



COUNTY OF MONTEREY
RESOURCE MANAGEMENT AGENCY – PUBLIC WORKS
168 W ALISAL ST FL2
SALINAS CA 93901-2438

ATTACHMENT B

RFQ 10550

**REQUEST FOR
CONTRACTOR PREQUALIFICATIONS**

FOR

**PROJECT 8811 - NEW JUVENILE HALL
1420 NATIVIDAD RD, SALINAS CA 93905**

Qualifications Packages Due: 2:00 p.m. (PST) Thursday November 12, 2015

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PREQUALIFICATION IS MANDATORY

The County of Monterey is prequalifying Contractors for the New Juvenile Hall - Project 8811 (Project) that possess a "B" General Building Contractor classification designated by the California Department of Consumer Affairs, Contractors State Licensure Board. This prequalification RFQ does not apply to any subcontractors or vendors. No bid will be accepted from a Contractor that has failed to comply with this prequalification requirement. Therefore, any Contractor who intends to participate in bidding for the Project's construction contract is required to fully complete this prequalification questionnaire and provide all information and materials requested in accordance with the instructions herein. Your responses will enable the County to pre-select Contractors to bid on the Project based on experience and qualifications. Prequalification by the County for this Project shall remain valid until the contract for construction is awarded or until responding firms are notified in writing by the County in the unexpected event that no construction contract is to be awarded.

All submittals received in accordance with the RFQ submission criteria will be reviewed primarily for construction experience relating to correction projects. Following County review of the Prequalification Submittals, a list of Contractors determined Qualified to Bid on the Project will be posted on the County RMA-PW website RFQ 10550 Project Page. This Notification of Prequalification of Contractors is advertised in a newspaper of general circulation and is posted as a separate document on County of Monterey website, RMA-Public Works RFQ 10550 project page.

The County reserves the right to waive minor irregularities and omissions in the information contained in the prequalification application submitted and to make all final determinations regarding the submittals. The County reserves the right to adjust, increase, limit, suspend, or rescind the prequalification rating based on subsequently learned information. Contractors whose rating changes sufficient to disqualify them will be notified, and given an opportunity for a hearing consistent with the appeals procedure described herein for appealing a prequalification rating. The County also reserves the right to terminate this prequalification process at any time.

Prequalification of a contractor will not preclude the County from conducting a post-bid evaluation of whether a bidding contractor: 1) has the quality, fitness, experience, competency, capability, and demonstrates the requisite trustworthiness to complete a project of this type; 2) has key personnel with sufficient expertise and training to competently manage and complete the construction of the project; and 3) has the financial capacity to perform and complete the project.

I. GENERAL PREQUALIFICATION PROCESS AND REQUIREMENTS

A. General Information

- Project 8811 - New Juvenile Hall (Project)
- Project Location: 1420 Natividad Rd, Salinas CA 93906
- Owner: County of Monterey
- Managing Department: Resource Management Agency-Public Works (RMA-PW)
- Project Manager: Architectural Services Manager Donald D. Searle or designee
- RFQ Processing Coordinator: See RMA-PW website RFQ 10550 Project Page

B. Proposal Manager

Contractors interested in submitting a Statement of Qualifications (SOQ) shall contact the RFQ 10550 Processing Coordinator to provide their firm's information and designation of its Proposal Manager. The Contractor's Proposal Manager shall be the single point of contact for questions, inquiries, clarifications, and correspondence during the entire RFQ process. The Contractor shall submit to the RFQ 10550 Processing Coordinator a completed Identification of Contractor Proposal Manager form

(Exhibit A) by the date and time specified in the Notification of Prequalification of Contractors posted on the RFQ 10550 project page. In the event that the RFQ 10550 Processing Coordinator does not acknowledge receipt of the Proposal Manager's e-mail transmission of the required designation form, the Proposal Manager is to first contact the Processing Coordinator, or second, the Project Manager, to verify County has received the information.

Any substitution of Contractor's Proposal Manager during the RFQ processes shall be made in writing to County's Project Manager (via RFQ 10550 RFQ Processing Coordinator).

C. RFQ 10550 Project Page

RFQ 10550's Project Page contains all information/documents related to the RFQ. IT IS CONTRACTOR'S SOLE RESPONSIBILITY TO CHECK COUNTY RMA-PUBLIC WORKS RFQ 10550 PROJECT PAGE TO ENSURE THEY HAVE ALL UPDATED INFORMATION/ADDENDA FOR RESPONSE TO RFQ at www.co.monterey.ca.us/publicworks/.

D. Mandatory RFQ Site Walk/Conference

Attendance at a Mandatory Prequalification Conference is required. The date and time of the Mandatory Prequalification Conference is listed in the Notification of Prequalification of Contractors for RFQ 10550. The Mandatory Prequalification Conference will review the RFQ process, submittal requirements, and prequalification evaluation criteria. Attendees will be allowed to ask questions at this conference. Oral responses given at this conference are not binding to County. Only responses and clarifications issued via formal written addenda shall be binding. All prospective Contractors for prequalification are required to attend the Mandatory Prequalification Conference in its entirety. Attendance is limited to the Contractor Proposal Manager and a maximum of three (3) additional representatives.

E. Questions Procedure

Questions pertaining to RFQ 10550 shall be submitted by Proposal Manager via e-mail to RFQ 10550 Processing Coordinator. Contractors are instructed to review the RFQ and consolidate their questions before sending them via their Proposal Manager to the RFQ 10550 Processing Coordinator. The deadline for written questions is stipulated in Notification of Prequalification of Contractors. Questions will be researched and answers posted to RFQ 10550 Project Page as an Addendum.

F. Communication with County

Prospective Contractors shall not contact COUNTY officers or employees with questions or suggestions regarding this RFQ solicitation except through the designated RFQ Processing Coordinator or designated project manager listed on the RFQ 10550 Project Page. No telephone inquiries will be answered. **Any unauthorized contact may be considered undue pressure and cause for disqualification of Contractor.**

G. Contact and Submittal Information:

Contact and Submittal Information is stipulated in the Notification of Prequalification of Contractors listed on the RFQ 10550 Project Page. **All signatures must be manual and in BLUE INK. All notations must be typed or written in BLUE ink. Errors may be crossed out and corrections printed in ink or typed adjacent, and must be initialed in BLUE ink by the person signing the qualifications package.**

H. Late Submittals

Late submittals will be rejected and returned to the Contractor. Contractors are responsible to select a method of delivery that ensures their Statement of Qualifications submittal is delivered to the specified location for receipt of the submittal prior to the prescribed deadline.

I. Confidentiality

All information provided will be kept confidential to the extent permitted by law. Public Contract Code section 20101[a] provides that the questionnaires and financial statements shall not be public records and shall not be open to public inspection. The contents, however, may be disclosed to third parties for the purpose of verification, or investigation of substantial allegations, or in the appeal hearing. Records of the names of contractors applying for prequalification status shall be public records subject to disclosure under the Public Records Act. The first page of the questionnaire will be used for that purpose.

J. Minimum Bonding Requirements

The Contractor, individually or as a team, must have the capacity to obtain performance and payment bonds for the full value of the construction contract. Prospective Contractors that cannot provide bonding at that amount will not be considered qualified even though they may be qualified otherwise.

K. Appeal Procedure

Where a timely and completed application results in a rating below that necessary to prequalify, an appeal can be made. An appeal is begun by the Contractor delivering notice to the County Project Manager of its appeal of the decision with respect to its prequalification rating, no later than ten business days following the date of written notification from the County that the Contractor's rating does not meet the rating established by the County. Without a timely appeal, the Contractor waives any and all rights to challenge the decision of the County, whether by administrative process, judicial process, or any other legal process or proceeding.

If the Contractor gives the required notice of appeal and requests a hearing, the hearing shall be conducted so that is concluded no later than ten business days after the County's Project Manager's receipt of the notice of appeal. The hearing shall be an informal process conducted by an Appeals Panel to which the County Administrator's Office has delegated responsibility to hear such appeals. At or prior to the hearing, the Contractor will be advised of the basis for the County's prequalification determination. The Contractor will be given the opportunity to present information and present reasons in opposition to the rating. Within 48 hours after the conclusion of the hearing, the Appeals Panel will render its decision, which shall be final and not subject to further appeal. It is the intention of the County that the date for the submission and opening of bids will not be delayed or postponed to allow for completion of an appeals process.

L. Labor Compliance

The successful Contractor awarded the construction project and all subcontractors must comply with the provisions of State Senate Bill 854 (Stat. 2014, chapter 28), which became effective January 1, 2015. Including the following:

- 1) No contractor or subcontractor may be listed on a bid proposal for public works project (submitted on or after March 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 [with limited exceptions from this requirement for bid purposes only under Labor Code section 1771.1(a)]
- 2) No contractor or subcontractor may be awarded a contract for public works on a public works project (awarded on or after April 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5.
- 3) Project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

II. PROJECT INFORMATION

A. Project Description

The Project consists of demolition of existing buildings, renovation of an existing housing unit, and addition of three new 30-bed housing buildings, vehicle sally port, recreation yard, and essential program space. The Project will be constructed in phases with existing buildings being demolished to make way for the new recreation yard and support buildings. Upon completion, the Project will provide approximately 68,896 square feet (sf) of total building area and 100,108 sf of site improvements on 9.217[±] acres of the greater 38.407[±] acres of county-owned land in the City of Salinas.

The completed 120-bed Project will be laid out in a campus style with a central 1-¼-acre recreation yard surrounded by four housing buildings and support buildings. Two new 30-bed medium-security housing buildings, each approximately 7,172 sf, will contain single and double cells, and a new 30-bed, high-security housing building, approximately 8,795 sf, will contain both single and double cells. Each new housing building will have three 10-bed housing units with connecting dayroom and showers surrounding a central multipurpose room, staff support and an outdoor recreation area. Additionally, the high security housing building will provide on-unit classrooms and a satellite medical exam room. The base bid project includes the renovation of an existing 4,775 sf medium-security dormitory housing building. The renovation includes the remodel of two existing housing units, one with 10 beds, one with 20 beds, with existing dayroom and programming space retained. The Project contains an alternate bid to demolish the existing housing building instead of remodeling it, and replacing it with a third 6,196 sf medium- security housing building.

Program services space will be provided at each housing building for education, religious services, counseling, substance abuse classes, group counseling, mental health evaluations, and other programs to reduce recidivism. The new administration building provides spaces for contact and non-contact visitation, central control, medical facilities, staff offices, intake processing, release, staff training, staff locker rooms, staff breakroom, equipment storage, and maintenance shops with direct access to the new juvenile hall campus. The Project provides a new central kitchen, dining, laundry, and warehouse building, and a school/gymnasium building with a teacher resource room, educational offices, and staff support areas directly off a large recreational yard.

Primary security and visual supervision of the Project will be provided by the new central control room which will utilize closed circuit television to provide visual control and assist in the control of the security perimeter penetrations. Central control will have visual supervision of the housing units, attached outdoor exercise areas, and program spaces. Each new and existing housing unit will also be monitored by a smaller unit security located off the multipurpose room.

The Project will also include, but not be limited to, site utilities, water distribution and infrastructure; security fencing; electrical; plumbing; mechanical; computerized heating, ventilation, and air conditioning; security; low voltage; emergency power; staff and visitor parking; and fire protection systems.

III. STATEMENT OF QUALIFICATIONS (SOQ) INSTRUCTIONS

PART A – Questionnaire Instructions

Each responding Contractor shall submit qualifications as described in the attached Part A - Questionnaire consisting of the following four (4) Sections:

Section 1: Information about Contractor

Section 2: Essential requirements for Contractor

Section 3: Scored questions for Contractor

Section 4: Financial questions for Contractor

If information requested from Contractor is not provided in accordance with the requirements of Part A: Sections 1 and 2, then Contractor will be considered nonresponsive and no further evaluation of Contractor's Statement of Qualifications will be made.

Contractor must complete and sign the certification form included at the end of the Part A: Section 1 and submit it with its Qualifications Package.

PART B – Experience Instructions

Each Contractor submitting qualifications shall provide evidence that establishes that Contractor has completed or demonstrated the capability to complete correctional projects of similar size, scope, schedule, and complexity.

Contractor's experience will be evaluated for its ability to successfully deliver the Project through responses to the following sections:

Section 5: Project Profiles

Information requested in this section is intended to enable the selection panel to review experience and actual results of the Contractor's ability to successfully deliver correctional projects similar in size, scope, schedule, and complexity to the Project.

Contractor shall provide construction project profiles for a maximum of five (5) independent projects that comply with the requirements of construction experience below. Projects are to demonstrate the Contractor's construction experience on similar projects in terms of new construction complexity, scope, size, schedule compliance, and effective cost control.

Construction Experience:

The Contractor shall submit a maximum of five (5) project profiles representative of their ability to successfully deliver projects of similar size, scope, character, and complexity to this Project.

- All projects must be in California
- At least 3 projects must be Correctional
- All projects must have been completed within the last five years or are currently under construction and at least 50 percent complete.
- Three correctional projects must have a minimum collective construction cost of \$40 Million

Complete the Project Profile Template attached for each project. Each profile is limited to two (2) pages and must include a response to all line items of the template for each project presented. Additional information, photographs, and other graphic materials may be included. Include a narrative addressing the salient features for each project and a brief statement indicating the relevance of the referenced project to this Project.

Section 6: Project Profile References

Information requested in this section is intended to permit the selection team to validate actual performance of the Contractor on a given project. List on the Project Reference Template references for each project for which a Project Profile is being submitted under Section 5. For each project listed, identify the Project Name, Architect Name, Construction Manager Name, and Owner Contact Name along with Contacts' Title, Telephone Number, E-mail Address, and Relationship to the Project. Owner Contacts are required to be people intimately familiar with Contractor's performance in the listed project.

County intends to contact those individuals listed as references by the Contractor and to verify that the Contractor's characterization of their overall assessment of the quality of the Contractor's project delivery, function of the facility, cost of the facility in relation to bid price, schedule control, quality, and commissioning and closeout, is consistent with that of the reference.

It is the Contractor's responsibility to verify that all references listed can be reached at the telephone number provided. **If a reference cannot be located based upon the information provided by the Contractor, then County will not score the listed project for this Section.**

County, at its sole discretion, may choose to contact other owners based on information presented in the Contractor's overall Statement of Qualifications.

IV. SOQ SUBMITTAL REQUIREMENTS

Statement of Qualifications shall be submitted in accordance with the following requirements. The submittal shall use no less than 11 pt. font on 8-½ x 11 inch page size. Each submittal shall contain the following tabbed sections:

Cover letter (limited to 1 page)

Title Page

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Tab 1 - Part A: Questionnaire:

Section 1: Information on Contractor

Section 2: Essential requirements for Contractor

Section 3: Questions for Contractor

Section 4: Financial Questions for Contractor

(Audited Financial Statements to be provided in separate sealed envelope)

Signed Certification by Contractor

Part B: Experience:

Section 5: Project Profiles (limited to 2 pages each per project)

Section 6: Project References

Tab 3 – Appendix:

Letter from Surety

Letter(s) from Insurance Carriers

ALL SUBMITTALS MAILED OR DELIVERED CONTAINING QUALIFICATIONS MUST BE CONTAINED IN ONE (1) SEALED PACKAGE MARKED CONFIDENTIAL AND BEAR ON THE OUTSIDE, PROMINENTLY DISPLAYED IN THE LOWER LEFT CORNER: RFQ #10550 STATEMENT OF QUALIFICATIONS AND CONTRACTOR'S COMPANY NAME.

CONTRACTOR MUST INCLUDE ONE (1) ORIGINAL PLUS FIVE(5) COPIES (6 IN TOTAL); (1) CD OR THUMB DRIVE WITH PDF FILES OF SUBMITTAL MATERIALS AND (1) ORIGINAL COPY OF THEIR AUDITED FINANCIAL STATEMENTS. Qualifications packages that do not follow the format, content, and submittal requirements as described herein, or fail to provide the required documentation, may receive lower evaluation scores or be deemed non-responsive. All submittals in response to this solicitation become the property of the County of Monterey.

Qualifications packages shall be mailed or delivered in-person to MONTEREY COUNTY/RMA-PUBLIC WORKS ARCHITECTURAL SERVICES/ATTN **RFQ 10550 PROJECT MANAGER**/168 W. ALISAL ST. FL2/SALINAS CA 93901-2438

Due Date: Qualifications packages must be received by County Resource Management Agency-Public Works ON OR BEFORE the time and date specified in RFQ 10550's Notification of Prequalification of Contractors posted on the RMA-PW website RFQ 10550 Project Page. Postmarks are not adequate. It is the sole responsibility of Contractor to ensure that the qualifications package is received at or before the specified time. No facsimiles (faxes) or e-mail submittals will be accepted. Qualifications packages received after the deadline shall be rejected and returned unopened. **SUBMISSION DEADLINE WILL BE STRICTLY ENFORCED.**

RFQ 10550
Project 8811 New Juvenile Hall

Request for
Contractor Qualifications

Part A: Questionnaire

- Section 1:** Information about Contractor
- Section 2:** Essential Requirements for Contractor
- Section 3:** Questions for Contractor
- Section 4:** Financial Questions for Contractor

PART A: QUESTIONNAIRE

Section 1: Information about Contractor

1. Name of Contractor: _____
2. Date of company formation or incorporation: _____
3. State of formation or incorporation: _____
4. How many persons does the Contractor currently employ? _____
- 5 (a) If Contractor is a corporation, provide information for each officer of the corporation and owners of 10% or more of corporate stock.

Position	Name	Years with Firm	Ownership%
CEO			
President			
Secretary			
Treasurer			

- 5 (b) If Contractor is a sole proprietorship, please complete the following:

Owner	Years as Owner

- 5 (c) If Contractor is a joint venture or partnership, provide the following for each member of the joint venture or each partner.

[Attach additional pages, if necessary, labeled in top right corner *Part A Questionnaire, Section 1, 5(c)*]

Name of Individual Or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership	% Ownership Interest

6. Has there been any change in ownership of Contractor during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

Yes No

If "yes," Attach additional page of explanation labeled in top right corner *Part A Questionnaire, Section 1, 6.*

7. Is the Contractor a subsidiary, parent, holding company or affiliate of another construction firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If "yes," Attach additional page of explanation labeled in top right corner *Part A Questionnaire, Section 1, 7.*

8. State Contractor's gross revenues for each year:

YEAR: 2014 YEAR: 2013 YEAR: 2012

9. List all California contractor license numbers/classifications/expiration dates currently held:

License Number	Trade Classification	Date Issued	Expiration Date

10. Has the Contractor changed names or license numbers in the past five years?

Yes No

If "yes," Attach additional page of explanation labeled in top right corner *Part A Questionnaire, Section 1, 10.*

11. Has any owner, CSLB qualifier, or corporate officer of the Contractor operated as a contractor under any other name or license number (not listed in 9 above) in the last five years?

Yes No

If "yes," Attach additional page of explanation labeled in top right corner *Part A Questionnaire, Section 1, 11.*

12. Surety Information for Contractor:

Bonding Co. /Surety: _____

Surety Agent: _____

Agent Address: _____

Telephone No.: _____

E-mail Address: _____

13. List all other sureties (name and full address) that have written bonds for Contractor during the last five years, including period(s) during which each wrote the bond. Attach separate page if needed labeled in top right corner *Part A Questionnaire, Section 1, 13*.

Surety	Address	Periods of Coverage

SECTION 1 INFORMATION ABOUT CONTRACTOR IS FOR IDENTIFICATION PURPOSES ONLY.

CERTIFICATION

NOTE: The Contractor must complete and sign a copy of this Certification form.

I, the undersigned _____, certify and declare that I have read all the foregoing answers to this Prequalification Questionnaire and that all responses are correct and complete of my own knowledge and belief. I declare under penalty of perjury under the laws of the State of California, that the foregoing is true and correct. I further attest that I am an official officer representing my firm and have the legal authority to bind the Contractor on whose behalf that I am presenting this qualifications package. I further warrant that if any of the information provided herein becomes inaccurate that the Contractor shall immediately notify the County and provide updated, accurate information, in writing, under penalty of perjury.

(Signature)

(Printed name)

(Place of Execution)

(Date)

(Firm Name)

Section 2: Essential Requirements for Contractor

The term "Associates" shall mean all of the following:

- The current qualifiers for all current Contractors State License Board contracting licenses held by Contractor.
- All current officers of a Contractor which is a corporation.
- All current partners of a Contractor which is a partnership.
- All current joint-venturers of the joint venture Contractor which is seeking prequalification.

1. Does Contractor possess a current California contractor's license for the project for which it intends to submit a proposal or intend to obtain a license before commencing work?

Yes No

2. Does Contractor have a liability insurance policy with a policy limit of at least **\$2 Million** per occurrence, **\$4 Million** aggregate and **\$10 Million** in excess liability from a California admitted company?

Yes No

If yes, provide requested information below and attach a letter from the insurance carrier confirming limits. Attach additional page labeled in top right corner Section 2 *Essential Requirements, Question 2*, if More than one policy.

Insurance Company: _____

Policy Number: _____

Policy Limit per Occurrence: _____

Aggregate Policy Limit: _____

3. Does Contractor have current California workers' compensation insurance policies as required by the Labor Code or is legally self-insured pursuant to Labor Code sections 3700 et. seq. or does it intend to obtain such insurance prior to commencing work?

Yes No

If yes, provide the following information. If more than one policy, attach additional page labeled in top right corner Section 2 *Essential Requirements, Question 3*.

Insured: _____

Insurance Company: _____

Policy Number: _____

4. Is the latest copy of an audited financial statement (no More than two years old) with accompanying notes attached for Contractor or each member of the Contractor? (An audited financial statement with accompanying notes of a parent company guarantor may be substituted. A financial statement that is not audited is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.)¹

Yes No

¹ A "no" answer to Question 4 will not be disqualifying if the contractor is exempt from complying with Question 4, for reasons explained in footnote 2.

5. Has a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California been attached, which states that the Contractor's current bonding capacity is sufficient to provide payment and performance bonds in the amount of 100% of the contract total ? (Statement must be from the surety company, not an agent or broker.)²

Yes No

6. Has any contractor license held by Contractor or its Associates, been revoked or suspended within the last five (5) years?

Yes No

7. Has a surety firm completed a contract or paid for completion of a contract on behalf of the Contractor because they were terminated by the project owner within the last five (5) years?

Yes No

8. Within the last five years, has Contractor been declared ineligible to bid on a public works contract, be awarded a public works contract, or perform as a subcontractor on a public works contract pursuant to either Labor Code section 1777.1 or 1777.7?

Yes No

9. Has any member of the Contractor or any Contractor Associates, ever been convicted of a crime involving the awarding of a contract for a government project, or the bidding or performance of a government contract within the last five (5) years?

Yes No

10. Does Contractor seeking prequalification know and understand its obligations regarding the employment of apprentices on public works under Labor Code section 1777.5 and California Code of Regulations, Title 8, section 230.1, and does it intend to comply with these requirements, including the requirement, if applicable, to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council?

Yes No

11. Will Contractor ensure that its subcontractors are registered in accordance with SB 854 (Stat. 2014 Chapter 28) and will comply with all of its provision and Labor code 1725.5?

Yes No

12. In accordance with Section 5.08.120 of the Monterey County Code, all contractors and subcontractors providing work, laborers, or material suppliers on the project shall make a good-faith

² Public Contract Code section 20101(e) exempts from this requirement a contractor who has qualified as a small business pursuant to Government Code section 14837(d)(1), if the bid is "no more than 25 per cent of the qualifying amount provided in section 14837(d)(1)." As of January 1, 2001, the qualifying amount is \$10 million, and 25 per cent of that amount, therefore, is \$2.5 million.

effort to employ qualified individuals who are, and have been for at least one (1) year out of the past three (3) years prior to the opening of bids, residents of the Monterey Bay Area in sufficient numbers so that no less than fifty percent (50%) of Contractor's total construction work force, on the project, including any subcontractor work force (with exception of specialty subcontractor items identified in the bid items) measured in labor work hours is comprised of Monterey Bay Area residents. Contractor is to attach an Outreach Plan relating to Monterey Bay Area in compliance to Section 5.08.120 of the Monterey County Code. (Note: At the time of construction project bid submittals, the Contractor must complete a certification form relating to compliance with Section 5.08.120. See Exhibit B). The Monterey County Board of Supervisors may deem your Qualifications Submittal non-responsive for failure to abide by the good-faith local hiring provisions of Section 5.08.120. "Resident of Monterey Bay Area" means a person who resides within the boundaries of Monterey County, Santa Cruz County, or San Benito County.

Will Contractor comply with this Section of the Monterey County Code?

Yes No

Section 3: Questions for Contractor

The term "Associates" shall mean all of the following:

- Current qualifiers for all current Contractors State License Board contracting licenses held by Contractor.
- All current officers of a Contractor which is a corporation.
- All current partners of a Contractor which is a partnership.
- All current joint venturers of the joint venture Contractor which is seeking prequalification.

1. How many years has Contractor been licensed in the State of California?

Years: _____

2. Is Contractor or its Associates currently a debtor in a bankruptcy or receivership case?

Yes No

If "yes," indicate case number(s), bankruptcy court, and date(s) on which the petition was filed.

3. Has Contractor or its Associates ever been in bankruptcy or receivership at any time (This question refers only to a bankruptcy action not described in answer to question A-2, above)

Yes No

If "yes," indicate case number(s), bankruptcy court, and date(s) on which the petition was filed.

4. Has Contractor, its Associates, or managing employees ever been assessed and paid liquidated damages of More than a total of **\$30,000** on a construction project contract with either a public agency or private owner?

Yes _____ number projects No

If yes, explain on a separate page labeled in top right corner Section 3 *Question 4*, identifying all such projects by owner, owner's address, name of entity against whom assessment was made, date of project completion, total amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

5. Has the Contractor, its Associates or managing employees ever been declared by an owner, or found by an arbitrator or court to be in default on a construction contract?

Yes No

If "yes," attach additional page labeled in top right corner Section 3 *Question 5*.

6. Has Contractor, its Associates, or managing employees been debarred, disqualified, removed, or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

Yes No

If "yes," attach page of explanation labeled in top right corner Section 3 *Question 6*. State name of organization debarred, year of event, project owner, and basis for the action.

7. Has Contractor, its Associates, or managing employees ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible or responsive bidder?

Yes No

If “yes,” on a separate page labeled in top right corner Section 3 *Question 7*, identifying year of the event, entity denied the award, owner, project, and basis for the finding by the public agency.

(NOTE: The following two questions refer only to disputes between Contractors and project owners. You need not include information about disputes with suppliers, other contractors, or subcontractors or information about “pass-through” disputes in which the actual dispute is between a subcontractor and a project owner.)

8. In the past five years, has any claim in excess of **\$30,000** been filed in court, arbitration, or other dispute resolution proceeding against Contractor or its Associates concerning their work on a construction project?

Yes ___ number No

If “yes,” on a separate page labeled in top right corner Section 3 *Question 8*, identify the claim(s) by providing the project name, date of the claim, name of the claimant, name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

9. In the past five years, has Contractor or its Associates made any claim in excess of **\$30,000** against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?

Yes ___ number No

If “yes,” on a separate page labeled in top right corner Section 3 *Question 9*, identify all claim(s) by providing name of claimant, project name, date of claim, name of entity(ies) against whom claim was filed, brief description of nature of claim, court & case #, and brief description of status of claim (pending, or if resolved, brief description of the resolution).

10. Has any insurance carrier, for any form of insurance, refused to renew an insurance policy for Contractor or its Associates due to nonpayment or contractor losses?

Yes No

If “yes,” on a separate page labeled in top right corner Section 3 *Question 10*, provide name(s) of insured, name(s) of insurance carrier, form of insurance, and year(s) of refusal.

11. Has Contractor, its Associates, or managing employees ever been found liable in a civil suit or found guilty in a criminal action for, or legally admitted for the purpose of a criminal plea to making any false claim or material misrepresentation to any public entity?

Yes No

If “yes,” explain on a separate page labeled in top right corner Section 3 *Question 11*, identifying who was found liable or guilty, court and case number, name of public entity, civil or criminal verdict, date and basis for the finding for each instance.

12. Has Contractor, its Associates, or managing employees ever been convicted of a crime involving

any federal, state, or local law related to construction?

Yes No

If “yes,” explain on a separate page labeled in top right corner Section 3 *Question 12*, identifying who was convicted, name of victim, date of conviction, court and case number, crimes, and grounds for each conviction.

13. Has Contractor, its Associates, or managing employees ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

If “yes,” identify on a separate page labeled in top right corner Section 3 *Questions, Question 13*, person(s) convicted, court and case number, crimes, and year convicted for each instance.

14. Has there ever been a period of time when Contractor or its Associates had no surety bond in place during a public construction project when one was required?

Yes No

If yes, indicate period(s) during which no surety bonds were in place, name of entity without surety bond, name of project owner, and, if coverage denied, date coverage denied and name of company that denied coverage for each period.

15. Has CAL-OSHA cited and assessed penalties against Contractor, its Associates, or managing employee(s) for any “serious, willful, or “repeat” violation(s) of its safety or health regulations? (NOTE: If you have filed an appeal of a citation, and OSHA Appeals Board has not yet ruled on your appeal, you need not include information about it.)

Yes ____ number No

If “yes,” on separate page labeled in top right corner Section 3 *Question 15*, describe citation(s), party against whom the citation was made, date of citation, nature of violation, project on which citation was issued, owner of project, and amount of penalty paid, if any. Provide case number(s) and date(s) of any OSHAB decision.

16. Has Federal OSHA cited and assessed penalties against Contractor, its Associates, or managing employee(s)? (NOTE: If an appeal of citation has been filed and Appeals Board has not yet ruled, or a court appeal is pending, you need not include information about citation.)

Yes ____ number No

If “yes,” on separate page labeled in top right corner Section 3 *Question 16*, describe citation(s), party against whom the citation was made, date of citation, nature of violation, project on which citation was issued, owner of project, and amount of penalty paid, if any. Provide case number(s) and date(s) of any decision.

17. Has the Environmental Protection Agency or any Air Quality Management District or Regional Water Quality Control Board cited and assessed penalties against Contractor, its Associates, managing employees, or owner of a project during the time in which the preceding parties were performing on a contract? (NOTE: If an appeal of citation has been filed and there is no ruling yet, or if a court appeal is pending, you need not include information about the citation.)

Yes ____ number No

If "yes," on separate page labeled in top right corner Section 3 *Question 17*, describe citations, party against whom citation was made, date of citation, nature of violation, project on which citation was issued, owner of project, and amount of penalty paid, if any. Provide case number(s) and date(s) of any decision.

18. How often does Contractor conduct documented safety meetings for construction workers and field supervisors during the course of a project?

_____ Frequency

19. List Contractor's Experience Modification Rate (EMR) for the past three premium years: (An EMR is issued to your firm annually by your workers' compensation insurance carrier.)

2014 EMR: _____

2013 EMR: _____

2012 EMR: _____

If EMR for any year is 1.00 or higher, you may explain on separate page labeled in top right corner Section 3 *Question 19*.

20. Has Contractor or its Associates ever had employees but been without workers' compensation (WC) insurance or state-approved self-insurance for any period?

Yes ____ times No

If yes, provide explanation for absence of WC insurance on a separate page labeled in top right corner Section 3 *Question 20*. If No, provide statement from your current WC insurance carrier verifying periods of WC insurance coverage for Contractor labeled in top right corner Section 3 *Question 20*.

21. Has there been more than one occasion in which Contractor or its Associates were required to pay either back wages or penalties for failure to comply with the state's prevailing wage laws?

Yes ____ times No

If "yes," attach a separate page labeled in top right corner Section 3 *Question 21*, describing violator, nature of each violation, name of project, completion date, public agency for which it was constructed, number of employees initially underpaid, and amount of back wages and penalties assessed.

22. Has there been more than one occasion in which Contractor or its Associates were penalized or required to pay back wages for failure to comply with Federal Davis-Bacon prevailing wage requirements?

Yes ____ times No

If "yes," attach a separate page labeled in top right corner Section 3 *Question 22*, describing violator, nature of each violation, name of project, completion date, public agency for which it was constructed; number of employees initially underpaid, and amount of back wages and penalties assessed.

23. If Contractor operates its own State-approved apprenticeship program, provide the following information on a separate page labeled in top right corner Section 3 *Question 23*:
- (a) Identify craft(s) in which you provided apprenticeship training in the past year.
 - (b) State year in which each such apprenticeship program was approved, attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
 - (c) State number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and number of persons during the past three years who completed apprenticeships in each craft while employed by your firm.

24. Has Contractor its Associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

Yes _____ times No

If "yes," provide the date of the findings and attach a copy of the final decision on a separate page labeled in top right corner Section 3 *Question 24*.

25. Do agreements exist between Contractor and registered apprenticeship programs approved by the California Apprenticeship Council and have graduated apprentices for all apprenticeable crafts which may be employed by Contractor on this project? (This graduation requirement shall not apply to programs providing apprenticeship training for any craft that has not been deemed by the Department of Labor and the Department of Industrial Relations to be an apprenticeable craft within the period of October 1995 to October 2000.)

Yes No

26. Provide the **name, address, and telephone number** of the apprenticeship program sponsor(s) (approved by the California Division of Apprenticeship Standards) that will provide apprentices to your company for use on any public work project for which you are awarded a contract by Monterey County.

27. How many California County correctional facilities of at least 30,000 square feet has Contractor been involved with as prime contractor? Include completed projects and/or projects that are at least 50 percent under construction. Project types may be design-bid-build, design-build, or construction manager at-risk.

Quantity: _____

28. How many California State prison facilities of at least 50,000 square feet has Contractor been involved with as a major bid package prime contractor? Include completed projects and/or projects that are at; least 50 percent under construction. Project types may be design-bid-build, design-build, or construction manager at-risk.

Quantity: _____

Section 4: Financial Questions for Contractor

A. Please fill in the following blanks based on Contractor's latest audited financial statement. If Contractor is a Joint Venture, Partnership, etc., combine assets and liabilities.

Current Assets: \$ _____

Current Liabilities: \$ _____

Total Net Worth: \$ _____

Current Ratio (Assets/Liabilities): _____

Working Capital (Current Assets - Current Liabilities): \$ _____

(Three years of audited financial statements for Contractor are required, If Joint Venture, Partnership, etc., financial information shall be combined).

RFQ 10550
Project 8811 - New Juvenile Hall
Request for Contractor Qualifications

Part B: Experience

Section 5: Project Profile Template

Section 6: Project Profile Reference Template

Section 5: Project Profile Template *Maximum 2 pages per project*

Project Profile Reference No. _____

Project Name: _____

Location: _____

Project Description: _____

Contractor's Role on the Project (Prime, Sub, etc.):

Architect of Record: _____

Construction Manager: _____

Other Relevant Entities: _____

Project Type: _____ Gross Square Footage: _____

Scheduled Completion Date: _____ Actual Completion Date: _____

Explain Difference, if any: _____

Original Construction Contract Amount: _____ Final Construction Contract Amount: _____

Explain Difference, if any: _____

Delivery Method: _____

Sustainable Building Measures Incorporated: _____

Relevance to this Project: _____

Awards: _____

Project Owner: _____

Owner's Representative (if not used as reference in Section 6):

E-Mail: _____ Telephone: _____

This form and references from may be reproduced/reprinted for use on each of the require project profiles being submitted. Please ensure that all of the information on each template is provided in the exact sequence and format as it is on the respective templates.

Section 6: Project Reference Template

To be completed for each Project listed in Project Profiles

PROJECT PROFILE REFERENCE:		
Project Profile No. 1	PROJECT NAME:	
	Reference's Organization:	
	Contact Name:	Title:
	Phone Number:	E-mail:
	Relationship to Project:	

Project Profile No. 2	PROJECT NAME:	
	Reference's Organization:	
	Contact Name:	Title:
	Phone Number:	E-mail:
	Relationship to Project:	

Project Profile No. 3	PROJECT NAME:	
	Reference's Organization:	
	Contact Name:	Title:
	Phone Number:	E-mail:
	Relationship to Project:	

Project Profile No. 4	PROJECT NAME:	
	Reference's Organization:	
	Contact Name:	Title:
	Phone Number:	E-mail:
	Relationship to Project:	

Project Profile No. 5	PROJECT NAME:	
	Reference's Organization:	
	Contact Name:	Title:
	Phone Number:	E-mail:
	Relationship to Project:	

End of RFQ 10550 Request for Qualifications

EXHIBIT A
Project 8811 - New Juvenile Hall
1420 Natividad Rd, Salinas CA 93906

Identification of Contractor Proposal Manager

Instructions: Complete requested information and E-Mail to RFQ 10550 Processing Coordinator before the deadline stipulated in the Notification of Prequalification of Contractors.

Contractor (as it appears on the license):

Proposal Manager:

Title:

Address:

Telephone:

Cell Phone:

E-mail Address:

Note: Any substitution of Contractor's Proposal Manager during RFQ process shall be made in writing to and subject to the approval by the Owner's Project Manager.

CONTRACTOR'S CERTIFICATION OF GOOD-FAITH EFFORT TO EMPLOY
MONTEREY BAY AREA RESIDENTS
(Monterey County Code section 5.08.120)
Division 00430

I CERTIFY THAT I am aware of the provision of Monterey County Code Section 5.08.120.

Monterey County Code Section 5.08.120 provides, in relevant part:

A. General Provisions. All County contracts for public works of improvement shall contain provisions pursuant to which the contractor shall make a good-faith effort to employ qualified individuals who are, and have been for at least one (1) year out of the past three (3) years prior to the opening of bids, residents of the Monterey Bay Area in sufficient numbers so that no less than fifty percent (50%) of the contractors total construction work force, on that particular contract, including any subcontractor work force (with exception of specialty subcontractor items identified in bid items) measured in labor work hours, is comprised of Monterey Bay Area residents.

B. Non-responsive Bidder Declaration: Enforcement. If any contractor submitting a bid for a contract for public works of improvement fails to abide by the good-faith local employment provisions of this Section, Contractor may be declared by the Board to be a non-responsive bidder for purposes of this Chapter. If a contractor lists in his or her bid a subcontractor who is currently disqualified under the terms of this Section, the Board may declare said contractor to be a non-responsive bidder for purposes of this Chapter. If the Board finds that a contractor to whom a contract for public works of improvement has been awarded has failed to comply with the good-faith employment provisions of this Section during the performance of the contract, the Board may disqualify Contractor from bidding on any County contract for public works of improvement for a period of one (1) year from the date of the Board's disqualification. A subsequent violation of this Section by a contractor may result in disqualification by the Board for a period of three (3) years from the date of the subsequent disqualification.

C. Binding on Subcontractors. Every contractor entering into a contract for public works of improvement subject to the provisions of this Section shall include in each and every subcontract for work, laborers, or material supplier relating to the project the requirement that the subcontractor shall make a good-faith effort to employ qualified individuals who are, and have been for at least one (1) year out of the past three (3) years prior to the opening of bids, residents of the Monterey Bay Area. If the Board finds that any subcontractor has failed during the performance of the subcontract to comply with this Section, the Board may disqualify said subcontractor from submitting or being listed in any bid for any County contract for public works of improvement for a period of one (1) year from the date of the Board's disqualification. A subsequent violation by a subcontractor may result in disqualification by the Board for a period of three (3) years from the date of the subsequent disqualification.”

I FURTHER CERTIFY AS FOLLOWS (check the box that applies):

I CERTIFY that at least fifty percent (50%) of the total construction work force on the project, including any subcontractor work force, measured in labor work hours, will be comprised of qualified individuals who to the best of my knowledge are, and have been for at least one (1) year out of the past three (3) years prior to the effective date of the opening of bids, residents of the Monterey Bay Area. Evidence that I will comply with this requirement is as follows (please use additional pages to provide supporting evidence and/or documentation, as necessary):

I CERTIFY that I shall make a good-faith effort to employ qualified individuals who, to the best of my knowledge, are, and have been for at least one (1) year out of the past three (3) years prior to the effective date of the opening of bids, residents of the Monterey Bay Area in sufficient numbers such that no less than fifty percent (50%) of the total construction work force on the project, including any subcontractor work force (with the exception of specialty subcontractor items identified in the bid items) measured in labor work hours, will be comprised of Monterey Bay Area residents. **Attached is my written plan to recruit Monterey Bay Area residents as part of the construction workforce.**

I CERTIFY that I do not comply with and am unable to make a good-faith effort to comply with the good-faith local employment provisions set forth in Monterey County Code Section 5.08.120. Explanation to why I am not able to comply is as follows (please use additional pages to provide supporting evidence and/or documentation, as necessary):

I declare under penalty of perjury under the laws of the State of California that the foregoing certification is true and correct. Executed on (date) _____
at (city/state) _____.

Bidder's Business Name: _____

By: _____

Print Name: _____

Print Title: _____