



# Senator Padilla FY26 Transportation, Housing, and Urban Development

## Congressionally Directed Spending Form (County of Monterey - Nacimiento and San Antonio Dams and Reservoirs

Safety Projects)

Name & Contact Info	Mailing Address	Permanent Address
Maria Tripplaar Mobile: 585-615-2559 tripplaarm@ballardspahr.com	1909 K Street, NW 12th Floor Washington DC 20006	1909 K Street, NW 12th Floor Washington DC 20006

\* indicates a required field.

### Section 1. Requestor Information

#### 1. Requesting Entity \*

The name of the local government or organization making the request. Do not use acronyms or abbreviations. Incorporated cities should begin with “City of” and counties should begin with “County of.”

County of Monterey

#### 2. Requesting Individual(s) \*

The name of the person making the request, e.g. the Mayor, Board of Supervisors, Director, President, etc. Example: Mayor Jane Doe

County of Monterey Board of Supervisors

#### 3. Priority Number: #X of Y \*

**Multiple requests from the same entity must be ranked in priority order across all appropriations bills.** For example, we need to know what your entity's number one priority project is in FY26, not the number one in Agriculture, or the number one in Transportation, etc.

2 of 8

#### 4. Project Name \*

The project or program to be funded.

Nacimiento and San Antonio Dams and Reservoirs Safety Projects

#### 5. Grantee Legal Name \*

The name of the local government or organization to receive funding.

County of Monterey

#### 6. Requesting Entity Address \*

The mailing address of the local government or organization to receive funding.

Please use following format:

Street Address

City, CA Zip Code

1441 Schilling Place

Salinas, CA 93901

#### 7. Type of Organization \*

**For-profit organizations are NOT eligible for CDS funding**

State/Local/Tribal Entity

#### 8. Employer Identification Number (EIN) \*

The Employer Identification Number, also known as a Federal Tax Identification Number, assigned to the requesting entity by the IRS. **Please provide in XX-XXXXXXX format.** This will only be used for verification of eligibility of entity to receive funding and will not be published.

94-6000524

9. Grantee Point of Contact - Name \*

The name of a point of contact with the grantee who is authorized to complete the final grant agreement should this project be funded. **This CANNOT be a lobbyist or consultant.**

Elise Harden

10. Grantee Point of Contact - Phone \*

This should be the best phone number for the point of contact with the grantee who is authorized to complete the grant agreement should this project be funded. **This CANNOT be a lobbyist or consultant.**

831-788-3216

11. Grantee Point of Contact - Email \*

This should be the best email for the point of contact with the grantee who is authorized to complete the grant agreement should this project be funded. **This CANNOT be a lobbyist or consultant.**

HardenE@countyofmonterey.gov

12. Which Sen. Padilla State Field Office does this project request fall under? \*

**San Francisco Office:** The following counties are served by the San Francisco office: Alameda, Contra Costa, Marin, Napa, San Francisco, San Mateo, Santa Clara, Santa Cruz, Solano.

**Fresno Office:** The following counties are served by the Fresno office: Fresno, Inyo, Kern, Kings, Madera, Mariposa, Merced, Mono, Monterey, San Benito, San Luis Obispo, Stanislaus, Tulare, Tuolumne.

**Los Angeles Office:** The following counties are served by the Los Angeles office: Los Angeles, Orange, San Bernardino, Santa Barbara, Ventura.

**San Diego Office:** The following counties are served by the San Diego office: Imperial, Riverside, San Diego.

**Sacramento Office:** The following counties are served by the Sacramento office: Alpine, Amador, Butte, Calaveras, Colusa, Del Norte, El Dorado, Glenn, Humboldt, Lake, Lassen, Mendocino, Modoc, Nevada, Placer, Plumas, Sacramento, San Joaquin, Shasta, Sierra, Siskiyou, Sonoma, Sutter, Tehama, Trinity, Yolo, Yuba.

Fresno Office

13. Which county is this project located in? \*

You may select multiple if the project is located in multiple counties (this is a rare occurrence).

Monterey, San Luis Obispo,

Section 2. Project Information

1. Within which account in the Transportation, Housing, and Urban Development bill are you applying for funding? \*

Community Development Fund for Economic Development Initiatives (EDI)

2. Amount Requested \*

Write out the numeral (i.e. \$1,000,000)

\$6,000,000

3. Total Project Cost \*

Write out the numeral (i.e. \$1,000,000)

\$8,000,000

4. What percentage of the project will this funding cover? \*

Ex: 30%

75%

5. Additional Funding Sources \*

Please provide a breakdown of any and all additional funding sources for this project.

County of Monterey Water Resources Agency will be putting forth \$1,000,000. California will cover the design and staff time through design as well as the application fees, estimated at \$1,000,000. The requested federal funding will cover construction for the suite of projects which totals \$6,000,000.

**6. Is this project scalable? \***

If necessary, what is the lowest amount of CDS funding you could receive to maintain the viability of this project?

Yes, \$1,000,000

**7. Brief Summary of Project \***

Please provide a concise (1-2 sentences max) description of the project and what the requested funding would be used for.

For example: This funding will support the repair and replacement of aging sewer lines in the City of Cityville.

This funding would help the Monterey County Water Resources Agency (MCWRA) to fully replace the existing concrete spillway structure with a new structure, current with present day engineering design.

## 8. Description of Project \*

This description **must** provide:

1. A brief summary of the project including its purpose, goals, history, and current status, as well as the justification for the project (i.e., why funding is in the interest of taxpayers). The description should also state who/how many people the project will serve and what performance standards will be used to measure whether this project has achieved its objectives.
2. **A detailed budget breakdown of how the requested federal funding will be spent (i.e., \$ -x- for salaries; \$ -x- for programming; \$ -x- for equipment; etc.).** Please be specific when describing the activities and expenditures. The most common issue in determining if a project is eligible for funding is a lack of specific information on what the funding will be used for.
3. The status of planning and environmental review work.

This description must be complete on its own and may not simply incorporate supporting materials by reference.

### Summary

The Monterey County Water Resources Agency (MCWRA) oversees two high hazard dams, Nacimiento and San Antonio located in San Luis Obispo County and Monterey County, respectively. The San Antonio Dam has been mandated by the Department of Water Resources (DWR) Division of Safety of Dams (DSOD) to fully replace the existing concrete spillway structure with a new structure, current with present day engineering design. This spillway condition assessment, and ultimately mandate, was a result of the Oroville Spillway Emergency Failure in 2017. The MCWRA has self-imposed a more conservative rule curve to avoid spills and use of the spillway as much as possible during the time the spillway will be designed and ultimately constructed (DSOD mandate requires completion on or before December 2031). This leaves the low level outlet works at the San Antonio Dam as the sole outlet works for the facility in the interim. The eight projects are collectively named the San Antonio Low Level Outlet Works Rehabilitation Project. These eight projects have been outstanding items for completion for many years; however, budget constraints have been the primary cause for delay and completion. It is a risk reduction measure to ensure the low level outlet works are functioning optimally as they will be the sole outlet of the facility while the spillway work is being completed over the next few years. The San Antonio Low Level Outlet Works Rehabilitation Project consists of: a debris boom, replacement of the intake structure trash racks, a new bulkhead gate at the intake structure, hydraulic repairs to the 8' butterfly valve, replacement of the air vacuum valves, new access hatches for safe confined space personnel access/maintenance inside the 8' penstock, interior coating of the penstock for crack sealing, and outfall maintenance. The total estimate for all components of the project (design through construction) is estimated at \$8,000,000.

Given the recent failure at the Oroville Spillway in 2017, the regulatory agencies began to reassess their dam safety programs and inspections. Since the Oroville failure they are requiring additional inspections and reassessing the dam safety programs of owners. Regulatory agencies are no longer allowing or accepting owners to defer projects until funding is available. They are actively downgrading facility safety assessments or imposing storage restrictions where the projects are not completed timely. The dams serve the Salinas Valley and Dam Safety is of utmost concern for the MCWRA. San Antonio Dam is deemed high hazard as determined by the downstream community populations.

Many of the downstream communities are considered disadvantaged. Maintaining our facilities in Satisfactory condition as deemed by State Regulators is critical to the facilities, downstream populations, and the MCWRA. The recent Oroville failure caused the spillways to be inspected to a level that had never been done before. Upon evaluation of the San Antonio spillway, it was determined the entire spillway needed to be replaced. This caused the facility to be downgraded from satisfactory to fair condition by DSOD. MCWRA is working to replace the spillway which is an estimated 50 million dollar project, during the construction of this facility the low level outlet works at San Antonio Dam will be the only method of release or reservoir drawdown at the facility in the event of a large rainfall event or emergency. The dams provide flood protection, environmental habitat/conservation, water supply for the Salinas Valley/groundwater recharge, aid in seawater intrusion near the Lagoon, and reservoir recreation

### Budget Breakdown

\$1,000,000 Fabrication and Installation of bulkhead gate & Boat Barrier Buoy

\$1,000,000 Design, fabrication, construction of the intake trash racks

\$2,000,000 Access Hatches & Penstock recoating

\$2,000,000 Butterfly & Air Vacuum Valves

### Status of Planning and Environmental Review Work

Design of the project is underway, environmental review work would be completed upon award of funds.

9. **Has the organization or any potential sub-grantees been subject to sanction or litigation by State, Local, or Federal governments in the past five years? If so, please provide details. \***

No

9.1 **Provide details on litigation/sanctions**

*No answer.*

10.**Project Website \***

Please provide a web address (e.g., https://website.com) associated with the project for which you are requesting funds. If no website or webpage exists for the project, please put N/A.

N/A

**Section 3. Support and Funding History**

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1. **Is this project being submitted to Senator Schiff's Office? \***

Yes

2. **Is this project being submitted to your member(s) in the House of Representatives? \***

Yes

2.1 **If yes, which member(s)?**

Please use the following format - Rep. LastName

Rep. Lofgren

3. **Letters or Resolutions Submitted in Support of Project \***

At the end of the application you will be asked to submit PDF versions of at least two (and up to five) letters or resolutions of support from a local and regional elected official or government entity representing the area where the project is located. Please write out the names of each individual or entity here. This should only be the names of the individuals and entities whose letters are directly uploaded through this portal.

For letters, please provide the title and name of the individual who authored and signed the letter.

For resolutions, please provide the governing body or organization which passed the resolution.

**Please format as:** State Senator Jane Doe, Cityville Mayor John Doe, Los Angeles County Supervisor Jane Doe, City of Cityville Council Resolution, etc.

State Senator John Laird

State Assemblymember Dawn Addis

Nacimiento Water Project Commission Chairperson Grigger Jones

4. **List Any Other Elected Officials, Government Entities, or (Non-Government) Organizations Partnering in or Supporting the Project \***

This should only include individuals or entities that are NOT captured in the previous question about letters and resolutions of support.

Please format as: State Senator Jane Doe, City of Cityville, Community Organization, etc.

County of Monterey

County of San Luis Obispo

5. **Has this project previously been submitted by Senator Padilla or another member of Congress to the Appropriations Committee? \***

No



**5.1 Please specify which member(s) submitted the project and in which fiscal years**

Ex. Padilla - FY 23. Padilla, Feinstein - FY 22.

*No answer.*

**6. Was this project previously included in the FY25 House or Senate THUD bills? \***

No

**6.1 If yes, which member(s) of Congress were credited for the funding, and how much funding was included in the bill?**

*No answer.*

**7. Has this project previously been awarded funds through Congressionally Directed Spending? \***

No

**7.1 Describe previous funding and why project is requesting Congressionally Directed Spending again?**

Please include the amount of funding, fiscal year funding was awarded, and which member(s) of Congress submitted the project.

*No answer.*

## Section 4. Transportation, Housing, and Urban Development Specific Questions

This section has specific questions that you must answer based on the account within in the THUD Bill you are applying for (this is the account you selected in Question 1 of the Project Information Section). **For questions about other accounts please put N/A or select No.**

**1. Economic Development Initiatives (EDIs) - National Objectives \***

For EDI requests you **MUST** include relevant data on how activities or projects address at least one of the three National Objectives of the broader [CDBG program](#). HUD defines the national objectives of CDBG as:

- Benefiting low- and moderate-income persons or communities;
- Preventing or eliminating slums or blight; or
- Addressing community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community for which other funding is not available.

**Please use data from HUD as much as possible to justify your project's eligibility.** If you are justifying the project's benefits to low- and moderate-income (LMI) persons or communities, please review this HUD

resource: <https://www.hudexchange.info/programs/cdbg/cdbg-low-moderate-income-data/>

Use the “Map Application” tool to provide the necessary data points demonstrating the LMI

benefit: <https://hud.maps.arcgis.com/home/item.html?id=ffd0597e8af24f88b501b7e7f326bedd>

Given the recent failure at the Oroville Spillway in 2017, the regulatory agencies began to reassess their dam safety programs and inspections. Since the Oroville failure they are requiring additional inspections and reassessing the dam safety programs of owners. Regulatory agencies are no longer allowing or accepting owners to defer projects until funding is available. They are actively downgrading facility safety assessments or imposing storage restrictions where the projects are not completed timely. The dams serve the Salinas Valley and Dam Safety is of utmost concern for the Agency. San Antonio Dam is deemed high hazard as determined by the downstream community populations.

Many of the downstream communities are considered disadvantaged. Maintaining our facilities in Satisfactory condition as deemed by State Regulators is critical to the facilities, downstream populations, and the Agency. The dams provide flood protection, environmental habitat/conservation, water supply for the Salinas Valley/groundwater recharge, aid in seawater intrusion near the Lagoon, and reservoir recreation.

The downstream communities include Bradley, CA which according to HUD data has a LOWMOD\_PCT 28.00; San Ardo with a LOWMOD\_PCT 82.55; San Lucas with a LOWMOD\_PCT 67.53; and King City with a LOWMOD\_PCT 68.41.

**2. Highways (HIP) or Transit (TIG) - STIP or TIP ID Number \***

Provide the STIP or TIP ID number for the project (if the project is already on a STIP or TIP).

If your project is not on the STIP or TIP, you may alternatively provide a letter from Caltrans confirming that: (1) the project is eligible for Federal-aid highway funding under title 23, United States Code; (2) Caltrans is willing to carry out the project if funding is enacted; and (3) Caltrans will include the project on the STIP or TIP once funding for the project is enacted.

N/A

**3. Highways (HIP) or Transit (TIG) - STIP or TIP - Website URL \***

Provide the URL directly to the STIP or TIP that includes this project.

N/a

**4. Transit (TIG) - Provide the name of the transit agency recipient or subrecipient \***

N/A

**5. Airports (AIP) - NPIAS code for the airport \***

N/A

**6. Airports (AIP) - Does project meet federal cost share requirements? \***

For large and medium primary hub airports, funding can cover 75 percent of eligible costs (or 80 percent for noise program implementation).

For small primary, reliever, and general aviation airports, funding can cover a range of 90-95 percent of eligible costs, based on statutory requirements.

No

**Section 5. Contact Information**

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**1. Best Point of Contact Name \***

The individual in California or Washington representative and/or firm, if applicable, that Senator Padilla's staff can contact with questions about this project application.

Elise Harden

**2. Best Point of Contact Affiliation \***

County of Monterey

**3. Best Point of Contact Office Phone Number \***

831-788-3216

**4. Best Point of Contact Out of Office/Mobile Phone \***

831-755-4860

**5. Best Point of Contact Email \***

HardenE@CountyofMonterey.gov