

**RTI Master Service Agreement Number 888-14-20-54  
Subaward Number 1-312-0214493-51911L**

<p><b>Subawardee:</b> County of Monterey 1270 Natividad Road Salinas, CA 93906 USA</p> <p><b>Contractual Designee:</b> Ray Bullick, Director of Health 1270 Natividad Road Salinas, CA 93906 (831) 755-4526</p> <p><b>Subawardee Size and Socio-Economic Status:</b></p>	<p><b>RTI:</b> RTI International 3040 Cornwallis Road Research Triangle Park, NC 27709</p> <p><b>RTI Technical Monitor/Project Manager:</b> Dr Alexandra Minnis Phone: 415-848-1323, x1323 E-mail: aminnis@rti.org</p> <p><b>RTI Subaward Administrator:</b> Tim Fetner Phone: 919-541-6532 E-mail: tfetner@rti.org</p>
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If a Small Business\*\*, check ALL that apply and enter appropriate NAICS Number: \_\_\_\_\_

<input type="checkbox"/> Small Business Concern (SB)	<input type="checkbox"/> Woman-Owned SB	<input type="checkbox"/> HUBZone (Historically Underutilized Business Zone) certified SB
<input type="checkbox"/> Small Disadvantaged Business. [Including Black-, Asian Pacific-, Subcontinent Asian-, Native-, Hispanic American-owned SBs or active 8(a)]	<input type="checkbox"/> Veteran-Owned SB	<input type="checkbox"/> Alaska Native Corporation and Indian Tribe
	<input type="checkbox"/> Service-Disabled Veteran-Owned SB	

If not a Small Business, check one:       Large     Non-Profit     Foreign/Other (including Gov't)     HBCU/MI\*\*\*

\*North American Industry Classification System (NAICS) online search: [www.census.gov/eos/www/naics](http://www.census.gov/eos/www/naics).  
\*\*Small Business definitions and size standards are available in the Federal Acquisition Regulation 52.219-8 and 13 CFR Part 121; HUBZone SB must be certified by SBA ([www.sam.gov](http://www.sam.gov) and [www.sba.gov/size](http://www.sba.gov/size)). Under 15 U.S.C. 645(d), any person who misrepresents its size status shall (1) be punished by a fine, imprisonment, or both; (2) be subject to administrative remedies; and (3) be ineligible for participation in programs conducted under the authority of the Small Business Act.  
\*\*\*Historically Black Colleges and University (HBCU) or Minority Institutions (MI).

<b>Subaward Title:</b>	<i>Community Violence and Reproductive Health among Salinas Youth</i>
<b>Prime Award:</b>	<i>NICHD Grant R01HD075787</i>
<b>Subaward Type:</b>	<i>Cost Reimbursement</i>
<b>Subaward Amount:</b>	<i>\$143,880</i>
<b>Subaward Funded Amount:</b>	<i>\$35,970</i>
<b>Period of Performance:</b>	<i>10/28/14 to 04/30/18</i>
<b>Purchase Order Number:</b>	<i>51911L</i>
<b>DPAS Rating (if applicable):</b>	<i>Not Applicable</i>
<b>CFDA Number (if applicable):</b>	

**The Subawardee shall perform, within the period and at the funded amount specified above, the work set forth in the attached Statement of Work. Any additional terms and conditions applicable to this Subaward are included herein. All terms and conditions set forth in the above referenced Master Service Agreement ("MSA") remain in full force and effect. In the event of a conflict between the terms and conditions of this Subaward and the MSA, the terms of this Subaward shall take precedence.**

**Subaward Approved By:** Authority given by the Monterey County Board of Supervisors to Ray Bullick, Director of Health

Subawardee Signature:  
Ray Bullick, Director of Health or designee

Name	Signature	Date
RTI Subaward Administrator:		
Robln Harris		
Name	Signature	Date

**Attachments:**

- 1) Subaward Terms and Conditions
- 2) Statement of Work/Budget
- 3) Subaward Special Award Provisions

**APPROVED AS TO FORM AND LEGALITY**

*Stacy Saelle*  
DEPUTY COUNTY COUNSEL  
COUNTY OF MONTEREY

Reviewed as to fiscal provision

*[Signature]*  
Auditor-Controller  
County of Monterey  
Subaward # 1-312-0214493-51911L

## Attachment 1: Subaward Terms and Conditions

### **ARTICLE 1. Type of Subaward/Funding (Cost Reimbursement)**

- A. This is a Cost Reimbursement Subaward in the amount **\$143,880.00**, for the satisfactory completion of the work requirements found in Attachment 2: *Statement of Work/Budget*. The estimated cost is **\$143,880.00**.
- B. Upon completion and RTI acceptance of the work specified herein, the Subawardee will submit invoice(s) in accordance with the payment provisions established in the Master Service Agreement ("MSA"). In addition to any other available remedies, if, in the opinion of RTI, Subawardee fails to perform in accordance with the terms of the MSA or this Subaward, the RTI Subaward Administrator may refuse or limit approval of any invoices for payment, and may cause payments to Subawardee to be reduced or withheld until such time as RTI determines that Subawardee has met the performance terms as established by both the MSA and the Subaward.
- C. The Subaward is funded in the amount of **\$35,970**. Accordingly, the Limitation of Funds/Costs clause set forth in the Master Service Agreement ("MSA") shall apply to the management of this funding allocation. RTI shall bear no legal liability or financial obligation beyond the funded amount stipulated in this paragraph.
- D. The Task Order not to exceed ceiling and funded amounts are as follows:

Year	Period of Performance	Total Ceiling	Total Funded
1	10/28/2014 – 04/30/2015	\$35,970.00	\$35,970.00
2	05/01/2015 – 04/30/2016	\$35,970.00	\$0
3	05/01/2016 – 04/30/2017	\$35,970.00	\$0
4	05/01/2016 – 04/30/2018	\$35,970.00	\$0
<b>Total</b>		<b>\$143,880.00</b>	<b>\$35,970.00</b>

*\*Unobligated balance may be carried over into the next budget period with RTI Principal Investigator/Project Manager approval*

### **ARTICLE 2. Subaward Period of Performance**

The period of performance for this Subaward shall begin on **October 28, 2014** and continue to **April 30, 2018** in accordance with the Attachment 1: Subaward Terms and Conditions and Attachment 2: Statement of Work/Budget.

### **ARTICLE 3. Designation of Contractual Representatives**

- A. **Tim Fetner** is hereby designated as the RTI Subaward Administrator and is the only one with the authority to direct changes under this Subaward. All notices shall be in writing and addressed as follows:

#### **For RTI**

Tim Fetner  
RTI International  
Global Supply Chain  
P.O. Box 12194  
Research Triangle Park, NC 27709-2194  
Phone: 919-541-6532  
Email: tfetner@rti.org

#### **For Subawardee**

Linda McGlone  
Monterey County Health Department  
1270 Natividad Road  
Salinas, CA 93906 USA  
Phone: 831/755-4619  
Email: [mcglonelm@co.monterey.ca.us](mailto:mcglonelm@co.monterey.ca.us)

- B. The RTI Principal Investigator/Project Manager assigned to this Subaward is **Dr. Alexandra Minnis**.
- C. Invoices are to be submitted to RTI's Accounts Payable Department via electronic mail at the following address:

[Accounting@rti.org](mailto:Accounting@rti.org).

### **ARTICLE 4. Designation of Subawardee's Key Personnel and RTI's Technical Representative**

- A. **Ms. Linda McGlone** and **Dr. John Silva** are considered essential to the work being performed under this Subaward. By mutual agreement, the list of key personnel may be amended from time to time during the course of this Subaward to either add or delete key personnel as appropriate.
- B. During the first ninety (90) calendar days of performance, Subawardee shall make no substitutions of key personnel unless the substitution is necessitated by illness, death, or termination of employment. Subawardee shall notify the RTI Subaward Administrator within ten (10) calendar days after the occurrence of any of these events and provide the information required by

Paragraph C below. After the initial ninety (90) calendar day period, Subawardee shall submit the information required by Paragraph C to the RTI Subaward Administrator at least ten (10) calendar days prior to making any permanent substitutions.

- C. Prior to diverting the above-named personnel to other programs, Subawardee shall submit a justification (including the reason for the requested substitution and resumes of the proposed replacement key personnel) in sufficient detail to permit evaluation of the impact of the requested substitution on the program. Proposed substitutes should have comparable qualifications to those of the persons being replaced. The RTI Subaward Administrator will notify Subawardee of RTI's decision about the substitutions within twenty (20) calendar days after receipt of all required information.

**ARTICLE 5. Price Warranty**

The price/budget amount contained in this Subaward does not exceed that charged by Subawardee to any other customers or clients, including the U.S. Government, for similar quantities of like items or services to be rendered.

**ARTICLE 6. Anti-Kickback**

Subawardee warrants that neither it nor any of its employees, agents, or representatives have offered or given, or will offer or give, any gratuities to RTI's employees, associates, agents or representatives for the purpose of securing this Subaward or securing favorable treatment under this Subaward. The Anti-Kickback Act of 1986 is hereby incorporated into this Subaward as a condition of acceptance. If you have reasonable grounds to believe that a violation may have occurred, you should report this suspected violation to Research Triangle Institute's Ethics Hotline at 1-877-212-7220 or by sending an e-mail to [Ethics@rti.org](mailto:Ethics@rti.org). Subawardee may report a suspected violation anonymously.

**Attachment 2: Statement of Work/Budget**

**Scope of Work**  
**Monterey County Health Department (MCHD)**

**Community Violence and Reproductive Health among Youth in Salinas, California**

The County of Monterey on behalf of the Monterey County Health Department has partnered with RTI for involvement of key personnel as co-investigators and local liaisons for this research project. The Monterey County Health Department will act as fiscal agent and partner with Natividad Medical Center to fulfill the grant requirements. Duties of the Key Personnel assigned are described as follows:

**John Silva, MD, Co-Investigator**

As a co-investigator on this proposal, Dr. Silva will contribute 5% FTE or .6 calendar months effort in years one through four. In this capacity, Dr. Silva will participate in quarterly research team meetings and review study procedures and instruments as they are developed. He will be involved in discussing findings from the formative research and considering their application to the quantitative questionnaires that will be administered through the prospective cohort study. He will also participate in the project's community advisory board and help to resolve any challenges that might arise at the Family Medicine Clinic (Natividad Medical Center) with which he is affiliated, when teens seek clinical referrals for reproductive health services. In year 4, Dr. Silva will be involved in reviewing and providing input on analyses as well as in dissemination and publication of findings.

**Linda McGlone, MPH, Project Lead**

As the Project Lead at the Monterey County Health Department, Ms. McGlone will contribute 20% FTE or 2.4 calendar months effort in each of the proposed four years. In this capacity, Ms. McGlone will facilitate study start-up activities by continuing to build local support for the study through relevant community working groups and Health Department-led initiatives. She will serve as co-chair of the study's community advisory board, which she will help convene. She will participate in regular team meetings and provide input on study procedures and instruments. As study data become available for analysis, Ms. McGlone will participate in reviewing and providing input on analyses as well as in developing dissemination materials, both community reports and presentations as well as scientific publications.



**RESEARCH & RELATED BUDGET - SECTION C, D, & E, BUDGET PERIOD 1**

\* ORGANIZATIONAL DUNS:

\* Budget Type:  Project  Subaward/Consortium

Enter name of Organization:

\* Start Date:  \* End Date:  Budget Period 1

**C. Equipment Description**

List items and dollar amount for each item exceeding \$5,000

	Equipment item	* Funds Requested (\$)
1.	<input type="text"/>	<input type="text"/>
2.	<input type="text"/>	<input type="text"/>
3.	<input type="text"/>	<input type="text"/>
4.	<input type="text"/>	<input type="text"/>
5.	<input type="text"/>	<input type="text"/>
6.	<input type="text"/>	<input type="text"/>
7.	<input type="text"/>	<input type="text"/>
8.	<input type="text"/>	<input type="text"/>
9.	<input type="text"/>	<input type="text"/>
10.	<input type="text"/>	<input type="text"/>
11.	Total funds requested for all equipment listed in the attached file	<input type="text"/>
	<b>Total Equipment</b>	<input type="text"/>

Additional Equipment:

**D. Travel**

**Funds Requested (\$)**

1. Domestic Travel Costs ( Incl. Canada, Mexico and U.S. Possessions)	<input type="text" value="83.00"/>
2. Foreign Travel Costs	<input type="text"/>
<b>Total Travel Cost</b>	<input type="text" value="83.00"/>

**E. Participant/Trainee Support Costs**

**Funds Requested (\$)**

1. Tuition/Fees/Health Insurance	<input type="text"/>
2. Stipends	<input type="text"/>
3. Travel	<input type="text"/>
4. Subsistence	<input type="text"/>
5. Other <input type="text"/>	<input type="text"/>

Number of Participants/Trainees      Total Participant/Trainee Support Costs

RESEARCH & RELATED Budget {C-E} (Funds Requested)

**RESEARCH & RELATED BUDGET - SECTION F-K, BUDGET PERIOD 1**

\* ORGANIZATIONAL DUNS:

\* Budget Type:  Project  Subaward/Consortium

Enter name of Organization:

\* Start Date:  \* End Date:  Budget Period 1

F. Other Direct Costs	Funds Requested (\$)
1. Materials and Supplies	<input type="text"/>
2. Publication Costs	<input type="text"/>
3. Consultant Services	<input type="text"/>
4. ADP/Computer Services	<input type="text"/>
5. Subawards/Consortium/Contractual Costs	<input type="text"/>
6. Equipment or Facility Rental/User Fees	<input type="text"/>
7. Alterations and Renovations	<input type="text"/>
8. <input type="text" value="Telephone, internet, email and information technology"/>	<input type="text" value="1,032.00"/>
9. <input type="text"/>	<input type="text"/>
10. <input type="text"/>	<input type="text"/>
<b>Total Other Direct Costs</b>	<input type="text" value="1,032.00"/>

G. Direct Costs Funds Requested (\$)

**Total Direct Costs (A thru F)**

H. Indirect Costs		Indirect Cost Rate (%)	Indirect Cost Base (\$)	* Funds Requested (\$)
	Indirect Cost Type			
1.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
4.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Total Indirect Costs</b>				<input type="text"/>

Cognizant Federal Agency

(Agency Name, POC Name, and POC Phone Number)

I. Total Direct and Indirect Costs Funds Requested (\$)

**Total Direct and Indirect Institutional Costs (G + H)**

J. Fee Funds Requested (\$)

K. \* Budget Justification

(Only attach one file.)



## RESEARCH & RELATED BUDGET - Cumulative Budget

		Totals (\$)
<b>Section A, Senior/Key Person</b>		139,420.00
<b>Section B, Other Personnel</b>		
Total Number Other Personnel		
<b>Total Salary, Wages and Fringe Benefits (A+B)</b>		139,420.00
<b>Section C, Equipment</b>		
<b>Section D, Travel</b>		332.00
1. Domestic	332.00	
2. Foreign		
<b>Section E, Participant/Trainee Support Costs</b>		
1. Tuition/Fees/Health Insurance		
2. Stipends		
3. Travel		
4. Subsistence		
5. Other		
6. Number of Participants/Trainees		
<b>Section F, Other Direct Costs</b>		4,128.00
1. Materials and Supplies		
2. Publication Costs		
3. Consultant Services		
4. ADP/Computer Services		
5. Subawards/Consortium/Contractual Costs		
6. Equipment or Facility Rental/User Fees		
7. Alterations and Renovations		
8. Other 1	4,128.00	
9. Other 2		
10. Other 3		
<b>Section G, Direct Costs (A thru F)</b>		143,080.00
<b>Section H, Indirect Costs</b>		
<b>Section I, Total Direct and Indirect Costs (G + H)</b>		143,880.00
<b>Section J, Fee</b>		

### **Attachment 3: Subaward Special Award Provisions**

The following Code of Federal Regulations (CFR) clauses are incorporated herein by reference with the same force and effect as if they were given in full text, and are applicable to this Subaward. The full text of the CFR clauses may be found at <http://www.gpoaccess.gov/cfr/index.html>. Subrecipient agrees to flow down all applicable CFR clauses to lower-tier subrecipients.

- A. Unless one of the exceptions provided in (B) below shall apply: the term "Grant" shall mean "Subaward"; the term "Awardee" or "Recipient" shall mean "Subrecipient"; the term "Government" or "Covered Entity" shall mean "RTI"; and the term "Contracting Officer" or "Grants Management Specialist" shall mean the "RTI Subaward Administrator or other authorized individual."
- B. The following instances are exceptions to the general rules as provided in (A) above:
1. Where it is clear, by the context of the provision itself or the conditions under which it is being applied, that the reference is intended to refer to the Government, its officers or agents, or the prime Awardee/Recipient specifically;
  2. Where an explicit provision of this Agreement states a contrary intent;
  3. Where access to proprietary financial information or other proprietary data is required; or
  4. Where interpretation in accordance with the rules stated above would place RTI in a position of violating the equivalent or related provisions of the Prime Grant whereas construction of the terms without modification would not.
- C. References in any provision incorporated by reference herein to the "Disputes" clause shall be construed as references to the "Disputes" provision contained elsewhere in this Subaward. No provision herein shall be taken to imply any direct access on the part of the Subrecipient to the Disputes process as defined in the terms of the Prime Grant.
- D. Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the National Institute Of Mental Health of the National Institutes of Health under Award Number R21MH100992. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.
- E. Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with 42 CFR Part 50 Subpart F. Subsequent to the compliance date of the 2011 revised FCOI regulation (i.e., on or before August 24, 2012), Awardees must be in compliance with all aspects of the 2011 revised regulation; until then, Awardees must comply with the 1995 regulation. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.
- F. The following CFR provisions are incorporated herein:
- 1) Equal Employment Opportunity, 41 CFR, Part 60
  - 2) Rights to Inventions Made Under a Contract or Agreement, 37 CFR, Part 401
  - 3) Clean Air Act (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251 et seq)
  - 4) Byrd Anti-Lobbying Amendment (31 U.S.C. 1352) 45 CFR Part 93
  - 5) Debarment and Suspension (E.O. 12549 and 12689) 45 CFR Part 76
  - 6) By acceptance of this Subaward, Subrecipient agrees to comply with the applicable Federal requirements for grants and cooperative agreements and to the prudent management of all expenditures and actions affecting this Subaward. In addition to the terms and conditions presented in this Subaward, Subrecipient's performance under this Subaward shall follow and comply with the requirements of OMB Circular A-110 standards and **42 USC 241 and 42 CFR 52.**
- E. Other Client Regulations and Policies that Apply:
- 1) NIH Grants Award Conditions and Information: <http://grants.nih.gov/grants/policy/awardconditions.htm>
  - 2) **As detailed in the NIH Guide Notice on "Notice of Salary Limitation on Grants, Cooperative Agreements, and Contracts"** (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-14-052.html>) the Executive Level II on the Federal Executive Pay Scale has increased to \$181,500 effective January 12, 2014. In the event of future salary cap level changes, no salary increases will be provided on this grant for the remainder of this project period.

- 3) In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov>.
- 4) Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information. Funding of this award is in accordance with the NHLBI FY 2012 Operating Guidelines, which can be found at <http://www.nhlbi.nih.gov/funding/policies/operguid.htm>.
- 5) This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).
- 6) This award is subject to the requirements of 2 CFR Part 25 for institutions to receive a Dun & Bradstreet Universal Numbering System (DUNS) number and maintain an active registration in the Central Contractor Registration. Should a consortium/subaward be issued under this award, a DUNS requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.
- 7) This award has been assigned the Federal Award Identification Number (FAIN) R01HD075787. Recipients must document the assigned FAIN on each subaward issued.