

County of Monterey

Saffron Room
1441 Schilling Place
Salinas, Ca 93901



Meeting Agenda - Final

Saffron Room
1441 Schilling Place Salinas, CA 93901

Friday, August 7, 2026
9:00 AM

Water Resources Agency Finance Committee

For information on The Ralph M. Brown Act: Open Meetings please click on the link below:

https://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?division=2.&chapter=9.&part=1.&lawCode=GOV&title=5

COMMITTEE MEMBERS:

Mark Gonzalez, Chair

John Baillie, Vice Chair

Matt Simis

Mike LeBarre

How to participate in this meeting: In-Person at the address listed above.

Via Zoom: Members of the public may participate in this meeting virtually via computer or smart device. To Join the Zoom Meeting, copy and paste the link into your browser:

<https://montereycty.zoom.us/j/97233469235?pwd=zKS4Wi7Bt1KYrRj9IBe0Hqb2JFplXh.1>

Meeting ID: 972 3346 9235 Password: 289821

To Participate via phone, you can call the number below and enter the webinar ID number and password when prompted:
Phone Number: (669) 219 2599 Meeting ID: 972 3346 9235 Password: 289821

Public Comments: The following options are available to any member of the public participating virtually or in person who wishes to make any comments to the Finance & Administration Committee.

Before the Meeting via Email: Written comments can be emailed by 5:00 p.m. on the Thursday prior to the Committee meeting, to WRAPubliccomment@countyofmonterey.gov. Please indicate the Committee name, meeting date and agenda number in the subject. Comments received by the deadline will be distributed to the Committee and placed in the record.

During the Meeting via Oral Comments: When the Chair calls for public comment, attendees can queue to speak by raising their hand in person. On the Zoom application, click the "Raise Hand" button. On the phone, or press *9 on the phone. The Secretary to the Board Committee will call speaker names and unmute speaker mics. You will have 3 minutes to provide your comments.

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The Chair and/or Secretary may set reasonable rules as needed to conduct the meeting in an orderly manner

Cómo participar en esta reunión: En persona: En la dirección indicada anteriormente.

De forma remota vía Zoom: Los miembros del público pueden participar en esta reunión de manera virtual a través de una computadora o dispositivo inteligente. Para unirse a la reunión de Zoom, copie y pegue el siguiente enlace en su navegador:

<https://montereycty.zoom.us/j/92329693521?pwd=vi52WPz8baA3EL2FbIp05wM0awv5fv.1>

ID de la reunión: 972 3346 9235 Contraseña: 289821

Para participar por teléfono: Puede llamar al número que aparece a continuación e ingresar el ID de la reunión y la contraseña cuando se le solicite:

Número de teléfono: (669) 219 2599 ID de la reunión: 972 3346 92351 Contraseña: 289821

Comentarios del público: Las siguientes opciones están disponibles para cualquier miembro del público que participe de

forma virtual o en persona y desee hacer comentarios ante el Comité de Finanzas y Administración. Antes de la reunión por correo electrónico: Los comentarios escritos pueden enviarse por correo electrónico hasta las 5:00 p. m. del jueves previo a la reunión del Comité a: WRAPubliccomment@countyofmonterey.gov

Por favor, indique el nombre del Comité, la fecha de la reunión y el número del punto de la agenda en el asunto del correo electrónico. Los comentarios recibidos antes de la fecha límite serán distribuidos al Comité y archivados como parte del registro oficial.

Durante la reunión mediante comentarios orales: Cuando el Presidente solicite comentarios del público, los asistentes pueden hacer fila para hablar levantando la mano en persona. En la aplicación de Zoom, haga clic en el botón "Levantar la mano". Por teléfono, presione *9.

El/la Secretario/a del Comité llamará a los oradores por nombre y activará sus micrófonos. Cada persona tendrá 3 minutos para presentar sus comentarios. Tenga en cuenta que el tiempo permitido para quienes ya hayan presentado comentarios por escrito puede ser reducido.

Las personas con discapacidades que deseen solicitar una adaptación o modificación razonable para observar o participar en la reunión pueden hacerlo enviando un correo electrónico a: WRAPubliccomment@countyofmonterey.gov

La solicitud debe realizarse a más tardar al mediodía del martes previo a la reunión del Comité, para permitir que la Agencia tenga tiempo de atender la solicitud.

El Presidente y/o el Secretario podrán establecer reglas razonables según sea necesario para conducir la reunión de manera ordenada.

Call to Order

Roll Call

Public Comment

Committee Member Comments

Consent Calendar

1. Approve the Action Minutes of the Finance and Administration Meeting held on June 5, 2026.

[WRAFIN](#)
[26-008](#)

Attachments: [Final FAC Minutes June 5, 2026](#)

2. Recommend that the Monterey County Water Resources Agency Board of Directors recommend that the Monterey County Water Resources Agency Board of Supervisors ratify and approve Amendment No. 3 to the Memorandum of Understanding with the Salinas Valley Basin Groundwater Sustainability Agency previously executed by the General Manager of the Monterey County Water Resources Agency to extend the term of the MOU to June 30, 2029; revise the contact information for the Parties to the MOU; revise the description of anticipated services; and update the rate structure for the Monterey County Water Resources Agency. (Staff Presenting: Amy Woodrow)

[WRAFIN](#)
[26-006](#)

Attachments: [Board Report](#)
[Att1 2026-06 MOU A3 MCWRA-SVBGSA](#)

Scheduled Items

3. Recommended that the Monterey County Water Resources Agency Board of Directors: **WRAFIN**
26-009
- a. Approve a Professional Services Agreement with GEI Consultants, Inc. for a total contract amount not to exceed \$884,910 for environmental services work related to the San Antonio Spillway Replacement Project and the Nacimiento Plunge Pool Project; and
 - b. Authorize the General Manager to execute the agreement

Attachments: [Board Report](#)
[ENVR RFQ April 2026_FINAL SA Spillway and Naci Plunge Pool Projects](#)
[RFQ 2026001 Addendum No 1](#)
[DRAFT GEI Scope of Work San Antonio & Nacimiento Dam Env Services](#)

4. Receive the Monterey County Water Resources Agency FY 2025-26 Financial Status Report through June 30, 2026. (Staff Presenting: Nora Cervantes) **WRAFIN**
26-005

Attachments: [Board Report](#)
[FY26 2026 06 Quarter 4 Financial Period 12](#)
[Quarter 4 WRA Financial FY26-AP12](#)

Staff Reports

Monterey One Water Expenditures Report_May 2026. (Staff Presenting: Nan Kim) **WRAFIN**
26-010

Attachments: [M1W May 2026 Expense](#)

Calendar

5. Set the next meeting date and discuss future agenda items. **WRAFIN**
26-007

Adjournment



County of Monterey

Item No.1

Board Report

Board of Supervisors
Chambers
168 W. Alisal St., 1st Floor
Salinas, CA 93901

Legistar File Number: WRAFIN 26-008

August 07, 2026

Introduced: 7/30/2026

Current Status: Agenda Ready

Version: 1

Matter Type: WRA Finance Item

Approve the Action Minutes of the Finance and Administration Meeting held on June 5, 2026.

County of Monterey

Schilling Government Center
1441 Schilling Place
Salinas, CA 93901



Meeting Minutes - Draft

Friday, June 5, 2026

9:00 AM

**Saffron Conference Room
1441 Schilling Place, Salinas, CA 93901**

Finance and Administration Committee

For information on The Ralph M. Brown Act: Open Meetings please click on the link below:

https://leginfo.ca.gov/faces/codes_displayText.xhtml?division=2.&chapter=9.&part=1.&lawCode=GOV&title=5

COMMITTEE MEMBERS:

Mark Gonzalez, Chair

John Baillie, Vice Chair

Matt Simis

Mike LeBarre

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[pwd=zKS4Wi7Bt1KYrRj9IBe0Hqb2JFplXh.1](https://montereycty.zoom.us/j/97233469235?pwd=zKS4Wi7Bt1KYrRj9IBe0Hqb2JFplXh.1)

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La solicitud debe realizarse a más tardar al mediodía del martes previo a la reunión del Comité, para permitir que la Agencia tenga tiempo de atender la solicitud.

El Presidente y/o el Secretario podrán establecer reglas razonables según sea necesario para conducir la reunión de manera ordenada.

Call to Order

The meeting was called to order at 9:00am.

Roll Call

Present: Margk Gonzalez, Mike LeBarre, John Baillie, Matt Simis,

Absent: None

Public Comments

None

Committee Member Comments

John Baillie

Consent Calendar

1. Approve the Action Minutes of the Finance and Administration Meeting held on April 3, 2026.

**Upon the motion by Director John Baillie, Seconded by Director Matt Simis
the committee approved the Consent Calendar.**

Ayes: John Baillie, Matt Simis, Mike LeBarre, Mark Gonzalez

Noes: None

Abstained: None

Absent: None

Scheduled Items

2. Consider recommending that the Board of Directors authorize the General Manager to execute a professional services agreement between Worthington Products Inc., and Monterey County Water Resources Agency for design, procurement, and installation of a Tuffboom Boat Barrier Buoy system

for San Antonio Reservoir and additional Tuffboom parts for Nacimiento Reservoir in the amount of \$1,345,994; and Consider recommending that the Board of Directors approve and authorize the General Manager to execute up to three future contract change orders that do not exceed 20% (\$269,199) of the original agreement, for a total contract amount not to exceed amount of \$1,615,193.

Upon the motion by Director Matt Simis, Seconded by Mark Gonzalez the committee approved the recommendation of approval to enter into Agreement with Worthington Products Inc.

Ayes: Matt Simis, Mark Gonzalez, John Baillie, Mike LeBarre

Noes: None

Abstained: None

Absent: None

Committee Comments: John Baillie, Mark Gonzalez, Matt Simis, Mike LeBarre

Public Comments: None

3. Consider recommending that the Monterey County Water Resources Agency Board of Directors approve Amendment No. 1 to the Agreement for Services with Phenix Environmental Planning., for environmental support services for the Nacimiento Plunge Pool Project, to add a dollar increase of \$75,000 for a total contract amount not to exceed \$150,000; and authorize the General Manager to execute Amendment No. 1. (Staff: Elise Harden)

Upon the Motion by Director Matt Simis, Seconded by John Baillie the committee approved the recommended approval to Amendment 1 Agreement with Phenix Environmental Planning.

Ayes: Matt Simis, John Baillie, Mike LeBarre, Mark Gonzalez

Noes: None

Abstained: None

Absent: None

Committee Comments: None

Public Comments: None

4. Consider recommending that the Monterey County Water Resources Agency Board of Directors approve Amendment No. 3 to the Agreement for Services with ICF Jones & Stokes, Inc. to include their recent name change to ICF Environmental, extend the term of the agreement for three years to June 30, 2029; and authorize the General Manager to execute Amendment No. 3.

Upon the Motion by Director Matt Simis, Seconded by John Baillie the committee approved recommending approval to Amendment No. # to the Agreement for Services with ICF Environmental.

Ayes: Matt Simis, Mark Gonzalez, Mike LeBarre, John Baillie

Noes: None

Abstained: None

Absent: None

Committee Comments: John Baillie, Mark Gonzalez, Mike LeBarre, Matt Simis

Public Comments: None

5. Consider recommending that the Monterey County Water Resources Agency Board of Directors approve Amendment No. 1 to the Agreement for Services with The Don Chapin Company, Inc., for as-needed and emergency CSIP pipeline and equipment repairs, to extend the term length to July 1st 2028, to add a dollar increase of \$250,000 for a total contract amount not to exceed \$350,000; and authorize the General Manager to execute Amendment No. 1.

Upon the Motion by Director Mike LeBarre, Seconded by Director John Baillie the committee approved recommendation approval to Amendment No. 1 to the Agreement for services with The Don Chapin Company Inc.

Ayes: Mike LeBarre, John Baillie, Mark Gonzalez, Matt Simis

Noes: None

Abstained: None

Absent: None

Committee Comments: Mark Gonzalez, John Baillie

Public Comment: None

6. Monthly Financial Report thru April 30, 2026. (Staff: Nan Kim)

Committee Comments: Mark Gonzalez, Matt Simis, Mark Gonzalez, Mike LeBarre

Public Comments: None

Staff Reports

7. Receive a Personnel Update (Staff: Jessell Fenley)

Committee Comments: None

Public Comments: None

8. Grazing Lease Revenue Update (Staff: Jessell Fenley)

Committee Comments: Mark Gonzalez, Matt Simis, John Baillie

Public Comments: None

9. Boat Dock Revenue Update (Staff: Mallory Roberts)

Committee Comments: John Baillie, Mark Gonzalez

Public Comments: None

- 10.** Monterey One Water Financial Reports thru March 31, 2026. (Staff: Nan Kim)

Committee Comments: Matt Simis, John Baillie

Public Comments: None

Calendar

- 11.** Set the next meeting date and discuss future agenda items.

Adjournment

The Meeting adjourned at 11:00am



County of Monterey

Item No.2

Board Report

Board of Supervisors
Chambers
168 W. Alisal St., 1st Floor
Salinas, CA 93901

Legistar File Number: WRAFIN 26-006

August 07, 2026

Introduced: 7/30/2026

Current Status: Agenda Ready

Version: 1

Matter Type: WRA Finance Item

Recommend that the Monterey County Water Resources Agency Board of Directors recommend that the Monterey County Water Resources Agency Board of Supervisors ratify and approve Amendment No. 3 to the Memorandum of Understanding with the Salinas Valley Basin Groundwater Sustainability Agency previously executed by the General Manager of the Monterey County Water Resources Agency to extend the term of the MOU to June 30, 2029; revise the contact information for the Parties to the MOU; revise the description of anticipated services; and update the rate structure for the Monterey County Water Resources Agency. (Staff Presenting: Amy Woodrow)

RECOMMENDATION:

It is recommended that the Monterey County Water Resources Agency Board of Directors:

Recommend that Monterey County Water Resources Agency Board of Directors recommend that the Monterey County Water Resources Agency Board of Supervisors ratify and approve Amendment No. 3 previously executed by the General Manager of the Monterey County Water Resources Agency to the Memorandum of Understanding with the Salinas Valley Basin Groundwater Sustainability Agency to extend the term of the MOU to June 30, 2029; revise the contact information for the Parties to the MOU; revise the description of anticipated services; and update the rate structure for the Monterey County Water Resources Agency.

SUMMARY/DISCUSSION:

On June 18, 2018, the Board of Supervisors of the Monterey County Water Resources Agency (MCWRA) approved a Memorandum of Understanding (MOU) between the MCWRA and the Salinas Valley Basin Groundwater Sustainability Agency (SVBGSA) for MCWRA staff to provide technical and professional assistance to the SVBGSA and its consultants during the development of Groundwater Sustainability Plans (GSPs). The MOU was amended in 2022 to adjust the MCWRA's rate schedule and update the "Notices" section. The MOU was amended a second time in 2023 to extend the term, revise the contact information of the parties to the MOU (i.e., SVBGSA and MCWRA), and revise the description of anticipated services.

Amendment No. 3 to the MOU will extend the term for three years, to June 30, 2029 (Attachment 1). It will also update contact information for the SVBGSA and the MCWRA, update the rate structure for MCWRA staff to reflect Fiscal Year 2026-2027 (FY 27) rates, and revise the description of services that the MCWRA is anticipated to provide. All other terms and conditions of the MOU remain in effect and unchanged.

Amendment No. 3 to the MOU anticipates that MCWRA will bill the SVBGSA for support related to

annual reporting, development of a Seawater Intrusion Response Plan and related technical analyses, and collaborative implementation planning activities.

The recommended action aligns with the following goals and strategies from MCWRA's adopted strategic plan: Goal B (Plan for future water needs, carry out environmental studies, and plan new capital projects) - Strategy 2 (Collaborate with local Groundwater Sustainability Agencies (GSAs), define MCWRA's role, and implement a GSA integration plan) and Goal C (Ensure long term financial sustainability with sufficient funding to pay for Agency obligations and align expenditures and revenues) - Strategy 6 (Pursue grant funding and cost saving opportunities from all available sources, including collaborating with the GSA).

OTHER AGENCY INVOLVEMENT:

On June 11, 2026, the Board of Directors of the SVBGSA authorized the SVBGSA General Manager to execute Amendment No. 3 to the MOU.

FINANCING:

Funding for staff time to provide services pursuant to the MOU is provided by the SVBGSA, up to \$125,000 for FY 27. This amount is included in the FY 27 Adopted Budget in Fund 1501. It is estimated that \$50,000 will be expended during FY 27, all of which will be reimbursed by the SVBGSA per the terms of the MOU.

Prepared by: Amy Woodrow, Senior Water Resources Hydrologist, (831) 755-4860

Approved by: Ara Azhderian, General Manager, (831) 755-4860

Attachments:

1. 2026-06 MOU A3 MCWRA-SVBGSA



County of Monterey

Item No.

Board Report

Board of Supervisors
Chambers
168 W. Alisal St., 1st Floor
Salinas, CA 93901

Legistar File Number: WRAG 26-235

August 07, 2026

Introduced: 7/30/2026

Current Status: Draft

Version: 1

Matter Type: WR General Agenda

Recommend that the Monterey County Water Resources Agency Board of Directors recommend that the Monterey County Water Resources Agency Board of Supervisors ratify and approve Amendment No. 3 to the Memorandum of Understanding with the Salinas Valley Basin Groundwater Sustainability Agency previously executed by the General Manager of the Monterey County Water Resources Agency to extend the term of the MOU to June 30, 2029; revise the contact information for the Parties to the MOU; revise the description of anticipated services; and update the rate structure for the Monterey County Water Resources Agency.

RECOMMENDATION:

It is recommended that the Monterey County Water Resources Agency Board of Directors:

Recommend that Monterey County Water Resources Agency Board of Directors recommend that the Monterey County Water Resources Agency Board of Supervisors ratify and approve Amendment No. 3 previously executed by the General Manager of the Monterey County Water Resources Agency to the Memorandum of Understanding with the Salinas Valley Basin Groundwater Sustainability Agency to extend the term of the MOU to June 30, 2029; revise the contact information for the Parties to the MOU; revise the description of anticipated services; and update the rate structure for the Monterey County Water Resources Agency.

SUMMARY/DISCUSSION:

On June 18, 2018, the Board of Supervisors of the Monterey County Water Resources Agency (MCWRA) approved a Memorandum of Understanding (MOU) between the MCWRA and the Salinas Valley Basin Groundwater Sustainability Agency (SVBGSA) for MCWRA staff to provide technical and professional assistance to the SVBGSA and its consultants during the development of Groundwater Sustainability Plans (GSPs). The MOU was amended in 2022 to adjust the MCWRA's rate schedule and update the "Notices" section. The MOU was amended a second time in 2023 to extend the term, revise the contact information of the parties to the MOU (i.e., SVBGSA and MCWRA), and revise the description of anticipated services.

Amendment No. 3 to the MOU will extend the term for three years, to June 30, 2029 (Attachment 1). It will also update contact information for the SVBGSA and the MCWRA, update the rate structure for MCWRA staff to reflect Fiscal Year 2026-2027 (FY 27) rates, and revise the description of services that the MCWRA is anticipated to provide. All other terms and conditions of the MOU remain in effect and unchanged.

Amendment No. 3 to the MOU anticipates that MCWRA will bill the SVBGSA for support related to

annual reporting, development of a Seawater Intrusion Response Plan and related technical analyses, and collaborative implementation planning activities.

The recommended action aligns with the following goals and strategies from MCWRA's adopted strategic plan: Goal B (Plan for future water needs, carry out environmental studies, and plan new capital projects) - Strategy 2 (Collaborate with local Groundwater Sustainability Agencies (GSAs), define MCWRA's role, and implement a GSA integration plan) and Goal C (Ensure long term financial sustainability with sufficient funding to pay for Agency obligations and align expenditures and revenues) - Strategy 6 (Pursue grant funding and cost saving opportunities from all available sources, including collaborating with the GSA).

OTHER AGENCY INVOLVEMENT:

On June 11, 2026, the Board of Directors of the SVBGSA authorized the SVBGSA General Manager to execute Amendment No. 3 to the MOU.

FINANCING:

Funding for staff time to provide services pursuant to the MOU is provided by the SVBGSA, up to \$125,000 for FY 27. This amount is included in the FY 27 Adopted Budget in Fund 1501. It is estimated that \$50,000 will be expended during FY 27, all of which will be reimbursed by the SVBGSA per the terms of the MOU.

Prepared by: Amy Woodrow, Senior Water Resources Hydrologist, (831) 755-4860

Approved by: Ara Azhderian, General Manager, (831) 755-4860

Attachments:

1. 2026-06 MOU A3 MCWRA-SVBGSA

**AMENDMENT NO. 3 TO THE
MEMORANDUM OF UNDERSTANDING
BETWEEN MONTEREY COUNTY WATER RESOURCES AGENCY AND
THE SALINAS VALLEY BASIN
GROUNDWATER SUSTAINABILITY AGENCY**

THIS AMENDMENT NO. 3 to the Memorandum of Understanding between the Monterey County Water Resources Agency, a political subdivision of the State of California (hereinafter, “Agency” or “WRA”) and the Salinas Valley Basin Groundwater Sustainability Agency (hereinafter, “SVBGSA”) is hereby entered into between the Agency and the SVBGSA (collectively, the Agency and SVBGSA are referred to as the “Parties”).

WHEREAS, SVBGSA entered into a Memorandum of Understanding for Technical and Professional Assistance with the Agency on June 14, 2018 (hereinafter, “MOU”); and

WHEREAS, the Parties entered into an Amendment No. 1 to the MOU on July 12, 2022 to amend representative contact information and WRA’s rate structure in Attachment B, and into Amendment No. 2 on July 18, 2023 to amend Parties' representative contact information, to revise the list of anticipated services and the length of term; and

WHEREAS, the Parties wish to amend the MOU with an update to the SVBGSA representative contact information, an updated list of anticipated services, and an update the WRA's rate structure.

NOW, THEREFORE, the Parties agree to amend the MOU as follows:

1. Amend the first paragraph of Section 1. “Effective Date and Term” to read as follows:
This MOU is effective as of June 14, 2018, and unless earlier terminated as provided in this MOU, shall remain in effect until June 30, 2029. This MOU may be amended from time-to-time by mutual written agreement of the Parties.
2. Amend Subsection D.ii “Notices” of Section 7. “General Provisions” to read as follows:

To the Agency:

Amy Woodrow, Senior Hydrologist
1441 Schilling Place
Salinas, CA 93901
831.755.4860
831.424.7935 (facsimile)
woodrowa@countyofmonterey.gov

To the SVBGSA:

Piret Harmon, General Manager
P.O Box 1350
Carmel Valley, CA 93924
831.471.7518
harmonp@svbgsa.org

Copy to:

Kelly Donlon, Chief Assistant County Counsel
168 W. Alisal St., 3rd Floor
Salinas, CA 93901

Copy to:

Reed Gallogly, SVBGSA Counsel
168 W. Alisal St., 3rd Floor
Salinas, CA 93901

SVBGSA MOU Amendment No. 3

831.755.5366
831.755.5283 (facsimile)

831.755.5366
831.755.5283 (facsimile)

3. Add the following to Attachment A:

Anticipated activities for WRA staff to provide support services in FY 2027 include but are not limited to:

- Fulfilling data requests for Annual Reports or other SVBGSA project needs
- Contributing updates to, and reviewing, draft Annual Reports or other reports as requested
- Developing a Seawater Intrusion Response Plan and related technical analyses
- Planning work and work on collaborative activities in support of SGMA implementation and advancement of the Integrated Implementation Strategy

WRA is expected to bill SVBGSA for the work in support of the activities outlined above.

In addition, WRA staff have expressed interest in participating in the following non-billable activities managed and coordinated by SVBGSA:

- Groundwater Data Monitoring and Reporting
- Deep Aquifers Management Strategy
- Joint Technical Advisory Committee
- Basin Stakeholder Engagement and Involvement

4. Amend Attachment B – Agency Rate Structure with Attachment B (revised) – Agency Rate Structure for FY 2027.
5. All other terms and conditions of the MOU remain unchanged and in full force.
6. This Amendment No. 3 shall be attached to the MOU and incorporated therein as if fully set forth in the MOU.

IN WITNESS WHEREOF, the Parties hereto have executed this Amendment No. 3 to the MOU as of the day and year written below:

**MONTEREY COUNTY WATER
RESOURCES AGENCY**

**SALINAS VALLEY BASIN
GROUNDWATER SUSTAINABILITY
AGENCY**

By: _____

General Manager

By: _____

General Manager

SVBGSA MOU Amendment No. 3

Date: _____

Date: _____

Approved as to Form and Legality

By: _____
MCWRA Counsel

By: _____
SVBGSA Counsel

Date: _____

Date: _____



County of Monterey

Item No.3

Board Report

Board of Supervisors
Chambers
168 W. Alisal St., 1st Floor
Salinas, CA 93901

Legistar File Number: WRAFIN 26-009

August 07, 2026

Introduced: 8/3/2026

Current Status: Agenda Ready

Version: 1

Matter Type: WRA Finance Item

Recommended that the Monterey County Water Resources Agency Board of Directors:

- a. Approve a Professional Services Agreement with GEI Consultants, Inc. for a total contract amount not to exceed \$884,910 for environmental services work related to the San Antonio Spillway Replacement Project and the Nacimiento Plunge Pool Project; and
- b. Authorize the General Manager to execute the agreement

RECOMMENDATION:

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- a. Approve a Professional Services Agreement with GEI Consultants, Inc. for a total contract amount not to exceed \$884,910 for environmental services work related to the San Antonio Spillway Replacement Project and the Nacimiento Plunge Pool Erosion Control Project; and
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SUMMARY/DISCUSSION:

In April 2026, the Agency released a Request for Qualifications (RFQ) No. 2026-001 (attachment 1) for a firm to provide environmental consulting services for two critical projects, the San Antonio Spillway Replacement and the Nacimiento Plunge Pool, allowing for the safe long-term operation of the Agency's reservoirs. Numerous responses to the RFQ were received and GEI Consultants, Inc. was selected for the two projects.

The Scope of Work for the San Antonio Spillway Replacement Project includes development of the project description, conducting an initial study and notice of preparation, performing necessary studies, developing a permitting strategy, and all phases of the CEQA process including the Final Environmental Impact Report. The permitting strategy is anticipated to be complete by March 2027 and the CEQA activities by November 2027. The costs of these tasks total \$424,944.

The Scope of Work for the Nacimiento Plunge Pool Project includes development of the project description, conducting an initial study, performing necessary studies, all phases of the CEQA process, and developing the necessary permitting application packages. The CEQA activities are anticipated to be completed by October 2027 and the permitting applications by December 2027. The costs of these tasks total \$459,966.

GEI's Draft scope of work (attachment 2) describes the range of activities that are proposed for each project. Agency staff continue to fine tune the approach with GEI Consultants, Inc. Time is of the essence to keep this project moving so the final scopes of work and cost estimates are anticipated prior to this item going to the Board of Directors for consideration.

OTHER AGENCY INVOLVEMENT:

California Department of Water Resources

FINANCING:

These activities are included in the approved FY27 Agency budget in Fund 1503 and are funded through the State's Department of Water Resources SB104 grant agreement.

Prepared by: Shaunna Murray, Deputy General Manager (831) 755-4860

Approved by: Ara Azhderian, General Manager, (831) 755-4860

Attachments:

1. Request for Qualifications (RFQ) No. 2026-001 and addendum
2. DRAFT Scope of Work with GEI Consultants, Inc.



County of Monterey

Item No.3

Board Report

Board of Supervisors
Chambers
168 W. Alisal St., 1st Floor
Salinas, CA 93901

Legistar File Number: WRAFIN 26-009

August 07, 2026

Introduced: 8/3/2026

Current Status: Draft

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2. DRAFT Scope of Work with GEI Consultants, Inc.

MONTEREY COUNTY WATER RESOURCES AGENCY

REQUEST FOR QUALIFICATIONS (RFQ)

No. 2026-001



**SAN ANTONIO DAM SPILLWAY REPLACEMENT PROJECT:
ENVIRONMENTAL CONSULTING SERVICES**

AND/OR

**NACIMIENTO PLUNGE POOL PROJECT:
ENVIRONMENTAL CONSULTING SERVICES**

APRIL 2026

STATEMENTS OF QUALIFICATIONS DUE ON OR BEFORE:

MAY 29, 2026 AT 5PM PDT

**REQUEST FOR QUALIFICATIONS (RFQ) No. 2026-001 FOR:
SAN ANTONIO DAM SPILLWAY REPLACEMENT PROJECT:
ENVIRONMENTAL CONSULTING SERVICES**

and/or

**NACIMIENTO DAM SPILLWAY PLUNGE POOL PROJECT:
ENVIRONMENTAL CONSULTING SERVICES**

Dear Proposers:

The MONTEREY COUNTY WATER RESOURCES AGENCY (hereinafter referred to as the “AGENCY” or “MCWRA”) is requesting Statements of Qualifications (SOQ) to establish one or both contracts with a consultant (hereinafter referred to as “CONTRACTOR”) for the San Antonio Dam Spillway Replacement Project: Environmental Consulting Services and/or the Nacimiento Dam Spillway Plunge Pool Project: Environmental Consulting Services. These two projects will run independently and are unrelated. Proposers shall indicate if their Statement of Qualifications (SOQ) is for one or both projects on the cover of their submittal. Proposers are only required to submit one Statement of Qualifications (SOQ) package whether or not they are proposing on one or both projects. If proposing on both projects, clearly distinguish/reference separate tasks for each project.

Submittal packages shall be **received by the Agency on or before 5:00 pm PDT on Friday, May 29, 2026** via email at the following addresses: HardenE@countyofmonterey.gov **and** MCWater@countyofmonterey.gov with the **SUBJECT: ENVIRONMENTAL RFQ No. 2026-001.**

It shall be the responsibility of the consultant to deliver the submittal package via email to the Agency by the announced date and time. The SOQs shall be to the attention of Elise Harden. Submittals will **not** be publicly opened.

Questions regarding the RFQ must be received by **May 8, 2026 at 5:00 pm PDT** to <mailto:HardenE@countyofmonterey.gov> and MCWater@countyofmonterey.gov. No questions will be answered via telephone. All questions received along with their responses from the Agency will be shared via an addendum to the RFQ no later than **May 20, 2026 at 5:00 pm PDT.**

The instructions for how to obtain the RFQ and all addenda will be posted on the Agency website: www.mcwater.info on the “News/Announcements” page.

TO BE PLACED ON A NOTIFICATION LIST FOR NEW INFORMATION RELATED TO RFQ No. 2026-001, please submit this request to HardenE@countyofmonterey.gov and MCWater@countyofmonterey.gov and you will be notified when new information related to this RFQ is posted to the website.

1. BACKGROUND

The Monterey County Water Resources Agency is a public agency created by the State of California pursuant to the Monterey County Water Resources Agency Act (California Water Code, Appendix 52).

Prior to being formally established in 1991, the Monterey County Water Resources Agency (MCWRA) was the Monterey County Flood Control and Water Conservation District, established in 1947 and organized as a division of the Public Works Department of the County of Monterey. MCWRA provides services related to the control of flood and storm waters in Monterey County, conservation, protection of water quality, reclamation of water and the exchange of water. Fundamental to the agency's mission to sustainably manage water resources while minimizing impacts from flooding, MCWRA owns and operates two dams on principal tributaries to the Salinas River (Nacimiento and San Antonio) along with associated reservoirs.

Nacimiento and San Antonio Reservoirs are managed for the combined goals of flood protection, groundwater replenishment, Salinas Valley Water Project operation, recreation, and support for fish habitat.

Completed in 1957, Nacimiento Reservoir has a spillway elevation of 787.75 feet (msl) which can be raised to 800 feet using an inflatable spillway gate. At full capacity, it holds 377,900 acre-feet of water, is 18 miles long, and has 165 miles of shoreline.

Completed in 1967, San Antonio Reservoir has a spillway elevation of 780 (msl). At full capacity, it stores 335,000 acre-feet of water, is 16 miles long, and has 100 miles of shoreline.

1.1 SAN ANTONIO DAM SPILLWAY REPLACEMENT PROJECT

The San Antonio Dam is a 201-foot high zoned earthfill embankment with a minimum dam crest at elevation (El.) 802.0 feet. San Antonio Dam impounds the San Antonio Reservoir that has a normal storage capacity of approximately 335,000 acre-feet at the spillway crest, El. 780.0 feet.

The existing spillway at San Antonio Dam is a 100-foot-wide concrete ogee section at crest El. 780.0 feet. The overall length of the concrete spillway chute is about 1,420 feet and the walls of the channel are 14-feet-tall. The chute consists of a converging section which transitions from the 100-foot-wide crest to a width of 50 feet. The upper portion of the chute slopes at approximately 19 percent before flattening to 6 percent. The existing spillway passes through a horizontal circular curve that has a radius of 1,000 feet and is approximately 400-feet-long. The existing chute slab is superelevated through the horizontal curve by up to 7.5 feet. The spillway terminates at a flip bucket with a 30-degree launch angle and 50-foot radius.

Regulators of dams throughout the United States mandated spillway condition assessments be made for high hazard dams following the failure of the spillway chute at Oroville Dam in 2017. The San Antonio Dam Spillway assessment was conducted by GEI Consultants, Inc., and is documented in their Spillway Condition Assessment Report dated May 2018 (GEI 2018). The Assessment Report documents deficiencies in the spillway chute but suggests the ogee

control structure and flip bucket energy dissipating structure do not have visible deficiencies. San Antonio Dam is located in southern Monterey County, California.

The San Antonio Dam Spillway Replacement Project (San Antonio Project) is mandated by the California Department of Water Resources, Division of Safety of Dams (DSOD) via their letter dated April 12, 2019, and confirmed in a November 18, 2022, letter from DSOD stating that replacement of the existing spillway structure with one that is consistent with modern design standards is required. DSOD has mandated the full spillway replacement on or before December 31, 2031.

Construction of a new spillway within the footprint of the existing spillway would require significant effort to demolish the existing structure including walls, drains, and anchors. This will necessitate careful construction staging and sequencing to minimize the risk to the existing structures and the new work. Consideration will also need to be given to phasing to minimize risk due to re-exposing the natural subgrade.

An Alternatives Analysis Report was drafted by McMillen, Inc. and is currently under review by DSOD. The Alternatives Analysis Report includes evaluation of eleven proposed alternatives with a proposed alternative presented as the preferred alternative (Alternative 11). Approval by DSOD of the proposed alternative is required.

Alternative 11 includes retrofitting the existing ogee control structure at El. 780.0 feet, new spillway chute, and construction of a new USBR Type II terminal structure. This alternative retains the existing ogee control structure's location. A new spillway chute with a new underdrain collection and management system would be constructed along the existing spillway alignment to connect the existing ogee and new terminal structure.

The Scope of Services for the San Antonio Project can be found as Scope of Work Exhibit A.1 in this document. Technical references available are listed and can be obtained as outlined in Exhibit B of this RFQ.

1.2 NACIMIENTO DAM SPILLWAY PLUNGE POOL PROJECT

Nacimiento Dam is owned and operated by MCWRA and is under the jurisdiction of DSOD and the Federal Energy Regulatory Commission (FERC). FERC has jurisdiction over the Nacimiento Hydroelectric Project at Nacimiento Dam (Nacimiento Project) due to the existence of the hydroelectric plant.

The existing spillway and associated energy dissipation plunge pool at Nacimiento Dam has a history of erosion issues and protection challenges (AECOM 2022). The plunge pool bank erosion conditions led MCWRA to initiate a study in 2022 to evaluate potential failure modes and to develop initial alternatives for erosion protection measures. Alternatives were developed considering the potential for spillway flows much greater than historic maximums (AECOM 2022). The 2022 study identified roller-compacted concrete (RCC) bank protection as the preferred approach to remediate the erosion and provide protection during higher flows. In

addition, the design concept includes partial removal and reshaping of the existing plunge pool rock outcrop to improve hydraulic flow conditions. The 2022 study also considered approaches including riprap rock protection, grouted rock riprap protection, structural vane walls to redirect scouring flows, and RCC training walls.

The project would not result in changes to the operation of the reservoir once constructed. The reservoir would continue to operate and release flows pursuant to the Nacimiento Dam Operation Policy (MCWRA 2018).

The Scope of Services for the Nacimiento Project can be found as Scope of Work Exhibit A.2 in this document. Technical references available are listed and can be obtained as outlined in Exhibit B of this RFQ.

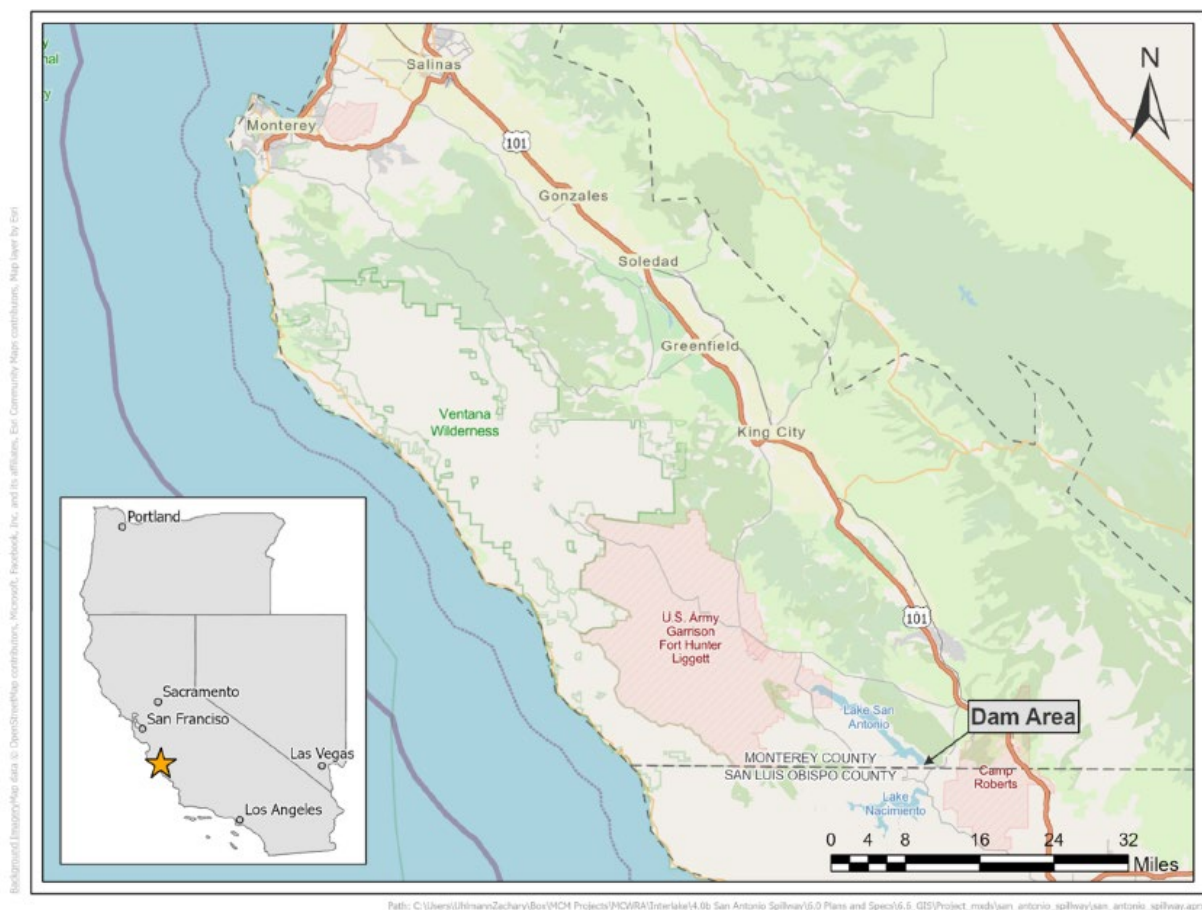


Figure 1: Vicinity Map of Dam area of San Antonio and Nacimiento Dams



Figure 2: San Antonio Dam Spillway Alignment



Figure 3: Nacimiento Dam Spillway Plunge Pool

2. SCHEDULE OF EVENTS

This is the planned schedule, all in PST:

Release of RFQ	April 16, 2026
Deadline for Written Questions	May 8, 2026 by 5:00 p.m.
Responses to Questions	May 20, 2026
Proposals are Due	May 29, 2026 at 5:00 p.m.
Interviews (if held)	June 15 thru June 19, 2026
Notification/Negotiation of Contract Terms	June 2026
Agency Committees and Board of Directors	July 2026
Notice to Proceed	August 1, 2026

All dates are subject to change at the discretion of MCWRA.

3. QUALIFICATION REQUIREMENTS

Interested Contractors must meet **ALL** the qualification requirements in order to be considered by MCWRA for the San Antonio Project and/or the Nacimientto Project:

3.1 CONTRACTOR shall have a minimum of ten (10) years' experience providing environmental compliance services for various project sizes and scopes similar in nature to that of the San Antonio Project and/or Nacimientto Project; and

3.2 CONTRACTOR's project manager, including any subsequent project managers, shall have a minimum of ten (10) years' experience in managing the environmental compliance and approvals of heavy civil construction projects involving water conveyance facilities; and

3.3 CONTRACTOR is required to ensure that all services, costs, and materials must, at a minimum, meet the specifications for the State of California and CAL/OSHA regulations, as applicable to the project; and

3.4 CONTRACTOR is to ensure that the insurance and required licenses under both state and local jurisdictions are current during the full term of the AGREEMENT; and

3.5 CONTRACTOR shall comply with all applicable laws, ordinances, rules, and regulations, including but not limited to: County, State, and Federal laws; Endangered Species Act (ESA); Clean Water Act (CWA); California Environmental Quality Act (CEQA); National Environmental Policy Act (NEPA); California Department of Water Resources, Division of Safety of Dams (DSOD); ACSM (American Congress on Surveying and Mapping); Cal/OSHA (California Division of Occupational Safety & Health Administration); FEMA (Federal Emergency

Management Agency); ASTM (American Standards Test Method); California Health & Safety Code; CFR (Code of Federal Regulations); CCR (California Code of Regulations); County Design Manuals and County Standard Plans; all Caltrans manuals and policies; State Standard Plans and Specifications; Manual of Uniform Traffic Control Devices; California Building Code; and Americans with Disabilities Act (ADA); including as revised and amended by COUNTY ordinance.

4. PROPOSAL FORMAT GUIDELINES

Interested entities are to provide MCWRA with a thorough statement of qualifications using the following guidelines:

Proposal should be typed and should contain no more than 20 typed pages using a 12-point font size, including transmittal letter and resumes of each team member, but excluding Index/Table of Contents, tables, charts, graphic exhibits, and Appendices. For entities submitting for both projects, the proposal may be 30 typed pages using 12-point font size, including transmittal letter and resumes of each team member, but excluding Index/Table of Contents, tables, charts, graphic exhibits, and Appendices. Each proposal will adhere to the following order and content of sections. Proposal should be straightforward, concise and provide “layman” explanations of technical terms that are used. Emphasis should be concentrated on conforming to the RFQ instructions and responding to the RFQ requirements. Proposals which appear unrealistic in terms of technical commitments, lack of technical competence or are indicative of failure to comprehend the complexity and risk of this contract may be rejected. The following proposal sections are to be included in the Proposer’s response:

- **Cover Letter**

A cover letter, not to exceed two pages in length, should summarize key elements of the proposal. An individual authorized to bind the consultant must sign the letter. The letter must stipulate that the proposal price quote will be valid for a period of at least 180 days. Indicate the address and telephone number of the contractor’s office located nearest to Salinas, California and the office(s) from which the project(s) will be managed. Only one cover letter shall be used if submitting on one or both projects; however, the cover letter shall clearly indicate which project(s) the entity is submitting on.

- **Background and Project Summary Section**

The Background and Project Summary Section should describe your understanding of MCWRA and each project(s) to be examined, the work to be done, and the objectives to be accomplished. If you want to be considered for the San Antonio Project and the Nacimiento Project, indicate clearly this section’s responses for each project, as each project’s section will be scored independently. Refer to Scope of Work (SOW), Exhibit A.1 and Exhibit A.2 of this RFQ.

- **Methodology Section**

Provide a detailed description of the approach and methodology to be used to accomplish the Scope of Work of this RFQ. The Methodology Section should include:

1. An implementation plan that describes in detail (i) the methods, including controls by which your firm or entity manages projects of the type sought by this RFQ; (ii) methodology for soliciting and documenting views of internal and external stakeholders; (iii) and any other project management or implementation strategies or techniques that the respondent intends to employ in carrying out the work.
 2. Detailed description of efforts your firm or entity will undertake to achieve client satisfaction and to satisfy the requirements of the "Scope of Work" section.
 3. Detailed project schedule, identifying all tasks and deliverables to be performed, durations for each task, and overall time of completion. Include your plan to deal with fluctuation in service needs and any associated price adjustments.
 4. Detailed description of specific tasks you will require from Agency staff. Explain what the respective roles of Agency staff and your staff would be to complete the tasks specified in the Scope of Work.
 5. Proposers are encouraged to provide additional innovative and/or creative approaches for providing the service that will maximize efficient, cost-effective operations or increased performance capabilities. In addition, the Agency will consider statements of qualifications that offer alternative service delivery means and methods for the services desired.
- If you want to be considered for the San Antonio Project and the Nacimientto Project, indicate clearly for this section your responses for each project, as each project's section will be scored independently. Refer to Scope of Work (SOW), Exhibit A.1 and Exhibit A.2 of this RFQ.

- **Staffing**

Provide a list of individual(s) who will be working on each project(s) and indicate the functions that each will perform and anticipated hours of service of each individual. Include a resume for each designated individual.

Upon award and during the contract period, if the contractor chooses to assign different personnel to the project, the Contractor must submit their names and qualifications including information listed above to the Agency for approval **before** they begin work.

If proposing on both projects, there should be a staffing section for each project, as these sections will be scored independently.

If proposing on both the San Antonio Project and Nacimientto Project, a description outlining how your firm will be able to manage both projects with its proposed staffing organization shall be included.

- **Qualifications**

The information requested in this section should describe the qualifications of the firm or entity, key staff and sub-contractors performing projects **within the past five years** that are similar in size and scope to demonstrate competence to perform these services. Information shall include:

Names of key staff that participated on named projects and their specific responsibilities with respect to SOW Exhibit(s) A.1 and/or A.2.

A summary of your firm's demonstrated capability, including length of time that your firm has provided the services being requested in this Request for Qualifications. In addition, **all qualifications outlined in Section 3 of this RFQ must be met.**

Provide at least **three** reference projects; information provided shall include:

- Client Name
- Project Description
- Project start and end dates
- Client project manager name (reference),
- Telephone number, and e-mail address.

MCWRA reserves the right to contact any of the organizations or individuals listed.

- **Cost Proposal**

All Proposers are required to provide a Cost Proposal with their submittal as Appendix A to their SOQ. If proposing on both projects, please include Appendix A.1 and Appendix A.2 for each project's SOW as they will be evaluated independently. Pricing shall consider all information outlined in Scope of Work A.1 and/or A.2. Pricing details or exclusions should be clearly defined to ensure fees proposed can be compared and evaluated. Shall include hourly rates, estimated hours per task, and expenses. Proposals shall be valid for a minimum of 180 days following submission date of **May 29, 2026**.

- **Disclosure**

Please disclose any and all past or current business and personal relationships with any current MCWRA elected official, appointed official, MCWRA employee, or family member of any current MCWRA elected official, appointed official, or Agency employee. ***Any past or current business relationship may not disqualify the firm from consideration.*** This disclosure statement shall be included as Appendix B to the SOQ.

- **Agreement**

The firm selected by MCWRA will be required to execute a Professional Services Agreement (PSA) with MCWRA. The standard PSA is enclosed as Exhibit C.

Acceptance of Terms and Conditions;

Include a statement of acceptance of the Agency's standard contract terms and conditions (See Exhibit C – Professional Services Agreement) along with your proposal as Appendix C. Any proposed exceptions must be clearly identified and submitted with the proposal. Each project, respective of its SOW, will have its own separate contract.

- **Checklist of Appendices to Accompany SOQ**

Appendix A (A.1 and/or A.2)	Cost Proposal
Appendix B	Disclosure of Government Positions
Appendix C	PSA Terms and Conditions

5. **PROCESS FOR SUBMITTING SOQs**

- **Content of Proposal**

The proposal must be submitted using the format as indicated in the proposal format guidelines.

- **PDF File Submittal (email ONLY)**

Submit the PDF file (or include the link in the email if too large for email transmission) of your proposal package to: HardenE@countyofmonterey.gov **and** MCWater@countyofmonterey.gov. There are no hard copy submittals accepted.

Submission of SOQs

Complete SOQs must be submitted and received no later than 5:00 p.m. (P.D.T) on May 29, 2026 to HardenE@countyofmonterey.gov and MCWater@countyofmonterey.gov. Proposals will not be accepted after this deadline.

SUBJECT: ENVIRONMENTAL RFQ No. 2026-001

- **Inquiries**

Questions about this RFQ must be directed in writing, no later than May 8, 2026, via e-mail to:

ATTN: Elise R. Harden, PE, Senior Water Resources Engineer

HardenE@countyofmonterey.gov

And

MCWater@countyofmonterey.gov

SUBJECT: ENVIRONMENTAL SOQ

The Agency reserves the right to amend or supplement this RFQ prior to the proposal due date. All amendments, responses to questions received, and additional information will be shared via MCwater.info under the News/Announcements section. Proposers should check this web page daily for new information. All written questions must be received by the question deadline of **May 8, 2026 at 5:00 p.m.** The Agency will endeavor to answer all written questions no later than **5:00 p.m. on May 20, 2026.** The Agency reserves the right not to answer all questions.

From the date that this RFQ is issued until a firm is selected and the selection is announced, firms are not allowed to communicate outside the process set forth in this RFQ with any Agency employee other than the contracting officer listed above regarding this RFQ. The Agency reserves the right to reject any proposal for violation of this provision. No questions other than written will be accepted, and no response other than written will be binding upon the Agency.

□ Conditions for Proposal Acceptance

This RFQ does not commit the Agency to award a contract or to pay any costs incurred for any services. The Agency, at its sole discretion, reserves the right to accept or reject any or all proposals received as a result of this RFQ, to negotiate with any qualified source(s), or to cancel this RFQ in part or in its entirety. The Agency may waive any irregularity in any proposal. All proposals will become the property of the Agency. If any proprietary information is contained in the proposal, it should be clearly identified.

6. EVALUATION CRITERIA

The Agency's evaluation and selection process will be conducted in accordance with the following criteria. At all times during the evaluation process, the following criteria will be used. Sub-criteria are not necessarily listed in order of importance. Additional sub criteria that logically fit within a particular evaluation criteria may also be considered even if not specified below. If proposing on both projects, sections shall be clearly marked and separate as sections will be evaluated separately and independently.

1. Qualifications of Entity and Key Personnel-----35%

Includes ability to provide timely the requested scope of services, recent experience conducting work of similar scope, complexity, and magnitude for other public agencies of similar size, references.

2. Approach to Providing the Requested Scope of Services-----35%
Includes an understanding of the RFQ and of the project's scope of services, knowledge of applicable laws and regulations related to the scope of services. Deliverables and schedule of milestones and key project dates. If proposing on both projects, description of the proposers ability to timely deliver both projects.
3. Reference Projects-----15%
Includes the relevance and similarity to the type and scale of each project. Familiarity with dams, capital improvement projects within waterways, and hydraulic facilities. Reference projects should also have similar CEQA or environmental permitting requirements.
4. Cost Proposal-----10%
Cost Proposals will be evaluated on the basis of the Total Estimated Price submitted in Appendix A (A.1 and/or A.2).
5. Innovative and/or creative approaches to providing the services that provide additional efficiencies or increased performance capabilities. ----5%

7. EVALUATION OF PROPOSALS AND SELECTION PROCESS

The Agency will adhere to the following procedures in evaluating proposals. An Evaluation/Selection Committee (Committee), which may include members of the Agency's staff and possibly outside experts, will screen and review all proposals according to the weighted criteria set forth above. While price is one factor for award, it is not the sole consideration.

A. Responsiveness Screening

Proposals will first be screened to ensure responsiveness to the RFQ. The Agency may reject as non-responsive any proposal that does not include the documents required to be submitted by this RFQ. At any time during the evaluation process, the Agency reserves the right to request clarifications or additional information from any or all Proposers regarding their proposals.

B. Initial Proposal Review

The Committee will initially review and score all responsive written proposals based upon the Evaluation Criteria set forth above. The Committee may also contact Proposer's references. Proposals that receive the highest evaluation scores may be invited to the next stage of the evaluation process. The Agency may reject any proposal in which a Proposer's approach, qualifications, or price is not considered acceptable by the Agency. An unacceptable proposal is one that would have to be substantially rewritten to make it acceptable. The Agency may conclude the evaluation process at this point and recommend award to its governing bodies. Alternatively, the Agency may elect to negotiate directly with one or more Proposers to obtain the best result for the Agency prior to making a recommendation or selection. The Agency may also elect to reject all proposals.

C. Interviews, Reference Checks, Revised Proposals, Discussions

Following the initial screening and review of proposals, the Proposers included in this stage of the evaluation process may be invited to participate in an oral interview. Interviews, if held, are tentatively scheduled for **June 15th through June 19th, 2026** and will be conducted at the Agency or via Zoom. This date is subject to change. The individual(s) from Proposer's firm or entity that will be directly responsible for carrying out the contract, if awarded, should be present at the oral interview. The oral interview may, but is not required to, use a written question/answer format for the purpose of clarifying the intent of any portions of the proposal.

In addition to conducting an oral interview, the Agency may during this stage of the evaluation process also contact and evaluate the Proposer's references, contact any Proposer to clarify any response or request revised or additional information, contact any current users of a Proposer's services, solicit information from any available source concerning any aspect of a proposal, and seek and review any other information deemed pertinent to the evaluation process.

Following conclusion of this stage of the evaluation process, the Committee will again rank all Proposers according to the evaluation criteria set forth above. The Committee may conclude the evaluation process at this point, and make a recommendation for award, or it may request Best and Final Offers from Proposers. The Agency may accept the proposal or negotiate the terms and conditions of the agreement with the highest ranked firm. The Agency may *recommend* award without Best and Final Offers, so Proposers should include their best proposal with their initial submission.

Recommendation for award is contingent upon the successful negotiation of final contract terms. Negotiations shall be confidential and not subject to disclosure to competing Proposers unless an agreement is reached. If contract negotiations cannot be concluded successfully within a time period determined by the Agency, the Agency may terminate negotiations and commence negotiations with the next highest scoring Proposer or withdraw the RFQ.

Final contract award for one or both projects is subject to approval by the Monterey County Water Resources Board of Directors.

8. CONFIDENTIALITY

Unless information is exempt from disclosure by law, the content of any request for explanation, exception, or substitution, response to this RFQ, protest, or any other written communication between the Agency and Proposer, shall be available to the public. The Agency intends to release all public portions of the proposals following the evaluation process at such time as a recommendation is made to the Agency Board of Directors.

If Proposer believes any communication contains trade secrets or other proprietary information that the Proposer believes would cause substantial injury to the Proposer's competitive position if disclosed, the Proposer shall request that the Agency withhold from disclosure the proprietary information by marking each page containing such proprietary information as confidential.

Proposer may not designate its entire proposal as confidential nor designate its Price Proposal as confidential.

Submission of a proposal shall indicate that, if Proposer requests that the Agency withhold from disclosure information identified as confidential, and the Agency complies with the Proposer's request, Proposer shall assume all responsibility for any challenges resulting from the nondisclosure, indemnify and hold harmless the Agency from and against all damages (including but not limited to attorney's fees that may be awarded to the party requesting the Proposer information), and pay any and all costs and expenses related to the withholding of Proposer information. Proposer shall not make a claim, sue, or maintain any legal action against the Agency or its directors, officers, employees, or agents concerning the disclosure, or withholding from disclosure, of any Proposer information. If Proposer does not request that the Agency withhold from disclosure information identified as confidential, the Agency shall have no obligation to withhold the information from disclosure and may release the information sought without any liability to the Agency.

9. EX PARTE COMMUNICATIONS

Proposers and Proposers' representatives should not communicate with the Agency Board of Directors or Supervisors about this RFQ. In addition, Proposers and Proposers' representatives should not communicate outside the procedures set forth in this RFQ with an officer, employee or agent of the Agency, including any member of the evaluation panel, with the exception of the RFQ Facilitator, regarding this RFQ until after Contract Award. Proposers and their representatives are not prohibited, however, from making oral statements or presentations in public to one or more representatives of the Agency during a public meeting.

A "Proposer" or "Proposer's representative" includes all of the Proposer's employees, officers, directors, consultants and agents, any subcontractors or suppliers listed in the Proposer's proposal, and any individual or entity who has been requested by the Proposer to contact the Agency on the Proposer's behalf.

10. CONFLICT OF INTEREST

The Proposer warrants and represents that it presently has no interest and agrees that it will not acquire any interest which would present a conflict of interest under California Government Code section 1090 *et seq.*, or section 87100 *et seq.*, during the performance of services under any Agreement awarded. The Proposer further covenants that it will not knowingly employ any person having such an interest in the performance of any Agreement awarded. Violation of this provision may result in any Agreement awarded being deemed void and unenforceable.

11. DISCLOSURE OF GOVERNMENTAL POSITION

In order to analyze possible conflicts that might prevent a Proposer from acting on behalf of the Agency, the Agency requires that all Proposers disclose in their proposals any positions that they hold as directors, officers, or employees of any governmental entity. Additional disclosure may be required prior to contract award or during the term of the contract. Each Proposer shall disclose

whether any owner or employee of the firm currently hold positions as elected or appointed officials, directors, officers, or employees of a governmental entity or held such positions in the past twelve months. (See Appendix B.)

12. CONDITIONS TO AGREEMENT, IF ANY.

The selected Proposer will execute a Professional Services Agreement (PSA) with the Agency describing the Scope of Services to be performed, the schedule for completion of the services, compensation, and other pertinent provisions. The contract shall follow the form of Agreement provided as Exhibit C to this RFQ, which may be modified by Agency.

The terms of the agreement, including insurance requirements have been mandated by the Agency and can be modified only if extraordinary circumstances exist. Acceptance of these terms shall be included as an Appendix to this RFQ or any modification requests.

13. STANDARD TERMS AND CONDITIONS

Addendums

The Agency reserves the right to amend or supplement this RFQ prior to the proposal due date. All addendums and additional information will be posted to MCWater.info Proposers should check this web page daily for new information.

TO BE PLACED ON A NOTIFICATION LIST FOR NEW INFORMATION RELATED TO RFQ No. 2026-001, please submit this request to HardenE@countyofmonterey.gov and MCWater@countyofmonterey.gov and you will be notified when new information related to this RFQ is posted to the website.

Cost for Preparing Proposal

The cost for developing the proposal and any time, travel, or effort prior to contract execution is the sole responsibility of the Proposer. All proposals submitted become the property of the Agency.

Insurance Requirements

Agency requires that licensees, lessees, and vendors have an *approved* Certificate of Insurance (not a declaration or policy) or proof of legal self-insurance on file with the Agency for the issuance of a permit or contract. Within ten (10) consecutive calendar days of award of contract, successful Proposer must furnish the Agency with the Certificates of Insurance proving coverage as specified within Exhibit C.

EXHIBIT A.1 – SCOPE OF WORK

ENVIRONMENTAL COMPLIANCE SERVICES **San Antonio Dam Spillway Replacement Project**

- [1. Introduction](#)
- [2. Objectives](#)
- [3. Work Tasks](#)
- [4. Anticipated Schedule](#)

1. Introduction

The proposed San Antonio Dam Spillway Replacement Project (herein referred to as the “San Antonio Project” or “SA Project”) is intended to replace the existing San Antonio Dam Spillway, located in southern Monterey County. Completed in 1967, San Antonio Dam is owned and operated by the Monterey County Water Resources Agency (herein referred to as the “Agency”). In May 2017, MCWRA received a letter from the California Department of Water Resources, Division of Safety of Dams (DSOD) requiring a detailed evaluation and assessment of the condition of the spillway at San Antonio Dam. MCWRA complied, submitting the Spillway Condition Assessment Report on May 23, 2018. In their response letter of April 12, 2019, DSOD stated that the spillway requires a “major rehabilitation or full replacement.”

According to the California Environmental Quality Act (CEQA) Guidelines Section 15378(a) a project means the whole of an action “which has the potential to result in either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment.” The SA Project proposes to remove and replace the existing San Antonio Dam Spillway which will involve land modification and other physical changes. Therefore, the Scope of Work for the SA Project shall include all environmental consulting services necessary to conduct CEQA environmental review and documentation of both the direct and indirect effects of the SA Project. In addition, all permits and other approvals that will be necessary for the SA Project shall be identified.

The Agency has determined that an Environmental Impact Report (EIR) will be required as the primary environmental document for use by Agency decision makers as well as responsible, cooperating, and other agencies with discretion over the project. This includes permits and approvals issued by other agencies. The proposer shall provide an overview of the permits required for the SA Project and the estimated timeline to acquire all necessary approvals demonstrating alignment with SA Project completion timeline mandated by DSOD of December 2031.

The proposer shall also provide a cost estimate to perform tasks based on the Scope of Work and technical documents identified in Exhibit B. For the purposes of this submittal, a firm lump sum cost estimate with a schedule of values tied to milestones and deliverables identified in your project schedule and work plan shall be provided. The proposer shall include an estimate of costs related to obtaining permits and other approvals. Attach to SOQ as Appendix A.1 – Cost Proposal (San Antonio Project).

The SA Project and alternatives are further defined in the Draft San Antonio Dam Spillway Replacement Project, Spillway Alternatives Analysis, referenced in Exhibit B

2. Objectives

The services specified within this Scope of Work are performance-based, and the environmental consulting services firm is required to identify the specific detailed scope of work necessary to meet the objectives of the AGENCY and the projects as follows:

- 1) Prepare an accurate and complete Environmental Impact Report (EIR) in compliance with the CEQA Statute and Guidelines.
- 2) Identify permits and approvals required for construction of the Projects from all Lead, Responsible, Cooperating, Trustee, and Reviewing Agencies. Assume some involvement with Agency for drafting of applications. This shall include identifying all necessary supporting documentation.

3. Work Tasks

A professional environmental services consulting firm, herein referred to as “CONTRACTOR”, shall prepare the project environmental documents necessary to satisfy the requirements of CEQA (Public Resources Code Section 21000 *et seq.*) and the CEQA Guidelines, as amended (California Code of Regulations, Title 14, Division 6, Chapter 3, Section 15000 *et seq.*). The document(s) shall be sufficient to support all required discretionary approvals of the Project. The CONTRACTOR should be prepared to revise the document(s) to comply with the National Environmental Policy Act (NEPA) should there be a federal action (funding, permits and/or other approvals).

In general, the CONTRACTOR shall develop the strategy and work plan necessary for successful processing of both draft and final versions of the EIR. The CONTRACTOR shall assume that they will assist the AGENCY in the preparation of CEQA Findings and other documentation necessary for certification of the EIR by the Monterey County Water Resources Agency Board of Supervisors (“Agency Board of Supervisors”), the CEQA Lead Agency for the Project.

The CONTRACTOR shall also assist the AGENCY in compliance with Assembly Bill 52 (“AB 52”). AB 52 added a variety of provisions to the CEQA statute, prescribing a stepwise process for the lead agency to consult with California Native American tribes (“Tribes”) that are traditionally

and culturally affiliated with the geographic area of a proposed project regarding potential impacts to tribal cultural resources. The CONTRACTOR shall support the Agency in outreach (e.g., preparation and distribution of Project notification letters and other information) and consultation with Tribes, when appropriate.

The tasks provided below represent general categories of work and are not intended to be all inclusive of the tasks required to support the Project. The AGENCY will rely on the expert knowledge of the CONTRACTOR to ensure that all areas of work critical to the success of this project are adequately addressed in the CONTRACTOR's proposal.

3.1 Project Management and Team Coordination

The CONTRACTOR shall provide the management and staff needed to plan, organize, direct, supervise, control and coordinate the administrative aspects of the environmental consulting work including contract and subcontract administration, accounting, purchasing, office services, personnel administration, publications support, document and drawing control administration; necessary to complete the requirements of the Contract. The CONTRACTOR shall also provide the management and qualified technical staff needed to plan, organize, direct, supervise, and perform all aspects of the environmental technical analysis.

The CONTRACTOR shall perform the following project management duties to support the AGENCY (and the Program Manager) in the performance of the scope of work for the Project:

- Kickoff meeting.
- Prepare project work plan in coordination with Agency staff and management team.
- Identify diligent budget and schedule control measures for all phases of the work.
- Develop and update a project schedule for the environmental process.
- Develop and update a cost estimate for environmental mitigation measures that may be required to be incorporated in the construction contract documents.
- Coordinate the environmental alternatives analysis with the design consultants retained by the AGENCY to perform preliminary design for the projects.
- Provide monthly progress reporting in the format specified by the AGENCY, included with the submittal of monthly invoicing. Progress reporting shall include reports from the significant sub-consultant team members. Progress reports shall identify progress made, schedule assessment and update, all impacts to schedule (if any), plan for recovery of lost time on the schedule, assessment of the budget, budget overages (if any) and plan for recovery of budget overages.
- Submit timely invoicing, including monthly invoicing from sub-consultants, in the format specified by the AGENCY.
- Utilization of a project file system, to be shared with the Project team for uniformity and for use in the CEQA administrative record.
- Implement and maintain a quality control system for deliverables.

- Participate in the Project's leadership team meetings organized by the AGENCY and Program Manager when needed (assume attendance at up to 2 virtual meetings per month).
- Prepare all deliverables in electronic format (Microsoft Word to facilitate editing draft documents) and original software format customary of environmental projects.

Deliverables: Schedules, agendas, meeting notes, progress reports in electronic format.

3.2 Project Description

The CONTRACTOR shall prepare a project description for use in the EIR analysis. The AGENCY anticipates that the design process will be advanced to approximately 60% complete by mid-2026. An accurate and complete project description will be key to the analysis of the Project and determining the potential for adverse impacts. The project description shall rely on information developed by the design team, including but not limited to the December 2025 Draft Spillway Alternatives Analysis, prepared for MCWRA by McMillen. The Project Description will also be utilized in drafting summaries for use in any public outreach and noticing.

Deliverables: Draft and Final Project Description in electronic (Word and PDF) format.

3.3 Initial Study and Notice of Preparation (NOP)

The AGENCY anticipates that an EIR is required for the Project. The CONTRACTOR shall prepare an annotated Initial Study (IS) Checklist, based on Appendix G of the CEQA Guidelines, that shall be used to identify the preliminary scope and content of the EIR. After review and approval by the AGENCY, the IS will be included as an attachment to the CEQA Notice of Preparation (NOP). Both the NOP and IS shall be submitted to the State Clearinghouse, the Monterey County Recorder, and other interested parties in electronic (PDF) format.

Deliverables: Draft and Final NOP and IS in electronic (Word and PDF) format.

3.4 EIR Preparation

The AGENCY will rely on the expert knowledge of the CONTRACTOR to ensure that all probable environmental effects of this project are adequately identified and addressed in the EIR. The analysis of each environmental resource topic will be, at a minimum, based on the expanded analysis of relevant resource topics outlined in the IS Checklist prepared under Task 3.3 (above) and input received on the NOP. The EIR will address the direct, indirect, and cumulative effects of the Project and identify measures to mitigate significant effects.

The EIR shall address any irreversible and irretrievable commitment of resources that would result from the proposed project if implemented and the growth inducement potential of the Project. The EIR must also address all cumulative effects within each area of analysis, including identification and discussion of all cumulative impacts of the project in relation to other existing

and known projects, past, present and in the foreseeable future (including the proposed Nacimiento Dam Spillway Plunge Pool Project).

The EIR needs to compare alternatives, graphically if that would be useful, and identify the environmentally superior alternative. CONTRACTOR shall work with Agency_staff and the design consultants retained by the AGENCY in the evaluation and representation of the alternatives. Alternatives must be discussed to a level required by CEQA.

3.5 Prepare Administrative Draft EIR (ADEIR)

CONTRACTOR shall conduct all environmental studies necessary to complete an accurate and defensible EIR. All relevant comments from the scoping process shall be considered in preparing the EIR. The ADEIR shall be prepared as a complete deliverable and as close to a final reproducible copy as possible to facilitate AGENCY review. The AGENCY and Program Manager will meet with the CONTRACTOR to review revisions to the ADEIR. The intent is that the AGENCY and CONTRACTOR will have worked closely together and minimal review and revision will be required to develop the Draft EIR from the ADEIR.

Deliverable: ADEIR in electronic (Word) format.

3.6 Prepare Draft EIR and Notice of Availability

Prepare an electronic screen check Draft EIR with all revisions made as required by the AGENCY, with a 5-day review period prior to public release. CONTRACTOR will prepare a Notice of Availability for posting at the time of release.

Deliverable: Draft EIR in electronic (Word and PDF) files for distribution and posting on the AGENCY website. Draft and Final Notice of Availability in electronic (Word and PDF) format for distribution and posting.

3.7 Prepare Draft Responses to Comments

Pursuant to Section 15088 of the CEQA Guidelines, the AGENCY, as the Lead Agency for the Project, is required to review and address all comments received on the Draft EIR. CONTRACTOR shall adequately address received comments in both Master and Specific comments. Each written response will paraphrase the specific comments being responded to, followed by an appropriate response in keeping with the CEQA Guidelines and current CEQA practice. All revisions to the Draft EIR made in response to comments will be compiled for inclusion in a separate section in the Final EIR.

Deliverable: Draft responses to comments will be submitted to the AGENCY in electronic (Word) format for review and comment.

3.8 Prepare Administrative Draft Final EIR

Upon receipt of AGENCY comments on the draft responses to comments document, CONTRACTOR will prepare an administrative draft Final EIR (ADFEIR). The ADFEIR will incorporate all agency revisions to the draft responses to comments, as appropriate.

Deliverable: The ADFEIR will be submitted to the AGENCY for review in electronic (Word) format.

3.9 Prepare Final EIR

CONTRACTOR will incorporate AGENCY revisions to the ADFEIR into a screencheck draft of the Final EIR. The screencheck draft will contain all final text revisions and be presented in publishable format for final review by the AGENCY. CONTRACTOR will incorporate any final revisions to the Final EIR. If needed, the AGENCY will print and circulate the Final EIR.

Deliverable: Final EIR in electronic (PDF) format for distribution and posting on the AGENCY website.

3.10 Prepare Findings, Statements of Overriding Considerations Notice of Determination, and Mitigation Monitoring & Reporting Plan

Prepare Findings

CONTRACTOR will assist the AGENCY and legal counsel in preparing the Findings of Fact in a separate Findings document. CONTRACTOR will work with the AGENCY in the development and review of the Findings of Fact. The Findings will be submitted to the AGENCY with the Final EIR for consideration and adoption by the Agency Board of Supervisors prior to project approval.

A draft findings document will be submitted to the AGENCY for review. Comments received on the Draft finding document will be incorporated into the final Findings of Fact.

Prepare Statements of Overriding Considerations

If the AGENCY chooses to approve the proposed project and the project will result in the significant environmental effects, the AGENCY will be required to state in writing the specific reasons to support its action based on information contained in the Final EIR and/or other information in the record. The Statement of Overriding Considerations will document these decisions and will be submitted with the Findings to the AGENCY for consideration and adoption by the Agency Board of Supervisors prior to project approval.

CONTRACTOR will assist the AGENCY and legal counsel in preparing a draft Statement of Overriding Considerations document which will be submitted to the AGENCY for review. Comments received on the Draft will be incorporated into the final Statement of Overriding Considerations.

Prepare Mitigation Monitoring & Reporting Plan

CONTRACTOR will develop the Mitigation Monitoring and Reporting Program (or MMRP) for all mitigation measures required in the Final EIR. The MMRP will include the proposed mitigation measures; specific actions to be carried out by the responsible party; parties responsible to implement the actions; parties responsible for monitoring the action; timing of the action; and the reporting of the results of that mitigation effort.

Deliverables: A draft MMRP will be submitted to the AGENCY for review in electronic (WORD) format. Comments received on the draft will be incorporated into the final MMRP. The MMRP will be included with the Findings for adoption by the Agency Board of Supervisors.

Prepare Notice of Determination

CONTRACTOR will prepare the CEQA Notice of Determination (NOD) within 5 working days after approval of the project and deliver it to the State Clearinghouse and the Monterey County Recorder.

Deliverables: Draft and final versions of the NOD in electronic (Word and PDF) format.

3.11 Final EIR Certification / Public Outreach

CONTRACTOR will aid in the development of and presentation of materials to support the AGENCY during its Public Hearing to consider whether to certify the Final EIR and approve the proposed project. An action item is required at both the Monterey County Water Resources Agency Board of Directors and the Monterey County Water Resources Agency Board of Supervisors to complete the certification and approval process. CONTRACTOR will also maintain the stakeholder and public agency contact database for the Project. At least ten (10) days prior to hearing, CONTRACTOR will submit responses to comments to EIR commenters.

Deliverables: Meeting materials, as needed.

3.12 Public Meetings

The CONTRACTOR shall prepare for and participate in the following meetings.

- Public Scoping Meeting for EIR. This meeting involves soliciting from the public the issues and concerns that should be addressed in the EIR. The CONTRACTOR will run this meeting using the latest industry standard graphics and presentation software and will provide all meeting materials. The CONTRACTOR will be responsible for preparing (in coordination with AGENCY staff) materials and sending notices of the meeting and preparing a summary record of the meeting's proceedings.

- Public Review Meeting for Draft EIR. The Draft EIR will be presented at this meeting. The CONTRACTOR will provide a presentation using the latest industry standard graphics and software and provided all meeting materials. The CONTRACTOR will be responsible for preparing (in coordination with AGENCY staff) and sending notices of the meeting and preparing a summary record of the meeting's proceedings. Written public comments received during the meeting will be included in the environmental record.

The AGENCY will be responsible for providing meeting rooms and preparing and distributing and legal notices.

Deliverables: Meeting notice(s) and materials; meeting summary.

3.13 Public Outreach

CONTRACTOR shall prepare a mailing list of special interest groups and other stakeholders resulting from AGENCY meetings/interviews. The CONTRACTOR will also maintain a contact management system to trace all contact with stakeholders, other agencies and members of the public at large. The CONTRACTOR will assist the AGENCY in developing protocols for public communications related to the Project, maintain a project database and will assist the AGENCY with providing information to the public including: posting on the AGENCY website and emails to stakeholders, assist in the preparation for and participation with the AGENCY staff in at least two (2) public meetings as defined in Task 3.12, and public meeting quality electronic exhibits.

Deliverables: Draft and final meeting notice(s) and materials.

3.14 Permits and Other Approvals

There is the potential that several permits/approvals will be required from local, state and federal regulatory agencies prior to Project implementation. These permits/approvals may include compliance with:

- Clean Water Act, Section 404
- Clean Water Act, Section 401
- Endangered Species Act
- California Fish and Game Code, Section 1600 *et seq.*
- California Endangered Species Act
- National Historic Preservation Act, Section 106

In addition, the California Department of Water Resources will have a role through design and construction approval since the Project is mandated by them and also under their jurisdiction.

Because of the uncertainty at this time of the extent of impacts on sensitive environmental resources, Proposers shall identify permits and approvals that will likely be required and a general

approach and estimated cost for preparing applications and obtaining the permits and other approvals.

Deliverables: Draft and final summary of permits and approvals required to implement the Project, general approach and cost estimate.

3.15 Optional Task: Prepare and Process Permit Applications

The AGENCY may request that the CONTRACTOR prepare and process permit applications. The scope and budget would be amended pursuant to Task 3.14

4. Anticipated Schedule

The AGENCY is anticipating release of the NOP in 2026 with a target date of April 2027 for the release of the Draft EIR and November 2027 for the Final EIR.

EXHIBIT A.2 – SCOPE OF WORK

ENVIRONMENTAL COMPLIANCE SERVICES

Nacimiento Dam Spillway Plunge Pool Project

- [1. Introduction](#)
- [2. Objectives](#)
- [3. Work Tasks](#)
- [4. Anticipated Schedule](#)

1. Introduction

The proposed Nacimiento Dam Spillway Plunge Pool Project (herein referred to as the “Nacimiento Project”) is intended to rehabilitate the existing Nacimiento Dam Spillway Plunge Pool, located in northern San Luis Obispo County. Completed in 1957, Nacimiento Dam is owned and operated by the Monterey County Water Resources Agency (herein referred to as the “Agency”). The energy dissipation plunge pool has suffered from erosion and bank erosion conditions have led the AGENCY to evaluate potential failure and erosion protection measures. In addition, removal and reshaping an existing plunge pool rock outcrop is under consideration to improve hydraulic flow.

According to the California Environmental Quality Act (CEQA) Guidelines Section 15378(a), a project means the whole of an action “which has the potential to result in either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment.” The Nacimiento Project proposes to remove a rock outcropping and rehabilitate the existing Nacimiento Dam Plunge Pool which will involve land modification and other physical changes to the environment. Therefore, the Scope of Work for the Nacimiento Project shall include all environmental consulting services necessary to conduct CEQA environmental review and documentation of both the direct and indirect effects of the Project. In addition, the Scope of Work will include development of a strategy to identify and obtain all permits and other approvals that will be necessary for the Project.

The Nacimiento Project will consist of the rehabilitation of an existing facility. The AGENCY will require assistance in determining an approach to the CEQA analysis as well as the level of documentation that will be required to support AGENCY decision makers as well as responsible, cooperating, and other agencies with discretion over the project. A CEQA Exemption is unlikely due to the potential for short-term construction-related impacts to water quality, biological resources, and air quality. However, the proposer shall outline any preliminary field work and data review that will be necessary to assist the AGENCY in determining the appropriate level of CEQA documentation. It is also anticipated that permits and approvals will be required from other agencies. The proposer shall provide an overview of the permits required for the Nacimiento Project and the approach and estimated timeline to acquire all necessary approvals.

The proposer shall also provide a cost estimate to perform tasks based on the Scope of Work and technical document(s) identified in Exhibit B. For the purposes of this submittal, a firm lump sum cost estimate with a schedule of values tied to milestones and deliverables identified in your project schedule and work plan shall be provided. The proposer shall include an estimate of costs related to obtaining permits and other approvals. Attach to SOQ as Appendix A.2 – Cost Proposal (Nacimiento Project).

2. Objectives

The services specified within this Scope of Work are performance-based, and the environmental consulting services firm is required to identify the specific detailed scope of work necessary to meet the objectives of the AGENCY and the projects as follows:

- 3) Prepare accurate and complete environmental documents in compliance with the CEQA Statute and Guidelines.
- 4) Identify a strategy for obtaining all permits and approvals required for construction of the Projects from all Lead, Responsible, Cooperating, Trustee, and Reviewing Agencies.

3. Work Tasks

A professional environmental services consulting firm, herein referred to as “CONTRACTOR”, shall prepare the project environmental documents necessary to satisfy the requirements of CEQA (Public Resources Code Section 21000 *et seq.*) and the CEQA Guidelines, as amended (California Code of Regulations, Title 14, Division 6, Chapter 3, Section 15000 *et seq.*). The document(s) shall be sufficient to support all required discretionary approvals of the Project.

In general, the CONTRACTOR shall develop the strategy and work plan necessary for successful processing of both draft and final versions of the environmental document. The CONTRACTOR shall also assume that they will assist the AGENCY in the preparation of any other documents necessary for use by the Monterey County Water Resources Agency Board of Supervisors (“Agency Board of Supervisors”), as the CEQA Lead Agency, prior to consideration of Project approval. This will include public notices and transmittals of documents to the State Clearinghouse and Monterey County Clerk

The CONTRACTOR shall also assist the AGENCY in compliance with Assembly Bill 52 (“AB 52”), if required. AB 52 added a variety of provisions to the CEQA statute, prescribing a stepwise process for the lead agency to consult with California Native American tribes (“Tribes”) that are traditionally and culturally affiliated with the geographic area of a proposed project regarding potential impacts to tribal cultural resources. The CONTRACTOR shall support the AGENCY in outreach (e.g., preparation and distribution of Project notification letters and other information) and consultation with Tribes, if and when appropriate.

The Work Tasks provided below represent general categories of work and are not intended to be all inclusive of the tasks required to support the Project. The AGENCY will rely on the expert knowledge of the CONTRACTOR to ensure that all areas of work critical to the success of this project are adequately addressed in the CONTRACTOR's proposal.

Project Management and Team Coordination

The CONTRACTOR shall provide the management and staff needed to plan, organize, direct, supervise, control and coordinate the administrative aspects of the environmental consulting work including contract and subcontract administration, accounting, purchasing, office services, personnel administration, publications support, document and drawing control administration; necessary to complete the requirements of the Contract. The CONTRACTOR shall also provide the management and qualified technical staff needed to plan, organize, direct, supervise, and perform all aspects of the environmental technical analysis.

The CONTRACTOR shall perform the following project management duties to support the AGENCY (and the Program Manager) in the performance of the scope of work for the Project:

- Kickoff meeting.
- Prepare project work plan in coordination with Agency staff and management team.
- Identify diligent budget and schedule control measures for all phases of the work.
- Develop and update a project schedule for the environmental process.
- Provide monthly progress reporting in the format specified by the AGENCY, included with the submittal of monthly invoicing. Progress reporting shall include reports from the significant sub-consultant team members. Progress reports shall identify progress made, schedule assessment and update, all impacts to schedule (if any), plan for recovery of lost time on the schedule, assessment of the budget, budget overages (if any) and plan for recovery of budget overages.
- Submit timely invoicing, including monthly invoicing from sub-consultants, in the format specified by the AGENCY.
- Utilization of a project file system, to be shared with the Project team for uniformity and for use in the CEQA administrative record.
- Implement and maintain a quality control system for deliverables.
- Participate in the Project's leadership team meetings organized by the AGENCY and Program Manager when requested (Assume attendance at up to 2 virtual meetings per month).
- Prepare all deliverables in electronic format (Microsoft Word to facilitate editing draft documents) and original software format customary of environmental projects.

Deliverables: Schedules, agendas, meeting notes, progress reports in electronic format.

3.2 Project Description

The CONTRACTOR shall prepare a project description for use in the EIR analysis. The AGENCY anticipates that the design process will be advanced to approximately 60% complete by mid-2026. An accurate and complete project description will be key to the analysis of the Project and determining the potential for adverse impacts. The project description shall rely on information developed by the design team. The project description will also be utilized in drafting summaries for use in any public outreach, noticing, and permit applications.

Deliverable: Draft and Final Project Description in electronic (Word) format.

3.3 CEQA Documentation

The AGENCY will rely on the expert knowledge of the CONTRACTOR to ensure that all probable environmental effects of this project are adequately identified and addressed in the environmental document. The analysis will consider, at a minimum, each environmental resource topic included in Appendix G of the CEQA Guidelines, carrying forward those that are relevant to the project in the environmental documentation. The analysis will address the direct, indirect, and cumulative effects of the Project and identify measures to mitigate significant effects, if necessary.

The CONTRACTOR shall also prepare a Mitigation Monitoring and Reporting Program (MMRP), if required.

Deliverables: Administrative Draft, Draft, Screencheck Final, and Final environmental documents and draft and final MMRP in electronic (Word and PDF) format.

3.13 Public Outreach

CONTRACTOR shall prepare a mailing list of special interest groups and other stakeholders for distribution of environmental documents. The CONTRACTOR will assist the AGENCY in developing protocols for public communications related to the Project and will assist the AGENCY with providing information to the public, including: documents formatted for posting on the AGENCY website; distribution of documents via emails to stakeholders; and preparation for and participation with AGENCY staff in two (2) Agency Board of Supervisor meetings, including the preparation of public meeting quality electronic exhibits.

Deliverables: Draft and final meeting notice(s) and materials.

3.14 Permits and Other Approvals

There is the potential that several permits/approvals will be required from local, state and federal regulatory agencies prior to Project implementation. These permits/approvals may include compliance with:

- Clean Water Act, Section 404
- Clean Water Act, Section 401

- Endangered Species Act
- California Fish and Game Code, Section 1600 et seq.
- California Endangered Species Act
- National Historic Preservation Act, Section 10
- California Department of Water Resources, Division of Safety of Dams (DSOD), **Application by others**
- Federal Energy Regulatory Commission (FERC), **Application by others**

Because of the uncertainty at this time of the extent of impacts on resources protected under these laws, Proposers shall identify permits and approvals that will likely be required, a strategy for obtaining permits, and an estimated cost for preparing applications and obtaining the permits and other approvals. It is anticipated that the CONTRACTOR will participate in pre-application meetings with regulatory agencies and assist the AGENCY in any ongoing consultations and coordination necessary to obtain permits

Deliverables: Permit strategy, draft and final permit applications.

4. Anticipated Schedule

The AGENCY is anticipating completion of the CEQA document by the end of 2027 and obtaining permits in mid-2028.

EXHIBIT B. Technical Reference Information

B.1.0 Introduction

This technical reference provides information about the Project and is presented to assist proposers in the project understanding. Technical references are available via email link; to obtain a link to the reference documents, please email: HardenE@countyofmonterey.gov and MCWater@countyofmonterey.gov.

B.1.1 San Antonio Dam Spillway Replacement Project Documents

1. San Antonio Dam Spillway Replacement Project, DRAFT Spillway Alternatives Analysis. December 2025. Prepared by McMillan for Monterey County Water Resources Agency (MCWRA).
2. State of California Division of Safety of Dams (DSOD), 2019. Letter to MCWRA. April 19, 2019.
3. State of California DSOD, 2022. Letter to MCWRA. November 18, 2022.

B.1.2 Nacimiento Dam Plunge Pool Project Documents

1. Nacimiento Dam Spillway Plunge Pool Erosion Protection Alternatives Analysis. April 2022. Prepared by AECOM for MCWRA.
2. MCWRA, Nacimiento Dam Operation Policy. Adopted February 20, 2018.

EXHIBIT C - PSA

RFQ 2026-001

**MONTEREY COUNTY WATER RESOURCES AGENCY
AGREEMENT FOR PROFESSIONAL SERVICES
WITH SURVEYORS, ARCHITECTS, ENGINEERS AND/OR DESIGN
PROFESSIONALS**

This is an agreement ("Agreement") between the Monterey County Water Resources Agency, hereinafter called "Agency," and _____, a _____ hereinafter called "CONTRACTOR".

In consideration of the mutual covenants and conditions set forth in this Agreement, the parties agree as follows:

1. **Scope of Work.** Agency hereby engages CONTRACTOR and CONTRACTOR hereby agrees to perform the services set forth in Exhibit A, in conformity with the terms of this Agreement. CONTRACTOR will complete all work in accordance with the **Scope of Work/Work Schedule set forth in Exhibit A:**
 - a) The scope of work is briefly described and outlined as follows:
 - b) The CONTRACTOR shall perform its services under this agreement in accordance with usual and customary care and with generally accepted practices in effect at the time the services are rendered. The CONTRACTOR and its agents and employees performing work hereunder are specially trained, experienced, competent, and appropriately licensed to perform the work and deliver the services required by this Agreement.
 - c) CONTRACTOR, its agents and employees shall perform all work in a safe and skillful manner and in compliance with all applicable laws and regulations. All work performed under this Agreement that is required by law to be performed or supervised by licensed personnel shall be performed in accordance with such licensing requirements.
 - d) CONTRACTOR shall furnish, at its own expense, all materials and equipment necessary to carry out the terms of this Agreement, except as otherwise provided herein. CONTRACTOR shall not use Agency premises, property (including equipment, instruments, or supplies) or personnel for any purpose other than in the performance of its obligations hereunder.
2. **Term of Agreement.** The term of this Agreement shall begin on _____ by CONTRACTOR and Agency, and will terminate on _____, unless earlier terminated as provided herein.

3. Payments to CONTRACTOR; maximum liability. Subject to the limitations set forth herein, Agency shall pay to CONTRACTOR in accordance with the fee schedule set forth in Exhibit B. The maximum amount payable to CONTRACTOR under this contract is

(\$ _____).

4. Monthly Invoices by CONTRACTOR; Payment.

- a) CONTRACTOR shall submit to Agency an invoice, in a format approved by Agency, setting forth the amounts claimed by CONTRACTOR, together with an itemized basis for such amounts, and setting forth such other pertinent information Agency may require. CONTRACTOR shall submit such invoice monthly or as agreed by Agency, but in no event shall such invoice be submitted later than 30 days after completion of CONTRACTOR's work hereunder. Agency shall certify the claim if it complies with this contract and shall promptly submit such claim to the Monterey County Auditor-Controller, who shall pay the certified amount within 30 days after receiving the invoice certified by Agency. It is understood and agreed that CONTRACTOR shall complete all work described in Exhibit A for an amount not exceeding that set forth above, notwithstanding CONTRACTOR's submission of periodic invoices.
- b) CONTRACTOR shall submit to Agency an invoice via email to WRAAccountsPayable@countyofmonterey.gov and to the Contract Administrator listed in Section 27.
- c) CONTRACTOR agrees that Agency may withhold five percent (5%) of the amount requested by CONTRACTOR from any progress payment, until such time as all goods and services are received in a manner and form acceptable to Agency.
- d) If, as of the date of execution of this Agreement, CONTRACTOR has already received payment from Agency for work which is the subject of this Agreement, such amounts shall be deemed to have been paid under this Agreement and shall be counted toward Agency's maximum liability set forth above.
- e) CONTRACTOR shall not be reimbursed for travel expenses unless expressly approved in writing in accordance with this Agreement.

5. Indemnification.

- 5.1 For purposes of the following indemnification provisions ("Indemnification Agreement"), "design professional" has the same meaning as set forth in California Civil Code section 2782.8. If any term, provision or application of this Indemnification Agreement is found to be invalid, in violation of public policy or unenforceable to any extent, such finding shall not invalidate any other term or provision of this Indemnification Agreement and such other terms and provisions shall

continue in full force and effect. If there is any conflict between the terms, provisions or application of this Indemnification Agreement and the provisions of California Civil Code sections 2782 or 2782.8, the broadest indemnity protection for the AGENCY under this Indemnity Agreement that is permitted by law shall be provided by CONTRACTOR.

5.2 Indemnification for Design Professional Services Claims: CONTRACTOR shall indemnify, defend and hold harmless Agency, its governing board, directors, officers, employees, and agents against any claims that arise out of, or pertain to, or relate to the negligence, recklessness, or willful misconduct of the CONTRACTOR, its employees, subcontractors, and agents in the performance of design professional services under this Agreement, excepting only liability arising from the sole negligence, active negligence or willful misconduct of the Agency, or defect in a design furnished by the Agency, but in no event shall the amount of such CONTRACTOR's liability exceed such CONTRACTOR's proportionate percentage of fault as determined by a court, arbitrator or mediator, or as set out in a settlement agreement. In the event one or more defendants to any action involving such claim or claims against the Agency is unable to pay its share of defense costs due to bankruptcy or dissolution of the business, such CONTRACTOR shall meet and confer with the other parties to such action regarding unpaid defense costs.

5.3 Indemnification for All Other Claims or Loss:
For any claim, loss, injury, damage, expense or liability other than claims arising out of the CONTRACTOR's performance of design professional services under this Agreement, CONTRACTOR shall indemnify, defend and hold harmless the Agency, its governing board, directors, officers, employees, and agents against any claim for loss, injury, damage, expense or liability resulting from or alleging injury to or death of any person or loss of use of or damage to property, arising from or related to the performance of services under this Agreement by CONTRACTOR, its employees, subcontractors or agents, excepting only liability arising from the sole negligence, active negligence or willful misconduct of the Agency, or defect in a design furnished by the Agency.

6. Insurance.

6.1 Evidence of Coverage:
Prior to commencement of this Agreement, the Contractor shall provide a "Certificate of Insurance" certifying that coverage as required herein has been obtained. Individual endorsements executed by the insurance carrier shall accompany the certificate. In addition, the Contractor upon request shall provide a certified copy of the policy or policies.

This verification of coverage shall be sent to the Agency. The CONTRACTOR shall not receive a "Notice to Proceed" with the work under this Agreement until it has obtained all insurance required and such, insurance has been approved by the Agency. This approval of insurance shall neither relieve nor decrease the liability of the

CONTRACTOR.

6.2 Qualifying Insurers:

All coverages, except surety, shall be issued by companies which hold a current policy holder's alphabetic and financial size category rating of not less than A VII, according to the current A.M. Best's Rating Guide or a company of equal financial stability that is approved by the Agency.

6.3 Insurance Coverage Requirements:

Without limiting CONTRACTOR's duty to indemnify, CONTRACTOR shall maintain in effect throughout the term of this Agreement a policy or policies of insurance with the following minimum limits of liability:

Commercial General Liability Insurance: including but not limited to premises and operations, including coverage for Bodily Injury and Property Damage, Personal Injury, Contractual Liability, Broad form Property Damage, Independent Contractors, Products and Completed Operations, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence, and \$2,000,000 in the aggregate.

(Note: any proposed modifications to these general liability insurance requirements shall be attached as an Exhibit hereto, and the section(s) above that are proposed as not applicable shall be lined out in blue ink. All proposed modifications are subject to Agency approval.)

Auto Liability Coverage: must include motor vehicles, including scheduled, non-owned, and hired vehicles, used in providing services under this Agreement, with a combined single limit or Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

(Note: any proposed modifications to these auto insurance requirements shall be attached as an Exhibit hereto, and the section(s) above that are proposed as not applicable shall be lined out in blue ink. All proposed modifications are subject to Agency approval.)

Workers' Compensation Insurance: if CONTRACTOR employs others in the performance of this Agreement, in accordance with California Labor Code section 3700 and with Employer's Liability limits not less than \$1,000,000 each person, \$1,000,000 each accident and \$1,000,000 each disease.

(Note: any proposed modifications to these workers' compensation insurance requirements shall be attached as an Exhibit hereto, and the section(s) above that are proposed as not applicable shall be lined out in blue ink. All proposed modifications are subject to Agency approval.)

Professional Liability Insurance: if required for the professional services being provided, (e.g., those persons authorized by a license to engage in a business or profession regulated by the California Business and Professions Code), in the amount of not less than \$1,000,000 per claim and \$2,000,000 in the aggregate, to cover

liability for malpractice or errors or omissions made in the course of rendering professional services. If professional liability insurance is written on a “claims-made” basis rather than an occurrence basis, the CONTRACTOR shall, upon the expiration or earlier termination of this Agreement, obtain extended reporting coverage (“tail coverage”) with the same liability limits. Any such tail coverage shall continue for at least three years following the expiration or earlier termination of this Agreement.

(Note: Professional liability insurance coverage is required if the contractor is providing a professional service regulated by the state. Examples of service providers regulated by the state are insurance agents, professional architects and engineers, doctors, certified public accountants, lawyers, etc. However, other professional Contractors, such as computer or software designers, technology services, and services providers such as claims administrators, should also have professional liability. If in doubt, consult with your risk or contract manager.)

If the contractor maintains broader coverage and/or higher limits than the minimums shown above, the Agency requires and shall be entitled to the broader coverage and/or higher limits maintained by the contractor.

6.4 Other Insurance Requirements.

All insurance required by this Agreement shall be with a company acceptable to the Agency and issued and executed by an admitted insurer authorized to transact Insurance business in the State of California. Unless otherwise specified by this Agreement, all such insurance shall be written on an occurrence basis, or, if the policy is not written on an occurrence basis, such policy with the coverage required herein shall continue in effect for a period of three years following the date CONTRACTOR completes its performance of services under this Agreement.

Each liability policy shall provide that the Agency shall be given notice in writing at least thirty days in advance of any endorsed reduction in coverage or limit, cancellation, or intended non-renewal thereof. Each policy shall provide coverage for Contractor and additional insureds with respect to claims arising from each subcontractor, if any, performing work under this Agreement, or be accompanied by a certificate of insurance from each subcontractor showing each subcontractor has identical insurance coverage to the above requirements.

Additional Insured Status:

The Monterey County Water Resources Agency, its officers, officials, employees, agents, and volunteers are to be covered as additional insureds on the auto liability policy for liability arising out of automobiles owned, leased, hired or borrowed by or on behalf of the Contractor. Auto liability coverage shall be provided in the form of an endorsement to the CONTRACTOR’S insurance.

The Monterey County Water Resources Agency, its officers, officials, employees, agents, and volunteers are to be covered as additional insureds on the commercial general liability policy with respect to liability arising out of work or operations

performed by or on behalf of the CONTRACTOR including materials, parts, or equipment furnished in connection with such work or operations. General liability coverage shall be provided in the form of an endorsement to the CONTRACTOR'S insurance (at least as broad as ISO Form CG 20 10 11 85 or if not available, through the addition of both CG 20 10, CG 20 26, CG 20 33, or CG 20 38; and CG 20 37 if a later edition is used).

Primary Coverage:

For any claims related to this contract, the CONTRACTOR'S insurance coverage shall be primary and non-contributory and at least as broad as ISO CG 20 01 04 13 as respects the Agency, its officers, officials, employees, agents, and volunteers. Any insurance or self-insurance maintained by the Agency, its officers, officials, employees, agents, or volunteers shall be excess of the CONTRACTOR'S insurance and shall not contribute with it. This requirement shall also apply to any Excess or Umbrella liability policies.

Workers' Compensation Waiver of Subrogation:

The workers' compensation policy required hereunder shall be endorsed to state that the workers' compensation carrier waives its right of subrogation against Agency, its officers, officials, employees, agents, or volunteers, which might arise by reason of payment under such policy in connection with performance under this Agreement by CONTRACTOR. Should CONTRACTOR be self-insured for workers' compensation, CONTRACTOR hereby agrees to waive its right of subrogation against Agency, its officers, officials, employees, agents, or volunteers.

Prior to the execution of this Agreement by the Agency, CONTRACTOR shall file certificates of insurance and endorsements with the Agency, showing that the CONTRACTOR has in effect the insurance required by this Agreement. The CONTRACTOR shall file a new or amended certificate of insurance within five calendar days after any change is made in any insurance policy, which would alter the information on the certificate then on file. Acceptance or approval of insurance shall in no way modify or change the indemnification clause in this Agreement, which shall continue in full force and effect. CONTRACTOR shall always during the term of this Agreement maintain in force the insurance coverage required under this Agreement and shall send, without demand by Agency, annual certificates to Agency. If the certificate is not received by the expiration date, Agency shall notify CONTRACTOR and CONTRACTOR shall have five calendar days to send in the certificate, evidencing no lapse in coverage during the interim. Failure by CONTRACTOR to maintain such insurance is a default of this Agreement, which entitles Agency, at its sole discretion, to terminate this Agreement immediately.

7. Maintenance of Records. CONTRACTOR shall prepare, maintain and preserve all reports and records that may be required by federal, State, and local rules and regulations relating to services performed under this Agreement. CONTRACTOR shall retain all such records for at least five years from the date of final payment, or until any litigation relating to this Agreement is concluded, whichever is later.

8. Format of Deliverables. For this section, “Deliverables” shall mean all electronic documents CONTRACTOR provides to the Agency under this Agreement. CONTRACTOR shall ensure all Deliverables comply with the requirements of the Web Content Accessibility Guidelines (“WCAG”) 2.1, pursuant to the Americans with Disabilities Act (“ADA”). CONTRACTOR bears the burden to deliver Deliverables, such as Adobe Acrobat Portable Document Format (“PDF”) and Microsoft Office files, complying with WCAG 2.1. CONTRACTOR shall defend and indemnify the Agency against any breach of this Section. This Section shall survive the termination of this Agreement. Find more on Accessibility at this State Website: <https://webstandards.ca.gov/accessibility/>.
9. Right to Audit at Any Time. Agency officials shall have the right, at any time during regular working hours and on reasonable advance notice, to examine, monitor and audit all work performed and all records, documents, conditions, activities and procedures of CONTRACTOR or its subcontractors relating to this Agreement. Government Code section 8546.7 provides that an audit by the State Auditor General may be performed up to three years after the final payment under any contract involving the expenditure of public funds in excess of \$10,000.
10. Confidentiality; Return of Records. CONTRACTOR and its officers, employees, agents, and subcontractors shall comply with all federal, State and local laws providing for the confidentiality of records and other information. To the extent permitted by applicable law and regulations, CONTRACTOR shall maintain confidentiality with respect to Agency 's well database and other water use data.

CONTRACTOR shall not disclose any confidential information received from Agency or prepared in connection with the performance of this Agreement without the express permission of Agency. CONTRACTOR shall promptly transmit to Agency all requests for disclosure of any such confidential information. CONTRACTOR shall not use any confidential information gained through the performance of this Agreement except for the purpose of carrying out CONTRACTOR's obligations hereunder. When this Agreement expires or terminates, CONTRACTOR shall return to Agency all records, which CONTRACTOR utilized or received, from Agency to perform services under this Agreement.

11. Termination. Either party may terminate this Agreement by giving written notice of termination to the other party at least thirty (30) days prior to the effective date of termination, which date shall be specified in any such notice. In the event of such termination, the amount payable hereunder shall be reduced in proportion to the services provided prior to the effective date of termination. Agency may terminate this Agreement at any time for good cause effective immediately upon written notice to CONTRACTOR. "Good cause" includes, without limitation, the failure of CONTRACTOR to perform the required services at the time and in the manner provided herein. If Agency terminates this Agreement for good cause, Agency may be relieved of the payment of any consideration to CONTRACTOR, and Agency may proceed with the work in any manner, which it deems proper. Costs incurred by Agency thereby shall be deducted from any sum due CONTRACTOR.

12. Amendments and Modifications. No modification or amendment of this agreement shall be valid unless it is set forth in writing and executed by the parties.
13. Non-Discrimination. Throughout the performance of this Agreement, CONTRACTOR will not unlawfully discriminate against any person because of race, color, religion, gender, national origin, ancestry, physical disability, medical condition, marital status, age older than 40, or sexual orientation, gender identity or any other status protected under federal, state or local law, either in CONTRACTOR's employment practices or in the furnishing of services to recipients. CONTRACTOR shall ensure that the evaluation and treatment of its employees and applicants for employment and all persons receiving and requesting services are free of such discrimination. CONTRACTOR shall comply fully with all federal, State and local laws and regulations which prohibit discrimination. The provision of services primarily or exclusively to any target population designated herein shall not be deemed prohibited discrimination.
14. Independent Contractor. In its performance under this Agreement, CONTRACTOR is at all times acting and performing as an independent CONTRACTOR and not an employee of Agency. No offer or obligation of employment with Agency is intended in any manner, and CONTRACTOR shall not become entitled by virtue of this Agreement to receive from Agency any form of benefits accorded to employees including without limitation leave time, health insurance, workers compensation coverage, disability benefits, and retirement contributions. CONTRACTOR shall be solely liable for and obligated to pay directly all applicable taxes, including without limitation federal and State income taxes and social security arising out of CONTRACTOR's performance of this Agreement. In connection therewith, CONTRACTOR shall defend, indemnify, and hold harmless Agency from any and all liability, which Agency may incur because of CONTRACTOR's failure to make such payments.
15. Delegation of Duties; Subcontracting. CONTRACTOR is engaged by Agency for its unique qualifications and abilities. CONTRACTOR may not, therefore, delegate any of its basic duties under this Agreement, except to the extent that delegation to CONTRACTOR's employees is contemplated herein. No work shall be subcontracted without the written consent of Agency, except as provided in this Agreement or its attachments. Notwithstanding any subcontract, CONTRACTOR shall continue to be liable to Agency for the performance of all work hereunder. CONTRACTOR shall not assign, sell, mortgage or otherwise transfer its interest or obligations in this Agreement without Agency's prior written consent.
16. Agency's Rights in Work Product. All original materials prepared by CONTRACTOR in connection with its work hereunder -- including but not limited to computer codes, customized computer routines developed using proprietary or commercial software packages, reports, documents, maps, graphs, charts, photographs and photographic negatives -- shall be the property of Agency and shall be delivered to Agency prior to final payment. CONTRACTOR may utilize any existing materials developed by CONTRACTOR prior to commencement of work under this Agreement, which materials shall remain the property of CONTRACTOR.

17. Independent Contractor Compliance with Government Code Section 1097.6(c). This section applies to those situations when a contractor/consultant is awarded a Contract for a preliminary phase of a project, with future phases to be bid separately. This section does not apply to those situations when a Contract is awarded for multiple phases of a project under a single contract/proposal. When applicable, and as described below, CONTRACTOR's duties and services under this Agreement shall not include preparing or assisting the Agency with any portion of the Agency's preparation of a request for proposals, request for qualifications, or any other solicitation regarding a subsequent or additional contract with the Agency. The Agency shall at all times retain responsibility for public contracting, including with respect to any subsequent phase stemming from this Agreement. CONTRACTOR's participation in the planning, discussions, or drawing of project plans or specifications shall be limited to conceptual, preliminary, or initial plans or specifications. CONTRACTOR shall cooperate with the Agency to ensure that all bidders for a subsequent contract on any subsequent phase of this project, if applicable, have access to the same information, including all conceptual, preliminary, or initial plans or specifications prepared by CONTRACTOR pursuant to this Agreement.
18. Compliance with Terms of Federal or State Grant. If any part of this Agreement has been or will be funded pursuant to a grant from the federal or State government in which Agency is the grantee, CONTRACTOR shall comply with all provisions of such grant applicable to CONTRACTOR's work hereunder, and said provisions shall be deemed a part of this Agreement as though fully set forth herein.
19. Conflict of Interest. CONTRACTOR warrants that it presently has no interest and shall not acquire any interest during the term of this Agreement, which would directly or indirectly conflict in any manner or to any degree with its full and complete performance of all services under this Agreement.
20. Governing Laws. This Agreement is entered into in the County of Monterey, State of California, and shall be construed and enforced in accordance with the laws of the State of California. The parties hereby agree that the County of Monterey shall be the proper venue for any dispute arising hereunder.
21. Compliance with Applicable Law. The parties shall comply with all applicable federal, state, and local laws and regulations in performing this Agreement.
22. Construction of Agreement. The parties agree that each party has fully participated in the review and revision of this Agreement and that any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply in the interpretation of this Agreement or any exhibit or amendment. To that end, it is understood and agreed that this Agreement has been arrived at through negotiation, and that neither party is to be deemed the party which prepared this Agreement within the meaning of Civil Code section 1654. Section and paragraph headings appearing herein are for convenience only and shall not be used to interpret the terms of this Agreement.

23. Waiver. Any waiver of any term or condition hereof must be in writing. No such waiver shall be construed as a waiver of any other term or condition herein.
24. Successors and Assigns. This Agreement and all rights, privileges, duties and obligations hereunder, to the extent assignable or delegable, shall be binding upon and inure to the benefit of the parties and their respective successors, permitted assigns and heirs.
25. Contractor. The term “CONTRACTOR” as used in this Agreement includes CONTRACTOR’s officers, agents, and employees acting on Contractor’s behalf in the performance of this Agreement.
26. Interpretation of Conflicting Provisions. In the event of any conflict or inconsistency between the provisions of this Agreement and the provisions of any exhibit or other attachment to this Agreement, the provisions of this Agreement shall prevail and control.
27. Time is of the Essence. The parties mutually acknowledge and agree that time is of the essence with respect to every provision hereof in which time is an element. No extension of time for performance of any obligation or act shall be deemed an extension of time for performance of any other obligation or act, nor shall any such extension create a precedent for any further or future extension.
28. Contract Administrators.

CONTRACTOR's designated principal responsible for administering CONTRACTOR's work under this Agreement shall be:

Agency’s designated administrator of this Agreement shall be:

29. Notices. Notices required under this Agreement shall be delivered personally or by electronic facsimile, or by first class or certified mail with postage prepaid. Notice shall be deemed effective upon personal delivery or facsimile transmission, or on the third day after deposit with the U.S. Postal Service. CONTRACTOR shall give Agency prompt notice of any change of address. Unless otherwise changed according to these notice provisions, notices shall be addressed as follows:

TO AGENCY	TO CONTRACTOR
Name: _____	Name: _____
Address: _____	Address: _____
Telephone: _____	Telephone: _____
Fax: _____	Fax: _____
E-Mail: _____	E-Mail: _____

30. Electronic Deliverables. Where feasible, all reports, documents and other printed information provided to the Agency pursuant to this Agreement shall be submitted in both written and Electronic formats.
31. Non-exclusive Agreement. This Agreement is non-exclusive and both parties reserve the right to contract with other entities for the same or similar services.
32. Execution of Agreement. Any individual executing this Agreement on behalf of an entity represents and warrants that he or she has the requisite authority to enter into this Agreement on behalf of such entity and to bind the entity to the terms and conditions hereof. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same agreement.
33. Exhibits. The following Exhibits are attached hereto and incorporated by reference:

Exhibit A - Scope of Work/ Work Schedule

Exhibit B - Fee Schedule
34. Entire Agreement. As of the effective date of this Agreement, this document, including all exhibits hereto, constitutes the entire agreement between the parties, and supersedes any and all prior written or oral negotiations and representations between the parties concerning all matters relating to the subject of this Agreement.

[Remainder of this page blank]

IN WITNESS WHEREOF, AGENCY and CONTRACTOR execute this agreement as follows:

**MONTEREY COUNTY
WATER RESOURCES AGENCY**

CONTRACTOR

By: _____
Ara Azhderian, General Manager

Date: _____

By: _____

Name & Title

Date: _____

By: _____

Name & Title

Date: _____

* INSTRUCTIONS: If CONTRACTOR is a corporation (including limited liability and nonprofit corporations), the full legal name of the corporation shall be set forth together with the signatures of two specified officers. If CONTRACTOR is a partnership, the name of the partnership shall be set forth together with the signature of a partner with authority to execute this Agreement on behalf of the partnership. If CONTRACTOR is contracting in an individual capacity, the individual shall set forth the name of his or her business, if any, and shall personally sign the Agreement.

(_____
Agreement/Amendment No # (_____))

Approved as to form ¹:

Approved as to fiscal provisions:

Chief Assistant County Counsel

Administrative Analyst

Date: _____

Date: _____

County Counsel – Risk Manager:

Auditor-Controller ²:

Date: _____

Date: _____

¹ Approval by County Counsel is required, and/or when legal services are rendered

² Approval by Auditor-Controller is required

EXHIBIT A

SCOPE OF WORK/WORK SCHEDULE

Project ID:

EXHIBIT B
FEE SCHEDULE

Project ID:

Monterey County Water Resources Agency
RFQ 2026-001
Addendum No. 1
May 20, 2026

1. SOQ Deadline Extension:

The Monterey County Water Resources Agency (MCWRA or Agency) is extending the deadline for Statements of Qualifications (SOQs) for RFQ No. 2026-001 from Friday May 29, 2026 to **Monday, June 15, 2026 at 9 am PST.** All SOQs must be submitted electronically to HardenE@countyofmonterey.gov and MCWater@countyofmonterey.gov.

2. The updated Schedule of Events is as follows:

This is the planned schedule, all in PST:

Release of RFQ	April 16, 2026
Deadline for Written Questions	May 8, 2026 by 5:00 p.m.
Responses to Questions	May 20, 2026
<i>Proposals are Due</i>	<i>June 15, 2026 at 9:00 a.m.</i>
<i>Interviews (if held)</i>	<i>July 1 – July 10, 2026</i>
<i>Negotiation of Contract Terms</i>	<i>July 2026</i>
<i>Agency Committees/ Board of Directors</i>	<i>August 2026</i>
<i>Notice to Proceed</i>	<i>September 1, 2026</i>

All dates are subject to change at the discretion of MCWRA

3. Questions received (Q) and Answers (A):

Q1: Can the resumes be submitted as an appendix and excluded from the 20- or 30-page limit? If this is not permissible, would concise summaries of the proposed personnel's experience be acceptable?

A1: The SOQ should clearly identify the Project Manager and other key personnel within the body of the SOQ. Resumes may be included as appendices and be excluded from the 20-30 page count. Resumes shall not exceed two pages per person.

Q2: Reference Projects: For projects where proposers have provided multiple services and may be providing ongoing services (e.g., construction management), should the start and end dates align with the time period for CEQA/NEPA review?

A2: Please limit the relevant experience dates to the CEQA/NEPA and permitting phases.

Q3: Project Manager experience: Would it be acceptable for the Project Manager to have 10 years of experience managing heavy civil construction projects that are not specifically related to water conveyance facilities?

*A3: No. "CONTRACTOR's project manager, including any subsequent project managers, shall have a minimum of ten (10) years' experience in managing the environmental compliance and approvals of heavy civil construction projects **involving** water conveyance facilities."*

Q4: For San Antonio Dam Spillway Replacement, tasks 3.14 and 3.15 seem to conflict. Should the consultant propose likely permits and estimated cost for obtaining permits and other approvals, or would that scoping happen once the regulatory needs are better understood?

A4: Task 3.14 was intended as an identification phase, i.e., identify permit requirements and provide general approach. Optional Task 3.15 is intended to implement permit applications (prepare, submit and support MCWRA in obtaining permits).

Q5: For the San Antonio Dam project, please confirm that a NEPA scope, cost, and schedule is not being requested at this time.

A5: Until a federal lead agency is identified, this task cannot be scoped; however, Contractor should demonstrate experience with NEPA and federal agency process.

Q6: For the San Antonio Dam project, for purposes of developing a cost estimate, which topics should we assume will be evaluated in the EIR?

A6: A list of specific topics was not included since 1) a qualified Contractor should be able to identify likely potential impacts of the Projects based on supplemental info provided, and 2) it is stated the Contractor will need to prepare a CEQA checklist (Task 3) which will be based on Appendix G "to identify the preliminary scope and content of the EIR."

Q7: For the San Antonio Dam project, please confirm the deliverables for Task 3.6 should include a Screen check Draft EIR and Public Draft EIR, per the description above the deliverables list.

A7: Yes, as noted under task 3.6, it is expected that a screen check Draft EIR will be provided for review (electronic format only) and will be followed by the public Draft EIR. Please note that both should be ADA compliant.

Q8: For the San Antonio Dam project, please confirm the deliverables for Task 3.9 should include a Screen check Final EIR and Public Final EIR, per the description above the deliverables list.

A8: Yes, Task 3.9, it is expected that a screen check Final EIR will be provided for review (electronic format only) and will be followed by the Public Final EIR. Please note that both should be ADA compliant.

Q9: For the San Antonio Dam project, please confirm that the environmental consultant is expected to develop cost estimates for mitigation measures, and how detailed those estimates need to be. Typically this is done by the design team or cost estimator.

A9: Some mitigation measures will require engineering expertise. Mitigation cost estimates should be limited to biological, cultural and similar. Collaboration and coordination with the design team is expected within this task for items requiring engineering cost estimates.

Q10: For the Nacimiento Dam project, please confirm “environmental documents” include an NOP, Initial Study, Draft EIR, Final EIR, MMRP, Findings, SOC (if needed), and NOD, similar to the San Antonio Dam project. Many of these documents are not explicitly listed in the scope or deliverables.

A10: The RFQ states "the Agency will require assistance in determining an approach to the CEQA analysis as well as the level of documentation that will be required to support Agency decision makers as well as responsible, cooperating, and other agencies with discretion over the project."

Q11: For the Nacimiento Dam project, should the consultant include likely permits and estimated cost for obtaining permits and other approvals as part of this proposal, or would that scoping happen once the proposer is under contract and the regulatory needs are better understood?

*A11: “Proposers shall identify permits and approvals that will **likely** be required, a strategy for obtaining permits, and an estimated cost for preparing applications and obtaining the permits and other approvals.”*

The Nacimiento Project Task 3.14 was intended to identify permit requirements, provide general approach, and to implement permit applications (prepare, submit and support MCWRA in obtaining permits).

This is different than the San Antonio Scope where there is a separation of an identification task (Task 3.14) and an optional task (Task 3.15) for implementation of permit applications.

Q12: For the Nacimiento Dam project, if an Initial Study is desired to scope the EIR, which topics should we assume will be evaluated in the EIR, for cost estimation purposes?

A12: The RFQ states that "The AGENCY will rely on the expert knowledge of the CONTRACTOR to ensure that all probable environmental effects of this project are adequately identified and addressed in the environmental document. The analysis will

consider, at a minimum, each environmental resource topic included in Appendix G of the CEQA Guidelines, carrying forward those that are relevant to the project in the environmental documentation.

Q13: For the San Antonio Dam project, please confirm that a NEPA scope, cost, and schedule is not being requested at this time.

A13: Please demonstrate expertise now, scope and cost will be determined at a later time.

Q14: Is the contract expected to be a time & materials contract or fixed fee contract?

A14: The contract is expected to be task based and therefore, time and materials by task as described and outlined in the scope of work.

Q15: Is invoicing expected to be standard time & materials billing or on a percent complete billing basis?

A15: Please see response A14.

Q16: Can you provide a list of what other technical reports that have been done? Do you have Geotech and Hydrology/Drainage studies?

A16: Please see Exhibit B, Technical References for information regarding existing studies and needed studies related to each project. The Agency has all historical reports completed to date for each facility; however, that information is deemed critical infrastructure information and will only be shared with the selected consultant.

Q17: On page 8 of the RFP under Proposal Format Guidelines, it indicates “Proposal should be typed and should contain no more than 20 typed pages using a 12-point font size, including transmittal letter and resumes of each team member, but excluding Index/Table of Contents, tables, charts, graphic exhibits, and Appendices.” Is the inclusion related to the page count or the font size?

*A17: SOQ **typed content** shall not exceed the equivalent of 20 typed pages with 12-point font size. Pages/sections that will not be counted against this page number maximum are Index/Table of Contents, tables, charts, graphics, exhibits, and Appendices. Resumes may be included as appendices per Q1 and A1 of this Addendum, but shall not exceed two pages per person.*

Q18: On page 9 under Staffing, it requests the anticipated hours of service of each individual. Is this information to be included in this section in addition to the Cost Proposal?

A18: Yes. Per the Evaluation Criteria, the Cost Proposal is scored independently.

4. ADA Compliance

All deliverables for both the San Antonio Project and Nacimiento Project shall be ADA compliant at time of submission to the Agency.

5. San Antonio Spillway Replacement Project

The San Antonio Spillway Replacement Project proposed design configuration has not been accepted by Division of Safety of Dams.



San Antonio Dam Spillway Replacement and Nacimiento Plunge Pool Projects: Environmental Consulting Services

No. 2026-001

Monterey County Water Resources Agency

JUNE 15, 2026

Submitted by:

GEI Consultants, inc.

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San Antonio Project

2. Satisfying Requirements of the Scope of Work

This section discusses in detail the GEI Team's approach to satisfying the requirements of the scope of work provided in the RFQ for the San Antonio Project. This section does not repeat scope or deliverables listed in the RFQ; those items will be completed as stated in the RFQ. GEI has not added approach text for Tasks 6, 8, 9, and 11. Additional deliverables identified by GEI for the San Antonio Project are listed below.

List of Additional Deliverables identified by GEI for the San Antonio Project

- **Task 4.1:** Public Scoping Comments Table Summary, CEQA Strategy Meeting Notes
- **Task 4.2:** Draft and Final Cultural Resources Technical Memorandum, AB52 Notification Guidance (including notification letters)
- **Task 4.3:** Draft and Final Habitat Maps
- **Task 4.4:** Draft and Final Jurisdictional Delineation
- **Task 13:** Mailing List, Contact Management System, Four Project Update Narratives, Mailing up to 30 Copies Each of the NOP and NOA

Task 1 Project Management and Team Coordination

GEI's project manager and CEQA/NEPA lead, permitting lead, and EIR manager will attend a kick-off meeting with MCWRA staff to address the project work plan and schedule and to establish processes, including communication preferences by MCWRA staff and a uniform project file system. Ryan Jolley and two additional key staff are also prepared to attend twice-monthly, hour-long virtual status meetings with MCWRA and Program Manager throughout the duration of the technical studies and CEQA phase. If permitting support is required after the CEQA phase, additional monthly meetings will be held.

Ryan Jolley will maintain the project budget and schedule developed for this proposal, including all tasks and deliverables, key milestones, and decision points. He will manage the project, administer the contract, oversee task authorizations, coordinate with the MCWRA team to complete this scope of work, review all draft and final deliverables, and prepare monthly progress reports and invoices. GEI will set up a project-specific MS Teams channel or SharePoint site and provide MCWRA staff access for data collection, file sharing, and document reviews. GEI will develop a preliminary cost estimate for environmental mitigation measures and update the cost estimate as mitigation is developed, the Mitigation Monitoring and Reporting Program (MMRP) is completed, and any necessary permits are obtained.

Task 2 Project Description

The project description will be based on the 60% design, anticipated to be completed in mid-2026, and other information developed by MCWRA's design team. The project description will describe the project location, project objectives, existing facilities, facilities to be removed, proposed spillway components, and other components and their characteristics, construction (sequence, work zones, access, equipment and storage areas, haul routes, number of workers, number of days and times, and methods), any new or different maintenance activities, and anticipated permits and approvals. GEI will work with MCWRA and the design team to identify and describe the logistical aspects of project construction. The project description will contain figures of the regional project components, spillway components and other components, and construction areas, and will include design schematics, cross sections, and other illustrations as needed to clearly convey information.

Task 3 Initial Study and Notice of Preparation

In accordance with the State CEQA Guidelines Section 15082, GEI will prepare an NOP, including a summary project description, project location map, and a list of the potential environmental effects that will be evaluated in the Draft EIR—provided by the Initial Study checklist. GEI will prepare the Initial Study in accordance with State CEQA Guidelines Appendix G Environmental Checklist (Appendix G) using the Spillway Alternatives Analysis, additional project information provided by MCWRA, and information on environmental conditions in publicly available databases, documents, and MCWRA’s Interlake Tunnel and Spillway Modification Project Draft EIR. Following an initial kickoff to introduce GEI’s technical specialists to the project details and existing reports and information, GEI’s project team will meet to verbally discuss analytical approaches to address the questions in the Appendix G checklist, focus the analysis, and begin identifying mitigation strategies for impacts anticipated to be significant. The Initial Study will provide substantial evidence to support conclusions for topics that will be focused out of the EIR based on the lack of potentially significant impacts. For topics analyzed in detail in the EIR, the Initial Study will identify the potential for significant impacts and describe the framework that will be used in the EIR analysis.

Task 4 EIR Preparation

Task 4.1 Finalize CEQA Strategy (New Subtask)

After the public scoping period has closed, GEI will prepare a table summarizing comments received on the NOP and identifying relevant EIR sections where the comment will be addressed. GEI’s project manager and EIR manager (see staffing section for description of roles) will attend a meeting with MCWRA staff to discuss the strategy for the EIR considering the conclusions of the Initial Study and any comments received on the NOP. This discussion will outline the analytical approach and anticipated mitigation measures for those topics identified for detailed EIR analysis based on the Initial Study. The meeting discussion will also include an initial outline of alternatives for consideration, based on the design work already done, and the potential for alternatives to meet the CEQA requirement of reducing one or more significant project impacts. We will also discuss the likely cumulative projects and scope of the cumulative analysis. The intent of this approach meeting is to confirm alignment of GEI’s CEQA strategy with MCWRA’s expectations and minimize the need for substantial changes between the Administrative Draft and Draft EIR based on MCWRA’s comments, as prioritized in the RFQ. The meeting conclusions and approach will be documented in a meeting summary, and GEI will begin preparation of the Administrative Draft EIR.

Task 4.2 Prepare Cultural Resources Technical Memorandum and Support AB 52 Consultation (New Subtask)

GEI will prepare a Cultural Resources Technical Memorandum to support the project throughout CEQA. The technical memorandum will present methods and findings of a California Historical Resources Information System (CHRIS) records search, a desktop geoarchaeological review, archival research, a pedestrian field survey covering up to 20 acres for the San Antonio Project, a search of the Sacred Lands File with the Native American Heritage Commission, outreach with historical societies, evaluation of resources for inclusion in the CRHR, and an impacts assessment. GEI’s cost estimate includes evaluating the following five historic era-built environment resources that will be evaluated for inclusion in the CRHR: San Antonio Dam, two road segments, a building, and a pad that have been identified within the preliminary 20-acre study area. GEI’s cost estimate assumes that there are no archaeological resources within the study area and the five built environment resources within the project area will be recommended not eligible for inclusion in the CRHR.

Additionally, GEI will assist MCWRA by providing an Assembly Bill (AB) 52 assistance package that includes AB 52 notification letters, a correspondence tracker, and applicable legislation to assist MCWRA implement AB 52. After receipt of notification letters, Native American contacts have 30 days to reply to a request for consultation under AB 52. GEI’s cost estimate assumes no tribal responses will be received to the notification

letters, no Tribal Cultural Resources will be identified, and the environmental document will result in no impact to tribal cultural resources.

Task 4.3 Conduct Biological Resources Survey (New Subtask)

GEI will review available information on sensitive biological resources that may be present in the vicinity of the project site, including information from the California Natural Diversity Database; the California Native Plant Society Inventory of Rare, Threatened, and Endangered Plants of California; the species list from the U.S. Fish and Wildlife Service (USFWS) Information for Planning and Consultation website; and other relevant sources. GEI will then conduct a reconnaissance-level survey and site evaluation covering up to 20 acres for the San Antonio Project, focusing on characterizing site conditions and onsite habitats, identifying any potential sensitive habitats, confirming habitat suitability for various special-status species, and evaluating adjacent land uses and habitats that could be impacted by the project. GEI's cost estimate does not include protocol-level surveys for special-status plant or wildlife species. A biological resources survey will be conducted by two GEI biologists in a 10-hour field day, excluding travel time. A separate biological technical report is not necessary for the San Antonio Project and biological information and analysis will be incorporated into the Draft EIR.

Task 5 Prepare Administrative Draft EIR

GEI will prepare an Administrative Draft EIR using a template approved by MCWRA and following the requirements of the State CEQA Guidelines for EIRs. The EIR contents and outline will follow the strategy and approach outlined in Task 4.1 based on discussion with MCWRA staff.

Environmental Analysis: GEI assumes that the following resource topics are not evaluated in the Draft EIR because they would not result in potentially significant impacts and are dismissed from further analysis in the IS: agriculture and forestry, energy, land use and planning, mineral resources, population and house, public services, recreation, transportation, and utilities and service systems. For the remaining resource topics carried through to the Draft EIR for further environmental analysis, GEI will provide a detailed description of the environmental and regulatory setting (focusing on information supporting the impact analysis), significance criteria, methods used to evaluate impacts, relevant comments from public scoping, and detailed impact analyses. If a significant impact could occur, feasible mitigation measures will be identified to reduce the impact to a less-than-significant level to the extent possible. Information from the Interlake Tunnel and Spillway Modification Project Draft EIR will be used to the extent possible.

Cumulative Impacts: GEI will identify projects for the cumulative impacts analysis based on the Interlake Tunnel and Spillway Modification Project Draft EIR, additional projects identified by MCWRA and the design team in Task 4.1, and review of other publicly available information. The cumulative impact analysis will discuss the incremental effects of the project within the context of cumulative impacts at a lesser level of detail than the effects of the project alone. Information from the Interlake Tunnel and Spillway Modification Project Draft EIR will be used to the extent possible for consistency.

Alternatives Analysis: The alternatives analysis in the EIR will discuss the alternatives development/screening process employed by MCWRA for the project, identify alternatives considered but dismissed, and evaluate a reasonable range of alternatives considered, including the No Project Alternative, in comparison to the proposed project. Working closely with MCWRA, including as described in Task 4.1, GEI will identify a reasonable range of alternatives with the potential to reduce or avoid one or more of the significant impacts of the project, as required by CEQA. We will identify alternatives using the Spillway Alternatives Analysis and will consider new alternatives if needed to consider a reasonable range required by CEQA—all alternatives considered in detail will meet most of the basic project objectives and reduce at least one significant impact of the project.

GEI's Approach to Specific Resource Topics with Potential for Significant Impacts

- **Air Quality** – The primary air quality issue will be criteria air pollutant emissions during construction activities. GEI will use a standard air quality model to estimate construction-related emissions of criteria air pollutant for comparison to Monterey Bay Unified Air Pollution Control District requirements and thresholds for construction emissions. We will qualitatively evaluate short-term exposure of any nearby sensitive receptors to air pollutants.
- **Biological Resources** – GEI will incorporate the findings from the biological resource background research and surveys conducted in Task 4.3 into the EIR section. The project may result in impacts to nesting birds. GEI will incorporate the findings from Task 4.3 to determine impacts to and potential mitigation for special-status species affected by the project.
- **Cultural Resources** – The primary cultural resources issue appears to be the built environment historic era cultural resources within the preliminary project area that have not yet been evaluated for inclusion in the CRHR. GEI will incorporate the findings from Task 4.2 to determine impacts to and potential mitigation for cultural resources.
- **Greenhouse Gas Emissions (GHG)** – GEI will develop an estimate of construction GHG emissions from the air quality model and will identify amortized emissions over the anticipated lifespan of the project. We anticipate that emissions can be mitigated with construction BMPs, offsite measures, and purchase of qualified credits, if needed.
- **Hydrology/Water Quality** – This analysis will focus on hydraulic changes from the project, primarily the potential for direct or indirect impacts during construction activities, such as changes in runoff and discharge of pollutants in stormwater.
- **Noise and Vibration** – The project location is in an area where existing noise levels are low, and there are few sensitive receptors nearby. GEI will conduct noise modeling, if necessary, to evaluate impacts from construction-related noise and vibrations to sensitive noise receptors within distances of applicable noise and vibration thresholds of significance.

Task 6 Prepare Draft EIR and NOA (see RFQ scope)

Task 7 Prepare Draft Responses to Comments

GEI will review, organize, and bracket comments received on the Draft EIR and identify comments requiring discussion with MCWRA. GEI will prepare responses to comments raising significant environmental issues, as required by CEQA. The level of detail in the response will be dependent on the nature and level of detail of the comment. General responses will be provided to general comments, and master responses may be developed for multiple comments with similar topics. Responses will include reference to substantial evidence needed to defend the EIR in court. GEI will attend a meeting with MCWRA to review and discuss written and verbal comments received on the EIR and proposed responses and edits to the Draft EIR text.

Task 8 Prepare Administrative Final EIR (see RFQ scope)

Task 9 Prepare Final EIR (see RFQ scope)

Task 10 Prepare Findings, Statements of Overriding Considerations, NOD, and MMRP

The Draft EIR analysis will identify and discuss resource benefits, as well as impacts required by CEQA, so that these benefits can be used in the Findings, and Statement of Overriding Considerations if required, for a more robust summary of not only project impacts but project benefits as well.

Task 11 Final EIR Certification/Public Outreach (see RFQ scope)

Task 12 Public Meetings

As required in the RFQ, GEI will notice, prepare materials for, and attend a public scoping meeting hosted by MCWRA during the public scoping period and a public review meeting for the Draft EIR. For each meeting, GEI will prepare a PowerPoint presentation highlighting the project, EIR preparation and conclusions, and the CEQA process for use during the meeting. Prior to each public meeting, GEI will review the presentations and meeting details in a meeting with MCWRA staff and will make updates based on input received. Following each public meeting, GEI will prepare a summary of the meeting proceedings.

Task 13 Public Outreach

GEI will assist MCWRA with public outreach, including developing a mailing list of interest groups and stakeholders, maintaining a contact management system to document interactions with agencies and the public, and provide up to four updates for posting on MCWRA website and distributing to interested parties via email. GEI will also mail notices, including the NOP and NOA, on behalf of MCWRA. We have included mailing cost for up to 30 hard copies of the NOP and NOA. Our cost estimate assumes MCWRA will publish the public notice in the newspaper(s) and have electronic access available to all CEQA-related documents.

Task 14 Permits and Other Approvals

The project would necessitate careful construction staging and sequencing since impacts to environmental resources are currently unknown and uncertain. Impacts to sensitive habitats and plant and wildlife species, such as bald and golden eagles (if present) and if nest removal or abandonment were to occur, would require permits and approvals from USFWS and CDFW. However, aquatic habitat supporting fisheries and aquatic species are not present within the spillway channel and, therefore, permits from NMFS are not expected. Based on interpretation of the 2023 Clean Water Rule, as amended, the area of the concrete spillway itself and the channel between the spillway and San Antonio River proper, would not likely be considered waters of the U.S. or state by USACE and Regional Water Quality Control Board (RWQCB), respectively. However, there is uncertainty as to whether the portion of the reservoir leading to the spillway crest would be considered as jurisdictional waters by these agencies. Therefore, GEI recommends preparation of an aquatic resources delineation (ARD) to obtain formal determination of the jurisdictional status of the spillway channel and reservoir leading to the spillway crest. If these areas are considered as jurisdictional waters and the proposed project activities would result in impacts to these waters, then additional permits under CWA Sections 404 and 401 would be required. If a Section 404 permit is required, then compliance with the National Historic Preservation Act (NHPA) Section 106 would also be needed. Finally, a permit from CDFW under California Fish and Game Code Section 1602 is not expected as the spillway channel is not anticipated to be defined by CDFW as a stream.

Task 14.1 Conduct Aquatic Resources Delineation (New Subtask)

GEI will review USFWS National Wetland Inventory maps, Natural Resources Conservation Service soil survey information, U.S. Geological Survey 7.5-minute series topographic maps, and aerial photographs of the project sites in preparation for an ARD. GEI will conduct the ARD in accordance with current USACE methodologies and prepare an ARD Report that follows USACE Minimum Standards for Acceptance of Aquatic Resources Delineation Reports. The ARD will be conducted within the reservoir area leading to the spillway, the spillway proper, and the spillway channel below the flip bucket to the confluence with the San Antonio River. The delineation will accurately depict the location and extent of waters of the U.S. potentially subject to USACE jurisdiction under CWA Section 404 and waters of the state under Section 401 of the CWA or Porter-Cologne Water Quality Control Act.

Task 14.2 Prepare Permitting Strategy (Renumbered from RFQ)

If it is determined that permits are needed for the project, then GEI will develop a comprehensive environmental and regulatory compliance strategy plan that addresses both federal and state laws and regulations, specifically the federal and California Endangered Species Acts (ESAs), CWA, and Section 1602 of the California Fish and Game Code. The strategy will identify the permit types to be sought and provide potential options for satisfying habitat mitigation and other requirements.

Task 15 Optional Task: Prepare and Process Permit Applications

If permits are required based on work performed in Task 14, then GEI will prepare permit applications and support MCWRA in permit negotiations and approvals following the strategy, scope, and budget developed in Task 14.

3. Project Schedule

GEI's proposed schedule for the San Antonio Project scope of work is presented in **Figure 2**.

4. Tasks Required by MCWRA Staff

MCWRA will need to facilitate meetings with the design team for GEI to collect project information needed to prepare the EIR project description and environmental analysis. To help determine jurisdictional areas, including limits of waters of the U.S. and state, GEI will need the reservoir maximum water surface elevation boundary and any existing ARDs. In addition, MCWRA should also provide information on currently known internal and external stakeholders and their issues, and previous coordination with regulatory agencies.

5. Innovative and/or Creative Approaches

GEI's proposal for the San Antonio Project includes the following additional approaches to maximize efficient, cost-effective operations, and/or increased performance capabilities.

- Field surveys for both the San Antonio and Nacimientos Projects would be conducted during the same staff mobilization to reduce travel time and expenses.
- Leveraging significant amounts of information in MCWRA's Interlake Tunnel and Spillway Modification Project Draft EIR to prepare the Draft EIR, including information from the environmental and regulatory settings, cumulative impacts analysis, and mitigation measures (to be adapted for the project as needed).
- Using staff that have relevant experience working on the same types of projects with similar issues, which means there's no learning curve; this includes working with the same regulatory agencies and staff on other MCWRA projects.

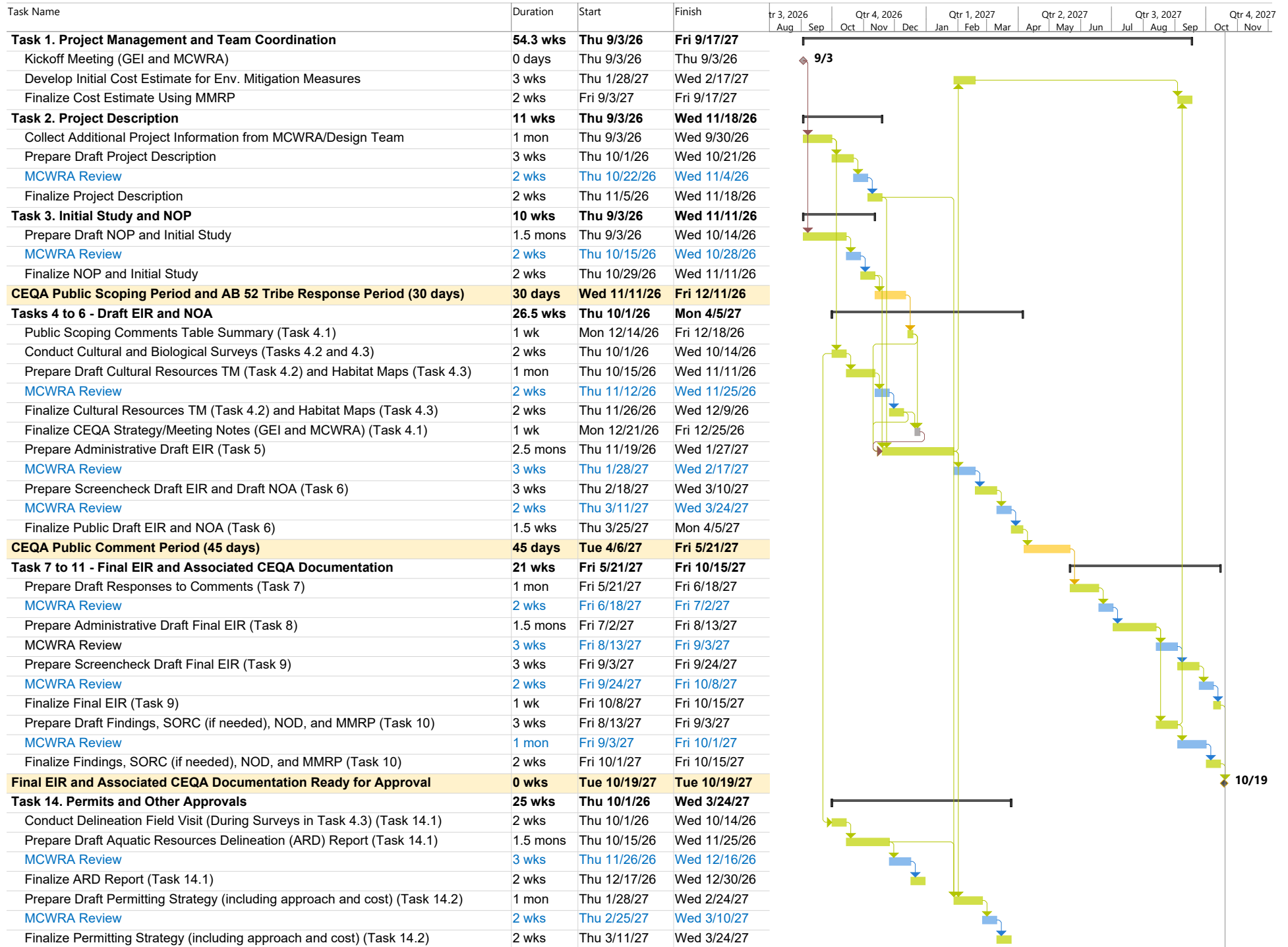
GEI's Approach to Permitting Strategy

- **Identify** permit triggers and methods and processes for obtaining required permits and authorizations.
- **Present** a detailed strategy identifying the constraints and benefits of selecting a permitting process.
- **Consider** the implications of the permitting and compensatory mitigation pathways on the objectives and schedule.
- **Summarize** general timeframes, costs, and other requirements.

Project Information Needed from MCWRA

- **Project design** – removal of existing spillway facilities, new spillway components, project footprint, and any new or different maintenance needs.
- **Construction plans** – limits of ground disturbance, access routes and any improvements, staging areas, construction schedule and sequence, construction equipment details, quantities of import and export materials, and number of workers and truck trips.
- **As built** – for existing built environment resources to conduct the cultural resources evaluation.

Figure 2. Proposed San Antonio Project Schedule



Nacimiento Project

2. Satisfying Requirements of the Scope of Work

This section discusses in detail the GEI Team's approach to satisfying the requirements of the scope of work provided in the RFQ for the Nacimiento Project. This section does not repeat scope or deliverables listed in the RFQ; those items will be completed as stated in the RFQ. Additional deliverables identified by GEI for the Nacimiento Project are listed below.

List of Additional Deliverables identified by GEI for the Nacimiento Project

- **Task 3.1:** CEQA Strategy Meeting Notes
- **Task 3.2:** Draft and Final Cultural Resources Technical Report, AB52 Notification Guidance (including notification letters)
- **Task 3.3:** Draft and Final Biological Resources Technical Report
- **Task 4:** Administrative Draft IS/MND
- **Task 13:** Mailing List, Contact Management System, 4 project update narratives, mailing up to 30 copies each of the NOP and NOA
- **Task 5:** Screencheck Draft IS/MND, Public IS/MND, Draft and Final NOI and Newspaper Notice
- **Task 6:** Draft and Final Responses to Comments
- **Task 7:** Draft and Final MMRP, Draft and Final NOC, Draft and Final NOD
- **Task 8:** Mailing List, Web-ready Documents, One set of Exhibits and Presentations
- **Task 9:** Pre-application Meeting Notes, Draft and Final CWA 404(b)(1) Alternatives Analysis (optional)
- **Optional Subtask 9.7:** Draft and Final Bald and Golden Eagle General Permit
- **Optional Subtask 9.8:** Administrative Draft and Final Draft Applicant-Prepared Environmental Assessment

Task 1 Project Management and Team Coordination

GEI's project manager and CEQA/NEPA lead, permitting lead, and IS/MND manager will attend a kick-off meeting with MCWRA staff to address the project work plan and schedule, and to establish processes, including communication preferences by MCWRA staff and a uniform project file system. Ryan Jolley and up to two additional key staff are also prepared to attend twice-monthly, hour-long virtual status meetings with MCWRA and Program Manager throughout the duration of the technical studies and CEQA phase. Additionally, during the permitting and after the CEQA phase is complete, monthly meetings will be held.

Ryan Jolley will maintain the project schedule developed for this proposal, including all tasks and deliverables, key milestones, and decision points. Ryan will oversee the task authorization and provide project management, including coordination with MCWRA team to complete this scope of work; providing review of all draft and final deliverables; and time for administration of the contract, preparing progress reports, and invoicing. GEI will set up a project specific MS Teams channel or SharePoint and provide MCWRA staff access for data collection, file sharing, and document reviews. GEI will develop a preliminary cost estimate for environmental mitigation measures and update the cost estimate as mitigation is developed, the MMRP is completed, and permits are obtained.

Task 2 Project Description

The project description will be based on the 60% design, anticipated to be complete in mid-2026, and other information developed by MCWRA's design team. The project description will describe the project location, project objectives, existing facilities, RSP bank stabilization, other components and

their characteristics, construction (sequence, work zones, access, equipment and storage areas, haul routes, number of workers, number of days and times, and methods), any new or different maintenance activities, and anticipated permits and approvals. GEI will work with MCWRA and the design team to identify and describe the logistical aspects of the project construction. The project description will contain figures of the regional project components, spillway components and other components, and construction areas, and will include design schematics, cross sections, and other illustrations as needed to clearly convey information.

Task 3 CEQA Documentation

Task 3.1 Finalize CEQA Strategy

Once the project description details have been identified and additional information has been collected, GEI will hold an internal workshop with our CEQA team to briefly review the Appendix G Checklist and identify the proposed analytical approach, likely impact conclusions, additional information to be collected, and begin to identify mitigation measures to address impacts that may be significant. Following this internal meeting, GEI's project manager and IS/MND manager (see staffing section for description of roles) will attend a meeting with MCWRA staff to confirm the type of CEQA document required for the project and the strategy for CEQA compliance; GEI assumes that an IS/MND will be the appropriate document to comply with CEQA requirements. At the meeting with MCWRA, GEI's leadership team will outline the analytical approach and anticipated mitigation measures based on the initial outline discussion with GEI's team. GEI will also seek input from MCWRA staff on stakeholders and key issues that MCWRA may have identified during the design process or other related activities. The intent of this approach meeting is to confirm alignment of GEI's CEQA strategy with MCWRA's expectations and minimize the need for substantial changes between the Administrative Draft and public IS/MND based on MCWRA's comments, as prioritized in the RFQ. The meeting conclusions and approach will be documented in a meeting summary, and GEI will begin preparation of the Administrative Draft IS/MND.

Information required to determine the type of CEQA document required for the Nacimiento Project:

- Conceptual design and construction information.
- Cultural resources records search, surveys, and initial assessment of impacts (Task 3.2).
- Assessment of potential erosion/scour
- Biological surveys, mapping, and initial assessment of impacts from construction and erosion/scour (Task 3.3).

Task 3.2 Prepare Cultural Resources Technical Report and Support AB 52 Consultation (New Subtask)

GEI will prepare a Cultural Resources Technical Report to support the project throughout CEQA and Section 106 of the NHPA. The technical report will present methods and findings of the following: a CHRIS records search, a desktop geoarchaeological review, archival research, a pedestrian field survey covering up to 20 acres for the Nacimiento Project, a search of the Sacred Lands File with the Native American Heritage Commission, outreach with historical societies, evaluation of resources for inclusion in the CRHR and National Register of Historic Places (NRHP), and an impacts and effects assessment. GEI's cost estimate includes evaluating the following six historic era-built environment resources will be evaluated for inclusion in the CRHR/NRHP: Nacimiento Dam, Nacimiento Lake Drive, River Road, Heritage Road, a day use ramp, and a building that have been identified within the preliminary 20-acre study area. GEI's cost estimate assumes that there are no archaeological resources within the study area and the six built environment resources within the study area will be recommended not eligible for inclusion in the CRHR/NRHP.

Additionally, GEI will assist MCWRA by providing an AB 52 assistance package that includes AB 52 notification letters, a correspondence tracker, and applicable legislation to assist MCWRA in implementing AB 52. After receipt of notification letters, Native American contacts have 30 days to reply to a request for consultation under AB 52. GEI's cost estimate assumes no tribal responses will be received to the notification letters, no tribal cultural resources will be identified, and the environmental document will result in no impact to tribal cultural resources.

Task 3.3 Prepare Biological Resources Technical Report (New Subtask)

GEI will review available information on sensitive biological resources that may be present in the vicinity of the project site, including information from the California Natural Diversity Database; the California Native Plant Society Inventory of Rare, Threatened, and Endangered Plants of California; the species list from the USFWS Information for Planning and Consultation website; and other relevant sources. GEI will evaluate the potential for all relevant special-status species to occur within the area of potential effect established for the project, including areas disturbed for construction and staging activities. GEI will review USFWS National Wetland Inventory maps, Natural Resources Conservation Service soil survey information, U.S. Geological Survey 7.5-minute series topographic maps, and aerial photographs of the project sites. GEI will conduct a reconnaissance-level survey and site evaluation of up to 20 acres for the Nacimiento Project, focusing on characterizing site conditions and onsite habitats, identifying any potential sensitive habitats, confirming habitat suitability for various special-status species, and evaluating adjacent land uses and habitats that could be impacted by the project. GEI will prepare a technical report to support CEQA and permitting using the information collected. GEI's cost estimate does not include protocol-level surveys for special-status plant or wildlife species.

Task 4 Prepare Administrative Draft IS/MND (New Task)

GEI will prepare an Administrative Draft IS/MND using a template approved by MCWRA and following the requirements of the State CEQA Guidelines for IS/MNDs. The IS/MND contents and outline will follow the strategy and approach outlined in Task 3.1 based on discussion with MCWRA staff. For each resource area section in the environmental analysis, GEI will provide a summary of the environmental and regulatory information supporting the impact analysis (i.e., at a much lesser level of detail than would be provided in an EIR), significance criteria, methods used to evaluate impacts, and detailed impact analyses. If a significant impact could occur, feasible mitigation measures will be identified to reduce the impact to a less-than-significant level to the extent possible. Information from the Interlake Tunnel and Spillway Modification Project EIR will be used to the extent possible. GEI will use conclusions developed in the environmental analysis to complete the mandatory findings.

GEI's Approach to Specific Resource Topics with Potential for Significant Impacts

- **Air Quality** – The primary air quality issue will be criteria air pollutant emissions during construction activities. GEI will use a standard air quality model to estimate construction-related emissions of criteria air pollutant for comparison to San Luis Obispo County Air Pollution Control District thresholds. We will qualitatively evaluate short-term exposure of any nearby sensitive receptors to air pollutants.
- **Biological Resources** – The plunge pool is suitable habitat for Central California Coast steelhead. Monitoring for steelhead was a requirement of the permits during the Nacimiento Dam Spillway Plunge Pool Emergency Repair Project. Given this, impacts to steelhead are expected due to work in the plunge pool and potentially post-project erosion/scour. Additionally, the project may result in impacts to nesting birds, turtles, and potentially amphibians. GEI will incorporate the findings from Task 3.3 to determine impacts to and potential mitigation for special-status species affected by the project.

- **Cultural Resources** – The primary cultural resources issue appears to be the built environment historic era cultural resources within the preliminary project area that have not yet been evaluated for inclusion in the CRHR and NRHP. GEI will incorporate the findings from Task 3.2 to determine impacts to and potential mitigation for cultural resources.
- **GHG Emissions** – GEI will develop an estimate of construction GHG emissions from the air quality model and will identify amortized emissions over the anticipated lifespan of the project. We anticipate that emissions can be mitigated with construction BMPs, offsite measures, and purchase of qualified credits, if needed.
- **Hydrology/Water Quality** – This analysis will focus on hydraulic changes from the project, primarily the potential for direct or indirect impacts during construction activities, such as in-water work and/or dewatering, changes in runoff and discharge of pollutants in stormwater, and post-project impacts to erosion/scour of the plunge pool bottom.
- **Noise and Vibration** – The project location is in an area where existing noise levels are low, and there are few sensitive receptors nearby. GEI will conduct noise modeling, if necessary, to evaluate impacts from construction-related noise and vibrations to sensitive noise receptors within distances of applicable noise and vibration thresholds of significance.

Task 5 Prepare Public IS/MND and NOI (New Task)

GEI will revise the Administrative Draft IS/MND based on one round of comments from MCWRA and coordinate with MCWRA to resolve any substantive comments. GEI will then prepare the Screencheck Public IS/MND and coordinate with MCWRA to resolve any remaining final comments, which are anticipated to be minor. GEI will then finalize the IS/MND for public review. GEI will also prepare a Notice of Intent (NOI) to adopt an MND. A newspaper notice will also be prepared and published for notifying the public of the NOI and public review and comment period.

Task 6: Prepare Responses to Comments (New Task)

CEQA does not require responding to comments received on an IS/MND. However, many lead agencies elect to prepare responses to comments to provide complete documentation in the CEQA Administrative Record. GEI will provide up to 40 hours of support to bracket, categorize, and prepare responses to comments for MCWRA.

Task 7 Prepare NOD, NOC, and MMRP (New Task)

An MMRP will be prepared using the mitigation measures identified in the IS/MND and satisfying requirements of CEQA Guidelines Section 15074(d). A table will be prepared that identifies each mitigation measure, implementation duration, monitoring duration, and responsibility for implementation and monitoring. A draft of the MMRP will be submitted to MCWRA for review and comment. GEI will then address comments and finalize the MMRP.

Task 8 Public Outreach (Renumbered Task)

GEI will support MCWRA with public outreach, including maintaining a mailing list for distribution of environmental documents, assisting with communication protocols for CEQA, providing documents in a format suitable for posting on MCWRA's website, and preparing for and participating in two public meetings, including developing electronic exhibits or presentations.

Task 9 Permits and Other Approvals (Renumbered Task)

Task 9.1 Prepare Permitting Strategy

GEI will develop a comprehensive environmental and regulatory compliance strategy plan that addresses both state and federal laws and regulations, specifically the federal and California ESAs, CWA, and Section 1602 of the California Fish and Game Code. The strategy will identify the permit types to be sought and provide potential options for satisfying habitat mitigation and other requirements. We assume that compensatory mitigation if needed, such as for habitat impacts and tree removal, can be mitigated through a mitigation bank, in-lieu fee program, or other means such as payment to the Resources Conservation District for implementation of their programs. GEI's scope of work for the Nacimiento Project assumes that FERC will not require an application for amendment of the license exemption.

GEI's Approach to Permitting Strategy

- **Identify** permitting triggers and methods and processes for obtaining required permits and authorizations.
- **Present** a detailed strategy identifying the constraints and benefits of permitting process.
- **Consider** the implications of the permitting and compensatory mitigation pathways on the objectives and schedule.
- **Summarize** general timeframes, costs, and other requirements.

Task 9.2 Prepare CWA Section 404 Permit Application

GEI assumes that the Ordinary High-Water Mark (OHWM) established in coordination with USACE for the Nacimiento Dam Spillway Emergency Repairs Project is the limit of jurisdiction and a separate ARD is not needed for the Nacimiento Project. It is assumed RCC would be placed below the OHWM, which would be considered as "fill" by USACE requiring a CWA Section 404 permit (404 permit). Additionally, demolition of the rock outcrop in the plunge pool may result in rock debris falling into the plunge pool depths, which would also constitute fill. GEI will first support MCWRA with arrangement of and attendance at a pre-application meeting with USACE for initial review and feedback on the project. GEI will recommend attendance of the pre-application meeting by RWQCB as well so that this meeting can satisfy the requirements of a pre-filing meeting by RWQCB. GEI will record meeting notes and minutes from the meeting.

The exact type of 404 permit to be used cannot be determined at this time due to uncertainty of the amount of impacts to the plunge pool and if additional impacts may occur to the Nacimiento River downstream of the plunge pool (likely temporary). The permit activity type that most resembles the project activities is bank stabilization, which corresponds to Nationwide Permit No. 13 (no other nationwide permits were initially identified by GEI as being potentially applicable). However, there are restrictions and limitations on use of Nationwide Permit No. 13 specific to the linear length of fill approved (no more than 500 feet) and fill amount per running foot of bank (no more than 1 cubic yard per running foot) that make use of this permit type uncertain. In contrast, there are no restrictions for use of an Individual Permit type, but there are more supporting documents that must be prepared for this permit type, including a CWA Section 404(b)(1) Alternatives Analysis and NEPA documentation. The appropriate permit type will be determined through development of the permitting strategy plan in Task 9.1 and USACE feedback in the pre-application meeting. However, for the purposes of this cost estimate, GEI is assuming that the permit will be an Individual Permit due to the limitations on linear length of fill approved under Nationwide Permit No. 13. GEI will be available for up to 20 hours of support during permit negotiations.

The 404(b)(1) Alternatives Analysis would be prepared under this task using the 2022 AECOM alternatives study and additional information from MCWRA, as needed, to identify the least environmentally damaging practicable alternative. An applicant-prepared Draft Environmental Assessment would be useful to efficiently and quickly satisfy NEPA compliance for an Individual Permit.

GEI will work with MCWRA to propose this approach and Optional Task 10 of our scope of work includes preparation of an applicant-prepared Environmental Assessment should it be desired by USACE. A CWA Section 404 permit also requires compliance with the NHPA Section 106, which would be supported by submitting the Cultural Resources Technical Report prepared by GEI in Task 3.2 of this scope, and compliance with ESA Section 7, which would be supported by submitting the Biological Assessment prepared by GEI in Task 9.5 of this scope.

Task 9.3 Prepare CWA Section 401 Water Quality Certification Application

Based on previous experience from the Nacimiento Dam Spillway Emergency Repairs Project, GEI knows that RWQCB considers the plunge pool to be a jurisdictional water of the state. It is assumed that the Water Quality Certification (WQC) will cover the same placement of materials into the plunge pool as is being covered in the CWA 404 permit. It is assumed that an Individual WQC will be the appropriate application type as there are no General Orders that meet project needs. GEI recommends that RWQCB will be present at the pre-application meeting with USACE and that meeting will meet the requirements of the pre-filing meeting such that a separate meeting with RWQCB is not needed. GEI will be available for up to 20 hours of support during permit negotiations.

Task 9.4 Prepare LSAA Notification

It is assumed that CDFW will assert jurisdiction over the plunge pool to the top-of-bank of the plunge pool. To prepare the Lake and Streambed Alteration Agreement (LSAA) Notification, GEI will collect additional project information from MCWRA, as needed, such as information on need for removal of trees, hydraulic and hydrologic conditions of the plunge pool post-project, and possible dewatering plans. GEI will be available for up to 20 hours of support during permit negotiations.

Task 9.5 Prepare USFWS and NMFS Joint Biological Assessment

It is assumed that a Biological Assessment will be required because the dewatering and placement of materials into the plunge pool may affect and could result in “take” of fish and wildlife species listed under the federal ESA, including Central California Coast steelhead and species covered by USFWS, such as amphibians and California Condors. GEI will rely on information gathered during Task 3.3 to compile the environmental baseline and will analyze the impacts of project implementation and operations and maintenance of the project site. GEI will be available for up to 20 hours of support during permit negotiations.

Task 9.6 Prepare CESA Incidental Take Permit Application

It is assumed that an Incidental Take Permit (ITP) will be required because the dewatering and placement of materials into the plunge pool may affect and could result in “take” of wildlife species listed or proposed for listing under the California Endangered Species Act (CESA), specifically bald eagle and steelhead (but not golden eagle, which is fully protected). GEI will rely on information gathered during Task 3.2 to compile the environmental baseline. If focused eagle surveys are needed for the ITP, based on the reconnaissance surveys and permitting strategy, then GEI can provide these additional surveys with a contract amendment.

Optional Task 9.7 Prepare Golden and Bald Eagle General Take Permit Application

Bald and golden eagles are protected by the USFWS. Project disturbances within 660 feet of bald and golden eagle nests that result in the mortality of eagles and/or removal of nests require permits from USFWS. If bald and golden eagle nests are observed by GEI within 660 feet of the project area, GEI will assist MCWRA with obtaining a General Permit from USFWS for these species.

Optional Task 9.8. Applicant-Prepared Environmental Assessment (New Optional Task)

If requested by USACE to support NEPA compliance for the CWA Section 404 Individual Permit, GEI will efficiently prepare an applicant-prepared Environmental Assessment using the IS/MND text and additional information required by NEPA. GEI will first prepare an Administrative Draft Environmental Assessment for MCWRA's review and comment and then finalize the Final Draft Environmental Assessment for submittal to USACE. After this document is submitted to USACE, GEI will be available for up to 30 hours of additional support to finalize the Environmental Assessment and NEPA compliance.

3. Project Schedule

GEI's proposed schedule for the Nacimiento Project scope of work is presented in **Figure 3**. The Administrative Draft IS/MND would be completed shortly after the San Antonio Project Administrative Draft EIR because of the priority of meeting the DSOD deadline for the San Antonio Project.

4. Tasks Required by MCWRA Staff

MCWRA will need to collect or facilitate meetings with the design team for GEI to collect project information needed to prepare the EIR project description and environmental analysis. MCWRA should also provide information on currently known internal and external stakeholders and their issues.

5. Innovative and/or Creative Approaches

GEI's proposal for the Nacimiento Project includes the following additional approaches to maximize efficient, cost-effective operations, and/or increased performance capabilities.

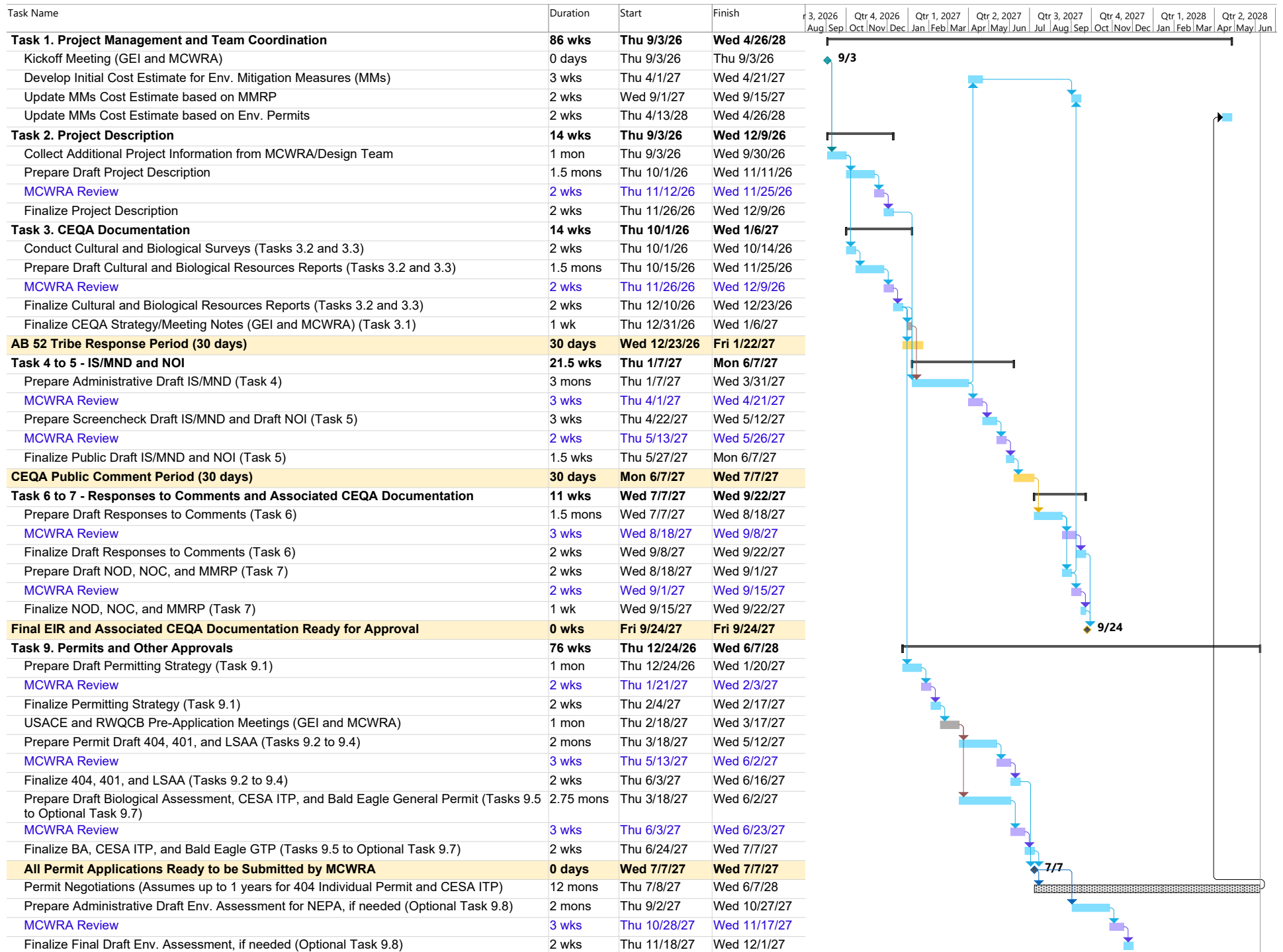
- Field surveys for both the San Antonio and Nacimiento Projects would be conducted during the same staff mobilization to use the same staff and reduce travel time and expenses.
- Information in MCWRA's Interlake Tunnel and Spillway Modification Project Draft EIR will be leveraged and used to prepare the IS/MND to the extent possible, including information from the environmental and regulatory settings and mitigation measures (to be adapted for the project as needed).
- Using staff that have relevant experience working on the same types of projects with similar issues, which means there's no learning curve; this includes working with the same regulatory agencies and staff on other MCWRA projects.

Project Information Needed from MCWRA

- **Project design** – RCC bank stabilization design and extents, rock outcrop removal, project footprint, and any new or different maintenance needs.
- **Construction plans** – limits of ground disturbance, access routes and any improvements, staging areas, construction schedule and sequence, construction equipment details, quantities of import and export materials, and number of workers and truck trips.
- **As-builts** for existing built environment resources to conduct the cultural resources evaluation.

While the San Antonio and Nacimiento Projects have similar CEQA schedules, GEI does not recommend including these projects in the same CEQA document because an IS/MND is anticipated for the Nacimiento Project, and including it in an EIR with the San Antonio Project would require a more detailed analysis of the Nacimiento Project than would otherwise occur, such as for cumulative impacts and alternatives. In addition, delays in the Nacimiento Project design or assessment could delay the San Antonio Project, which is on a critical schedule to be constructed by the DSOD deadline of December 31, 2031.

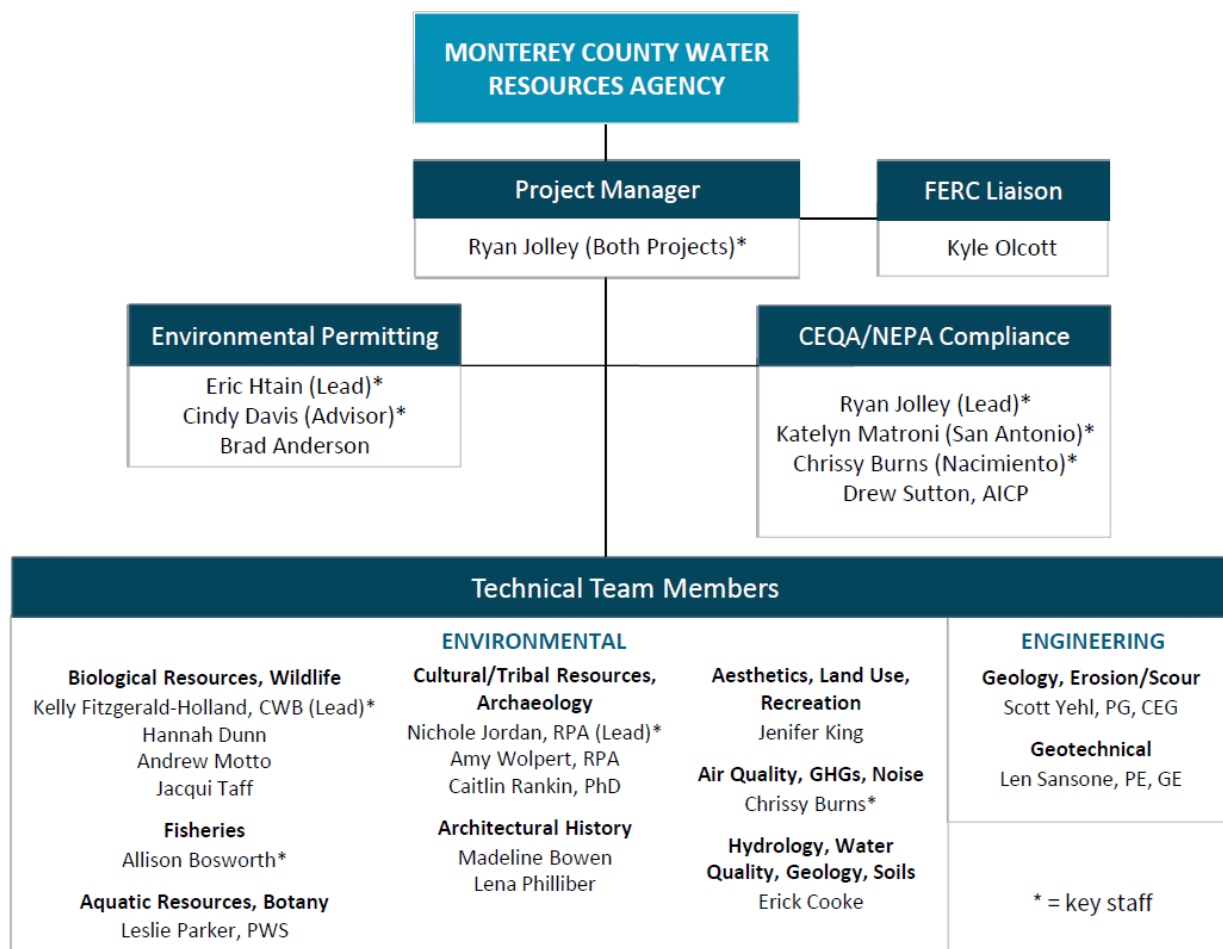
Figure 3. Proposed Nacimiento Project Schedule



Staffing

An overview of the GEI Team is provided in **Figure 4**. The staffing plan, inclusive of all GEI staff supporting the San Antonio Project is presented in **Table 1** and the Nacimientto Project is presented in **Table 2**. Each staffing plan describes the role and hours for each person assigned to the project.

Figure 4. GEI Team Organizational Chart for Both Projects



Approach to Managing Both Projects

The GEI Team provides a single project manager, Ryan Jolley, to lead both projects concurrently. As the primary point-of-contact and lead, Ryan can easily prioritize and manage tasks and team resources for both projects among the GEI Team, as needed to meet MCWRA's needs, and any changes in direction once work begins. The GEI Team also provides a single lead for CEQA/NEPA compliance, Ryan Jolley, and another for permitting, Eric Htain, for both projects. This allows us to provide continuity and consistent analysis and interpretation of regulations across both projects, which is extremely important for stakeholder understanding and in addressing their issues consistently. In addition, this approach allows MCWRA to easily work with only two people to manage all environmental compliance for both projects. The GEI Team also provides separate staff to help Ryan manage and coordinate each CEQA document concurrently—Katelyn Matroni for the San Antonio Project and Chrissy Burns for the Nacimientto Project. Katelyn and Chrissy have supported Ryan on numerous projects and they have a strong, experienced working relationship. The technical team will support both projects, providing them familiarity with the resources and regulations in the area on the first project that can be applied to the second.

Table 1. GEI's San Antonio Project Staffing Plan

Staff		San Antonio Project Role	Hours ¹
Project Leadership	Ryan Jolley	Project manager (primary point-of-contact, invoicing, schedule and budget management), lead CEQA/NEPA compliance strategy, direct preparation of CEQA documentation, including the EIR, review all deliverables	183
	Katelyn Matroni	Manage and coordinate preparation of the EIR and other CEQA documentation, prepare project description, alternatives analysis, and various CEQA sections	497
	Drew Sutton, AICP	QA/QC review of CEQA documentation and input on strategy and approach	28
	Eric Htain	Lead permitting strategy, permit applications, and agency coordination; identify technical study needs to support permitting	52
	Cindy Davis	Provide strategic advice to Eric on permitting strategy and agency coordination, QA/QC review of permitting strategy TM and permit applications if needed	2
Biological Team	Kelly Fitzgerald-Holland, CWB	Lead biological resources team, lead analysis of biological resources and wildlife impacts for CEQA and permitting, if needed	62
	Allison Bosworth	Conduct fisheries research and analysis, prepare fisheries CEQA analysis	28
	Leslie Parker, PWS	Conduct wetlands/aquatic resources and rare plant research, surveys, and analysis; prepare jurisdictional delineation, if needed	94
	Hannah Dunn	Conduct terrestrial biological resources research, surveys, and analysis; prepare terrestrial biological resources CEQA analysis and ESA/CESA permits	90
	Andrew Motto	Conduct terrestrial biological resources research, surveys, and analysis; prepare terrestrial biological resources CEQA analysis and ESA/CESA permits	46
	Jacqui Taff	Conduct terrestrial biological resources research, surveys, and analysis	40
Cultural Team	Nichole Jordan, RPA	Lead cultural resources team (research, surveys, approach); lead analysis of impacts/effects to cultural and Tribal Cultural Resources for CEQA and Section 106, when required	73
	Madeline Bowen	Lead built environment research, analysis, evaluations and impacts/effects assessment to cultural resources for CEQA and Section 106, when required	46
	Lena Philliber	Conduct built environment research, surveys, analysis, and evaluation; support cultural resources CEQA analysis	136
	Caitlin Rankin, PhD	Conduct desktop geoarchaeological assessment; prepare paleontological CEQA analysis	24
	Amy Wolpert, RPA	Conduct archaeological research, surveys, and analysis; prepare cultural and Tribal Cultural Resources CEQA analysis	116
Other	Chrissy Burns	Conduct air quality and GHG emissions modeling; prepare air quality, GHG, and noise CEQA analysis	159
	Jenifer King	Prepare aesthetics, recreation, land use, and other various CEQA analysis	159
	Erick Cooke	Prepare hydrology/water quality and geology and soils CEQA analysis	50
	Brad Anderson	Support preparation of permit applications, prepare various CEQA analyses	16
	Scott Yehl, PG, CEG	Provide geologic expertise, including back stabilization, and erosion and scour of plunge pool for the project description and CEQA analysis	4
	Len Sansone, PE, GE	Provide expertise on geotechnical engineering issues for the project description and CEQA analysis	4
	Ryan Snyder	Prepare Geographic Information Systems maps/figures	104
	Margurite Myers	Word processing including document formatting and editing	78

Note: ¹ Hours on the project does not include Optional Task 15.

Table 2. GEI's Nacimiento Project Staffing Plan

	Staff	Nacimiento Project Role	Hours ¹
Project Leadership	Ryan Jolley	Project manager (primary point-of-contact, invoicing, schedule and budget management), lead CEQA/NEPA compliance strategy, direct preparation of CEQA documentation including the EIR, review all deliverables	176/12
	Chrissy Burns	Manage and coordinate preparation of the IS/MND and other CEQA documentation; prepare project description; conduct air quality and GHG emissions modeling; prepare air quality, GHG, and noise CEQA analysis	293/50
	Drew Sutton, AICP	QA/QC review of CEQA documentation and input on strategy and approach	14/20
	Eric Htain	Lead permitting strategy TM, permit applications, and agency coordination; identify technical study needs to support permitting	166/2
	Cindy Davis	Provide strategic advice to Eric on permitting strategy and agency coordination, QA/QC review of permitting strategy TM and permit applications, if needed	14/0
Biological Team	Kyle Olcott	Ensure technical studies and compliance documents produced by GEI satisfy FERC requirements as needed	8/4
	Kelly Fitzgerald-Holland, CWB	Lead biological resources team, lead analysis of wildlife and impacts for CEQA, permit applications, lead USFWS portion of Biological Assessment	96/4
	Allison Bosworth	Conduct fisheries research, surveys, and analysis; prepare fisheries CEQA analysis and NMFS portion of Biological Assessment; support bald eagle permitting	122/20
	Leslie Parker, PWS	Conduct wetlands/aquatic resources and rare plant research, surveys, and analysis; prepare delineation of waters of the U.S./state	4/0
	Hannah Dunn	Conduct terrestrial biological resources research, surveys, and analysis; prepare terrestrial biological resources CEQA analysis and ESA/CESA permits	70/10
	Andrew Motto	Conduct terrestrial biological resources research, surveys, and analysis; prepare terrestrial biological resources CEQA analysis and ESA/CESA permits	120/10
	Jacqui Taff	Conduct terrestrial biological resources research, surveys, and analysis	80/0
	Nichole Jordan, RPA	Lead cultural resources team (research, surveys, approach); lead analysis of impacts/effects to cultural and Tribal Cultural Resources for CEQA and Section 106, when required	70/2
	Madeline Bowen	Lead built environment research, analysis, evaluations and impacts/effects assessment to cultural resources for CEQA and Section 106, when required	46/0
	Lena Philliber	Conduct built environment research, surveys, analysis, and evaluation; support cultural resources CEQA analysis	154/10
Cultural Team	Caitlin Rankin, PhD	Conduct desktop geoarchaeological assessment; prepare paleontological CEQA analysis	18/0
	Amy Wolpert, RPA	Conduct archaeological research, surveys, and analysis; prepare cultural and Tribal Cultural Resources CEQA analysis	124/10
	Jenifer King	Prepare aesthetics, recreation, land use, and other various CEQA analysis	40/20
	Erick Cooke	Prepare hydrology/water quality and geology and soils CEQA analysis	36/0
	Katelyn Matroni	Prepare various CEQA analyses	30/20
	Brad Anderson	Support preparation of permit applications	216/0
	Scott Yehl, PG, CEG	Provide geologic expertise to support analysis for CEQA and permitting	8/0
	Len Sansone, PE, GE	Provide expertise on geotechnical engineering issues for the project description and CEQA analysis	4/0
	Ryan Snyder	Prepare Geographic Information Systems maps/figures	95/0
	Margurite Myers	Word processing including document formatting and editing	68/10
Other			

Note: ¹ Hours for Tasks 1 through 9.6 are left of the slash / and additional hours for Optional Subtasks 9.7 and 9.8 are listed to the right

Appendix A1: San Antonio Project Cost Proposal

San Antonio Dam Spillway Replacement Project
GEI Detailed Cost Estimate

		Env. Grade 8 Senior Consultant	Eng. Grade 8 Senior Consultant	Env. Grade 7 Senior Professional	Env. Grade 6 Senior Professional	Eng. Grade 5 Senior Professional	Env. Grade 5 Senior Professional	Env. Grade 4 Project Professional	Env. Grade 2 Project Professional	Env. Grade 1 Staff Professional	Word Processor		
Task	Task Name	\$368	\$315	\$275	\$220	\$238	\$195	\$160	\$120	\$100	\$100	Total Hours	Total Dollars
1	Project Management and Team Coordination												
	Meetings (1 kickoff meeting and 34 bi-monthly 1 hours meetings from Sept 2026 to January 2028)		38	38			38					114	\$ 29,830
	Project administration and coordination (assumes 17 months of work)		42	2								44	\$ 13,780
	Mitigation measures cost estimate		4	8			8					20	\$ 5,020
	Subtotal Task 1	0	84	48	0	0	46	0	0	0	0	178	\$ 48,630
2	Project Description												
		4	5	2		4	75	30				120	\$ 23,974
3	Initial Study and NOP												
			8	14	4		98	44				168	\$ 33,400
4	EIR Preparation												
4.1	Finalize CEQA Strategy		4				6					10	\$ 2,430
4.2	Prepare Cultural Resources Technical Memorandum and Support AB 52 Consultation			25	30			96	120		8	279	\$ 44,035
4.3	Conduct Biological Resources Survey			20			30	30		40	8	128	\$ 20,950
	Subtotal Task 4	0	4	45	30	0	36	126	120	40	16	417	\$ 67,415
5	Prepare Administrative Draft EIR												
	Introduction						10					10	\$ 1,950
	Aesthetics						40	8				48	\$ 9,080
	Air Quality (including emissions modeling)							60				60	\$ 9,600
	Biological Resources			8			28	10				46	\$ 9,260
	Cultural Resources			8	8			16	16			48	\$ 8,440
	Geology and Soils			24				22				46	\$ 10,120
	Greenhouse Gas Emissions (emissions modeling covered under air quality)							24				24	\$ 3,840
	Hazards and Hazardous Materials						30					30	\$ 5,850
	Hydrology and Water Quality			24				6				30	\$ 7,560
	Noise							40				40	\$ 6,400
	Tribal Cultural Resources			16				16				32	\$ 6,960
	Wildfire						24					24	\$ 4,680
	Other CEQA except Cumulative						8					8	\$ 1,560
	Cumulative Impacts						40					40	\$ 7,800
	Alternatives						40					40	\$ 7,800
	Executive Summary						20					20	\$ 3,900
	Compile and Review Administrative Draft EIR		20	16			30				6	72	\$ 17,150
	Subtotal Task 5	0	20	96	8	0	270	202	16	0	6	618	\$ 121,950
6	Prepare Draft EIR and NOA												
			10	10	2		47	15			24	108	\$ 20,305
7	Prepare Draft Responses to Comments												
	(assumes up to 60 hours to prepare draft responses)		16	12	2		42				10	82	\$ 17,970
8	Prepare Administrative Final EIR												
			8	8			40	8			8	72	\$ 14,600
9	Prepare Final EIR												
			4				24					28	\$ 5,940
10	Prepare Findings, Statement of Overriding Considerations, NOD, and MMRP												
			6				20				2	28	\$ 5,990
11	Final EIR Certification/Public Outreach												
			4				10					14	\$ 3,210
12	Public Meetings												
			10				10					20	\$ 5,100
13	Public Outreach												
				4			8				8	20	\$ 3,460
14	Permits and Other Approvals												
14.1	Conduct Aquatic Resources Delineation		2		90			68			4	164	\$ 31,710
14.2	Prepare Permitting Strategy		4	26	4		20					54	\$ 13,190
	Subtotal Task 14	0	6	26	94	0	20	68	0	0	4	218	\$ 44,900
15	OPTIONAL TASK: Prepare and Process Permit Applications (If required)												
	Cost TBD at a later date, if needed, based on Task 14 Deliverable											TBD	TBD
	Total Labor Hours	4	185	265	140	4	746	493	136	40	78	2,091	
	Total Labor Dollars	\$1,472	\$58,275	\$72,875	\$30,800	\$952	\$145,470	\$78,880	\$16,320	\$4,000	\$7,800		\$ 416,844

Other Direct Costs		
Cultural Resources Records Search and Survey Travel Expenses (Task 4.2)	\$	3,200
Biological Survey Travel Expenses (Task 4.3)	\$	2,200
Kickoff and Public Meeting Travel Expenses (Tasks 1 and 12)	\$	2,700
Total Other Direct Costs	\$	8,100

Total Estimated Project Cost WITHOUT Optional Task 15 \$ 424,944

FEE SCHEDULE

<u>Personnel Category</u>	<u>Hourly Billing Rate</u> \$ per hour
Word Processor	\$ 100
Environmental Staff Professional – Grade 1	\$ 100
Environmental Staff Professional – Grade 2	\$ 120
Environmental Project Professional – Grade 3	\$ 145
Environmental Project Professional – Grade 4	\$ 160
Environmental Senior Professional – Grade 5	\$ 195
Engineering Senior Professional – Grade 5	\$ 238
Environmental Senior Professional – Grade 6	\$ 220
Environmental Senior Professional – Grade 7	\$ 275
Environmental Senior Consultant – Grade 8	\$ 315
Engineering Senior Consultant – Grade 8	\$ 368

These rates are billed for both regular and overtime hours in all categories.

Rates will increase up to 5% annually, at GEI's option, for all contracts that extend into the next calendar year. Rates for Deposition and Testimony are increased 1.5 times.

OTHER PROJECT COSTS

Subconsultants, Subcontractors and Other Project Expenses - All costs for subconsultants, subcontractors and other project expenses will be billed at cost plus a 4% service charge. Examples of such expenses ordinarily charged to projects are subcontractors; subconsultants: chemical laboratory charges; rented or leased field and laboratory equipment; outside printing and reproduction; communications and mailing charges; reproduction expenses; shipping costs for samples and equipment; disposal of samples; rental vehicles; fares for travel on public carriers; special fees for insurance certificates, permits, licenses, etc.; fees for restoration of paving or land due to field exploration, etc.; state and local sales and use taxes and state taxes on GEI fees. The 4% service charge will not apply to GEI-owned equipment and vehicles or in-house reproduction expenses.

Field and Laboratory Equipment Billing Rates – GEI-owned field and laboratory equipment such as pumps, sampling equipment, monitoring instrumentation, field density equipment, portable gas chromatographs, etc. will be billed at a daily, weekly, or monthly rate, as needed for the project. Expendable supplies are billed at a unit rate.

Transportation and Subsistence - Automobile expenses for GEI or employee-owned cars will be charged at the rate per mile set by the Internal Revenue Service for tax purposes plus tolls and parking charges or at a day rate negotiated for each project. When required for a project, four-wheel drive vehicles owned by GEI or the employees will be billed at a daily rate appropriate for those vehicles. Per diem living costs for personnel on assignment away from their home office will be negotiated for each project.

PAYMENT TERMS

Invoices will be submitted monthly or upon completion of a specified scope of service, as described in the accompanying contract (proposal, project, or agreement document that is signed and dated by GEI and CLIENT).

Payment is due upon receipt of the invoice. Interest will accrue at the rate of 1% of the invoice amount per month, for amounts that remain unpaid more than 30 days after the invoice date. All payments will be made by either check or electronic transfer to the address specified by GEI and will include reference to GEI's invoice number.

Appendix A2: Nacimiento Project Cost Proposal

Nacimiento Dam Plunge Pool Project
GEI Detailed Cost Estimate

		Eng. Grade 8 Senior Consultant	Env. Grade 8 Senior Consultant	Env. Grade 7 Senior Professional	Env. Grade 6 Senior Professional	Env. Grade 5 Senior Professional	Eng. Grade 5 Senior Professional	Env. Grade 5 Senior Professional	Env. Grade 4 Project Professional	Env. Grade 2 Project Professional	Env. Grade 1 Staff Professional	Word Processor	Total Hours	Total Dollars
Task	Task Name	\$383	\$315	\$275	\$220	\$220	\$253	\$195	\$160	\$120	\$100	\$100		
1	Project Management and Coordination													
	Meetings (1 kickoff meeting and 42 bi-weekly 1 hours meetings from Sept 2026 to June 2028)		46	46					46				138	\$ 34,500
	Project administration and coordination (assumes 21 months of work)		63	10		8							81	\$ 24,355
	Mitigation measures cost estimate		4	14					8				26	\$ 6,390
	Subtotal Task 1	0	113	70	0	8	0	0	54	0	0	0	245	\$ 65,245
2	Project Description													
		2	4	4	0	0	2	0	74	0	0	0	86	\$ 15,472
3	CEQA Documentation													
3.1	Finalize CEQA Strategy	2	4				2		6				14	\$ 3,492
3.2	Prepare Cultural Resources Technical Report and Support AB 52 Consultation		2	34	38				111	138		8	331	\$ 53,460
3.3	Prepare Biological Resources Technical Report		2	20				60	30		40	8	160	\$ 27,430
	Subtotal Task 3	8	2	54	38	0	2	60	147	138	40	16	505	\$ 84,382
4	Prepare Administrative Draft IS/MND													
	Aesthetics							14					14	\$ 2,730
	Agriculture and Forestry							5					5	\$ 975
	Air Quality (including emissions modeling)								40				40	\$ 6,400
	Biological Resources			8				28	10				46	\$ 9,260
	Cultural Resources			8	8				16	16			48	\$ 8,440
	Energy								5				5	\$ 800
	Geology and Soils			16			4		10				30	\$ 7,012
	Greenhouse Gas Emissions (emissions modeling covered under air quality)								20				20	\$ 3,200
	Hazards and Hazardous Materials							12					12	\$ 2,340
	Hydrology and Water Quality			20									20	\$ 5,500
	Land Use and Planning								4				4	\$ 780
	Mineral Resources								4				4	\$ 780
	Noise								16				16	\$ 2,560
	Population and Housing							2					2	\$ 390
	Public Services							4					4	\$ 780
	Recreation							5					5	\$ 975
	Transportation							4					4	\$ 780
	Tribal Cultural Resources			16					16				32	\$ 6,960
	Utilities and Service Systems							4					4	\$ 780
	Wildfire							10					10	\$ 1,950
	Mandatory Findings							2					2	\$ 390
	Compile and Review Administrative Draft IS/MND		15	10					16			4	45	\$ 10,435
	Subtotal Task 4	0	15	78	8	0	4	98	149	16	0	4	372	\$ 74,217
5	Prepare Public IS/MND and NOI													
			6						30			10	46	\$ 7,690
6	Prepare Responses to Comments													
	(assumes up to 30 hours to prepare draft responses)		8	10					20			4	42	\$ 8,870
7	Prepare NOD, NOC, and MMRP													
			2						16			2	20	\$ 3,390
8	Public Outreach													
			8						20				28	\$ 5,720
9	Permits and Other Approvals													
9.1	Prepare Permitting Strategy		6	26	4			20				8	64	\$ 14,620
9.2	Prepare CWA Section 404 Individual Permit Application (includes 404(b)(1) alts. analysis)		4	30				100	10				144	\$ 30,610
9.3	Prepare CWA Section 401 Water Quality Certification Application		4	30				70	10			8	122	\$ 25,560
9.4	Prepare LSAA Notification		4	30				70	10				114	\$ 24,760
9.5	Prepare USFWS and NMFS Joint Biological Assessment		4	20				50	30		20	8	132	\$ 24,110
9.6	Prepare CESA Incidental Take Permit Application		4	30				60	30		20	8	152	\$ 28,810
	Subtotal Subtasks 9.1-9.6	0	26	166	4	0	0	370	90	0	40	32	728	\$ 148,470
9.7	OPTIONAL SUBTASK: Prepare Bald Eagle General Take Permit Application (if needed)		2	4				20					26	\$ 5,630
9.8	OPTIONAL SUBTASK: Prepare Applicant Prepared Environmental Assessment (If requested by USACE)		10	24		4		50	70	10		10	178	\$ 33,780
	Subtotal OPTIONAL SUBTASKS 9.7 and 9.8	0	12	28	0	4	0	70	70	10	0	10	204	\$ 39,410

Labor Total WITHOUT Optional Subtasks 9.7 and 9.8

Total Labor Hours Tasks 1-9.6	10	184	382	50	8	8	528	600	154	80	68	2,072	
Total Labor Dollars Tasks 1-9.6	\$61,346	\$1,100	\$79,942	\$5,920	\$800	\$2,024	\$102,960	\$96,000	\$18,480	\$8,000	\$6,800		\$ 413,456

Labor Total INCLUDING Optional Subtasks 9.7 and 9.8

Total Labor Hours Tasks 1-9.8	10	196	410	50	12	8	598	670	164	80	78	2,276	
Total Labor Dollars Tasks 1-9.8	\$65,126	\$1,100	\$86,662	\$5,920	\$1,200	\$2,024	\$116,610	\$107,200	\$19,680	\$8,000	\$7,800		\$ 452,866

Other Direct Costs

Cultural Resources Records Search and Survey Travel Expenses (Task 3.2)	\$ 3,200
Biological Survey Travel Expenses (Task 3.3)	\$ 2,200
Kickoff and Public Meeting Travel Expenses (Tasks 1 and 12)	\$ 1,700
Total Other Direct Costs	\$ 7,100

Total Estimated Project Cost WITHOUT Optional Subtasks 9.7 and 9.8	\$ 420,556
Total Estimated Project Cost INCLUDING Optional Subtasks 9.7 and 9.8	\$ 459,966

FEE SCHEDULE

<u>Personnel Category</u>	<u>Hourly Billing Rate</u> \$ per hour
Word Processor	\$ 100
Environmental Staff Professional – Grade 1	\$ 100
Environmental Staff Professional – Grade 2	\$ 120
Environmental Project Professional – Grade 3	\$ 145
Environmental Project Professional – Grade 4	\$ 160
Environmental Senior Professional – Grade 5	\$ 195
Engineering Senior Professional – Grade 5	\$ 238
Environmental Senior Professional – Grade 6	\$ 220
Environmental Senior Professional – Grade 7	\$ 275
Environmental Senior Consultant – Grade 8	\$ 315
Engineering Senior Consultant – Grade 8	\$ 368

These rates are billed for both regular and overtime hours in all categories.

Rates will increase up to 5% annually, at GEI's option, for all contracts that extend into the next calendar year. Rates for Deposition and Testimony are increased 1.5 times.

OTHER PROJECT COSTS

Subconsultants, Subcontractors and Other Project Expenses - All costs for subconsultants, subcontractors and other project expenses will be billed at cost plus a 4% service charge. Examples of such expenses ordinarily charged to projects are subcontractors; subconsultants: chemical laboratory charges; rented or leased field and laboratory equipment; outside printing and reproduction; communications and mailing charges; reproduction expenses; shipping costs for samples and equipment; disposal of samples; rental vehicles; fares for travel on public carriers; special fees for insurance certificates, permits, licenses, etc.; fees for restoration of paving or land due to field exploration, etc.; state and local sales and use taxes and state taxes on GEI fees. The 4% service charge will not apply to GEI-owned equipment and vehicles or in-house reproduction expenses.

Field and Laboratory Equipment Billing Rates – GEI-owned field and laboratory equipment such as pumps, sampling equipment, monitoring instrumentation, field density equipment, portable gas chromatographs, etc. will be billed at a daily, weekly, or monthly rate, as needed for the project. Expendable supplies are billed at a unit rate.

Transportation and Subsistence - Automobile expenses for GEI or employee-owned cars will be charged at the rate per mile set by the Internal Revenue Service for tax purposes plus tolls and parking charges or at a day rate negotiated for each project. When required for a project, four-wheel drive vehicles owned by GEI or the employees will be billed at a daily rate appropriate for those vehicles. Per diem living costs for personnel on assignment away from their home office will be negotiated for each project.

PAYMENT TERMS

Invoices will be submitted monthly or upon completion of a specified scope of service, as described in the accompanying contract (proposal, project, or agreement document that is signed and dated by GEI and CLIENT).

Payment is due upon receipt of the invoice. Interest will accrue at the rate of 1% of the invoice amount per month, for amounts that remain unpaid more than 30 days after the invoice date. All payments will be made by either check or electronic transfer to the address specified by GEI and will include reference to GEI's invoice number.



County of Monterey

Item No.4

Board Report

Board of Supervisors
Chambers
168 W. Alisal St., 1st Floor
Salinas, CA 93901

Legistar File Number: WRAFIN 26-005

August 07, 2026

Introduced: 7/27/2026

Current Status: Agenda Ready

Version: 1

Matter Type: WRA Finance Item

Receive the Monterey County Water Resources Agency FY 2025-26 Financial Status Report through June 30, 2026. (Staff Presenting: Nora Cervantes)

RECOMMENDATION:

It is recommended that the Finance and Administration Committee:

Consider recommending that the Monterey County Water Resources Agency Board of Directors receive Monterey County Water Resources Agency FY 2025-26 Financial Status Report through June 30, 2026.

SUMMARY/DISCUSSION:

The Monterey County Water Resources Agency (Agency) FY 2025-2026 (FY26) Budget was adopted with totals of \$43.21 million in expenditure and \$38.43 million in revenue. In September 2025, the Budget was amended to increase its appropriations by \$400,000 and to increase revenue by \$1,001,606 for Groundwater Monitoring Program expenses and revenues. The FY26 Amended Budget totals \$43.61 million in expenses and \$39.44 million in revenue.

Agency's total actual revenue received between July 1, 2025, through June 30, 2026, was \$30.07 million, which includes special assessments of \$17.45 million, grant payments of \$2.2 million, grazing lease & interest payment of \$1.26 million and \$1.91 million of Water Delivery and Service fee payments. The total revenue received was 76.4% of FY26 revenue budget.

Total actual expenditures received between July 1, 2025, through June 30, 2026 were \$30.17 million. Of the total expenditure, \$7.62 million was salaries and benefits, \$15.25 million was contractor/professional services, \$1.75 million was for SVWP bond payments and \$1.83 million was for insurances. The total expenditure through June 30, 2026 was 69.0% of FY26 budgeted expenditure of \$43.61 million.

Summary of Agency's consolidated fund balance estimate for FY26 is as follows:

	Amended Budget	Year-To-Date Amount
FY26 Beg. Fund Balance	\$23,203,938	\$23,203,938
FY26 Revenues	39,437,673	30,139,432
<u>FY26 Expenditures</u>	<u>(43,605,541)</u>	<u>-30,076,926</u>
FY26 Fund Balance (use)	(4,167,868)	
FY26 Est. Available Fund Balance	\$19,035,676	\$23,266,444

OTHER AGENCY INVOLVEMENT:

None

FINANCING:

There is no financial impact for receiving this report.

At the meeting on September 16, 2025, the Agency Board of Supervisors approved a budget amendment of the FY26 Adopted Budget to increase its appropriations by \$400,000 and to increase revenue by \$199,070, funded by grant revenue and payment of \$802,536, financed via a Master Agreement for Professional Services with the Salinas Valley Basin Groundwater Sustainability Agency.

Monthly and quarterly financial reports reflect budgetary changes resulting from the amendment starting October 1 Accounting Period 4.

Prepared by: Nora Cervantes, Accountant III, (831) 755-5325

Approved by: _____
Ara Azhderian, General Manager, (831) 755-4860

Attachments:

1. FY26 Financial Status Report through June 30, 2026



County of Monterey

Item No.1

Board Report

Board of Supervisors
Chambers
168 W. Alisal St., 1st Floor
Salinas, CA 93901

Legistar File Number: WRAFIN 26-005

August 07, 2026

Introduced: 7/27/2026

Current Status: Draft

Version: 1

Matter Type: WRA Finance Item

Receive the Monterey County Water Resources Agency FY 2025-26 Financial Status Report through June 30, 2026.

RECOMMENDATION:

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FINANCING:

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Prepared by: Nora Cervantes, Accountant III, (831) 755-5325

Approved by: _____
Ara Azhderian, General Manager, (831) 755-4860

Attachments:

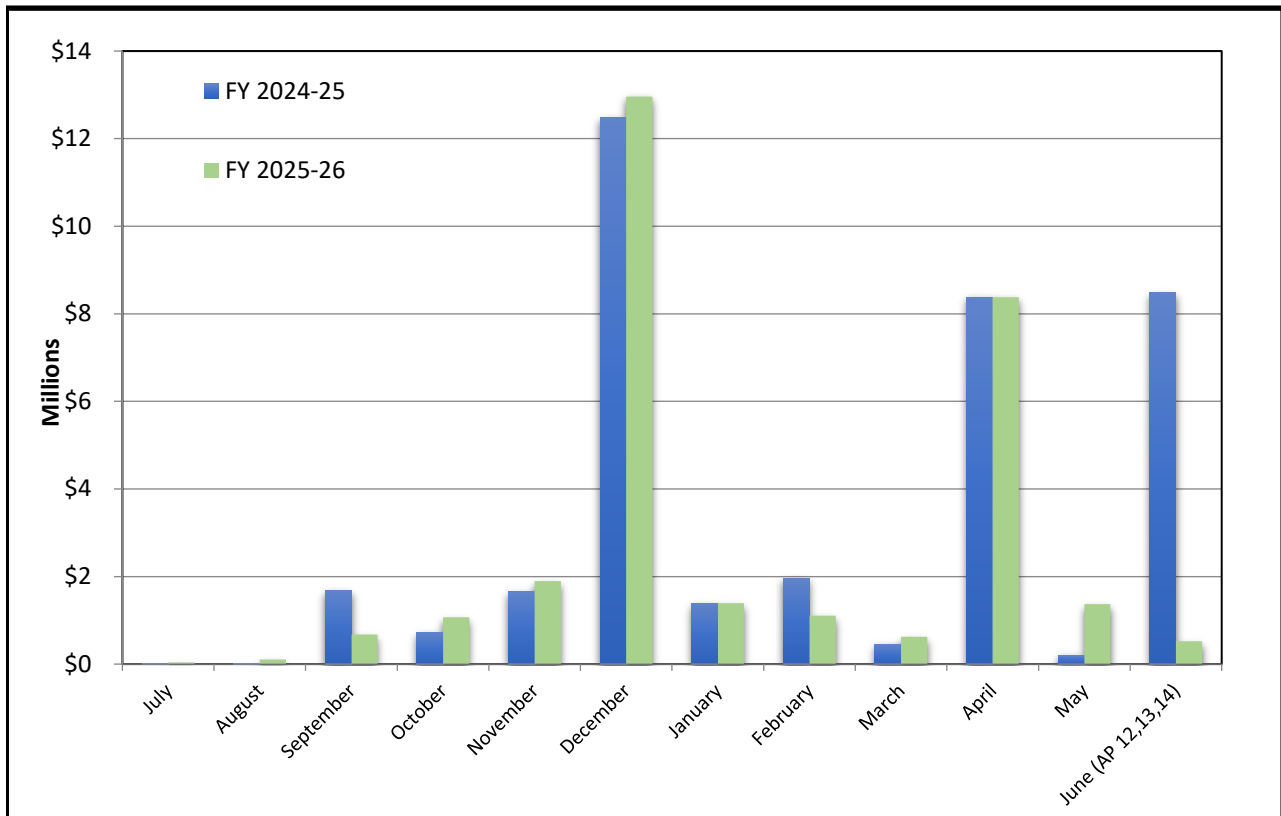
1. FY26 Financial Status Report through June 30, 2026

Monterey County
Water Resources Agency
FY 2025-26 FINANCIAL STATUS REPORT

Q4 YTD Actual Revenues

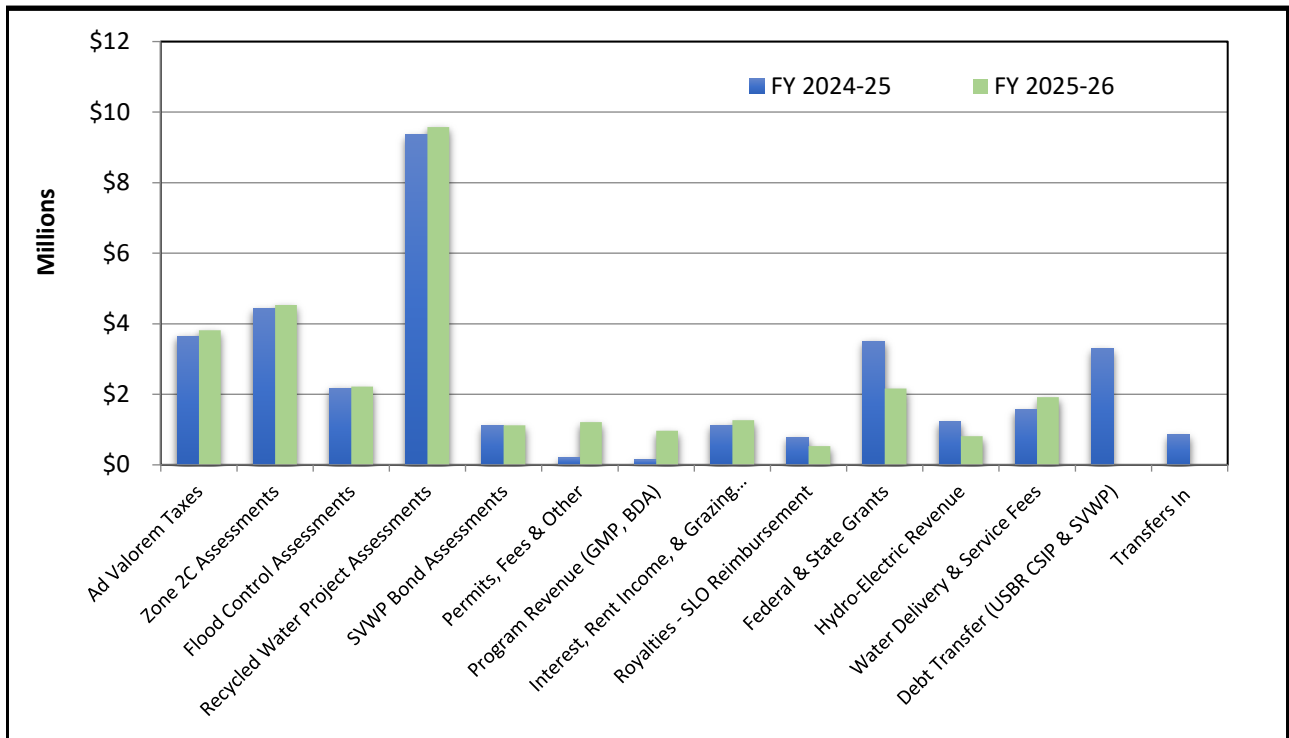
Month By Month Revenues

	FY 2024-25	% Received	FY 2025-26	% Received
July	7,045	0.0%	36,852	0.1%
August	4,028	0.0%	103,344	0.3%
September	1,681,142	4.0%	677,465	1.7%
October	715,871	5.7%	1,069,550	2.7%
November	1,652,130	9.6%	1,896,697	4.8%
December	12,483,369	39.2%	12,957,042	32.9%
January	1,382,720	42.5%	1,390,617	3.5%
February	1,962,815	47.2%	1,105,999	2.8%
March	458,368	48.3%	626,552	1.6%
April	8,382,106	68.2%	8,380,922	21.3%
May	202,616	68.6%	1,369,961	3.5%
June (AP 12,13,14)	8,481,362	88.8%	524,431	1.3%
YEAR TO DATE ACTUAL:	37,413,571	88.8%	30,139,432	76.4%
Budgeted Amount	42,154,850		39,437,279	



Monterey County
Water Resources Agency
FY 2025-26 FINANCIAL STATUS REPORT
Q4 YTD Revenues by Source

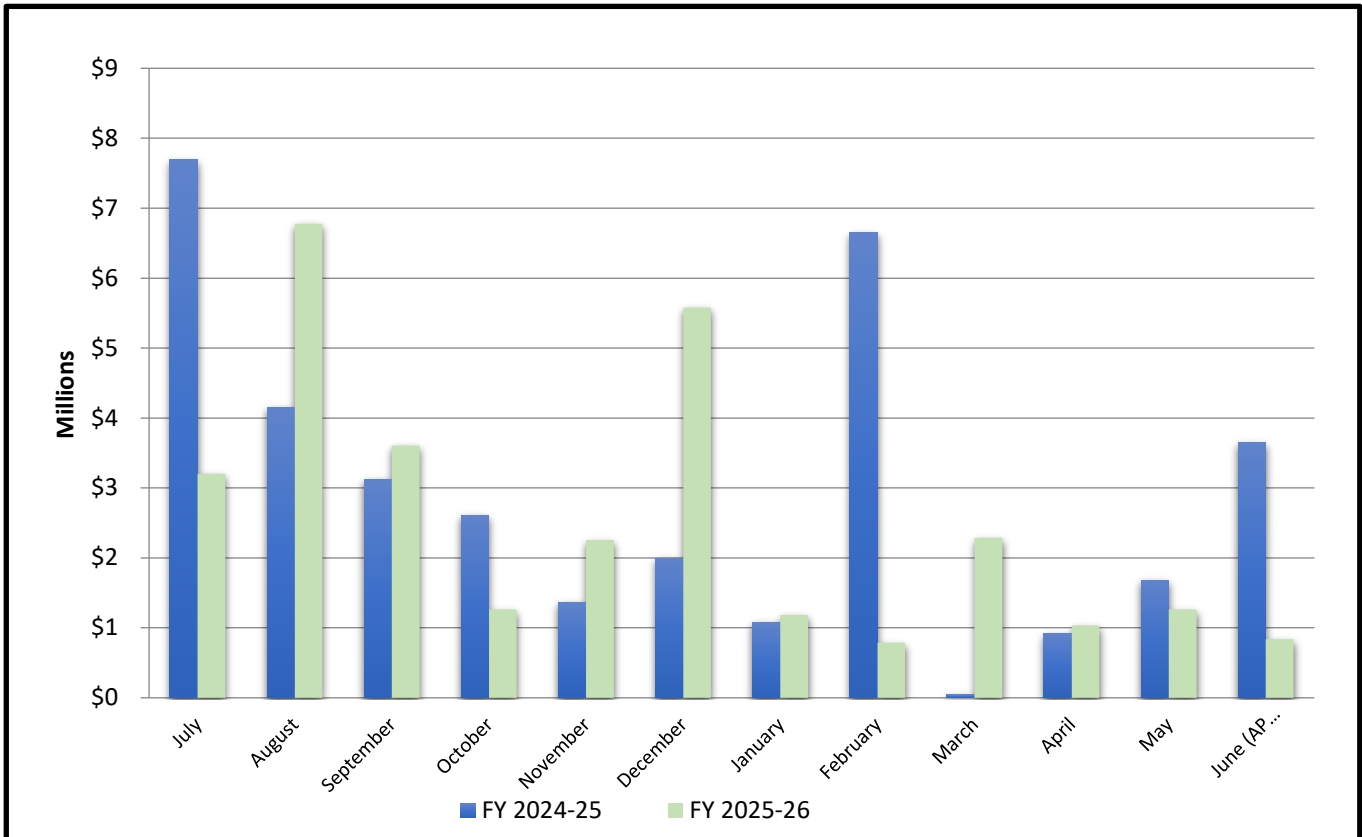
Through Accounting Period 12 - Jun 30		
	FY 2024-25	FY 2025-26
Ad Valorem Taxes	3,649,744	3,817,467
Zone 2C Assessments	4,421,896	4,527,869
Flood Control Assessments	2,172,789	2,222,363
Recycled Water Project Assessments	9,353,965	9,578,278
SVWP Bond Assessments	1,119,601	1,117,530
Permits, Fees & Other	196,587	1,210,595
Program Revenue (GMP, BDA)	139,481	967,894
Interest, Rent Income, & Grazing Leases	1,123,853	1,268,884
Royalties - SLO Reimbursement	786,699	534,546
Federal & State Grants	3,505,767	2,164,479
Hydro-Electric Revenue	1,223,316	809,404
Water Delivery & Service Fees	1,556,920	1,919,704
Debt Transfer (USBR CSIP & SVWP)	3,289,268	0
Transfers In	850,000	0
YEAR TO DATE TOTAL:	33,389,886	30,139,012



Monterey County
Water Resources Agency
FY 2025-26 FINANCIAL STATUS REPORT

Q4 YTD Actual Expenditures

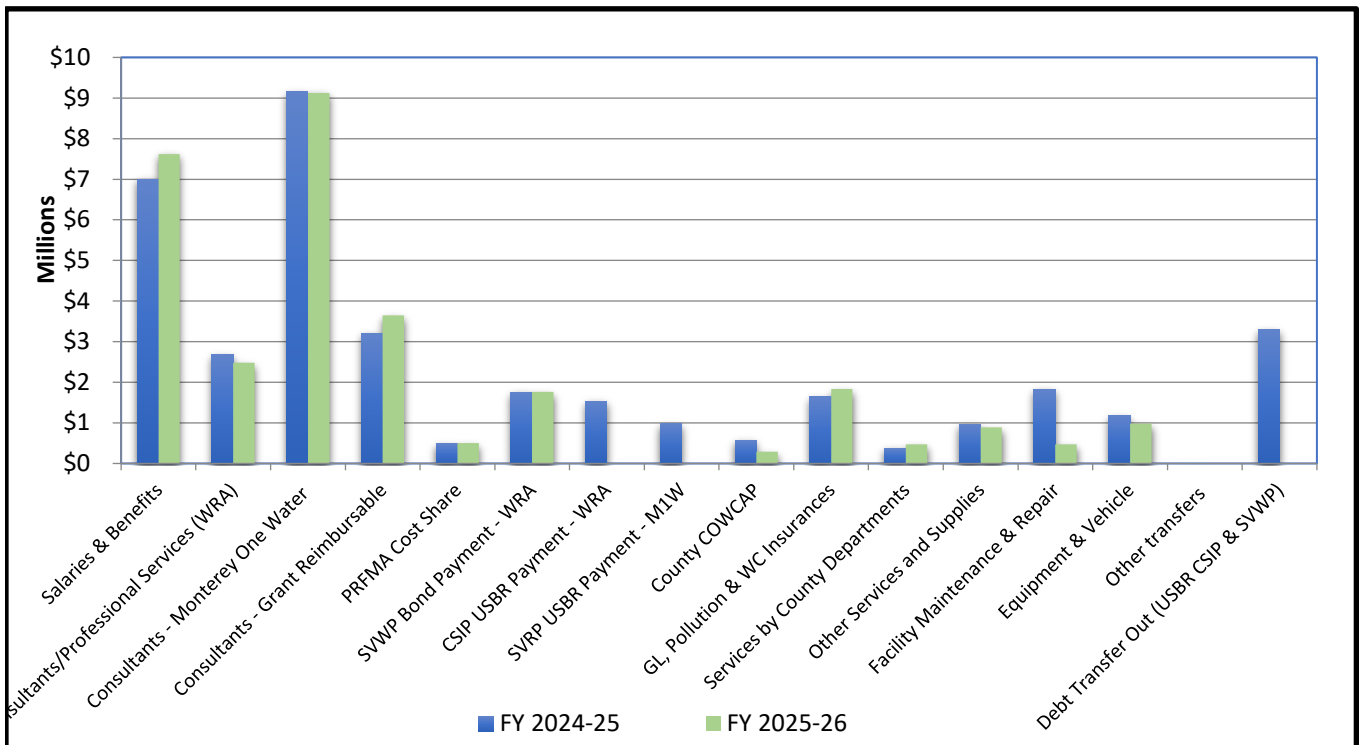
Month By Month Expenditures				
	FY 2024-25	% Expended	FY 2025-26	% Expended
July	7,692,836	15.8%	3,202,490	7.3%
August	4,149,850	24.3%	6,774,328	15.5%
September	3,125,338	30.7%	3,607,164	8.3%
October	2,612,806	36.1%	1,260,916	2.9%
November	1,364,959	38.9%	2,254,543	5.2%
December	1,996,632	43.0%	5,577,926	12.8%
January	1,076,537	45.2%	1,183,757	2.7%
February	6,650,206	58.8%	788,638	1.8%
March	49,849	58.9%	2,289,018	5.2%
April	918,854	60.8%	1,036,488	2.4%
May	1,681,153	64.2%	1,264,142	2.9%
June (AP 12,13,14)	3,650,742	71.7%	837,517	1.9%
YEAR TO DATE ACTUAL:	34,969,763	71.7%	30,076,926	69.0%
Budgeted Amount	48,759,795		43,605,541	



Monterey County
Water Resources Agency
FY 2025-26 FINANCIAL STATUS REPORT

Q4 YTD Expenditures by Type

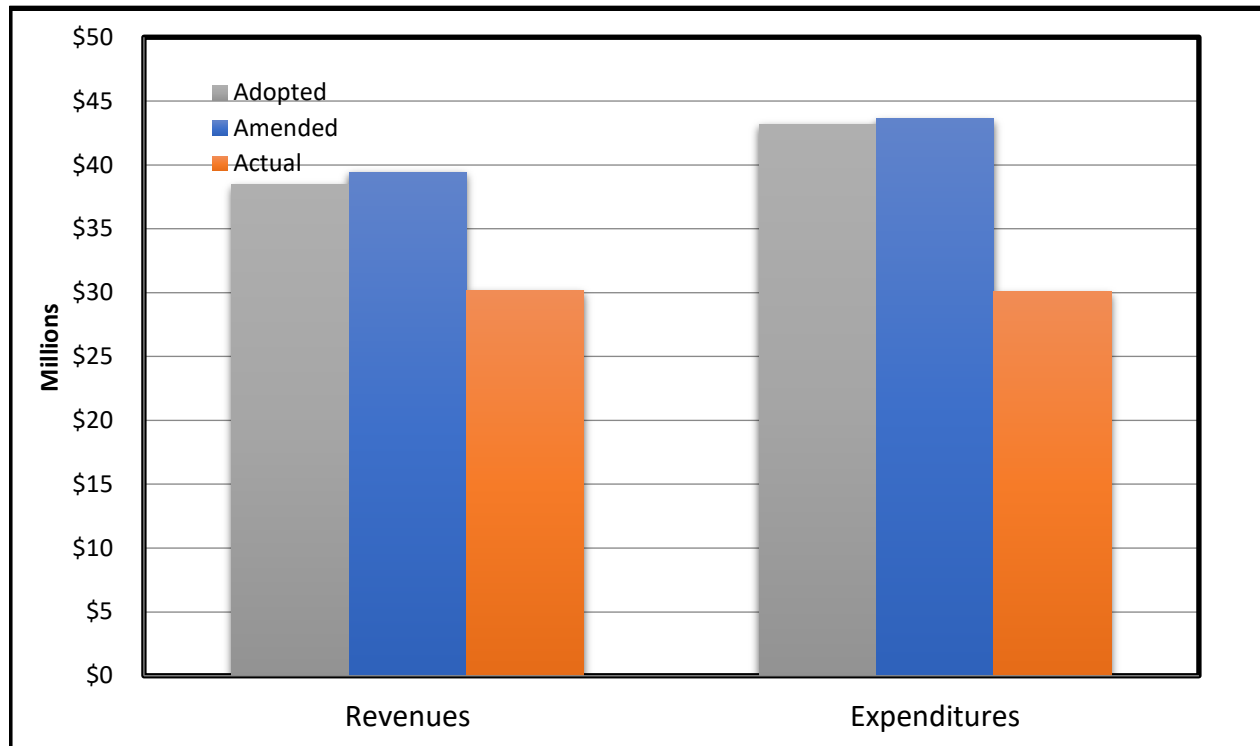
Through Accounting Period 12 - Jun 30		
	FY 2024-25	FY 2025-26
Salaries & Benefits	7,002,143	7,621,841
Consultants/Professional Services (WRA)	2,684,815	2,484,227
Consultants - Monterey One Water	9,163,033	9,119,994
Consultants - Grant Reimbursable	3,199,863	3,648,595
PRFMA Cost Share	491,511	503,307
SVWP Bond Payment - WRA	1,756,213	1,759,713
CSIP USBR Payment - WRA	1,535,726	0
SVRP USBR Payment - M1W	974,765	0
County COWCAP	573,662	292,183
GL, Pollution & WC Insurances	1,638,673	1,837,873
Services by County Departments	381,094	469,139
Other Services and Supplies	967,927	890,008
Facility Maintenance & Repair	1,832,037	470,214
Equipment & Vehicle	1,173,310	979,833
Other transfers	-	0
Debt Transfer Out (USBR CSIP & SVWP)	3,289,268	0
YEAR TO DATE TOTAL:	36,664,040	30,076,926



**MONTEREY COUNTY
WATER RESOURCES AGENCY
FY 2025-26 FINANCIAL STATUS REPORT**

For Q4 Ending: June 30, 2026

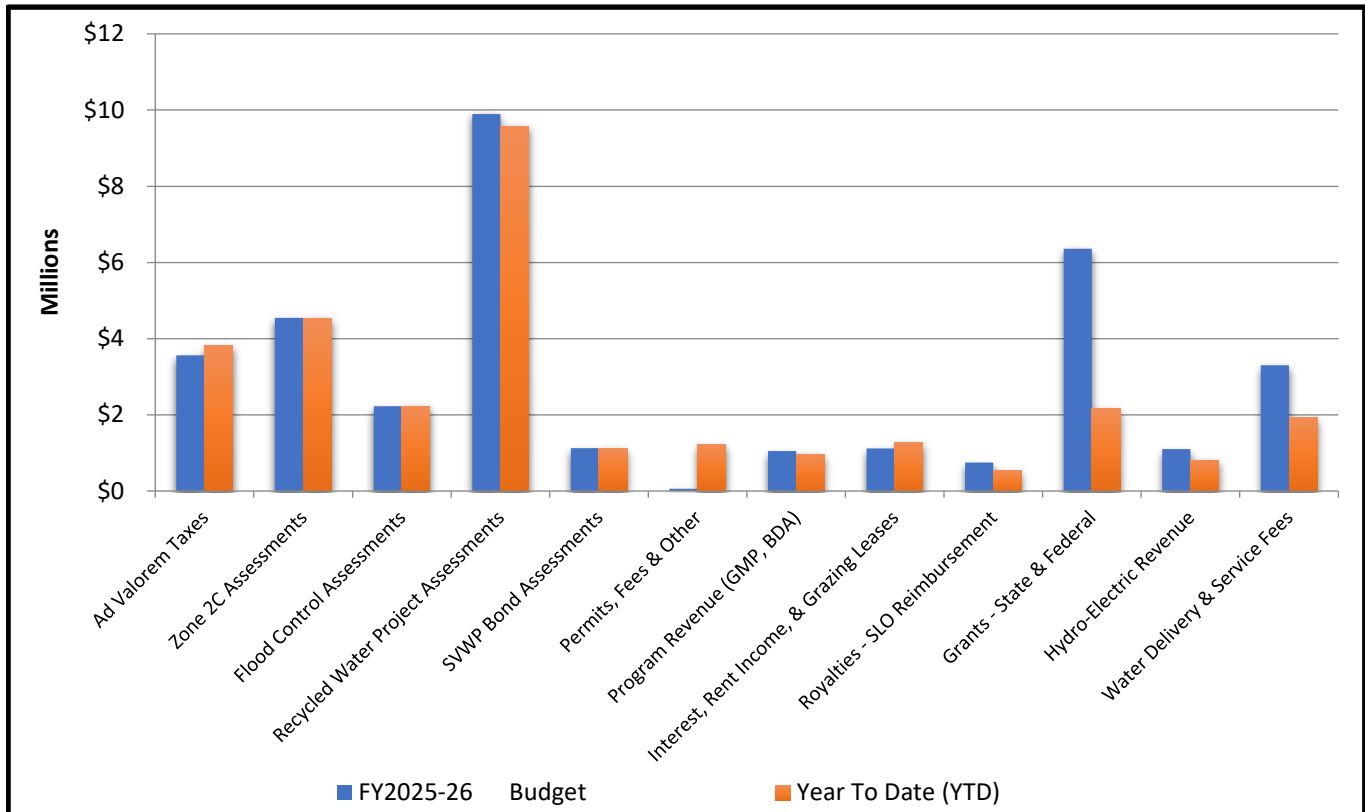
Budget Variance Analysis			
Category	Approved Budget	Amended Budget	YTD Actual
Beginning Fund Balance	23,203,938	23,203,938	23,203,938
Revenues	38,435,673	39,437,279	30,139,432
Expenditures	43,205,541	43,605,541	30,076,926
Ending Available Fund Balance	18,434,070	19,035,676	23,266,444



MONTEREY COUNTY
WATER RESOURCES AGENCY
FY 2025-26 FINANCIAL STATUS REPORT

Revenue Variance

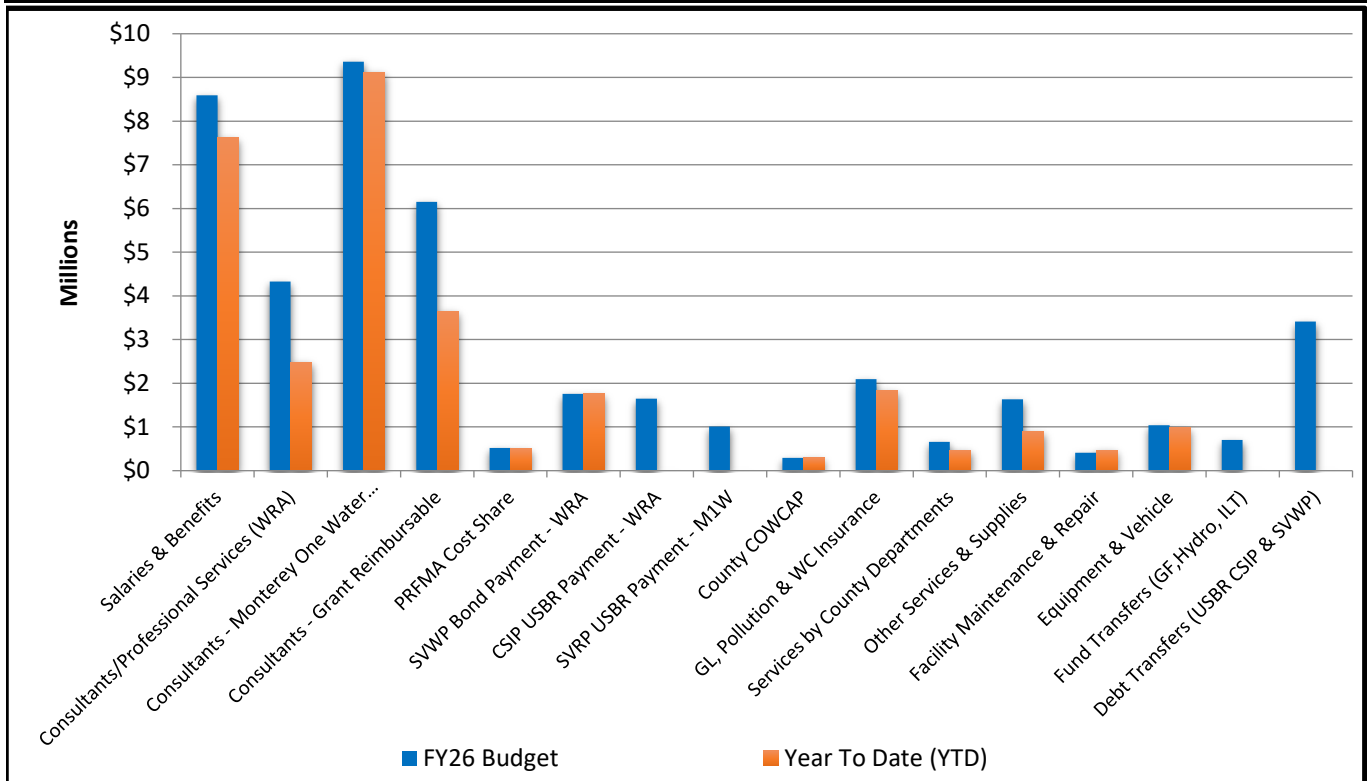
Revenue Variance by Source				
	FY2025-26 Budget	% of Adopted	Year To Date (YTD)	% of YTD vs. Budget
Ad Valorem Taxes	3,558,675	9.0%	3,817,467	107.3%
Zone 2C Assessments	4,544,261	11.5%	4,527,869	99.6%
Flood Control Assessments	2,222,401	5.6%	2,222,363	100.0%
Recycled Water Project Assessments	9,894,133	25.1%	9,578,278	96.8%
SVWP Bond Assessments	1,122,045	2.8%	1,117,530	99.6%
Permits, Fees & Other	56,421	0.1%	1,210,595	2145.6%
Program Revenue (GMP, BDA)	1,048,956	2.7%	967,894	92.3%
Interest, Rent Income, & Grazing Leases	1,115,369	2.8%	1,268,884	113.8%
Royalties - SLO Reimbursement	750,000	1.9%	534,546	71.3%
Grants - State & Federal	6,360,442	16.1%	2,164,479	34.0%
Hydro-Electric Revenue	1,100,000	2.8%	809,404	73.6%
Water Delivery & Service Fees	3,302,362	8.4%	1,919,704	58.1%
Transfers In (from other Agency Funds)	952,500	2.4%	0	0.0%
Debt Transfer (USBR CSIP & SVWP)	3,409,714	8.6%	0	0.0%
TOTAL:	39,437,279	100.0%	30,139,012	76.4%



MONTEREY COUNTY
WATER RESOURCES AGENCY
FY 2025-26 FINANCIAL STATUS REPORT

Expenditure Variance

Expenditure Variance by Type				
	FY26 Budget	% of Adopted	Year To Date (YTD)	% of YTD vs. Budget
Salaries & Benefits	8,591,997	19.7%	7,621,841	88.7%
Consultants/Professional Services (WRA)	4,325,414	9.9%	2,484,227	57.4%
Consultants - Monterey One Water Contract Fee	9,357,370	21.5%	9,119,994	97.5%
Consultants - Grant Reimbursable	6,150,000	14.1%	3,648,595	59.3%
PRFMA Cost Share	518,544	1.2%	503,307	97.1%
SVWP Bond Payment - WRA	1,759,714	4.0%	1,759,713	100.0%
CSIP USBR Payment - WRA	1,650,000	3.8%	0	0.0%
SVRP USBR Payment - M1W	1,010,000	2.3%	0	0.0%
County COWCAP	292,184	0.7%	292,183	100.0%
GL, Pollution & WC Insurance	2,091,789	4.8%	1,837,873	87.9%
Services by County Departments	662,584	1.5%	469,139	70.8%
Other Services & Supplies	1,631,679	3.7%	890,008	54.5%
Facility Maintenance & Repair	413,050	0.9%	470,214	113.8%
Equipment & Vehicle	1,041,506	2.4%	979,833	94.1%
Fund Transfers (GF,Hydro, ILT)	700,000	1.6%	0	0.0%
Debt Transfers (USBR CSIP & SVWP)	3,409,714	7.8%	0	0.0%
TOTAL:	43,605,545	100.0%	30,076,926	69.0%



**MONTEREY COUNTY
WATER RESOURCES AGENCY
FY 2025-26 WRA Fund Balances**

For Q4 Ending: June 30, 2026
% Monthly Time Elapsed: 100.00%

			FY2025-26 AMENDED BUDGET				YEAR-TO-DATE Actual					
Fund	Unit	Fund Name	Beginning Fund Balance	Adopted Budget Expenditures	Adopted Budget Revenue	Estimated Ending Fund Balance	YTD Actual Expenditures	Percent Budget Expended	YTD Actual Revenue	Percent Budget Received	Estimated Current Fund Balance	Fund
111	8267	WRA Administration	4,839,735	5,711,321	5,565,514	4,693,928	3,588,632	62.8%	6,786,005	121.9%	8,037,107	111
112	8484	Pajaro Levee	1,389,881	891,499	687,003	1,185,385	582,661	65.4%	646,121	94.0%	1,453,341	112
116	8485	Dam Operations	3,255,696	11,835,605	11,209,013	2,629,104	8,891,916	75.1%	6,775,439	60.4%	1,139,219	116
121	8486	Soledad Storm Drain	373,510	109,379	112,180	376,311	30,903	28.3%	101,822	90.8%	444,429	121
122	8487	Reclamation Ditch	1,480,321	2,176,631	1,843,282	1,146,972	1,707,172	78.4%	1,485,159	80.6%	1,258,308	122
124	8488	San Lorenzo Creek	46,693	56,033	49,898	40,558	20,682	36.9%	40,386	80.9%	66,396	124
127	8489	Moro Cojo Slough	361,370	686,838	365,508	40,040	206,592	30.1%	109,914	30.1%	264,693	127
130	8490	Hydro-Electric Ops	2,754,235	1,817,087	1,172,726	2,109,874	888,788	48.9%	898,593	76.6%	2,764,040	130
131	8491	CSIP Operations	1,709,682	6,664,214	6,423,278	1,468,746	3,655,278	54.8%	5,367,930	83.6%	3,422,335	131
132	8492	SVRP Operations	2,404,051	6,891,960	6,161,150	1,673,241	5,658,695	82.1%	6,137,890	99.6%	2,883,246	132
134	8493	SRDF Operations	2,166,365	3,233,462	2,432,777	1,365,680	2,985,865	92.3%	1,765,840	72.6%	946,341	134
303	8267	CSIP Debt Service	770,672	1,650,000	1,650,000	770,672	0	0.0%	0	0.0%	770,672	303
313	8494	Debt Services	1,036,761	1,759,714	1,759,714	1,036,761	1,759,713	100.0%	1,880	0.1%	(721,071)	313
426	8495	Interlake Tunnel	614,966	121,798	5,236	498,404	100,029	82.1%	22,452	428.8%	537,389	426
TOTAL:			23,203,938	43,605,541	39,437,279	19,035,676	30,076,926	69.0%	30,139,432	76.4%	23,266,444	

MCWRA Assigned Fund Balance Summary

Fund	Fund Name	BSA	Description	FY25 Ending Balance	Change in FY26	FY26 Ending Balance
111	Administration Fund	3123	Canyon Del Rey Improvement	12,200	0	12,200
116	Dam Operations	3123	Cloud Seeding Reserve	125,000	0	125,000
116	Dam Operations	3123	Capital Project (S.A. Penstock)	840,000	0	840,000
122	Reclamation Ditch	3123	Markeley Swamp Reserve	245,158	0	245,158
131	CSIP Operations	2569	USBR Loan Reserve	254,187	0	254,187





TODAY'S ACTION

Receive the Monterey County
Water Resources Agency
FY 2025-26 Financial Status Report
Q4, Accounting Period 12,
ending June 30, 2026



Quarterly Actual Revenues - FY26

Revenue as of September 30, 2026	\$19,864,118
Revenue as of June 30, 2026	\$30,139,012
Difference (Increase)	\$10,274,894

Revenue Source	Amount
Ad-Valorem	1,433,708
Assessments	5,950,143
Permits, Fees and Other Fees	1,012,553
Program Revenue (GMP,BDA)	33,393
Interest, Rent Income, & Grazing Leases	302,139
Royalties - SLO Reimbursement	534,546
Grants - State & Federal	549,005
Hydro-Electric Revenue	32,459
Water Delivery & Service Fees	340,834
Fund Transfers In	0
Other Revenue	86,115
Fund Transfer (Debt Payments)	0
Total	10,274,894

YTD Total Revenues - FY26

Revenue As of June 30, 2026

30,139,012

Revenue Source	Amount	*% of Received
Ad-Valorem	3,817,467	12.7%
Assessments	17,446,040	57.9%
Permits, Fees and Other Fees	1,072,610	3.6%
Program Revenue (GMP,BDA)	967,894	3.2%
Interest, Rent Income, & Grazing Leases	1,268,884	4.2%
Royalties - SLO Reimbursement	534,546	1.8%
Grants - State & Federal	2,164,479	7.2%
Hydro-Electric Revenue	809,404	2.7%
Water Delivery & Service Fees	1,919,704	6.4%
Fund Transfers In	0	0.0%
Other Revenue	137,985	0.5%
Fund Transfer (Debt Payments)	0	0.0%
Total	30,139,012	
*Revenue Source Amount divided by Total Amount = % of Received.		

FY25 vs FY26 Actual Revenues

Revenue As of June 30, 2025	33,389,886
Revenue As of June 30, 2026	30,139,012
Difference (Decrease)	(\$3,250,874)

Revenue Source	Amount
Ad-Valorem	167,723
Assessments	377,788
Permits, Fees and Other Fees	927,242
Program Revenue (GMP,BDA)	828,413
Interest, Rent Income, & Grazing Leases	145,031
Royalties - SLO Reimbursement	(252,153)
Grants - State & Federal	(1,341,288)
Hydro-Electric Revenue	(413,913)
Water Delivery & Service Fees	362,784
Fund Transfers In	(850,000)
Other Revenue	86,765
Fund Transfer (Debt Payments)	(3,289,268)
Total	(3,250,874)

Revenue Variance

Budgeted Revenue	\$39,437,279
Revenue As of June 30, 2026	\$30,139,012
Variance (Shortage)	(\$9,298,267)

Revenue Source	Amount	*Reason
Ad-Valorem	258,792	107.3% + received
Assessments	(336,800)	98.1% received
Permits, Fees and Other Fees	1,016,189	1901.1% +received
Program Revenue (GMP,BDA)	(81,062)	92.3% received
Interest, Rent Income, & Grazing Leases	153,515	113.8% +received
Royalties - SLO Reimbursement	(215,454)	71.3% received
Grants - State & Federal	(4,195,963)	34.0% received
Hydro-Electric Revenue	(290,596)	73.6% received
Water Delivery & Service Fees	(1,382,658)	58.1% received
Fund Transfers In	(952,500)	
Other Revenue	137,985	
Fund Transfer (Debt Payments)	(3,409,714)	
Total	(9,298,267)	76.4% budgeted

*Current Revenue Amount divided by Budget Revenue Amount = % Received.

Quarterly Expenditures - FY26

Expenditures as of September 30, 2025	\$26,938,779
Expenditures as of June 30, 2026	\$30,076,926
Difference (Increase)	\$3,138,147

Expenditure Source	Amount
Salaries & Benefits	\$1,561,742
Consultants/Other Professional Services	\$536,331
Monterey One Water Contract Fee	\$5,375
GRANT - Consultants/Professional Services	\$488,536
PRFMA Cost Share	\$0
SVWP Bond Payment - WRA	\$0
CSIP USBR Payment - WRA	\$0
SVRP USBR Payment - M1W	\$0
Other Charges - COWCAP	\$0
GL & Pollution Insurance	\$2,503
County Department Charges	\$26,351
Other Services and Supplies	\$264,362
Facility Maintenance & Repair	\$167,828
Equipment & Vehicle -All	\$85,119
Fund Transfer Out	\$0
Transfer - Debt Payments	\$0
Total	\$3,138,147

YTD Expenditures - FY26

Expenditures As of June 30, 2026

\$30,076,926

Expenditure Source	Amount	% of Expended
Salaries & Benefits	\$7,621,841	25.3%
Consultants/Other Professional Services	\$2,484,227	8.3%
Monterey One Water Contract Fee	\$9,119,994	30.3%
GRANT - Consultants/Professional Services	\$3,648,595	12.1%
PRFMA Cost Share	\$503,307	1.7%
SVWP Bond Payment - WRA	\$1,759,713	5.9%
CSIP USBR Payment - WRA	\$0	0.0%
SVRP USBR Payment - M1W	\$0	0.0%
Other Charges - COWCAP	\$292,183	1.0%
GL & Pollution Insurance	\$1,837,873	6.1%
County Department Charges	\$469,139	1.6%
Other Services and Supplies	\$890,008	3.0%
Facility Maintenance & Repair	\$470,214	1.6%
Equipment & Vehicle -All	\$979,833	3.3%
Fund Transfer Out	\$0	0.0%
Transfer - Debt Payments	\$0	0.0%
Total	\$30,076,926	
*Expenditure Source Amount divided by Total Amount = % of Received.		

FY25 vs FY26 Comparison

YTD Expenditures As of June 30, 2025	\$36,664,040
YTD Expenditures As of June 30, 2026	\$30,076,926
Difference (Increase)	(\$6,587,114)

Expenditure Source	Reason	Amount
Salaries & Benefits	Increase	619,698
Consultants/Other Professional Services	Decrease	(200,588)
Monterey One Water Contract Fee	Decrease	(43,039)
GRANT - Consultants/Professional Services	Increase	448,731
PRFMA Cost Share	Increase	11,796
SVWP Bond Payment - WRA	Increase	3,500
CSIP USBR Payment - WRA	Posting period 13	(1,535,726)
SVRP USBR Payment - M1W	Posting period 13	(974,765)
Other Charges - COWCAP	Decrease	(281,479)
GL & Pollution Insurance	Increase	199,200
County Department Charges	Increase	88,046
Other Services and Supplies	Decrease	(77,919)
Facility Maintenance & Repair	Decrease	(1,361,822)
Equipment & Vehicle -All	Decrease	(193,477)
Fund Transfer Out	Posting period 13	0
Transfer - Debt Payments	Decrease	(3,289,268)
Total	Decrease	(6,587,114)

Expenditure Variance

Budgeted Expenditures	\$43,605,545
YTD Expenditures As of June 30, 2026	\$30,076,926
Difference (Shortage)	(\$13,528,619)

Expenditure Source	Amount	Note
Salaries & Benefits	(970,156)	89% budgeted
Consultants/Other Professional Services	(1,841,187)	57% budgeted
Monterey One Water Contract Fee	(237,376)	97% budgeted
GRANT - Consultants/Professional Services	(2,501,405)	59% budgeted
PRFMA Cost Share	(15,237)	
SVWP Bond Payment - WRA	(2)	100% budgeted
CSIP USBR Payment - WRA	(1,650,000)	Posting in period 13
SVRP USBR Payment - M1W	(1,010,000)	Posting in period 13
Other Charges - COWCAP	(1)	fully paid
GL & Pollution Insurance	(253,916)	88% budgeted
County Department Charges	(193,445)	71% budgeted
Other Services and Supplies	(741,671)	55% budgeted
Facility Maintenance & Repair	57,164	114% budgeted
Equipment & Vehicle -All	(61,673)	94% budgeted
Fund Transfer Out	(700,000)	
Transfer - Debt Payments	(3,409,714)	Posting in period 13
Total	(13,528,619)	69% Budgeted

*Current Expenditure Amount divided by Budget Expenditure Amount = % Received.

Notable Expense

For Consultants/Other Professional Services

Expenditures as of June 30, 2025	\$2,684,815
Total YTD Expenditures as of June 30, 2026	\$2,484,227
Difference (Decrease)	(\$200,588)

Expenditure Source	FY 2025	FY 2026	Variance	Note
FUND 111	\$420,283	\$443,933	23,650	FY26 New encumbrances Jacob Green/Gallagher
FUND 112	\$10,309	\$10,352	43	Pajaro River Watershed Admin Svc dues/Prop tax
FUND 116	\$1,161,501	\$653,798	(507,703)	FY25 Granite Rock-Naci project. Aecom-Seismic
FUND 121	\$153	\$172	19	Property Tax Admin Fees
FUND 122	\$73,564	\$32,512	(41,051)	FY25 Decrease in aggregate material -doing
FUND 124	\$4,634	\$97	(4,537)	FY26 decrease in US Geology Survey-water
FUND 127	\$61,200	\$30,200	(31,000)	FY26. decrease in Moss landing tide consulting ;
FUND 130	\$156,842	\$420,989	264,147	FY26 new TCB-hydro electrical/mech repair
FUND 131	\$538,120	\$669,429	131,309	FY26 increase Errol L Montgomery CSIP
FUND 132	\$7,640	\$51,295	43,655	FY26 new encumbrance HGCPM-SRVP Admin &
FUND 134	\$250,570	\$171,449	(79,121)	FY25 decrease in Industrial Supply for SRDF
Total	\$2,684,815	\$2,484,227	(200,588)	

Notable Expense

Fund 111

Expenditures As of June 30, 2026

\$3,588,632

Expenditure Source	EOC423	FEMA	GMP	Grant	Others	Total
Salaries and Benefits	\$17,827	\$340	\$350,764	\$210,408	\$1,440,346	\$2,019,684
Consultant/Other Professional Services	\$0	\$0	\$18,692	\$0	\$425,241	\$443,933
Grant Consultants	\$0	\$0	\$0	\$212,537	\$0	\$212,537
Other Charges-COWCAP	\$0	\$0	\$0	\$0	\$56,829	\$56,829
GL Pollution & WC Insurances	\$0	\$0	\$0	\$0	\$212,305	\$212,305
County Department Charges	\$89	\$0	\$1,537	\$0	\$294,006	\$295,633
Other Services and Supplies	\$0	\$0	\$804	\$0	\$122,531	\$123,336
Equipment & Vehicle	\$0	\$0	\$8,682	\$0	\$158,748	\$167,431
Facility Maintenance & Repair	\$0	\$0	\$0	\$0	\$56,945	\$56,945
Total	\$17,916	\$340	\$380,480	\$422,945	\$2,766,951	\$3,588,632



Notable Expense (over \$10K)

Fund 111

Expenditures As of June 30, 2026

Vendor Name	Encumbrances	YTD Expenditures	Note
BALANCE HYDROLOGICS	\$142,131	\$87,535	Carmel River Study
CA WILSON INC	\$40,000	\$0	Upper/Lower Merritt - equipment maint.
DOWNEY BRAND	\$50,546	\$47,967	General Matters-Legal
EMPLOYNET	\$22,000	\$22,000	Temporary Staff
ENTERPRISE FM TRUST	\$14,000	\$7,068	Vehicle Lease
FIELDMAN ROLAPP & ASSOCIATES	\$83,159	\$23,905	Financial forecasting and plan
MONTEREY BAY OFFICE PRODUCTS INC	\$15,000	\$13,322	Copiers
OFFICE DEPOT	\$10,097	\$4,228	Office /Computer supplies
CIT BANK	\$22,164	\$16,615	Computer Leases
RAFTELIS FINANCIAL CONSULTANTS INC	\$19,350	\$17,058	GM Evaluation contractor
US GEOLOGICAL SURVEY	\$32,366	\$32,366	Water Investigations
GALLAGHER BENEFIT SERVICES	\$61,920	\$6,192	Base Compensation Study
JACOB GREEN & ASSOCIATES	\$45,000	\$28,858	Strategic Planning
MLJ ENVIRONMENTAL LLC	\$75,814	\$58,651	Cloud-based well registration
ONE WATER ECON	\$50,000	\$0	Historical benefit analysis
PACIFIC COAST WELL DRILLING	\$30,000	\$0	Groundwater well monitoring
VEGA CONSULTING	\$50,000	\$40,133	Economic & Financial Consulting
Total	\$763,547	\$405,898	

Fund 112/122

YTD Encumbrance & Expenditures as of June 30, 2026

Vendor Name	YTD Encumbrance	YTD Expenditures	Note
ALLIED WASTE SERVICE -FUND 122	\$0	\$1,420	REC DITCH- CLEANUP, TRASH & DEBRIS
MRWMD -FUND 122	\$0	\$621	GARBAGE-LAUREL
SALINAS VALLEY SOLID WASTE -122	\$0	\$2,672	REC DITCH- TRASH
SMITH & ENRIGHT LANDSCAPE FUND 122	\$25,000	\$17,844	LANDSCAPING SERVICES (AGREEMENT)
PROBUILD COMPANY	\$0	\$98	HARDWAR, LUMBER, RELATED SUPPLIES
STAFF TIME (Fund 112/122)	\$0	\$31,604	STAFF TIME
Total	\$25,000	\$54,259	

Grants Revenue & Expenditures

Adopted vs YTD Revenue and Expenditures as of June 30, 2026

Grant Name	Rev Budget	YTD Rev Total	Variance %	Exp Budget	YTD Exp Total	Variance %
SVBGSA R2- GMP Fund 111	274,070	249,205	90.9%	50,000	0	0.0%
Carmel River Flood Study Fund 111	0	0	#DIV/0!	0	143,492	#DIV/0!
NOAA Climate Resilient Grant- 116	0	14,083	#DIV/0!	0	0	#DIV/0!
2021 Section 6 HCP-Fund 116	180,000	274,469	152.5%	150,000	223,608	149.1%
IRWM-Fund 116	24,000	74,302	309.6%	20,000	20,178	100.9%
Nacimiento Dam -\$6M-Fund 116	1,706,392	0	0.0%	1,450,000	1,222,395	84.3%
FIRO Grant-Fund 111-local share /116	375,000	97,899	26.1%	375,000	226,669	60.4%
Flood mapping-Fund 116	189,000	28,720	15.2%	180,000	245,410	136.3%
CalSIP Grant- Fund 111	0	33,131	#DIV/0!	0	11,923	#DIV/0!
San Antonio Dam-\$16.1M- Fund 116	1,965,049	331,567	16.9%	1,570,000	814,117	51.9%
FEMA South Access Road	0	0	#DIV/0!	865,000	0	0.0%
SVBGSA SUBGRANT- 111/116/134	390,000	456,864	117.1%	390,000	295,165	75.7%
SVBGSA R2- Fund 111/116	160,000	366,522	229.1%	0	74,635	#DIV/0!
PROP 1 Well Destruction-Fund 134	200,000	235,488	117.7%	200,000	309,955	155.0%
Federal Aid (EPA CSIP)-Fund 131	900,000	0	0.0%	900,000	187	0.0%
Interlake Tunnel	0	0	#DIV/0!	0	60,861	#DIV/0!
MUSSEL GRANT	0	2,228	0.0%	0	0	0.0%
Total	6,360,442	2,164,479	34.0%	6,150,000	3,648,595	59.3%

**MONTEREY COUNTY
WATER RESOURCES AGENCY
FY 2025-26 WRA Fund Balances**

For Q4 Ending: June 30, 2026
% Monthly Time Elapsed: 100.00%

			FY2025-26 AMENDED BUDGET				YEAR-TO-DATE Actual					
Fund	Unit	Fund Name	Beginning Fund Balance	Adopted Budget Expenditures	Adopted Budget Revenue	Estimated Ending Fund Balance	YTD Actual Expenditures	Percent Budget Expended	YTD Actual Revenue	Percent Budget Received	Estimated Current Fund Balance	Fund
111	8267	WRA Administration	4,839,735	5,711,321	5,565,514	4,693,928	3,588,632	62.8%	6,786,005	121.9%	8,037,107	111
112	8484	Pajaro Levee	1,389,881	891,499	687,003	1,185,385	582,661	65.4%	646,121	94.0%	1,453,341	112
116	8485	Dam Operations	3,255,696	11,835,605	11,209,013	2,629,104	8,891,916	75.1%	6,775,439	60.4%	1,139,219	116
121	8486	Soledad Storm Drain	373,510	109,379	112,180	376,311	30,903	28.3%	101,822	90.8%	444,429	121
122	8487	Reclamation Ditch	1,480,321	2,176,631	1,843,282	1,146,972	1,707,172	78.4%	1,485,159	80.6%	1,258,308	122
124	8488	San Lorenzo Creek	46,693	56,033	49,898	40,558	20,682	36.9%	40,386	80.9%	66,396	124
127	8489	Moro Cojo Slough	361,370	686,838	365,508	40,040	206,592	30.1%	109,914	30.1%	264,693	127
130	8490	Hydro-Electric Ops	2,754,235	1,817,087	1,172,726	2,109,874	888,788	48.9%	898,593	76.6%	2,764,040	130
131	8491	CSIP Operations	1,709,682	6,664,214	6,423,278	1,468,746	3,655,278	54.8%	5,367,930	83.6%	3,422,335	131
132	8492	SVRP Operations	2,404,051	6,891,960	6,161,150	1,673,241	5,658,695	82.1%	6,137,890	99.6%	2,883,246	132
134	8493	SRDF Operations	2,166,365	3,233,462	2,432,777	1,365,680	2,985,865	92.3%	1,765,840	72.6%	946,341	134
303	8267	CSIP Debt Service	770,672	1,650,000	1,650,000	770,672	0	0.0%	0	0.0%	770,672	303
313	8494	Debt Services	1,036,761	1,759,714	1,759,714	1,036,761	1,759,713	100.0%	1,880	0.1%	(721,071)	313
426	8495	Interlake Tunnel	614,966	121,798	5,236	498,404	100,029	82.1%	22,452	428.8%	537,389	426
TOTAL:			23,203,938	43,605,541	39,437,279	19,035,676	30,076,926	69.0%	30,139,432	76.4%	23,266,444	

MCWRA Assigned Fund Balance Summary

Fund	Fund Name	BSA	Description	FY25 Ending Balance	Change in FY26	FY26 Ending Balance
111	Administration Fund	3123	Canyon Del Rey Improvement	12,200	0	12,200
116	Dam Operations	3123	Cloud Seeding Reserve	125,000	0	125,000
116	Dam Operations	3123	Capital Project (S.A. Penstock)	840,000	0	840,000
122	Reclamation Ditch	3123	Markeley Swamp Reserve	245,158	0	245,158
131	CSIP Operations	2569	USBR Loan Reserve	257,369	0	258,994





TODAY'S ACTION

Receive the Monterey County
Water Resources Agency
FY 2025-26 Financial Status Report
Q4, Accounting Period 12,
ending June 30, 2026







County of Monterey

Item No.

Board Report

Board of Supervisors
Chambers
168 W. Alisal St., 1st Floor
Salinas, CA 93901

Legistar File Number: WRAFIN 26-010

August 07, 2026

Introduced: 8/3/2026

Current Status: Agenda Ready

Version: 1

Matter Type: WRA Finance Item

Monterey One Water Expenditures Report_May 2026. (Staff Presenting: Nan Kim)

RECOMMENDATION:

It is recommended that the Board of Supervisors:

[Copy and paste the title here]

SUMMARY:

DISCUSSION:

OTHER AGENCY INVOLVEMENT:

FINANCING:

BOARD OF SUPERVISORS STRATEGIC INITIATIVES:

One or two sentences explaining how this recommendation supports/advances the Board of Supervisors Strategic Initiatives. Reference to any Key Objectives and/or Milestones that are related.

Mark a check to the related Board of Supervisors Strategic Initiatives

- ☐ Economic Development
- ☐ Administration
- ☐ Health & Human Services
- ☐ Infrastructure
- ☐ Public Safety

Prepared by: [Insert Name], [Insert Position], [Insert Extension]

Approved by: [Insert Name], [Insert Position], [Insert Extension]

Attachments:

(List any other attachments included with this item)

**Monterey One Water
SVRP Interim Expenditures Report
May 2026**

Monterey One Water (FY 2025-26)
SVRP Interim Expenditures Report - May 2026

7/14/2025

Account Description	WRA Adopted Budget	May 2026 Expenditures	YTD Expenditures	YTD Encumbrances	YTD Total	% Used	Jun-26 FY 2026 PROJECTION	FY 2026 Projection (10/15/2025)	Estimated Budget Variance
Salaries, Wages & Bens	1,214,848	147,781	1,170,175	0	1,170,175	85%	1,378,175	1,214,848	(163,327)
Office Expenses	6,750	(346)	1,885	0	1,885	69%	2,750	6,750	4,000
Information Systems Exp	3,278	0	3,278	0	3,278	100%	3,278	3,180	0
Professional Services	130,000	5,812	116,381	40,504	156,885	87%	181,000	153,000	(51,000)
Operating Supplies	52,852	12,010	45,851	2,367	48,218	96%	50,000	60,500	2,852
Contract Services	84,480	63	55,355	0	55,355	84%	66,250	84,480	18,230
Chemicals	1,669,500	73,240	982,577	0	982,577	69%	1,425,000	1,718,500	244,500
Utilities	669,919	64,924	513,477	0	513,477	81%	636,000	669,919	33,919
Repairs & Maintenance	438,151	2,661	280,435	9,976	290,411	65%	445,000	468,601	(6,849)
Sludge Disposal Costs	128,250	0	0	0	0	0%	125,000	128,250	3,250
Indirect Costs	675,414	56,285	619,130	0	619,130	94%	659,688	675,414	15,726
Sub-Total	5,073,442	362,430	3,788,544	52,847	3,841,391	77%	4,972,140	5,183,442	101,302
Capital Outlay	260,000	0	103,024	134,093	237,117	100%	237,117	150,000	22,883
Capital Improvement	380,000	0	124,120	0	240,851	100%	240,851	380,000	0
Total Expenditures	5,713,442	362,430	4,015,688	186,940	4,319,359	79%	5,450,108	5,713,442	124,185
Bureau of Reclamation Loan Payment	1,020,000	0	0	0	0	0%	1,020,000	1,020,000	0
Grand Total	6,733,442	362,430	4,015,688	186,940	4,319,359	67%	6,470,108	6,733,442	0

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Periods: 11 through 11

Expenditure Status Report

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MONTEREY ONE WATER
5/1/2026 through 5/31/2026

04 SVRP Fund

050 RECLAMATION TERTIARY PLANT O&M

<u>Account Number</u>	<u>Adjusted Appropriation</u>	<u>Expenditures</u>	<u>Year-to-date Expenditures</u>	<u>Year-to-date Encumbrances</u>	<u>Balance</u>	<u>Prct Used</u>
5000 SALARIES AND WAGE EXPENSE						
5012-00 WAGES & BENEF.FROM DEPTS.	1,212,098.00	147,780.58	1,170,174.64	0.00	41,923.36	96.54
Total SALARIES AND WAGE EXPENSE	1,212,098.00	147,780.58	1,170,174.64	0.00	41,923.36	96.54
5100 EMPLOYEE BENEFITS						
Total EMPLOYEE BENEFITS	0.00	0.00	0.00	0.00	0.00	0.00
5200 EMPLOYEE OTHER BENEFITS						
5225-00 TRAINING	1,000.00	0.00	25.00	0.00	975.00	2.50
5230-00 CERTIFICATION FEES	250.00	0.00	0.00	0.00	250.00	0.00
5235-00 CONFERENCE/MEETINGS & TRAVEL	1,500.00	0.00	0.00	0.00	1,500.00	0.00
Total EMPLOYEE OTHER BENEFITS	2,750.00	0.00	25.00	0.00	2,725.00	0.91
6000 OFFICE EXPENSE						
6010-00 OFFICE SUPPLIES	200.00	0.00	0.00	0.00	200.00	0.00
6012-00 OFFICE/COMPUTER EQUIPMENT	1,666.00	0.00	0.00	0.00	1,666.00	0.00
6025-00 PRINTING AND DUPLICATING	250.00	0.00	0.00	0.00	250.00	0.00
6045-00 MEMBERSHIP DUES & PUBLICATIONS	300.00	0.00	0.00	0.00	300.00	0.00
6050-00 POSTAGE AND DELIVERY SERVICE	2,500.00	-346.29	1,885.44	0.00	614.56	75.42
6060-00 OFFICE FURNISHINGS	500.00	0.00	0.00	0.00	500.00	0.00
Total OFFICE EXPENSE	5,416.00	-346.29	1,885.44	0.00	3,530.56	34.81
6100 INFORMATION SYSTEMS EXPENSE						
6170-00 MISC SUPPORT SERVICES	3,277.68	0.00	3,277.68	0.00	0.00	100.00
Total INFORMATION SYSTEMS EXPENSE	3,277.68	0.00	3,277.68	0.00	0.00	100.00
6200 PROFESSIONAL SERVICES						
6231-00 OUTSIDE CONTRACT WORK	84,334.00	3,558.12	64,087.10	26,630.32	-6,383.42	107.57
6238-00 TECHNICAL SUPPORT	46,000.00	2,254.06	52,294.20	13,873.83	-20,168.03	143.84

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Expenditure Status Report

MONTEREY ONE WATER
5/1/2026 through 5/31/2026

04 SVRP Fund

050 RECLAMATION TERTIARY PLANT O&M

<u>Account Number</u>	<u>Adjusted Appropriation</u>	<u>Expenditures</u>	<u>Year-to-date Expenditures</u>	<u>Year-to-date Encumbrances</u>	<u>Balance</u>	<u>Prct Used</u>
6260-00 LEGAL SERVICES	1,000.00	0.00	0.00	0.00	1,000.00	0.00
Total PROFESSIONAL SERVICES	131,334.00	5,812.18	116,381.30	40,504.15	-25,551.45	119.46
7000 OPERATING SUPPLIES						
7005-00 BACTERIOLOGICAL SUPPLIES	5,500.00	4,167.79	7,557.97	0.00	-2,057.97	137.42
7012-00 OPERATING EQUIPMENT	0.00	0.00	428.54	0.00	-428.54	0.00
7025-00 LAB CHEMICAL SUPPLIES	13,200.00	314.17	14,610.34	0.00	-1,410.34	110.68
7030-00 GENERAL LAB SUPPLIES	3,752.32	1,873.23	4,648.68	0.00	-896.36	123.89
7035-00 HOSES	6,000.00	0.00	0.00	0.00	6,000.00	0.00
7040-00 OIL AND GREASE SUPPLIES	1,100.00	0.00	0.00	0.00	1,100.00	0.00
7050-00 PAINT AND PAINT SUPPLIES	250.00	0.00	280.86	0.00	-30.86	112.34
7055-00 PROTECTIVE CLOTHING	1,000.00	0.00	0.00	0.00	1,000.00	0.00
7062-00 FILTER MEDIA	5,000.00	0.00	2,367.01	2,367.01	265.98	94.68
7065-00 SAFETY SUPPLIES	3,500.00	5,655.04	5,655.04	0.00	-2,155.04	161.57
7070-00 SMALL SHOP TOOLS	550.00	0.00	0.00	0.00	550.00	0.00
7071-00 TOOLS \$250 < \$2499	550.00	0.00	1,096.29	0.00	-546.29	199.33
7090-00 GENERAL OPERATING SUPPLIES	12,450.00	0.00	9,206.62	0.00	3,243.38	73.95
Total OPERATING SUPPLIES	52,852.32	12,010.23	45,851.35	2,367.01	4,633.96	91.23
7200 CONTRACT SERVICES						
7210-00 LABORATORY ANALYSIS SERVICE	16,500.00	63.00	9,861.14	0.00	6,638.86	59.76
7230-00 EQUIPMENT RENTAL	5,000.00	0.00	0.00	0.00	5,000.00	0.00
7240-00 LAUNDRY SERVICES	500.00	0.00	0.00	0.00	500.00	0.00
7270-00 PERMIT FEES	60,060.00	0.00	45,556.63	0.00	14,503.37	75.85
7271-00 SAFETY CERTIFICATION FEES - EQUIPMENT	2,420.00	0.00	0.00	0.00	2,420.00	0.00
Total CONTRACT SERVICES	84,480.00	63.00	55,417.77	0.00	29,062.23	65.60
7300 CHEMICALS						
7320-00 CHLORINE-RECLAMATION	1,400,000.00	27,987.50	791,063.66	0.00	608,936.34	56.50
7355-00 POLYALUMINUM CHLORIDE	251,000.00	45,252.54	178,560.03	0.00	72,439.97	71.14
7390-00 SODIUM HYPOCHLORITE	18,500.00	0.00	12,953.54	0.00	5,546.46	70.02
Total CHEMICALS	1,669,500.00	73,240.04	982,577.23	0.00	686,922.77	58.85

Expenditure Status Report

MONTEREY ONE WATER
5/1/2026 through 5/31/2026

04 SVRP Fund

050 RECLAMATION TERTIARY PLANT O&M

<i>Account Number</i>	<i>Adjusted Appropriation</i>	<i>Expenditures</i>	<i>Year-to-date Expenditures</i>	<i>Year-to-date Encumbrances</i>	<i>Balance</i>	<i>Prct Used</i>
7400 UTILITIES						
7425-00 ELECTRICITY - RECLAMATION	649,669.00	63,280.06	489,240.20	0.00	160,428.80	75.31
7450-00 GAS/NATURAL GAS - RECLAMATION	18,150.00	1,643.83	24,236.29	0.00	-6,086.29	133.53
7480-00 TELEPHONE/ALARM - RTP	2,100.00	0.00	0.00	0.00	2,100.00	0.00
Total UTILITIES	669,919.00	64,923.89	513,476.49	0.00	156,442.51	76.65
7600 MAINTENANCE & REPAIRS						
7610-00 BUILDING & GROUNDS REPAIRS	20,875.00	250.00	8,207.83	0.00	12,667.17	39.32
7615-00 CHLORINATOR/SULFONATOR REPAIR	267,500.00	2,066.78	156,515.88	0.03	110,984.09	58.51
7620-00 CNTRL.PANELS/INSTRUMENT REPAIR	41,800.00	149.85	30,354.28	0.00	11,445.72	72.62
7645-00 MONITORING/SAFETY EQUIP REPAIR	13,125.00	0.00	0.00	0.00	13,125.00	0.00
7670-00 PUMP REPAIR	47,700.00	0.00	50,125.63	0.00	-2,425.63	105.09
7678-00 CHEMICAL EQUIP REPAIR-PUMP STN	2,750.00	0.00	0.00	0.00	2,750.00	0.00
7685-00 GENERAL EQUIPMENT REPAIR	44,401.00	194.80	35,231.54	9,976.01	-806.55	101.82
Total MAINTENANCE & REPAIRS	438,151.00	2,661.43	280,435.16	9,976.04	147,739.80	66.28
7700 REIMBURSEABLE EXPENSES						
7796-00 SLUDGE DISPOSAL COSTS	128,250.00	0.00	0.00	0.00	128,250.00	0.00
7799-00 INDIRECT COSTS	675,414.00	56,284.50	619,129.50	0.00	56,284.50	91.67
Total REIMBURSEABLE EXPENSES	803,664.00	56,284.50	619,129.50	0.00	184,534.50	77.04
8000 NON-OPERATING EXPENSES						
8002-00 CAPITAL OUTLAY - EQUIPMENT	260,000.00	0.00	103,023.69	134,093.28	22,883.03	91.20
Total NON-OPERATING EXPENSES	260,000.00	0.00	103,023.69	134,093.28	22,883.03	91.20
Total SVRP Fund	5,333,442.00	362,429.56	3,891,655.25	186,940.48	1,254,846.27	76.47
Grand Total	5,333,442.00	362,429.56	3,891,655.25	186,940.48	1,254,846.27	76.47

FY 2026
Encumbrances as of May 31, 2026

SVRP 04-050		
Vendor Name	Amount	Description
Wesco Distribution	\$ 26,630.32	SVRP XFRM REPAIR
TM Process & Controls	\$ 4,921.17	SCADA Programming
Rexel USA	\$ 8,752.66	SVRP ADD CONDUCTIVITY TO BASIN
Howard Carter & Associates	\$ 200.00	SVRP STRUCTURE ENGINEERING DESIGN
Carbon Activated Corp	\$ 2,367.01	SVRP FILTER FOR ANTHRACITE MEDIA
UET Mixers	\$ 6,340.69	SVRP XCEL-350N 15HP RAPID MIXER
Southwest Valve, LLC	\$ 9,976.01	General Equipment Repair
Southwest Valve, LLC	\$ 127,752.59	42" BUTTERFLY VALVE, FLANGED CLASS 150, DUCTILE IRON BODY, DI DISC, STAINLESS STEM,
Total	\$ 186,940.45	

**Monterey One Water
CSIP Interim Expenditures Report
May 2026**

Monterey One Water (FY 2025-26)

7/14/2026

CSIP Interim Expenditures Report - May 2026

Account Description	WRA Adopted Budget	May 2026 Expenditures	YTD Expenditures	YTD Encumbrances	YTD Total	% Used	FY 2026 Jun-26 PROJECTION	FY 2026 Projection (10/15/25)	Estimated Budget Variance
Salaries, Wages & Bens	709,050	83,250	615,562	0	615,562	88%	700,000	709,050	9,050
Office Expenses	2,200	0	67	0	67	7%	1,000	2,200	1,200
Information Systems Exp	3,465	0	3,278	0	3,278	51%	6,400	3,465	(2,935)
Professional Services	120,000	750	50,848	37,260	88,108	73%	120,000	120,000	0
Operating Supplies	37,334	358	15,611	0	15,611	49%	31,925	37,334	5,409
Contract Services	43,000	736	7,446	0	7,446	33%	22,500	43,000	20,500
Utilities	693,580	37,558	435,791	0	435,791	73%	600,000	693,580	93,580
Repairs & Maintenance	112,938	96	44,758	0	44,758	42%	107,300	112,938	5,638
Vehicle Mileage Charges	17,500	0	11,407	0	11,407	52%	22,000	17,500	(4,500)
Indirect Costs	255,065	21,255	233,810	0	233,810	100%	233,613	255,065	21,452
Total Expenditures	1,994,132	144,004	1,418,578	37,260	1,455,838	79%	1,844,738	1,994,132	149,394
Capital Outlay	20,000	0	0	0	0	0%		20,000	0
Capital Improvement	0	0	0	0	0	0%		0	0
Grand Total	2,014,132	144,004	1,418,578	37,260	1,455,838	79%	1,844,738	2,014,132	149,394

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Expenditure Status Report

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MONTEREY ONE WATER
5/1/2026 through 5/31/2026

05 CSIP Fund

055 RECLAMATION DISTRIBUTION O & M

<u>Account Number</u>	<u>Adjusted Appropriation</u>	<u>Expenditures</u>	<u>Year-to-date Expenditures</u>	<u>Year-to-date Encumbrances</u>	<u>Balance</u>	<u>Prct Used</u>
5000 SALARIES AND WAGE EXPENSE						
5011-00 WAGES & BENEF ALLOCATED TO CIP	0.00	0.00	-240.09	0.00	240.09	0.00
5012-00 WAGES & BENEF.FROM DEPTS.	672,750.00	83,249.86	615,802.48	0.00	56,947.52	91.54
5020-00 OVERTIME	10,000.00	0.00	0.00	0.00	10,000.00	0.00
5030-00 STANDBY PAY	25,000.00	0.00	0.00	0.00	25,000.00	0.00
Total SALARIES AND WAGE EXPENSE	707,750.00	83,249.86	615,562.39	0.00	92,187.61	86.97
5100 EMPLOYEE BENEFITS						
Total EMPLOYEE BENEFITS	0.00	0.00	0.00	0.00	0.00	0.00
5200 EMPLOYEE OTHER BENEFITS						
5225-00 TRAINING	500.00	0.00	50.00	0.00	450.00	10.00
5230-00 CERTIFICATION FEES	300.00	0.00	0.00	0.00	300.00	0.00
5235-00 CONFERENCE/MEETINGS & TRAVEL	500.00	0.00	0.00	0.00	500.00	0.00
Total EMPLOYEE OTHER BENEFITS	1,300.00	0.00	50.00	0.00	1,250.00	3.85
6000 OFFICE EXPENSE						
6010-00 OFFICE SUPPLIES	500.00	0.00	0.00	0.00	500.00	0.00
6012-00 OFFICE/COMPUTER EQUIPMENT	500.00	0.00	0.00	0.00	500.00	0.00
6025-00 PRINTING AND DUPLICATING	100.00	0.00	0.00	0.00	100.00	0.00
6045-00 MEMBERSHIP DUES & PUBLICATIONS	700.00	0.00	0.00	0.00	700.00	0.00
6050-00 POSTAGE AND DELIVERY SERVICE	100.00	0.00	66.76	0.00	33.24	66.76
6060-00 OFFICE FURNISHINGS	300.00	0.00	0.00	0.00	300.00	0.00
Total OFFICE EXPENSE	2,200.00	0.00	66.76	0.00	2,133.24	3.03
6100 INFORMATION SYSTEMS EXPENSE						
6170-00 MISC SUPPORT SERVICES	3,465.00	0.00	3,277.67	0.00	187.33	94.59
Total INFORMATION SYSTEMS EXPENSE	3,465.00	0.00	3,277.67	0.00	187.33	94.59
6200 PROFESSIONAL SERVICES						

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Expenditure Status Report

MONTEREY ONE WATER
5/1/2026 through 5/31/2026

05 CSIP Fund

055 RECLAMATION DISTRIBUTION O & M

<i>Account Number</i>	<i>Adjusted Appropriation</i>	<i>Expenditures</i>	<i>Year-to-date Expenditures</i>	<i>Year-to-date Encumbrances</i>	<i>Balance</i>	<i>Prct Used</i>
6231-00 OUTSIDE CONTRACT WORK	60,000.00	0.00	13,219.52	16,000.00	30,780.48	48.70
6238-00 TECHNICAL SUPPORT	60,000.00	750.31	37,628.86	21,259.58	1,111.56	98.15
Total PROFESSIONAL SERVICES	120,000.00	750.31	50,848.38	37,259.58	31,892.04	73.42
7000 OPERATING SUPPLIES						
7005-00 BACTERIOLOGICAL SUPPLIES	4,000.00	0.00	2,949.83	0.00	1,050.17	73.75
7015-00 SCADA EQUIPMENT& SOFTWARE < \$2499	4,000.00	0.00	0.00	0.00	4,000.00	0.00
7025-00 LAB CHEMICAL SUPPLIES	3,200.00	127.84	2,179.97	0.00	1,020.03	68.12
7030-00 GENERAL LAB SUPPLIES	2,100.00	230.60	1,499.25	0.00	600.75	71.39
7035-00 HOSES	250.00	0.00	0.00	0.00	250.00	0.00
7040-00 OIL AND GREASE SUPPLIES	3,000.00	0.00	0.00	0.00	3,000.00	0.00
7050-00 PAINT AND PAINT SUPPLIES	600.00	0.00	0.00	0.00	600.00	0.00
7055-00 PROTECTIVE CLOTHING	800.00	0.00	183.19	0.00	616.81	22.90
7065-00 SAFETY SUPPLIES	200.00	0.00	0.00	0.00	200.00	0.00
7070-00 SMALL SHOP TOOLS	1,100.00	0.00	907.47	0.00	192.53	82.50
7071-00 TOOLS \$250 < \$2499	1,500.00	0.00	1,065.49	0.00	434.51	71.03
7090-00 GENERAL OPERATING SUPPLIES	16,584.00	0.00	6,826.08	0.00	9,757.92	41.16
Total OPERATING SUPPLIES	37,334.00	358.44	15,611.28	0.00	21,722.72	41.82
7200 CONTRACT SERVICES						
7210-00 LABORATORY ANALYSIS SERVICE	35,000.00	359.52	2,773.76	0.00	32,226.24	7.93
7230-00 EQUIPMENT RENTAL	5,000.00	0.00	0.00	0.00	5,000.00	0.00
7240-00 LAUNDRY SERVICES	3,000.00	376.90	4,672.38	0.00	-1,672.38	155.75
Total CONTRACT SERVICES	43,000.00	736.42	7,446.14	0.00	35,553.86	17.32
7300 CHEMICALS						
Total CHEMICALS	0.00	0.00	0.00	0.00	0.00	0.00
7400 UTILITIES						
7425-00 ELECTRICITY-RECLAMATION	690,000.00	37,318.13	433,680.51	0.00	256,319.49	62.85
7440-00 GARBAGE DISPOSAL-RECLAMATION	1,200.00	0.00	0.00	0.00	1,200.00	0.00

Expenditure Status Report

MONTEREY ONE WATER
5/1/2026 through 5/31/2026

05 CSIP Fund

055 RECLAMATION DISTRIBUTION O & M

<i>Account Number</i>	<i>Adjusted Appropriation</i>	<i>Expenditures</i>	<i>Year-to-date Expenditures</i>	<i>Year-to-date Encumbrances</i>	<i>Balance</i>	<i>Prct Used</i>
7471-00 TELEPHONE-CELLULAR	1,500.00	240.06	771.82	0.00	728.18	51.45
7490-00 WATER - DRINKING	880.00	0.00	1,338.05	0.00	-458.05	152.05
Total UTILITIES	693,580.00	37,558.19	435,790.38	0.00	257,789.62	62.83
7600 MAINTENANCE & REPAIRS						
7610-00 BUILDING & GROUNDS REPAIRS	10,000.00	0.00	728.38	0.00	9,271.62	7.28
7620-00 CNTRL.PANELS/INSTRUMENT REPAIR	42,263.00	95.50	35,010.98	0.00	7,252.02	82.84
7645-00 MONITORING/SAFETY EQUIP REPAIR	300.00	0.00	0.00	0.00	300.00	0.00
7685-00 GENERAL EQUIPMENT REPAIR	60,375.00	0.00	9,018.53	0.00	51,356.47	14.94
Total MAINTENANCE & REPAIRS	112,938.00	95.50	44,757.89	0.00	68,180.11	39.63
7700 REIMBURSEABLE EXPENSES						
7797-00 VEHICLE MILEAGE CHARGES	17,500.00	0.00	11,407.20	0.00	6,092.80	65.18
7799-00 INDIRECT COSTS	255,065.00	21,255.42	233,809.58	0.00	21,255.42	91.67
Total REIMBURSEABLE EXPENSES	272,565.00	21,255.42	245,216.78	0.00	27,348.22	89.97
8000 NON-OPERATING EXPENSES						
8002-00 CAPITAL OUTLAY - EQUIPMENT	20,000.00	0.00	0.00	0.00	20,000.00	0.00
Total NON-OPERATING EXPENSES	20,000.00	0.00	0.00	0.00	20,000.00	0.00
Total CSIP Fund	2,014,132.00	144,004.14	1,418,627.67	37,259.58	558,244.75	72.28
Grand Total	2,014,132.00	144,004.14	1,418,627.67	37,259.58	558,244.75	72.28

FY 2026
Encumbrances as of May 31, 2026

CSIP 05-055		
Vendor Name	Amount	Description
TM Process & Controls	\$ 6,267.55	SCADA Programming
Steven Pallad	\$ 6,300.00	CSIP Weekly Water Use Report
Rexel USA	\$ 8,692.03	Support Agreement
Tesco Controls	\$ 16,000.00	CSIP MOLERA SWITCHGEAR UPGRADE ARC FLASH RISK ASSESSMENT & STICKERS
Total	\$ 37,259.58	

**Monterey One Water
SRDF Interim Expenditures Report
May 2026**

Monterey One Water (FY 2025-26)
SRDF Interim Expenditures Report - May 2026

7/14/2025

Account Description	WRA Adopted Budget	May 2026 Expenditures	YTD Expenditures	YTD Encumbrances	YTD Total	% Used	FY 2026 PROJECTION	FY 2026 Projection (12/15/25)	Estimated Budget Variance
Salaries, Wages & Bens	140,598	38,497	211,707	0	211,707	98%	215,000	140,598	(74,402)
Office Expenses	2,500	0	0	0	0	0%	1,500	2,500	1,000
Information Systems Exp	5,460	0	3,278	0	3,278	51%	6,400	5,460	(940)
Professional Services	99,000	750	11,411	6,157	17,568	20%	90,000	99,000	9,000
Operating Supplies	11,200	0	6,139	0	6,139	47%	13,150	11,200	(1,950)
Contract Services	14,800	63	327	0	327	6%	5,400	14,800	9,400
Chemicals	425,500	0	180,874	0	180,874	52%	350,000	425,500	75,500
Utilities	603,786	65,827	374,457	0	374,457	65%	575,000	603,786	28,786
Repairs & Maintenance	92,766	112	22,014	0	22,014	26%	85,250	92,766	7,516
Sludge Disposal Costs	500	0	0	0	0	0%	500	500	0
Indirect Costs	202,436	16,870	185,566	0	185,566	95%	194,619	202,436	7,817
Total Expenditures	1,598,546	122,120	995,773	6,157	1,001,930	65.2%	1,536,819	1,598,546	61,727
Capital Outlay	0	0	0	0	0	0%		0	0
Capital Improvement	31,250	0	0	0	0	0%	31,250	31,250	0
Grand Total	1,629,796	122,120	995,773	6,157	1,001,930	64%	1,568,069	1,629,796	61,727

Expenditure Status Report

MONTEREY ONE WATER
5/1/2026 through 5/31/2026

06 SRDF Fund

057 SALINAS RIVER DIVERSION FACILITY O&M

<u>Account Number</u>	<u>Adjusted Appropriation</u>	<u>Expenditures</u>	<u>Year-to-date Expenditures</u>	<u>Year-to-date Encumbrances</u>	<u>Balance</u>	<u>Prct Used</u>
5000 SALARIES AND WAGE EXPENSE						
5012-00 WAGES & BENEF.FROM DEPTS.	140,598.00	38,497.44	211,706.63	0.00	-71,108.63	150.58
Total SALARIES AND WAGE EXPENSE	140,598.00	38,497.44	211,706.63	0.00	-71,108.63	150.58
5100 EMPLOYEE BENEFITS						
Total EMPLOYEE BENEFITS	0.00	0.00	0.00	0.00	0.00	0.00
5200 EMPLOYEE OTHER BENEFITS						
Total EMPLOYEE OTHER BENEFITS	0.00	0.00	0.00	0.00	0.00	0.00
6000 OFFICE EXPENSE						
6012-00 OFFICE/COMPUTER EQUIPMENT	2,500.00	0.00	0.00	0.00	2,500.00	0.00
Total OFFICE EXPENSE	2,500.00	0.00	0.00	0.00	2,500.00	0.00
6100 INFORMATION SYSTEMS EXPENSE						
6170-00 MISC SUPPORT SERVICES	5,460.00	0.00	3,277.67	0.00	2,182.33	60.03
Total INFORMATION SYSTEMS EXPENSE	5,460.00	0.00	3,277.67	0.00	2,182.33	60.03
6200 PROFESSIONAL SERVICES						
6231-00 OUTSIDE CONTRACT WORK	55,000.00	0.00	1,948.88	925.00	52,126.12	5.23
6238-00 TECHNICAL SUPPORT	44,000.00	750.31	9,461.82	5,232.15	29,306.03	33.40
Total PROFESSIONAL SERVICES	99,000.00	750.31	11,410.70	6,157.15	81,432.15	17.75
7000 OPERATING SUPPLIES						
7005-00 BACTERIOLOGICAL SUPPLIES	1,400.00	0.00	2,174.80	0.00	-774.80	155.34
7012-00 OPERATING EQUIPMENT	200.00	0.00	0.00	0.00	200.00	0.00
7015-00 SCADA EQUIPMENT& SOFTWARE < \$2499	1,500.00	0.00	0.00	0.00	1,500.00	0.00
7025-00 LAB CHEMICAL SUPPLIES	3,000.00	0.00	874.16	0.00	2,125.84	29.14
7030-00 GENERAL LAB SUPPLIES	500.00	0.00	644.79	0.00	-144.79	128.96

Expenditure Status Report

MONTEREY ONE WATER
5/1/2026 through 5/31/2026

06 SRDF Fund

057 SALINAS RIVER DIVERSION FACILITY O&M

<i>Account Number</i>	<i>Adjusted Appropriation</i>	<i>Expenditures</i>	<i>Year-to-date Expenditures</i>	<i>Year-to-date Encumbrances</i>	<i>Balance</i>	<i>Prct Used</i>
7035-00 HOSES/CLAMPS/CONNECTORS	200.00	0.00	0.00	0.00	200.00	0.00
7040-00 OIL AND GREASE SUPPLIES	800.00	0.00	515.98	0.00	284.02	64.50
7050-00 PAINT	500.00	0.00	0.00	0.00	500.00	0.00
7065-00 SAFETY SUPPLIES	300.00	0.00	0.00	0.00	300.00	0.00
7070-00 SMALL SHOP TOOLS < \$250	200.00	0.00	0.00	0.00	200.00	0.00
7071-00 TOOLS \$250 < \$2499	700.00	0.00	0.00	0.00	700.00	0.00
7090-00 GENERAL OPERATING SUPPLIES	1,900.00	0.00	1,929.66	0.00	-29.66	101.56
Total OPERATING SUPPLIES	11,200.00	0.00	6,139.39	0.00	5,060.61	54.82
7200 CONTRACT SERVICES						
7210-00 LABORATORY ANALYSIS SERVICE	12,000.00	63.00	327.04	0.00	11,672.96	2.73
7220-00 COMMUNICATIONS EQUIP. AND SERVICE	1,200.00	0.00	0.00	0.00	1,200.00	0.00
7230-00 EQUIPMENT RENTAL	1,600.00	0.00	0.00	0.00	1,600.00	0.00
Total CONTRACT SERVICES	14,800.00	63.00	327.04	0.00	14,472.96	2.21
7300 CHEMICALS						
7320-00 CHLORINE - SRDF	425,500.00	0.00	180,874.00	0.00	244,626.00	42.51
Total CHEMICALS	425,500.00	0.00	180,874.00	0.00	244,626.00	42.51
7400 UTILITIES						
7425-00 ELECTRICITY - SRDF	603,750.00	65,827.11	374,456.85	0.00	229,293.15	62.02
7471-00 CELLULAR SERVICE	36.00	0.00	0.00	0.00	36.00	0.00
Total UTILITIES	603,786.00	65,827.11	374,456.85	0.00	229,329.15	62.02
7600 MAINTENANCE & REPAIRS						
7610-00 BUILDING & GROUNDS MAINT & REPAIRS	9,559.00	112.44	112.44	0.00	9,446.56	1.18
7615-00 CHLORINATOR/SULFONATOR MAINT & REPAIR	52,307.00	0.00	5,609.38	0.00	46,697.62	10.72
7620-00 CNTRL.PANELS/INSTRUMENT MAINT & REPAIR	5,500.00	0.00	4,065.11	0.00	1,434.89	73.91
7645-00 MONITORING/SAFETY EQUIP MAINT & REPAIR	500.00	0.00	0.00	0.00	500.00	0.00
7670-00 PUMP MAINT & REPAIR-RTP	7,500.00	0.00	0.00	0.00	7,500.00	0.00
7685-00 GENERAL EQUIPMENT MAINT & REPAIR	17,400.00	0.00	12,227.51	0.00	5,172.49	70.27

Expenditure Status Report

MONTEREY ONE WATER
5/1/2026 through 5/31/2026

06 SRDF Fund

057 SALINAS RIVER DIVERSION FACILITY O&M

<i>Account Number</i>	<i>Adjusted Appropriation</i>	<i>Expenditures</i>	<i>Year-to-date Expenditures</i>	<i>Year-to-date Encumbrances</i>	<i>Balance</i>	<i>Prct Used</i>
Total MAINTENANCE & REPAIRS	92,766.00	112.44	22,014.44	0.00	70,751.56	23.73
7700 REIMBURSEABLE EXPENSES						
7796-00 SLUDGE DISPOSAL COSTS	500.00	0.00	0.00	0.00	500.00	0.00
7799-00 INDIRECT COSTS	202,436.00	16,869.67	185,566.33	0.00	16,869.67	91.67
Total REIMBURSEABLE EXPENSES	202,936.00	16,869.67	185,566.33	0.00	17,369.67	91.44
8000 NON-OPERATING EXPENSES						
Total NON-OPERATING EXPENSES	0.00	0.00	0.00	0.00	0.00	0.00
Total SRDF Fund	1,598,546.00	122,119.97	995,773.05	6,157.15	596,615.80	62.68
Grand Total	1,598,546.00	122,119.97	995,773.05	6,157.15	596,615.80	62.68

FY 2026
Encumbrances as of May 31, 2026

SRDF 06-057		
Vendor Name	Amount	Description
TM Process & Controls	\$ 5,232.15	SCADA Programming
Intelli-Tech	\$ 925.00	SRDF Annual Fire Alarm Inspections
Total	\$ 6,157.15	



County of Monterey

Item No.5

Board Report

Board of Supervisors
Chambers
168 W. Alisal St., 1st Floor
Salinas, CA 93901

Legistar File Number: WRAFIN 26-007

August 07, 2026

Introduced: 6/18/2026

Current Status: Agenda Ready

Version: 1

Matter Type: WRA Finance Item

Set the next meeting date and discuss future agenda items.