitting a hard copy.

B.3.a.ii. This investment is to create a strategy plan to develop, update or refine a CEDS. Please explain how the strategy will expand the capacity of public officials and economic development organizations to work effectively with employers and enable the region to plan and coordinate the use of available resources to support economic recovery and the development of a regional economy and/or develop innovative approaches to economic revitalization in the region.

B.3.b. Briefly describe the economic conditions of the region described in B.1, as well as the economic adjustment problems or economic dislocations the region has experienced (or is about to experience) and the regional impact of these conditions. How does the project address the economic development needs of the region and the goals and objectives of the CEDS for the region or the alternate strategic planning document described in section b below? See 13 C.F.R. part 303.

B.4. Applicant's capability

Briefly describe the applicant's capability to administer, implement, and maintain the project.

B.5. List and describe strategic partners and organizations to be engaged in this project

Describe existing regional partnerships (if any) that are directly engaged in supporting the proposed project, including a discussion of the extent of participation of government agencies, private sector interests, education providers, non-profits, community and labor groups, workforce boards, utilities, etc.

B.6. Describe the investment (project) impact and fit with EDA funding priorities

Concisely document how the proposed project aligns with one or more of EDA's investment priorities. Applicants that propose projects that do not align with EDA's investment priorities will not be as competitive as those that do. Applicants are strongly encouraged to review EDA's investment priorities, as outlined in the applicable Notice of Funding Opportunity (NOFO) announcement on www.Grants.gov.

B.7. Proposed time schedule for the project

Provide a proposed time schedule for completion of the project, including when (month/year) the project will begin and end. Explain any potential issues that could affect project implementation.

B.8. Economic impacts of the project

Provide a clear and compelling justification for the long-term potential economic impact of the proposed project, through anticipated job creation or retention, private investment leveraging, number of businesses or collaborations supported, or other appropriate measures. All job and private investment estimates should reflect the anticipated impact within nine years of the potential EDA investment. Applicants must attach letters of commitment from any identified beneficiaries.

For all other measures, applicants should clearly identify the expected time frame. In all cases, applicants must document the benefit and provide third-party data or information available to support these claims.

B.9. Beneficiaries of the project

If applicants have identified specific private sector employers that are expected to create and/or save jobs as a result of the project, applicants should list those beneficiaries in the table provided. All job and private investment estimates should reflect the anticipated impact within nine years of the potential EDA investment.

NAICS Code: The NAICS code for the major industry category of the beneficiary company (see www.naics.com for a searchable list).

Jobs Created: The number of jobs that the company expects to create as a result of the project.

Jobs Retained: The number of jobs that the company expects to retain as a result of the project.

Private Investment: The amount of private investment that the company expects to make in its business/community as a result of the project.

Form ED-900B must be completed by each beneficiary that expects to create and/or save fifteen or more jobs as a result of the project.

B.10. Non-EDA funding for the project

Select the appropriate response to each question. Applicants should identify the source, nature and amount of all non-EDA funds, including in-kind contributions (non-cash contributions of space, equipment, services, or assumptions of debt). Explain the status of all funding commitments, including the date the funds will be available from each source, and describe any conditions or restrictions on the use of such funds. If in-kind contributions are included, explain the basis on which they are valued. If so, please describe the source, amount and any terms and conditions of the funding, and when the funding will be available for use by the applicant. Please attach evidence of commitment from all funding sources. For example, if bonds are contemplated as match, counsel opinion of the applicant's bonding authority and eligibility of the bonds for use as match, along with full disclosure of the type of bonds and the schedule of the applicant's intended bond issue, are required.

B.11. Justification for sole source procurement

Select the appropriate response to each question.

B.12. Equipment

Select the appropriate response to each question.

C. Regional Eligibility

Public Works and Economic Adjustment Assistance projects must satisfy regional eligibility requirements (see NOFO for more details). This section will assist EDA in determining if the proposed project satisfies these eligibility requirements.

Planning and Technical Assistance applications: although meeting specific distress criteria is not a prerequisite for funding under these programs, the economic distress level of the region impacted by a project serves as the basis for establishing the EDA share of the total cost of the project and can inform competitiveness.

Please answer all questions completely and accurately and attach explanations and supporting documentation where applicable.

C.1. Region

Clearly define the area/region that is the basis for your claim of eligibility.

C.2. Economic Distress

Check all that apply in establishing regional eligibility (see NOFO for more details):

C.2.A. Unemployment rate: The project is located in a region that has an unemployment rate that is, for the most recent 24-month period for which data are available, at least one percentage point above the national unemployment rate.

C.2.B. Per capita income: The project is located in a region that has a per capita income that is, for the most recent period for which data are available, 80 percent or less of the national average per capita income.

C.2.C. Special need: The project is located in a region that has experienced or is about to experience a "Special Need" (as defined in 13 C.F.R. § 300.3) arising from actual or threatened severe unemployment or economic adjustment problems resulting from severe short-term or long-term changes in economic conditions, including: Substantial out-migration or population loss; Underemployment, that is, employment of workers at less than full-time or at less skilled tasks than their training or abilities permit; Military base closure or realignment, defense contractor reductions-in-force, or U.S. Department of Energy defense-related funding reductions; Natural or other major disasters or emergencies; Extraordinary depletion of natural resources; Closing or restructuring of an industrial firm or loss of other major employer; Negative effects of changing trade patterns; or other circumstances set forth in the applicable NOFO.

C.3. Substantial Direct Benefit

A project located within an Economic Development District (EDD) that is located in a region that does not meet the economic distress criteria set forth in section C.2 above, is also eligible for EDA investment assistance if EDA determines that the project will be of "substantial direct benefit" to a geographic area within the EDD that meets the distress criteria set forth in question C.2 above by providing significant employment opportunities for unemployed, underemployed, or low-income residents of the distressed geographic area within the EDD. If applicable, identify the EDD in which the proposed project will be located, as well as the geographic area within the EDD that meets the economic distress criteria detailed in section C.2., and explain how the proposed project will provide a substantial direct benefit to this geographic area within the EDD. (See NOFO for more details.)

C.4. Source of data provided for regional eligibility determination

Check the appropriate box denoting what data source you used to establish eligibility. Please attach data used to establish eligibility.

D. Budget and Staffing

To be completed by applicants for non-construction assistance only

D.1. Budget justification

Provide a clear budget justification that identifies how funds in each line item of the budget will be utilized to support the proposed project. Explain the proposed use of any amounts budgeted for "Equipment," "Contractual," or "Other," if any, on Form SF-424A, Budget Information - Non-Construction Programs.

D.2. Indirect costs

Explain the types of indirect costs, if any, on Form SF-424A. If there are any indirect costs, please submit a copy of the current Indirect Cost Rate Agreement that your organization has with its cognizant Federal agency. Alternatively, applicants must provide supplemental documentation such as: a certificate of indirect costs and acknowledgment letter from the cognizant agency, a cost allocation plan, an indirect cost rate proposal and/or other acceptable documents under Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) as set forth in 2 C.F.R. part 200 or relevant procurement regulations.

D.3. Key applicant staff

Identify key applicant staff who will undertake and complete project activities. Include a description of the knowledge, organizational experience, and expertise of individual staff members. In addition, explain how organizational resources will be used to complete project activities. For National Technical Assistance, Training and Research and Evaluation projects, specify which positions will be charged to the federal and non-federal portion of the project budget.

E. Administrative Requirements

E.1. Civil rights

Select the appropriate response, providing an explanation if "no."

E.2. Lobbying certifications

All applicants for federal financial assistance must certify that federal funds have not been used and will not be used for lobbying in connection with this request for federal financial assistance (Form CD-511). If non-federal funds have been or are planned to be used for lobbying in connection with this request for federal financial assistance, Form SF-LLL also must be completed. Applicants must comply with 13 C.F.R. § 302.10 regarding attorneys' and consultants' fees and the employment of expeditors. This regulation requires that applicants identify and disclose the amount of fees paid to anyone engaged to assist the applicant in obtaining assistance under the Public Works and Economic Development Act of 1965 (PWEDA), as amended.

E.3. Compliance with Executive Order 12372, State Single Point of Contact (SPOC)

Select the appropriate response to each question, please attach any comments that have been received. If the comment period has not yet expired or comments were not received, attach evidence of your request for comments.

E.4. Single Audit Act Requirement

Select the appropriate response to each question.

F. Requirements for Non-Governmental Applicants (Excluding Public Universities and Certain District Organizations)

As indicated, non-governmental applicants must also provide a copy of the requested items, either using the Attachments form that is part of the application package downloaded from www.Grants.gov or submitting a hard copy. Public Universities and Certain District Organizations may be exempt from this requirement, please contact your Regional Office to determine the requirements applicable to your organization.

Marshall, Darby 755-5391

From: DonotReply@state.ca.gov
Sent: Thursday, May 28, 2020 1:48 PM
To: Marshall, Darby 755-5391
Subject: Application Received

[CAUTION: This email originated from outside of the County. Do not click links or open attachments unless you recognize the sender and know the content is safe.]

Dear County of Monterey,

Your application was made available to the State Clearinghouse under the Executive Order 12372 Process for review.

Thank you,
OPR State Clearinghouse
State.Clearinghouse@opr.ca.gov
916-445-0613

BUDGET INFORMATION - Non-Construction Programs

OMB Number: 4040-0006
Expiration Date: 02/28/2022

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Small Business Loans	11.307	\$ 1,600,000.00	\$	\$	\$	\$ 1,600,000.00
2. General Administration of Lending Program	11.307	160,000.00				160,000.00
3.						
4.						
5. Totals		\$ 1,760,000.00	\$	\$	\$	\$ 1,760,000.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) Small Business Loans	(2) General Administration of Lending Program	(3)	(4)	
a. Personnel	\$ <input type="text"/>	\$ <input type="text" value="40,000.00"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text" value="40,000.00"/>
b. Fringe Benefits	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
c. Travel	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
d. Equipment	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
e. Supplies	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
f. Contractual	<input type="text"/>	<input type="text" value="120,000.00"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="120,000.00"/>
g. Construction	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
h. Other	<input type="text" value="1,600,000.00"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="1,600,000.00"/>
i. Total Direct Charges (sum of 6a-6h)	<input type="text" value="1,600,000.00"/>	<input type="text" value="160,000.00"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text" value="1,760,000.00"/>
j. Indirect Charges	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
k. TOTALS (sum of 6i and 6j)	\$ <input type="text" value="1,600,000.00"/>	\$ <input type="text" value="160,000.00"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text" value="1,760,000.00"/>
7. Program Income	\$ <input type="text" value="20,000.00"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text" value="20,000.00"/>

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SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program		(b) Applicant	(c) State	(d) Other Sources	(e)TOTALS
8.	Small Business Loans	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
9.	General Administration of Lending Program	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
10.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
11.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
12. TOTAL (sum of lines 8-11)		\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ <input type="text" value="1,223,200.00"/>	\$ <input type="text" value="248,000.00"/>	\$ <input type="text" value="325,070.00"/>	\$ <input type="text" value="325,070.00"/>	\$ <input type="text" value="325,060.00"/>
14. Non-Federal	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
15. TOTAL (sum of lines 13 and 14)	\$ <input type="text" value="1,223,200.00"/>	\$ <input type="text" value="248,000.00"/>	\$ <input type="text" value="325,070.00"/>	\$ <input type="text" value="325,070.00"/>	\$ <input type="text" value="325,060.00"/>

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program		FUTURE FUNDING PERIODS (YEARS)			
		(b)First	(c) Second	(d) Third	(e) Fourth
16.	Small Business Loans	\$ <input type="text" value="456,800.00"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
17.	General Administration of Lending Program	<input type="text" value="80,000.00"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
18.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
19.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
20. TOTAL (sum of lines 16 - 19)		\$ <input type="text" value="536,800.00"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges: <input type="text"/>	22. Indirect Charges: <input type="text"/>
23. Remarks: <input type="text"/>	

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ED-900A - Additional EDA Assurances for Construction Or Non-Construction Investments

For **ALL** investments: As a duly authorized representative of the applicant, I further certify that the applicant:

1. Understands that attorneys' or consultants' fees, whether direct or indirect, expended for securing or obtaining EDA investment assistance are not eligible costs. See 13 C.F.R. § 302.10(a).
2. Understands that conflicts of interest or appearances of conflicts of interest are prohibited and may jeopardize this application or result in the forfeiture of investment funds. A conflict of interest occurs, for example, where a representative, official, employee, architect, attorney, engineer, or inspector of the applicant, or a representative or official of the federal, State or local government, has a direct or indirect financial interest in the acquisition or furnishing of any materials, equipment, or services to or in connection with the project. See 13 C.F.R. § 302.17.
3. Will comply with the reporting requirements under the Government Performance and Results Act (GPRA) of 1993 and the GPRA Modernization Act of 2010 (GPRAMA) for measuring and reporting project performance.

For **CONSTRUCTION** investments: As a duly authorized representative of the applicant, I further certify that the applicant:

1. Will operate and maintain the facility in accordance with at least the minimum standards as may be required or prescribed by applicable federal, State and local agencies for the maintenance and operation of such facilities.
2. Will require the facility to be designed to comply with the Americans with Disabilities Act of 1990 (ADA) (42 U.S.C. 12101 et seq.), the Architectural Barriers Act of 1968 (42 U.S.C. 4151 et seq.) and the Accessibility Guidelines for Buildings and Facilities regulations, as amended (36 C.F.R. part 1191), and will be responsible for conducting inspections to insure compliance with these requirements.
3. For the two-year period beginning on the date EDA investment assistance is awarded, will refrain from employing, offering any office or employment to, or retaining for professional services any person who, on the date on which the investment assistance is awarded or within the one-year (1) period ending on that date, served as an officer, attorney, agent or employee of the Department of Commerce and occupied a position or engaged in activities that EDA determines involved discretion with respect to the award of investment assistance under PWEDA. See section 606 of PWEDA and 13 C.F.R. §302.10(b).
4. Will have no facilities under ownership, lease or supervision to be utilized in this project that are listed or under consideration for listing on EPA's List of Violating Facilities.
5. Will comply with Executive Order 12699, "Seismic Safety of Federal and Federally Assisted or Regulated New Building Construction," which imposes requirements that federally-assisted facilities be designed and constructed in accordance with the most current local building codes determined by the awarding agency or by the Interagency Committee for Seismic Safety in Construction (ICSSC) and the most recent edition of the American National Standards Institute Standards A58, Minimum Design Loads for Buildings and Other Structures.

6. Will observe and comply with federal procurement rules, as set forth in 2 C.F.R. part 200, as applicable, for award of any contracts for architectural engineering, grant administration services, or construction financed with EDA investment assistance

For **NON-CONSTRUCTION** investments: As a duly authorized representative of the applicant, I further certify that the applicant:

1. Will comply with applicable regulations regarding indirect cost rates, if indirect costs are included in the application.
2. Will comply with the requirement that this investment assistance will not provide a proprietary benefit to a private individual, for-profit corporation, or other commercial entity.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

Completed on submission to Grants.gov

TITLE

Housing Program Manager

APPLICANT ORGANIZATION

County of Monterey

DATE SUBMITTED

Completed on submission to Grants.gov

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

<p>SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</p> <p>Completed on submission to Grants.gov</p>	<p>TITLE</p> <p>Housing Program Manager</p>
<p>APPLICANT ORGANIZATION</p> <p>County of Monterey</p>	<p>DATE SUBMITTED</p> <p>Completed on submission to Grants.gov</p>

Standard Form 424B (Rev. 7-97) Back

Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 15 CFR Part 28, 'New Restrictions on Lobbying.' The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Commerce determines to award the covered transaction, grant, or cooperative agreement.

LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 15 CFR Part 28, for persons entering into a grant, cooperative agreement or contract over \$100,000 or a loan or loan guarantee over \$150,000 as defined at 15 CFR Part 28, Sections 28.105 and 28.110, the applicant certifies that to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, 'Disclosure Form to Report Lobbying,' in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure occurring on or before October 23, 1996, and of not less than \$11,000 and not more than \$110,000 for each such failure occurring after October 23, 1996.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above applicable certification.

* NAME OF APPLICANT

County of Monterey

* AWARD NUMBER

* PROJECT NAME

RLF Recapitalization to Assist COVID-19 Impacted Businesses

Prefix:

Ms.

* First Name:

Anastacia

Middle Name:

* Last Name:

Wyatt

Suffix:

* Title: Housing Program Manager

* SIGNATURE:

Completed by Grants.gov upon submission.

* DATE:

Completed by Grants.gov upon submission.



ED-900F – Supplement for Revolving Loan Fund Applications

1. Explain the need for a public lending program

1.a. Is the anticipated RLF lending area different from the geographic area described in ED-900, Section B.1?

Yes No

1.b. If Yes, define the anticipated RLF lending area.

1.c. Identify any other EDA-funded RLF Operators in the anticipated lending area.

None

1.d. Describe the targeted borrowers and industry sectors.

This recapitalization grant application is to provide lending to all businesses impacted by the COVID-19 public health crisis.

2. Describe the capacity to operate a public lending program

2.a. Describe the capacity of the RLF organization to manage lending activities and create networks between the business community and other financial providers.

The County has successfully managed two EDA capitalized RLFs for over 30-years.

2.b. Identify other lending programs managed by applicant.

None, but the County contracts with California Coastal Rural Development Corporation for the day-to-day management of the RLF. CalCoastal is a well established small businesses lender on California's Central Coast.