

**THIRD AMENDMENT TO THE
PRIMARY CARE PHYSICIAN SERVICES AGREEMENT
(For FQHC Providers)**

This Third Amendment to the Primary Care Physician Services Agreement ("Amendment") is effective January 1, 2013 ("Effective Date of Amendment"), by and between the Santa Cruz-Monterey-Merced Managed Medical Care Commission, a public entity organized under the laws of California, doing business as the Central California Alliance for Health, hereinafter referred to as "Plan", and the County of Monterey, a political subdivision of the State of California, on behalf of its Monterey County Clinic Services, hereinafter referred to as "Provider," with reference to the following facts:

WHEREAS, Plan is a public entity organized pursuant to Welfare and Institutions Code section 14087.54, Santa Cruz County Code Chapter 7.58, Monterey Municipal Code section 2.45.010, and Merced County Code Chapter 9.43;

WHEREAS, Plan and Provider entered into the Primary Care Physician Services Agreement effective as of the Commencement Date (the "Agreement"), as amended, for the provision of health care services;

WHEREAS, both Plan and Provider desire to change certain compensation terms of the Agreement and intend that such changes comply with federal and state Laws regarding payment for Covered Services provided by Provider, including, without limitation, compensation requirements for ACA Primary Care Services, as defined herein;

WHEREAS, subject to any necessary approval by the State, this Amendment shall be effective on the Effective Date of Amendment; and

WHEREAS, references to Sections and Exhibits below are references to sections and exhibits, respectively, of the Agreement.

NOW, THEREFORE, the parties hereby amend the terms of the Agreement as follows:

1. Section 1.6, Covered Services Documentation definition, shall be deleted in its entirety and replaced with the following Section 1.6, Covered Services Documentation definition:

"Covered Services Documentation. Covered Services Documentation means documentation developed by Primary Care Physicians to support the Covered Services, including Primary Care Physician Services, provided hereunder, including, without limitation, claims for payment, discharge summaries, medical records, emergency visit records and diagnostic reports."

2. Section 2.11.2.1 shall be deleted in its entirety.
3. The following definition shall be added to Exhibit H, Section 2:

"ACA Primary Care Services" are Covered Services which are primary care services rendered by eligible physicians as defined, as of January 1, 2013, by 42 U.S.C. Section 1396a(jj) and 42 CFR Section 447.400, as amended from time to time.

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4. Exhibit H, Section 3.b., Fee-For-Service Payment, shall be deleted in its entirety and replaced with the following :

“Fee-For-Service Payment. Plan shall pay Provider for Covered Services provided to Medi-Cal Members as set forth below in subsections i., ii., iii., iv., and v.

- i. ACA Primary Care Services. Plan shall pay Provider for ACA Primary Care Services provided to Medi-Cal Members on or after January 1, 2013 at one hundred percent (100%) of the Medi-Cal Rate in effect at the time the Covered Service was rendered.. If at any time it is determined as a result of a change or clarification in Law or direction from DHCS that a higher rate of payment is required by Law, Plan shall pay for ACA Primary Care Services at the rate required by Law and shall reprocess any claims necessary for such compliance. Except as required by Law, Plan shall not be responsible for interest payments on the claims reprocessed as a result of a clarification of Law.
- ii. Non-ACA Primary Care Services. Plan shall pay Provider for Covered Services, which are not ACA Primary Care Services and excluding those services set forth below at subsections iii., iv., and v., provided to Medi-Cal Members at one hundred and fifty percent (150%) of the Medi-Cal Rate in effect at the time the Covered Service was rendered.
- iii. Durable Medical Equipment and Medical Supplies. Plan will pay Provider for Covered Services that are durable medical equipment and medical supplies provided to Medi-Cal Members at one hundred percent (100%) of the Medi-Cal Rate in effect at the time the Covered Service was provided.
- iv. Physician-Administered Drugs. Plan will pay Provider for Covered Services that are physician-administered drugs provided to Medi-Cal Members at one hundred percent (100%) of the Medi-Cal Rate in effect at the time the Covered Service was provided.
- v. Outpatient Clinical Laboratory Services. Plan shall pay Provider for Outpatient Clinical Laboratory Services provided to Medi-Cal Members as set forth below in subsections (1) and (2).
 - (1) Providers with a CLIA certificate of waiver. Plan shall pay Providers granted waived status under CLIA for Outpatient Clinical Laboratory Services that are listed in the Provider Manual and are not Case Managed Services at one hundred percent (100%) of the Medi-Cal Rate in effect on the date such service is rendered. All other Outpatient Clinical Laboratory Services are excluded from this Agreement and shall be referred to a clinical laboratory contracted with Plan.
 - (2) Providers with a CLIA certificate of provider-performed microscopy procedures (PPMP), a CLIA certificate of compliance, or a CLIA certificate of accreditation. Plan shall pay Providers granted a CLIA certificate of performed microscopy procedures (PPMP), a CLIA certificate of compliance, or a CLIA certificate of accreditation for Outpatient Clinical Laboratory Services that are not Case Managed Services, including for CLIA waived tests as listed in the Provider Manual, at one hundred and two percent (102%) of the Medi-Cal Rate in effect on the date such service is rendered. All other Outpatient Clinical Laboratory Services are excluded from this Agreement and shall be referred to a clinical laboratory contracted with Plan.”

5. Addendum 3, Primary Care Physician Care Based Incentive Program, shall be amended and replaced with the attached Addendum 3, Primary Care Physician Care Based Incentive Program. In order for Addendum 3 to be effective, Provider is required to execute both this Amendment, on the signature page below, and the signature page of Addendum 3.

All other terms and provisions of the Agreement shall remain in full force and effect so that all rights, duties and obligations, and liabilities of the parties hereto otherwise remain unchanged; provided, however, if there is any conflict between the terms of this Amendment and the Agreement, then the terms of this Amendment shall govern. Terms used in this Amendment shall have the meanings assigned to them in the Agreement, unless otherwise specified in this Amendment.

Plan
Central California Alliance for Health

Provider
County of Monterey, Monterey County Clinic Services

By: [Signature]
Print Name: Alan McKay
Title: Executive Director
Date: 1/30/13

By: [Signature]
Print Name: Michael P. Deere
Title: CONTRACTS/PURCHASING OFFICER
COUNTY OF MONTEREY
Date: 1-2-13

[Signature]
Reviewed as to fiscal provisions
Auditor-Controller
County of Monterey 12/21/12

[Signature]
Anne Brauer
Dec 21, 2012

ADDENDUM 3

PRIMARY CARE PHYSICIAN CARE BASED INCENTIVE PROGRAM

1. Introduction.

This Addendum sets forth the terms of care based incentives offered to PCPs by Plan. The program is designed to compensate PCPs for efforts undertaken to improve the care provided to Eligible Members as reflected by data measured by Plan, all as described herein (the "Care-Based Incentive" or the "CBI").

The CBI consists of two components: (1) the CBI Incentive Program and (2) the CBI Fee-for-Service Incentive. The CBI continues for a limited term, as described in Section 7 of this Addendum 3, unless it is specifically extended by mutual written agreement of the parties hereto. The budget for the CBI Incentive Program is separate for the Medi-Cal and Healthy Families Programs. The budget and allocation for the CBI Fee-for-Service Incentive are separate for the Medi-Cal, Healthy Families, Healthy Kids, Alliance Care IHSS, Alliance Care AIM and Alliance Care Individual Conversion Programs.

2. Definitions.

In addition to other terms defined in this Addendum 3 or in the Agreement, the following terms shall have the meanings set forth below:

- 2.1 Available Points is the maximum number of points available under each Measurement Component as determined in the sole discretion of Plan.
- 2.2 CBI Fee-for-Service Incentives are fee-for-service payments, in addition to those payments described elsewhere in the Agreement, which PCPs are eligible to receive in exchange for performing specific activities as described in Section 5 to this Addendum 3.
- 2.3 CBI Incentive Payments are the annual or quarterly payments, as described in Section 4 to this Addendum 3, which are based upon a PCP's performance under the CBI Incentive Program.
- 2.4 CBI Incentive Program is a program whereby PCPs are measured against Performance Targets and against a Comparison Group and are eligible for incentive payment based upon their performance.
- 2.5 CBI Table means the table set forth in Attachment 1 to this Addendum 3 specifying the Available Points, Member Requirement, Performance Target/Relative Ranking Measures, Measurement Period, Measurement Data Source and Methodology for each Measurement Component.
- 2.6 Comparison Group is the group of PCPs to which Provider is compared to determine Provider's percentile ranking within the group. PCPs are divided into three (3) Comparison Groups: 1) family practice/general practice (FP/GP), 2) pediatrics (PED) and 3) internal medicine (IM). Any obstetrician/gynecologist that is a Primary Care Physician will be included in the FP/GP Comparison Group.
- 2.7 Dual Coverage Members are Members who are eligible for either Medi-Cal or Healthy Families and for coverage from another source, such as Medicare or a commercial health plan.

2.8 Eligible Members

2.8.1 Eligible Members for the CBI Incentive Program measures are the Santa Cruz, Monterey or Merced Medi-Cal Members and the Santa Cruz or Monterey Healthy Families Members, excluding Dual Coverage Members.

2.8.2 Eligible Members for the CBI Fee-For Service Incentives are the Santa Cruz, Monterey or Merced Medi-Cal Members; the Santa Cruz or Monterey Healthy Families Members; the Santa Cruz or Merced Healthy Kids Members; the Monterey County IHSS Members, Monterey County AIM Members, and the Monterey County Individual Conversion Plan Members, excluding Dual Coverage Members.

2.9 Eligible Member Months. Eligible Member Months for the CBI Incentive Program is the total number of member months each Eligible Member is linked to the PCP during the measurement period, except that member months for a PCP's Linked Medi-Cal Members who are in the Aged, BCCTP, Disabled and Long Term Care Medi-Cal aid code categories are multiplied by four (4) to determine the Eligible Member Months applicable to those Linked Members. Member months are determined by identifying the total number of Linked Members linked to the PCP during each month of the Measurement Period.

2.10 Measurement Component shall mean the measures as described in the CBI Table.

2.11 Measurement Period is the period for which Plan shall measure data in order to calculate the applicable CBI Incentive Payment.

2.12 Methodology is the internally developed methodology, or the source of data utilized by Plan, to measure Provider's performance for each Measurement Component under the CBI.

2.13 PCP is the individual or group of PCPs to whom Linked Members are assigned.

2.14 Performance Targets are the targets established in the sole discretion of Plan. Performance Targets are set forth in the CBI Table.

2.15 Performance Target Measures are those Measurement Components for which the PCP receives points based upon meeting a specified Performance Target.

2.16 Plan Goal is the percentage of Eligible Members for whom the PCP provided the applicable Measurement Component of the Quality of Care (HEDIS) measures. The Plan Goal for each Quality of Care measure is ninety percent (90%).

2.17 Relative Ranking Measures are those Measurement Components for which a PCP receives points based on its ranking relative to performance of other PCPs within the PCP's Comparison Group.

3. CBI Incentive Program.

PCPs are eligible to receive an incentive payment from a set budget or pool ("CBI Pool"). Funding of the CBI Pools shall be at the sole discretion of Plan. The CBI Pools are divided into three (3) sub-pools: (1) the FP/GP CBI Pool, (2) the PED CBI Pool, and (3) the IM CBI Pool. Amounts paid under each Measurement Component correlate to each PCP's rank within its Comparison Group for each measure or for the PCP meeting a specific Performance Target. The CBI Incentive Program consists of the Measurement Components as set forth in Sections 3.1 through 3.9, below.

3.1 Member Reassignment Threshold is the Plan mean of Member reassignments per 1,000 members per Fiscal Year as determined by the Plan and if exceeded by more than two standard deviations,

the points awarded to Provider for the Relative Ranking and Performance Target Measures will be reduced by fifty-percent (50%). The Member Reassignment Threshold is not applied to PCPs with less than an average of one hundred (100) Linked Members, as determined by the number of months for which PCP was contracted during the Measurement Period.

- 3.2 Rate of Ambulatory Care Sensitive Admissions. This Measurement Component measures the rate of ambulatory care sensitive admissions for PCP's Linked Members as determined by a review of claims data. The rate is reported by the number of ambulatory care sensitive admissions (based upon Plan-identified AHRQ specifications) per 1,000 Linked Members per Fiscal Year. To qualify for this measure, a PCP must have at least an average of one hundred (100) Linked Members, as determined by the number of months for which PCP was contracted during the Measurement Period.
- 3.3 Rate of Readmission. This Measurement Component measures the rate of readmissions for PCP's Linked Members as determined by a review of claims data. The rate is reported by the number of readmissions during the CBI Term per 1,000 Linked Members per Fiscal Year. A readmission is any admission of a Linked Member during the CBI Term which occurs within ninety (90) days of the Linked Member's discharge from an inpatient stay which commenced during the CBI Term. The rate of readmissions shall not include admissions or readmissions associated with diagnoses related to transplant or maternity. The rate of readmissions for PCP's Linked Members shall include only those readmissions where the Linked Member is linked to the PCP at both the time of admission and at the time of readmission. To qualify for this measure, a PCP must have at least an average of one hundred (100) Linked Members, as determined by the number of months for which PCP was contracted during the Measurement Period.
- 3.4 Rate of Generic Prescriptions. This Measurement Component measures the percent of generic prescriptions filled for PCP's Linked Members among all prescriptions filled for PCP's Linked Members as determined by a review of claims data.
- 3.5 Quality of Care Measures. The Quality of Care Measurement Components are HEDIS or IHA P4P defined clinical performance measures that follow the applicable methodology and are based on claims and encounter data, not on chart review. In order for a PCP to receive points for a Quality of Care measure, there must be a minimum of five (5) Eligible Members that qualify for the measure based on HEDIS specifications. The total points available for the Quality of Care Measurement Components will be allocated across only those measures for which the PCP has five (5) Eligible Members that qualify for the Measurement Component.
- 3.5.1 There are eight (8) clinical performance measures, as follows: (1) well child visit 3 - 6 years, (2) well adolescent visit 12 - 21 years, (3) cervical cancer screening, (4) diabetes LDL-C screening, (5) diabetes HbA1c screening, (6) diabetes medical attention for nephropathy, (7) body mass index (BMI) percentile calculated, and (8) asthma medication ratio.
- 3.6 Rate of Preventable Emergency Department (ED) Visits. This Measurement Component measures the rate of preventable emergency department visits for PCP's Linked Members as determined by a review of claims data. The rate is reported by the number of preventable emergency department visits per 1,000 Linked Members per Fiscal Year. To qualify for this measure, a PCP must have at least an average of one hundred (100) Linked Members, as determined by the number of months for which PCP was contracted during the Measurement Period.
- 3.7 Rate of Primary Care Visits. This Measurement Component measures the rate of primary care visits provided to PCP's Linked Members on an annual basis. The Performance Target for this measure is more than three (3) PCP visits per Linked Member, per Fiscal Year. Partial points may be earned by Provider for visits per Linked Member per Fiscal Year between two and one-quarter (2.25) and three (3) visits per Member per Fiscal Year.

- 3.8 Electronic Claims/Encounter Data Submittal. This Measurement Component measures the percentage of PCP's eligible claims and encounter data submitted to the Plan electronically. Eligible claims include those that are not for CHDP services, Medicare-Medi-Cal crossover claims, or claims with attachments. The Performance Target for this measure is ninety-five percent (95%) of all eligible claims submitted electronically.
- 3.9 Referral Submittal. This Measurement Component measures the percentage of PCP's eligible referrals submitted to the Plan through the Plan's web portal. The Performance Target for this measure is 75% of all eligible referrals submitted through the web portal. Eligible referrals are those referrals that providers may submit through the web portal.
4. Calculation and Payment of CBI Incentive Payments. An accounting of CBI Incentive Payments shall be made annually four (4) months after the conclusion of each Fiscal Year and shall be certified by the Plan's Chief Financial Officer. The accounting will be based only on claims and data submitted for dates of service within the CBI Term and received by Plan no later than January 31, 2014. Distributions are made to PCPs following Plan approval of such accounting and are made no later than one hundred eighty (180) days after the conclusion of each Fiscal Year.
- 4.1 Relative Ranking Measures. Except as stated below in 4.1.1, PCPs shall be awarded the maximum number of points for each measure in which the PCP is ranked at or above the 76th percentile. PCP shall be awarded one-half the maximum number of points for each measure in which the PCP is ranked between the 51st and 75th percentile. PCP shall receive zero (0) points for any measure in which the PCP is ranked at the 50th percentile or below.
- 4.1.1 Quality of Care Measures. For the Quality of Care measures for which the PCP qualifies, if the PCP meets or exceeds the Plan Goal, the PCP shall be awarded the maximum number of points for the measure even if the PCP is not in the top quartile for the measure.
- 4.2 Performance Target Measures.
- 4.2.1 Rate of Primary Care Visits Measure. PCP shall be awarded the full amount of points if the PCP meets or exceeds the Performance Target. PCPs shall be awarded partial points if they provide between two and one-quarter (2.25) and three (3) visits per Linked Member per Fiscal Year. If the PCP falls below two and one-quarter (2.25) visits per Linked Member per Fiscal Year, the PCP will earn zero (0) points.
- 4.2.2 Electronic Claims/Encounter Data Measure. PCP shall be awarded the full amount of points if the PCP meets or exceeds the Performance Target. If the PCP falls below the Performance Target, the PCP will earn zero (0) points.
- 4.2.3 Referral Submittal Measure. PCP shall be awarded the full amount of points if the PCP meets or exceeds the Performance Target. If the PCP falls below the Performance Target, the PCP will earn zero (0) points.
- 4.3 After the assignment of points for the Relative Ranking Measures and the Performance Target Measures, the total CBI Incentive Program points are determined for each PCP. In the event that the PCP exceeded the Member Reassignment Threshold by more than two standard deviations, PCP's total CBI Incentive Program points will be reduced by fifty-percent (50%). The total points are multiplied by the number of Eligible Member Months for the PCP during the Fiscal Year to determine the PCP's "Weighted Points". Percentages are then determined by comparison to the totals for PCPs of the same Comparison Group, as follows: Weighted Points for PCP divided by total Weighted Points for all PCPs of the same Comparison Group equals the PCP's "CBI Distribution Percentage".

- 4.4 PCPs will receive a portion of the applicable CBI Pool (e.g. IM CBI Pool, PED CBI Pool or FP/GP CBI Pool) by multiplying the PCP's CBI Distribution Percentage by the total amount of funds in such CBI Pool.

5. Fee-for-Service Incentives

- 5.1 Increased preventive and disease management actions. Plan shall pay a fee-for-service incentive for performance of the following:

5.1.1 Asthma Action Plans. Plan shall pay each Provider thirty-five dollars (\$35) per Asthma Action Plan submitted per Linked Member, ages three (3) to fifty-six (56), per Fiscal Year. Payment shall be made to the first Primary Care Provider to submit the Asthma Action Plan in the Fiscal Year if a Linked Members switches PCPs during the Fiscal Year.

5.1.2 Healthy Weight for Life Program (HWL).

5.1.2.1 Referral to HWL. Plan shall pay Provider fifteen dollars (\$15) per Fiscal Year for the first HWL referral received by the Plan per Linked Member between the ages of two (2) and eighteen (18) years of age that indicates a BMI at or above the 85th percentile and counseling of the Member regarding nutrition, physical activity and the HWL. Such notification shall be made on the Plan's HWL referral form which may be found in the Provider Manual.

5.1.2.2 Program Follow Up Visit. Plan shall pay Provider fifteen dollars (\$15) per Fiscal Year for the submission of the Plan's HWL follow up form completed at the first, and subsequent, six-month follow up visit(s) which occur during the CBI Term for each Linked Member referred to the Plan's HWL program. The HWL form must document the Member's BMI percentile at the time of each six month follow up visit and further patient education regarding nutrition, physical activity and the Plan's HWL program. The HWL follow up form may be found in the Provider Manual.

5.1.3 Diabetes Services. Plan shall pay Provider per Linked Member, ages 21 years old or older, one hundred dollars (\$100) per Fiscal Year for the performance of all four (4) of the following elements of care between January 1, 2013 and December 31, 2013 and only if Linked Member is linked to PCP on the date(s) all such services are provided: HbA1c, LDL-C, retinal exam, and medical attention for nephropathy.

5.1.4 Medication Management Agreements. Plan shall pay Provider fifty-dollars (\$50) for Plan's receipt of the first submitted Medication Management Agreement per Linked Member per Fiscal Year.

5.2 Increased prevalence of extended hours. Plan shall pay Provider five percent (5%) of the fee-for-service amount applicable to those services set forth in the Provider Manual, Primary Care Physician Services – Case Management, excluding Children's Health and Disability Prevention (CHDP) services and Comprehensive Perinatal Services Program (CPSP) services, for holding office hours for at least eight (8) hours per week beyond Monday through Friday, 8:00 a.m. to 5:00 p.m. during the quarter. Plan shall pay Provider the enhanced payment for all PCPs under Provider's contract located within a 5 mile radius of the location with extended hours availability if Linked Members may access care during the extended hours at the extended hours location.

5.3 Payment of Fee-for-Service Incentives. An accounting of Fee-for-Service Incentives shall be made each quarter within forty five (45) calendar days after the conclusion of each quarter. PCP should submit all Fee-for-Service Incentives within 30 days of the close of each quarter and will

not receive payment for any Fee-for-Service Incentives submitted to Plan after January 31, 2014. Distributions are made to PCPs following Plan approval of such accounting. Distributions for the first, second and third quarters are made no later than ninety (90) calendar days after the conclusion of the quarter. The distribution for the fourth quarter Fee-for-Service Incentives shall be made with the distribution of the CBI Incentive Payments no later than one hundred eighty (180) days after the conclusion of the Fiscal Year.

6. CBI Payments Determination Final. Plan's calculation of payments under the CBI shall be final. Provider recognizes that the measurement of the CBI data is subject to variation and reasonable statistical and operational error. Provider acknowledges that Plan would not be willing to offer the CBI if Plan's calculation of payments under the CBI would expose Plan to increased risk of disputes and litigation arising out of Plan's calculation. Accordingly, in consideration of Plan's agreement to offer the CBI to Provider, Provider agrees that Provider will have no right to dispute Plan's determination of payments due under the CBI, including determination of any data or the number of Eligible Members.
7. Term of CBI. The term of this CBI shall begin on January 1, 2013 and end on December 31, 2013 (the "CBI Term").
8. CBI Programs for Future Periods. Plan, in its sole and absolute discretion, may implement care-based incentive programs for periods after completion of the CBI Term. Any such programs shall be on terms determined by Plan. Until Plan and Provider enter into a written agreement with respect to any such new program extending beyond the CBI Term, no such program shall be binding upon Plan.
9. Effect of Termination of Agreement. In the event of the termination of the Agreement for any reason prior to the expiration of the CBI Term, no CBI Incentive Payments shall be earned or made hereunder.

PLAN
Central California Alliance for Health

By: [Signature]
Title: Executive Director
Date: 1/30/13

PROVIDER
County of Monterey, Monterey County Clinic
Services

By: [Signature]
Title: CONTRACTS/PURCHASING OFFICER
COUNTY OF MONTEREY
Date: 1-2-13

Reviewed as to fiscal provisions

[Signature]
Auditor-Controller
County of Monterey
12/21/12

[Signature]
Anne Brauer
Dec 21, 2012

ATTACHMENT 1 – CBI Table

| CBI Program Measurement Components | Available Points | Member Requirement | Performance Target/Relative Ranking | Measurement Period | Measurement Data Source | Methodology |
|---|-----------------------------|--|--|-------------------------------|------------------------------------|----------------------|
| <i>Health and Cost Management:</i> | <i>40 total</i> | | | | | |
| <u>Rate of Ambulatory Care Sensitive Admissions</u> Number of ambulatory care sensitive admissions per 1,000 Linked Members per Fiscal Year. | 30 | Avg. of at least 100 Linked Members in the Measurement Period. Per §3.2. | Relative Ranking ³ | FY 2013 | Claims | AHRQ ¹ |
| <u>Rate of Readmissions</u> Number of readmissions per 1,000 Linked Members per Fiscal Year. | 0 | Avg. of at least 100 Linked Members in the Measurement Period. Per §3.3. | Relative Ranking ³ | FY 2013 | Claims | Per §3.3 |
| <u>Rate of Generic Prescriptions</u> Percent of Generic prescriptions among all prescriptions, regardless of prescriber. | 10 | None. | Relative Ranking ³ | FY 2013 | Claims | IHA P4P ² |
| <i>Quality of Care (HEDIS):</i> | <i>30 total</i> | | | | | |
| Well Child Visit 3-6 Years | Per §4.1 | ≥ 5 continuously Linked Members ⁴ | Relative Ranking ³ | FY 2013 | Claims | HEDIS |
| Well Adolescent Visit 12-21 Years | Per §4.1 | ≥ 5 continuously Linked Members ⁴ | Relative Ranking ³ | FY 2013 | Claims | HEDIS |
| Cervical Cancer Screening | Per §4.1 | ≥ 5 continuously Linked Members ⁴ | Relative Ranking ³ | FY 2013 | Claims | HEDIS |
| Diabetes LDL-C Screening | Per §4.1 | ≥ 5 continuously Linked Members ⁴ | Relative Ranking ³ | FY 2013 | Claims | HEDIS |
| Diabetes HbA1c Screening | Per §4.1 | ≥ 5 continuously Linked Members ⁴ | Relative Ranking ³ | FY 2013 | Claims | HEDIS |
| Diabetes Medical Attention for Nephropathy | Per §4.1 | ≥ 5 continuously Linked Members ⁴ | Relative Ranking ³ | FY 2013 | Claims | HEDIS |
| BMI Percentile Calculated | Per §4.1 | ≥ 5 continuously Linked Members ⁴ | Relative Ranking ³ | FY 2013 | Claims | HEDIS |
| Asthma Medication Ratio | Per §4.1 | > 5 continuously Linked Members ⁴ | Relative Ranking ³ | FY 2013 | Claims | IHA P4P ² |

ATTACHMENT 1 – CBI Table

| | | | | | | |
|--|-----------------|--|---------------------------------------|--|---------------------------|--|
| Appropriate Access to Care | 25 total | | | | | |
| <u>Rate of Preventable Emergency Department Visits</u> Number of preventable emergency department visits per 1,000 Linked Members per Fiscal Year. | 20 | Avg. of at least 100 Linked Members in the Measurement Period. Per §3.6. | Relative Ranking ³ | FY 2013 | Claims | Medi-Cal ER Collaborative definition based on NYU study |
| <u>Rate of Primary Care Visits</u> Greater than three (3) Primary Care visits provided by Provider to Linked Members per Fiscal Year. Partial points will be awarded for 2.25 to 3 visits PMPY. | 5 | None | >3.0 PMPY Performance Target | FY 2013 | Claims | Plan developed |
| Information Technology | 5 total | | | | | |
| <u>Electronic Claims/Encounter Data Submittal</u> 95% of eligible claims/encounter data submitted electronically to the Alliance. | 3 | None | 95% Performance Target | FY 2013 | Claims | # eligible <u>electronic claims</u> All eligible claims |
| <u>Referral Submittal</u> 75% of eligible referrals submitted through Alliance web portal. | 2 | None | 75% Performance Target | FY 2013 | Referrals | # eligible <u>referrals</u> All eligible referrals |
| CBI FFS Incentive Measurement Component | | | Amount (All paid quarterly.) | Member Requirement | Measurement Period | Measurement Data Source |
| <u>Extended Office Hours</u> Provider available to provide services to Linked Members for 8 hours per week beyond Monday through Friday, 8:00 a.m. to 5:00 p.m. Additional payment is to be paid per PCP covered by the Provider's agreement within a 5 mile radius if Linked Members may access care during the extended hours at the extended hours location. | | | 5% of Case Management Fee-for-Service | None | FY 2013 | Administrative Data |
| <u>Diabetes Services</u> Provider to ensure provision of all of the following services for Linked Members with diabetes: HbA1c, LDL-C, retinal exam, medical attention for nephropathy ⁵ during the Fiscal Year. The Member must be linked to the Provider on the date(s) all such services are provided for Provider to receive payment. | | | \$100 PM/PY | Members ages 21 and older, linked to Provider at date of service for each service. | FY 2013 | Claims |

ATTACHMENT 1 – CBI Table

| | | | | |
|--|------------|----------------------|---------|------------------------------|
| Healthy Weight for Life (HWL) Program Referral Provider to refer Member aged 2 – 18 y/o with BMI at or above the 85 th percentile to Plan's HWL by Plan's referral form. Incentive paid to the PCP who first notifies the Plan in Fiscal Year and who has counseled Member about nutrition, physical activity and Plan's HWL. | \$15 PM/PY | Members aged 2 to 18 | FY 2013 | HWL Referral Form |
| Healthy Weight for Life (HWL) Program Follow Up Visit Provider to notify Plan by follow up form of each six month follow up visit and further BMI percentile determination for a member previously referred for the HWL. | \$15 PM/PY | Members aged 2 to 18 | FY 2013 | HWL Follow Up Form |
| Asthma Action Plans (AAP) Provider to submit AAP to Plan for Members with Asthma. Incentive paid to the PCP who first submits the AAP in the Fiscal Year and is paid only once per Fiscal Year. | \$35 PM/PY | Members ages 3 to 56 | FY 2013 | Plans Submitted by Providers |
| Medication Management Agreements (MMA) Provider to submit MMA for members to Plan. Incentive paid to the PCP who first submits the MMA to the Plan in the Fiscal Year and is paid only once per Fiscal Year. | \$50 PM/PY | None | FY 2013 | Plans Submitted by Providers |

¹ http://www.qualityindicators.ahrq.gov/Modules/PQI_TechSpec.aspx
Excluding PQI 09

http://www.qualityindicators.ahrq.gov/Modules/PDI_TechSpec.aspx
Excluding NQI 01-03 and PDI 01-03 and 05-13

² http://www.iha.org/pdfs_documents/p4p_california/MY2011P4PManual_September2011.pdf

³ For relative ranking measures, PCPs ranked at 100th to 76th percentile amongst peers earns maximum available points, ranked at 75th to 51st percentile earns one-half available points, ranked below 50th percentile earns no points for the measure.

⁴ For HEDIS measures, the continuously Linked Members must be qualified per HEDIS specifications.

⁵ Medical attention for nephropathy includes: claim/encounter data with relevant CPT or ICD-9 code evidencing treatment of nephropathy, claim submitted by a nephrologist, positive urine macroalbumin test documented by claim/encounter data, evidence of ACE inhibitor/ARB therapy during measurement year.

Note: If a Provider has an average of at least 100 Linked Members during the Measurement Term (per §3.1), and the Provider's rate of member reassignment per 1,000 Linked Members exceeds the Plan mean of member reassignment rate per 1,000 Linked Members by more than two standard deviations, the points awarded to Provider for the Relative Ranking and Performance Target Measures will be reduced by 50%.



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530 West 16th Street, Suite B • Merced, CA 95340-4710 • (209) 381-5300

Attestation Regarding Extended Office Hours 2013 Care Based Incentive Program

Please complete all of the following fields, and sign and date this form at the bottom. The form must be signed by the contract signer. Central California Alliance for Health (the Alliance) will verify this information and if it is determined during any quarter of 2013 that the hours claimed are not available to Alliance members, your practice will be rendered ineligible to receive funds for the Extended Office Hours measure of the Care Based Incentive program for 2013.

Practice name: Alisal Health Center

Location of site with extended office hours:

Address, City, Zipcode: 559 E. Alisal Street, Suite 201, Salinas, CA 93905

Hours:

| | | | |
|-----------|--------------------------------|----------|--------------------------------|
| Monday | <u>8</u> a.m. to <u>5</u> p.m. | Friday | <u>8</u> a.m. to <u>5</u> p.m. |
| Tuesday | <u>7</u> a.m. to <u>7</u> p.m. | Saturday | ___ a.m. to ___ p.m. |
| Wednesday | <u>8</u> a.m. to <u>5</u> p.m. | Sunday | ___ a.m. to ___ p.m. |
| Thursday | <u>7</u> a.m. to <u>7</u> p.m. | | |

Sites within a 5 mile radius whose members can access care at extended office hours site:

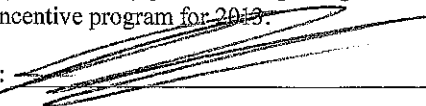
Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 151, Ste. 16, Salinas, 93906

Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 200, Fl.1, Ste. 101, Salinas, 93906

Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 200, Fl. 1, Ste. 103, Salinas 93906

Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 200, Fl. 1, Ste. 105, Salinas, 93906

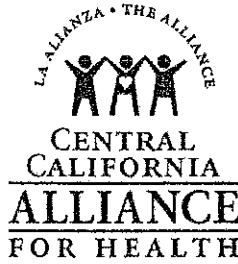
I hereby affirm that the information submitted in this Attestation Regarding Extended Office Hours is true, correct and complete to the best of my knowledge and belief, and is furnished in good faith. I understand that material omissions or misrepresentations may result in my practice being ineligible to receive funds for the Extended Office Hours measure of the Care Based Incentive program for 2013.

By: 

Print: Ray Bullick

Title: Director of Health

Date: 12/19/12



1600 Green Hills Road, Suite 101 • Scotts Valley, CA 95066-4981 • (831) 430-5500
339 Pajaro Street, Suite E • Salinas, CA 93901-3400 • (831) 755-6000
530 West 16th Street, Suite B • Merced, CA 95340-4710 • (209) 381-3300

Attestation Regarding Extended Office Hours 2013 Care Based Incentive Program

Please complete all of the following fields, and sign and date this form at the bottom. The form must be signed by the contract signer. Central California Alliance for Health (the Alliance) will verify this information and if it is determined during any quarter of 2013 that the hours claimed are not available to Alliance members, your practice will be rendered ineligible to receive funds for the Extended Office Hours measure of the Care Based Incentive program for 2013.

Practice name: Seaside Family Health Center

Location of site with extended office hours:

Address, City, Zipcode: 1150 Fremont Blvd., Seaside, CA 93955

Hours:

| | | | |
|-----------|--------------------------------|----------|--------------------------------|
| Monday | <u>7</u> a.m. to <u>7</u> p.m. | Friday | <u>7</u> a.m. to <u>6</u> p.m. |
| Tuesday | <u>7</u> a.m. to <u>7</u> p.m. | Saturday | ___ a.m. to ___ p.m. |
| Wednesday | <u>7</u> a.m. to <u>6</u> p.m. | Sunday | ___ a.m. to ___ p.m. |
| Thursday | <u>7</u> a.m. to <u>7</u> p.m. | | |

Sites within a 5 mile radius whose members can access care at extended office hours site:

Address, City, Zipcode: _____

Address, City, Zipcode: _____

Address, City, Zipcode: _____

Address, City, Zipcode: _____

I hereby affirm that the information submitted in this Attestation Regarding Extended Office Hours is true, correct and complete to the best of my knowledge and belief, and is furnished in good faith. I understand that material omissions or misrepresentations may result in my practice being ineligible to receive funds for the Extended Office Hours measure of the Care Based Incentive program for 2013.

By: _____

Print: Ray Bullick

Title: Director of Health

Date: 12/19/12



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Attestation Regarding Extended Office Hours 2013 Care Based Incentive Program

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Practice name: Monterey County Health Clinic at Marina

Location of site with extended office hours:

Address, City, Zipcode: 3155 De Forest Road, Marina, CA 93933

Hours:

| | | | |
|-----------|--------------------------------|----------|--------------------------------|
| Monday | <u>7</u> a.m. to <u>6</u> p.m. | Friday | <u>7</u> a.m. to <u>6</u> p.m. |
| Tuesday | <u>7</u> a.m. to <u>6</u> p.m. | Saturday | ___ a.m. to ___ p.m. |
| Wednesday | <u>7</u> a.m. to <u>6</u> p.m. | Sunday | ___ a.m. to ___ p.m. |
| Thursday | <u>7</u> a.m. to <u>6</u> p.m. | | |

Sites within a 5 mile radius whose members can access care at extended office hours site:

Address, City, Zipcode: _____

Address, City, Zipcode: _____

Address, City, Zipcode: _____

Address, City, Zipcode: _____

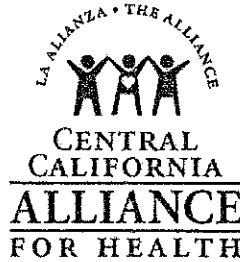
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By: _____

Print: Ray Bullick

Title: Director of Health

Date: 12/19/12



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Attestation Regarding Extended Office Hours 2013 Care Based Incentive Program

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Practice name: Laurel Women's Health

Location of site with extended office hours:

Address, City, Zipcode: 1441 Constitution Blvd., Bldg. 200, Fl. 1, Ste. 105, Salinas 93906

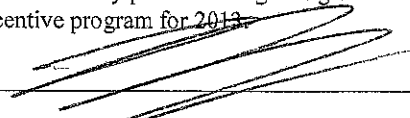
Hours:

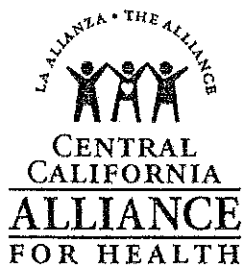
| | | | |
|-----------|-----------------------------------|----------|-----------------------------------|
| Monday | <u>7:30</u> a.m. to <u>6</u> p.m. | Friday | <u>7:30</u> a.m. to <u>6</u> p.m. |
| Tuesday | <u>7:30</u> a.m. to <u>6</u> p.m. | Saturday | ___ a.m. to ___ p.m. |
| Wednesday | <u>7:30</u> a.m. to <u>5</u> p.m. | Sunday | ___ a.m. to ___ p.m. |
| Thursday | <u>7:30</u> a.m. to <u>6</u> p.m. | | |

Sites within a 5 mile radius whose members can access care at extended office hours site:

Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 151, Ste. 16, Salinas 93906
 Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 200, Fl. 1, Ste. 101, Salinas 93906
 Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 200, Fl. 1, Ste. 103, Salinas 93906
 Address, City, Zipcode: 559 E. Alisal Street, Ste. 201, Salinas 93905

I hereby affirm that the information submitted in this Attestation Regarding Extended Office Hours is true, correct and complete to the best of my knowledge and belief, and is furnished in good faith. I understand that material omissions or misrepresentations may result in my practice being ineligible to receive funds for the Extended Office Hours measure of the Care Based Incentive program for 2013.

By: 
 Print: Ray Bullick
 Title: Director of Health
 Date: 12/19/12



1600 Green Hills Road, Suite 101 • Scotts Valley, CA 95066-4981 • (831) 430-5500
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Attestation Regarding Extended Office Hours 2013 Care Based Incentive Program

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Practice name: Laurel Family Practice

Location of site with extended office hours:

Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 200, Fl. 1, Suite 103, Salinas 93906

Hours:

| | | | |
|-----------|--------------------------------|----------|-----------------------------------|
| Monday | <u>7</u> a.m. to <u>6</u> p.m. | Friday | <u>7:30</u> a.m. to <u>5</u> p.m. |
| Tuesday | <u>7</u> a.m. to <u>6</u> p.m. | Saturday | ___ a.m. to ___ p.m. |
| Wednesday | <u>7</u> a.m. to <u>6</u> p.m. | Sunday | ___ a.m. to ___ p.m. |
| Thursday | <u>7</u> a.m. to <u>6</u> p.m. | | |

Sites within a 5 mile radius whose members can access care at extended office hours site:

Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 151, Ste. 16, Salinas 93906

Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 200, Fl. 1, Ste. 101, Salinas 93906

Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 200, Fl. 1, Ste. 105, Salinas 93906

Address, City, Zipcode: 559 E. Alisal St, Ste. 201, Salinas 93905

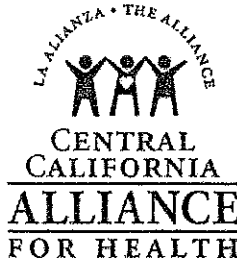
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By: [Signature]

Print: Ray Bullick

Title: Director of Health

Date: 12/19/12



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Practice name: Laurel Pediatrics

Location of site with extended office hours:

Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 200, Fl. 1, Ste. 101, Salinas 93906

Hours:

| | | | |
|-----------|--------------------------------|----------|--------------------------------|
| Monday | <u>7</u> a.m. to <u>6</u> p.m. | Friday | <u>7</u> a.m. to <u>5</u> p.m. |
| Tuesday | <u>7</u> a.m. to <u>6</u> p.m. | Saturday | ___ a.m. to ___ p.m. |
| Wednesday | <u>7</u> a.m. to <u>6</u> p.m. | Sunday | ___ a.m. to ___ p.m. |
| Thursday | <u>7</u> a.m. to <u>6</u> p.m. | | |

Sites within a 5 mile radius whose members can access care at extended office hours site:

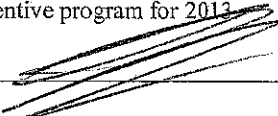
Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 200, Fl. 1, Ste. 103, Salinas 93906

Address, City, Zipcode: 1441 Constitution Blvd, Bldg. 200, Fl. 1, Ste. 105, Salinas 93906

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Practice name: Laurel Internal Medicine

Location of site with extended office hours:

Address, City, Zipcode: 1441 Constitution Blvd, Ste. 16, Salinas, CA 93906

Hours:

| | | | |
|-----------|-----------------------------------|----------|-----------------------------------|
| Monday | <u>7:30</u> a.m. to <u>6</u> p.m. | Friday | <u>7:30</u> a.m. to <u>6</u> p.m. |
| Tuesday | <u>7:30</u> a.m. to <u>6</u> p.m. | Saturday | ___ a.m. to ___ p.m. |
| Wednesday | <u>7:30</u> a.m. to <u>6</u> p.m. | Sunday | ___ a.m. to ___ p.m. |
| Thursday | <u>7:30</u> a.m. to <u>6</u> p.m. | | |

Sites within a 5 mile radius whose members can access care at extended office hours site:

Address, City, Zipcode: 1441 Constitution Blvd, Fl. 1, Ste. 101, Salinas 93906 (Bldg. 200)
Address, City, Zipcode: 1441 Constitution Blvd, Fl. 1, Ste. 103, Salinas 93906 (Bldg. 200)
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By: 

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