# MONTEREY COUNTY RESOURCE MANAGEMENT AGENCY DEPARTMENT OF PUBLIC WORKS

# **BOOK TWO**

### **BID FORM**

CASTROVILLE BICYCLE/PEDESTRIAN PATH AND RAILROAD CROSSING PROJECT NO. 8622 Federal Aid Project No: RSTPLE-5944(111)

July 26, 2016



# MONTEREY COUNTY BOARD OF SUPERVISORS GOVERNMENT CENTER, 168 W. ALISAL STREET, 1<sup>ST</sup> FLR, CONFERENCE ROOM 1032 SALINAS, CALIFORNIA 93901-2437

## **BOOK TWO**

# **BID FORM**

# CASTROVILLE BICYCLE/PEDESTRIAN PATH AND RAILROAD CROSSING PROJECT NO. 8622 Federal Aid Project No: RSTPLE-5944(111)

NAME OF BIDDER						
BUSINESS P.O. BOX						
CITY, STATE, ZIP						
BUSINESS STREET ADDRESS						
CITY, STATE, ZIP	-	(Please include even if P.O. Box used)				
TELEPHONE NO:	AREA CODE (	)				
TELETHONE NO.	AREA CODE (	)				
FAX NO:	AREA CODE (	)				
CONTRACTOR LICEN	SE NO					

MARY GRACE PERRY Deputy County Counsel

# **BOOK TWO**

# TABLE OF CONTENTS

	Page No.
BID FORM	4
LIST OF SUBCONTRACTORS	16
EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION	19
PUBLIC CONTRACT CODE	20
NONCOLLUSION DECLARATION	22
DEBARMENT AND SUSPENSION CERTIFICATION	23
NONLOBBYING CERTIFICATION FOR FEDERAL-AID CONTRACTS	24
DISCLOSURE OF LOBBYING ACTIVITIES	25
INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING	ACTIVITIES 26
STATEMENT CONCERNING EMPLOYMENT OF UNDOCUMENTED ALIENS	27
CONTRACTOR'S CERTIFICATE AS TO WORKER'S COMPENSATION	28
LIST OF SATISFIED PUBLIC AGENCIES	29
EXHIBIT 15-G LOCAL AGENCY BIDDER DBE COMMITMENT	30
(CONSTRUCTION CONTRACTS)	30
INSTRUCTIONS – EXHIBIT 15-G LOCAL AGENCY BIDDER DBE COMMITMENT	
EXHIBIT 15-H DBE INFORMATION – GOOD FAITH EFFORTS	32
BIDDER'S BOND	35
WAIVER FOR PAYMENT ADJUSTMENT FOR PRICE INDEX FLUCTUATIONS	37

### **BID FORM**

# CASTROVILLE BICYCLE/PEDESTRIAN PATH AND RAILROAD CROSSING PROJECT NO. 8622

Federal Aid Project No: RSTPLE-5944(111)

TO: MONTEREY COUNTY BOARD OF SUPERVISORS

Government Center 168 W. Alisal Street, 1<sup>st</sup> Floor, Room 1032 Salinas, California 93901-2683

1. Pursuant to and in compliance with the Notice to Bidders Inviting Formal Bids and with the other documents relating thereto, the undersigned bidder, having become familiar with the terms of the contract, the local conditions affecting the performance of the contract, the cost of the work at the place where the work is to be done, the project plans and specifications, and the other contract documents, hereby proposes and agrees to perform within the time hereinafter set forth the said Specifications and shown on the plans accompanying them, and to provide and furnish any and all labor, materials, equipment, transportation, utilities, and services necessary to perform the contract and complete in a workmanlike manner all of the work required in connection with the following project: CASTROVILLE BICYCLE/PEDESTRIAN PATH RAILROAD CROSSING, all in strict conformity with the specifications and other contract documents, including all addenda for the sum hereinafter stated:

# CASTROVILLE BICYCLE/PEDESTRIAN PATH AND RAILROAD CROSSING

PROJECT NO. 8622

Federal Aid Project No: RSTPLE-5944(111)

Item No.	Item Code	F-P	Description	Unit	Quantity	Unit cost	Amount
1	050002A RAILROAD TRAINING		RAILROAD TRAINING	LS	1		
2	070030		LEAD COMPLIANCE PLAN	LS	1		
3	080050		PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LS	1		
4	090100		TIME-RELATED OVERHEAD	WDAY	280		
5	120090		CONSTRUCTION AREA SIGNS	LS	1		
6	120100		TRAFFIC CONTROL SYSTEM	LS	1		
7	130100		JOB SITE MANAGEMENT	LS	1		
8	130300		PREPARE STORM WATER POLLUTION PREVENTION PLAN	LS	1		
9	130310		RAIN EVENT ACTION PLAN	EA	10		
10	130330		STORM WATER ANNUAL REPORT	EA	1		
11	130620		TEMPORARY DRAINAGE INLET PROTECTION	EA	10		
12	130710		TEMPORARY CONSTRUCTION ENTRANCE	EA	2		
13	130900		TEMPORARY CONCRETE WASHOUT BIN	EA	2		
14	141102		REMOVE YELLOW PAINTED PAVEMENT MARKING (HAZARDOUS WASTE)	SQFT	80	,	
15	146001		CONTRACTOR SUPPLIED BIOLOGIST	LS	1		
16	150606		REMOVE FENCE (TYPE BW)	LF	310		
17	150608		REMOVE CHAIN LINK FENCE	LF	1,290		
18	150771		REMOVE ASPHALT CONCRETE DIKE	LF	60		

Item No.	Item Code	P-F	Description	Unit	Quantit y	Unit cost	Amount
19	150812		REMOVE PIPE	LF	60		
20	150821		REMOVE HEADWALL	EA	1		
21	151281		SALVAGE ROADSIDE SIGN	EA	4		
22	152320		RESET ROADSIDE SIGN	EA	6		
23	151555A		RECONSTRUCT GATE	EA	2		
24	152382A		RECONSTRUCT GATE POST	EA	2		
25	152440		ADJUST MANHOLE TO GRADE	EA	1		
26	160101		CLEARING AND GRUBBING	LS	1		
27	170101		DEVELOP WATER SUPPLY	LS	1		
28	190101		ROADWAY EXCAVATION	CY	2,090		
29	192003	(F)	STRUCTURE EXCAVATION (BRIDGE)	CY	509		
30	193003	(F)	STRUCTURE BACKFILL (BRIDGE)	CY	292		
31	198012A		BIORETENTION SOIL MEDIA (BSM)	CY	224		
32	205034		DECOMPOSED GRANITE	SQFT	12,220		
33	205036A		WEED CONTROL MAT (FIBER)	SQYD	270		
34	210430		HYDROSEED	SQFT	13,910		
35	260203		CLASS 2 AGGREGATE BASE	CY	690		
36	390132		HOT MIX ASPHALT (TYPE A)	TON	1,140		
37	394050		RUMBLE STRIP	LF	32		
38	394073		PLACE HOT MIX ASPHALT DIKE (TYPE A)	LF	50		
39	490616		84" CAST-IN-DRILLED- HOLE CONCRETE PILING	LF	562	н	

Item No.	Item Code	P-F	Description	Unit	Quantit y	Unit cost	Amount
40	495103	(P)	FURNISH 16" CAST-IN- STEEL SHELL CONCRETE PILING	LF	3,224		
41	495104		DRIVE 16" CAST-IN- STEEL SHELL CONCRETE PILE	EA	92		
42	500001	(P)	PRESTRESSING CAST-IN- PLACE CONCRETE	LS	1		
43	510051	(F)	STRUCTURAL CONCRETE, BRIDGE FOOTING	CY	138		
44	510053	(F)	STRUCTURAL CONCRETE, BRIDGE	CY	1,624		
45	510501	(F)	MINOR CONCRETE	CY	18		
46	510502	(F)	MINOR CONCRETE (MINOR STRUCTURE)	CY	1		
47	518051	(P)	PTFE SPHERICAL BEARING	EA	4		
48	519092	(P)	JOINT SEAL ASSEMBLY (MR 2 1/2")	LF	24		
49	519097	(P)	JOINT SEAL ASSEMBLY (MR 5")	LF	12		
50	519099	(P)	JOINT SEAL ASSEMBLY (MR 6")	LF	12		
51	519101		JOINT SEAL (TYPE A)	LF	14		
52	520101	P-F	BAR REINFORCING STEEL	LB	50		
53	520102	P-F	BAR REINFORCING STEEL (BRIDGE)	LB	654,901		
54	520120	P-F	HEADED BAR REINFORCEMENT	EA	68		
55	560248		FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	SQFT	200		
56	566011		ROADSIDE SIGN - ONE POST	EA	35		
57	641107	(P)	18" PLASTIC PIPE (HDPE, TYPE C)	LF	150		
58	650311	(P)	18" REINFORCED CONCRETE PIPE (CLASS III)	LF	18		
59	680902	(P)	6" PERFORATED PLASTIC PIPE	LF	310		

Item No.	Item Code	P-F	Description	Unit	Quantit y	Unit cost	Amount
			UNDERDRAIN				

Item No.	Item Code	P-F	Description	Unit	Quantit y	Unit cost	Amount
60	680903	(P)	6" NON-PERFORATED PLASTIC PIPE UNDERDRAIN	LF	170		
61	682040		CLASS 2 PERMEABLE MATERIAL	CY	100		
62	705011		18" STEEL FLARED END SECTION	EA	2		
63	707107A		OVERFLOW DRAIN	EA	2		
64	707118A		DRAINAGE INLET (TYPE G1)	EA	1		
65	707119A		CONCRETE PIPE INLET (TYPE GCP)	EA	2		
66	721028	(F)	ROCK SLOPE PROTECTION (NO.2, METHOD B) (CY)	CY	12		
67	730070		DETECTABLE WARNING SURFACE	SQFT	160		
68	731623		MINOR CONCRETE (CURB RAMP)	CY	3		
69	733000		PRE/POST CONSTRUCTION SURVEYS	EA	2		
70	750007		FRAME AND GRATE	EA	3		
71	750041	P-F	ISOLATION CASING	LB	3,492		
72	750498	P-F	MISCELLANEOUS METAL (RESTRAINER - CABLE TYPE)	LB	2,735		
73	750501	P-F	MISCELLANEOUS METAL (BRIDGE)	LB	2,030		
74	800002	(P)	FENCE (TYPE BW, WOOD POST)	LF	750		
75	800103		TEMPORARY FENCE (TYPE CL-6)	LF	2,790		
76	800360	(P)	CHAIN LINK FENCE (TYPE CL-6)	LF	1,220		
77	833033	P-F	CHAIN LINK RAILING TYPE 7 (Mod)	LF	682		
78	833040	P-F	BICYCLE RAILING	LF	2,248		
79	840519		THERMOPLASTIC CROSSWALK & PAVEMENT MARKINGS	SQFT	830		

Item No.	Item Code	P-F	Description	Unit	Quantit y	Unit cost	Amount
80	840524A		4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 9-3)	LF	3,870		
81	860402	(P)	LIGHTING (CITY STREET)	LS	1		
82	860760A		LIGHTING (BRIDGE)	LS	1		
83	860605A		RECTANGULAR RAPID FLASHING BEACON SYSTEM	LS	1		
84	999990		MOBILIZATION	LS	1		
			TOTAL BASE BID			1	
		AD	DITIVE ALTERNATE A				
A-1	066009A		RESIDENT ENGINEERS OFFICE	LS	1		
	ADDITIVE ALTERNATE B						
B-1	511064		FRACTURED RIB TEXTURE (STAINED)	SQFT	11,596		
		AD	DITIVE ALTERNATE C				
C-1	562004A		METAL LOGO FEATURE	EA	52		
		AD	DITIVE ALTERNATE D				
D-1	598001		ANTI-GRAFFITI COATING	SQFT	6,702		
		AD	DITIVE ALTERNATE E				
E-1	860760A		FURNISH AND INSTALL ELECTRICAL CONDUCTORS	LS	1		
E-2	860760A		PULLBOX	EA	7		
E-3	860760A		DECK LIGHTS (CURB)	EA	48		
E-4	860760A		DECK LIGHTS (POSTS)	EA	194		
E-5	860760A		METER PEDESTAL	EA	2		

Item No.	Item Code	P-F	Description	Unit	Quantit y	Unit cost	Amount
E-6	860760A		2" PVC CONDUIT	LF	710		
	TOTAL BASE BID PLUS ALTERNATES A-E						

P – Partial Pay Item F – Final Pay Item

2. Bids are required for the entire work. The undersigned understands that the quantities given are approximate only, being given as a basis for the comparison of Bids, and the County of Monterey does not, expressly or by implication, agree that the actual amount of work shall correspond therewith, but reserves the right to increase or decrease the amount of any portion of the work, or to omit portions of the work, as may be deemed necessary without claim for damage or loss of anticipated profit and that payment shall be made only on the basis of the actual quantities of work performed.

The bidder shall set forth for each unit basis item of work a unit price and a total for the item, and for each lump sum item a total for the item, all in clearly legible figures in the respective spaces provided for that purpose. In the case of unit basis items, the amount set forth under the "Item Total" column shall be the product of the unit price bid and the estimated quantity for the item.

The basis of award to the lowest responsive, responsible bidder will be the lowest TOTAL BASE BID.

The bid submitted must not contain erasures, interlineations, or other corrections unless each such correction is suitably authenticated by affixing in the margin immediately opposite the correction the surname or surnames of the person or persons signing the bid, in the named person's own handwriting.

In case of discrepancy between the unit price and the item total price set forth for the item, the unit price shall prevail; provided, however, if the amount set forth as the unit price is ambiguous, unintelligible or uncertain for any cause, or is omitted, the amount set forth in the "Item Total" column for the item shall prevail in accordance with the following:

- (1) As to lump sum items, the amount set forth in the "Item Total" column shall be the unit price.
- (2) As to unit basis items, the amount set forth in the "Item Total" column shall be divided by the estimated quantity for the item, and the price thus obtained shall be the unit price.
- 3. The undersigned has checked all of the above figures carefully and understands that the County of Monterey (also referred to herein as "Owner") will not be responsible for any errors and omissions on the part of the undersigned in making this bid.

Symbols such as commas and dollar signs will be ignored and have no mathematical significance in establishing any unit price or item total or lump sums. Written unit prices, item totals and lump sums will be interpreted according to the number of digits and, if applicable, decimal placement. Cents symbols also have no significance in establishing any unit price or item total since all figures are assumed to be expressed in dollars and/or decimal fractions of a dollar.

The foregoing provisions for the resolution of specific irregularities cannot be so comprehensive as to cover every omission, inconsistency, error or other irregularity which may occur in a bid. Any situation not specifically provided for will be determined in the

discretion of the Owner, and that discretion will be exercised in the manner deemed by the Owner to best protect the public interest in the prompt and economical completion of the work. The decision of the Owner respecting the amount of a bid, or the existence or treatment of an irregularity in a bid, shall be final.

- 4. It is understood that the Owner reserves the right to reject any and all bids or waive any irregularities or informalities in the bidding.
- 5. This bid shall remain valid and will not be withdrawn by the undersigned bidder for a period of ninety (90) days from the date prescribed for opening of this bid.
- 6. Attached hereto are the following:
  - (1) List of Subcontractors
  - (2) Equal Employment Opportunity Certification
  - (3) Public Contract Code

Section 10285.1 Statement

Section 10162 Questionnaire

Section 10232 Statement

- (4) Noncollusion Declaration
- (5) Debarment and Suspension Certification
- (6) NonLobbying Certification For Federal-Aid Contracts
- (7) Disclosure of Lobbying Activities
- (8) Instructions For Completion of SF-LLL, Disclosure of Lobbying Activities
- (9) Statement Concerning Employment of Undocumented Aliens
- (10) Contractor's Certificate as to Worker's Compensation
- (11) List of Satisfied Public Agencies
- (12) Exhibit 15-G Local Agency Bidder DBE Commitment (Construction Contracts)
- (13) Instructions-Exhibit 15-G Local Agency Bidder DBE Commitment (Construction Contracts)
- (14) Exhibit 15-H DBE Information-Good Faith Efforts
- (15) Bidder's Bond
- (16) Waiver for Payment Adjustment for Price Index Fluctuations
- 7. If this bid is accepted by the Owner, then the undersigned shall, within ten (10) business days after receipt of the Letter of Intent to Award, execute and deliver to the Owner (a) a contract in the form set forth in the contract documents on which this bid is based, (b) a payment bond for public works, as required by the contract documents, (c) a performance bond, as similarly required, and (d) insurance certificates showing all required insurance coverage. The undersigned will thereafter commence and complete the work within the time required by the contract documents.

In the event the bidder to whom the Letter of Intent to Award Contract is given fails or refuses to contract as required, including to post the required bonds, provide the insurance certificates, and return the executed copies of the agreement form within ten (10) business days from the date of receiving the Letter of Intent to Award Contract, the County may, at its option, determine that the bidder has abandoned the contract, reject the

	property of the County of Monterey.
8.	Notice of acceptance and any requests for additional information should be addressed to the undersigned at the following address:
9.	The names of all persons interested in the foregoing proposal as principals are as follows:
	(IMPORTANT NOTICE: If the bidder or other interested person is a corporation, state the legal name of the corporation, and the names of the president, secretary, treasurer, and manager thereof; if a partnership, state the name of the firm and the names of all the individual partners composing the firm; if the bidder or other interested person is an individual, state the first and last names in full and give all fictitious names under which the individual does business.)
10.	By execution of this bid, the undersigned bidder declares that he or she is a contractor licensed in accordance with the Contractors' State License Law, as follows:
	Classification: License number: Expiration date:
11.	ADDENDA - This Bid is submitted with respect to the changes to the contract included in addenda number(s),,,,,, and If the Bidder submits this bid without all issued addenda, the agency finds your bid nonresponsive.
	(Fill in addenda numbers if addenda have been received and insert, in this Bid, any Engineer's Estimate sheets that were received as part of the addenda. By signing this Bid on the signature portion thereof, the Bidder acknowledges receipt of all addenda.)
12.	Pursuant to Section 7103.5(b) of the Public Contract Code, in submitting a bid to the County, the bidder offers and agrees that if the bid is accepted, it will assign to the County all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 USC. Section 15) or under the Cartwright Act (Chapter 2 [commencing with Section 16700] of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder.

bid, and declare the bidder's security forfeited as damages and same shall become the

By my signature on this bid I certify, under penalty of perjury under the laws of the State of California, the foregoing questionnaire and statements of Public Contract Code Sections 10162, 10232 and 10285.1 are true and correct and the bidder has complied with the requirements of Section 8103 of the Fair Employment and Housing Commission Regulations (Chapter 5, Title 2 of the California Administrative Code). By my signature on this Bid I further certify, under penalty of perjury under the laws of the State of California and the United States of America, the Equal Employment Opportunity Certification; the Title 49 Code of Federal Regulations, Part 29 Debarment and Suspension Certification; the Statement Concerning Employment of Undocumented Aliens; and the Contractor's Certification as to Worker's Compensation are true and correct.

Dated:	
	Bidder's Business Name
	By:
	Print Name:Title:
	Title.
	D.
	By:
	Print Name:
	Title:

(NOTE: If bidder is a corporation, the full legal name of the corporation shall be set forth above together with the signatures of authorized officers or agents and the document shall bear the corporate seal; if bidder is a partnership, the full name of the firm shall be set forth above together with the signature of the partner or partners authorized to sign contracts on behalf of the partnership; and if bidder is an individual, his signature shall be placed above.)

### **LIST OF SUBCONTRACTORS**

In compliance with the Subletting and Subcontracting Fair Practices Act (Chapter 4 [commencing with Section 4100], Part 1, Division 2 of the Public Contract Code) and any amendments thereto, each bidder shall set forth below: (a) the name and the location of the place of business of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the work or improvement to be performed under this contract or a subcontractor licensed by the State of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the work or improvement according to detailed drawings contained in the plans and specifications in an amount in excess of one-half of one percent of the prime contractor's total bid or, in the case of bids or offers for the construction of streets or highways, including bridges, in excess of one-half of one percent of the prime contractor's total bid or \$10,000, whichever is greater, and (b) the portion of the work which will be done by each subcontractor under this Act. The prime contractor shall list only one (1) subcontractor for each such portion as is defined by the prime contractor in this bid.

If a prime contractor fails to specify a subcontractor or if a prime contractor specifies more than one subcontractor for the same portion of the work to be performed under the contract in excess of one-half of one percent of the prime contractor's total bid, he shall be deemed to have agreed that he is fully qualified to perform that portion himself, and that he shall perform that portion himself.

No prime contractor whose bid is accepted shall: (a) substitute any subcontractor, (b) permit any subcontract to be voluntarily assigned or transferred or allow it to be performed by anyone other than the original subcontractor listed in the original bid, or (c) sublet or subcontract any portion of the work in excess of one-half of one percent of the prime contractor's total bid as to which his/her/its original bid did not designate a subcontractor, except as authorized in the Subletting and Subcontracting Fair Practices Act. Subletting or subcontracting of any portion of the work in excess of one-half of one percent of the prime contractor's total bid as to which no subcontractor was designated in the original bid shall only be permitted in cases of public emergency or necessity, and then only after a finding reduced to writing as a public record of the authority awarding this contract setting forth the facts constituting the emergency or necessity.

Check this box if no subcontractors performed or services to be rendered	s are required to be listed for work or labor to be ed.			
	Bidder's business name			
	By:			
	Print Name:			
	Title:			

# BIDDER'S LIST OF SUBCONTRACTORS (DBE and NON-DBE)- PART I

The bidder shall list all subcontractors (both DBE and non-DBE) in accordance with Section 2-1.054 of the Standard Specifications and per Title 49, Section 26.11 of the Code of Federal Regulations. This listing is required in addition to listing DBE Subcontractors elsewhere in the proposal. Photocopy this form for additional firms.

Time Mana	11:1			
FILM Name	License #/	Annuai		
Address/	Phone/	Gross	Description of Portion of Work to be Performed	Certified DBE?
City, State, ZIP	Fax	Receipts		
Name	Lic.#	☐ < \$1 million		
		☐ < \$5 million		ONO
Address	Phone	☐ < \$10 million		If YES list DBE #:
		□ < \$15 million		
City State ZIP	Fax	☐ > \$15 million		Age of Firm (Yrs.)
Name	Lic.#	☐ < \$1 million		YES
		☐ < \$5 million		ONO
Address	Phone	☐ < \$10 million		If YES list DBE #:
		☐ < \$15 million		
City State ZIP	Fax	□ > \$15 million		Age of Firm (Yrs.)
Name	Lic.#	☐ < \$1 million		TYES
		☐ < \$5 million		ON
Address	Phone	☐ < \$10 million		If YES list DBE #:
		☐ < \$15 million		
City State ZIP	Fax	☐ > \$15 million		Age of Firm (Yrs.)
Name	Lic.#	☐ < \$1 million		YES
		☐ < \$5 million		ON
Address	Phone	☐ < \$10 million		If YES list DBE #:
		☐ < \$15 million		
City State ZIP	Fax	□ > \$15 million		Age of Firm (Yrs.)

Distribution: 1) Original - Local Agency File

# BIDDER'S LIST OF SUBCONTRACTORS (DBE and NON-DBE)- PART II

The bidder shall list all subcontractors who provided a quote or bid but were not selected to participate as a subcontractor on this project. This is required for compliance with Title 49, Section 26 of the Code of Federal Regulations. If this form is not submitted with the bid, it must be received by the Agency no later than 4:00 p.m. on the 4<sup>th</sup> business day after bid opening. Photocopy this form for additional firms.

	Certified DBE?		ON	If YES list DBE #:	***************************************	Age of Firm (Yrs.)			If YES list DBE #:		Age of Firm (Yrs.)		ON	If YES list DBE #:		Age of Firm (Yrs.)		ON	If YES list DBE #:		Age of Firm (Yrs.)	
	Description of Portion of Work to be Performed																					
Annual	Gross Receipts	□ < \$1 million	☐ < \$5 million	□ < \$10 million	□ < \$15 million	□ > \$15 million	□ < \$1 million	□ < \$5 million	□ < \$10 million	☐ < \$15 million	□ > \$15 million	□ < \$1 million	☐ < \$5 million	□ < \$10 million	☐ < \$15 million	□ > \$15 million	☐ < \$1 million	□ < \$5 million	□ < \$10 million	☐ < \$15 million	□>\$15 million	いい かいかい ちょうかん 女子に はりこうし
License #/	Phone/ Fax	Lic.#		Phone		Fax	Lic.#		Phone		Fax	Lic.#		Phone		Fax	Lic.#		Phone		Fax	
Firm Name/	Address/ City, State, ZIP	Name		Address		City State ZIP	Name		Address		City State ZIP	Name		Address		City State ZIP	Name		Address		City State ZIP	

Distribution: 1) Original - Local Agency File

# **EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**

The bidder
Note: The above Certification is part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of this Certification. Providing false information may result in criminal prosecution or administrative sanctions.

# **PUBLIC CONTRACT CODE**

# PUBLIC CONTRACT CODE SECTION 10285.1 STATEMENT

In accordance with Public Contract Code Section 10285.1 (Chapter 376, Stats. 1985), the bidder hereby declares under penalty of perjury under the laws of the State of California that the bidder has, has not been convicted within the preceding three (3) years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or Federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Public Contract Code Section 1101, with any public entity, as defined in Public Contract Code Section 1101, with any public entity, as defined in Public Contract Code Section 1100, including the Regents of the University of California or the Trustees of the California State University. The term "bidder" is understood to include any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.
Note: The bidder must place a check mark after "has" or "has not" in one of the blank spaces provided.
Public Contract Code Section 10162 Questionnaire
In accordance with Public Contract Code Section 10162, the Bidder shall complete, under penalty of perjury, the following questionnaire:
Has the bidder, any officer of the bidder, or any employee of the bidder who has a proprietary interest in the bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?
Yes No
If the answer is yes, explain the circumstances in the following space.

### PUBLIC CONTRACT CODE SECTION 10232 STATEMENT

In accordance with Public Contract Code Section 10232, the Contractor, hereby states under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the Contractor within the immediately preceding two (2) year period because of the Contractor's failure to comply with an order of a federal court which orders the Contractor to comply with an order of the National Labor Relations Board.

Note: The above Public Contract Code Statements and Questionnaire are part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of these Statements and Questionnaire. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

# NONCOLLUSION DECLARATION TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

(Public Contract Code Section 7106)

The undersigned declares:		
I am the	of	, the party
making the foregoing bid.		
company, association, organization bidder has not directly or indirect The bidder has not directly or in anyone else to put in a sham bid, or indirectly, sought by agreement bidder or any other bidder, or to any other bidder. All statements of submitted his/her/its bid price or anyone data relative thereto, to any	the interest of, or on behalf of, any on, or corporation. The bid is genuine tly induced or solicited any other bidded and indirectly colluded, conspired, conniver or to refrain from bidding. The bidder of the communication, or conference with a fix any overhead, profit, or cost elements of the contained in the bid are true. The bidder of the contents of the conte	and not collusive or sham. The ler to put in a false or sham bid d, or agreed with any bidder or r has not in any manner, directly anyone to fix the bid price of the ent of the bid price, or of that of er has not, directly or indirectly thereof, or divulged information association, organization, bid
joint venture, limited liability c	s declaration on behalf of a bidder the company, limited liability partnership power to execute, and does execute,	p, or any other entity, hereby
true and correct and that this decla	Sperjury under the laws of the State of aration is executed on	
[city],	[state].	
	Signature:	
	Print Name:	

# **DEBARMENT AND SUSPENSION CERTIFICATION**

Title 2, Code of Federal Regulations, Part 180

The bidder, under penalty of perjury, certifies that, except as noted below, he/she or any person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past three (3) years;
- does not have a proposed debarment pending; and,
- has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Note: The above Certification is part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of this Certification. Providing false information may result in criminal prosecution or administrative sanctions.

# **NONLOBBYING CERTIFICATION FOR FEDERAL-AID CONTRACTS**

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- (l) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

DISCLOSURE OF LOBBYING ACTIVITIES
COMPLETE THIS FORM TO DISCLOSE LOBBYING ACTIVITIES PURSUANT TO 31 U.S.C. 1352

b. grant b. initial av c. cooperative agreement c. post-awa d. loan	/application a. initial b. material change ard For Material Change Only:
e. loan guarantee f. loan insurance	year quarter date of last report
4. Name and Address of Reporting Entity  Prime Subawardee Tier, if known	5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:
Congressional District, if known	Congressional District, if known
6. Federal Department/Agency:	7. Federal Program Name/Description:
	CFDA Number, if applicable
8. Federal Action Number, if known:	9. Award Amount, if known:
10. a. Name and Address of Lobby Entity (If individual, last name, first name, MI)	<ul> <li>b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI)</li> </ul>
(attach Continuation S	Sheet(s) if necessary)
11. Amount of Payment (check all that apply)	13. Type of Payment (check all that apply)
\$ actual planned	a. retainer
	b. one-time fee
12. Form of Payment (check all that apply):	c. commission
a. cash	d. contingent fee e deferred
b. in-kind; specify: nature	f. other, specify
14. Brief Description of Services Performed or to be performed or to be performed or to be performed, or member(s) contacted, for	erformed and Date(s) of Service, including
(attach Continuation	n Sheet(s) if necessary)
15. Continuation Sheet(s) attached: Yes	No
16. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying reliance was placed by the tier above when his transaction	Signature:
was made or entered into. This disclosure is required	Print Name:
pursuant to 31 U.S.C. 1352. This information will be reported to Congress semiannually and will be available for	
public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than	Title:
\$10,000 and not more than \$100,000 for each such failure.	Telephone No.: Date:
Federal Use Only:	Authorized for Local Reproduction Standard Form - LLL

Standard Form LLL Rev. 09-12-9

# INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of covered Federal action or a material change to previous filing pursuant to title 31 U.S.C. section 1352. The filing of a form is required for such payment or agreement to make payment to lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with a covered Federal action. Attach a continuation sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is or expects to be a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the first tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in Item 4 checks "Subawardee" then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
- 6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organization level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
- 8. Enter the most appropriate Federal identifying number available for the Federal action identification in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract grant. or loan award number, the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
- 10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influenced the covered Federal action.
  - (b) Enter the full names of the individual(s) performing services and include full address if different from 10 (a). Enter Last Name, First Name and Middle Initial (Ml).
- 11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
- 12. Check the appropriate box. Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
- 13. Check the appropriate box. Check all boxes that apply. If other, specify nature.
- 14. Provide a specific and detailed description of the services that the lobbyist has performed or will be expected to perform and the date(s) of any services rendered. Include all preparatory and related activity not just time spent in actual contact with Federal officials. Identify the Federal officer(s) or employee(s) contacted or the officer(s) employee(s) or Member(s) of Congress that were contacted.
- 15. Check whether or not a continuation sheet(s) is attached.
- 16. The certifying official shall sign and date the form, print his/her name title and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

# STATEMENT CONCERNING EMPLOYMENT OF UNDOCUMENTED ALIENS

(Public Contract Code Section 6101)

In conformance with Public Contract Code Section 6101, the bidder certifies compliance with state and federal law with respect to the employment of undocumented aliens by signing this proposal on the signature portion thereof. Public Contract Code section 6101 provides that no state agency or department, as defined in Section 10335.7, that is subject to this code, shall award a public works or purchase contract to a bidder or contractor, nor shall a bidder or contractor be eligible to bid for or receive a public works or purchase contract, who has, in the preceding five (5) years, been convicted of violating a state or federal law respecting the employment of undocumented aliens.

Note: The above Statement is part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of this Statement. Providing false information may result in criminal prosecution or administrative sanctions.

# CONTRACTOR'S CERTIFICATE AS TO WORKER'S COMPENSATION

(Labor Code Section 1861)

Labor Code Section 3700 provides, in relevant part:

"Every employer except the State shall secure the payment of compensation in one or more of the following ways:

- "(a) By being insured against liability to pay compensation in one or more insurers duly authorized to write compensation insurance in this State.
- "(b) By securing from the Director of Industrial Relations a certificate of consent to self-insure either as an individual employer, or as one employer in a group of employers, which may be given upon furnishing proof satisfactory to the Director of Industrial Relations of ability to self-insure and to pay any compensation that may become due to his or her employees."

I certify that I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of that Code, and I will comply with such provisions before commencing the performance of the work of this contract.

Note: The above Certification is part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of this Certification. Providing false information may result in criminal prosecution or administrative sanctions.

# <u>LIST OF SATISFIED PUBLIC AGENCIES</u> (Two minimum)

Agency Name	Project Name	<b>Contact Person</b>	Award Date	Phone No.

# EXHIBIT 15-G LOCAL AGENCY BIDDER DBE COMMITMENT (CONSTRUCTION CONTRACTS)

NO	TE: PLEASE REFER TO INS	TRUCTIONS ON T	HE REVERSE SIDE OF T	HIS FORM
LOCAL AGENC	Y:	LOCATION		
PROJECT DESC	RIPTION:			
TOTAL CONTRA	ACT AMOUNT: \$			
BID DATE:				
	E:			
CONTRACT DB				
CONTRACT ITEM NO.	ITEM OF WORK AND DESCRIPTION OR SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED (or contracted if the bidder is a DBE)	DBE CERT NO.	NAME OF EACH DBE (Must be certified on the date bids are opened - include DBE address and phone number)	DOLLAR AMOUNT DBE
Local Agency Con Federal-aid Projec	gency to Complete:  htract Number:  tt Number:		Total Claimed DBE Participation	\$%
Contract Award Da	ate:			
Local Agency certicomplete and accur	fies that all DBE certifications have been verate	erified and information is	Signature of Bidder	
			Date (A	rea Code) Tel. No.
Print Name LocalAgency Repre	Signature esentative	Date	Person to Contact (Pl	ease Type or Print)
(Area Code) Teleph	none Number:		Local Agency Bidder DBE Commit (Rev 6/26	

Distribution:

<sup>(1)</sup> Copy – Fax or scan a copy to the Caltrans District Local Assistance Engineer (DLAE) within 30 days of contract execution. Failure to send a copy to the DLAE within 30 days of contract execution may result in de-obligation of funds for this project.

<sup>(2)</sup> Copy – Include in award package to Caltrans District Local Assistance (3) Original – Local agency files

# <u>INSTRUCTIONS – EXHIBIT 15-G LOCAL AGENCY BIDDER DBE COMMITMENT</u> (CONSTRUCTION CONTRACTS)

## **ALL BIDDERS:**

PLEASE NOTE: This information may be submitted with your bid. If it is not, and you are the apparent low bidder or the second or third low bidder, it must submitted and received as specified in the Special Provisions. Failure to submit the required DBE commitment will be grounds for finding the bid nonresponsive

The form requires specific information regarding the construction contract: Local Agency, Location, Project Description, Total Contract Amount, Bid Date, Bidder's Name, and Contract DBE Goal.

The form has a column for the Contract Item Number and Item of Work and Description or Services to be Subcontracted or Materials to be provided by DBEs. Prime contractors shall indicate all work to be performed by DBEs including, if the prime is a DBE, work performed by its own forces, if a DBE. The DBE shall provide a certification number to the Contractor and expiration date. Enter the DBE prime's and subcontractors' certification numbers. The form has a column for the Names of DBE contractors to perform the work (who must be certified on the date bids are opened and include the DBE address and phone number).

IMPORTANT: Identify **all** DBE firms participating in the project regardless of tier. Names of the First-Tier DBE Subcontractors and their respective item(s) of work listed should be consistent, where applicable, with the names and items of work in the "List of Subcontractors" submitted with your bid.

There is a column for the DBE participation dollar amount. Enter the Total Claimed DBE Participation dollars and percentage amount of items of work submitted with your bid pursuant to the Special Provisions. (If One Hundred Percent (100%) of item is not to be performed or furnished by the DBE, describe exact portion of time to be performed or furnished by the DBE.) See Section "Disadvantaged Business Enterprise (DBE)," of the Special Provisions (construction contracts), to determine how to count the participation of DBE firms.

Exhibit 15-G must be signed and dated by the person bidding. Also list a phone number in the space provided and print the name of the person to contact.

**Local agencies** should complete the Local Agency Contract Award, Federal-aid Project Number, Federal Share, Contract Award Date fields and verify that all information is complete and accurate before signing and filing.

# **EXHIBIT 15-H DBE INFORMATION – GOOD FAITH EFFORTS**

# DBE INFORMATION –GOOD FAITH EFFORTS

Federal-aid	d Project No.	RSTPLE-5944	(111)	Bid Open	ing Date			
		ntereyesta e information pro						of
adequate g Bidder DB bidder's el to meet the	ood faith effor E Commitme igibility for av	nd third lowest berts. Bidders showent" form indicate ward of the controls reasons, e.g., or.	ald submites that the act if the a	t the follow bidder has administeri	ing informa met the DB ng agency d	tion even i E goal. Tl etermines t	f the "Local A his will protec that the bidden	agency t the failed
		ocal Agency Bid strate that adequ					ide sufficient	
The follow Provisions		listed in the Sect	ion entitle	ed "Submis	sion of DBE	Commitm	nent" of the S <sub>1</sub>	pecial
		ates of each publ der (please attacl						project was
	Publicati	ions			Dates of	Advertiser	ment_	
date	es and method	ates of written no ls used for follow ested (please atta	ving up ini	itial solicita	tions to det	ermine wit	h certainty wh	ether the
	Names of DBE	Es Solicited	Date of Solicit		Follow U	p Methods	and Dates	
							-	
							- -	
				-			-	

	bidder with its own forces) in the bidder's responsibility to o made available to DBE firms	demonstrate that suffic		_	•
_	Items of Work	Bidder Normally Performs Item (Y/N)	Breakdown of Items	Amount (\$)	Percentage Of Contract
D.	The names, addresses and phorejection of the DBEs, the firm firms involved), and the price	ms selected for that w	ork (please attach	copies of quo	otes from the
	Names, addresses and phone of the DBEs:	numbers of rejected D	DBEs and the reason	ons for the bio	dder's rejection
	Names, addresses and phone	numbers of firms sele	cted for the work	above:	
E.	Efforts made to assist interest technical assistance or inform work which was provided to	nation related to the pl			

C. The items of work which the bidder made available to DBE firms including, where appropriate, any breaking down of the contract work items (including those items normally performed by the

F.	Efforts made to assist interested DBI related assistance or services, exclud purchases or leases from the prime of	ing supplies and equipment the I		or
G.	The names of agencies, organization recruiting and using DBE firms (pleareceived, i.e., lists, Internet page down	ase attach copies of requests to ag		
-	Name of Agency/Organization	Method/Date of Contact	Results	_
Н.	Any additional data to support a denecessary):	emonstration of good faith effor	ts (use additional sheet	s if

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

### BIDDER'S BOND

WHEREAS, the Principal named below has submitted the accompanying bid to the County of
Monterey, a political subdivision of the State of California (hereinafter called "County"), for the
following project:
(exact
description as on bid); and
WHEREAS, Principal as bidder, is required to furnish a bond executed by an admitted surety connection with said bid, to secure timely execution of the contract and delivery of the bonds are insurance certificates, in the event that the contract is awarded to Principal; and
WHEREAS, the Principal has submitted the above mentioned bid to the County, for which bids are to opened at(city where bid opening), California, on,(date bid opening).
NOW, THEREFORE, we
, PRINCIPAL, and
as SURETY, are held and firm
bound unto the County in the penal sum of Dolla
(\$) which sum is not less than ten percent (10%) of the total amount of the ba
bid amount including all alternates submitted by said above-named Principal to the County, for the project described below, for the payment of which sum in lawful money of the United States, well are truly to be made to the County, we bind ourselves, our heirs, executors, administrators and successor jointly and severally, firmly by these presents.

### THE CONDITION OF THIS OBLIGATION IS SUCH, That

If the aforesaid Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the County of Monterey, one to guarantee faithful performance and the other to guarantee payment for labor and materials, as required by law and said contract documents, then this obligation shall be null and void; otherwise, it shall be and remain in full force and virtue.

Surety hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract on the call for bids, or to the work to be performed thereunder, or the specifications accompanying the same, shall in any way affect its obligation under this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of said contract or the call for bids, or to the work or to the specifications.

If the County brings suit upon this bond and judgment is recovered, the Surety shall pay all litigation expenses incurred by the County in such suit, including attorney's fees, court costs, expert witness fees

	above bound parties have executed this instrument under, 20, the name and corporate seal of each corporate duly signed by its undersigned representative,	
(Corporate Seal)		-
	Principal	
	Ву	-
	Name and Title	-
(Corporate Seal)		
	Surety	-
	By	-1
	Name and Title	

(Attach notary acknowledgement for all signatures and original or certified copy of unresolved appointment, attorney-in-fact certificate, power of attorney, by laws, or other instrument entitling or authorizing person executing bond on behalf of Surety to do so.)

and investigation expenses.

# WAIVER FOR PAYMENT ADJUSTMENT FOR PRICE INDEX FLUCTUATIONS

The bidder may opt to waive the provisions of Section 9-1.07, "Payment Adjustments for Price Index Fluctuations" of the Standard Specifications.

Checking "YES" and signing this form shall constitue that eh Contractor's bids are not subject to the provisions of Section 9-1.07, "Payment Adjustments for Price Index Fluctuations" of the Standard Specifications.

Checking "NO" and signing this form shall constitute that the Contractor's bids shall be adjusted in accordance to the provisions of Section 9-1.07, "Payment Adjustments for Price Index Fluctuations" of the Standard Specifications.

Yes No	
Bidder's Business Name:	
Signature:	
Print Name and Title:	