



## Monterey County Board of Supervisors

### Board Order

168 West Alisal Street,  
1st Floor  
Salinas, CA 93901  
831.755.5066  
www.co.monterey.ca.us

A motion was made by Supervisor John M. Phillips, seconded by Supervisor Mary L. Adams to:

**Agreement No.: A-15438; Agreement No.: A-15439; Agreement No.: A-15440;  
Agreement No.: A-15441 and Agreement No.: A-15442**

- a. Approve Professional Services Agreements with the following five (5) consultants: Dokken Engineering, Inc.; Moffatt & Nichol, a California Corporation; Quincy Engineering, Inc.; TRC Engineers, Inc.; and Wood Rodgers, Inc. to provide on-call bridge design services for various bridge projects located in Monterey County, California under Request for Qualifications #10783, in an amount not to exceed \$750,000 each for a combined total of \$3,750,000, for a period of three (3) years beginning July 13, 2021 to July 12, 2024, with the option to extend each Agreement for two (2) additional one (1) year period(s); and
- b. Authorize the Contracts/Purchasing Officer or Contracts/Purchasing Supervisor to execute said Professional Services Agreements and future amendments to said Agreements where the amendments do not significantly alter the scope of work or change the approved amount of said Agreements.

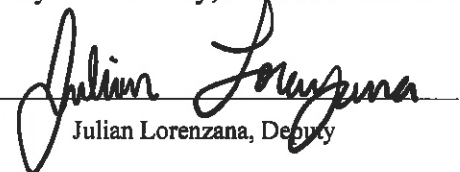
PASSED AND ADOPTED on this 13<sup>th</sup> day of July 2021, by roll call vote:

AYES: Supervisors Alejo, Phillips, Lopez, Askew and Adams  
NOES: None  
ABSENT: None  
(Government Code 54953)

I, Valerie Ralph, Clerk of the Board of Supervisors of the County of Monterey, State of California, hereby certify that the foregoing is a true copy of an original order of said Board of Supervisors duly made and entered in the minutes thereof of Minute Book 82 for the meeting July 13, 2021.

Dated: July 15, 2021  
File ID: A 21-384  
Agenda Item No.: 65

Valerie Ralph, Clerk of the Board of Supervisors  
County of Monterey, State of California

  
Julian Lorenzana, Deputy

**COUNTY OF MONTEREY AGREEMENT FOR PROFESSIONAL SERVICES  
WITH SURVEYORS, ARCHITECTS, ENGINEERS & DESIGN PROFESSIONALS  
(MORE THAN \$100,000)\***

This Professional Services Agreement ("Agreement") is made by and between the County of Monterey, a political subdivision of the State of California (hereinafter "County") and:  
Moffatt & Nichol, a California Corporation  
(hereinafter "CONTRACTOR").

In consideration of the mutual covenants and conditions set forth in this Agreement, the parties agree as follows:

1. **SERVICES TO BE PROVIDED.** The County hereby engages CONTRACTOR to perform, and CONTRACTOR hereby agrees to perform, the services described in Exhibit A in conformity with the terms of this Agreement. The services are generally described as follows:  
Provide bridge design services on an on-call basis for various bridge projects located in Monterey County, California

2. **PAYMENTS BY COUNTY.** County shall pay the CONTRACTOR in accordance with the payment provisions set forth in Exhibit A, subject to the limitations set forth in this Agreement. The total amount payable by County to CONTRACTOR under this Agreement shall not exceed the sum of \$ 750,000.

3. **TERM OF AGREEMENT.** The term of this Agreement is from July 13, 2021 to July 12, 2024, unless sooner terminated pursuant to the terms of this Agreement. This Agreement is of no force or effect until signed by both CONTRACTOR and County and with County signing last, and CONTRACTOR may not commence work before County signs this Agreement.

4. **ADDITIONAL PROVISIONS/EXHIBITS.** The following attached exhibits are incorporated herein by reference and constitute a part of this Agreement:

- Exhibit A** Scope of Services/Payment Provisions
- Exhibit B** Federal Provisions
- Exhibit C** Revision to Paragraph 8, Indemnification, of Agreement
- Exhibit D** Incorporation of Request for Qualifications (RFQ) #10783, Addendum No. 1 to RFQ #10783 and Statement of Qualifications on file with the Department of Public Works, Facilities & Parks

5. **PERFORMANCE STANDARDS.**

5.01. CONTRACTOR warrants that CONTRACTOR and CONTRACTOR's agents, employees, and subcontractors performing services under this Agreement are specially trained, experienced, competent, and appropriately licensed to perform the work and deliver the services required under this Agreement and are not employees of the County, or immediate family of an employee of the County.

5.02. CONTRACTOR, its agents, employees, and subcontractors shall perform all work in a safe and skillful manner and in compliance with all applicable laws and regulations. All work performed under this Agreement that is required by law to be performed or supervised by licensed personnel shall be performed in accordance with such licensing requirements.

\*Approved by County Board of Supervisors on \_\_\_\_\_

5.03. CONTRACTOR shall furnish, at its own expense, all materials, equipment, and personnel necessary to carry out the terms of this Agreement, except as otherwise specified in this Agreement. CONTRACTOR shall not use County premises, property (including equipment, instruments, or supplies) or personnel for any purpose other than in the performance of its obligations under this Agreement.

6. PAYMENT CONDITIONS.

6.01. CONTRACTOR shall submit to the Contract Administrator an invoice on a form acceptable to County. If not otherwise specified, the CONTRACTOR may submit such invoice periodically or at the completion of services, but in any event, not later than 30 days after completion of services. The invoice shall set forth the amounts claimed by CONTRACTOR for the previous period, together with an itemized basis for the amounts claimed, and such other information pertinent to the invoice as the County may require. The Contract Administrator or his or her designee shall certify the invoice, either in the requested amount or in such other amount as the County approves in conformity with this Agreement, and shall promptly submit such invoice to the County Auditor-Controller for payment. The County Auditor-Controller shall pay the amount certified within 30 days of receiving the certified invoice.

6.02. CONTRACTOR shall not receive reimbursement for travel expenses unless set forth in this Agreement.

7. TERMINATION.

7.01. During the term of this Agreement, the County may terminate the Agreement for any reason by giving written notice of termination to the CONTRACTOR at least thirty (30) days prior to the effective date of termination. Such notice shall set forth the effective date of termination. In the event of such termination, the amount payable under this Agreement shall be reduced in proportion to the services provided prior to the date of termination.

7.02. The County may cancel and terminate this Agreement for good cause effective immediately upon written notice to CONTRACTOR. "Good cause" includes the failure of CONTRACTOR to perform the required services at the time and in the manner provided under this Agreement. If County terminates this Agreement for good cause, the County may be relieved of the payment of any consideration to CONTRACTOR, and the County may proceed with the work in any manner, which County deems proper. The cost to the County shall be deducted from any sum due the CONTRACTOR under this Agreement.

8. INDEMNIFICATION.

~~8.01. For purposes of the following indemnification provisions ("Indemnification Agreement"), "design professional" has the same meaning as set forth in California Civil Code section 2782.8. If any term, provision or application of this Indemnification Agreement is found to be invalid, in violation of public policy or unenforceable to any extent, such finding shall not invalidate any other term or provision of this Indemnification Agreement and such other terms and provisions shall continue in full force and effect. If there is any conflict between the terms, provisions or application of this Indemnification Agreement and the provisions of California Civil Code Sections 2782 or 2782.8, the broadest indemnity protection for the COUNTY under this Indemnity Agreement that is permitted by law shall be provided by CONTRACTOR.~~

Please refer to Exhibit C of Agreement.

SB DK 6/11/2021 6/11/2021  
Contractor's Initials Date

~~8.02 Indemnification for Design Professional Services Claims:~~

~~CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claims that arise out of, or pertain to, or relate to the negligence, recklessness, or willful misconduct of the CONTRACTOR, its employees, subcontractors, and agents in the performance of design professional services under this Agreement, excepting only liability arising from the sole negligence, active negligence or willful misconduct of the COUNTY, or defect in a design furnished by the COUNTY.~~

Please refer to Exhibit C of Agreement.

~~SB VA 6/11/2021 6/11/2021~~  
Contractor's Initials Date

~~8.03 Indemnification for All Other Claims or Loss:~~

~~For any claim, loss, injury, damage, expense or liability other than claims arising out of the CONTRACTOR's performance of design professional services under this Agreement, CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claim for loss, injury, damage, expense or liability resulting from or alleging injury to or death of any person or loss of use of or damage to property, arising from or related to the performance of services under this Agreement by CONTRACTOR, its employees, subcontractors or agents, excepting only liability arising from the sole negligence, active negligence or willful misconduct of the COUNTY, or defect in a design furnished by the COUNTY.~~

Please refer to Exhibit C of Agreement.

~~SB VA 6/11/2021 6/11/2021~~  
Contractor's Initials Date

**9.0 INSURANCE.**

**9.01 Evidence of Coverage:**

Prior to commencement of this Agreement, the Contractor shall provide a "Certificate of Insurance" certifying that coverage as required herein has been obtained. Individual endorsements executed by the insurance carrier shall accompany the certificate. In addition the Contractor upon request shall provide a certified copy of the policy or policies.

This verification of coverage shall be sent to the County's, Contracts/Purchasing Department, unless otherwise directed. The Contractor shall not receive a "Notice to Proceed" with the work under this Agreement until it has obtained all insurance required and such, insurance has been approved by the County. This approval of insurance shall neither relieve nor decrease the liability of the Contractor.

**9.02 Qualifying Insurers:**

All coverage's, except surety, shall be issued by companies which hold a current policy holder's alphabetic and financial size category rating of not less than A- VII, according to the current Best's Key Rating Guide or a company of equal financial stability that is approved by the County's Purchasing Manager.

**9.03 Insurance Coverage Requirements:** Without limiting CONTRACTOR's duty to indemnify, CONTRACTOR shall maintain in effect throughout the term of this Agreement a policy or policies of insurance with the following minimum limits of liability:

Commercial general liability insurance, including but not limited to premises and operations, including coverage for Bodily Injury and Property Damage, Personal Injury, Contractual Liability, Broadform Property Damage, Independent Contractors, Products and Completed Operations, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

Modification (Justification attached; subject to approval).

Business automobile liability insurance, covering all motor vehicles, including owned, leased, non-owned, and hired vehicles, used in providing services under this Agreement, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

Modification (Justification attached; subject to approval).

Workers' Compensation Insurance, if CONTRACTOR employs others in the performance of this Agreement, in accordance with California Labor Code section 3700 and with Employer's Liability limits not less than \$1,000,000 each person, \$1,000,000 each accident and \$1,000,000 each disease.

Modification (Justification attached; subject to approval).

Professional liability insurance, if required for the professional services being provided, (e.g., those persons authorized by a license to engage in a business or profession regulated by the California Business and Professions Code), in the amount of not less than \$1,000,000 per claim and \$2,000,000 in the aggregate, to cover liability for malpractice or errors or omissions made in the course of rendering professional services. If professional liability insurance is written on a "claims-made" basis rather than an occurrence basis, the CONTRACTOR shall, upon the expiration or earlier termination of this Agreement, obtain extended reporting coverage ("tail coverage") with the same liability limits. Any such tail coverage shall continue for at least three years following the expiration or earlier termination of this Agreement.

Modification (Justification attached; subject to approval).

9.04

Other Insurance Requirements

All insurance required by this Agreement shall be with a company acceptable to the County and issued and executed by an admitted insurer authorized to transact insurance business in the State of California. Unless otherwise specified by this Agreement, all such insurance shall be written on an occurrence basis, or, if the policy is not written on an occurrence basis, such policy with the coverage required herein shall continue in effect for a period of three years following the date CONTRACTOR completes its performance of services under this Agreement.

Each liability policy shall provide that the County shall be given notice in writing at least thirty days in advance of any endorsed reduction in coverage or limit, cancellation, or intended non-renewal thereof. Each policy shall provide coverage for Contractor and additional insureds with respect to claims arising from each subcontractor, if any, performing work under this Agreement, or be accompanied by a certificate of insurance from each subcontractor showing each subcontractor has identical insurance coverage to the above requirements.

Commercial general liability and automobile liability policies shall provide an endorsement naming the County of Monterey, its officers, agents, and employees as Additional Insureds with respect to liability arising out of the CONTRACTOR'S work, including ongoing and completed

operations, and shall further provide that such insurance is primary insurance to any insurance or self-insurance maintained by the County and that the insurance of the Additional Insureds shall not be called upon to contribute to a loss covered by the CONTRACTOR'S insurance. The required endorsement form for Commercial General Liability Additional Insured is ISO Form CG 20 10 11-85 or CG 20 10 10 01 in tandem with CG 20 37 10 01 (2000). The required endorsement form for Automobile Additional Insured endorsement is ISO Form CA 20 48 02 99.

Prior to the execution of this Agreement by the County, CONTRACTOR shall file certificates of insurance with the County's contract administrator and County's Contracts/Purchasing Division, showing that the CONTRACTOR has in effect the insurance required by this Agreement. The CONTRACTOR shall file a new or amended certificate of insurance within five calendar days after any change is made in any insurance policy, which would alter the information on the certificate then on file. Acceptance or approval of insurance shall in no way modify or change the indemnification clause in this Agreement, which shall continue in full force and effect.

CONTRACTOR shall at all times during the term of this Agreement maintain in force the insurance coverage required under this Agreement and shall send, without demand by County, annual certificates to County's Contract Administrator and County's Contracts/Purchasing Division. If the certificate is not received by the expiration date, County shall notify CONTRACTOR and CONTRACTOR shall have five calendar days to send in the certificate, evidencing no lapse in coverage during the interim. Failure by CONTRACTOR to maintain such insurance is a default of this Agreement, which entitles County, at its sole discretion, to terminate this Agreement immediately.

## 10. RECORDS AND CONFIDENTIALITY.

- 10.01 Confidentiality. CONTRACTOR and its officers, employees, agents, and subcontractors shall comply with any and all federal, state, and local laws, which provide for the confidentiality of records and other information. CONTRACTOR shall not disclose any confidential records or other confidential information received from the County or prepared in connection with the performance of this Agreement, unless County specifically permits CONTRACTOR to disclose such records or information. CONTRACTOR shall promptly transmit to County any and all requests for disclosure of any such confidential records or information. CONTRACTOR shall not use any confidential information gained by CONTRACTOR in the performance of this Agreement except for the sole purpose of carrying out CONTRACTOR's obligations under this Agreement.
- 10.02 County Records. When this Agreement expires or terminates, CONTRACTOR shall return to County any County records which CONTRACTOR used or received from County to perform services under this Agreement.
- 10.03 Maintenance of Records. CONTRACTOR shall prepare, maintain, and preserve all reports and records that may be required by federal, state, and County rules and regulations related to services performed under this Agreement. CONTRACTOR shall maintain such records for a period of at least three years after receipt of final payment under this Agreement. If any litigation, claim, negotiation, audit exception, or other action relating to this Agreement is pending at the end of the three year period, then CONTRACTOR shall retain said records until such action is resolved.

- 10.04 Access to and Audit of Records. The County shall have the right to examine, monitor and audit all records, documents, conditions, and activities of the CONTRACTOR and its subcontractors related to services provided under this Agreement. Pursuant to Government Code section 8546.7, if this Agreement involves the expenditure of public funds in excess of \$10,000, the parties to this Agreement may be subject, at the request of the County or as part of any audit of the County, to the examination and audit of the State Auditor pertaining to matters connected with the performance of this Agreement for a period of three years after final payment under the Agreement.
- 10.05 Royalties and Inventions. County shall have a royalty-free, exclusive and irrevocable license to reproduce, publish, and use, and authorize others to do so, all original computer programs, writings, sound recordings, pictorial reproductions, drawings, and other works of similar nature produced in the course of or under this Agreement. CONTRACTOR shall not publish any such material without the prior written approval of County.
11. **NON-DISCRIMINATION.** During the performance of this Agreement, CONTRACTOR, and its subcontractors, shall not unlawfully discriminate against any person because of race, religious creed, color, sex, national origin, ancestry, physical disability, mental disability, medical condition, marital status, age (over 40), or sexual orientation, either in CONTRACTOR's employment practices or in the furnishing of services to recipients. CONTRACTOR shall ensure that the evaluation and treatment of its employees and applicants for employment and all persons receiving and requesting services are free of such discrimination. CONTRACTOR and any subcontractor shall, in the performance of this Agreement, fully comply with all federal, state, and local laws and regulations, which prohibit discrimination. The provision of services primarily or exclusively to such target population as may be designated in this Agreement shall not be deemed to be prohibited discrimination.
12. **COMPLIANCE WITH TERMS OF STATE OR FEDERAL GRANT.** If this Agreement has been or will be funded with monies received by the County pursuant to a contract with the state or federal government in which the County is the grantee, CONTRACTOR will comply with all the provisions of said contract, to the extent applicable to CONTRACTOR as a subgrantee under said contract, and said provisions shall be deemed a part of this Agreement, as though fully set forth herein. Upon request, County will deliver a copy of said contract to CONTRACTOR, at no cost to CONTRACTOR.
13. **INDEPENDENT CONTRACTOR.** In the performance of work, duties, and obligations under this Agreement, CONTRACTOR is at all times acting and performing as an independent contractor and not as an employee of the County. No offer or obligation of permanent employment with the County or particular County department or agency is intended in any manner, and CONTRACTOR shall not become entitled by virtue of this Agreement to receive from County any form of employee benefits including but not limited to sick leave, vacation, retirement benefits, workers' compensation coverage, insurance or disability benefits. CONTRACTOR shall be solely liable for and obligated to pay directly all applicable taxes, including federal and state income taxes and social security, arising out of CONTRACTOR's performance of this Agreement. In connection therewith, CONTRACTOR shall defend, indemnify, and hold County harmless from any and all liability, which County may incur because of CONTRACTOR's failure to pay such taxes.

14. **NOTICES.** Notices required under this Agreement shall be delivered personally or by first-class, postage pre-paid mail to the County and CONTRACTOR'S contract administrators at the addresses listed below:

FOR COUNTY:	FOR CONTRACTOR:
Dalia Mariscal-Martinez, Management Analyst III	Garrett Dekker, P.E., Project Manager
Name and Title	Name and Title
1441 Schilling Place, South 2nd Floor Salinas, California 93901-4527	2185 North California Boulevard, Suite 500 Walnut Creek, California 94596
Address	Address
(831) 755-8966	(925) 956-4947
Phone	Phone

15. **MISCELLANEOUS PROVISIONS.**

- 15.01 Conflict of Interest. CONTRACTOR represents that it presently has no interest and agrees not to acquire any interest during the term of this Agreement, which would directly or indirectly conflict in any manner or to any degree with the full and complete performance of the professional services required to be rendered under this Agreement.
- 15.02 Amendment. This Agreement may be amended or modified only by an instrument in writing signed by the County and the CONTRACTOR.
- 15.03 Waiver. Any waiver of any terms and conditions of this Agreement must be in writing and signed by the County and the CONTRACTOR. A waiver of any of the terms and conditions of this Agreement shall not be construed as a waiver of any other terms or conditions in this Agreement.
- 15.04 Contractor. The term "CONTRACTOR" as used in this Agreement includes CONTRACTOR's officers, agents, and employees acting on CONTRACTOR's behalf in the performance of this Agreement.
- 15.05 Disputes. CONTRACTOR shall continue to perform under this Agreement during any dispute.
- 15.06 Assignment and Subcontracting. The CONTRACTOR shall not assign, sell, or otherwise transfer its interest or obligations in this Agreement without the prior written consent of the County. None of the services covered by this Agreement shall be subcontracted without the prior written approval of the County. Notwithstanding any such subcontract, CONTRACTOR shall continue to be liable for the performance of all requirements of this Agreement.
- 15.07 Successors and Assigns. This Agreement and the rights, privileges, duties, and obligations of the County and CONTRACTOR under this Agreement, to the extent assignable or delegable, shall be binding upon and inure to the benefit of the parties and their respective successors, permitted assigns, and heirs.



- 15.08 Compliance with Applicable Law. The parties shall comply with all applicable federal, state, and local laws and regulations in performing this Agreement.
- 15.09 Headings. The headings are for convenience only and shall not be used to interpret the terms of this Agreement.
- 15.10 Time is of the Essence. Time is of the essence in each and all of the provisions of this Agreement.
- 15.11 Governing Law. This Agreement shall be governed by and interpreted under the laws of the State of California.
- 15.12 Non-exclusive Agreement. This Agreement is non-exclusive and both County and CONTRACTOR expressly reserve the right to contract with other entities for the same or similar services.
- 15.13 Construction of Agreement. The County and CONTRACTOR agree that each party has fully participated in the review and revision of this Agreement and that any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply in the interpretation of this Agreement or any amendment to this Agreement.
- 15.14 Counterparts. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same Agreement.
- 15.15 Authority. Any individual executing this Agreement on behalf of the County or the CONTRACTOR represents and warrants hereby that he or she has the requisite authority to enter into this Agreement on behalf of such party and bind the party to the terms and conditions of this Agreement.
- 15.16 Integration. This Agreement, including the exhibits, represent the entire Agreement between the County and the CONTRACTOR with respect to the subject matter of this Agreement and shall supersede all prior negotiations, representations, or agreements, either written or oral, between the County and the CONTRACTOR as of the effective date of this Agreement, which is the date that the County signs the Agreement.
- 15.17 Interpretation of Conflicting Provisions. In the event of any conflict or inconsistency between the provisions of this Agreement and the Provisions of any exhibit or other attachment to this Agreement, the provisions of this Agreement shall prevail and control.

**This space is left blank, intentionally.**

IN WITNESS WHEREOF, County and CONTRACTOR have executed this Agreement as of the day and year written below.

**COUNTY OF MONTEREY**

DocuSigned by:  
 By: Debra Wilson, Contracts/Purchasing Supervisor  
75741937AA0147B  
 Purchasing Officer  
 Date: 7/22/2021 | 9:27 AM PDT

By: \_\_\_\_\_  
 Date: \_\_\_\_\_  
 Department Head (if applicable)

By: \_\_\_\_\_  
 Date: \_\_\_\_\_  
 Board of Supervisors (if applicable)

Approved as to Form<sup>1</sup> by:  
 By: Mary Grace Perry  
633031E17FD247E  
 County Counsel  
 Date: 6/23/2021

Approved as to Fiscal Provisions<sup>2</sup>  
 By: Gary Eboney  
03834BFECTD8449  
 Auditor/Controller  
 Date: 6/23/2021

Approved as to Liability Provisions<sup>3</sup>  
 By: \_\_\_\_\_  
 Date: \_\_\_\_\_  
 Risk Management

**CONTRACTOR**

Moffatt & Nichol, a California Corporation  
 Contractor's Business Name\*

DocuSigned by:  
 By: Scott Butler  
CR347DUPCBAC473  
 (Signature of Chair, President, or Vice-President)\*  
 Scott Butler, Vice President  
 Name and Title  
 Date: 6/11/2021

DocuSigned by:  
 By: Dave Huchel  
4E6A302E100792A  
 (Signature of Secretary, Asst. Secretary, CFO, Treasurer or Asst. Treasurer)\*  
 David W. Huchel, Secretary  
 Name and Title  
 Date: 6/11/2021

County Board of Supervisors' Agreement Number: \_\_\_\_\_

\*INSTRUCTIONS: If CONTRACTOR is a corporation, including limited liability and non-profit corporations, the full legal name of the corporation shall be set forth above together with the signatures of two specified officers. If CONTRACTOR is a partnership, the name of the partnership shall be set forth above together with the signature of a partner who has authority to execute this Agreement on behalf of the partnership. If CONTRACTOR is contracting in an individual capacity, the individual shall set forth the name of the business, if any, and shall personally sign the Agreement.

<sup>1</sup>Approval by County Counsel is required for all Professional Service Agreements over \$100,000  
<sup>2</sup>Approval by Auditor/Controller is required for all Professional Service Agreements  
<sup>3</sup>Approval by Risk Management is required only if changes are made in paragraph 8 or 9

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

To Agreement by and between  
County of Monterey, hereinafter referred to as "County"  
and  
Moffatt & Nichol, a California Corporation, hereinafter referred to as "CONTRACTOR"

### A. SCOPE OF SERVICES

A.1 CONTRACTOR shall provide services and staff, and otherwise do all things necessary for or incidental to the performance of work as set forth below:

A.1.1 The Scope of Services includes in general, the full range of professional engineering services, including all disciplines typically required for bridge projects in the public sector. CONTRACTOR shall have extensive experience and knowledge of, not limited to, Federal Highway Administration (FHWA) programs, Caltrans Local Assistance Procedures Manual (LAPM) and Local Assistance Program Guidelines (LAPG), California Environmental Quality Act (CEQA), and National Environmental Policy Act (NEPA).

A.1.2 The Scope of Services shall include, but not be limited to, the following services and requirements:

**Project Management:** Provide supervision and scheduling of project staff, review of work prepared by staff and subconsultants, project coordination, client liaison and the monitoring of the schedule and the budget. Also prepare project reports and attend meetings, conferences, site visits with County staff to receive input and discuss and review the project during its critical design periods.

**Project Schedule:** Develop and monitor a critical path method project schedule (using Microsoft Project) showing each task, start and end dates, and task duration. This schedule must be updated and submitted regularly and coordinated with County as appropriate.

**Project Initiation:** Prepare funding documents for programming, and authorizing funds per requirements of the LAPM and LAPG and be in close consultation with Caltrans District 5 Local Assistance Engineer.

**Preliminary Design:** Provide Preliminary design support on Highway Bridge Program (HBP)/LAPM submittals like the Preliminary Environmental Study (PES) and Request for Authorization (RFA) etc.

**Geotechnical Investigation:** Provide subsurface geotechnical investigation reports to support the design of the new bridge or the alteration of the existing structure, as required by the project.

**Environmental Studies and Reports:** Preparation of all environmental documentation and reports necessary to secure environmental clearance under both CEQA and NEPA. This includes environmental mitigation documentation and preparation of environmental

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

mitigation and monitoring and reporting programs. This includes corresponding and coordinating with regulatory agencies, Caltrans environmental support staff, and County-Planning to obtain permits, waivers, etc.

**Environmental Permitting:** Identify all agencies with permitting authority over any aspects of the project and maintain communication and coordination with such agencies through all phases of the work. Coordinate with and serve as liaison between such agencies and County. Prepare permit applications for review, approval, and submittal by County.

**Utility Relocation:** Identify utilities on the plans and coordinate utility relocations both prior to and during construction.

**Right-of-Way:** Obtain and review title reports for any or all required acquisitions/easements as part of the project. Perform surveys to determine existing property lines, right of way (ROW), and easements as needed.

**Analysis:** Perform various kinds of analyses such as hydrologic analysis, hydraulic analysis, scour analysis, and traffic analysis to adequately address the design needs of the project.

**Design:** Design plans to incorporate hydrologic and hydraulic design, stream-bank protection design, stream diversion design, foundation design, bridge design, roadway design, traffic control and traffic detour design.

**Construction Documents:** Prepare construction documents such as final construction plans and specifications which will conform to County's and Caltrans Standards as well as Federal requirements. Final design calculations and final construction cost estimate should be included as part of construction documents.

**Construction Support:** Attend the pre-construction meeting with the construction contractor and other project participants. Respond to questions the construction contractor may have and address issues that need to be resolved before work commences. Provide County with design support during construction such as reviewing construction shop drawings, answering requests for information (RFIs) and performing field inspections, as necessary.

**As-Built Plans:** Provide County with an electronic as-built plan file complete with redline changes or corrections. Such plans will be based upon information obtained from field measurements and observations made during project construction and approved contract change orders.

A.1.3 Services shall be provided on an on-call basis. County will contact CONTRACTOR with regards to an individual task and/or project. CONTRACTOR shall then submit a detailed proposal that shall include at minimum assigned CONTRACTOR staff, relevant task, deliverables, schedule, and cost. Cost shall be submitted in a separate sealed envelope.

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

A.1.4 The exact scope for professional engineering services will be determined prior to a notice to proceed for a specific task and/or project. County may negotiate the scope and cost of the proposal prior to issuance of the notice to proceed. County has the right to reject any submitted proposal.

A.1.5 CONTRACTOR shall advocate for County and ensure the project produced is in the best interest of County. CONTRACTOR shall deliver products on or ahead of required schedule and within proposed budget.

A.1.6 All work shall be performed in conformance with all applicable County, State, and Federal laws, including but not limited to County Standards, State Standard Plans & Specifications, Manual on Uniform Traffic Control Devices (MUTCD), and the Americans with Disabilities Act of 1990 (ADA), as may be revised and amended from time to time.

### B. PAYMENT PROVISIONS

#### B.1 COMPENSATION/PAYMENT

County shall pay an amount not to exceed **\$750,000**, for the performance of all things necessary for or incidental to the performance of work as set forth in the Scope of Services. CONTRACTOR's compensation for services rendered shall be based according to the attached Hourly Billing Rates/Specific Rates of Compensation included in Exhibit 10-H2, Cost Proposal, of the Caltrans LAPM within Exhibit A of this Agreement or in accordance with the following terms:

County and CONTRACTOR agree that CONTRACTOR shall be reimbursed for travel expenses during this Agreement. CONTRACTOR shall receive compensation for travel expenses as per the "County Travel Policy". A copy of the policy is available online at <https://www.co.monterey.ca.us/home/showdocument?id=69364>. To receive reimbursement, CONTRACTOR must provide a detailed breakdown of authorized expenses, identifying what was expended and when.

CONTRACTOR warrants that the cost charged for services under the terms of this Agreement are not in excess of those charged to any other client for the same services performed by the same individuals.

#### B.2 CONTRACTOR'S BILLING PROCEDURES

Invoices under this Agreement shall be submitted monthly and promptly, and in accordance with Paragraph 6, "Payment Conditions", of the Agreement. All invoices shall reference the Multiyear Agreement (MYA) number, services (*On-Call Bridge Design Services (RFP #10783)*), project name, and associated Delivery Order number, and an original hardcopy shall be sent to the following address or via email to [RMA-Finance-AP@co.monterey.ca.us](mailto:RMA-Finance-AP@co.monterey.ca.us):

County of Monterey  
Department of Public Works, Facilities, & Parks (PWFP) – Finance Division  
1441 Schilling Place, South 2<sup>nd</sup> Floor  
Salinas, California 93901-4527

## EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

Any questions pertaining to invoices under this Agreement shall be directed to PWWP Finance Division at (831) 755-4800 or via email to: [RMA-Finance-AP@co.monterey.ca.us](mailto:RMA-Finance-AP@co.monterey.ca.us).

County may, in its sole discretion, terminate the Agreement or withhold payments claimed by CONTRACTOR for services rendered if CONTRACTOR fails to satisfactorily comply with any term or condition of this Agreement.

No payments in advance or in anticipation of services or supplies to be provided under this Agreement shall be made by County.

County shall not pay any claims for payment for services submitted more than twelve (12) months after the calendar month in which the services were completed.

**DISALLOWED COSTS:** CONTRACTOR is responsible for any audit exceptions or disallowed costs incurred by its own organization or that of its subcontractors.

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Exhibit 10-B2  
Cost Proposal

Local Assistance Procedures Manual

**EXHIBIT 10-B2 COST PROPOSAL  
SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)**

**2021 BILLING RATES**

Note: Mark-ups are Not Allowed

Consultant Moffatt & Nichol  Prime Consultant  Subconsultant  2nd Tier Subconsultant  
 Project No. N/A Contract No. TBD Estimated Amount TBD Date 2/12/2021

Office Staff Rate 55.98% + 0.00% + 124.03% = 180.01% Combined Indirect Cost Rate (ICR) %

(= 0% if Included in OH)

(= 0% if Included in OH)

FBE % = 10%

**BILLING INFORMATION**

**CALCULATION INFORMATION**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective date of hourly rate		Actual or Avg. hourly rate <sup>3</sup>	% or \$ increase	Hourly range - for classifications only
	Straight	OT(1.5x)	OT(2x)	From	To			
Gary Antonucci - QA/QC Manager*	\$331.11	NA		1/1/2021	6/30/2021	\$107.50		NA
Principal Engineer	\$341.05			7/1/2021	6/30/2022	\$110.73	3.00%	
	\$351.28			7/1/2022	6/30/2023	\$114.05	3.00%	
	\$361.81			7/1/2023	6/30/2024	\$117.47	3.00%	
	\$372.67			7/1/2024	9/30/2025	\$120.99	3.00%	
Garrett Dekker - Project Manager*	\$200.98	NA		1/1/2021	6/30/2021	\$65.25		NA
Engineer III	\$207.01			7/1/2021	6/30/2022	\$67.21	3.00%	
	\$213.22			7/1/2022	6/30/2023	\$69.23	3.00%	
	\$219.61			7/1/2023	6/30/2024	\$71.30	3.00%	
	\$226.20			7/1/2024	9/30/2025	\$73.44	3.00%	
Anthony Goryl - Bridge Design & Inspections*	\$161.71	NA		1/1/2021	6/30/2021	\$52.50		NA
Engineer II	\$166.56			7/1/2021	6/30/2022	\$54.08	3.00%	
	\$171.55			7/1/2022	6/30/2023	\$55.70	3.00%	
	\$176.70			7/1/2023	6/30/2024	\$57.37	3.00%	
	\$182.00			7/1/2024	9/30/2025	\$59.09	3.00%	

January 2020

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective date of hourly rate		Actual or Avg. hourly rate <sup>3</sup>	% or \$ increase	Hourly range - for classifications only
	Straight	OT(1.5x)	OT(2x)	From	To			
Stephanie Oelick - Environmental*	\$248.20			1/1/2021	6/30/2021	\$80.58		NA
Supervisory Engineer	\$255.64			7/1/2021	6/30/2022	\$83.00	3.00%	
	\$263.31			7/1/2022	6/30/2023	\$85.49	3.00%	
	\$271.21			7/1/2023	6/30/2024	\$88.05	3.00%	
	\$279.35			7/1/2024	9/30/2025	\$90.69	3.00%	
Principal Engineer	\$338.81			1/1/2021	6/30/2021	\$110.00		\$100.00 - \$120.00
	\$348.98			7/1/2021	6/30/2022	\$113.30	3.00%	
	\$359.45			7/1/2022	6/30/2023	\$116.70	3.00%	
	\$370.23			7/1/2023	6/30/2024	\$120.20	3.00%	
	\$381.34			7/1/2024	9/30/2025	\$123.81	3.00%	
Supervisory Engineer	\$292.61			1/1/2021	6/30/2021	\$95.00		\$80.00 - \$110.00
	\$301.39			7/1/2021	6/30/2022	\$97.85	3.00%	
	\$310.43			7/1/2022	6/30/2023	\$100.79	3.00%	
	\$319.74			7/1/2023	6/30/2024	\$103.81	3.00%	
	\$329.34			7/1/2024	9/30/2025	\$106.92	3.00%	
Senior Engineer	\$231.01			1/1/2021	6/30/2021	\$75.00		\$70.00 - \$80.00
	\$237.94			7/1/2021	6/30/2022	\$77.25	3.00%	
	\$245.08			7/1/2022	6/30/2023	\$79.37	3.00%	
	\$252.43			7/1/2023	6/30/2024	\$81.95	3.00%	
	\$260.00			7/1/2024	9/30/2025	\$84.41	3.00%	
Engineer III	\$200.21			1/1/2021	6/30/2021	\$65.00		\$60.00 - \$70.00
	\$206.21			7/1/2021	6/30/2022	\$66.95	3.00%	
	\$212.40			7/1/2022	6/30/2023	\$68.96	3.00%	
	\$218.77			7/1/2023	6/30/2024	\$71.03	3.00%	
	\$225.33			7/1/2024	9/30/2025	\$73.16	3.00%	
Engineer II	\$169.41			1/1/2021	6/30/2021	\$55.00		\$50.00 - \$60.00
	\$174.49			7/1/2021	6/30/2022	\$56.65	3.00%	
	\$179.72			7/1/2022	6/30/2023	\$58.35	3.00%	
	\$185.11			7/1/2023	6/30/2024	\$60.10	3.00%	
	\$190.67			7/1/2024	9/30/2025	\$61.90	3.00%	
Engineer I	\$144.77			1/1/2021	6/30/2021	\$47.00		\$42.00 - \$52.00
	\$149.11			7/1/2021	6/30/2022	\$48.41	3.00%	
	\$153.58			7/1/2022	6/30/2023	\$49.86	3.00%	
	\$158.19			7/1/2023	6/30/2024	\$51.36	3.00%	
	\$162.93			7/1/2024	9/30/2025	\$52.90	3.00%	

January 2020



**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective date of hourly rate		Actual or Avg. hourly rate <sup>3</sup>	% or \$ increase	Hourly range - for classifications only
	Straight	OT(1.5x)	OT(2x)	From	To			
Staff Engineer	\$128.59	NA		1/1/2021	6/30/2021	\$41.75		\$38.50 - \$45.00
	\$132.45			7/1/2021	6/30/2022	\$43.00	3.00%	
	\$136.43			7/1/2022	6/30/2023	\$44.29	3.00%	
	\$140.52			7/1/2023	6/30/2024	\$45.62	3.00%	
	\$144.73			7/1/2024	9/30/2025	\$46.99	3.00%	
Senior Technician	\$184.81	NA		1/1/2021	6/30/2021	\$60.00		\$55.00 - \$65.00
	\$190.35			7/1/2021	6/30/2022	\$61.80	3.00%	
	\$196.06			7/1/2022	6/30/2023	\$63.65	3.00%	
	\$201.94			7/1/2023	6/30/2024	\$65.56	3.00%	
	\$208.00			7/1/2024	9/30/2025	\$67.53	3.00%	
CADD II	\$138.60	NA		1/1/2021	6/30/2021	\$45.00		\$40.00 - \$50.00
	\$142.76			7/1/2021	6/30/2022	\$46.35	3.00%	
	\$147.05			7/1/2022	6/30/2023	\$47.74	3.00%	
	\$151.46			7/1/2023	6/30/2024	\$49.17	3.00%	
	\$156.00			7/1/2024	9/30/2025	\$50.65	3.00%	
General Clerical	\$92.40	NA		1/1/2021	6/30/2021	\$30.00		\$25.00 - \$35.00
	\$95.18			7/1/2021	6/30/2022	\$30.90	3.00%	
	\$98.03			7/1/2022	6/30/2023	\$31.83	3.00%	
	\$100.97			7/1/2023	6/30/2024	\$32.78	3.00%	
	\$104.00			7/1/2024	9/30/2025	\$33.77	3.00%	

**Notes:**

1. Key Personnel must be marked with an asterisk (\*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (\*\*). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
2. The Cost proposal format shall not be amended.
3. Billing rate = actual hourly rate \* (1+ICR) \* (1+Fee). Indirect cost rates shall be updated on an annual basis in accordance with the consultant's actual accounting period and established by a cognized agency or accepted by
4. For named employees and key personnel enter the actual hourly rate. For classifications only, enter the Average Hourly Rate for that classification.

January 2020

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Exhibit 10-H2

Cost Proposal

**EXHIBIT 10-H2 COST PROPOSAL, PAGE 2 OF 3  
SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)**

(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Consultant Moffatt & Nichol  Prime Consultant  Subconsultant  
 Project No. N/A Contract No. TBD Date 2/12/2021

SCHEDULE OF OTHER DIRECT COST ITEMS				
PRIME CONSULTANT				
DESCRIPTION OF ITEMS	Quantity	Unit	Unit Cost	Total
Travel	LS	1	At cost	TBD
Mileage	TBD	Miles	Per IRS Rate	TBD
Printing	LS	1	At cost	TBD
Postage	LS	1	At cost	TBD
				\$0.00
				\$0.00
				\$0.00
				\$0.00
Subconsultant 1:				TBD
Subconsultant 2:				TBD
Subconsultant 3:				TBD
Subconsultant 4:				TBD
Subconsultant 5:				TBD

**IMPORTANT NOTES:**

- List direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentation.
- Proposed ODC items should be consistently billed regardless of client and contract type.
- Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
- Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
- Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
- Travel related costs should be pre-approved by the contracting agency and shall not exceed current State Department of Personnel Administration rules.
- If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
- If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is their standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.
- The cost proposal format shall not be amended. All costs must comply with the Federal cost principles.
- Add additional pages if necessary.
- Subconsultants must provide their own cost proposals.

January 2020

EXHIBIT 10-H2 COST PROPOSAL Page 3 of 3

**Certification of Direct Costs:**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on this cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

- 7. Generally Accepted Accounting Principles (GAAP)
- 8. Terms and conditions of the contract
- 9. Title 23 United States Code Section 112 - Letting of Contracts
- 10. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
- 11. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Service
- 12. 48 Code of Federal Regulations Part 3904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

**Prime Consultant or Subconsultant Certification:**

Name: Michael McCarthy Title \*: Vice President  
 Signature: *Michael McCarthy* Date of Certification (mm/dd/yyyy): 02/09/2021  
 Email: mrmccarthy@moffatnichol.com Phone Number: (562) 308-5301  
 Address: 4225 E. Conant Street, Long Beach, CA 90808

\* An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:  
Prime Consultant, Bridge Design, Environmental Documentation

Moffatt & Nichol, a California Corporation  
 On-Call Bridge Design Services (RFQ #10783)  
 Department of Public Works, Facilities, & Parks

EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procurement Manual

EXHIBIT 10-H2  
Cost Proposal

**EXHIBIT 10-H2 COST PROPOSAL**  
SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

New Hire/Rate Not Allowed  Rate Consultant  Subcontractor  2nd Tier Subcontractor  
 Consultant: Kimley-Horn and Associates, Inc.  Subcontractor Account # TBD Date 2/8/2021  
 Project No. \_\_\_\_\_ Contract No. \_\_\_\_\_

For Combined Rate	0.619%	+ 194.64%	General Administrative %	195.25%
	FCRM			Combined CTR
			Fee	10.00%

Name/Job Title/Classification <sup>1</sup>	Straight Hour <sup>2</sup> Billing Rate <sup>2</sup>	OT(1.5x)	OT(2x)	Effective Date of Hourly Rate		Annual or Avg. Hourly Rate <sup>3</sup>	% or \$ Increase	Hourly Range <sup>4</sup> for Classifications
				From	To			
Analyst	\$124.42			7/1/2020	06/30/2021	\$38.01	3.00%	\$33.20 - \$42.82
	\$127.13			7/1/2021	06/30/2022	\$39.15	3.00%	\$34.20 - \$44.10
	\$130.94			7/1/2022	06/30/2023	\$40.32	3.00%	\$35.22 - \$45.43
Professional I	\$134.87			7/1/2023	06/30/2024	\$41.53	3.00%	\$36.28 - \$46.79
	\$136.91			7/1/2024	06/30/2025	\$42.78	3.00%	\$37.37 - \$48.19
	\$138.91			7/1/2025	06/30/2026	\$44.00	3.00%	\$38.48 - \$49.64
Professional II	\$158.53			7/1/2021	06/30/2022	\$48.92	3.00%	\$44.15 - \$53.50
	\$163.29			7/1/2022	06/30/2023	\$50.29	3.00%	\$45.47 - \$55.10
	\$168.19			7/1/2023	06/30/2024	\$51.80	3.00%	\$46.83 - \$56.76
Professional III	\$173.23			7/1/2024	06/30/2025	\$53.35	3.00%	\$48.24 - \$58.46
	\$197.33			7/1/2025	06/30/2026	\$60.74	3.00%	\$55.88 - \$67.60
	\$203.15			7/1/2026	06/30/2027	\$62.36	3.00%	\$57.16 - \$71.72
Sr. Professional I	\$209.34			7/1/2022	06/30/2023	\$64.44	3.00%	\$58.88 - \$73.87
	\$215.52			7/1/2023	06/30/2024	\$66.37	3.00%	\$60.66 - \$76.08
	\$221.99			7/1/2024	06/30/2025	\$68.36	3.00%	\$62.56 - \$78.48
Sr. Professional II	\$247.14			7/1/2020	06/30/2021	\$76.11	3.00%	\$69.04 - \$83.18
	\$254.45			7/1/2021	06/30/2022	\$78.39	3.00%	\$71.11 - \$85.68
	\$262.19			7/1/2022	06/30/2023	\$80.75	3.00%	\$73.24 - \$88.25
Sr. Professional III	\$270.06			7/1/2023	06/30/2024	\$83.17	3.00%	\$75.44 - \$90.99
	\$278.16			7/1/2024	06/30/2025	\$85.66	3.00%	\$77.71 - \$93.92
	\$286.53			7/1/2025	06/30/2026	\$88.20	3.00%	\$80.06 - \$96.04
Project Support	\$104.45			7/1/2021	06/30/2022	\$32.17	3.00%	\$28.09 - \$37.06
	\$107.48			7/1/2022	06/30/2023	\$33.13	3.00%	\$28.98 - \$37.95
	\$110.81			7/1/2023	06/30/2024	\$34.13	3.00%	\$29.92 - \$39.02
Project Support	\$114.14			7/1/2024	06/30/2025	\$34.13	3.00%	\$29.80 - \$39.50
	\$117.02			7/1/2025	06/30/2026	\$35.23	3.00%	\$30.88 - \$40.64
	\$120.43			7/1/2026	06/30/2027	\$36.37	3.00%	\$31.99 - \$41.86

NOTES:  
 1. New personnel shall be marked with an asterisk (\*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (\*\*). All costs must comply with the Federal cost principles. Subcontractors shall provide their own cost proposal.  
 2. Billing rate = (General hourly rate \* (1+ Combined CTR)) + (General hourly rate \* (1+ Cost of Admin %))  
 3. For marked employees and key personnel enter the actual hourly rate. For classification only, enter the Average Hourly Rate for that classification.

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

EXHIBIT 10-H2  
Cost Proposal

Page 2 of 3

**EXHIBIT 10-H2 COST PROPOSAL**  
SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Consultant Kinley-Horn and Associates, Inc.  Prime Consultant  Subconsultant

Project No. \_\_\_\_\_ Contract No. \_\_\_\_\_ Date 2/8/2021

SCHEDULE OF OTHER DIRECT COST ITEMS (Add additional pages as necessary)				
Description of Item	Quantity	Unit	Unit Cost	Total
Mileage Costs		per mile	Federal Rate \$	-
Travel (Airfare, Rental Car, Tolls, etc.)			Actual \$	-
Per Diem		per day	\$60.00	\$
Outside Printing and Reproduction			Actual \$	-
Counter / Fed Bk / USPS, etc.			Actual \$	-
Misc. Field Equipment / Supplies			Actual \$	-
Subconsultant 1:			\$	-
Subconsultant 2:			\$	-
Subconsultant 3:			\$	-
Subconsultant 4:			\$	-
Subconsultant 5:			\$	-

Moffatt & Nichol, a California Corporation  
On-Call Bridge Design Services (RFQ #10783)  
Department of Public Works, Facilities, & Parks

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

**EXHIBIT 10-H2 COST PROPOSAL**

**Certification of Direct Costs:**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

- 7. Generally Accepted Accounting Principles (GAAP)
- 8. Terms and conditions of the contract
- 9. Title 23 United States Code Section 112 - Letting of Contracts
- 10. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
- 11. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related
- 12. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

**Prime Consultant or Subconsultant Certificate:**

Name: Anthony Podęgacz Title: Vice President

Signature: *Anthony Podęgacz* Date of Certification (mm/dd/yyyy): 02/08/21

Email: anthony.podęgacz@kimmlevision.com Phone Number: 925-398-4840

Address: 4637 Chabot Dr, Suite 300, Pleasanton, CA, 94588

\* An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract

List services the consultant is providing under the proposed contract:  
Engineering services

[Empty rectangular box for listing services]

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedure Manual

**EXHIBIT 10-H2 COST PROPOSAL**  
 SHARED RISK CONTRACT (SINGLE OR CALL OR AWARD CONTRACTS)  
 (CONSTRUCTION ENGINEERING AND RESPECTION CONTRACTS)

EXHIBIT 10-H2  
 Cost Proposal

Page 1 of 4

Prop. No. 1001

Contract No. TBD

Project No. TBD

For Combined Rate

Prime Rate % 41.00%

General & Administrative % 15.30%

Participation Amount % TBD

Date: 02/13/21

For Home Office Rate

Prime Rate % 41.00%

General & Administrative % 15.30%

Participation Amount % TBD

Date: 02/13/21

For Field Office Rate

Prime Rate % 41.00%

General & Administrative % 15.30%

Participation Amount % TBD

Date: 02/13/21

For Home Office Rate

Prime Rate % 41.00%

General & Administrative % 15.30%

Participation Amount % TBD

Date: 02/13/21

**BILLING INFORMATION**

**CALCULATION INFORMATION**

Number/Job Title/Classification	Hourly Billing Rate*	OT1	OT2	OT3	Effective Date of Hourly Rate	From	To	Actual or Avg Hourly Rate*	% or S Increase	Hourly Range - For Classifications
Frank Bouyer, PLS - Senior Land Surveyor	\$233.46				6/30/2021	7/1/2021	6/30/2021	\$72.00	3.00%	Not Applicable
Frank Bouyer, PLS - Senior Land Surveyor	\$249.88				6/30/2021	7/1/2021	6/30/2021	\$74.16	3.00%	Not Applicable
Frank Bouyer, PLS - Senior Land Surveyor	\$266.30				6/30/2021	7/1/2021	6/30/2021	\$76.24	3.00%	Not Applicable
Frank Bouyer, PLS - Senior Land Surveyor	\$282.72				6/30/2021	7/1/2021	6/30/2021	\$78.32	3.00%	Not Applicable
Mark Vukobrat, PLS - Licensed Party Chieft	\$187.20				6/30/2021	7/1/2021	6/30/2021	\$48.00	3.00%	Not Applicable
Mark Vukobrat, PLS - Licensed Party Chieft	\$161.92				6/30/2021	7/1/2021	6/30/2021	\$40.48	3.00%	Not Applicable
Mark Vukobrat, PLS - Licensed Party Chieft	\$136.64				6/30/2021	7/1/2021	6/30/2021	\$32.96	3.00%	Not Applicable
Mark Vukobrat, PLS - Licensed Party Chieft	\$111.36				6/30/2021	7/1/2021	6/30/2021	\$25.44	3.00%	Not Applicable
Mark Vukobrat, PLS - Licensed Party Chieft	\$86.08				6/30/2021	7/1/2021	6/30/2021	\$17.92	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$264.12				6/30/2021	7/1/2021	6/30/2021	\$80.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$254.12				6/30/2021	7/1/2021	6/30/2021	\$78.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$244.12				6/30/2021	7/1/2021	6/30/2021	\$76.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$234.12				6/30/2021	7/1/2021	6/30/2021	\$74.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$224.12				6/30/2021	7/1/2021	6/30/2021	\$72.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$214.12				6/30/2021	7/1/2021	6/30/2021	\$70.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$204.12				6/30/2021	7/1/2021	6/30/2021	\$68.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$194.12				6/30/2021	7/1/2021	6/30/2021	\$66.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$184.12				6/30/2021	7/1/2021	6/30/2021	\$64.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$174.12				6/30/2021	7/1/2021	6/30/2021	\$62.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$164.12				6/30/2021	7/1/2021	6/30/2021	\$60.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$154.12				6/30/2021	7/1/2021	6/30/2021	\$58.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$144.12				6/30/2021	7/1/2021	6/30/2021	\$56.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$134.12				6/30/2021	7/1/2021	6/30/2021	\$54.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$124.12				6/30/2021	7/1/2021	6/30/2021	\$52.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$114.12				6/30/2021	7/1/2021	6/30/2021	\$50.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$104.12				6/30/2021	7/1/2021	6/30/2021	\$48.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$94.12				6/30/2021	7/1/2021	6/30/2021	\$46.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$84.12				6/30/2021	7/1/2021	6/30/2021	\$44.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$74.12				6/30/2021	7/1/2021	6/30/2021	\$42.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$64.12				6/30/2021	7/1/2021	6/30/2021	\$40.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$54.12				6/30/2021	7/1/2021	6/30/2021	\$38.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$44.12				6/30/2021	7/1/2021	6/30/2021	\$36.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$34.12				6/30/2021	7/1/2021	6/30/2021	\$34.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$24.12				6/30/2021	7/1/2021	6/30/2021	\$32.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$14.12				6/30/2021	7/1/2021	6/30/2021	\$30.86	3.00%	Not Applicable
Staff - Principal Land Surveyor	\$4.12				6/30/2021	7/1/2021	6/30/2021	\$28.86	3.00%	Not Applicable





**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

EXHIBIT 10-121

Cost Proposal

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**EXHIBIT 10-121 COST PROPOSAL**  
**SCHEDULE RATE OF COMPENSATION USE FOR ON-CALL OR AS-ORDERED CONTRACTORS**  
 (CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTORS)

Contractor: Towell, Inc.  Prime Consultant  Subcontractor  
 Project No. TBD Contract No. TBD Date 02/12/21

SCHEDULE OF OTHER DIRECT COST ITEMS (Add additional pages as necessary)					
Description of Item	Quantity	Unit	Unit Cost	Total	
Mileage Costs		Rate	\$0.28	\$	
Field Definition Scanner		Day	\$650.00	\$	
Survey, Dismounting, Mags and Drills		Day	\$5.00	\$	
Tyrodic Control		Day	\$2,500.00	\$	
Caltrans Encroachment Permit		ea	\$491.00	\$	
Subcontract 1:				\$	
Subcontract 2:				\$	
Subcontract 3:				\$	
Subcontract 4:				\$	
Subcontract 5:				\$	

- NOTES:
- The other items over items with unitized costs. These items should be completed in their respective subsection and supported with appropriate documentation.
  - Include any other items that are not included in the above items.
  - Item which is not for the same purpose. In the description, identify each item by its unitized cost per unit or in the unitized unit.
  - Items such as special handling, will be reimbursed at actual cost with supporting documentation provided.
  - Items listed above that would be considered "tools of the trade" are not reimbursable as other direct costs.
  - Travel related costs should be pre-approved by the contracting agency and shall not exceed current State Department of Personnel Administration rates.
  - Expenses to be included, the unit should be properly supported by the contractor's calculation of their actual costs for company vehicles. In addition, the miles claimed should not over any vehicles that could be used for the same purpose.
  - If a contractor proposes actual costs for a vehicle, the company must demonstrate that this is its standard procedure for all of their vehicles and that they do not own any vehicles that could be used for the same purpose.
  - The cost proposal should not be exceeded. All costs must comply with the "Prudent cost principle".
  - Add additional pages if necessary.
  - Subcontract items must provide that are not proposed.

Moffatt & Nichol, a California Corporation  
 On-Call Bridge Design Services (RFQ #10783)  
 Department of Public Works, Facilities, & Parks

EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

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EXHIBIT 10-H2 COST PROPOSAL

EXHIBIT 10-112  
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Certification of Direct Costs

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

- 7. Generally Accepted Accounting Principles (GAAP)
- 8. Terms and conditions of the contract
- 9. Title 23 United States Code Section 112 - Letting of Contracts
- 10. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
- 11. 23 Code of Federal Regulations Part 121 - Procurement, Management, and Administration of Engineering and Design Related Service
- 12. 48 Code of Federal Regulations Part 9901 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

Primary Consultant or Subcontractor Certification

Name: Amy Redwine Title: Chief Financial Officer

Signature: [Signature] Date of Certification (mm/dd/yyyy): 02/12/21

Email: ajredwine@redwine.com Phone Number: (921) 682-9976 x1001

Address: 3100 Clayton Road, Suite 1200, Concord, CA 94530

\* An individual executive or financial officer of the consultant's, or subcontractor's, organization as a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information defined to establish the cost proposal for the contract.

I, the undersigned, certify that the consultant is providing under the proposed contract:  
Land Surveying

[Empty rectangular box for additional information]

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

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**EXHIBIT 10-H2**  
Cost Proposal

**EXHIBIT 10-H2 COST PROPOSAL** Page 1 of 3  
SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-ups are Not Allowed

Consultant	Area West Environmental, Inc.			Prime Consultant	<input checked="" type="checkbox"/> Subconsultant	2nd Tier Subconsultant
RFQ #:	10783	Proposal #:	P210015	Participation Amount		Date: 1/27/2021
Monterey Co - Provide Bridge Design Services on an "On-Call" Basis						
For Combined Rate	Fringe %	+	Overhead %	+	G&A %	Combined ICR %
	84.11%		76.36%			160.47%
OR						
For Home Office Rate	Fringe %	+	Overhead %	+	G&A %	Home Office ICR %
						0.00%
For Field Office Rate	Fringe %	+	Overhead %	+	G&A %	Field Office ICR %
						0.00%
						Fee = 1%
						% Increase = 4.0%

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective Date of Hourly Rate		Actual or Avg Hourly Rate <sup>3</sup>	% or \$ Increase	Hourly Range - for Classification, Only	
	Straight <sup>3</sup>	OT(1.5x)	OT(2x)	From	To				
Rozumowicz-Kodumbe, Rebecca J *	\$203.69	\$203.69	\$203.69	1/1/2021	12/31/2021	\$68.00		Not Applicable	
Permitting Specialist Senior Environmental Planner	\$211.84	\$211.84	\$211.84	1/1/2022	12/31/2022	\$70.72	4.0%		
	\$220.31	\$220.31	\$220.31	1/1/2023	12/31/2023	\$73.55	4.0%		
	\$228.12	\$228.12	\$228.12	1/1/2024	12/31/2024	\$76.49	4.0%		
	\$236.29	\$236.29	\$236.29	1/1/2025	12/31/2025	\$79.35	4.0%		
	\$247.82	\$247.82	\$247.82	1/1/2026	12/31/2026	\$83.73	4.0%		
Exempt Aiken, Michela W. Data Entry and QA/QC Technician	\$50.92	\$76.38	\$101.84	1/1/2021	12/31/2021	\$17.00		Not Applicable	
	\$52.96	\$79.44	\$105.92	1/1/2022	12/31/2022	\$17.68	4.0%		
	\$53.08	\$82.62	\$110.15	1/1/2023	12/31/2023	\$18.39	4.0%		
	\$57.28	\$85.92	\$114.56	1/1/2024	12/31/2024	\$19.12	4.0%		
	\$59.57	\$89.36	\$119.14	1/1/2025	12/31/2025	\$19.89	4.0%		
Non-Exempt Bailey, Mary L. Business Senior Environmental Planner	\$61.95	\$92.93	\$123.91	1/1/2026	12/31/2026	\$20.68	4.0%		
	\$149.77	\$224.66	\$299.54	1/1/2021	12/31/2021	\$50.00		Not Applicable	
	\$155.76	\$233.64	\$311.52	1/1/2022	12/31/2022	\$52.00	4.0%		
	\$161.99	\$242.99	\$323.98	1/1/2023	12/31/2023	\$54.08	4.0%		
	\$168.47	\$252.71	\$336.94	1/1/2024	12/31/2024	\$56.24	4.0%		
Non-Exempt Brinkman, Cory G. Permitting Specialist Environmental Planner	\$175.21	\$262.82	\$350.42	1/1/2025	12/31/2025	\$58.49	4.0%		
	\$182.22	\$273.88	\$364.44	1/1/2026	12/31/2026	\$60.83	4.0%		
	\$113.83	\$113.83	\$113.83	1/1/2021	12/31/2021	\$38.00		Not Applicable	
	\$118.38	\$118.38	\$118.38	1/1/2022	12/31/2022	\$39.52	4.0%		
	\$123.11	\$123.11	\$123.11	1/1/2023	12/31/2023	\$41.10	4.0%		
Exempt Brown, Elizabeth L. Project Coordination	\$128.04	\$128.04	\$128.04	1/1/2024	12/31/2024	\$42.74	4.0%		
	\$133.16	\$133.16	\$133.16	1/1/2025	12/31/2025	\$44.45	4.0%		
	\$138.49	\$138.49	\$138.49	1/1/2026	12/31/2026	\$46.23	4.0%		
	\$59.91	\$89.86	\$119.82	1/1/2021	12/31/2021	\$20.00		Not Applicable	
	\$62.30	\$93.46	\$124.61	1/1/2022	12/31/2022	\$20.80	4.0%		

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

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EXHIBIT 10-112  
Cost Proposal

Name/Job Title/Classification <sup>1</sup>	Billing Information			Calculation Information				Hourly Range - for Classifications Only
	Hourly Billing Rates <sup>2</sup>	Effective Date of Hourly Rate	Actual or Avg. Hourly Rate <sup>3</sup>	% or \$ Increase	From	To		
	Straight <sup>4</sup>	OT(1.5x)	OT(2x)					
<i>Environmental Planner</i>	\$64.80	\$97.19	\$129.59	1/1/2023	12/31/2023	\$21.63	4.0%	
	\$67.39	\$101.08	\$134.78	1/1/2024	12/31/2024	\$22.50	4.0%	
	\$70.08	\$105.13	\$140.17	1/1/2025	12/31/2025	\$23.40	4.0%	
	\$72.89	\$109.33	\$145.77	1/1/2026	12/31/2026	\$24.33	4.0%	
<i>Non-Exempt</i> <i>Colten, Eric</i> <i>GIS</i> <i>Data Specialist</i>	\$89.86	\$134.79	\$179.72	1/1/2021	12/31/2021	\$30.00		Not Applicable
	\$93.46	\$140.18	\$186.91	1/1/2022	12/31/2022	\$31.20	4.0%	
	\$97.19	\$145.79	\$194.39	1/1/2023	12/31/2023	\$32.45	4.0%	
	\$101.08	\$151.62	\$202.17	1/1/2024	12/31/2024	\$33.75	4.0%	
<i>Non-Exempt</i>	\$105.13	\$157.69	\$210.23	1/1/2025	12/31/2025	\$35.10	4.0%	
	\$109.33	\$164.00	\$218.66	1/1/2026	12/31/2026	\$36.50	4.0%	
	\$177.42	\$266.13	\$354.84	1/1/2021	12/31/2021	\$59.23		Not Applicable
	\$184.51	\$276.77	\$369.03	1/1/2022	12/31/2022	\$61.60	4.0%	
<i>Senior Environmental Planner</i>	\$191.90	\$287.84	\$383.79	1/1/2023	12/31/2023	\$64.06	4.0%	
	\$199.57	\$299.36	\$399.14	1/1/2024	12/31/2024	\$66.63	4.0%	
	\$207.55	\$311.33	\$415.11	1/1/2025	12/31/2025	\$69.29	4.0%	
	\$215.86	\$323.78	\$431.71	1/1/2026	12/31/2026	\$72.06	4.0%	
<i>Non-Exempt</i> <i>Fremont, Matthew J.</i> <i>GIS - Senior</i> <i>Data Specialist</i>	\$173.73	\$260.60	\$347.47	1/1/2021	12/31/2021	\$58.00		Not Applicable
	\$180.68	\$271.02	\$361.37	1/1/2022	12/31/2022	\$60.32	4.0%	
	\$187.91	\$281.87	\$375.82	1/1/2023	12/31/2023	\$62.73	4.0%	
	\$195.43	\$293.14	\$390.85	1/1/2024	12/31/2024	\$65.24	4.0%	
<i>Non-Exempt</i>	\$203.24	\$304.87	\$406.49	1/1/2025	12/31/2025	\$67.85	4.0%	
	\$211.37	\$317.06	\$422.75	1/1/2026	12/31/2026	\$70.57	4.0%	
	\$59.91	\$89.86	\$119.82	1/1/2021	12/31/2021	\$20.00		Not Applicable
	\$62.30	\$93.46	\$124.61	1/1/2022	12/31/2022	\$20.80	4.0%	
<i>Biological Monitor</i> <i>Environmental Planner</i>	\$64.80	\$97.19	\$129.59	1/1/2023	12/31/2023	\$21.63	4.0%	
	\$67.39	\$101.08	\$134.78	1/1/2024	12/31/2024	\$22.50	4.0%	
	\$70.08	\$105.13	\$140.17	1/1/2025	12/31/2025	\$23.40	4.0%	
	\$72.89	\$109.33	\$145.77	1/1/2026	12/31/2026	\$24.33	4.0%	
<i>Non-Exempt</i> <i>Hcox, Ericka A.</i> <i>Project Accountant</i> <i>Technician</i>	\$74.89	\$112.33	\$149.77	1/1/2021	12/31/2021	\$25.00		Not Applicable
	\$77.88	\$116.82	\$155.76	1/1/2022	12/31/2022	\$26.00	4.0%	
	\$81.00	\$121.49	\$161.99	1/1/2023	12/31/2023	\$27.04	4.0%	
	\$84.24	\$126.35	\$168.47	1/1/2024	12/31/2024	\$28.12	4.0%	
<i>Non-Exempt</i>	\$87.61	\$131.41	\$175.21	1/1/2025	12/31/2025	\$29.25	4.0%	
	\$91.11	\$136.66	\$182.22	1/1/2026	12/31/2026	\$30.42	4.0%	
	\$74.89	\$112.33	\$149.77	1/1/2021	12/31/2021	\$25.00		Not Applicable
	\$77.88	\$116.82	\$155.76	1/1/2022	12/31/2022	\$26.00	4.0%	
<i>Hippley, Christine M.</i> <i>Project Accountant</i> <i>Technician</i>	\$81.00	\$121.49	\$161.99	1/1/2023	12/31/2023	\$27.04	4.0%	
	\$84.24	\$126.35	\$168.47	1/1/2024	12/31/2024	\$28.12	4.0%	
	\$87.61	\$131.41	\$175.21	1/1/2025	12/31/2025	\$29.25	4.0%	
	\$91.11	\$136.66	\$182.22	1/1/2026	12/31/2026	\$30.42	4.0%	
<i>Non-Exempt</i> <i>Kaniner, Sarah M.</i> <i>Environmental Planner</i>	\$71.89	\$107.83	\$143.78	1/1/2021	12/31/2021	\$24.00		Not Applicable
	\$74.77	\$112.15	\$149.53	1/1/2022	12/31/2022	\$24.96	4.0%	
	\$77.76	\$116.03	\$155.51	1/1/2023	12/31/2023	\$25.96	4.0%	
	\$80.87	\$121.30	\$161.73	1/1/2024	12/31/2024	\$27.00	4.0%	
<i>Non-Exempt</i>	\$84.10	\$126.15	\$168.20	1/1/2025	12/31/2025	\$28.08	4.0%	
	\$87.46	\$131.20	\$174.93	1/1/2026	12/31/2026	\$29.20	4.0%	
	\$89.86	\$134.79	\$179.72	1/1/2021	12/31/2021	\$30.00		Not Applicable

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

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EXHIBIT 10-H2  
Cost Proposal

Name/Job Title/Classification <sup>1</sup>	Billing Information			Effective Date of Hourly Rate		Calculation Information		
	Straight <sup>2</sup>	OT(1.5x)	OT(2x)	From	To	Actual or Avg. Hourly Rate <sup>4</sup>	% or \$ Increase	Hourly Range - for Classifications Only
<i>Biological Monitor Biologist</i>	\$93.46	\$140.18	\$186.91	1/1/2022	12/31/2022	\$31.20	4.0%	
	\$97.19	\$145.79	\$194.39	1/1/2023	12/31/2023	\$32.45	4.0%	
	\$101.08	\$151.62	\$202.17	1/1/2024	12/31/2024	\$33.75	4.0%	
	\$105.13	\$157.69	\$210.25	1/1/2025	12/31/2025	\$35.10	4.0%	
Non-Exempt	\$109.33	\$164.00	\$218.66	1/1/2026	12/31/2026	\$36.50	4.0%	
<i>Kodumtie, Claudia Data Entry and QA/QC Technician</i>	\$50.92	\$76.38	\$101.84	1/1/2021	12/31/2021	\$17.00		Not Applicable
	\$52.96	\$79.44	\$105.92	1/1/2022	12/31/2022	\$17.68	4.0%	
	\$55.08	\$82.62	\$110.15	1/1/2023	12/31/2023	\$18.39	4.0%	
	\$57.28	\$85.92	\$114.56	1/1/2024	12/31/2024	\$19.12	4.0%	
Non-Exempt	\$59.57	\$89.36	\$119.14	1/1/2025	12/31/2025	\$19.89	4.0%	
Non-Exempt	\$61.95	\$92.93	\$123.91	1/1/2026	12/31/2026	\$20.68	4.0%	
<i>Kodumtie, Crystal Data Entry and QA/QC Technician</i>	\$50.92	\$76.38	\$101.84	1/1/2021	12/31/2021	\$17.80		Not Applicable
	\$52.96	\$79.44	\$105.92	1/1/2022	12/31/2022	\$17.68	4.0%	
	\$55.08	\$82.62	\$110.15	1/1/2023	12/31/2023	\$18.39	4.0%	
	\$57.28	\$85.92	\$114.56	1/1/2024	12/31/2024	\$19.12	4.0%	
Non-Exempt	\$59.57	\$89.36	\$119.14	1/1/2025	12/31/2025	\$19.89	4.0%	
Non-Exempt	\$61.95	\$92.93	\$123.91	1/1/2026	12/31/2026	\$20.68	4.0%	
<i>Kodumtie, Tawatchai Graphic Artist Technician</i>	\$173.67	\$173.67	\$173.67	1/1/2021	12/31/2021	\$57.98		Not Applicable
	\$180.62	\$180.62	\$180.62	1/1/2022	12/31/2022	\$60.30	4.0%	
	\$187.85	\$187.85	\$187.85	1/1/2023	12/31/2023	\$62.71	4.0%	
	\$195.36	\$195.36	\$195.36	1/1/2024	12/31/2024	\$65.22	4.0%	
Exempt	\$203.18	\$203.18	\$203.18	1/1/2025	12/31/2025	\$67.83	4.0%	
Exempt	\$211.30	\$211.30	\$211.30	1/1/2026	12/31/2026	\$70.54	4.0%	
<i>Kovot, Milo W. Biological Monitor Biologist</i>	\$59.91	\$89.86	\$119.82	1/1/2021	12/31/2021	\$20.00		Not Applicable
	\$62.30	\$93.46	\$124.61	1/1/2022	12/31/2022	\$20.80	4.0%	
	\$64.80	\$97.19	\$129.59	1/1/2023	12/31/2023	\$21.63	4.0%	
	\$67.39	\$101.08	\$134.78	1/1/2024	12/31/2024	\$22.50	4.0%	
Non-Exempt	\$70.08	\$105.13	\$140.17	1/1/2025	12/31/2025	\$23.40	4.0%	
Non-Exempt	\$72.89	\$109.33	\$145.77	1/1/2026	12/31/2026	\$24.33	4.0%	
<i>Locais, James D. Water Quality Specialist Environmental Planner</i>	\$104.84	\$157.26	\$209.68	1/1/2021	12/31/2021	\$35.00		Not Applicable
	\$109.03	\$163.55	\$218.07	1/1/2022	12/31/2022	\$36.40	4.0%	
	\$113.39	\$170.09	\$226.79	1/1/2023	12/31/2023	\$37.86	4.0%	
	\$117.93	\$176.89	\$235.86	1/1/2024	12/31/2024	\$39.37	4.0%	
Non-Exempt	\$122.65	\$183.97	\$245.29	1/1/2025	12/31/2025	\$40.95	4.0%	
Non-Exempt	\$127.55	\$191.33	\$255.11	1/1/2026	12/31/2026	\$42.58	4.0%	
<i>Medin, Animeric Sr. Archeologist</i>	\$155.76	\$233.64	\$311.52	1/1/2021	12/31/2021	\$52.00		Not Applicable
	\$161.99	\$242.99	\$323.98	1/1/2022	12/31/2022	\$54.08	4.0%	
	\$168.47	\$252.71	\$336.94	1/1/2023	12/31/2023	\$56.24	4.0%	
	\$175.21	\$262.82	\$350.42	1/1/2024	12/31/2024	\$58.49	4.0%	
Non-Exempt	\$182.22	\$273.33	\$364.44	1/1/2025	12/31/2025	\$60.83	4.0%	
Non-Exempt	\$189.51	\$284.26	\$379.01	1/1/2026	12/31/2026	\$63.27	4.0%	
<i>Marford, Sumatha J. Wildlife Biologist Biologist</i>	\$77.88	\$116.82	\$155.76	1/1/2021	12/31/2021	\$26.00		Not Applicable
	\$81.00	\$121.49	\$161.99	1/1/2022	12/31/2022	\$27.04	4.0%	
	\$84.24	\$126.35	\$168.47	1/1/2023	12/31/2023	\$28.12	4.0%	
	\$87.61	\$131.41	\$175.21	1/1/2024	12/31/2024	\$29.25	4.0%	
Non-Exempt	\$91.11	\$136.66	\$182.22	1/1/2025	12/31/2025	\$30.42	4.0%	
Non-Exempt	\$94.75	\$142.13	\$189.51	1/1/2026	12/31/2026	\$31.63	4.0%	

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

EXHIBIT 10-12  
Cost Proposal

Name/Job Title/Classification <sup>1</sup>	BILLING INFORMATION			CALCULATION INFORMATION				
	Hourly Billing Rates <sup>2</sup>	Effective Date of Hourly Rate	Actual or Avg. Hourly Rate <sup>3</sup>	% or \$ Increase	Hourly Range - for Classifications Only			
	Straight <sup>4</sup>	OT(1.5x)	OT(2x)	From	To			
Mummet, Mark J. Biological Monitor Biologist	\$89.56	\$134.34	\$179.13	1/1/2021	12/31/2021	\$29.90		Not Applicable
	\$93.15	\$139.72	\$186.29	1/1/2022	12/31/2022	\$31.10	4.0%	
	\$96.87	\$145.31	\$193.74	1/1/2023	12/31/2023	\$32.34	4.0%	
	\$100.75	\$151.12	\$201.49	1/1/2024	12/31/2024	\$33.63	4.0%	
	\$104.78	\$157.16	\$209.55	1/1/2025	12/31/2025	\$34.98	4.0%	
Non-Exempt	\$108.97	\$163.45	\$217.93	1/1/2026	12/31/2026	\$36.38	4.0%	
Munger, Corinne A. Planner Environmental Planner	\$122.81	\$184.22	\$245.62	1/1/2021	12/31/2021	\$41.00		Not Applicable
	\$127.72	\$191.59	\$255.45	1/1/2022	12/31/2022	\$42.64	4.0%	
	\$132.83	\$199.25	\$265.67	1/1/2023	12/31/2023	\$44.35	4.0%	
	\$138.15	\$207.22	\$276.29	1/1/2024	12/31/2024	\$46.12	4.0%	
	\$143.67	\$215.51	\$287.34	1/1/2025	12/31/2025	\$47.96	4.0%	
Non-Exempt	\$149.42	\$224.13	\$298.84	1/1/2026	12/31/2026	\$49.88	4.0%	
Peterson, Joshua D. GIS Data Specialist	\$93.61	\$140.41	\$187.21	1/1/2021	12/31/2021	\$31.25		Not Applicable
	\$97.35	\$146.03	\$194.70	1/1/2022	12/31/2022	\$32.50	4.0%	
	\$101.24	\$151.87	\$202.49	1/1/2023	12/31/2023	\$33.80	4.0%	
	\$105.29	\$157.94	\$210.59	1/1/2024	12/31/2024	\$35.15	4.0%	
	\$109.51	\$164.26	\$219.01	1/1/2025	12/31/2025	\$36.56	4.0%	
Non-Exempt	\$113.89	\$170.83	\$227.77	1/1/2026	12/31/2026	\$38.02	4.0%	
Rabness, (Chin) Bina C. Data Entry and QA/QC Technician	\$65.90	\$98.85	\$131.80	1/1/2021	12/31/2021	\$22.00		Not Applicable
	\$68.53	\$102.80	\$137.07	1/1/2022	12/31/2022	\$22.88	4.0%	
	\$71.28	\$106.91	\$142.55	1/1/2023	12/31/2023	\$23.80	4.0%	
	\$74.13	\$111.19	\$148.25	1/1/2024	12/31/2024	\$24.75	4.0%	
	\$77.09	\$115.64	\$154.18	1/1/2025	12/31/2025	\$25.74	4.0%	
Non-Exempt	\$80.18	\$120.26	\$160.35	1/1/2026	12/31/2026	\$26.77	4.0%	
Richardson, Arthur L. Biological Monitor Biologist	\$77.88	\$116.82	\$155.76	1/1/2021	12/31/2021	\$26.00		Not Applicable
	\$81.00	\$121.49	\$161.99	1/1/2022	12/31/2022	\$27.04	4.0%	
	\$84.24	\$126.35	\$168.47	1/1/2023	12/31/2023	\$28.12	4.0%	
	\$87.61	\$131.41	\$175.21	1/1/2024	12/31/2024	\$29.25	4.0%	
	\$91.11	\$136.66	\$182.22	1/1/2025	12/31/2025	\$30.42	4.0%	
Non-Exempt	\$94.75	\$142.13	\$189.51	1/1/2026	12/31/2026	\$31.63	4.0%	
Smith, Kavi A. Data Entry and QA/QC Technician	\$38.94	\$58.41	\$77.88	1/1/2021	12/31/2021	\$13.00		
	\$41.94	\$62.90	\$83.87	1/1/2022	12/31/2022	\$14.00	SB3	
	\$44.93	\$67.40	\$89.86	1/1/2023	12/31/2023	\$15.00	SB3	
	\$46.73	\$70.09	\$93.46	1/1/2024	12/31/2024	\$15.60	4.0%	
	\$48.60	\$72.90	\$97.19	1/1/2025	12/31/2025	\$16.22	4.0%	
Non-Exempt	\$50.54	\$75.81	\$101.08	1/1/2026	12/31/2026	\$16.87	4.0%	
Williams, Brian D. Wildlife Biologist Biologist	\$104.84	\$157.26	\$209.68	1/1/2021	12/31/2021	\$35.00		Not Applicable
	\$109.03	\$163.55	\$218.07	1/1/2022	12/31/2022	\$36.40	4.0%	
	\$113.39	\$170.09	\$226.79	1/1/2023	12/31/2023	\$37.86	4.0%	
	\$117.93	\$176.89	\$235.86	1/1/2024	12/31/2024	\$39.37	4.0%	
	\$122.65	\$183.97	\$245.29	1/1/2025	12/31/2025	\$40.95	4.0%	
Non-Exempt	\$127.55	\$191.33	\$255.11	1/1/2026	12/31/2026	\$42.58	4.0%	
Alvarez, Jeff Wildlife Biologist Contract Staff - Biologist	\$95.00	N/A	N/A	1/1/2021	12/31/2021	\$95.00		Not Applicable
	\$98.80	N/A	N/A	1/1/2022	12/31/2022	\$98.80	4.0%	
	\$102.75	N/A	N/A	1/1/2023	12/31/2023	\$102.75	4.0%	
	\$106.86	N/A	N/A	1/1/2024	12/31/2024	\$106.86	4.0%	
	\$111.13	N/A	N/A	1/1/2025	12/31/2025	\$111.13	4.0%	

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

**EXHIBIT 10-H2**  
Cost Proposal

Name/Job Title/Classification <sup>1</sup>	Billing Information			Effective Date of Hourly Rate		Calculation Information		Hourly Range -	
	Straight <sup>2</sup>	OT(1.5x)	OT(2x)	From	To	Actual or Avg. Hourly Rate <sup>3</sup>	% or \$ Increase	for Classifications Only	
	\$115.58	N/A	N/A	1/1/2024	12/31/2026	\$115.58	4.0%		
<b>Helm, Brent</b>	\$139.02	N/A	N/A	1/1/2021	12/31/2021	\$139.02		Not Applicable	
<b>Ecologist</b>	\$144.58	N/A	N/A	1/1/2022	12/31/2022	\$144.58	4.0%		
<b>Contract Staff - Biologist</b>	\$150.36	N/A	N/A	1/1/2023	12/31/2023	\$150.36	4.0%		
	\$156.37	N/A	N/A	1/1/2024	12/31/2024	\$156.37	4.0%		
	\$162.62	N/A	N/A	1/1/2025	12/31/2025	\$162.62	4.0%		
	\$169.12	N/A	N/A	1/1/2026	12/31/2026	\$169.12	4.0%		
<b>Archaeologist I</b>	\$79.38	\$119.07	\$158.76	1/1/2021	12/31/2021	\$76.50		\$18.00	\$35.00
	\$82.55	\$123.83	\$165.11	1/1/2022	12/31/2022	\$77.56	4.0%	\$18.72	\$36.40
	\$85.86	\$128.78	\$171.71	1/1/2023	12/31/2023	\$78.66	4.0%	\$19.47	\$37.86
	\$89.29	\$133.93	\$178.58	1/1/2024	12/31/2024	\$79.81	4.0%	\$20.25	\$39.37
	\$92.86	\$139.29	\$185.72	1/1/2025	12/31/2025	\$81.00	4.0%	\$21.06	\$40.95
	\$96.58	\$144.86	\$193.15	1/1/2026	12/31/2026	\$82.24	4.0%	\$21.90	\$42.58
<b>Archaeologist II</b>	\$115.32	\$172.98	\$230.65	1/1/2021	12/31/2021	\$88.50		\$27.00	\$50.00
	\$119.94	\$179.90	\$239.87	1/1/2022	12/31/2022	\$90.04	4.0%	\$28.08	\$52.00
	\$124.73	\$187.10	\$249.47	1/1/2023	12/31/2023	\$91.64	4.0%	\$29.20	\$54.08
	\$129.72	\$194.58	\$259.45	1/1/2024	12/31/2024	\$93.31	4.0%	\$30.37	\$56.24
	\$134.91	\$202.37	\$269.82	1/1/2025	12/31/2025	\$95.04	4.0%	\$31.59	\$58.49
	\$140.31	\$210.46	\$280.62	1/1/2026	12/31/2026	\$96.84	4.0%	\$32.85	\$60.83
<b>Archaeologist III/Cultural Resources Specialist</b>	\$172.24	\$258.35	\$344.47	1/1/2021	12/31/2021	\$77.50		\$40.00	\$75.00
	\$179.13	\$268.69	\$358.25	1/1/2022	12/31/2022	\$79.80	4.0%	\$41.60	\$78.00
	\$186.29	\$279.44	\$372.58	1/1/2023	12/31/2023	\$82.19	4.0%	\$43.26	\$81.12
	\$193.74	\$290.61	\$387.48	1/1/2024	12/31/2024	\$84.68	4.0%	\$44.99	\$84.36
	\$201.49	\$302.24	\$402.98	1/1/2025	12/31/2025	\$87.27	4.0%	\$46.79	\$87.74
	\$209.55	\$314.33	\$419.10	1/1/2026	12/31/2026	\$89.96	4.0%	\$48.67	\$91.25
<b>Biological Resources Lead</b>	\$232.14	\$348.22	\$464.29	1/1/2021	12/31/2021	\$77.50		\$45.00	\$110.00
	\$241.43	\$362.14	\$482.86	1/1/2022	12/31/2022	\$80.60	4.0%	\$46.80	\$114.40
	\$251.09	\$376.63	\$502.17	1/1/2023	12/31/2023	\$83.82	4.0%	\$48.67	\$118.98
	\$261.13	\$391.70	\$522.26	1/1/2024	12/31/2024	\$87.19	4.0%	\$50.62	\$123.74
	\$271.58	\$407.36	\$543.13	1/1/2025	12/31/2025	\$90.66	4.0%	\$52.64	\$128.69
	\$282.44	\$423.66	\$564.88	1/1/2026	12/31/2026	\$94.29	4.0%	\$54.73	\$133.83
<b>Biologist I</b>	\$94.36	\$141.53	\$188.71	1/1/2021	12/31/2021	\$31.50		\$18.00	\$45.00
	\$98.13	\$147.19	\$196.26	1/1/2022	12/31/2022	\$32.76	4.0%	\$18.72	\$46.80
	\$102.05	\$153.08	\$204.11	1/1/2023	12/31/2023	\$34.07	4.0%	\$19.47	\$48.67
	\$106.14	\$159.21	\$212.27	1/1/2024	12/31/2024	\$35.43	4.0%	\$20.25	\$50.62
	\$110.38	\$165.57	\$220.76	1/1/2025	12/31/2025	\$36.85	4.0%	\$21.06	\$52.64
	\$114.80	\$172.20	\$229.60	1/1/2026	12/31/2026	\$38.32	4.0%	\$21.90	\$54.75
<b>Biologist II</b>	\$125.81	\$188.71	\$251.61	1/1/2021	12/31/2021	\$42.00		\$24.00	\$60.00
	\$130.84	\$196.26	\$261.68	1/1/2022	12/31/2022	\$43.68	4.0%	\$24.96	\$62.40
	\$136.07	\$204.11	\$272.15	1/1/2023	12/31/2023	\$45.43	4.0%	\$25.96	\$64.90
	\$141.52	\$212.27	\$283.03	1/1/2024	12/31/2024	\$47.24	4.0%	\$27.00	\$67.49
	\$147.18	\$220.76	\$294.33	1/1/2025	12/31/2025	\$49.13	4.0%	\$28.08	\$70.19
	\$153.06	\$229.60	\$306.13	1/1/2026	12/31/2026	\$51.10	4.0%	\$29.20	\$73.00
<b>Biologist III</b>	\$157.26	\$238.89	\$314.52	1/1/2021	12/31/2021	\$52.30		\$35.00	\$70.00
	\$163.55	\$248.32	\$327.10	1/1/2022	12/31/2022	\$54.60	4.0%	\$36.40	\$72.80
	\$170.09	\$255.14	\$340.18	1/1/2023	12/31/2023	\$56.78	4.0%	\$37.86	\$75.71
	\$176.89	\$265.34	\$353.79	1/1/2024	12/31/2024	\$59.06	4.0%	\$39.37	\$78.74

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

EXHIBIT 10-H2  
Cost Proposal

BILLING INFORMATION				CALCULATION INFORMATION					
Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>4</sup>			Effective Date of Hourly Rate		Actual or Avg. Hourly Rate <sup>5</sup>	% or \$ Increase	Hourly Range - for Classifications Only	
	Straight <sup>3</sup>	OT(1.5x)	OT(2x)	From	To				
Botanist	\$183.97	\$275.96	\$367.94	1/1/2025	12/31/2025	\$61.42	4.0%	\$40.95	\$81.89
	\$191.33	\$286.99	\$382.66	1/1/2026	12/31/2026	\$63.87	4.0%	\$42.58	\$85.17
	\$149.77	\$224.66	\$299.54	1/1/2021	12/31/2021	\$50.00		\$20.00	\$80.00
	\$155.76	\$233.64	\$311.52	1/1/2022	12/31/2022	\$52.00	4.0%	\$20.80	\$83.20
	\$161.99	\$242.99	\$323.98	1/1/2023	12/31/2023	\$54.08	4.0%	\$21.63	\$86.53
	\$168.47	\$252.71	\$336.94	1/1/2024	12/31/2024	\$56.24	4.0%	\$22.50	\$89.99
	\$175.21	\$262.82	\$350.42	1/1/2025	12/31/2025	\$58.49	4.0%	\$23.40	\$93.59
CBQA/NBPA Specialist	\$182.22	\$273.33	\$364.44	1/1/2026	12/31/2026	\$60.83	4.0%	\$24.33	\$97.33
	\$206.68	\$310.02	\$413.37	1/1/2021	12/31/2021	\$69.00		\$38.00	\$100.00
	\$214.95	\$322.43	\$429.90	1/1/2022	12/31/2022	\$71.76	4.0%	\$39.52	\$104.00
	\$223.55	\$335.32	\$447.10	1/1/2023	12/31/2023	\$74.63	4.0%	\$41.10	\$108.16
	\$232.49	\$348.74	\$464.98	1/1/2024	12/31/2024	\$77.62	4.0%	\$42.74	\$112.49
	\$241.79	\$362.68	\$483.58	1/1/2025	12/31/2025	\$80.72	4.0%	\$44.45	\$116.99
	\$251.46	\$377.19	\$502.92	1/1/2026	12/31/2026	\$83.95	4.0%	\$46.23	\$121.67
Controller	\$127.30	\$190.96	\$254.61	1/1/2021	12/31/2021	\$42.50		\$33.00	\$50.00
	\$132.40	\$198.60	\$264.79	1/1/2022	12/31/2022	\$44.20	4.0%	\$36.40	\$52.00
	\$137.69	\$206.54	\$275.39	1/1/2023	12/31/2023	\$45.97	4.0%	\$37.86	\$54.08
	\$143.20	\$214.80	\$286.40	1/1/2024	12/31/2024	\$47.81	4.0%	\$39.37	\$56.24
	\$148.93	\$223.39	\$297.86	1/1/2025	12/31/2025	\$49.72	4.0%	\$40.95	\$58.49
	\$154.89	\$232.33	\$309.77	1/1/2026	12/31/2026	\$51.71	4.0%	\$42.58	\$60.83
	\$232.14	\$348.22	\$464.29	1/1/2021	12/31/2021	\$77.50		\$35.00	\$100.00
Director of Project Management	\$241.43	\$362.14	\$482.86	1/1/2022	12/31/2022	\$80.60	4.0%	\$37.20	\$104.00
	\$251.09	\$376.63	\$502.17	1/1/2023	12/31/2023	\$83.82	4.0%	\$39.49	\$108.16
	\$261.13	\$391.70	\$522.26	1/1/2024	12/31/2024	\$87.18	4.0%	\$41.87	\$112.49
	\$271.58	\$407.36	\$543.15	1/1/2025	12/31/2025	\$90.66	4.0%	\$44.34	\$116.99
	\$282.44	\$423.66	\$564.88	1/1/2026	12/31/2026	\$94.29	4.0%	\$46.92	\$121.67
	\$89.86	\$134.79	\$179.72	1/1/2021	12/31/2021	\$30.00		\$20.00	\$40.00
	\$93.46	\$140.18	\$186.91	1/1/2022	12/31/2022	\$31.20	4.0%	\$20.80	\$41.60
Editor	\$97.19	\$145.79	\$194.39	1/1/2023	12/31/2023	\$32.45	4.0%	\$21.63	\$43.26
	\$101.08	\$151.62	\$202.17	1/1/2024	12/31/2024	\$33.75	4.0%	\$22.50	\$44.99
	\$105.13	\$157.69	\$210.25	1/1/2025	12/31/2025	\$35.10	4.0%	\$23.40	\$46.79
	\$109.33	\$164.00	\$218.66	1/1/2026	12/31/2026	\$36.50	4.0%	\$24.33	\$48.67
	\$86.87	\$130.30	\$173.73	1/1/2021	12/31/2021	\$29.00		\$18.00	\$40.00
	\$90.34	\$135.51	\$180.68	1/1/2022	12/31/2022	\$30.16	4.0%	\$18.72	\$41.60
	\$93.96	\$140.93	\$187.91	1/1/2023	12/31/2023	\$31.37	4.0%	\$19.47	\$43.26
Environmental Specialist I	\$97.71	\$146.57	\$195.43	1/1/2024	12/31/2024	\$32.62	4.0%	\$20.25	\$44.99
	\$101.62	\$152.43	\$203.24	1/1/2025	12/31/2025	\$33.93	4.0%	\$21.06	\$46.79
	\$105.69	\$158.53	\$211.37	1/1/2026	12/31/2026	\$35.28	4.0%	\$21.90	\$48.67
	\$112.33	\$168.49	\$224.66	1/1/2021	12/31/2021	\$37.50		\$30.00	\$45.00
	\$116.82	\$175.23	\$233.64	1/1/2022	12/31/2022	\$39.00	4.0%	\$31.20	\$46.80
	\$121.49	\$182.24	\$242.99	1/1/2023	12/31/2023	\$40.56	4.0%	\$32.45	\$48.67
	\$126.35	\$189.53	\$252.71	1/1/2024	12/31/2024	\$42.18	4.0%	\$33.75	\$50.62
Environmental Specialist II	\$131.41	\$197.11	\$262.82	1/1/2025	12/31/2025	\$43.87	4.0%	\$35.10	\$52.64
	\$136.66	\$205.00	\$273.33	1/1/2026	12/31/2026	\$45.62	4.0%	\$36.50	\$54.75
	\$127.30	\$190.96	\$254.61	1/1/2021	12/31/2021	\$42.50		\$35.00	\$50.00
	\$132.40	\$198.60	\$264.79	1/1/2022	12/31/2022	\$44.20	4.0%	\$36.40	\$52.00
	\$137.69	\$206.54	\$275.39	1/1/2023	12/31/2023	\$45.97	4.0%	\$37.86	\$54.08



**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

EXHIBIT 10-H2  
Cost Proposal

BILLING INFORMATION				CALCULATION INFORMATION					
Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective Date of Hourly Rate		Actual or Avg. Hourly Rate <sup>3</sup>	% or \$ Increase	Hourly Range - for Classifications Only	
	Straight <sup>4</sup>	OT(1.5x)	OT(2x)	From	To				
Field/Construction Monitor	\$143.20	\$214.80	\$286.40	1/1/2024	12/31/2024	\$47.81	4.0%	\$39.57	\$56.24
	\$148.93	\$223.39	\$297.86	1/1/2025	12/31/2025	\$49.72	4.0%	\$40.95	\$58.49
	\$154.89	\$232.33	\$309.77	1/1/2026	12/31/2026	\$51.71	4.0%	\$42.58	\$60.83
	\$94.36	\$141.53	\$188.71	1/1/2021	12/31/2021	\$31.50		\$18.00	\$45.00
	\$98.13	\$147.19	\$196.26	1/1/2022	12/31/2022	\$32.76	4.0%	\$18.72	\$46.80
	\$102.05	\$153.08	\$204.11	1/1/2023	12/31/2023	\$34.07	4.0%	\$19.47	\$48.67
	\$106.14	\$159.21	\$212.27	1/1/2024	12/31/2024	\$35.43	4.0%	\$20.23	\$50.62
	\$110.38	\$165.57	\$220.76	1/1/2025	12/31/2025	\$36.85	4.0%	\$21.06	\$52.64
	\$114.80	\$172.20	\$229.60	1/1/2026	12/31/2026	\$38.32	4.0%	\$21.90	\$54.75
	\$86.87	\$130.30	\$173.73	1/1/2021	12/31/2021	\$29.00		\$18.00	\$40.00
GIS I	\$90.34	\$135.51	\$180.68	1/1/2022	12/31/2022	\$30.16	4.0%	\$18.72	\$41.60
	\$93.96	\$140.93	\$187.91	1/1/2023	12/31/2023	\$31.37	4.0%	\$19.47	\$43.26
	\$97.71	\$146.57	\$195.43	1/1/2024	12/31/2024	\$32.62	4.0%	\$20.23	\$44.99
	\$101.62	\$152.43	\$203.24	1/1/2025	12/31/2025	\$33.93	4.0%	\$21.06	\$46.79
	\$105.69	\$158.53	\$211.37	1/1/2026	12/31/2026	\$35.28	4.0%	\$21.90	\$48.67
	\$134.79	\$202.19	\$269.59	1/1/2021	12/31/2021	\$45.00		\$30.00	\$60.00
GIS II	\$141.38	\$212.07	\$282.77	1/1/2022	12/31/2022	\$47.20	4.0%	\$32.00	\$62.40
	\$146.62	\$219.93	\$293.24	1/1/2023	12/31/2023	\$48.95	4.0%	\$33.00	\$64.90
	\$152.00	\$228.01	\$304.01	1/1/2024	12/31/2024	\$50.75	4.0%	\$34.00	\$67.49
	\$157.55	\$236.32	\$315.09	1/1/2025	12/31/2025	\$52.60	4.0%	\$35.00	\$70.19
	\$163.25	\$244.87	\$326.50	1/1/2026	12/31/2026	\$54.50	4.0%	\$36.00	\$73.00
	\$209.68	\$314.52	\$419.36	1/1/2021	12/31/2021	\$70.00		\$40.00	\$100.00
Sr. GIS Specialist	\$218.07	\$327.10	\$436.13	1/1/2022	12/31/2022	\$72.80	4.0%	\$41.60	\$104.00
	\$226.79	\$340.18	\$453.58	1/1/2023	12/31/2023	\$75.71	4.0%	\$43.26	\$108.16
	\$235.86	\$353.79	\$471.72	1/1/2024	12/31/2024	\$78.74	4.0%	\$44.99	\$112.49
	\$245.29	\$367.94	\$490.39	1/1/2025	12/31/2025	\$81.89	4.0%	\$46.79	\$116.99
	\$255.11	\$382.66	\$510.21	1/1/2026	12/31/2026	\$85.17	4.0%	\$48.67	\$121.67
	\$79.38	\$119.07	\$158.76	1/1/2021	12/31/2021	\$26.50		\$13.00	\$40.00
	\$82.55	\$123.83	\$165.11	1/1/2022	12/31/2022	\$27.56	4.0%	\$13.52	\$41.60
Graphic Designer	\$85.86	\$128.78	\$171.71	1/1/2023	12/31/2023	\$28.66	4.0%	\$14.06	\$43.26
	\$89.29	\$133.93	\$178.58	1/1/2024	12/31/2024	\$29.81	4.0%	\$14.62	\$44.99
	\$92.86	\$139.29	\$185.72	1/1/2025	12/31/2025	\$31.00	4.0%	\$15.21	\$46.79
	\$96.58	\$144.86	\$193.15	1/1/2026	12/31/2026	\$32.24	4.0%	\$15.82	\$48.67
	\$187.21	\$280.82	\$374.43	1/1/2021	12/31/2021	\$62.50		\$45.00	\$80.00
	\$194.70	\$292.05	\$389.40	1/1/2022	12/31/2022	\$65.00	4.0%	\$46.80	\$83.20
Sr. Graphic Designer	\$202.49	\$303.73	\$404.98	1/1/2023	12/31/2023	\$67.60	4.0%	\$48.67	\$86.53
	\$210.59	\$315.88	\$421.18	1/1/2024	12/31/2024	\$70.30	4.0%	\$50.62	\$89.99
	\$219.01	\$328.52	\$438.03	1/1/2025	12/31/2025	\$73.12	4.0%	\$52.64	\$93.59
	\$227.77	\$341.66	\$455.55	1/1/2026	12/31/2026	\$76.04	4.0%	\$54.73	\$97.33
	\$64.40	\$96.60	\$128.80	1/1/2021	12/31/2021	\$21.50		\$13.00	\$30.00
	IT Support	\$67.70	\$101.54	\$135.39	1/1/2022	12/31/2022	\$22.60	\$B3	\$14.00
\$71.06		\$106.59	\$142.13	1/1/2023	12/31/2023	\$23.72	\$B3	\$15.00	\$32.45
\$73.91		\$110.86	\$147.81	1/1/2024	12/31/2024	\$24.67	4.0%	\$15.60	\$33.75
\$76.86		\$115.29	\$153.72	1/1/2025	12/31/2025	\$25.66	4.0%	\$16.22	\$35.10
\$79.94		\$119.90	\$159.87	1/1/2026	12/31/2026	\$26.69	4.0%	\$16.87	\$36.50
\$187.21		\$280.82	\$374.43	1/1/2021	12/31/2021	\$62.50		\$35.00	\$100.00
IT Manager	\$194.70	\$292.05	\$389.40	1/1/2022	12/31/2022	\$65.00	4.0%	\$26.00	\$104.00

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

EXHIBIT 10-H2  
Cost Proposal

Name/Job Title/Classification <sup>1</sup>	Billing Information			Calculation Information					
	Straight <sup>2</sup>	OT(1.5x)	OT(2x)	Effective Date of Hourly Rate		Actual or Avg. Hourly Rate <sup>3</sup>	% or \$ Increase	Hourly Range - for Classifications Only	
				From	To				
	\$202.49	\$303.73	\$404.98	1/1/2023	12/31/2023	\$67.60	4.0%	\$27.04	\$108.16
	\$210.59	\$315.88	\$421.18	1/1/2024	12/31/2024	\$70.30	4.0%	\$28.12	\$112.49
	\$219.01	\$328.52	\$438.03	1/1/2025	12/31/2025	\$73.12	4.0%	\$29.25	\$116.99
	\$227.77	\$341.66	\$455.55	1/1/2026	12/31/2026	\$76.04	4.0%	\$30.42	\$121.67
<i>Office Manager</i>	\$85.87	\$130.30	\$173.73	1/1/2021	12/31/2021	\$29.00		\$18.00	\$40.00
	\$90.34	\$135.51	\$180.68	1/1/2022	12/31/2022	\$30.16	4.0%	\$18.72	\$41.60
	\$93.96	\$140.93	\$187.91	1/1/2023	12/31/2023	\$31.37	4.0%	\$19.47	\$43.26
	\$97.71	\$146.57	\$195.43	1/1/2024	12/31/2024	\$32.62	4.0%	\$20.25	\$44.99
	\$101.62	\$152.43	\$203.24	1/1/2025	12/31/2025	\$33.93	4.0%	\$21.06	\$46.79
	\$105.69	\$158.53	\$211.37	1/1/2026	12/31/2026	\$35.28	4.0%	\$21.90	\$48.67
<i>Permit Specialist</i>	\$229.15	\$343.72	\$458.30	1/1/2021	12/31/2021	\$76.50		\$38.00	\$115.00
	\$238.31	\$357.47	\$476.63	1/1/2022	12/31/2022	\$79.56	4.0%	\$39.52	\$119.60
	\$247.85	\$371.77	\$495.69	1/1/2023	12/31/2023	\$82.74	4.0%	\$41.10	\$124.38
	\$257.76	\$386.64	\$515.52	1/1/2024	12/31/2024	\$86.05	4.0%	\$42.74	\$129.36
	\$268.07	\$402.11	\$536.14	1/1/2025	12/31/2025	\$89.49	4.0%	\$44.45	\$134.53
	\$278.79	\$418.19	\$557.59	1/1/2026	12/31/2026	\$93.07	4.0%	\$46.23	\$139.92
<i>Planner I</i>	\$74.89	\$112.33	\$149.77	1/1/2021	12/31/2021	\$25.00		\$20.00	\$30.00
	\$77.88	\$116.82	\$155.76	1/1/2022	12/31/2022	\$26.00	4.0%	\$20.88	\$31.20
	\$81.00	\$121.49	\$161.99	1/1/2023	12/31/2023	\$27.04	4.0%	\$21.63	\$32.45
	\$84.24	\$126.35	\$168.47	1/1/2024	12/31/2024	\$28.12	4.0%	\$22.50	\$33.75
	\$87.61	\$131.41	\$175.21	1/1/2025	12/31/2025	\$29.25	4.0%	\$23.40	\$35.10
	\$91.11	\$136.66	\$182.22	1/1/2026	12/31/2026	\$30.42	4.0%	\$24.33	\$36.50
<i>Planner II</i>	\$109.33	\$164.00	\$218.66	1/1/2021	12/31/2021	\$36.50		\$28.00	\$43.00
	\$113.71	\$170.56	\$227.41	1/1/2022	12/31/2022	\$37.96	4.0%	\$29.12	\$46.80
	\$118.25	\$177.38	\$236.51	1/1/2023	12/31/2023	\$39.48	4.0%	\$30.28	\$48.67
	\$122.98	\$184.48	\$245.97	1/1/2024	12/31/2024	\$41.06	4.0%	\$31.50	\$50.62
	\$127.90	\$191.85	\$255.81	1/1/2025	12/31/2025	\$42.70	4.0%	\$32.76	\$52.64
	\$133.02	\$199.53	\$266.04	1/1/2026	12/31/2026	\$44.41	4.0%	\$34.07	\$54.75
<i>Planner III</i>	\$146.77	\$220.16	\$293.55	1/1/2021	12/31/2021	\$49.00		\$38.00	\$60.00
	\$152.65	\$228.97	\$303.29	1/1/2022	12/31/2022	\$50.96	4.0%	\$39.52	\$62.40
	\$158.75	\$238.13	\$317.50	1/1/2023	12/31/2023	\$53.00	4.0%	\$41.10	\$64.90
	\$165.10	\$247.65	\$330.20	1/1/2024	12/31/2024	\$55.12	4.0%	\$42.74	\$67.49
	\$171.71	\$257.56	\$343.41	1/1/2025	12/31/2025	\$57.32	4.0%	\$44.45	\$70.19
	\$178.57	\$267.86	\$357.15	1/1/2026	12/31/2026	\$59.62	4.0%	\$46.23	\$73.00
<i>Principal</i>	\$269.59	\$404.38	\$539.17	1/1/2021	12/31/2021	\$90.00		\$65.00	\$115.00
	\$280.37	\$429.55	\$560.74	1/1/2022	12/31/2022	\$93.60	4.0%	\$67.60	\$119.60
	\$291.58	\$437.38	\$583.17	1/1/2023	12/31/2023	\$97.34	4.0%	\$70.30	\$124.38
	\$303.25	\$454.87	\$606.50	1/1/2024	12/31/2024	\$101.24	4.0%	\$73.12	\$129.36
	\$315.38	\$473.07	\$630.76	1/1/2025	12/31/2025	\$105.29	4.0%	\$76.04	\$134.53
	\$327.99	\$491.99	\$655.99	1/1/2026	12/31/2026	\$109.50	4.0%	\$79.08	\$139.92
<i>Project Accountant I</i>	\$82.37	\$123.56	\$164.75	1/1/2021	12/31/2021	\$27.50		\$20.00	\$35.00
	\$85.67	\$128.50	\$171.34	1/1/2022	12/31/2022	\$28.60	4.0%	\$20.80	\$36.40
	\$89.10	\$133.64	\$178.19	1/1/2023	12/31/2023	\$29.74	4.0%	\$21.63	\$37.86
	\$92.66	\$138.99	\$185.32	1/1/2024	12/31/2024	\$30.93	4.0%	\$22.50	\$39.37
	\$96.37	\$144.55	\$192.73	1/1/2025	12/31/2025	\$32.17	4.0%	\$23.40	\$40.95
	\$100.22	\$150.33	\$200.44	1/1/2026	12/31/2026	\$33.46	4.0%	\$24.33	\$42.58
	\$97.35	\$146.03	\$194.70	1/1/2021	12/31/2021	\$32.50		\$25.00	\$40.00

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

EXHIBIT 10-H2  
Cost Proposal

Name/Job Title/Classification <sup>1</sup>	BILLING INFORMATION			CALCULATION INFORMATION					
	Hourly Billing Rates <sup>2</sup>			Effective Date of Hourly Rate		Actual or Avg. Hourly Rate <sup>4</sup>	% or \$ Increase	Hourly Range - for Classifications Only	
	Straight <sup>3</sup>	OT(1.5x)	OT(2x)	From	To				
Project Accountant II	\$101.24	\$151.87	\$202.49	1/1/2022	12/31/2022	\$33.80	4.0%	\$26.00	\$41.60
	\$105.29	\$157.94	\$210.59	1/1/2023	12/31/2023	\$35.15	4.0%	\$27.04	\$43.26
	\$109.51	\$164.26	\$219.01	1/1/2024	12/31/2024	\$36.56	4.0%	\$28.12	\$44.99
	\$113.89	\$170.83	\$227.77	1/1/2025	12/31/2025	\$38.02	4.0%	\$29.25	\$46.79
	\$118.44	\$177.66	\$236.88	1/1/2026	12/31/2026	\$39.54	4.0%	\$30.42	\$48.67
Project Accountant III	\$112.33	\$168.49	\$224.66	1/1/2021	12/31/2021	\$37.50		\$30.00	\$45.00
	\$116.82	\$175.23	\$233.64	1/1/2022	12/31/2022	\$39.00	4.0%	\$31.20	\$46.80
	\$121.49	\$182.24	\$242.99	1/1/2023	12/31/2023	\$40.56	4.0%	\$32.45	\$48.67
	\$126.35	\$189.53	\$252.71	1/1/2024	12/31/2024	\$42.18	4.0%	\$33.75	\$50.62
	\$131.41	\$197.11	\$262.82	1/1/2025	12/31/2025	\$43.87	4.0%	\$35.10	\$52.64
	\$136.66	\$205.00	\$273.33	1/1/2026	12/31/2026	\$45.62	4.0%	\$36.50	\$54.75
**** Project Assistant	\$64.40	\$96.60	\$128.80	1/1/2021	12/31/2021	\$21.50		\$13.00	\$30.00
	\$67.70	\$101.54	\$135.39	1/1/2022	12/31/2022	\$22.60		\$14.00	\$31.20
	\$71.06	\$106.59	\$142.13	1/1/2023	12/31/2023	\$23.72		\$15.00	\$32.45
	\$73.91	\$110.86	\$147.81	1/1/2024	12/31/2024	\$24.67	4.0%	\$15.60	\$33.75
	\$76.86	\$115.29	\$153.72	1/1/2025	12/31/2025	\$25.66	4.0%	\$16.22	\$35.10
	\$79.94	\$119.90	\$159.87	1/1/2026	12/31/2026	\$26.69	4.0%	\$16.87	\$36.50
	\$130.30	\$195.45	\$260.60	1/1/2021	12/31/2021	\$43.50		\$22.00	\$65.00
Project Coordinator	\$133.51	\$203.27	\$271.02	1/1/2022	12/31/2022	\$45.24	4.0%	\$22.88	\$67.60
	\$140.93	\$211.40	\$281.87	1/1/2023	12/31/2023	\$47.05	4.0%	\$23.80	\$70.30
	\$146.57	\$219.85	\$293.14	1/1/2024	12/31/2024	\$48.93	4.0%	\$24.75	\$73.12
	\$152.43	\$228.65	\$304.87	1/1/2025	12/31/2025	\$50.89	4.0%	\$25.74	\$76.04
	\$158.53	\$237.80	\$317.06	1/1/2026	12/31/2026	\$52.92	4.0%	\$26.77	\$79.08
	\$229.15	\$343.72	\$458.30	1/1/2021	12/31/2021	\$76.50		\$38.00	\$115.00
Project Manager	\$238.91	\$357.47	\$476.63	1/1/2022	12/31/2022	\$79.56	4.0%	\$39.52	\$119.60
	\$247.85	\$371.77	\$495.69	1/1/2023	12/31/2023	\$82.74	4.0%	\$41.10	\$124.38
	\$257.76	\$386.64	\$515.52	1/1/2024	12/31/2024	\$86.05	4.0%	\$42.74	\$129.36
	\$268.07	\$402.11	\$536.14	1/1/2025	12/31/2025	\$89.49	4.0%	\$44.45	\$134.53
	\$278.79	\$418.19	\$557.59	1/1/2026	12/31/2026	\$93.07	4.0%	\$46.23	\$139.92
	\$112.33	\$168.49	\$224.66	1/1/2021	12/31/2021	\$37.50		\$25.00	\$50.00
	Technical Support Specialist	\$116.82	\$175.23	\$233.64	1/1/2022	12/31/2022	\$39.00	4.0%	\$26.00
\$121.49		\$182.24	\$242.99	1/1/2023	12/31/2023	\$40.56	4.0%	\$27.04	\$54.08
\$126.35		\$189.53	\$252.71	1/1/2024	12/31/2024	\$42.18	4.0%	\$28.12	\$56.24
\$131.41		\$197.11	\$262.82	1/1/2025	12/31/2025	\$43.87	4.0%	\$29.25	\$58.49
\$136.66		\$205.00	\$273.33	1/1/2026	12/31/2026	\$45.62	4.0%	\$30.42	\$60.83
\$134.79		\$202.19	\$269.59	1/1/2021	12/31/2021	\$45.00		\$30.00	\$60.00
Sr. Technical Writer	\$140.18	\$210.28	\$280.57	1/1/2022	12/31/2022	\$46.80	4.0%	\$31.20	\$62.40
	\$145.79	\$218.69	\$291.58	1/1/2023	12/31/2023	\$48.67	4.0%	\$32.45	\$64.90
	\$151.82	\$227.44	\$303.25	1/1/2024	12/31/2024	\$50.62	4.0%	\$33.75	\$67.49
	\$157.69	\$236.53	\$314.38	1/1/2025	12/31/2025	\$52.64	4.0%	\$35.10	\$70.19
	\$164.00	\$245.99	\$327.99	1/1/2026	12/31/2026	\$54.75	4.0%	\$36.50	\$73.00
	\$64.40	\$96.60	\$128.80	1/1/2021	12/31/2021	\$21.50		\$13.00	\$30.00
Technician	\$67.70	\$101.54	\$135.39	1/1/2022	12/31/2022	\$22.60	SB3	\$14.00	\$31.20
	\$71.06	\$106.59	\$142.13	1/1/2023	12/31/2023	\$23.72	SB3	\$15.00	\$32.45
	\$73.91	\$110.86	\$147.81	1/1/2024	12/31/2024	\$24.67	4.0%	\$15.60	\$33.75
	\$76.86	\$115.29	\$153.72	1/1/2025	12/31/2025	\$25.66	4.0%	\$16.22	\$35.10
	\$79.94	\$119.90	\$159.87	1/1/2026	12/31/2026	\$26.69	4.0%	\$16.87	\$36.50

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

EXHIBIT 10-H2  
Cost Proposal

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective Date of Hourly Rate		Actual or Avg. Hourly Rate <sup>6</sup>	% or \$ Increase	Hourly Range - for Classifications Only	
	Straight <sup>3</sup>	OT (1.5x)	OT (2x)	From	To				
<i>Water Quality Monitor</i>	\$79.38	\$119.07	\$158.76	1/1/2021	12/31/2021	\$26.50		\$18.00	\$35.00
	\$82.55	\$123.83	\$165.11	1/1/2022	12/31/2022	\$27.56	4.0%	\$18.72	\$36.40
	\$85.86	\$128.78	\$171.71	1/1/2023	12/31/2023	\$28.66	4.0%	\$19.47	\$37.86
	\$89.29	\$133.93	\$178.58	1/1/2024	12/31/2024	\$29.81	4.0%	\$20.25	\$39.37
	\$92.86	\$139.29	\$185.72	1/1/2025	12/31/2025	\$31.00	4.0%	\$21.06	\$40.95
	\$96.58	\$144.86	\$193.15	1/1/2026	12/31/2026	\$32.24	4.0%	\$21.90	\$42.58
<i>Water Quality Specialist (QSD)</i>	\$134.79	\$202.19	\$269.59	1/1/2021	12/31/2021	\$45.00		\$25.00	\$65.00
	\$140.18	\$210.28	\$280.37	1/1/2022	12/31/2022	\$46.80	4.0%	\$26.00	\$67.60
	\$145.79	\$218.69	\$291.58	1/1/2023	12/31/2023	\$48.67	4.0%	\$27.04	\$70.30
	\$151.62	\$227.44	\$303.25	1/1/2024	12/31/2024	\$50.62	4.0%	\$28.12	\$73.12
	\$157.69	\$236.53	\$315.38	1/1/2025	12/31/2025	\$52.64	4.0%	\$29.25	\$76.04
	\$164.00	\$245.99	\$327.99	1/1/2026	12/31/2026	\$54.75	4.0%	\$30.42	\$79.08
<i>Water Quality Specialist (QSD)</i>	\$167.74	\$251.61	\$335.49	1/1/2021	12/31/2021	\$56.00		\$32.00	\$80.00
	\$174.45	\$261.68	\$348.90	1/1/2022	12/31/2022	\$58.24	4.0%	\$33.28	\$83.20
	\$181.43	\$272.15	\$362.86	1/1/2023	12/31/2023	\$60.57	4.0%	\$34.61	\$86.53
	\$188.69	\$283.03	\$377.38	1/1/2024	12/31/2024	\$62.99	4.0%	\$36.00	\$89.99
	\$196.24	\$294.35	\$392.47	1/1/2025	12/31/2025	\$65.51	4.0%	\$37.44	\$93.59
	\$204.08	\$306.13	\$408.17	1/1/2026	12/31/2026	\$68.13	4.0%	\$38.93	\$97.33
<i>Water Resources Lead (QSD) / (QSD)</i>	\$224.66	\$336.98	\$449.31	1/1/2021	12/31/2021	\$75.00		\$40.00	\$110.00
	\$233.64	\$350.46	\$467.28	1/1/2022	12/31/2022	\$78.00	4.0%	\$41.60	\$114.40
	\$242.99	\$364.48	\$485.97	1/1/2023	12/31/2023	\$81.12	4.0%	\$43.26	\$118.98
	\$252.71	\$379.06	\$505.41	1/1/2024	12/31/2024	\$84.36	4.0%	\$44.99	\$123.74
	\$262.82	\$394.22	\$525.63	1/1/2025	12/31/2025	\$87.74	4.0%	\$46.79	\$128.68
	\$273.33	\$409.99	\$546.66	1/1/2026	12/31/2026	\$91.25	4.0%	\$48.67	\$133.83

**NOTES:**

1. Key Personnel must be marked with an asterisk (\*), employees that are subject to prevailing wage requirements must be marked with two asterisks (\*\*) and employees that are subject to SB3 wage requirements must be marked with four asterisks (\*\*\*\*). All costs must comply with the Federal cost principles. Subcontractors will provide their own cost proposals.
2. The cost proposal format shall not be exceeded.
3. Billing rate = actual hourly rate \* (1+ICR) \* (1+Pw). Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans. All costs must comply with the Federal cost principles for reimbursement.
4. For named employees and key personnel enter the actual hourly rate. For classifications only, enter the Average Hourly Rate for that classification.
5. Escalation for personnel subject to prevailing wage (\*\*) shall follow the annual DFR determinations.

Invoices will be based on staff actual hourly pay rates at the time work is conducted, multiplied by the contracted overhead rate and fixed fee noted above. Subcontractor and Direct Costs will be billed at actual cost. The same Overhead rate will be used for all years for multi-year contracts. Overtime hours for non-exempt staff will be billed at overtime rates based on actual hourly pay rate established in California state law (i.e., 1.5 times the pay rate for hours worked over 8 hours).

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

**EXHIBIT 10-H2 COST PROPOSAL** Page 2 of 3  
**SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)**  
**(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)**

Consultant Area West Environmental, Inc. Prime Consultant  Subconsultant  2nd Tier Subconsultant   
 Project No. 10783 Contract No. P210015 Date 1/27/2021  
Monterey Co - Provide Bridge Design Services on an "On-Call" Basis

SCHEDULE OF OTHER DIRECT COST ITEMS				
DESCRIPTION OF ITEM	Quantity	Unit	Unit Cost	Total
Travel: mileage costs (at federal travel rate)		mile	at federal rate	\$ -
Travel: vehicle rental and gas		day	at cost	\$ -
Travel: lodging, meals (at state travel rate)		day	at state rate	\$ -
Cultural Resource Record Search		lump sum	at cost	\$ -
Permit Fees		lump sum	at cost	\$ -
Insurance 30-day cancellation (per additional ins)		per certification	\$105	\$ -
Insurance Endorsement (per endorsement)		per certification	\$250	\$ -
Electronic field data forms (each monitor + 1 office user)		\$38 per user/per month	at cost	\$ -
Web based workflow delineation data collection forms.		data form	at cost	\$ -
Equipment: GPS		day	at cost	\$ -
Printing/Reproduction/Postage		per page	at cost	\$ -
			\$	-
			\$	-
Subconsultant 1:				at cost
Subconsultant 2:				at cost
Subconsultant 3:				

**NOTES:**

- List other direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentation.
- Proposed ODC items should be consistently billed regardless of client and contract type.
- Items when incurred for the same purpose, in like circumstances, should not be included in any indirect cost pool or in the overhead rate.
- Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
- Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
- Travel related costs should be pre-approved by the contracting agency and shall not exceed current State Department of Personnel Administration rules.
- If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
- If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is their standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.
- The cost proposal format shall not be amended. All costs must comply with the Federal cost principles.
- Add additional pages if necessary.
- Subconsultants must provide their own cost proposals.

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

**EXHIBIT 10-H2 COST PROPOSAL (Page 3 of 3)**

**Certification of Direct Costs**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

- 1 Generally Accepted Accounting Principles (GAAP)
- 2 Terms and conditions of the contract
- 3 Title 23 United States Code Section 112 - Letting of Contracts
- 4 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
- 5 23 Code of Federal Regulations Part 112 - Procurement, Management, and Administration of Engineering and Design Related Services
- 6 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement. Local governments are responsible for applying only cognizant agency approved or Caltrans accepted Indirect Cost Rate(s).

**Prime Consultant or Subconsultant Certification:**

Name: Becky Rozumowicz-Kodsunte Title: President

Signature: *Becky Rozumowicz* Date of Certification (mm/dd/yyyy): 1/27/2021

Email: becky@areawest.net Phone Number: (916) 987-3362

Address: 6248 Main Avenue, Suite #C, Orangevale, CA 95662

\*An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information, utilized to establish the cost proposal for the contract.

**List services the consultant is providing under this proposed contract:**

**On call environmental consulting, including but not limited to, biological, botanical, archeological resources, monitoring, permitting and interagency relations.**

[Empty rectangular box for listing services]

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Exhibit 10-112  
Cost Proposal

Local Assistance Procedures Manual

**EXHIBIT 10-H2 COST PROPOSAL Page 1 of 3**  
**SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)**

**2021 BILLING RATES**

Note: Mark-ups are Not Allowed

Consultant AMBIENT Air Quality & Noise Consulting Prime Consultant  Subconsultant  2nd Tier Subconsultant   
 Project No. N/A Contract No. TBD Participation: As-needed TBD Date 2/12/2021

Office/Staff Rate 31.75% Fringe % 0.00% Overhead % 54.39% G&A % 106.14% Combined Indirect Cost Rate (ICR) %

(= 0% if included in OH)

(= 0% if included in OH)

FEE % =

10%

**BILLING INFORMATION**

**CALCULATION INFORMATION**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective date of hourly rate		Actual or Avg. hourly rate <sup>3</sup>	% or \$ increase	Hourly range - for classifications only
	Straight	OT(1.5x)	OT(2x)	From	To			
Kurt Legleiter/Principal/Classification 1*	\$154.19	NA		1/1/2021	6/30/2021	\$68.00		NA
	\$158.82			7/1/2021	6/30/2022	\$70.04	3.00%	
	\$163.58			7/1/2023	6/30/2023	\$72.14	3.00%	
	\$168.49			7/1/2023	6/30/2024	\$74.31	3.00%	
	\$173.55			7/1/2024	9/30/2025	\$76.53	3.00%	
Analyst	\$54.33	NA		1/1/2021	6/30/2021	\$23.96		NA
	\$55.96			7/1/2021	6/30/2022	\$24.68	3.00%	
	\$57.64			7/1/2022	6/30/2023	\$25.42	3.00%	
	\$59.37			7/1/2023	6/30/2024	\$26.18	3.00%	
	\$61.15			7/1/2024	9/30/2025	\$26.97	3.00%	
\$0.00	NA		1/1/2021	6/30/2021	\$0.00		NA	
\$0.00			7/1/2021	6/30/2022	\$0.00	3.00%		
\$0.00			7/1/2022	6/30/2023	\$0.00	3.00%		
\$0.00			7/1/2023	6/30/2024	\$0.00	3.00%		
\$0.00			7/1/2024	9/30/2025	\$0.00	3.00%		

Notes:

- 1 Key Personnel must be marked with an asterisk (\*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (\*\*). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
- 2 The Cost proposal format shall not be amended.
- 3 Billing rate = actual hourly rate \* (1+ICR) \* (1+Fee). Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognized agency or accepted by
- 4 For named employees and key personnel enter the actual hourly rate. For classifications only, enter the Average Hourly Rate for that classification.

January 2020

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Exhibit 10-H2

Cost Proposal

**EXHIBIT 10-H2 COST PROPOSAL PAGE 2 OF 3  
SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)**

(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Consultant AMBIENT Air Quality & Noise Consulting | Prime Consultant |  | Subconsultant  
 Project No. N/A | Contract No. TBD | Date 2/12/2021

SCHEDULE OF OTHER DIRECT COST ITEMS				
PRIME CONSULTANT				
DESCRIPTION OF ITEMS	Quantity	Unit	Unit Cost	Total
Travel	TBD	1	Per Federal Per Diem Rate	TBD
Mileage	TBD	Miles	Per Federal Per Diem Rate	TBD
Subconsultant 1:				TBD
Subconsultant 2:				TBD
Subconsultant 3:				TBD
Subconsultant 4:				TBD
Subconsultant 5:				TBD

**IMPORTANT NOTES:**

- List direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentation.
- Proposed ODC items should be consistently billed regardless of client and contract type.
- Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
- Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
- Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
- Travel related costs should be pre-approved by the contracting agency and shall not exceed current State Department of Personnel Administration rules.
- If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
- If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is their standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.
- The cost proposal format shall not be amended. All costs must comply with the Federal cost principles.
- Add additional pages if necessary.
- Subconsultants must provide their own cost proposals.

January 2020



EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS

Local Assistance Procedures Manual

EXHIBIT 10-H3  
Cost Proposal

EXHIBIT 10-H3 COST PROPOSAL, Page 2 of 2

Certification of Direct Costs:

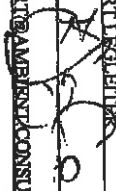
I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

- 13. Generally Accepted Accounting Principles (GAAP)
- 14. Terms and conditions of the contract
- 15. Title 23 United States Code Section 112 - Letting of Contracts
- 16. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
- 17. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Services
- 18. 48 Code of Federal Regulation Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

Prime Consultant or Subconsultant Certification:

Name: KURT R. RUELTER Title: PRINCIPAL

Signature:  Date of Certification (mm/dd/yyyy): 02/08/2021

Email: KURT@AMBIENTCONSULTING Phone Number: 805-834-8649

Address: 612 12TH STREET, SUITE 201, PASO ROBLES, CA 93446

\* An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:  
AIR QUALITY, GREENHOUSE GAS, NOISE, ENERGY, AND GROUNDBORNE VIBRATION  
IMPACT ASSESSMENTS

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

Exhibit 10-H

Cost Proposal

**EXHIBIT 10-H2 COST PROPOSAL PAGE 1 OF 3**  
**SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)**  
**(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)**

Note: Mark-ups are Not Allowed

Consultant WRECO  Prime Consultant  Subconsultant  2<sup>nd</sup> Tier Subconsultant

Project No. \_\_\_\_\_ Contract No: \_\_\_\_\_ Participation Amount: \_\_\_\_\_ Date: 2/8/2021

For Combined Rate	Fringe Benefit %	+ General & Administrative %	=	Combined Indirect Cost Rate (ICR) %
OR				
For Home Office Rate	Fringe Benefit 71.46%	+ General & Administrative 63.46%	=	134.92% Home Office ICR%
For Field Office Rate	Fringe Benefit 42.76%	+ General & Administrative 37.71%	=	80.47% Field Office ICR%
				FEE % = 10.00%

**BILLING INFORMATION**

**CALCULATION INFORMATION**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective date of hourly rate		Actual or Avg hourly rate <sup>3</sup>	% or \$ Increase	Hourly range - for classifications only
	Straight	OT(1.5x)	OT(2x)	From	To			
Han-Bin Liang*	\$265.39	N/A	N/A	1/1/2021	12/31/2021	\$102.70		
Principal Engineer	\$273.35	N/A	N/A	1/1/2022	12/31/2022	\$105.78	3.00%	Not Applicable
Exempt	\$283.55	N/A	N/A	1/1/2023	12/31/2023	\$108.95	3.00%	
Chris Sewell*	\$194.84	N/A	N/A	1/1/2021	12/31/2021	\$75.40		
Supervising Engineer	\$200.69	N/A	N/A	1/1/2022	12/31/2022	\$77.66	3.00%	Not Applicable
Exempt	\$206.71	N/A	N/A	1/1/2023	12/31/2023	\$79.99	3.00%	
Wana Chiu*	\$122.62	N/A	N/A	1/1/2021	12/31/2021	\$47.45		
Senior Engineer	\$126.29	N/A	N/A	1/1/2022	12/31/2022	\$48.87	3.00%	Not Applicable
Exempt	\$130.08	N/A	N/A	1/1/2023	12/31/2023	\$50.34	3.00%	
Supervising Engineer	\$239.03	N/A	N/A	1/1/2021	12/31/2021	\$92.50		\$85.00 - \$100.00
Exempt	\$246.20	N/A	N/A	1/1/2022	12/31/2022	\$95.28	3.00%	\$87.55 - \$103.00
Exempt	\$253.59	N/A	N/A	1/1/2023	12/31/2023	\$98.13	3.00%	\$90.18 - \$106.09
Senior Engineer/ Geotechnical	\$161.51	\$161.51	\$161.51	1/1/2021	12/31/2021	\$62.50		\$45.00 - \$80.00
Engineer/ Geologist/ Biologist/	\$166.35	\$166.35	\$166.35	1/1/2022	12/31/2022	\$64.38	3.00%	\$46.35 - \$82.40
Environmental Scientist (Exempt)	\$171.34	\$171.34	\$171.34	1/1/2023	12/31/2023	\$66.31	3.00%	\$47.74 - \$84.87

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

Exhibit 10-H

Associate Engineer/ Geotechnical	\$109.83	\$109.83	\$109.83	1/1/2021	12/31/2021	\$42.50		\$35.00 -	\$50.00
Engineer/ Geologist/ Biologist/ Environmental Scientist (Exempt)	\$113.12	\$113.12	\$113.12	1/1/2022	12/31/2022	\$43.78	3.00%	\$36.05 -	\$51.50
Staff Engineer/ Geotechnical	\$83.98	\$83.98	\$83.98	1/1/2021	12/31/2021	\$32.50		\$25.00 -	\$40.00
Engineer/ Geologist/ Biologist/ Environmental Scientist (Non-exempt)	\$86.50	\$86.50	\$86.50	1/1/2022	12/31/2022	\$33.48	3.00%	\$25.75 -	\$41.20
Senior Technician	\$103.36	\$103.36	\$103.36	1/1/2021	12/31/2021	\$40.00		\$30.00 -	\$50.00
Non-exempt	\$106.47	\$106.47	\$106.47	1/1/2022	12/31/2022	\$41.20	3.00%	\$30.90 -	\$51.50
Technician	\$64.60	\$64.60	\$64.60	1/1/2021	12/31/2021	\$25.00		\$20.00 -	\$30.00
Non-exempt	\$66.54	\$66.54	\$66.54	1/1/2022	12/31/2022	\$25.75	3.00%	\$20.60 -	\$30.90
Project Coordinator/ Technical Editor	\$90.44	\$90.44	\$90.44	1/1/2021	12/31/2021	\$35.00		\$20.00 -	\$50.00
Non-exempt	\$93.16	\$93.16	\$93.16	1/1/2022	12/31/2022	\$36.05	3.00%	\$20.60 -	\$51.50
	\$95.95	\$95.95	\$95.95	1/1/2023	12/31/2023	\$37.13	3.00%	\$21.22 -	\$53.05

NOTES:

1. Key personnel must be marked with an asterisk (\*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (\*\*). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
2. The cost proposal format shall not be amended.
3. Billing rate = actual hourly rate \* (1+ ICR) \* (1+ Fee). Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans. All costs must comply with the Federal cost principles for reimbursement.
4. For named employees and key personnel enter the actual hourly rate. For classifications only, enter the Average Hourly Rate for that classification.

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

Exhibit 10-H

Cost Proposal

**EXHIBIT 10-H2 COST PROPOSAL PAGE 2 OF 3**  
**SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)**  
**(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)**

Consultant WRECO  Prime Consultant  Subconsultant  2<sup>nd</sup> Tier Subconsultant

Project No. \_\_\_\_\_ Contract No: \_\_\_\_\_ Date: 2/8/2021

SCHEDULE OF OTHER DIRECT COST ITEMS				
Description of Item	Quantity	Unit	Unit Cost	Total
Mileage Costs		Mile	IRS Rate	Actual
Report Reproduction (Vendor)		EA	\$ 100.00	Actual
Overnight Shipment/Delivery		EA	\$ 25.00	Actual
Traffic Control		LS	\$ -	Actual
Drilling		LS	\$ -	Actual
Laboratory Testing		LS	\$ -	Actual
Rental Vehicle		EA	\$ -	Actual
Travel/Per Diem		EA	GSA Rate	Actual
Permit Cost		EA	\$ -	Actual
			\$ -	\$ -
			\$ -	\$ -
			\$ -	\$ -
			\$ -	\$ -
			\$ -	\$ -
Subconsultant 1:			\$ -	\$ -
Subconsultant 2:			\$ -	\$ -
Subconsultant 3:			\$ -	\$ -
Subconsultant 4:			\$ -	\$ -
Subconsultant 5:			\$ -	\$ -

- NOTES:
- List other direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentation.
  - Proposed ODC items should be consistently billed regardless of client and contract type.
  - Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
  - Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
  - Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
  - Travel related costs should be pre-approved by the contracting agency and shall not exceed current State Department of Personnel Administration rules.
  - If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
  - If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is its standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.
  - The cost proposal format shall not be amended. All costs must comply with the Federal cost principles.
  - Add additional pages if necessary.
  - Subconsultants must provide their own cost proposals.

January 2020

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

Exhibit 10-F

Cost Proposal

EXHIBIT 10-H2 COST PROPOSAL PAGE 3 OF 3

**Certification of Direct Costs:**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Service
6. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal or state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

**Prime Consultant or Subconsultant Certifying:**

Name: Han-Bin Liang, Ph.D., P.E. Title\* President

Signature:  Date of Certification (mm/dd/yyyy): 02/08/2021

Email: hanbin\_liang@wreco.com Phone Number: (925) 941-0017 x201

Address: 1243 Alpine Road, Suite 108, Walnut Creek, CA 94596

\* An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

Hydrology and hydraulics services.

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

Exhibit 10-II

Cost Proposal

**EXHIBIT 10-H2 COST PROPOSAL**  
**SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)**  
**(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)**

Note: Mark-ups are Not Allowed

2021-2025

Consultant PARIKH CONSULTANTS, INC.  Prime Consultant  Subconsultant  2<sup>nd</sup> Tier Subconsultant

Project No. \_\_\_\_\_ Contract No. \_\_\_\_\_ Participation Amount \$ \_\_\_\_\_ Date 1/1/2021

For Combined Rate	Fringe Benefit % 37.10 + General & Administrative % 127.4	=	164.50% Combined ICR%
OR			
For Home Office Rate	Fringe Benefit % + General & Administrative %	=	Home Office ICR%
For Field Office Rate	Fringe Benefit % + General & Administrative %	=	Field Office ICR%
	Fee	=	10% %

**BILLING INFORMATION**

**CALCULATION INFORMATION**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective date of hourly rate		Actual or Avg. Hourly Rate <sup>3</sup>	% or \$ Increase	Hourly range - for classifications only
	Straight	OT(1.5x)	OT(2x)	From	To			
Gary Parikh	\$ 303.18	NA	NA	1/1/2020	12/31/2020	\$ 104.21		Not Applicable
PIC/Project Manager	\$ 312.28	NA	NA	1/1/2021	12/31/2021	\$ 107.33	3.00%	Not Applicable
Exempt	\$ 321.65	NA	NA	1/1/2022	12/31/2022	\$ 110.55	3.00%	Not Applicable
	\$ 331.30	NA	NA	1/1/2023	12/31/2023	\$ 113.87	3.00%	Not Applicable
	\$ 341.24	NA	NA	1/1/2024	12/31/2024	\$ 117.28	3.00%	Not Applicable
	\$ 351.47	NA	NA	1/1/2025	12/31/2025	\$ 120.80	3.00%	Not Applicable
David Wang	\$ 219.75	NA	NA	1/1/2020	12/31/2020	\$ 75.53		Not Applicable
Project Manager/Engineering Manager (QA/QC)	\$ 226.35	NA	NA	1/1/2021	12/31/2021	\$ 77.80	3.00%	Not Applicable
Exempt	\$ 233.14	NA	NA	1/1/2022	12/31/2022	\$ 80.13	3.00%	Not Applicable
	\$ 240.13	NA	NA	1/1/2023	12/31/2023	\$ 82.53	3.00%	Not Applicable
	\$ 247.34	NA	NA	1/1/2024	12/31/2024	\$ 85.01	3.00%	Not Applicable
	\$ 254.76	NA	NA	1/1/2025	12/31/2025	\$ 87.56	3.00%	Not Applicable
Aiston Lam/Frank Wang	\$ 202.99	NA	NA	1/1/2020	12/31/2020	\$ 69.76		Not Applicable
Senior Project Engineer	\$ 209.06	NA	NA	1/1/2021	12/31/2021	\$ 71.85	3.00%	Not Applicable
Exempt	\$ 215.33	NA	NA	1/1/2022	12/31/2022	\$ 74.01	3.00%	Not Applicable
	\$ 221.79	NA	NA	1/1/2023	12/31/2023	\$ 76.23	3.00%	Not Applicable
	\$ 228.45	NA	NA	1/1/2024	12/31/2024	\$ 78.52	3.00%	Not Applicable
	\$ 235.30	NA	NA	1/1/2025	12/31/2025	\$ 80.87	3.00%	Not Applicable
Senior Project Engineer- TBD	\$ 203.67	NA	NA	1/1/2020	12/31/2020	\$ 70.00		\$ 65.00 to \$ 75.00
Senior Project Engineer	\$ 209.77	NA	NA	1/1/2021	12/31/2021	\$ 72.10	3.00%	\$ 66.95 to \$ 77.25
Exempt	\$ 216.07	NA	NA	1/1/2022	12/31/2022	\$ 74.26	3.00%	\$ 68.96 to \$ 79.57

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

Exhibit 10-H

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective date of hourly rate		Actual or Avg. Hourly Rate <sup>3</sup>	% or \$ increase	Hourly range - for classifications only
	Straight	OT(1.5x)	OT(2x)	From	To			
		\$ 222.55	NA	NA	1/1/2023			
	\$ 229.23	NA	NA	1/1/2024	12/31/2024	\$ 78.79	3.00%	\$ 73.16 to \$ 84.41
	\$ 236.10	NA	NA	1/1/2025	12/31/2025	\$ 81.15	3.00%	\$ 75.35 to \$ 86.95
Project Engineer	\$ 160.02	NA	NA	1/1/2020	12/31/2020	\$ 55.00		\$ 50.00 to \$ 60.00
Classification	\$ 164.82	NA	NA	1/1/2021	12/31/2021	\$ 56.65	3.00%	\$ 51.50 to \$ 61.80
Exempt	\$ 169.77	NA	NA	1/1/2022	12/31/2022	\$ 58.35	3.00%	\$ 53.05 to \$ 63.65
	\$ 174.86	NA	NA	1/1/2023	12/31/2023	\$ 60.10	3.00%	\$ 54.64 to \$ 65.56
	\$ 180.11	NA	NA	1/1/2024	12/31/2024	\$ 61.90	3.00%	\$ 56.28 to \$ 67.53
	\$ 185.51	NA	NA	1/1/2025	12/31/2025	\$ 63.76	3.00%	\$ 57.96 to \$ 69.56
Sen. Staff Engineer	\$ 125.11	NA	NA	1/1/2020	12/31/2020	\$ 43.00		\$ 40.00 to \$ 45.00
Classification	\$ 128.86	NA	NA	1/1/2021	12/31/2021	\$ 44.29	3.00%	\$ 41.20 to \$ 46.35
Exempt	\$ 132.73	NA	NA	1/1/2022	12/31/2022	\$ 45.62	3.00%	\$ 42.44 to \$ 47.74
	\$ 136.71	NA	NA	1/1/2023	12/31/2023	\$ 46.99	3.00%	\$ 43.71 to \$ 49.17
	\$ 140.81	NA	NA	1/1/2024	12/31/2024	\$ 48.40	3.00%	\$ 45.02 to \$ 50.65
	\$ 145.04	NA	NA	1/1/2025	12/31/2025	\$ 49.85	3.00%	\$ 46.37 to \$ 52.17
Project Geologist	\$ 160.02	NA	NA	1/1/2020	12/31/2020	\$ 55.00		\$ 50.00 to \$ 60.00
Classification	\$ 164.82	NA	NA	1/1/2021	12/31/2021	\$ 56.65	3.00%	\$ 51.50 to \$ 61.80
Exempt	\$ 169.77	NA	NA	1/1/2022	12/31/2022	\$ 58.35	3.00%	\$ 53.05 to \$ 63.65
	\$ 174.86	NA	NA	1/1/2023	12/31/2023	\$ 60.10	3.00%	\$ 54.64 to \$ 65.56
	\$ 180.11	NA	NA	1/1/2024	12/31/2024	\$ 61.90	3.00%	\$ 56.28 to \$ 67.53
	\$ 185.51	NA	NA	1/1/2025	12/31/2025	\$ 63.76	3.00%	\$ 57.96 to \$ 69.56
Sen. Engineering Geologist	\$ 209.77	NA	NA	1/1/2020	12/31/2020	\$ 72.10		\$ 65.00 to \$ 75.00
Classification	\$ 216.07	NA	NA	1/1/2021	12/31/2021	\$ 74.26	3.00%	\$ 66.95 to \$ 77.25
Exempt	\$ 222.55	NA	NA	1/1/2022	12/31/2022	\$ 76.49	3.00%	\$ 68.96 to \$ 79.57
	\$ 229.23	NA	NA	1/1/2023	12/31/2023	\$ 78.79	3.00%	\$ 71.03 to \$ 81.95
	\$ 236.10	NA	NA	1/1/2024	12/31/2024	\$ 81.15	3.00%	\$ 73.16 to \$ 84.41
	\$ 243.19	NA	NA	1/1/2025	12/31/2025	\$ 83.58	3.00%	\$ 75.35 to \$ 86.95

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

Exhibit 10-R

Name/Job Title/Classification <sup>1</sup>	BILLING INFORMATION			CALCULATION INFORMATION				
	Hourly Billing Rates <sup>2</sup>			Effective date of hourly rate		Actual or Avg. Hourly Rate <sup>3</sup>	% or \$ increase	Hourly range - for classifications only
Straight	OT(1.5x)	OT(2x)	From	To				
Staff Engineer	\$ 116.38	\$ 174.57	NA	1/1/2020	12/31/2020	\$ 40.00		\$ 30.00 to \$ 50.00
Classification	\$ 119.87	\$ 179.81	NA	1/1/2021	12/31/2021	\$ 41.20	3.00%	\$ 30.90 to \$ 51.50
Non-Exempt Category	\$ 123.47	\$ 185.20	NA	1/1/2022	12/31/2022	\$ 42.44	3.00%	\$ 31.83 to \$ 53.05
	\$ 127.17	\$ 190.76	NA	1/1/2023	12/31/2023	\$ 43.71	3.00%	\$ 32.78 to \$ 54.64
	\$ 130.99	\$ 196.48	NA	1/1/2024	12/31/2024	\$ 45.02	3.00%	\$ 33.77 to \$ 56.28
	\$ 134.92	\$ 202.37	NA	1/1/2025	12/31/2025	\$ 46.37	3.00%	\$ 34.78 to \$ 57.96
Field Engineer	\$ 152.75	\$ 229.12	\$ 305.50	1/1/2020	12/31/2020	\$ 52.50		\$ 45.00 to \$ 60.00
Classification	\$ 157.33	\$ 236.00	\$ 314.66	1/1/2021	12/31/2021	\$ 54.08	3.00%	\$ 46.35 to \$ 61.80
Non-Exempt Category	\$ 162.05	\$ 243.08	\$ 324.10	1/1/2022	12/31/2022	\$ 55.70	3.00%	\$ 47.74 to \$ 63.65
	\$ 166.91	\$ 250.37	\$ 333.83	1/1/2023	12/31/2023	\$ 57.37	3.00%	\$ 49.17 to \$ 65.56
	\$ 171.92	\$ 257.88	\$ 343.84	1/1/2024	12/31/2024	\$ 59.09	3.00%	\$ 50.65 to \$ 67.53
	\$ 177.08	\$ 265.62	\$ 354.16	1/1/2025	12/31/2025	\$ 60.86	3.00%	\$ 52.17 to \$ 69.56
Lab Technician	\$ 109.11	\$ 163.66	\$ 218.21	1/1/2020	12/31/2020	\$ 37.50		\$ 30.00 to \$ 45.00
Classification	\$ 112.38	\$ 168.57	\$ 224.76	1/1/2021	12/31/2021	\$ 38.63	3.00%	\$ 30.90 to \$ 46.35
Non-Exempt Category	\$ 115.75	\$ 173.63	\$ 231.50	1/1/2022	12/31/2022	\$ 39.78	3.00%	\$ 31.83 to \$ 47.74
	\$ 119.22	\$ 178.84	\$ 238.45	1/1/2023	12/31/2023	\$ 40.98	3.00%	\$ 32.78 to \$ 49.17
	\$ 122.80	\$ 184.20	\$ 245.60	1/1/2024	12/31/2024	\$ 42.21	3.00%	\$ 33.77 to \$ 50.65
	\$ 126.48	\$ 189.73	\$ 252.97	1/1/2025	12/31/2025	\$ 43.47	3.00%	\$ 34.78 to \$ 52.17
CADD	\$ 119.29	\$ 178.93	\$ 238.58	1/1/2020	12/31/2020	\$ 41.00		\$ 35.00 to \$ 47.00
Classification	\$ 122.87	\$ 184.30	\$ 245.74	1/1/2021	12/31/2021	\$ 42.23	3.00%	\$ 36.05 to \$ 48.41
Non-Exempt Category	\$ 126.55	\$ 189.83	\$ 253.11	1/1/2022	12/31/2022	\$ 43.50	3.00%	\$ 37.13 to \$ 49.86
	\$ 130.35	\$ 195.53	\$ 260.70	1/1/2023	12/31/2023	\$ 44.80	3.00%	\$ 38.25 to \$ 51.36
	\$ 134.26	\$ 201.39	\$ 268.52	1/1/2024	12/31/2024	\$ 46.15	3.00%	\$ 39.39 to \$ 52.90
	\$ 138.29	\$ 207.43	\$ 276.58	1/1/2025	12/31/2025	\$ 47.53	3.00%	\$ 40.57 to \$ 54.49
Contract Management	\$ 218.21	NA	NA	1/1/2020	12/31/2020	\$ 75.00		\$ 70.00 to \$ 80.00
Classification	\$ 224.76	NA	NA	1/1/2021	12/31/2021	\$ 77.25	3.00%	\$ 72.10 to \$ 82.40
Exempt	\$ 231.50	NA	NA	1/1/2022	12/31/2022	\$ 79.57	3.00%	\$ 74.26 to \$ 84.87
	\$ 238.45	NA	NA	1/1/2023	12/31/2023	\$ 81.95	3.00%	\$ 76.49 to \$ 87.42
	\$ 245.60	NA	NA	1/1/2024	12/31/2024	\$ 84.41	3.00%	\$ 78.79 to \$ 90.04
	\$ 252.97	NA	NA	1/1/2025	12/31/2025	\$ 86.95	3.00%	\$ 81.15 to \$ 92.74

NOTES:

1. Key personnel must be marked with an asterisk (\*) and employees that are subject to prevailing wage requirements must be marked with two asterisks (\*\*). All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
2. The cost proposal format shall not be amended.
3. Billing rate = actual hourly rate \* (1+ICR) \* (1+Fee). Indirect cost rates shall be updated on an annual basis in accordance with the consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans. All costs must comply with the Federal cost principles for reimbursement.
4. For named employees and key personnel enter the actual hourly rate. For classifications only, enter the Average Hourly Rate for that classification.

NA; Exempt staff do not get OT.



**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

Exhibit 10-H  
Cost Proposal

**EXHIBIT 10-H2 COST PROPOSAL**  
SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-ups are Not Allowed

Consultant PARIKH CONSULTANTS, INC.  Prime Consultant  Subconsultant

Project No. \_\_\_\_\_ Contract No. \_\_\_\_\_

Date 1/1/2021

SCHEDULE OF OTHER DIRECT COST ITEMS				
Description of Item	Quantity	Unit	Unit Cost	Total
Travel/Equipment Per Diem Per Federal and State Guidelines		per person/night	AT COST	
Permits (AT COST)		lump sum	AT COST	
Drilling Mob-Demob per hour		1	AT COST	
Drilling Costs/shift		1	AT COST	
Grouting of holes (per foot)		1	AT COST	
Cutting Disposal (per dram)		1	AT COST	
Traffic control (per shift)		1	AT COST	
Reproduction (outside)		1	AT COST	
Independent Laboratory testing (rates for test types vary)		1	AT COST	
Haz Materials Testing (outside services)		1	AT COST	
Subconsultant 5:				
Subconsultant 6:				

Note: VENDOR COSTS VARY DUE TO PREVAILING WAGE AND UNION FACTORS, THEREFORE ARE BASED ON AT COST AT THE TIME OF SERVICES.

NOTES:

- List other direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentation.
- Proposed ODC items should be consistently billed regardless of client and contract type.
- Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
- Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
- Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
- Travel related costs should be pre-approved by the contracting agency and shall not exceed current State Department of Personnel Administration rules.
- If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
- If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is its standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.
- The cost proposal format shall not be amended. All costs must comply with the Federal cost principles.
- Add additional pages if necessary.
- Subconsultants must provide their own cost proposals.

**EXHIBIT A - SCOPE OF SERVICES/PAYMENT PROVISIONS**

Local Assistance Procedures Manual

**EXHIBIT 10-H2 COST PROPOSAL**

Exhibit 10-H  
Cost Proposal

**Certification of Direct Costs:**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Services
6. 48 Code of Federal Regulations Part 3914 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

**Prime Consultant or Subconsultant Certificate:**

Name: Gary Parikh Title #: Senior Principal

Signature:  Date of Certification (mm/dd/yyyy): 1/1/2021

Email: gparikh@prackhinet.com Phone Number: 408-452-9000

\* An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

GEOTECHNICAL ENGINEERING SERVICES

Moffatt & Nichol, a California Corporation  
On-Call Bridge Design Services (RFQ #10783)  
Department of Public Works, Facilities, & Parks

EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL

In compliance with RFQ #10783, which uses Federal Highway Administration (FHWA) funds, the CONTRACTOR will be required to comply with Federal Provisions. Federal Provisions, Exhibit B, attached hereto, shall be completed by CONTRACTOR and submitted to COUNTY for approval prior to COUNTY issuing CONTRACTOR with a Notice to Proceed for the Project.

EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL

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EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL

Article I - 1 Contact Information

County Project Manager

Contractor Project Manager

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

Article I - 2 Terms

All references to "Contract" herein shall include the County of Monterey Agreement for Professional Services.

Article II Contractor's Reports or Meetings

The selected option shall apply to this contract:

Option 1 – For standard contracts:

- A. CONTRACTOR shall submit progress reports at least once a month. The report should be sufficiently detailed for the COUNTY's Contract Administrator to determine, if CONTRACTOR is performing to expectations, or is on schedule; to provide communication of interim findings, and to sufficiently address any difficulties or special problems encountered, so remedies can be developed.
- B. CONTRACTOR's Project Manager shall meet with COUNTY's Contract Administrator, as needed, to discuss progress on the contract.

Option 2 – For on-call contracts:

- A. CONTRACTOR shall submit progress reports on each specific project in accordance with the Task Order. These reports shall be submitted at least once a month. The report should be sufficiently detailed for COUNTY's Contract Administrator or Project Coordinator to determine, if CONTRACTOR is performing to expectations, or is on schedule; to provide communication of interim findings, and to sufficiently address any difficulties or special problems encountered, so remedies can be developed.
- B. CONTRACTOR's Project Manager shall meet with COUNTY's Contract Administrator or Project Coordinator, as needed, to discuss progress on the project(s).

Article III Statement of Work

A. CONTRACTOR Services

Not Applicable to this Contract

CONTRACTOR shall provide services as described in the Scope of Services, Exhibit \_\_\_\_. The Scope of Services must include reference to the appropriate standards for design or other standards for work performance and acceptance criteria. The CONTRACTOR/engineer must sign the plans, specifications and estimate (PS&E), furnish engineering data including registration number.

**EXHIBIT B – FEDERAL PROVISIONS**  
**CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

Environmental documents are not considered complete until a Caltrans District Senior Environmental Planner signs the Categorical Exclusion, a Caltrans Deputy District Director signs the Finding of No Significant Impact, or the Caltrans District Director signs the Record of Decision (see LAPM Chapter 6: Environmental Procedures, and the Standard Environmental Reference).

- B. Right of Way  Not Applicable to this Contract  
CONTRACTOR shall provide right of way services as described in the Scope of Services, Exhibit \_\_\_\_\_. The Scope of Services must include Right of Way requirements to be determined and shown by CONTRACTOR, land surveys and computations with metes and bounds descriptions to be made, and Right of Way parcel maps to be furnished.
- C. Surveys  Not Applicable to this Contract  
CONTRACTOR shall provide services for surveys as described in the Scope of Services, Exhibit \_\_\_\_\_. The Scope of Services must include CONTRACTOR's responsibility to perform preliminary or construction surveys.
- D. Subsurface Investigations  Not Applicable to this Contract  
CONTRACTOR shall provide services for subsurface investigations as described in the Scope of Services, Exhibit \_\_\_\_\_. The Scope of Services must include CONTRACTOR's responsibility to perform subsurface investigations. If borings or other specialized services are to be made by others under the supervision of CONTRACTOR, appropriate provisions are to be incorporated. Archaeological testing and data recovery guidance can be found in the Standard Environmental Reference.
- E. COUNTY Obligations  Not Applicable to this Contract  
All data applicable to the project and in possession of COUNTY, another agency, or government agency that are to be made available to CONTRACTOR are referred to in the Contract including any other assistance or services to be furnished to CONTRACTOR. Please refer to Scope of Service, Exhibit \_\_\_\_\_.
- F. Conferences, Site Visits, Inspection of Work  Not Applicable to this Contract  
CONTRACTOR shall provide services for conferences, site visits and inspection work as described in the Scope of Services, Exhibit \_\_\_\_\_. The Scope of Services must include conferences as needed, visits to the site, and inspection of the work by representatives of the COUNTY, State, and/or FHWA. Costs incurred by CONTRACTOR for meetings, subsequent to the initial meeting shall be included in the fee.
- G. Checking Shop Drawings  Not Applicable to this Contract  
CONTRACTOR shall provide services for checking shop drawings as described in the Scope of Services, Exhibit \_\_\_\_\_. The Scope of Services must include provisions requiring CONTRACTOR to prepare construction drawings, and check shop drawings. Payment for checking shop drawings by CONTRACTOR is included in the Contract fee.

**EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

- H. **CONTRACTOR Services During Construction**  Not Applicable to this Contract  
CONTRACTOR shall provide services during construction as described in the Scope of Services, Exhibit\_\_\_\_. The Scope of Services by CONTRACTOR during construction such as material testing, construction surveys, design support, environmental support etc., are specified in the CONTRACT together with the method of payment for such services.
- I. **Documentation and Schedules**  Not Applicable to this Contract  
CONTRACTOR shall provide services for documentation and schedule as described in the Scope of Services, Exhibit\_\_\_\_. CONTRACTOR must document the results of the work to the satisfaction of COUNTY, and if applicable, the State and FHWA. This include preparation of progress and final reports, plans, specifications and estimates, or similar evidence of attainment of the contract objectives.
- J. **Deliverables and Number of Copies**  Not Applicable to this Contract  
CONTRACTOR shall provide services for the number of copies or documents to be furnished as described in the Scope of Services, Exhibit\_\_\_\_ such as reports, brochures, sets of plans, specifications, or specified Right of Way parcel maps. Please refer to Scope of Services, Exhibit\_\_\_\_ on payment provisions for additional copies.

**MANDATORY FISCAL AND FEDERAL PROVISIONS**

**Article IV Performance Period (Verbatim)**

- A. This contract shall go into effect on (\_\_\_\_\_), contingent upon approval by COUNTY, and CONTRACTOR shall commence work after notification to proceed by COUNTY Contract Administrator. The contract shall end on (\_\_\_\_\_), unless extended by contract amendment.
- B. CONTRACTOR is advised that any recommendation for contract award is not binding on COUNTY until the contract is fully executed and approved by COUNTY.

For on-call contracts, paragraph C below applies, in addition to paragraph A & B above.

- C. The period of performance for each specific project shall be in accordance with the Task Order for that project. If work on a Task Order is in progress on the expiration date of this contract, the terms of the contract shall be extended by contract amendment prior to the expiration of the contract to cover the time needed to complete the task order in progress only. The maximum term shall not exceed five (5) years.

**Article V Allowable Costs and Payments (Verbatim)**

The selected option shall apply to this contract:

Exhibit 10-H1, Exhibit 10-H2 and Exhibit 10-H3 in fillable format can be downloaded at the following website:

<https://dot.ca.gov/-/media/dot-media/programs/local-assistance/documents/lapm/c10/10h.pdf>

EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL

Option 1 – Actual Cost-Plus-Fixed Fee (Use Exhibit 10-H1 Cost Proposal Format)

- A. The method of payment for this contract will be based on actual cost plus a fixed fee. COUNTY will reimburse CONTRACTOR for actual costs (including labor costs, employee benefits, travel, equipment rental costs, overhead and other direct costs) incurred by CONTRACTOR in performance of the work. CONTRACTOR will not be reimbursed for actual costs that exceed the estimated wage rates, employee benefits, travel, equipment rental, overhead, and other estimated costs set forth in the approved CONTRACTOR'S Cost Proposal, unless additional reimbursement is provided for by contract amendment. In no event, will CONTRACTOR be reimbursed for overhead costs at a rate that exceeds COUNTY's approved overhead rate set forth in the Cost Proposal. In the event, that COUNTY determines that a change to the work from that specified in the Cost Proposal and contract is required, the contract time or actual costs reimbursable by COUNTY shall be adjusted by contract amendment to accommodate the changed work. The maximum total cost as specified in Paragraph "I" shall not be exceeded, unless authorized by contract amendment.
- B. The indirect cost rate established for this contract is extended through the duration of this specific contract. CONTRACTOR's agreement to the extension of the 1-year applicable period shall not be a condition or qualification to be considered for the work or contract award.
- C. In addition to the allowable incurred costs, COUNTY will pay CONTRACTOR a fixed fee of \$\_\_\_\_\_. The fixed fee is nonadjustable for the term of the contract, except in the event of a significant change in the scope of work and such adjustment is made by contract amendment. Reimbursement for transportation and subsistence costs shall not exceed the rates specified in the approved Cost Proposal.
- D. When milestone cost estimates are included in the approved Cost Proposal, CONTRACTOR shall obtain prior written approval for a revised milestone cost estimate from the Contract Administrator before exceeding such cost estimate.
- E. Progress payments will be made monthly in arrears based on services provided and allowable incurred costs. A pro rata portion of CONTRACTOR's fixed fee will be included in the monthly progress payments. If CONTRACTOR fails to submit the required deliverable items according to the schedule set forth in Article III Statement of Work, COUNTY shall have the right to delay payment or terminate this Contract in accordance with the provisions of Article VI Termination.
- F. No payment will be made prior to approval of any work, nor for any work performed prior to approval of this contract.
- G. CONTRACTOR will be reimbursed, as promptly as fiscal procedures will permit upon receipt by COUNTY's Contract Administrator of itemized invoices in triplicate. Invoices shall be submitted no later than forty-five (45) calendar days after the performance of work for which CONTRACTOR is billing. Invoices shall detail the work performed on each milestone and each project as applicable. Invoices shall follow the format stipulated for the approved Cost Proposal and shall reference this contract number and project title. Final invoice must contain the final cost and all credits due COUNTY including any equipment purchased under the provisions of



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Article XI Equipment Purchase of this contract. The final invoice should be submitted within 60 calendar days after completion of CONTRACTOR's work. Invoices shall be mailed to COUNTY's Contract Administrator at the following address:

County of Monterey  
Department of Public Works, Facilities, & Parks  
1441 Schilling Place, South 2<sup>nd</sup> Floor  
Salinas, CA 93901-4527  
Attn: Finance Division

- H. The total amount payable by COUNTY including the fixed fee shall not exceed \$\_\_\_\_\_.
- I. Salary increases will be reimbursable if the new salary is within the salary range identified in the approved Cost Proposal and is approved by COUNTY's Contract Administrator.

For personnel subject to prevailing wage rates as described in the California Labor Code, all salary increases, which are the direct result of changes in the prevailing wage rates are reimbursable.

- J. All subcontracts in excess of \$25,000 shall contain the above provisions.

Option 2 – Cost per Unit of Work (Use Exhibit 10-H3 Cost Proposal Format)

- A. The method of payment for the following items shall be at the rate specified for each item, as described in this Article. The specified rate shall include full compensation to CONTRACTOR for the item as described, including but not limited to, any repairs, maintenance, or insurance, and no further compensation will be allowed, therefore.
- B. The specified rate to be paid for vehicle expense for CONTRACTOR's field personnel shall be \$\_\_\_\_\_ per approved Cost Proposal. This rate shall be for a fully equipped vehicle(s) specified in Article III Statement of Work, as applicable with radio and flashing yellow light (if needed), as specified in Article II - 2 of this contract.

The specified rate to be paid for equipment shall be, as listed in Attachment\_\_\_\_\_.

- C. The method of payment for this contract, except those items to be paid for on a specified rate basis, will be based on cost per unit of work. COUNTY will reimburse CONTRACTOR for actual costs (including labor costs, employee benefits, travel, equipment-rental costs, overhead and other direct costs) incurred by CONTRACTOR in performance of the work. CONTRACTOR will not be reimbursed for actual costs that exceed the estimated wage rates, employee benefits, travel, equipment rental, overhead and other estimated costs set forth in the approved Cost Proposal, unless additional reimbursement is provided for, by contract amendment. In no event, will CONTRACTOR be reimbursed for overhead costs at a rate that exceeds COUNTY approved overhead rate set forth in the approved Cost Proposal. In the event, COUNTY determines that changed work from that specified in the approved Cost Proposal and contract is required; the actual costs reimbursable by COUNTY may be adjusted by contract amendment to

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accommodate the changed work. The maximum total cost as specified in Paragraph “I,” shall not be exceeded unless authorized by contract amendment.

- D. Reimbursement for transportation and subsistence costs shall not exceed the rates specified in the approved Cost Proposal.
- E. When milestone cost estimates are included in the approved Cost Proposal, CONTRACTOR shall obtain prior written approval for a revised milestone cost estimate from the Contract Administrator before exceeding such cost estimate.
- F. Progress payments will be made monthly in arrears based on services provided and allowable incurred costs. A pro rata portion of CONTRACTOR’s fixed fee will be included in the monthly progress payments. If CONTRACTOR fails to submit the required deliverable items according to the schedule set forth in Article III Statement of Work, COUNTY shall have the right to delay payment or terminate this Contract in accordance with the provisions of Article VI Termination.
- G. No payment will be made prior to approval of any work, nor for any work performed prior to approval of this contract.
- H. CONTRACTOR will be reimbursed, as promptly as fiscal procedures will permit upon receipt by COUNTY’s Contract Administrator of itemized invoices in triplicate. Invoices shall be submitted no later than forty-five (45) calendar days after the performance of work for which CONTRACTOR is billing. Invoices shall detail the work performed on each milestone and each project as applicable. Invoices shall follow the format stipulated for the approved Cost Proposal and shall reference this contract number and project title. Final invoice must contain the final cost and all credits due COUNTY including any equipment purchased under the provisions of Article XI Equipment Purchase of this contract. The final invoice should be submitted within 60 calendar days after completion of CONTRACTOR’s work. Invoices shall be mailed to COUNTY’s Contract Administrator at the following address:

County of Monterey  
Department of Public Works, Facilities, & Parks  
1441 Schilling Place, South 2<sup>nd</sup> Floor  
Salinas, CA 93901-4527  
Attn: Finance Division

- I. The total amount payable by COUNTY including the fixed fee shall not exceed \$\_\_\_\_\_.
- J. Salary increases will be reimbursable if the new salary is within the salary range identified in the approved Cost Proposal and is approved by COUNTY’s Contract Administrator.

For personnel subject to prevailing wage rates as described in the California Labor Code, all salary increases, which are the direct result of changes in the prevailing wage rates are reimbursable.

- K. All subcontracts in excess of \$25,000 shall contain the above provisions.

Option 3 – Specific Rates of Compensation (Use Exhibit 10-H2 Cost Proposal Format)

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- A. **CONTRACTOR will be reimbursed for hours worked at the hourly rates specified in CONTRACTORs approved Cost Proposal (Attachment \_\_\_\_\_). The specified hourly rates shall include direct salary costs, employee benefits, prevailing wages, employer payments, overhead, and fee. These rates are not adjustable for the performance period set forth in this Contract. Contractor will be reimbursed within forty-five (45) calendar days upon receipt by COUNTY’s Contract Administrator of itemized invoices in triplicate.**
- B. **In addition, CONTRACTOR will be reimbursed for incurred (actual) direct costs other than salary costs that are in the approved cost proposal and identified in the approved cost proposal and in the executed Task Order.**
- C. **Specific projects will be assigned to CONTRACTOR through issuance of TaskOrders.**
- D. **After a project to be performed under this contract is identified by COUNTY, COUNTY will prepare a draft Task Order; less the cost estimate. A draft Task Order will identify the scope of services, expected results, project deliverables, period of performance, project schedule and will designate a COUNTY Project Coordinator. The draft Task Order will be delivered to CONTRACTOR for review. CONTRACTOR shall return the draft Task Order within ten (10) calendar days along with a Cost Estimate, including a written estimate of the number of hours and hourly rates per staff person, any anticipated reimbursable expenses, overhead, fee if any, and total dollar amount. After agreement has been reached on the negotiable items and total cost; the finalized Task Order shall be signed by both COUNTY and CONTRACTOR.**
- E. **Task Orders may be negotiated for a lump sum (\$\_\_\_\_\_ ) or for specific rates of compensation, both of which must be based on the labor and other rates set forth in CONTRACTOR’s approved Cost Proposal.**

**CONTRACTOR shall be responsible for any future adjustments to prevailing wage rates including but not limited to, base hourly rates and employer payments as determined by the Department of Industrial Relations. CONTRACTOR is responsible for paying the appropriate rate, including escalations that take place during the term of the contract.**

- F. **Reimbursement for transportation and subsistence costs shall not exceed the rates as specified in the approved Cost Proposal. CONTRACTOR will be responsible for transportation and subsistence costs in excess of State rates.**
- G. **When milestone cost estimates are included in the approved Cost Proposal, CONTRACTOR shall obtain prior written approval in the form of a contract amendment for a revised milestone cost estimate from the Contract Administrator before exceeding such estimate.**
- H. **Progress payments for each Task Order will be made monthly in arrears based on services provided and actual costs incurred.**
- I. **CONTRACTOR shall not commence performance of work or services until this contract has been approved by COUNTY, and notification to proceed has been issued by COUNTY’S Contract Administrator. No payment will be made prior to approval or for any work performed prior to approval of this contract.**

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- J. A Task Order is of no force or effect until returned to COUNTY and signed by an authorized representative of COUNTY. No expenditures are authorized on a project and work shall not commence until a Task Order for that project has been executed by COUNTY.
- K. CONTRACTOR will be reimbursed, as promptly as fiscal procedures will permit upon receipt by COUNTY'S Contract Administrator of itemized invoices in triplicate. Separate invoices itemizing all costs are required for all work performed under each Task Order. Invoices shall be submitted no later than forty-five (45) calendar days after the performance of work for which CONTRACTOR is billing, or upon completion of the Task Order. Invoices shall detail the work performed on each milestone, on each project as applicable. Invoices shall follow the format stipulated for the approved Cost Proposal and shall reference this contract number, project title and Task Order number. Credits due COUNTY that include any equipment purchased under the provisions of Article XI Equipment Purchase of this contract, must be reimbursed by CONTRACTOR prior to the expiration or termination of this contract. Invoices shall be mailed to COUNTY'S Contract Administrator at the following address:

County of Monterey  
Department of Public Works, Facilities, & Parks  
1441 Schilling Place, South 2<sup>nd</sup> Floor  
Salinas, CA 93901-4527  
Attn: Finance Division

- L. The period of performance for Task Orders shall be in accordance with dates specified in the Task Order. No Task Order will be written which extends beyond the expiration date of this Contract.
- M. The total amount payable by COUNTY for an individual Task Order shall not exceed the amount agreed to in the Task Order, unless authorized by contract amendment.
- N. If the Contractor fails to satisfactorily complete a deliverable according to the schedule set forth in a Task Order, no payment will be made until the deliverable has been satisfactorily completed.
- O. Task Orders may not be used to amend the language (or terms) of this Agreement and nor to exceed the scope of work under this contract.
- P. The total amount payable by COUNTY for all Task Orders resulting from this contract shall not exceed \$\_\_\_\_\_. It is understood and agreed that there is no guarantee, either expressed or implied that this dollar amount will be authorized under this contract through Task Orders.
- Q. All subcontracts in excess of \$25,000 shall contain the above provisions.

Option 4 – Lump Sum (Use Exhibit 10-H1 Cost Proposal Format)

- A. The method of payment for this contract will be based on lump sum. The total lump sum price paid to CONTRACTOR will include compensation for all work and deliverables, including travel and equipment described in Article III Statement of Work of this contract. No additional compensation will be paid to CONTRACTOR, unless there is a change in the scope of the work or

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the scope of the project. In the instance of a change in the scope of work or scope of the project, adjustment to the total lump sum compensation will be negotiated between CONTRACTOR and COUNTY. Adjustment in the total lump sum compensation will not be effective until authorized by contract amendment and approved by COUNTY.

- B. Progress payments may be made monthly in arrears based on the percentage of work completed by CONTRACTOR. If CONTRACTOR fails to submit the required deliverable items according to the schedule set forth in Article III Statement of Work, COUNTY shall have the right to delay payment or terminate this Contract in accordance with the provisions of Article VI Termination.
- C. CONTRACTOR shall not commence performance of work or services until this contract has been approved by COUNTY and notification to proceed has been issued by COUNTY'S Contract Administrator. No payment will be made prior to approval of any work, or for any work performed prior to approval of this contract.
- D. CONTRACTOR will be reimbursed, as promptly as fiscal procedures will permit, upon receipt by COUNTY'S Contract Administrator of itemized invoices in triplicate. Invoices shall be submitted no later than forty-five (45) calendar days after the performance of work for which CONTRACTOR is billing. Invoices shall detail the work performed on each milestone, on each project as applicable. Invoices shall follow the format stipulated for the Cost Proposal and shall reference this contract number and project title. Final invoice must contain the final cost and all credits due COUNTY that include any equipment purchased under the provisions of Article XI Equipment Purchase of this contract. The final invoice should be submitted within sixty (60) calendar days after completion of CONTRACTOR's work. Invoices shall be mailed to COUNTY's Contract Administrator at the following address:

County of Monterey  
Department of Public Works, Facilities, & Parks  
1441 Schilling Place, South 2<sup>nd</sup> Floor  
Salinas, CA 93901-4527  
Attn: Finance Division

- E. The total amount payable by COUNTY shall not exceed \$ \_\_\_\_\_.
- F. All subcontracts in excess of \$25,000 shall contain the above provisions.

**Article VI Termination (Verbatim)**

- A. This contract may be terminated by COUNTY, provided that COUNTY gives not less than thirty (30) calendar days' written notice (delivered by certified mail, return receipt requested) of intent to terminate. Upon termination, COUNTY shall be entitled to all work, including but not limited to, reports, investigations, appraisals, inventories, studies, analyses, drawings and data estimates performed to that date, whether completed or not, and in accordance with Section 15, Property of COUNTY.
- B. COUNTY may terminate this contract with CONTRACTOR should CONTRACTOR fail to perform the covenants herein contained at the time and in the manner herein provided. In the event of

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such termination, COUNTY may proceed with the work in any manner deemed proper by COUNTY. If COUNTY terminates this contract with CONTRACTOR, COUNTY shall pay CONTRACTOR the sum due to CONTRACTOR under this contract prior to termination, unless the cost of completion to COUNTY exceeds the funds remaining in the contract. In which case the overage shall be deducted from any sum due CONTRACTOR under this contract and the balance, if any, shall be paid to CONTRACTOR upon demand.

- C. The maximum amount for which the Government shall be liable if this contract is terminated is 0 dollars.
- D. COUNTY may temporarily suspend this contract, at no additional cost to COUNTY, provided that CONTRACTOR is given written notice (delivered by certified mail, return receipt requested) of temporary suspension. If COUNTY gives such notice of temporary suspension, CONTRACTOR shall immediately suspend its activities under this contract. A temporary suspension may be issued concurrent with the notice of termination provided for in subsection A of this section.
- E. Notwithstanding any provisions of this contract, CONTRACTOR shall not be relieved of liability to COUNTY for damages sustained by COUNTY by virtue of any breach of this contract by CONTRACTOR, and COUNTY may withhold any payments due to CONTRACTOR until such time as exact amount of damage, if any, due COUNTY from CONTRACTOR is determined.
- F. In the event of termination, CONTRACTOR shall be compensated as provided for in this contract, except as provided in Section 11C. Upon termination, COUNTY shall be entitled to all work, including but not limited to, reports, investigations, appraisals, inventories, studies, analyses, drawings and data estimates performed to that date, whether completed or not, and in accordance with Section 15, Property of COUNTY.

**Article VII Cost Principles and Administrative Requirements (Verbatim)**

- A. CONTRACTOR agrees that the Contract Cost Principles and Procedures, 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31.000 et seq., shall be used to determine the cost allowability of individual items.
- B. CONTRACTOR also agrees to comply with Federal procedures in accordance with 2 CFR, Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.
- C. Any costs for which payment has been made to CONTRACTOR that are determined by subsequent audit to be unallowable under 2 CFR Part 200 and 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31.000 et seq., are subject to repayment by CONTRACTOR to COUNTY.
- D. All subcontracts in excess of \$25,000 shall contain the above provisions.

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### Article VIII Retention of Records/Audit (Verbatim)

For the purpose of determining compliance with Public Contract Code 10115, et seq. and Title 21, California Code of Regulations, Chapter 21, Section 2500 et seq., when applicable and other matters connected with the performance of the contract pursuant to Government Code 8546.7; the CONTRACTOR, subcontractors, and COUNTY shall maintain and make available for inspection all books, documents, papers, accounting records, Independent CPA Audited Indirect Cost Rate workpapers, and other evidence pertaining to the performance of the contract, including but not limited to, the costs of administering the contract. All parties, including the CONTRACTOR's Independent CPA, shall make such materials available at their respective offices at all reasonable times during the contract period and for three (3) years from the date of final payment under the contract. The state, Caltrans Auditor, COUNTY, FHWA, or any duly authorized representative of the Federal Government having jurisdiction under Federal laws or regulations (including the basis for Federal funding in whole or in part) shall have access to any books, records, and documents of CONTRACTOR, subcontractor and the CONTRACTOR's Independent certified public accountants (CPA) work papers that are pertinent to the contract for audits, examinations, workpaper review, excerpts, and transactions, and copies thereof shall be furnished if requested without limitations. Subcontracts in excess of \$25,000 shall contain this provision.

### Article IX Audit Review Procedures (Verbatim)

- A. Any dispute concerning a question of fact arising under an interim or post audit of this contract that is not disposed of by agreement, shall be reviewed by COUNTY'S Chief Financial Officer.
- B. Not later than thirty (30) calendar days after issuance of the final audit report, CONTRACTOR may request a review by COUNTY'S Chief Financial Officer of unresolved audit issues. The request for review will be submitted in writing.
- C. Neither the pendency of a dispute nor its consideration by COUNTY will excuse CONTRACTOR from full and timely performance, in accordance with the terms of this contract.

For contracts \$150,000 or greater, paragraph D below applies:

- D. CONTRACTOR and subcontractor contracts, including cost proposals and ICR, are subject to audits or reviews such as, but not limited to, a contract audit, an incurred cost audit, an ICR Audit, or a CPA ICR audit work paper review. If selected for audit or review, the contract, cost proposal and ICR and related work papers, if applicable, will be reviewed to verify compliance with 48 CFR, Part 31 and other related laws and regulations. In the instances of a CPA ICR audit work paper review it is CONTRACTOR's responsibility to ensure federal, state, COUNTY or local government officials are allowed full access to the CPA's work papers including making copies as necessary. The contract, cost proposal, and ICR shall be adjusted by CONTRACTOR and approved by COUNTY contract manager to conform to the audit or review recommendations. CONTRACTOR agrees that individual terms of costs identified in the audit report shall be incorporated into the contract by this reference if directed by COUNTY at its sole discretion. Refusal by CONTRACTOR to incorporate audit or review recommendations, or to ensure that the federal, state, COUNTY or local governments have access to CPA work papers, will be considered

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a breach of contract terms and cause for termination of the contract and disallowance of prior reimbursed costs.

For contracts \$3,500,000 or greater, paragraph E below applies:

- E. CONTRACTOR Cost Proposal is subject to a CPA ICR Audit Work Paper Review by Caltrans' Audit and Investigation (A&I). Caltrans A&I, at its sole discretion, may review and/or audit and approve the CPA ICR documentation. The Cost Proposal shall be adjusted by the CONTRACTOR and approved by the COUNTY Contract Administrator to conform to the Work Paper Review recommendations included in the management letter or audit recommendations included in the audit report. Refusal by the CONTRACTOR to incorporate the Work Paper Review recommendations included in the management letter or audit recommendations included in the audit report will be considered a breach of the contract terms and cause for termination of the contract and disallowance of prior reimbursed costs.
1. During a Caltrans' A&I review of the ICR audit work papers created by the CONTRACTOR's independent CPA, Caltrans A&I will work with the CPA and/or CONTRACTOR toward a resolution of issues that arise during the review. Each party agrees to use its best efforts to resolve any audit disputes in a timely manner. If Caltrans A&I identifies significant issues during the review and is unable to issue a cognizant approval letter, COUNTY will reimburse the CONTRACTOR at an accepted ICR until a FAR (Federal Acquisition Regulation) compliant ICR {e.g. 48 CFR, part 31; GAGAS (Generally Accepted Auditing Standards); CAS (Cost Accounting Standards), if applicable; in accordance with procedures and guidelines of the American Association of State Highways and Transportation Officials (AASHTO) Audit Guide; and other applicable procedures and guidelines} is received and approved by A&I. Accepted rates will be as follows:
    - a. If the proposed rate is less than one hundred fifty percent (150%) - the accepted rate reimbursed will be ninety percent (90%) of the proposed rate.
    - b. If the proposed rate is between one hundred fifty percent (150%) and two hundred percent (200%) - the accepted rate will be eighty-five percent (85%) of the proposed rate.
    - c. If the proposed rate is greater than two hundred percent (200%) - the provisional rate will be seventy-five percent (75%) of the proposed rate.
  2. If Caltrans A&I is unable to issue a cognizant letter per paragraph E.1. above, Caltrans A&I may require CONTRACTOR to submit a revised independent CPA-audited ICR and audit report within three (3) months of the effective date of the management letter. Caltrans A&I will then have up to six (6) months to review the CONTRACTOR's and/or the independent CPA's revisions.
  3. If the CONTRACTOR fails to comply with the provisions of this Section E, or if Caltrans A&I is still unable to issue a cognizant approval letter after the revised independent CPA-audited ICR is submitted, overhead cost reimbursement will be limited to the accepted ICR that was established upon initial rejection of the ICR and set forth in paragraph E.1. above for all



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rendered services. In this event, this accepted ICR will become the actual and final ICR for reimbursement purposes under this contract.

4. **CONTRACTOR may submit to COUNTY final invoice only when all of the following items have occurred: (1) Caltrans A&I accepts or adjust the original or revised independent CPA-audited ICR; (2) all work under this contract has been completed to the satisfaction of COUNTY; and, (3) Caltrans A&I has issued its final ICR review letter. The CONTRACTOR MUST SUBMIT ITS FINAL INVOICE TO County no later than 60 days after occurrence of the last of these items.**

The accepted ICR will apply to this contract and all other contracts executed between COUNTY and the CONTRACTOR, either as a prime or subcontractor, with the same fiscal period ICR.

**Article X Subcontracting (Verbatim)**

- A. **Nothing contained in this contract or otherwise, shall create any contractual relation between COUNTY and any subcontractor(s), and no subcontract shall relieve CONTRACTOR of its responsibilities and obligations hereunder. CONTRACTOR agrees to be as fully responsible to COUNTY for the acts and omissions of its subcontractor(s) and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by CONTRACTOR. CONTRACTOR's obligation to pay its subcontractor(s) is an independent obligation from COUNTY'S obligation to make payments to the CONTRACTOR.**
- B. **CONTRACTOR shall perform the work contemplated with resources available within its own organization and no portion of the work pertinent to this contract shall be subcontracted without written authorization by COUNTY's Contract Administrator, except that, which is expressly identified in the CONTRACTOR's approved Cost Proposal.**
- C. **CONTRACTOR shall pay its subcontractors within ten (10) calendar days from receipt of each payment made to CONTRACTOR by COUNTY.**
- D. **Any subcontract in excess of \$25,000 entered into as a result of this contract shall contain all the provisions stipulated in this contract to be applicable to subcontractors.**
- E. **Any substitution of subcontractor(s) must be approved in writing by COUNTY's Contract Administrator in advance of assigning work to a substitute subcontractor(s).**

**Article XI Equipment Purchase (Verbatim)**

- A. **Prior authorization in writing, by COUNTY's Contract Administrator shall be required before CONTRACTOR enters into any unbudgeted purchase order, or subcontract exceeding five thousand dollars (\$5,000) for supplies, equipment, or CONTRACTOR services. CONTRACTOR shall provide an evaluation of the necessity or desirability of incurring such costs.**
- B. **For purchase of any item, service or consulting work not covered in CONTRACTOR's approved Cost Proposal and exceeding five thousand dollars (\$5,000) with prior authorization by COUNTY's Contract Administrator; three competitive quotations must be submitted with the request, or the absence of bidding must be adequately justified.**

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- C. Any equipment purchased with funds provided under the terms of this contract is subject to the following: "CONTRACTOR shall maintain an inventory of all nonexpendable property. Nonexpendable property is defined as having a useful life of at least two years and an acquisition cost of five thousand dollars (\$5,000) or more. If the purchased equipment needs replacement and is sold or traded in, COUNTY shall receive a proper refund or credit at the conclusion of the contract, or if the contract is terminated, CONTRACTOR may either keep the equipment and credit COUNTY in an amount equal to its fair market value, or sell such equipment at the best price obtainable at a public or private sale, in accordance with established COUNTY procedures; and credit COUNTY in an amount equal to the sales price. If CONTRACTOR elects to keep the equipment, fair market value shall be determined at CONTRACTOR's expense, on the basis of a competent independent appraisal of such equipment. Appraisals shall be obtained from an appraiser mutually agreeable to by COUNTY and CONTRACTOR, if it is determined to sell the equipment, the terms and conditions of such sale must be approved in advance by COUNTY." Regulation 2 CFR Part 200 requires a credit to Federal funds when participating equipment with a fair market value greater than five thousand dollars (\$5,000) is credited to the project.
- D. All subcontracts in excess \$25,000 shall contain the above provisions.

Article XII State Prevailing Wage Rates (Verbatim)

The selected option shall apply to this contract:

Option 1 – For contract where a portion of the proposed work to be performed are crafts affected by state labor laws.

- A. CONTRACTOR shall comply with the State of California's General Prevailing Wage Rate requirements in accordance with California Labor Code, Section 1770, and all Federal, State, and local laws and ordinances applicable to the work.
- B. Any subcontract entered into as a result of this contract, if for more than \$25,000 for public works construction or more than \$15,000 for the alteration, demolition, repair, or maintenance of public works, shall contain all of the provisions of this Article.
- C. When prevailing wages apply to the services described in the scope of work, transportation and subsistence costs shall be reimbursed at the minimum rates set by the Department of Industrial Relations (DIR) as outlined in the applicable Prevailing Wage Determination. See <http://www.dir.ca.gov>.
- D. No CONTRACTOR or Subcontractor may be awarded a contract containing public work elements unless registered with the Department of Industrial Relations (DIR) pursuant to Labor Code §1725.5. Registration with DIR must be maintained throughout the entire term of this contract, including any subsequent amendments.
- E. The CONTRACTOR shall comply with all of the applicable provisions of the California Labor Code requiring the payment of prevailing wages. The General Prevailing Wage Rate Determinations applicable to work under this contract are available and on file with the Department of Transportation's Regional/District Labor Compliance Officer

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([http://www.dot.ca.gov/hq/construc/LaborCompliance/documents/District-Region Map Construction 7-8-15.pdf](http://www.dot.ca.gov/hq/construc/LaborCompliance/documents/District-Region%20Map%20Construction%207-8-15.pdf)). These wage rates are made a specific part of this contract by reference pursuant to Labor Code §1773.2 and will be applicable to work performed at a construction project site. Prevailing wages will be applicable to all inspection work performed at COUNTY construction sites, at COUNTY facilities and at off-site locations that are set up by the construction contractor or one of its subcontractors solely and specifically to serve COUNTY projects. Prevailing wage requirements do not apply to inspection work performed at the facilities of vendors and commercial materials suppliers that provide goods and services to the general public.

F. General Prevailing Wage Rate Determinations applicable to this project may also be obtained from the Department of Industrial Relations Internet site at <http://www.dir.ca.gov>.

G. Payroll Records

1. Each CONTRACTOR and Subcontractor shall keep accurate certified payroll records and supporting documents as mandated by Labor Code §1776 and as defined in 8 CCR §16000 showing the name, address, social security number, work classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by the CONTRACTOR or Subcontractor in connection with the public work. Each payroll record shall contain or be verified by a written declaration that it is made under penalty of perjury, stating both of the following:

a. The information contained in the payroll record is true and correct.

b. The employer has complied with the requirements of Labor Code §1771, §1811, and §1815 for any work performed by his or her employees on the public works project.

2. The payroll records enumerated under paragraph (1) above shall be certified as correct by the CONTRACTOR under penalty of perjury. The payroll records and all supporting documents shall be made available for inspection and copying by COUNTY representatives at all reasonable hours at the principal office of the CONTRACTOR. The CONTRACTOR shall provide copies of certified payrolls or permit inspection of its records as follows:

a. A certified copy of an employee's payroll record shall be made available for inspection or furnished to the employee or the employee's authorized representative on request.

b. A certified copy of all payroll records enumerated in paragraph (1) above, shall be made available for inspection or furnished upon request to a representative of COUNTY, the Division of Labor Standards Enforcement and the Division of Apprenticeship Standards of the Department of Industrial Relations. Certified payrolls submitted to COUNTY, the Division of Labor Standards Enforcement and the Division of Apprenticeship Standards shall not be altered or obliterated by the CONTRACTOR.

c. The public shall not be given access to certified payroll records by the CONTRACTOR. The CONTRACTOR is required to forward any requests for certified payrolls to the COUNTY Contract

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Administrator by both email and regular mail on the business day following receipt of the request.

3. Each CONTRACTOR shall submit a certified copy of the records enumerated in paragraph (1) above, to the entity that requested the records within ten (10) calendar days after receipt of a written request.

4. Any copy of records made available for inspection as copies and furnished upon request to the public or any public agency by COUNTY shall be marked or obliterated in such a manner as to prevent disclosure of each individual's name, address, and social security number. The name and address of the CONTRACTOR or Subcontractor performing the work shall not be marked or obliterated.

5. The CONTRACTOR shall inform COUNTY of the location of the records enumerated under paragraph (1) above, including the street address, city and county, and shall, within five (5) working days, provide a notice of a change of location and address.

6. The CONTRACTOR or Subcontractor shall have ten (10) calendar days in which to comply subsequent to receipt of written notice requesting the records enumerated in paragraph (1) above. In the event the CONTRACTOR or Subcontractor fails to comply within the ten (10) calendar day period, he or she shall, as a penalty to COUNTY, forfeit one hundred dollars (\$100) for each calendar day, or portion thereof, for each worker, until strict compliance is effectuated. Such penalties shall be withheld by COUNTY from payments then due. CONTRACTOR is not subject to a penalty assessment pursuant to this section due to the failure of a Subcontractor to comply with this section.

H. When prevailing wage rates apply, the CONTRACTOR is responsible for verifying compliance with certified payroll requirements. Invoice payment will not be made until the invoice is approved by the COUNTY Contract Administrator.

I. Penalty

1. The CONTRACTOR and any of its Subcontractor shall comply with Labor Code §1774 and §1775. Pursuant to Labor Code §1775, the CONTRACTOR and any Subcontractor shall forfeit to the COUNTY a penalty of not more than two hundred dollars (\$200) for each calendar day, or portion thereof, for each worker paid less than the prevailing rates as determined by the Director of DIR for the work or craft in which the worker is employed for any public work done under the contract by the CONTRACTOR or by its Subcontractor in violation of the requirements of the Labor Code and in particular, Labor Code §§1770 to 1780, inclusive.

2. The amount of this forfeiture shall be determined by the Labor Commissioner and shall be based on consideration of mistake, inadvertence, or neglect of the CONTRACTOR or Subcontractor in failing to pay the correct rate of prevailing wages, or the previous record of the CONTRACTOR or Subcontractor in meeting their respective prevailing wage obligations, or the willful failure by the CONTRACTOR or Subcontractor to pay the correct rates of prevailing wages. A mistake, inadvertence, or neglect in failing to pay the correct rates of prevailing wages is not

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excusable if the CONTRACTOR or Subcontractor had knowledge of the obligations under the Labor Code. The CONTRACTOR is responsible for paying the appropriate rate, including any escalations that take place during the term of the AGREEMENT.

3. In addition to the penalty and pursuant to Labor Code §1775, the difference between the prevailing wage rates and the amount paid to each worker for each calendar day or portion thereof for which each worker was paid less than the prevailing wage rate shall be paid to each worker by the CONTRACTOR or Subcontractor.

4. If a worker employed by a Subcontractor on a public works project is not paid the general prevailing per diem wages by the Subcontractor, the prime CONTRACTOR of the project is not liable for the penalties described above unless the prime CONTRACTOR had knowledge of that failure of the Subcontractor to pay the specified prevailing rate of wages to those workers or unless the prime CONTRACTOR fails to comply with all of the following requirements:

a. The AGREEMENT executed between the CONTRACTOR and the Subcontractor for the performance of work on public works projects shall include a copy of the requirements in Labor Code §§ 1771, 1775, 1776, 1777.5, 1813, and 1815.

b. The CONTRACTOR shall monitor the payment of the specified general prevailing rate of per diem wages by the Subcontractor to the employees by periodic review of the certified payroll records of the Subcontractor.

c. Upon becoming aware of the Subcontractor's failure to pay the specified prevailing rate of wages to the Subcontractor's workers, the CONTRACTOR shall diligently take corrective action to halt or rectify the failure, including but not limited to, retaining sufficient funds due the Subcontractor for work performed on the public works project.

d. Prior to making final payment to the Subcontractor for work performed on the public works project, the CONTRACTOR shall obtain an affidavit signed under penalty of perjury from the Subcontractor that the Subcontractor had paid the specified general prevailing rate of per diem wages to the Subcontractor's employees on the public works project and any amounts due pursuant to Labor Code §1813.

5. Pursuant to Labor Code §1775, COUNTY shall notify the CONTRACTOR on a public works project within fifteen (15) calendar days of receipt of a complaint that a Subcontractor has failed to pay workers the general prevailing rate of per diem wages.

6. If COUNTY determines that employees of a Subcontractor were not paid the general prevailing rate of per diem wages and if COUNTY did not retain sufficient money under the contract to pay those employees the balance of wages owed under the general prevailing rate of per diem wages, the CONTRACTOR shall withhold an amount of moneys due the Subcontractor sufficient to pay those employees the general prevailing rate of per diem wages if requested by COUNTY.

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J. Hours of Labor

Eight (8) hours labor constitutes a legal day's work. The CONTRACTOR shall forfeit, as a penalty to the COUNTY, twenty-five dollars (\$25) for each worker employed in the execution of the contract by the CONTRACTOR or any of its Subcontractors for each calendar day during which such worker is required or permitted to work more than eight (8) hours in any one calendar day and forty (40) hours in any one calendar week in violation of the provisions of the Labor Code, and in particular §§1810 to 1815 thereof, inclusive, except that work performed by employees in excess of eight (8) hours per day, and forty (40) hours during any one week, shall be permitted upon compensation for all hours worked in excess of eight (8) hours per day and forty (40) hours in any week, at not less than one and one-half (1.5) times the basic rate of pay, as provided in §1815.

K. Employment of Apprentices

1. Where either the prime contract or the subcontract exceeds thirty thousand dollars (\$30,000), the CONTRACTOR and any subcontractors under him or her shall comply with all applicable requirements of Labor Code §§ 1777.5, 1777.6 and 1777.7 in the employment of apprentices.

2. CONTRACTORS and subcontractors are required to comply with all Labor Code requirements regarding the employment of apprentices, including mandatory ratios of journey level to apprentice workers. Prior to commencement of work, CONTRACTOR and subcontractors are advised to contact the DIR Division of Apprenticeship Standards website at <https://www.dir.ca.gov/das/>, for additional information regarding the employment of apprentices and for the specific journey-to- apprentice ratios for the contract work. The CONTRACTOR is responsible for all subcontractors' compliance with these requirements. Penalties are specified in Labor Code §1777.7.

Option 2 – For contracts where all of the proposed work is performed by crafts not affected by state labor laws or are not contemplated for use.

A. The State of California's General Prevailing Wage Rates are not applicable to this contract.

Note: The Federal "Payment of Predetermined Minimum Wage" applies only to federal-aid construction contracts.

**Article XIII Conflict of Interest (Verbatim)**

A. During the term of contract, the CONTRACTOR shall disclose any financial, business, or other relationship with COUNTY that may have an impact upon the outcome of this contract, or any ensuing COUNTY construction project. CONTRACTOR shall also list current clients who may have a financial interest in the outcome of this contract, or any ensuing COUNTY construction project, which will follow.

B. CONTRACTOR certifies that it has disclosed to COUNTY any actual, apparent, or potential conflicts of interest that may exist relative to the services to be provided pursuant to this contract. CONTRACTOR agrees to advise COUNTY of any actual, apparent or potential conflicts of interest that may develop subsequent to the date of execution of this contract.

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CONTRACTOR further agrees to complete any statements of economic interest if required by either COUNTY ordinance or State law.

- C. CONTRACTOR hereby certifies that it does not now have, nor shall it acquire any financial or business interest that would conflict with the performance of services under this contract.
- D. Any subcontract in excess of \$25,000 entered into as a result of this contract, shall contain all of the provisions of this Article.

The selected option below applies to this contract:

Option 1 – PS&E contracts

- E. CONTRACTOR hereby certifies that neither CONTRACTOR, nor any firm affiliated with CONTRACTOR will bid on any construction contract, or on any contract to provide construction inspection for any construction project resulting from this contract. An affiliated firm is one, which is subject to the control of the same persons through joint-ownership, or otherwise.
- F. Except for subcontractors whose services are limited to providing surveying or materials testing information, no subcontractor who has provided design services in connection with this contract shall be eligible to bid on any construction contract, or on any contract to provide construction inspection for any construction project resulting from this contract.

Option 2 – Construction Contract Administration contracts

- G. CONTRACTOR hereby certifies that neither CONTRACTOR, its employees, nor any firm affiliated with CONTRACTOR providing services on this project prepared the Plans, Specifications, and Estimate for any construction project included within this contract. An affiliated firm is one, which is subject to the control of the same persons through joint- ownership, or otherwise.
- H. CONTRACTOR further certifies that neither CONTRACTOR, nor any firm affiliated with CONTRACTOR, will bid on any construction subcontracts included within the construction contract. Additionally, CONTRACTOR certifies that no person working under this contract is also employed by the construction contractor for any project included within this contract.
- I. Except for subcontractors whose services are limited to materials testing, no subcontractor who is providing service on this contract shall have provided services on the design of any project included within this contract.

**Article XIV Rebates, Kickbacks or other Unlawful Consideration (Verbatim)**

CONTRACTOR warrants that this contract was not obtained or secured through rebates kickbacks or other unlawful consideration, either promised or paid to any COUNTY employee. For breach or violation of this warranty, COUNTY shall have the right in its discretion; to terminate the contract without liability; to pay only for the value of the work actually performed; or to deduct from the contract price; or otherwise recover the full amount of such rebate, kickback or other unlawful consideration.

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**Article XV Prohibition of Expending County, State, or Federal Funds for Lobbying (Verbatim)**

This provision (Article XV) only applies to contracts where federal funding will exceed \$150,000. If less than \$150,000 in federal funds will be expended on the contract, this does not apply.

- A. CONTRACTOR certifies to the best of his or her knowledge and belief that:
1. No state, federal or County appropriated funds have been paid, or will be paid by-or-on behalf of CONTRACTOR to any person for influencing or attempting to influence an officer or employee of any state or federal agency; a Member of the State Legislature or United States Congress; an officer or employee of the Legislature or Congress; or any employee of a Member of the Legislature or Congress, in connection with the awarding of any state or federal contract; the making of any state or federal grant; the making of any state or federal loan; the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any state or federal contract, grant, loan, or cooperative agreement.
  2. If any funds other than federal appropriated funds have been paid, or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency; a Member of Congress; an officer or employee of Congress, or an employee of a Member of Congress; in connection with this federal contract, grant, loan, or cooperative agreement; CONTRACTOR shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
- B. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than ten thousand dollars (\$10,000) and not more than one hundred thousand dollars (\$100,000) for each such failure.
- C. CONTRACTOR also agrees by signing this document that he or she shall require that the language of this certification be included in all lower-tier subcontracts, which exceed one hundred thousand dollars (\$100,000) and that all such sub recipients shall certify and disclose accordingly.

**Article XVI Non-Discrimination Clause and Statement of Compliance (Verbatim)**

- A. CONTRACTOR's signature affixed herein, and dated, shall constitute a certification under penalty of perjury under the laws of the State of California that CONTRACTOR has, unless exempt, complied with, the nondiscrimination program requirements of Government Code Section 12990 and Title 2, California Administrative Code, Section 8103.
- B. During the performance of this Contract, Contractor and its subcontractors shall not deny the Contract's benefits to any person on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and



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- veteran status, nor shall they unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment.
- C. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 (a-f) et seq.), the applicable regulations promulgated there under (California Code of Regulations, Title 2, Section 7285 et seq.), the provisions of Gov. Code §§11135-11139.5, and the regulations or standards adopted by COUNTY to implement such article. The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, Section 8100-8504, are incorporated into this Contract by reference and made a part hereof as if set forth in full.
  - D. CONTRACTOR shall permit access by representatives of the Department of Fair Employment and Housing and the COUNTY upon reasonable notice at any time during the normal business hours, but in no case less than twenty-four (24) hours' notice, to such of its books, records, accounts, and all other sources of information and its facilities as said Department or COUNTY shall require to ascertain compliance with this clause.
  - E. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.
  - F. CONTRACTOR shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under this contract.
  - G. The Contractor, with regard to the work performed under this contract, shall act in accordance with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq.). Title VI provides that the recipients of federal assistance will implement and maintain a policy of nondiscrimination in which no person in the state of California shall, on the basis of race, color, national origin, religion, sex, age, disability, be excluded from participation in, denied the benefits of or subject to discrimination under any program or activity by the recipients of federal assistance or their assignees and successors in interest.
  - H. The Contractor, shall comply with regulations relative to non-discrimination in federally-assisted programs of the U.S. Department of Transportation (49 CFR Part 21 - Effectuation of Title VI of the Civil Rights Act of 1964). Specifically, the CONTRACTOR shall not participate either directly or indirectly in the discrimination prohibited by 49 CFR §21.5, including employment practices and the selection and retention of Subcontractors.

#### Article XVII Debarment and Suspension Certification

- A. CONTRACTOR's signature affixed herein, shall constitute a certification under penalty of perjury under the laws of the State of California, that the CONTRACTOR has or any person associated therewith in the capacity of owner, partner, director, officer, or manager:

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1. Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
  2. Has not been suspended, debarred, voluntarily excluded, or determined ineligible by any federal agency within the past three (3) years;
  3. Does not have a proposed debarment pending; and
  4. Has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years.
- B. Any exceptions to this certification must be disclosed to COUNTY. Exceptions will not necessarily result in denial of recommendation for award, but will be considered in determining CONTRACTOR responsibility. Disclosures must indicate the party to whom exceptions apply, initiating agency, and dates of agency action.
- C. Exceptions to the Federal Government Excluded Parties List System maintained by the U.S. General Services Administration are to be determined by the Federal Highway Administration.

**MISCELLANEOUS PROVISIONS**

**Article XVIII Funding Requirements**

- A. It is mutually understood between the parties that this contract may have been written before ascertaining the availability of funds or appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays that would occur if the contract were executed after that determination was made.
- B. This contract is valid and enforceable only if sufficient funds are made available to COUNTY for the purpose of this contract. In addition, this contract is subject to any additional restrictions, limitations, conditions, or any statute enacted by the Congress, State Legislature, or COUNTY governing board that may affect the provisions, terms, or funding of this contract in any manner.
- C. It is mutually agreed that if sufficient funds are not appropriated, this contract may be amended to reflect any reduction in funds.
- D. COUNTY has the option to terminate the contract under the 30-day termination clause pursuant to Article VI, or by mutual agreement to amend the contract to reflect any reduction of funds.

**Article XIX Change in Terms**

- A. This contract may be amended or modified only by mutual written agreement of the parties.
- B. CONTRACTOR shall only commence work covered by an amendment after the amendment is executed and notification to proceed has been provided by COUNTY's Contract Administrator.
- C. There shall be no change in CONTRACTOR's Project Manager or members of the project team, as listed in the approved Cost Proposal, which is a part of this contract without prior written approval by COUNTY's Contract Administrator.

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**Article XX Disadvantaged Business Enterprises (DBE) Participation**

- A. This contract is subject to 49 CFR, Part 26 entitled "Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs". Contractors who enter into a federally-funded agreement will assist the COUNTY in good faith effort to achieve California's statewide overall DBE goal.
- B. The goal for DBE participation for this contract is \_\_\_\_\_%. Participation by DBE contractor or subcontractors shall be in accordance with information contained in the Contractor Proposal DBE Commitment (Exhibit 10-O1), or in the Contractor Contract DBE Information (Exhibit 10-O2) attached hereto and incorporated as part of the Contract. If a DBE subcontractor is unable to perform, CONTRACTOR must make a good faith effort to replace him/her with another DBE subcontractor, if the goal is not otherwise met.
- C. CONTRACTOR can meet the DBE participation goal by either documenting commitments to DBEs to meet the contract goal, or by documenting adequate good faith efforts to meet the contract goal. An adequate good faith effort means that the CONTRACTOR must show that it took all necessary and reasonable steps to achieve a DBE goal that, by their scope, intensity, and appropriateness to the objective, could reasonably be expected to meet the DBE goal. If CONTRACTOR has not met the DBE goal, complete and submit Exhibit 15-H: DBE Information – Good Faith Efforts to document efforts to meet the goal. Refer to 49 CFR Part 26 for guidance regarding evaluation of good faith efforts to meet the DBE goal.
- D. DBEs and other small businesses, as defined in 49 CFR, Part 26 are encouraged to participate in the performance of contracts financed in whole or in part with federal funds. The COUNTY, CONTRACTOR or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. CONTRACTOR shall carry out applicable requirements of 49 CFR, Part 26 in the award and administration of US DOT-assisted contracts. Failure by CONTRACTOR to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as COUNTY deems appropriate, which may include, but is not limited to:
  - (1) Withholding monthly progress payments;
  - (2) Assessing sanctions;
  - (3) Liquidated damages; and/or
  - (4) Disqualifying the contractor from future bidding as non-responsible
- E. Any subcontract entered into as a result of this contract shall contain all of the provisions of this section.
- F. A DBE firm may be terminated only with prior written approval from COUNTY and only for the reasons specified in 49 CFR 26.53(f). Prior to requesting COUNTY consent for the termination, CONTRACTOR must meet the procedural requirements specified in 49 CFR 26.53(f). If a DBE subcontractor is unable to perform, CONTRACTOR must make a good faith effort to replace him/her with another DBE subcontractor, if the goal is not otherwise met.
- G. Contractor shall not be entitled to any payment for such work or material unless it is performed or supplied by the listed DBE or by other forces (including those of Contractor) pursuant to prior written authorization of the COUNTY's Contract Administrator.
- H. A DBE is only eligible to be counted toward the contract goal if it performs a Commercially Useful Function (CUF) on the contract. CUF must be evaluated on an agreement by agreement

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basis. A DBE performs a CUF when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a CUF, the DBE must also be responsible with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material, and installing (where applicable) and paying for the material itself. To determine whether a DBE is performing a CUF, evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the contract is commensurate with the work it is actually performing, and other relevant factors.

- I. A DBE does not perform a CUF if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of DBE participation. In determining whether a DBE is such an extra participant, examine similar transactions, particularly those in which DBEs do not participate.
- J. If a DBE does not perform or exercise responsibility for at least thirty percent (30%) of the total cost of its contract with its own work force, or the DBE subcontracts a greater portion of the work of the contract than would be expected on the basis of normal industry practice for the type of work involved, it will be presumed that it is not performing a CUF.
- K. CONTRACTOR shall maintain records of materials purchased or supplied from all subcontracts entered into with certified DBEs. The records shall show the name and business address of each DBE or vendor and the total dollar amount actually paid each DBE or vendor, regardless of tier. The records shall show the date of payment and the total dollar figure paid to all firms. DBE prime contractors shall also show the date of work performed by their own forces along with the corresponding dollar value of the work.
- L. Upon completion of the Contract, a summary of these records shall be prepared and submitted on the form entitled, "Final Report-Utilization of Disadvantaged Business Enterprise (DBE), First-Tier Subcontractors" CEM-2402F [Exhibit 17-F, of the LAPM], certified correct by CONTRACTOR or CONTRACTOR's authorized representative and shall be furnished to the Contract Administrator with the final invoice. Failure to provide the summary of DBE payments with the final invoice will result in twenty-five percent (25%) of the dollar value of the invoice being withheld from payment until the form is submitted. The amount will be returned to CONTRACTOR when a satisfactory "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors" is submitted to the Contract Administrator.
- M. If a DBE subcontractor is decertified during the life of the contract, the decertified subcontractor shall notify CONTRACTOR in writing with the date of decertification. If a subcontractor becomes a certified DBE during the life of the Contract, the subcontractor shall notify CONTRACTOR in writing with the date of certification. Any changes should be reported to COUNTY's Contract Administrator within thirty (30) calendar days.
- N. Any subcontract entered into as a result of this contract shall contain all of the provisions of this section.

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### **Article XXI Contingent Fee**

CONTRACTOR warrants, by execution of this contract that no person or selling agency has been employed, or retained, to solicit or secure this contract upon an agreement or understanding, for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees, or bona fide established commercial or selling agencies maintained by CONTRACTOR for the purpose of securing business. For breach or violation of this warranty, COUNTY has the right to annul this contract without liability; pay only for the value of the work actually performed, or in its discretion to deduct from the contract price or consideration, or otherwise recover the full amount of such commission, percentage, brokerage, or contingent fee.

### **Article XXII Disputes**

Prior to either party commencing any legal action under this contract, the parties agree to try in good faith, to settle any dispute amicably between them. If a dispute has not been settled after forty-five (45) days of good-faith negotiations and as may be otherwise provided herein, then either party may commence legal action against the other.

The selected option below applies to this contract:

**Option 1 – For contracts without PS&E submittal**

- A. Any dispute, other than audit, concerning a question of fact arising under this contract that is not disposed of by agreement shall be decided by a committee consisting of COUNTY's Contract Administrator and \_\_\_\_\_, who may consider written or verbal information submitted by CONTRACTOR.
- B. Not later than thirty (30) calendar days after completion of all work under the contract, CONTRACTOR may request review by COUNTY Governing Board of unresolved claims or disputes, other than audit. The request for review will be submitted in writing.
- C. Neither the pendency of a dispute, nor its consideration by the committee will excuse CONTRACTOR from full and timely performance in accordance with the terms of this contract.

**Option 2 – For contracts requiring PS&E submittal, replace paragraph B above with the following:**

- B. Not later than thirty (30) calendar days after completion of all deliverables necessary to complete the plans, specifications and estimate, CONTRACTOR may request review by COUNTY Governing Board of unresolved claims or disputes, other than audit. The request for review will be submitted in writing.

### **Article XXIII Inspection of Work**

CONTRACTOR and any subcontractor shall permit COUNTY, the state, and the FHWA if federal participating funds are used in this contract; to review and inspect the project activities and files at all reasonable times during the performance period of this contract including review and inspection on a daily basis.

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### Article XXIV Safety

- A. CONTRACTOR shall comply with OSHA regulations applicable to CONTRACTOR regarding necessary safety equipment or procedures. CONTRACTOR shall comply with safety instructions issued by COUNTY Safety Officer and other COUNTY representatives. CONTRACTOR personnel shall wear hard hats and safety vests at all times while working on the construction project site.
- B. Pursuant to the authority contained in Section 591 of the Vehicle Code, COUNTY has determined that such areas are within the limits of the project and are open to public traffic. CONTRACTOR shall comply with all of the requirements set forth in Divisions 11, 12, 13, 14, and 15 of the Vehicle Code. CONTRACTOR shall take all reasonably necessary precautions for safe operation of its vehicles and the protection of the traveling public from injury and damage from such vehicles.
- C. Any subcontract entered into as a result of this contract, shall contain all of the provisions of this Article.

Paragraph D below applies for contracts requiring trenching of five feet or deeper:

- D. CONTRACTOR must have a Division of Occupational Safety and Health (CAL-OSHA) permit(s), as outlined in California Labor Code Sections 6500 and 6705, prior to the initiation of any practices, work, method, operation, or process related to the construction or excavation of trenches which are five (5) feet or deeper.

### Article XXV Insurance

The selected option below applies to this contract:

Option 1 – For contracts with a scope of services that may require the contractor or subcontractor to work within the operating state or County Highway Right of Way; where there would be exposure to public traffic or construction operations:

- A. Prior to commencement of the work described herein, CONTRACTOR shall furnish COUNTY a Certificate of Insurance stating that there is general comprehensive liability insurance presently in effect for CONTRACTOR with a combined single limit (CSL) of not less than one million dollars (\$1,000,000) per occurrence.
- B. The Certificate of Insurance will provide:
  - 1. That the insurer will not cancel the insured's coverage without thirty (30) calendar days prior written notice to COUNTY.
  - 2. That COUNTY, its officers, agents, employees, and servants are included as additional insureds, but only insofar as the operations under this contract are concerned.
  - 3. That COUNTY will not be responsible for any premiums or assessments on the policy.
- C. CONTRACTOR agrees that the bodily injury liability insurance herein provided for, shall be in effect at all times during the term of this contract. In the event said insurance coverage expires at any time or times during the term of this contract, CONTRACTOR agrees to provide at least

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thirty (30) calendar days prior notice to said expiration date; and a new Certificate of Insurance evidencing insurance coverage as provided for herein, for not less than either the remainder of the term of the contract, or for a period of not less than one (1) year. New Certificates of Insurance are subject to the approval of COUNTY. In the event CONTRACTOR fails to keep in effect at all times insurance coverage as herein provided, COUNTY may, in addition to any other remedies it may have, terminate this contract upon occurrence of such event.

Option 2 – For contracts with a scope of services that will not require the Contractor or subcontractor to work within the operating State or COUNTY Highway Right of Way where there would be exposure to public traffic or construction Contractor operations:

CONTRACTOR is not required to show evidence of general comprehensive liability insurance.

#### Article XXVI Ownership of Data

- A. It is mutually agreed that all materials prepared by CONTRACTOR under this contract shall become the property of COUNTY, and CONTRACTOR shall have no property right therein whatsoever. Immediately upon termination, COUNTY shall be entitled to, and CONTRACTOR shall deliver to COUNTY, reports, documents, plans, specifications, and estimates, investigations, appraisals, inventories, studies, analyses, drawings and data estimates performed to that date, whether completed or not, and other such materials as may have been prepared or accumulated to date by CONTRACTOR in performing this contract which is not CONTRACTOR's privileged information, as defined by law, or CONTRACTOR's personnel information, along with all other property belonging exclusively to COUNTY which is in CONTRACTOR's possession. Publication of the information derived from work performed or data obtained in connection with services rendered under this contract must be approved in writing by COUNTY.
- B. Additionally, it is agreed that the Parties intend this to be a contract for services and each considers the products and results of the services to be rendered by CONTRACTOR hereunder to be work made for hire. CONTRACTOR acknowledges and agrees that the work (and all rights therein, including, without limitation, copyright) belongs to and shall be the sole and exclusive property of COUNTY without restriction or limitation upon its use or dissemination by COUNTY.
- C. Nothing herein shall constitute or be construed to be any representation by CONTRACTOR that the work product is suitable in any way for any other project except the one detailed in this Contract. Any reuse by COUNTY for another project or project location shall be at COUNTY's sole risk. It is understood and agreed that all calculations, drawings and specifications, whether in hard copy or machine-readable form, are intended for one-time use in the construction of the project for which this contract has been entered into.
- D. CONTRACTOR is not liable for claims, liabilities, or losses arising out of, or connected with the modification, or misuse by COUNTY of the machine-readable information and data provided by CONTRACTOR under this contract; further, CONTRACTOR is not liable for claims, liabilities, or losses arising out of, or connected with any use by COUNTY of the project documentation on other projects for additions to this project, or for the completion of this project by others, except only such use as may be authorized in writing by CONTRACTOR.

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- E. Applicable patent rights provisions regarding rights to inventions shall be included in the contracts as appropriate (48 CFR 27, Subpart 27.3 - Patent Rights under Government Contracts for federal-aid contracts).
- F. COUNTY may permit copyrighting reports or other agreement products. If copyrights are permitted; the agreement shall provide that the FHWA shall have the royalty-free nonexclusive and irrevocable right to reproduce, publish, or otherwise use; and to authorize others to use, the work for government purposes.
- G. Any subcontract in excess of \$25,000 entered into as a result of this contract, shall contain all of the provisions of this Article.

**Article XXVII Claims Filed by County's Construction Contractor**

- A. If claims are filed by COUNTY's construction contractor relating to work performed by CONTRACTOR's personnel, and additional information or assistance from CONTRACTOR's personnel is required in order to evaluate or defend against such claims; CONTRACTOR agrees to make its personnel available for consultation with COUNTY'S construction contract administration and legal staff and for testimony, if necessary, at depositions and at trial or arbitration proceedings.
- B. CONTRACTOR's personnel that COUNTY considers essential to assist in defending against construction contractor claims will be made available on reasonable notice from COUNTY. Consultation or testimony will be reimbursed at the same rates, including travel costs that are being paid for CONTRACTOR's personnel services under this contract.
- C. Services of CONTRACTOR's personnel in connection with COUNTY's construction contractor claims will be performed pursuant to a written contract amendment, if necessary, extending the termination date of this contract in order to resolve the construction claims.
- D. Any subcontract in excess of \$25,000 entered into as a result of this contract, shall contain all of the provisions of this Article.

**Article XXVIII Confidentiality of Data**

- A. All financial, statistical, personal, technical, or other data and information relative to COUNTY's operations, which are designated confidential by COUNTY and made available to CONTRACTOR in order to carry out this contract, shall be protected by CONTRACTOR from unauthorized use and disclosure.
- B. Permission to disclose information on one occasion, or public hearing held by COUNTY relating to the contract, shall not authorize CONTRACTOR to further disclose such information, or disseminate the same on any other occasion.
- C. CONTRACTOR shall not comment publicly to the press or any other media regarding the contract or COUNTY's actions on the same, except to COUNTY's staff, CONTRACTOR's own personnel involved in the performance of this contract, at public hearings or in response to questions from a Legislative committee.



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- D. CONTRACTOR shall not issue any news release or public relations item of any nature, whatsoever, regarding work performed or to be performed under this contract without prior review of the contents thereof by COUNTY, and receipt of COUNTY'S written permission.
- E. Any subcontract entered into as a result of this contract shall contain all of the provisions of this Article.

For PS&E contracts, paragraph F below applies:

- F. All information related to the construction estimate is confidential, and shall not be disclosed by CONTRACTOR to any entity other than COUNTY, Caltrans, and/or FHWA. All of the materials prepared or assembled by CONTRACTOR pursuant to performance of this Contract are confidential and CONTRACTOR agrees that they shall not be made available to any individual or organization without the prior written approval of COUNTY or except by court order. If CONTRACTOR or any of its officers, employees, or subcontractors does voluntarily provide information in violation of this Contract, COUNTY has the right to reimbursement and indemnity from CONTRACTOR for any damages caused by CONTRACTOR releasing the information, including, but not limited to, COUNTY's attorney's fees and disbursements, including without limitation experts' fees and disbursements.

#### Article XXIX National Labor Relations Board Certification

In accordance with Public Contract Code Section 10296, CONTRACTOR hereby states under penalty of perjury that no more than one final unappealable finding of contempt of court by a federal court has been issued against CONTRACTOR within the immediately preceding two-year period, because of CONTRACTOR's failure to comply with an order of a federal court that orders CONTRACTOR to comply with an order of the National Labor Relations Board.

#### Article XXX Evaluation of Contractor

CONTRACTOR's performance will be evaluated by COUNTY. A copy of the evaluation will be sent to CONTRACTOR for comments. The evaluation together with the comments shall be retained as part of the contract record.

#### Article XXXI Retention of Funds

- A. Any subcontract entered into as a result of this Contract shall contain all of the provisions of this section.

The selected paragraph below (B, C, or D) applies to this contract:

- B.  No retainage will be withheld by the Agency from progress payments due the prime contractor. Retainage by the prime contractor or subcontractors is prohibited, and no retainage will be held by the prime contractor from progress due subcontractors. Any violation of this provision shall subject the violating prime contractor or subcontractors to the penalties, sanctions, and other remedies specified in Section 7108.5 of the California Business and Professions Code. This requirement shall not be construed to limit or impair any contractual, administrative, or judicial remedies, otherwise available to the prime contractor or subcontractor in the event of a dispute involving late payment or nonpayment by the prime

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contractor or deficient subcontractor performance, or noncompliance by a subcontractor. This provision applies to both DBE and non-DBE prime contractors and subcontractors.

- C.  No retainage will be held by the Agency from progress payments due the prime contractor. Any retainage held by the prime contractor or subcontractors from progress payments due subcontractors shall be promptly paid in full to subcontractors within thirty (30) calendar days after the subcontractor's work is satisfactorily completed. Federal law (49 CFR 26.29) requires that any delay or postponement of payment over the thirty (30) calendar days may take place only for good cause and with the Agency's prior written approval. Any violation of this provision shall subject the violating prime contractor or subcontractor to the penalties, sanctions and other remedies specified in Section 7108.5 of the Business and Professions Code. These requirements shall not be construed to limit or impair any contractual, administrative, or judicial remedies, otherwise available to the prime contractor or subcontractor in the event of a dispute involving late payment or nonpayment by the prime contractor, deficient subcontractor performance, or noncompliance by a subcontractor. This provision applies to both DBE and non-DBE prime contractor and subcontractors.
- D.  The Agency shall hold retainage from the prime contractor and shall make prompt and regular incremental acceptances of portions, as determined by the Agency, of the contract work, and pay retainage to the prime contractor based on these acceptances. The prime contractor, or subcontractor, shall return all monies withheld in retention from a subcontractor within thirty (30) calendar days after receiving payment for work satisfactorily completed and accepted including incremental acceptances of portions of the contract work by the agency. Federal law (49 CFR 26.29) requires that any delay or postponement of payment over thirty (30) calendar days may take place only for good cause and with the agency's prior written approval. Any violation of this provision shall subject the violating prime contractor or subcontractor to the penalties, sanctions and other remedies specified in Section 7108.5 of the Business and Professions Code. These requirements shall not be construed to limit or impair any contractual, administrative, or judicial remedies, otherwise available to the prime contractor or subcontractor in the event of a dispute involving late payment or nonpayment by the prime Contractor, deficient subcontractor performance, or noncompliance by a subcontractor. This provision applies to both DBE and non-DBE prime contractor and subcontractors.

#### Notice to Proposers DBE Information (Exhibit 10-I)

The following will be issued to the short list/pool of qualified firms at the time when the County will request for proposals for specific projects.

The Agency has established a DBE goal for this Contract of \_\_\_\_\_%

#### 1. TERMS AS USED IN THIS DOCUMENT

- The term "Disadvantaged Business Enterprise" or "DBE" means a for-profit small business concern owned and controlled by a socially and economically disadvantaged person(s) as defined in Title 49, Code of Federal Regulations (CFR), Part 26.5.
- The term "Agreement" also means "Contract."
- Agency also means the local entity entering into this contract with the Contractor or Consultant.

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- The term “Small Business” or “SB” is as defined in 49 CFR 26.65.

**2. AUTHORITY AND RESPONSIBILITY**

- A. DBEs and other small businesses are strongly encouraged to participate in the performance of Contracts financed in whole or in part with federal funds (See 49 CFR 26, “Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs”). The Contractor must ensure that DBEs and other small businesses have the opportunity to participate in the performance of the work that is the subject of this solicitation and should take all necessary and reasonable steps for this assurance. The proposer must not discriminate on the basis of race, color, national origin, or sex in the award and performance of subcontracts.
- B. Proposers are encouraged to use services offered by financial institutions owned and controlled by DBEs.

**3. SUBMISSION OF DBE INFORMATION**

If there is a DBE goal on the contract, Exhibit 10-O1 *Consultant Proposal DBE Commitment* must be included in the Request for Proposal. In order for a proposer to be considered responsible and responsive, the proposer must make good faith efforts to meet the goal established for the contract. If the goal is not met, the proposer must document adequate good faith efforts. All DBE participation will be counted towards the contract goal; therefore, all DBE participation shall be collected and reported.

Exhibit 10-O2 *Consultant Contract DBE Information* must be included with the Request for Proposal. Even if no DBE participation will be reported, the successful proposer must execute and return the form.

**4. DBE PARTICIPATION GENERAL INFORMATION**

It is the proposer’s responsibility to be fully informed regarding the requirements of 49 CFR, Part 26, and the Department’s DBE program developed pursuant to the regulations. Particular attention is directed to the following:

- A. A DBE must be a small business firm defined pursuant to 13 CFR 121 and be certified through the California Unified Certification Program (CUCP).
- B. A certified DBE may participate as a prime consultant, subconsultant, joint venture partner, as a vendor of material or supplies, or as a trucking company.
- C. A DBE proposer not proposing as a joint venture with a non-DBE, will be required to document one or a combination of the following:

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1. The proposer is a DBE and will meet the goal by performing work with its own forces.
  2. The proposer will meet the goal through work performed by DBE subconsultants, suppliers or trucking companies.
  3. The proposer, prior to proposing, made adequate good faith efforts to meet the goal.
- D. A DBE joint venture partner must be responsible for specific contract items of work or clearly defined portions thereof. Responsibility means actually performing, managing, and supervising the work with its own forces. The DBE joint venture partner must share in the capital contribution, control, management, risks and profits of the joint venture commensurate with its ownership interest.
- E. A DBE must perform a commercially useful function pursuant to 49 CFR 26.55, that is, a DBE firm must be responsible for the execution of a distinct element of the work and must carry out its responsibility by actually performing, managing and supervising the work.
- F. The proposer shall list only one subconsultant for each portion of work as defined in their proposal and all DBE subconsultants should be listed in the bid/cost proposal list of subconsultants.
- G. A prime consultant who is a certified DBE is eligible to claim all of the work in the Contract toward the DBE participation except that portion of the work to be performed by non-DBE subconsultants.

5. RESOURCES

- A. The CUCP database includes the certified DBEs from all certifying agencies participating in the CUCP. If you believe a firm is certified that cannot be located on the database, please contact the Caltrans Office of Certification toll free number 1-866-810-6346 for assistance.
- B. Access the CUCP database from the Department of Transportation, Office of Business and Economic Opportunity Web site at: <http://www.dot.ca.gov/hq/bep/>.
  1. Click on the link in the left menu titled Disadvantaged Business Enterprise;
  2. Click on Search for a DBE Firm link; and
  3. Click on Access to the DBE Query Form located on the first line in the center of the page.

Searches can be performed by one or more criteria. Follow instructions on the screen.

6. MATERIALS OR SUPPLIES PURCHASED FROM DBE'S COUNT TOWARDS THE DBE GOAL UNDER THE FOLLOWING CONDITIONS

- A. If the materials or supplies are obtained from a DBE manufacturer, count 100 percent of the cost of the materials or supplies. A DBE manufacturer is a firm that operates or maintains a factory, or establishment that produces on the premises the materials, supplies, articles, or equipment required under the Contract and of the general character described by the specifications.

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- B. If the materials or supplies purchased from a DBE regular dealer, count 60 percent of the cost of the materials or supplies. A DBE regular dealer is a firm that owns, operates or maintains a store, warehouse, or other establishment in which the materials, supplies, articles or equipment of the general character described by the specifications and required under the Contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. To be a DBE regular dealer, the firm must be an established, regular business that engages, as its principal business and under its own name, in the purchase and sale or lease of the products in question. A person may be a DBE regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone or asphalt without owning, operating or maintaining a place of business provided in this section.**
- C. If the person both owns and operates distribution equipment for the products, any supplementing of regular dealers' own distribution equipment shall be, by a long-term lease agreement and not an ad hoc or Agreement-by-Agreement basis. Packagers, brokers, manufacturers' representatives, or other persons who arrange or expedite transactions are not DBE regular dealers within the meaning of this section.**
- D. Materials or supplies purchased from a DBE, which is neither a manufacturer nor a regular dealer, will be limited to the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on the job site, provided the fees are reasonable and not excessive as compared with fees charged for similar services.**

**CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL FORMS**

The following forms can be view and downloaded in fillable format at:

<https://dot.ca.gov/programs/local-assistance/forms/local-assistance-procedures-manual-forms>

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**EXHIBIT 10-HI  
Cost Proposal**

**EXHIBIT 10-HI COST PROPOSAL Page 1 of 3**

**ACTUAL COST-PLUS-FIXED-FEE OR LUMP-SUM (FIRM FIXED PRICE) CONTRACTS  
(DESIGN, ENGINEERING AND ENVIRONMENTAL STUDIES)**

Contract No. \_\_\_\_\_  Prime Consultant  Subconsultant

Consultant \_\_\_\_\_

Project No. \_\_\_\_\_

Contract No. \_\_\_\_\_

Date \_\_\_\_\_

**DIRECT LABOR**

Classification/TITLE	Name	Hours	Actual Hourly Rate	Total
(Project Manager)			\$ _____	\$ _____
(Sr. Civil Engineer)			\$ _____	\$ _____
(Envt. Scientist)			\$ _____	\$ _____
(Inspector)		3	\$ _____	\$ _____

**LABOR COSTS**

a) Subtotal Direct Labor Costs \$ \_\_\_\_\_

b) Anticipated Salary Increases (see page 2 for calculation) \$ \_\_\_\_\_

**c) TOTAL DIRECT LABOR COSTS [(a) + (b)] \$ \_\_\_\_\_**

**INDIRECT COSTS**

d) Fringe Benefits (Rate: \_\_\_\_\_ %) e) Total Fringe Benefits [(c) x (d)] \$ \_\_\_\_\_

f) Overhead (Rate: \_\_\_\_\_ %) g) Overhead [(c) x (f)] \$ \_\_\_\_\_

h) General and Administrative (Rate: \_\_\_\_\_ %) i) Gen & Admin [(c) x (h)] \$ \_\_\_\_\_

**j) TOTAL INDIRECT COSTS [(e) + (g) + (i)] \$ \_\_\_\_\_**

**FIXED FEE**

**k) TOTAL FIXED FEE [(c) + (j) x fixed fee \_\_\_\_\_ %] \$ \_\_\_\_\_**

**l) CONSULTANT'S OTHER DIRECT COSTS (ODC) - ITEMIZE (Add additional pages if necessary)**

Description of Item	Quantity	Unit	Unit Cost	Total
Mileage Costs			\$ _____	\$ _____
Equipment Rental and Supplies			\$ _____	\$ _____
Permit Fees			\$ _____	\$ _____
Plan Sheets			\$ _____	\$ _____
Test			\$ _____	\$ _____

**m) TOTAL OTHER DIRECT COSTS \$ \_\_\_\_\_**

**n) SUBCONSULTANTS' COSTS (Add additional pages if necessary)**

Subconsultant 1: \$ \_\_\_\_\_

Subconsultant 2: \$ \_\_\_\_\_

Subconsultant 3: \$ \_\_\_\_\_

Subconsultant 4: \$ \_\_\_\_\_

**o) TOTAL SUBCONSULTANTS' COSTS \$ \_\_\_\_\_**

**p) TOTAL OTHER DIRECT COSTS INCLUDING SUBCONSULTANTS [(l)+(m)] \$ \_\_\_\_\_**

**TOTAL COST [(c) + (j) + (k) + (n)] \$ \_\_\_\_\_**

**NOTES:**

- All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
- The cost proposal format shall not be amended. Indirect cost rates should be based on consultant's annual accounting period and established by a cognizant agency or accepted by Caltrans.
- Anticipated salary increases calculation (page 2) must accompany.

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Cost Proposal**

**EXHIBIT 10-HI COST PROPOSAL Page 2 of 3**

**ACTUAL COST-PLUS-FIXED FEE OR LUMP SUM (FIRM FIXED PRICE) CONTRACTS**

(CALCULATIONS FOR ANTICIPATED SALARY INCREASES)

**1. Calculate Average Hourly Rate for 1st year of the contract (Direct Labor Subtotal divided by total hours)**

Direct Labor Subtotal per Cost Proposal	Total Hours per Cost Proposal	=	Avg Hourly Rate	5 Year Contract Duration
\$250,000.00	5,000		\$50.00	Year 1 Avg Hourly Rate

**2. Calculate hourly rate for all years (increase the Average Hourly Rate for a year by proposed escalation %)**

	Avg Hourly Rate		Proposed Escalation			
Year 1	\$50.00	+	2%	=	\$51.00	Year 2 Avg Hourly Rate
Year 2	\$51.00	+	2%	=	\$52.02	Year 3 Avg Hourly Rate
Year 3	\$52.02	+	2%	=	\$53.06	Year 4 Avg Hourly Rate
Year 4	\$53.06	+	2%	=	\$54.12	Year 5 Avg Hourly Rate

**3. Calculate estimated hours per year (Multiply estimate % each year by total hours)**

	Estimated % Completed Each Year		Total Hours per Cost Proposal		Total Hours per Year	
Year 1	30.0%	*	5000	=	1500	Estimated Hours Year 1
Year 2	40.0%	*	5000	=	2000	Estimated Hours Year 2
Year 3	15.0%	*	5000	=	750	Estimated Hours Year 3
Year 4	15.0%	*	5000	=	750	Estimated Hours Year 4
Year 5	10.0%	*	5000	=	500	Estimated Hours Year 5
Total	100%		Total	=	5000	

**4. Calculate Total Costs including Escalation (Multiply Average Hourly Rate by the number of hours)**

	Avg Hourly Rate (calculated above)		Estimated hours (calculated above)		Cost per Year	
Year 1	\$50.00	*	1000	=	\$50,000.00	Estimated Hours Year 1
Year 2	\$51.00	*	2000	=	\$102,000.00	Estimated Hours Year 2
Year 3	\$52.02	*	750	=	\$39,015.00	Estimated Hours Year 3
Year 4	\$53.06	*	750	=	\$39,795.30	Estimated Hours Year 4
Year 5	\$54.12	*	500	=	\$27,060.80	Estimated Hours Year 5
Total Direct Labor Cost with Escalation					=	\$257,871.10
Direct Labor Subtotal before Escalation					=	\$250,000.00
Estimated total of Direct Labor Salary Increase					=	\$7,871.10

Transfer to Page 1

**NOTES:**

- This is not the only way to estimate salary increases. Other methods will be accepted if they clearly indicate the % increase, the # of years of the contract, and a breakdown of the labor to be performed each year.
- An estimation that is based on direct labor multiplied by salary increase % multiplied by the # of years is not acceptable. (i.e. \$250,000 x 2% x 5 yrs = \$25,000 is not an acceptable methodology)
- This assumes that one year will be worked at the rate on the cost proposal before salary increases are granted.
- Calculations for anticipated salary escalation must be provided.

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EXHIBIT 10-H1  
Cost Proposal

EXHIBIT 10-H1 COST PROPOSAL Page 3 of 3

**Certification of Direct Costs:**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

1. Generally Accepted Accounting Principles (GAAP)
2. Terms and conditions of the contract
3. Title 23 United States Code Section 112 - Letting of Contracts
4. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
5. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Service
6. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement. Local governments are responsible for applying only cognizant agency approved or Caltrans accepted Indirect Cost Rate(s).

**Prime Consultant or Subconsultant Certifying:**

Name: \_\_\_\_\_ Title \*: \_\_\_\_\_  
 Signature : \_\_\_\_\_ Date of Certification (mm/dd/yyyy): \_\_\_\_  
 Email: \_\_\_\_\_ Phone Number: \_\_\_\_\_  
 Address: \_\_\_\_\_

\*An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:



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Cost Proposal**

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**SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
(NON- PREVAILING WAGE CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)**

Note: Minimums are not allowed.

Consultant \_\_\_\_\_  Prime Consultant     Subconsultant

Project No. \_\_\_\_\_ Contract No. \_\_\_\_\_ Participation Amount \$ \_\_\_\_\_ Date \_\_\_\_\_

For Combined Rate	Fringe Benefit % + General & Administrative %	=	Combined ICRC%
OR			
For Home Office Rate	Fringe Benefit % + General & Administrative %	=	Home Office ICRC%
For Field Office Rate	Fringe Benefit % + General & Administrative %	=	Field Office ICRC%
			Fee = %

**BILLING INFORMATION**

**CALCULATION INFORMATION**

Name/Job Title/Classification <sup>1</sup>	Hourly Billing Rates <sup>2</sup>			Effective Date of Hourly Rate		Actual or Avg. Hourly Rate <sup>3</sup>	% or \$ Increase	Hourly Range - or Classifications Only
	Straight <sup>4</sup>	OT(1.5x)	OT(2x)	From	To			
John Doe – Project Manager Civil Engineer II	\$0.00	\$0.00	\$0.00	01/01/2016	12/31/2016	\$0.00		Not Applicable
	\$0.00	\$0.00	\$0.00	01/01/2017	12/31/2017	\$0.00		
	\$0.00	\$0.00	\$0.00	01/01/2018	12/31/2018	\$0.00		
Sue Jones – Construction Engineer/Inspector Engineer I	\$0.00	\$0.00	\$0.00	01/01/2016	12/31/2016	\$0.00		Not Applicable
	\$0.00	\$0.00	\$0.00	01/01/2017	12/31/2017	\$0.00		
	\$0.00	\$0.00	\$0.00	01/01/2018	12/31/2018	\$0.00		
Buddy Black – Claims Engineer Engineer III	\$0.00	\$0.00	\$0.00	01/01/2016	12/31/2016	\$0.00		Not Applicable
	\$0.00	\$0.00	\$0.00	01/01/2017	12/31/2017	\$0.00		
	\$0.00	\$0.00	\$0.00	01/01/2018	12/31/2018	\$0.00		
Land Surveyor	\$0.00	\$0.00	\$0.00	01/01/2016	12/31/2016	\$0.00		
	\$0.00	\$0.00	\$0.00	01/01/2017	12/31/2017	\$0.00	0.0%	
	\$0.00	\$0.00	\$0.00	01/01/2018	12/31/2018	\$0.00	0.0%	
Technician	\$0.00	\$0.00	\$0.00	01/01/2016	12/31/2016	\$0.00		
	\$0.00	\$0.00	\$0.00	01/01/2017	12/31/2017	\$0.00	0.0%	
	\$0.00	\$0.00	\$0.00	01/01/2018	12/31/2018	\$0.00	0.0%	

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**EXHIBIT 10-H2  
Cost Proposal**

**NOTES:**

1. All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals.
2. The cost proposal format shall not be amended.
3. Billing rate = actual hourly rate \* (1+ ICR) \* (1+ Fee). Indirect cost rates should be based on the consultant's annual accounting period, established by a cognizant agency or accepted by Caltrans. All costs must comply with the Federal cost principles for reimbursement.
4. For named employees and key personnel enter the actual hourly rate. For classifications only, enter the Average Hourly Rate for that classification.

**EXHIBIT 10-H2 COST PROPOSAL Page 2 of 3**

**SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)**

Consultant \_\_\_\_\_  Prime Consultant  Subconsultant

Project No. \_\_\_\_\_ Contract No. \_\_\_\_\_ Date \_\_\_\_\_

<b>SCHEDULE OF OTHER DIRECT COST ITEMS (Add additional pages as necessary)</b>				
<b>Description of Item</b>	<b>Quantity</b>	<b>Unit</b>	<b>Unit Cost</b>	<b>Total</b>
Mileage Costs			\$	\$
Equipment Rental and Supplies			\$	\$
Permit Fees			\$	\$
Plan Sheets			\$	\$
Test			\$	\$
Vehicle			\$	\$
Subconsultant 1:				\$
Subconsultant 2:				\$
Subconsultant 3:				\$
Subconsultant 4:				\$
Subconsultant 5:				\$

Note: Add additional pages if necessary.

**NOTES:**

1. List other direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentation.
2. Proposed ODC items should be consistently billed regardless of client and contract type.
3. Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
4. Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
5. Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
6. Travel related costs should be pre-approved by the contracting agency and shall not exceed current State Department of Personnel Administration rates.

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EXHIBIT 10-B2  
Cost Proposal

7. If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
8. If a consultant proposes rental costs for a vehicle, the consultant must demonstrate that this is its standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.
9. The cost proposal format shall not be amended. All costs must comply with the Federal cost principles.
10. Add additional pages if necessary.
11. Subconsultants must provide their own cost proposals.

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EXHIBIT 10-H2  
Cost Proposal

**EXHIBIT 10-H2 COST PROPOSAL Page 3 of 3**

**Certification of Direct Costs:**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

- 7. Generally Accepted Accounting Principles (GAAP)
- 8. Terms and conditions of the contract
- 9. Title 23 United States Code Section 112 - Letting of Contracts
- 10. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
- 11. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Service
- 12. 48 Code of Federal Regulations Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

**Prime Consultant or Subconsultant Certifying:**

Name: \_\_\_\_\_ Title \*: \_\_\_\_\_  
 Signature : \_\_\_\_\_ Date of Certification (mm/dd/yyyy): \_\_\_\_  
 Email: \_\_\_\_\_ Phone Number: \_\_\_\_\_  
 Address: \_\_\_\_\_

\* An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

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**EXHIBIT 10-H3  
Cost Proposal**

**EXHIBIT 10-H3 COST PROPOSAL Page 1 of 2**

**COST PER UNIT OF WORK CONTRACTS  
(CHEMICAL AND MATERIAL TESTING)**

Max. Allowable: Not Allowed

Prime Consultant     Subconsultant

Consultant \_\_\_\_\_

Project No. \_\_\_\_\_ Contract No. \_\_\_\_\_ Date \_\_\_\_\_

**Unit/Item of Work:**

(Example: Log of Test Boring for Soils Report, or ADL Testing for Hazardous Waste Material Study)  
Include as many Items as necessary.

DIRECT LABOR	Hours	Billing Hourly Rate (\$)	Total (\$)
Professional (Classification)*	_____	_____	_____
Sub-professional/Technical**	_____	_____	_____
EQUIPMENT 1 (with Operator)	_____	_____	_____
EQUIPMENT 2 (with Operator)	_____	_____	_____

**Consultant's Other Direct Costs (ODC) – Itemize:**

Description of Item	Quantity	Unit	Unit Cost	Total
ODC Example: Travel/Mileage Costs			\$	\$
ODC Example: Mobilization/De-mobilization			\$	\$
ODC Example: Supplies/Consumables			\$	\$
ODC Example: Report			\$	\$
ODC (List more ODCs as applicable)			\$	\$
Subconsultant 1:				\$
Subconsultant 2:				\$
Subconsultant 3:				\$
Subconsultant 4:				\$
Subconsultant 5:				\$

Note: Attach additional page if necessary.

**TOTAL COST PER UNIT OF WORK** \$ \_\_\_\_\_

**NOTES:**

- All costs must comply with the Federal cost principles. Subconsultants will provide their own cost proposals. The cost proposal format shall not be amended.
- Hourly billing rates should be consistent with publicly advertised rates charged to all clients (Commercial, Private, or Public).
- Mobilization/De-mobilization is based on site location and number and frequency of tests/items.
- ODC items shall be based on actual costs and supported by historical data and other documentation.
- ODC items that would be considered "tools of the trade" are not reimbursable.
- Billing Hourly Rates must be actual, allowable, and reasonable.

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EXHIBIT 10-H3  
Cost Proposal

EXHIBIT 10-H3 COST PROPOSAL Page 2 of 2

**Certification of Direct Costs:**

I, the undersigned, certify to the best of my knowledge and belief that all direct costs identified on the cost proposal(s) in this contract are actual, reasonable, allowable, and allocable to the contract in accordance with the contract terms and the following requirements:

- 13. Generally Accepted Accounting Principles (GAAP)
- 14. Terms and conditions of the contract
- 15. Title 23 United States Code Section 112 - Letting of Contracts
- 16. 48 Code of Federal Regulations Part 31 - Contract Cost Principles and Procedures
- 17. 23 Code of Federal Regulations Part 172 - Procurement, Management, and Administration of Engineering and Design Related Service
- 18. 48 Code of Federal Regulation Part 9904 - Cost Accounting Standards Board (when applicable)

All costs must be applied consistently and fairly to all contracts. All documentation of compliance must be retained in the project files and be in compliance with applicable federal and state requirements. Costs that are noncompliant with the federal and state requirements are not eligible for reimbursement.

**Prime Consultant or Subconsultant Certifying:**

Name: \_\_\_\_\_ Title\*: \_\_\_\_\_

Signature : \_\_\_\_\_ Date of Certification (mm/dd/yyyy): \_\_\_\_\_

Email: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Address: \_\_\_\_\_

\* An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President or a Chief Financial Officer, or equivalent, who has authority to represent the financial information utilized to establish the cost proposal for the contract.

List services the consultant is providing under the proposed contract:

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Exhibit 10-K

Consultant Annual Certification of Indirect Costs and Financial Management System

EXHIBIT 10-K CONSULTANT ANNUAL CERTIFICATION OF  
INDIRECT COSTS AND FINANCIAL MANAGEMENT SYSTEM

(Note: If a Safe Harbor Indirect Cost Rate is approved, this form is not required.)

Consultant's Full Legal Name: \_\_\_\_\_

Important: Consultant means the individual or consultant providing engineering and design related services as a party of a contract with a recipient or sub-recipient of Federal assistance. Therefore, the Indirect Cost Rate(s) shall not be combined with its parent company or subsidiaries.

**Indirect Cost Rate:**

Combined Rate \_\_\_\_\_ % OR

Home Office Rate \_\_\_\_\_ % and Field Office Rate (if applicable) \_\_\_\_\_ %

Facilities Capital Cost of Money \_\_\_\_\_ % (if applicable)

Fiscal period \* \_\_\_\_\_

\* Fiscal period is annual one year applicable accounting period that the Indirect Cost Rate was developed (not the contract period). The Indirect Cost Rate is based on the consultant's one-year applicable accounting period for which financial statements are regularly prepared by the consultant.

I have reviewed the proposal to establish an Indirect Cost Rate(s) for the fiscal period as specified above and have determined to the best of my knowledge and belief that:

- All costs included in the cost proposal to establish the indirect cost rate(s) are allowable in accordance with the cost principles of the Federal Acquisition Regulation (FAR) 48, Code of Federal Regulations (CFR), Chapter 1, Part 31 (48 CFR Part 31);
- The cost proposal does not include any costs which are expressly unallowable under the cost principles of 48 CFR Part 31;
- The accounting treatment and billing of prevailing wage delta costs are consistent with our prevailing wage policy as either direct labor, indirect costs, or other direct costs on all federally-funded A&E Consultant Contracts;
- All known material transactions or events that have occurred subsequent to year-end affecting the consultant's ownership, organization, and indirect cost rates have been disclosed as of the date of this certification.

I am providing the required and applicable documents as instructed on Exhibit 10-A.

**Financial Management System:**

Our labor charging, job costing, and accounting systems meet the standards for financial reporting, accounting records, and internal control adequate to demonstrate that costs claimed have been incurred, appropriately accounted for, are allocable to the contract, and comply with the federal requirements as set forth in Title 23 United States Code (U.S.C.) Section 112(b)(2); 48 CFR Part 31.201-2(d); 23 CFR, Chapter 1, Part 172.11(a)(2); and all applicable state and federal rules and regulations.

Our financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts;
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into separate cost

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Exhibit 10-K

Consultant Annual Certification of Indirect Costs and Financial Management System

accounts;

- Ability to accumulate and segregate allowable direct costs by project, contract and type of cost;
- Internal controls to maintain integrity of financial management system;
- Ability to account and record costs consistently and to ensure costs billed are in compliance with FAR;
- Ability to ensure and demonstrate costs billed reconcile to general ledgers and job costing system; and
- Ability to ensure costs are in compliance with contract terms and federal and state requirement

Cost Reimbursements on Contracts:

I also understand that failure to comply with 48 CFR Part 16.301-3 or knowingly charge unallowable costs to Federal-Aid Highway Program (FAHP) contracts may result in possible penalties and sanctions as provided by the following:

- Sanctions and Penalties - 23 CFR Part 172.11(c)(4)
- False Claims Act - Title 31 U.S.C. Sections 3729-3733
- Statements or entries generally - Title 18 U.S.C. Section 1001
- Major Fraud Act - Title 18 U.S.C. Section 1031

All A&E Contract Information:

- Total participation amount \$ \_\_\_\_\_ on all State and FAHP contracts for Architectural & Engineering services that the consultant received in the last three fiscal periods.
- The number of states in which the consultant does business is \_\_\_\_\_.
- Years of consultant's experience with 48 CFR Part 31 is \_\_\_\_\_.
- Audit history of the consultant's current and prior years (if applicable)
  - Cognizant ICR Audit                       Local Gov't ICR Audit                       Caltrans ICR Audit
  - CPA ICR Audit                                       Federal Gov't ICR Audit

I, the undersigned, certify all of the above to the best of my knowledge and belief and that I have reviewed the Indirect Cost Rate Schedule to determine that any costs which are expressly unallowable under the Federal cost principles have been removed and comply with Title 23 U.S.C. Section 112(b)(2), 48 CFR Part 31, 23 CFR Part 172, and all applicable state and federal rules and regulations. I also certify that I understand that all documentation of compliance must be retained by the consultant. I hereby acknowledge that costs that are noncompliant with the federal and state requirements are not eligible for reimbursement and must be returned to Caltrans.

Name\*\* \_\_\_\_\_ Title\*\* \_\_\_\_\_  
 Signature: \_\_\_\_\_ Date of Certification (mm/dd/yyyy): \_\_\_\_\_  
 Email\*\* \_\_\_\_\_ Phone Number\*\* \_\_\_\_\_

\*\*An individual executive or financial officer of the consultant's or subconsultant's organization at a level no lower than a Vice President, a Chief Financial Officer, or equivalent, who has authority to represent the financial information used to establish the indirect cost rate.

**Note: Both prime and subconsultants as parties of a contract must complete their own Exhibit 10-K forms. Caltrans will not process local agency's invoices until a complete Exhibit 10-K form is accepted and approved by Caltrans Audits and Investigations.**

Distribution: 1) Original - Local Agency Project File  
2) Copy - Consultant  
3) Copy - Caltrans Audits and Investigations



**EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

**Local Assistance Procedures Manual**

**Exhibit 10-01  
Consultant Proposal DBE Commitment**



**EXHIBIT 10-01 CONSULTANT PROPOSAL DBE COMMITMENT**

1. Local Agency: \_\_\_\_\_ 2. Contract DBE Goal: \_\_\_\_\_  
 3. Project Description: \_\_\_\_\_  
 4. Project Location: \_\_\_\_\_  
 5. Consultant's Name: \_\_\_\_\_ 6. Prime Certified DBE:

7. Description of Work, Service, or Materials Supplied	8. DBE Certification Number	9. DBE Contact Information	10. DBE %
<p align="center"><b>Local Agency to Complete this Section</b></p> <p>17. Local Agency Contract Number: _____                      18. Federal-Aid Project Number: _____                      19. Proposed Contract Execution Date: _____                      20. Consultant's Ranking after Evaluation: _____</p> <p>Local Agency certifies that all DBE certifications are valid and information on this form is complete and accurate.</p> <p>_____</p> <p>_____</p> <p>_____</p>		<p align="center"><b>11. TOTAL CLAIMED DBE PARTICIPATION</b></p> <p align="right">%</p>	<p align="center">%</p>
<p>_____</p> <p>_____</p> <p>_____</p>		<p><b>IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Written confirmation of each listed DBE is required.</b></p> <p>12. Preparer's Signature _____ 13. Date _____                      14. Preparer's Name _____ 15. Phone _____                      16. Preparer's Title _____</p>	

**DISTRIBUTION:** Original – Included with consultant's proposal to local agency.

**ADA Notice:** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 664-6413 or TDD (916) 664-3880 or the Records and Forms Management, 1120 N Street, MS-95, Sacramento, CA 95814.

**EXHIBIT B – FEDERAL PROVISIONS  
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Local Assistance Procedures Manual

Exhibit 18-01  
Consultant Proposal DBE Commitment

**INSTRUCTIONS – CONSULTANT PROPOSAL DBE COMMITMENT**

**CONSULTANT SECTION**

1. **Local Agency** - Enter the name of the local or regional agency that is funding the contract.
2. **Contract DBE Goal** - Enter the contract DBE goal percentage as it appears on the project advertisement.
3. **Project Location** - Enter the project location as it appears on the project advertisement.
4. **Project Description** - Enter the project description as it appears on the project advertisement (Bridge Rehab, Seismic Rehab, Overlay, Widening, etc.).
5. **Consultant's Name** - Enter the consultant's firm name.
6. **Prime Certified DBE** - Check box if prime contractor is a certified DBE.
7. **Description of Work, Services, or Materials Supplied** - Enter description of work, services, or materials to be provided. Indicate all work to be performed by DBEs including work performed by the prime consultant's own forces, if the prime is a DBE. If 100% of the item is not to be performed or furnished by the DBE, describe the exact portion to be performed or furnished by the DBE. See LAPM Chapter 9 to determine how to count the participation of DBE firms.
8. **DBE Certification Number** - Enter the DBE's Certification Identification Number. All DBEs must be certified on the date bids are opened.
9. **DBE Contact Information** - Enter the name, address, and phone number of all DBE subcontracted consultants. Also, enter the prime consultant's name and phone number, if the prime is a DBE.
10. **DBE %** - Percent participation of work to be performed or service provided by a DBE. Include the prime consultant if the prime is a DBE. See LAPM Chapter 9 for how to count full/partial participation.
11. **Total Claimed DBE Participation %** - Enter the total DBE participation claimed. If the total % claimed is less than item "Contract DBE Goal," an adequately documented Good Faith Effort (GFE) is required (see Exhibit 15-H DBE Information - Good Faith Efforts of the LAPM).
12. **Preparer's Signature** - The person completing the DBE commitment form on behalf of the consultant's firm must sign their name.
13. **Date** - Enter the date the DBE commitment form is signed by the consultant's preparer.
14. **Preparer's Name** - Enter the name of the person preparing and signing the consultant's DBE commitment form.
15. **Phone** - Enter the area code and phone number of the person signing the consultant's DBE commitment form.
16. **Preparer's Title** - Enter the position/title of the person signing the consultant's DBE commitment form.

**LOCAL AGENCY SECTION**

17. **Local Agency Contract Number** - Enter the Local Agency contract number or identifier.
18. **Federal-Aid Project Number** - Enter the Federal-Aid Project Number.
19. **Proposed Contract Execution Date** - Enter the proposed contract execution date.
20. **Consultant's Ranking after Evaluation** - Enter consultant's ranking after all submittals/consultants are evaluated. Use this as a quick comparison for evaluating most qualified consultant.
21. **Local Agency Representative's Signature** - The person completing this section of the form for the Local Agency must sign their name to certify that the information in this and the Consultant Section of this form is complete and accurate.
22. **Date** - Enter the date the DBE commitment form is signed by the Local Agency Representative.
23. **Local Agency Representative's Name** - Enter the name of the Local Agency Representative certifying the consultant's DBE commitment form.
24. **Phone** - Enter the area code and phone number of the person signing the consultant's DBE commitment form.
25. **Local Agency Representative Title** - Enter the position/title of the Local Agency Representative certifying the consultant's DBE commitment form.

LPP 18-01

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**EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

**Local Assistance Procedures Manual**

**Exhibit 10-02  
Consultant Contract DBE Commitment**

**EXHIBIT 10-02 CONSULTANT CONTRACT DBE COMMITMENT**

1. Local Agency: \_\_\_\_\_ 2. Contract DBE Goal: \_\_\_\_\_  
 3. Project Description: \_\_\_\_\_  
 4. Project Location: \_\_\_\_\_  
 5. Consultant's Name: \_\_\_\_\_ 6. Prime Certified DBE:  7. Total Contract Award Amount: \_\_\_\_\_  
 8. Total Dollar Amount for ALL Subconsultants: \_\_\_\_\_ 9. Total Number of ALL Subconsultants: \_\_\_\_\_

10. Description of Work, Service, or Materials Supplied	11. DBE Certification Number	12. DBE Contact Information	13. DBE Dollar Amount
<b>Local Agency to Complete this Section</b>			
20. Local Agency Contract Number: _____		<b>14. TOTAL CLAIMED DBE PARTICIPATION</b>	\$
21. Federal-Aid Project Number: _____			%
22. Contract Execution Date: _____		<b>IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Written confirmation of each listed DBE is required.</b>  _____ 15. Preparer's Signature      _____ 16. Date _____ 17. Preparer's Name      _____ 18. Phone _____ 19. Preparer's Title	
Local Agency certifies that all DBE certifications are valid and information on this form is complete and accurate.			
23. Local Agency Representative's Signature	24. Date		
25. Local Agency Representative's Name	26. Phone		
27. Local Agency Representative's Title			

**DISTRIBUTION:** 1. Original – Local Agency  
 2. Copy – Caltrans District Local Assistance Engineer (DLAE). Failure to submit to DLAE within 30 days of contract execution may result in de-obligation of federal funds on contract.

**ADA Notice:** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6416 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL**

Local Assistance Procedures Manual

Exhibit 10-02  
Consultant Contract DBE Commitment

**INSTRUCTIONS – CONSULTANT CONTRACT DBE COMMITMENT**

**CONSULTANT SECTION**

1. **Local Agency** - Enter the name of the local or regional agency that is funding the contract.
2. **Contract DBE Goal** - Enter the contract DBE goal percentage as it appears on the project advertisement.
3. **Project Description** - Enter the project description as it appears on the project advertisement (Bridge Rehab, Seismic Rehab, Overlay, Widening, etc).
4. **Project Location** - Enter the project location as it appears on the project advertisement.
5. **Consultant's Name** - Enter the consultant's firm name.
6. **Prime Certified DBE** - Check box if prime contractor is a certified DBE.
7. **Total Contract Award Amount** - Enter the total contract award dollar amount for the prime consultant.
8. **Total Dollar Amount for ALL Subconsultants** - Enter the total dollar amount for all subcontracted consultants. SUM = (DBEs + all Non-DBEs). Do not include the prime consultant information in this count.
9. **Total number of ALL subconsultants** - Enter the total number of all subcontracted consultants. SUM = (DBEs + all Non-DBEs). Do not include the prime consultant information in this count.
10. **Description of Work, Services, or Materials Supplied** - Enter description of work, services, or materials to be provided. Indicate all work to be performed by DBEs including work performed by the prime consultant's own forces, if the prime is a DBE. If 100% of the item is not to be performed or furnished by the DBE, describe the exact portion to be performed or furnished by the DBE. See LAPM Chapter 9 to determine how to count the participation of DBE firms.
11. **DBE Certification Number** - Enter the DBE's Certification Identification Number. All DBEs must be certified on the date bids are opened.
12. **DBE Contact Information** - Enter the name, address, and phone number of all DBE subcontracted consultants. Also, enter the prime consultant's name and phone number, if the prime is a DBE.
13. **DBE Dollar Amount** - Enter the subcontracted dollar amount of the work to be performed or service to be provided. Include the prime consultant if the prime is a DBE. See LAPM Chapter 9 for how to count full/partial participation.
14. **Total Claimed DBE Participation - \$:** Enter the total dollar amounts entered in the "DBE Dollar Amount" column.  
**%:** Enter the total DBE participation claimed ("Total Participation Dollars Claimed" divided by item "Total Contract Award Amount"). If the total % claimed is less than item "Contract DBE Goal," an adequately documented Good Faith Effort (GFE) is required (see Exhibit 15-H DBE Information - Good Faith Efforts of the LAPM).
15. **Preparer's Signature** - The person completing the DBE commitment form on behalf of the consultant's firm must sign their name.
16. **Date** - Enter the date the DBE commitment form is signed by the consultant's preparer.
17. **Preparer's Name** - Enter the name of the person preparing and signing the consultant's DBE commitment form.
18. **Phone** - Enter the area code and phone number of the person signing the consultant's DBE commitment form.
19. **Preparer's Title** - Enter the position/title of the person signing the consultant's DBE commitment form.

**LOCAL AGENCY SECTION**

20. **Local Agency Contract Number** - Enter the Local Agency contract number or identifier.
21. **Federal-Aid Project Number** - Enter the Federal-Aid Project Number.
22. **Contract Execution Date** - Enter the date the contract was executed.
23. **Local Agency Representative's Signature** - The person completing this section of the form for the Local Agency must sign their name to certify that the information in this and the Consultant Section of this form is complete and accurate.
24. **Date** - Enter the date the DBE commitment form is signed by the Local Agency Representative.
25. **Local Agency Representative's Name** - Enter the name of the Local Agency Representative certifying the consultant's DBE commitment form.
26. **Phone** - Enter the area code and phone number of the person signing the consultant's DBE commitment form.
27. **Local Agency Representative Title** - Enter the position/title of the Local Agency Representative certifying the consultant's DBE commitment form.

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EXHIBIT 10-Q  
Disclosure of Lobbying Activities

EXHIBIT 10-Q DISCLOSURE OF LOBBYING ACTIVITIES

COMPLETE THIS FORM TO DISCLOSE LOBBYING ACTIVITIES PURSUANT TO 31 U.S.C. 1352

<b>1. Type of Federal Action:</b>		<b>2. Status of Federal Action:</b>		<b>3. Report Type:</b>	
<input type="checkbox"/> a. contract	<input type="checkbox"/> b. grant	<input type="checkbox"/> c. cooperative agreement	<input type="checkbox"/> d. loan	<input type="checkbox"/> e. loan guarantee	<input type="checkbox"/> f. loan insurance
<input type="checkbox"/> a. bid/offer/application	<input type="checkbox"/> b. initial award	<input type="checkbox"/> c. post-award		<input type="checkbox"/> a. initial	<input type="checkbox"/> b. material change
			For Material Change Only: year _____ quarter _____ date of last report _____		
<b>4. Name and Address of Reporting Entity</b>			<b>5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:</b>		
<input type="checkbox"/> Prime	<input type="checkbox"/> Subawardee	Tier _____, if known		Congressional District, if known	
Congressional District, if known			Congressional District, if known		
<b>6. Federal Department/Agency:</b>			<b>7. Federal Program Name/Description:</b>		
			CFDA Number, if applicable _____		
<b>8. Federal Action Number, if known:</b>			<b>9. Award Amount, if known:</b>		
<b>10. Name and Address of Lobby Entity (If individual, last name, first name, MI)</b>			<b>11. Individuals Performing Services (Including address if different from No. 10) (last name, first name, MI)</b>		
(attach Continuation Sheet(s) if necessary)					
<b>12. Amount of Payment (check all that apply)</b>			<b>14. Type of Payment (check all that apply)</b>		
\$ _____ <input type="checkbox"/> actual <input type="checkbox"/> planned			<input type="checkbox"/> a. retainer		
			<input type="checkbox"/> b. one-time fee		
<b>13. Form of Payment (check all that apply):</b>			<input type="checkbox"/> c. commission		
<input type="checkbox"/> a. cash			<input type="checkbox"/> d. contingent fee		
<input type="checkbox"/> b. in-kind; specify: nature _____			<input type="checkbox"/> e. deferred		
Value _____			<input type="checkbox"/> f. other, specify _____		
<b>15. Brief Description of Services Performed or to be performed and Date(s) of Service, including officer(s), employee(s), or member(s) contacted, for Payment Indicated in Item 12:</b>					
(attach Continuation Sheet(s) if necessary)					
<b>16. Continuation Sheet(s) attached: Yes <input type="checkbox"/> No <input type="checkbox"/></b>					
<b>17. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying influence was placed by the tier above when his transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to Congress automatically and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</b>			Signature: _____		
			Print Name: _____		
			Title: _____		
			Telephone No.: _____ Date: _____		
<b>Federal Use Only:</b>			Authorized for Local Reproduction Standard Form - LLL		

Standard Form LLL Rev. 04-28-06

Distribution: Orig- Local Agency Project Files

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Local Assistance Procedures Manual

**EXHIBIT 10-Q  
Disclosure of Lobbying Activities**

**INSTRUCTIONS FOR COMPLETING EXHIBIT 10-Q DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime federal recipient at the institution or receipt of covered federal action or a material change to previous filing pursuant to title 31 U.S.C. Section 1352. The filing of a form is required for such payment or agreement to make payment to lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with a covered federal action. Attach a continuation sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered federal action for which lobbying activity is or has been secured to influence the outcome of a covered federal action.
2. Identify the status of the covered federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered federal action.
4. Enter the full name, address, city, state, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is or expects to be a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the first tier. Subawards include but are not limited to subcontracts, subgrants, and contract awards under grants.
5. If the organization filing the report in Item 4 checks "Subawardee" then enter the full name, address, city, state, and zip code of the prime federal recipient. Include Congressional District, if known.
6. Enter the name of the federal agency making the award or loan commitment. Include at least one organization level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the federal program name or description for the covered federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate federal identifying number available for the federal action identification in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract grant or loan award number, the application/proposal control number assigned by the federal agency). Include prefixes, e.g., "RFP-DE-90-001".
9. For a covered federal action where there has been an award or loan commitment by the Federal agency, enter the federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. Enter the full name, address, city, state, and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered federal action.
11. Enter the full names of the individual(s) performing services and include full address if different from 10 (a). Enter Last Name, First Name and Middle Initial (MI).
12. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
13. Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
14. Check all boxes that apply. If other, specify nature.
15. Provide a specific and detailed description of the services that the lobbyist has performed or will be expected to perform and the date(s) of any services rendered. Include all preparatory and related activity not just time spent in actual contact with federal officials. Identify the federal officer(s) or employee(s) contacted or the officer(s) employee(s) or Member(s) of Congress that were contacted.
16. Check whether or not a continuation sheet(s) is attached.
17. The certifying official shall sign and date the form, and print his/her name title and telephone number.

Public reporting burden for this collection of information is estimated to average 30-minutes per response, including time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503. SF-111-Instructions Rev. 06-04

LFP 13-01

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EXHIBIT B – FEDERAL PROVISIONS  
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Local Assistance Procedures Manual

Exhibit 15-H  
Proposer/Contractor Good Faith Effort

**EXHIBIT 15-H: PROPOSER/CONTRACTOR GOOD FAITH EFFORTS**

Federal-aid Project No(s) \_\_\_\_\_ Bid Opening Date \_\_\_\_\_

The \_\_\_\_\_ established a Disadvantaged Business Enterprise (DBE) goal of 0.00% for this contract. The information provided herein shows the required good faith efforts to meet or exceed the DBE contract goal.

Proposers or bidders submit the following information to document their good faith efforts within five (5) business days from bid opening. Proposers and bidders are recommended to submit the following information even if the Exhibit 1C-01: Consultant Proposal DBE Commitments or Exhibit 15-G: Construction Contract DBE Commitment indicate that the proposer or bidder has met the DBE goal. This form protects the proposer's or bidder's eligibility for award of the contract if the administering agency determines that the bidder failed to meet the goal for various reasons, e.g., a DBE firm was not certified at bid opening, or the bidder made a mathematical error.

The following items are listed in the Section entitled "Submission of DBE Commitment" of the Special Provisions, please attach additional sheets as needed:

- A. The names and dates of each publication in which a request for DBE participation for this project was placed by the bidder (please attach copies of advertisements or proofs of publication):

Publications	Dates of Advertisement

- B. The names and dates of written notices sent to certified DBEs soliciting bids for this project and the dates and methods used for following up initial solicitations to determine with certainty whether the DBEs were interested (please attach copies of solicitations, telephone records, fax confirmations, etc.):

Names of DBEs Solicited	Date of Initial Solicitation	Follow Up Methods and Dates

EXHIBIT B - FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL

Exhibit 15-H  
Proposer/Contractor Good Faith Effort

Local Assistance Procedures Manual

C. The items of work made available to DBE firms including those unbundled contract work items into economically feasible units to facilitate DBE participation. It is the bidder's responsibility to demonstrate that sufficient work to facilitate DBE participation in order to met or exceed the DBE contract goal.

Items of Work	Bidder Normally Performs Item (Y/N)	Breakdown of Items	Amount (\$)	Percentage Of Contract
				0.00%
				0.00%
				0.00%

D. The names, addresses and phone numbers of rejected DBE firms, the reasons for the bidder's rejection of the DBEs, the firms selected for that work (please attach copies of quotes from the firms involved), and the price difference for each DBE if the selected firm is not a DBE:

Names, addresses and phone numbers of rejected DBEs and the reasons for the bidder's rejection of the DBEs:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Names, addresses and phone numbers of firms selected for the work above:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

E. Efforts (e.g. in advertisements and solicitations) made to assist interested DBEs in obtaining information related to the plans, specifications and requirements for the work which was provided to DBEs:



EXHIBIT B – FEDERAL PROVISIONS  
CALTRANS LOCAL ASSISTANCE PROCEDURES MANUAL

Local Assistance Procedures Manual

Exhibit 15-H  
Proposer/Contractor Good Faith Effort

F. Efforts (e.g. in advertisements and solicitations) made to assist interested DBEs in obtaining bonding, lines of credit or insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate:

G. The names of agencies, organizations or groups contacted to provide assistance in contacting, recruiting and using DBE firms (please attach copies of requests to agencies and any responses received, i.e., lists, Internet page download, etc.):

Name of Agency/Organization	Method/Date of Contact	Results

H. Any additional data to support a demonstration of good faith efforts:

**EXHIBIT C – REVISION TO PARAGRAPH 8, INDEMNIFICATION, OF AGREEMENT**

**8. INDEMNIFICATION.**

8.01 For purposes of the following indemnification provisions (“Indemnification Agreement”), “design professional” has the same meaning as set forth in California Civil Code section 2782.8. If any term, provision or application of this Indemnification Agreement is found to be invalid, in violation of public policy or unenforceable to any extent, such finding shall not invalidate any other term or provision of this Indemnification Agreement and such other terms and provisions shall continue in full force and effect. If there is any conflict between the terms, provisions or application of this Indemnification Agreement and the provisions of California Civil Code Sections 2782 or 2782.8, the broadest indemnity protection for COUNTY under this Indemnity Agreement that is permitted by law shall be provided by CONTRACTOR.

8.02 Indemnification for Design Professional Services Claims:

CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claims that arise out of, or pertain to, or relate to the negligence, recklessness, or willful misconduct of CONTRACTOR, its employees, subcontractors, and agents in the performance of design professional services under this Agreement, excepting only liability arising from the sole negligence, active negligence or willful misconduct of COUNTY, or defect in a design furnished by COUNTY, but in no event shall the amount of such CONTRACTOR’s liability exceed such CONTRACTOR’s proportionate percentage of fault as determined by a court, arbitrator or mediator, or as set out in a settlement agreement. In the event one (1) or more defendants to any action involving such claim or claims against COUNTY is unable to pay its share of defense costs due to bankruptcy or dissolution of the business, such CONTRACTOR shall meet and confer with the other parties to such action regarding unpaid defense costs.

8.03 Indemnification for All Other Claims or Loss:

For any claim, loss, injury, damage, expense or liability other than claims arising out of CONTRACTOR’s performance of design professional services under this Agreement, CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claim for loss, injury, damage, expense or liability resulting from or alleging injury to or death of any person or loss of use of or damage to property, arising from or related to the performance of services under this Agreement by CONTRACTOR, its employees, subcontractors or agents, excepting only liability arising from the sole negligence, active negligence or willful misconduct of COUNTY, or defect in a design furnished by COUNTY.

**EXHIBIT D – INCORPORATION OF RFQ #10783, ADDENDUM NO. 1 TO RFQ #10783  
AND STATEMENT OF QUALIFICATIONS DOCUMENTS**

The County invited submittals to Request for Qualifications (RFQ) through RFQ #10783, to provide bridge design services on an on-call basis for various bridge projects located in Monterey County, California. Moffatt & Nichol, a California Corporation submitted a responsive and responsible Statement of Qualifications (SOQ) to perform the services listed in RFQ #10783. County selected Moffatt & Nichol, a California Corporation to provide these professional bridge design services on an on-call basis.

RFQ #10783, including Addendum No. 1 to RFQ #10783, and the SOQ submitted by Moffatt & Nichol, a California Corporation are hereby incorporated into this Agreement by this reference.



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
11/24/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> Dealey, Renton & Associates License #0020739 800 Anton Boulevard, Suite 100 Costa Mesa CA 92626	<b>CONTACT NAME:</b> PHONE (A/G. No. Ext): 714-427-6810      FAX (A/G. No): 714-427-6818 E-MAIL ADDRESS: certificates@dealeyrenton.com	
	<b>INSURER(S) AFFORDING COVERAGE</b>	
<b>INSURED</b> Moffatt & Nichol 4225 E. Conant Long Beach CA 90808	<b>INSURER A:</b> Continental Insurance Company	NAIC # 35289
	<b>INSURER B:</b> Transportation Insurance Company	20494
	<b>INSURER C:</b> National Union Fire Insurance Co PA	19445
	<b>INSURER D:</b> Ace American Insurance Company	
	<b>INSURER E:</b> American Guarantee and Liability Insurance Co	26247
	<b>INSURER F:</b>	

**COVERAGES**      **CERTIFICATE NUMBER: 1026772543**      **REVISION NUMBER:**

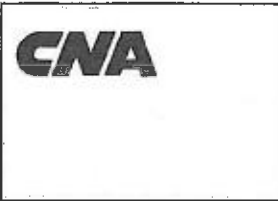
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> BFPD XCU <input checked="" type="checkbox"/> Ded/SIR \$0 GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:	Y	6056712992	11/22/2020	11/22/2021	EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$1,000,000 MED EXP (Any one person) \$15,000 PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$2,000,000 PRODUCTS - COMP/OP AGG \$2,000,000 Project / Loc Agg \$15M
B	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input checked="" type="checkbox"/> OWNED AUTOS ONLY <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY	Y	6056712889	11/22/2020	11/22/2021	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ Comp / Coll Ded \$1,000
A E	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED      RETENTION \$		6079627982 AEC576168007	11/22/2020 11/22/2020	11/22/2021 11/22/2021	EACH OCCURRENCE \$10,000,000 AGGREGATE \$10,000,000 Deductible / SIR \$10,000
C C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory In NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N/A	WC19397907 WC19397906	11/22/2020 11/22/2020	11/22/2021 11/22/2021	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000
D	Professional Liability Claims Made		G25660225007	11/22/2020	11/22/2021	per claim annl aggr. \$2,000,000 \$4,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
 Umbrella policy is a follow-form to underlying General Liability/Auto Liability/Employers Liability  
 \*\*Excess Liability Limit: \$15,000,000. Deductible: \$0.  
 Re: Bridge Design Services on "on call" basis for Bridge Projects over \$100,000 located in Monterey County, CA. RFQ 10490  
 County of Monterey, its agents, officers and employees are named as additional insured as respects general & auto liability as required per written contract or agreement. General Liability is Primary/Non-Contributory per policy form wording. Auto Liability is Primary per policy form wording. Insurance coverage includes waiver of subrogation per the attached endorsement(s).

**CERTIFICATE HOLDER**      **CANCELLATION 30 Day Notice of Cancellation**

County of Monterey Resource Management Agency-Public Works 168 W. Alisal St. FL2 Salinas CA 93901	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE 
--	---



**CNA PARAMOUNT**  
**Blanket Additional Insured - Owners, Lessees or Contractors - with Products-Completed Operations Coverage Endorsement**

This endorsement modifies insurance provided under the following:

**COMMERCIAL GENERAL LIABILITY COVERAGE PART**

It is understood and agreed as follows:

**I. WHO IS AN INSURED** is amended to include as an Insured any person or organization whom you are required by written contract to add as an additional insured on this coverage part, but only with respect to liability for bodily injury, property damage or personal and advertising injury caused in whole or in part by your acts or omissions, or the acts or omissions of those acting on your behalf:

- A. in the performance of your ongoing operations subject to such written contract; or
- B. in the performance of your work subject to such written contract, but only with respect to bodily injury or property damage included in the products-completed operations hazard, and only if:
  - 1. the written contract requires you to provide the additional insured such coverage; and
  - 2. this coverage part provides such coverage.

**II. But if the written contract requires:**

- A. additional insured coverage under the 11-85 edition, 10-93 edition, or 10-01 edition of CG2010, or under the 10-01 edition of CG2037, or
- B. additional insured coverage with "arising out of" language; or
- C. additional insured coverage to the greatest extent permissible by law;

then paragraph I. above is deleted in its entirety and replaced by the following:

**WHO IS AN INSURED** is amended to include as an Insured any person or organization whom you are required by written contract to add as an additional insured on this coverage part, but only with respect to liability for bodily injury, property damage or personal and advertising injury arising out of your work that is subject to such written contract.

**III. Subject always to the terms and conditions of this policy, including the limits of insurance, the Insurer will not provide such additional insured with:**

- A. coverage broader than required by the written contract; or
- B. a higher limit of insurance than required by the written contract.

**IV. The insurance granted by this endorsement to the additional insured does not apply to bodily injury, property damage, or personal and advertising injury arising out of:**

- A. the rendering of, or the failure to render, any professional architectural, engineering, or surveying services, including:
  - 1. the preparing, approving, or failing to prepare or approve maps, shop drawings, opinions, reports, surveys, field orders, change orders or drawings and specifications; and
  - 2. supervisory, inspection, architectural or engineering activities; or
- B. any premises or work for which the additional insured is specifically listed as an additional insured on another endorsement attached to this coverage part.

**V. Under COMMERCIAL GENERAL LIABILITY CONDITIONS, the Condition entitled Other Insurance is amended to add the following, which supersedes any provision to the contrary in this Condition or elsewhere in this coverage part:**

CNA75079XX (10-16)

Page 1 of 2

CONTINENTAL CASUALTY COMPANY

Insured Name: MOFFATT & NICHOL

Policy No: 6056712992

Endorsement No: 26

Effective Date: 11/22/2020

202006260597129925392





CNA PARAMOUNT

**Blanket Additional Insured - Owners, Lessees or Contractors - with Products-Completed Operations Coverage Endorsement**

**Primary and Noncontributory Insurance**

With respect to other insurance available to the additional insured under which the additional insured is a named insured, this insurance is primary to and will not seek contribution from such other insurance, provided that a written contract requires the insurance provided by this policy to be:

- 1. primary and non-contributing with other insurance available to the additional insured; or
- 2. primary and to not seek contribution from any other insurance available to the additional insured.

But except as specified above, this insurance will be excess of all other insurance available to the additional insured.

**VI. Solely with respect to the insurance granted by this endorsement, the section entitled COMMERCIAL GENERAL LIABILITY CONDITIONS is amended as follows:**

The Condition entitled Duties In The Event of Occurrence, Offense, Claim or Suit is amended with the addition of the following:

Any additional insured pursuant to this endorsement will as soon as practicable:

- 1. give the Insurer written notice of any claim, or any occurrence or offense which may result in a claim;
- 2. send the Insurer copies of all legal papers received, and otherwise cooperate with the Insurer in the investigation, defense, or settlement of the claim; and
- 3. make available any other insurance, and tender the defense and indemnity of any claim to any other insurer or self-insurer, whose policy or program applies to a loss that the Insurer covers under this coverage part. However, if the written contract requires this insurance to be primary and non-contributory, this paragraph 3. does not apply to insurance on which the additional insured is a named insured.

The Insurer has no duty to defend or indemnify an additional insured under this endorsement until the Insurer receives written notice of a claim from the additional insured.

**VII. Solely with respect to the insurance granted by this endorsement, the section entitled DEFINITIONS is amended to add the following definition:**

**Written contract** means a written contract or written agreement that requires you to make a person or organization an additional insured on this coverage part, provided the contract or agreement:

- A. is currently in effect or becomes effective during the term of this policy, and
- B. was executed prior to:

- 1. the bodily injury or property damage; or
- 2. the offense that caused the personal and advertising injury;

for which the additional insured seeks coverage.

Any coverage granted by this endorsement shall apply solely to the extent permissible by law.

All other terms and conditions of the Policy remain unchanged.

This endorsement, which forms a part of and is for attachment to the Policy issued by the designated Insurers, takes effect on the effective date of said Policy at the hour stated in said Policy, unless another effective date is shown below, and expires concurrently with said Policy.

CNA75079XX (10-16)  
Page 2 of 2  
CONTINENTAL CASUALTY COMPANY  
Insured Name: MOFFATT & NICHOL

Policy No: 6056712992  
Endorsement No: 26  
Effective Date: 11/22/2020



## Architects, Engineers and Surveyors General Liability Extension Endorsement

It is understood and agreed that this endorsement amends the **COMMERCIAL GENERAL LIABILITY COVERAGE PART** as follows. If any other endorsement attached to this policy amends any provision also amended by this endorsement, then that other endorsement controls with respect to such provision, and the changes made by this endorsement with respect to such provision do not apply.

TABLE OF CONTENTS
1. Additional Insureds
2. Additional Insured - Primary And Non-Contributory To Additional Insured's Insurance
3. Additional Insured – Extended Coverage
4. Boats
5. Bodily Injury – Expanded Definition
6. Broad Knowledge of Occurrence/ Notice of Occurrence
7. Broad Named Insured
8. Contractual Liability – Railroads
9. Estates, Legal Representatives and Spouses
10. Expected Or Intended Injury – Exception for Reasonable Force
11. General Aggregate Limits of Insurance – Per Location
12. In Rem Actions
13. Incidental Health Care Malpractice Coverage
14. Joint Ventures/Partnership/Limited Liability Companies
15. Legal Liability – Damage To Premises
16. Liquor Liability
17. Medical Payments
18. Non-owned Aircraft Coverage
19. Non-owned Watercraft
20. Personal And Advertising Injury – Discrimination or Humiliation
21. Personal And Advertising Injury - Contractual Liability
22. Property Damage – Elevators
23. Retired Partners, Members, Directors And Employees
24. Supplementary Payments
25. Unintentional Failure To Disclose Hazards
26. Waiver of Subrogation – Blanket
27. Wrap-Up Extension: OCIP, CCIP or Consolidated (Wrap-Up) Insurance Programs

CNA74858XX (1-15)

Policy #6056712992



## Architects, Engineers and Surveyors General Liability Extension Endorsement

### 1. ADDITIONAL INSUREDS

a. **WHO IS AN INSURED** is amended to include as an **Insured** any person or organization described in paragraphs **A.** through **I.** below whom a **Named Insured** is required to add as an additional insured on this **Coverage Part** under a written contract or written agreement, provided such contract or agreement

(1) is currently in effect or becomes effective during the term of this **Coverage Part**; and

(2) was executed prior to:

(a) the **bodily injury** or **property damage**; or

(b) the offense that caused the **personal and advertising injury**,

for which such additional insured seeks coverage.

b. However, subject always to the terms and conditions of this policy, including the limits of insurance, the Insurer will not provide such additional insured with:

(1) a higher limit of insurance than required by such contract or agreement; or

(2) coverage broader than required by such contract or agreement, and in no event broader than that described by the applicable paragraph **A.** through **I.** below.

Any coverage granted by this endorsement shall apply only to the extent permissible by law.

#### A. Controlling Interest

Any person or organization with a controlling interest in a **Named Insured**, but only with respect to such person or organization's liability for **bodily injury**, **property damage** or **personal and advertising injury** arising out of:

1. such person or organization's financial control of a **Named Insured**; or

2. premises such person or organization owns, maintains or controls while a **Named Insured** leases or occupies such premises;

provided that the coverage granted by this paragraph does not apply to structural alterations, new construction or demolition operations performed by, on behalf of, or for such additional insured.

#### B. Co-owner of Insured Premises

A co-owner of a premises co-owned by a **Named Insured** and covered under this insurance but only with respect to such co-owner's liability for **bodily injury**, **property damage** or **personal and advertising injury** as co-owner of such premises.

#### C. Engineers, Architects or Surveyors Engaged By You

An architect, engineer or surveyor engaged by the **Named Insured**, but only with respect to liability for **bodily injury**, **property damage** or **personal and advertising injury** caused in whole or in part by the **Named Insured's** acts or omissions, or the acts or omissions of those acting on the **Named Insured's** behalf:

a. in connection with the **Named Insured's** premises; or

b. in the performance of the **Named Insured's** ongoing operations.

But the coverage hereby granted to such additional insureds does not apply to **bodily injury**, **property damage** or **personal and advertising injury** arising out of the rendering of or failure to render any professional services by, on behalf of, or for the **Named Insured**, including but not limited to:

1. the preparing, approving, or failing to prepare or approve, maps, shop drawings, opinions, reports, surveys, field orders, change orders or drawings and specifications; or

2. supervisory, inspection, architectural or engineering activities.

#### D. Lessor of Equipment

CNA74858XX (1-15)

Policy #6056712992





## Architects, Engineers and Surveyors General Liability Extension Endorsement

With respect to this provision's requirement that additional insured status must be requested under a written contract or agreement, the Insurer will treat as a written contract any governmental permit that requires the **Named Insured** to add the governmental entity as an additional insured.

### I. Trade Show Event Lessor

1. With respect to a **Named Insured's** participation in a trade show event as an exhibitor, presenter or displayer, any person or organization whom the **Named Insured** is required to include as an additional insured, but only with respect to such person or organization's liability for **bodily injury, property damage or personal and advertising injury** caused by:
  - a. the **Named Insured's** acts or omissions; or
  - b. the acts or omissions of those acting on the **Named Insured's** behalf,
 in the performance of the **Named Insured's** ongoing operations at the trade show event premises during the trade show event.
2. The coverage granted by this paragraph does not apply to **bodily injury or property damage** included within the **products-completed operations hazard**.

### 2. ADDITIONAL INSURED - PRIMARY AND NON-CONTRIBUTORY TO ADDITIONAL INSURED'S INSURANCE

The **Other Insurance Condition** in the **COMMERCIAL GENERAL LIABILITY CONDITIONS** Section is amended to add the following paragraph:

If the **Named Insured** has agreed in writing in a contract or agreement that this insurance is primary and non-contributory relative to an additional insured's own insurance, then this insurance is primary and the Insurer will not seek contribution from that other insurance. For the purpose of this Provision 2., the additional insured's own insurance means insurance on which the additional insured is a named insured. Otherwise, and notwithstanding anything to the contrary elsewhere in this Condition, the insurance provided to such person or organization is excess of any other insurance available to such person or organization.

### 3. ADDITIONAL INSURED - EXTENDED COVERAGE

When an additional insured is added by this or any other endorsement attached to this **Coverage Part, WHO IS AN INSURED** is amended to make the following natural persons **Insureds**.

If the additional insured is:

- a. An individual, then his or her **spouse** is an **Insured**;
- b. A partnership or joint venture, then its partners, members and their **spouses** are **Insureds**;
- c. A limited liability company, then its members and managers are **Insureds**; or
- d. An organization other than a partnership, joint venture or limited liability company, then its executive officers, directors and shareholders are **Insureds**;

but only with respect to locations and operations covered by the additional insured endorsement's provisions, and only with respect to their respective roles within their organizations.

Please see the **ESTATES, LEGAL REPRESENTATIVES, AND SPOUSES** provision of this endorsement for additional coverage and restrictions applicable to **spouses** of natural person **Insureds**.

### 4. BOATS

Under **COVERAGES, Coverage A - Bodily Injury And Property Damage Liability**, the paragraph entitled **Exclusions** is amended to add the following additional exception to the exclusion entitled **Aircraft, Auto or Watercraft**:

This exclusion does not apply to:



&lt;MARKETABLE PRODUCT NAME&gt;

## Architects, Engineers and Surveyors General Liability Extension Endorsement

services performed for the Named Insured under the Named Insured's direct supervision. All limitations that apply to employees and volunteer workers also apply to anyone qualifying as an Insured under this Provision.

### 24. SUPPLEMENTARY PAYMENTS

The section entitled **SUPPLEMENTARY PAYMENTS – COVERAGES A AND B** is amended as follows:

- A. Paragraph 1.b. is amended to delete the \$250 limit shown for the cost of bail bonds and replace it with a \$5,000. limit; and
- B. Paragraph 1.d. is amended to delete the limit of \$250 shown for daily loss of earnings and replace it with a \$1,000. limit.

### 25. UNINTENTIONAL FAILURE TO DISCLOSE HAZARDS

If the Named Insured unintentionally fails to disclose all existing hazards at the inception date of the Named Insured's Coverage Part, the Insurer will not deny coverage under this Coverage Part because of such failure.

### 26. WAIVER OF SUBROGATION - BLANKET

Under **CONDITIONS**, the condition entitled **Transfer Of Rights Of Recovery Against Others To Us** is amended to add the following:

The Insurer waives any right of recovery the Insurer may have against any person or organization because of payments the Insurer makes for injury or damage arising out of

1. the Named Insured's ongoing operations; or
2. your work included in the **products-completed operations hazard**.

However, this waiver applies only when the Named Insured has agreed in writing to waive such rights of recovery in a written contract or written agreement, and only if such contract or agreement:

1. is in effect or becomes effective during the term of this Coverage Part; and
2. was executed prior to the **bodily injury, property damage or personal and advertising injury** giving rise to the claim.

### 27. WRAP-UP EXTENSION: OCIP, CCIP, OR CONSOLIDATED (WRAP-UP) INSURANCE PROGRAMS

*Note: The following provision does not apply to any public construction project in the state of Oklahoma, nor to any construction project in the state of Alaska, that is not permitted to be insured under a consolidated (wrap-up) insurance program by applicable state statute or regulation.*

If the endorsement **EXCLUSION – CONSTRUCTION WRAP-UP** is attached to this policy, or another exclusionary endorsement pertaining to Owner Controlled Insurance Programs (O.C.I.P.) or Contractor Controlled Insurance Programs (C.C.I.P.) is attached, then the following changes apply:

- A. The following wording is added to the above-referenced endorsement:

With respect to a **consolidated (wrap-up) insurance program** project in which the **Named Insured** is or was involved, this exclusion does not apply to those sums the **Named Insured** become legally obligated to pay as damages because of:

1. **Bodily injury, property damage, or personal or advertising injury** that occurs during the **Named Insured's** ongoing operations at the project, or during such operations of anyone acting on the **Named Insured's** behalf; nor
2. **Bodily injury or property damage** included within the **products-completed operations hazard** that arises out of those portions of the project that are not **residential structures**.

- B. Condition 4. **Other Insurance** is amended to add the following subparagraph 4.b.(1)(c):

This insurance is excess over:

CNA74858XX (1-15)

Policy #6056712992



**CNA PARAMOUNT**  
**General Aggregate Limit - Per Project Endorsement**

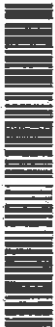
This endorsement modifies insurance provided under the following:

**COMMERCIAL GENERAL LIABILITY COVERAGE PART**

It is understood and agreed as follows:

- I. For each single construction or service project away from premises the Named Insured owns or rents, a separate Project General Aggregate Limit, equal to the amount of the General Aggregate Limit shown in the Declarations, is the most the Insurer will pay for the sum of:
  - A. all damages under Coverage A, except damages because of bodily injury or property damage included in the products-completed operations hazard, and
  - B. all medical expenses under Coverage C;
 that arise from occurrences or accidents which can be attributed solely to ongoing operations at that project. Such payments shall not reduce the General Aggregate Limit shown in the Declarations, nor the Project General Aggregate Limit applicable to any other project.
- II. All:
  - A. damages under Coverage B, regardless of the number of locations or projects involved;
  - B. damages under Coverage A, caused by occurrences which cannot be attributed solely to ongoing operations at a single project, except damages because of bodily injury or property damage included in the products-completed operations hazard; and
  - C. medical expenses under Coverage C, caused by accidents which cannot be attributed solely to ongoing operations at a single project,
 will reduce the General Aggregate Limit shown in the Declarations.
- III. The limits shown in the Declarations for Each Occurrence, for Damage To Premises Rented To You and for Medical Expense continue to apply, but will be subject to either the Project General Aggregate Limit or the General Aggregate Limit shown in the Declarations, depending on whether the occurrence can be attributed solely to ongoing operations at a particular project.
- IV. When coverage for liability arising out of the products-completed operations hazard is provided, any payments for damages because of bodily injury or property damage included in the products-completed operations hazard will reduce the Products-Completed Operations Aggregate Limit shown in the Declarations, regardless of the number of projects involved.
- V. If a single construction or service project away from premises owned by or rented to the Named Insured has been abandoned and then restarted, or if the authorized contracting parties deviate from plans, blueprints, designs, specifications or timetables, such project will still be deemed to be the same project.
- VI. The provisions of LIMITS OF INSURANCE not otherwise modified by this endorsement shall continue to apply as stipulated.

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All other terms and conditions of the Policy remain unchanged.

This endorsement, which forms a part of and is for attachment to the Policy issued by the designated Insurers, takes effect on the effective date of said Policy at the hour stated in said Policy, unless another effective date is shown below, and expires concurrently with said Policy.

CNA75081XX (1-15)  
Page 1 of 1  
CONTINENTAL CASUALTY COMPANY  
Insured Name: MOFFATT & NICHOL

Policy No: 6056712992  
Endorsement No: 9  
Effective Date: 11/22/2020



**Business Auto Policy  
Policy Endorsement**

**ADDITIONAL INSURED - PRIMARY AND NON-CONTRIBUTORY**

It is understood and agreed that this endorsement amends the **BUSINESS AUTO COVERAGE FORM** as follows:

**SCHEDULE**

**Name of Additional Insured Person Or Organization**

**ANY PERSON OR ORGANIZATION THAT YOU ARE REQUIRED BY WRITTEN CONTRACT OR WRITTEN AGREEMENT TO NAME AS AN ADDITIONAL INSURED.**

1. In conformance with paragraph **A.1.c.** of **Who Is An Insured** of Section II - **LIABILITY COVERAGE**, the person or organization scheduled above is an insured under this policy.
2. The insurance afforded to the additional insured under this policy will apply on a primary and non-contributory basis if you have committed it to be so in a written contract or written agreement executed prior to the date of the "accident" for which the additional insured seeks coverage under this policy.

All other terms and conditions of the policy remain unchanged

This endorsement, which forms a part of and is for attachment to the policy issued by the designated Insurers, takes effect on the Policy Effective date of said policy at the hour stated in said policy, unless another effective date (the Endorsement Effective Date) is shown below, and expires concurrently with said policy.

Form No: CNA71527XX (10-2012)	Endorsement Expiration Date:	Policy No: BUA 6056712989
Endorsement Effective Date:		Policy Effective Date: 11/22/2020
Endorsement No: 43; Page: 1 of 1		Policy Page: 107 of 141
Underwriting Company: Transportation Insurance Company, 151 N Franklin St, Chicago, IL 60606		


**EXTENDED COVERAGE ENDORSEMENT - BA PLUS**
**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.**

This endorsement modifies insurance provided under the following:

**BUSINESS AUTO COVERAGE FORM**
**I. LIABILITY COVERAGE**
**A. Who Is An Insured**

The following is added to **SECTION II, Paragraph A.1., Who Is An Insured:**

1. a. Any incorporated entity of which the Named Insured owns a majority of the voting stock on the date of inception of this Coverage Form; provided that,
  - b. The insurance afforded by this provision A.1. does not apply to any such entity that is an insured under any other liability policy providing auto coverage.
2. Any organization you newly acquire or form, other than a limited liability company, partnership or joint venture, and over which you maintain majority ownership interest.

The insurance afforded by this provision A.2.:

- a. Is effective on the acquisition or formation date, and is afforded only until the end of the policy period of this Coverage Form, or the next anniversary of its inception date, whichever is earlier.
- b. Does not apply to:
  - (1) Bodily injury or property damage caused by an accident that occurred before you acquired or formed the organization; or
  - (2) Any such organization that is an insured under any other liability policy providing auto coverage.
3. Any person or organization that you are obligated to provide insurance where required by a written contract or agreement is an insured, but only with respect to legal responsibility for acts or omissions of a person for whom Liability Coverage is afforded under this policy.
4. An employee of yours is an insured while operating an auto hired or rented under a contract or agreement in that employee's name, with your permission, while performing duties related to the conduct of your business.

Policy, as used in this provision A. Who Is An Insured, includes those policies that were in force on the inception date of this Coverage Form but:

1. Which are no longer in force; or
2. Whose limits have been exhausted.

**B. Bail Bonds and Loss of Earnings**

**SECTION II, Paragraphs A.2.a.(2) and A.2.a.(4)** are revised as follows:

1. In a.(2), the limit for the cost of bail bonds is increased from \$2,000 to \$5,000, and
2. In a.(4), the limit for the loss of earnings is increased from \$250 to \$500 a day.

**C. Fellow Employee**

**SECTION II, Paragraph B.5** does not apply.

Form No: SCA 23 500 D (10-2011)

Endorsement Effective Date:

Endorsement Expiration Date:

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**Business Auto Policy  
Policy Endorsement**

Such coverage as is afforded by this provision C. is excess over any other collectible insurance.

**II. PHYSICAL DAMAGE COVERAGE**

**A. Towing**

**SECTION III, Paragraph A.2.**, is revised to include Light Trucks up to 10,000 pounds G.V.W.

**B. Glass Breakage – Hitting A Bird Or Animal – Falling Objects Or Missiles**

The following is added to **SECTION III, Paragraph A.3.**:

With respect to any covered auto, any deductible shown in the Declarations will not apply to glass breakage if such glass is repaired, in a manner acceptable to us, rather than replaced.

**C. Transportation Expenses**

**SECTION III, Paragraph A.4.a.** is revised, with respect to transportation expense incurred by you, to provide:

- a. \$60 per day, in lieu of \$20; subject to
- b. \$1,800 maximum, in lieu of \$600.

**D. Loss of Use Expenses**

**SECTION III, Paragraph A.4.b.** is revised, with respect to loss of use expenses incurred by you, to provide:

- a. \$1,000 maximum, in lieu of \$600.

**E. Personal Property**

The following is added to **SECTION III, Paragraph A.4.**

c. We will pay up to \$500 for loss to **Personal Property** which is:

- (1) Owned by an insured; and
- (2) In or on the covered auto.

This coverage applies only in the event of a total theft of your covered auto.

This insurance is excess over any other collectible insurance and no deductible applies.

**F. Rental Reimbursement**

The following is added to **SECTION III, Paragraph A.4.**:

d. We will pay for rental reimbursement expenses incurred by you for the rental of an auto because of loss to a covered auto. Payment applies in addition to the otherwise applicable amount of each coverage you have on a covered auto. No deductibles apply to this coverage.

1. We will pay only for those expenses incurred during the policy period beginning 24 hours after the loss and ending, regardless of the policy's expiration, with the lesser of the following number of days:

- (a) The number of days reasonably required to repair or replace the covered auto; or,
- (b) 15 days.

2. Our payment is limited to the lesser of the following amounts:

- (a) Necessary and actual expenses incurred; or,
- (b) \$25 per day subject to a maximum of \$375.

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Underwriting Company: Transportation Insurance Company, 151 N Franklin St, Chicago, IL 60606	



**Business Auto Policy  
Policy Endorsement**

3. This coverage does not apply while there are spare or reserve autos available to you for your operations.
4. If loss results from the total theft of a covered auto of the private passenger type, we will pay under this coverage only that amount of your rental reimbursement expenses which is not already provided for under the Physical Damage Coverage Extension.

**G. Hired "Autos"**

The following is added to **SECTION III, Paragraph A.:**

**5. Hired Autos**

If Physical Damage coverage is provided under this policy, and such coverage does not extend to Hired Autos, then Physical Damage coverage is extended to:

- a. Any covered auto you lease, hire, rent or borrow without a driver; and
- b. Any covered auto hired or rented by your employee without a driver, under a contract in that individual employee's name, with your permission, while performing duties related to the conduct of your business.
- c. The most we will pay for any one accident or loss is the actual cash value, cost of repair, cost of replacement or \$75,000 whichever is less minus a \$500 deductible for each covered auto. No deductible applies to loss caused by fire or lightning.
- d. The physical damage coverage as is provided by this provision will be limited to the types of physical damage coverage(s) provided on your owned autos.
- e. Such physical damage coverage for hired autos will:
  - (1) Include loss of use, provided it is the consequence of an accident for which the Named Insured is legally liable, and as a result of which a monetary loss is sustained by the leasing or rental concern.
  - (2) Such coverage as is provided by this provision G.e.(1) will be subject to a limit of \$750 per accident.

**H. Airbag Coverage**

The following is added to **SECTION III, Paragraph B.3.**

The accidental discharge of an airbag shall not be considered mechanical breakdown.

**I. Electronic Equipment**

**SECTION III, Paragraphs B.4.c and B.4.d. are deleted and replaced by the following:**

- c. Physical Damage Coverage on a covered auto also applies to loss to any permanently installed electronic equipment including its antennas and other accessories
- d. A \$100 per occurrence deductible applies to the coverage provided by this provision.

**J. Diminution in Value**

The following is added to **SECTION III, Paragraph B.6.**

Subject to the following, the **diminution in value** exclusion does not apply to:

- a. Any covered auto of the private passenger type you lease, hire, rent or borrow, without a driver for a period of 30 days or less, while performing duties related to the conduct of your business; and

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- b. Any covered auto of the private passenger type hired or rented by your employee without a driver for a period of 30 days or less, under a contract in that individual employee's name, with your permission, while performing duties related to the conduct of your business.
- c. Such coverage as is provided by this provision is limited to a **diminution in value** loss arising directly out of accidental damage and not as a result of the failure to make repairs; faulty or incomplete maintenance or repairs; or the installation of substandard parts.
- d. The most we will pay for loss to a covered auto in any one accident is the lesser of:
  - (1) \$5,000; or
  - (2) 20% of the auto's actual cash value (ACV)

**III. Drive Other Car Coverage – Executive Officers**

The following is added to **SECTIONS II and III**:

- 1. Any auto you don't own, hire or borrow is a covered auto for Liability Coverage while being used by, and for Physical Damage Coverage while in the care, custody or control of, any of your executive officers, except:
  - a. An auto owned by that executive officer or a member of that person's household; or
  - b. An auto used by that executive officer while working in a business of selling, servicing, repairing or parking autos.

Such Liability and/or Physical Damage Coverage as is afforded by this provision will be:

- (1) Equal to the greatest of those coverages afforded any covered auto; and
  - (2) Excess over any other collectible insurance.
- 2. For purposes of this provision, executive officer means a person holding any of the officer positions created by your charter, constitution, by-laws or any other similar governing document, and, while a resident of the same household, includes that person's spouse.

Such executive officers are insureds while using a covered auto described in this provision.

**IV. BUSINESS AUTO CONDITIONS**

**A. Duties In The Event Of Accident, Claim, Suit Or Loss**

The following is added to **SECTION IV, Paragraph A.2.a.**

- (4) Your employees may know of an accident or loss. This will not mean that you have such knowledge, unless such accident or loss is known to you or if you are not an individual, to any of your executive officers or partners or your insurance manager.

The following is added to **SECTION IV, Paragraph A.2.b.**

- (6) Your employees may know of documents received concerning a claim or suit. This will not mean that you have such knowledge, unless receipt of such documents is known to you or if you are not an individual, to any of your executive officers or partners or your insurance manager.

**B. Concealment, Misrepresentation or Fraud**

The following is added to **SECTION IV, Paragraph B.2.**

Your failure to disclose all hazards existing on the date of inception of this Coverage Form shall not prejudice you with respect to the coverage afforded provided such failure or omission is not intentional.

**C. Policy Period, Coverage Territory**

**SECTION IV, Paragraphs 7.(5).(a).** is revised to provide:

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**Business Auto Policy  
Policy Endorsement**

- a. 45 days of coverage in lieu of 30 days

**V. DEFINITIONS**

**SECTION V. Paragraph C. is deleted and replaced by the following:**

**Bodily injury means bodily injury, sickness or disease sustained by a person, including mental anguish, mental injury or death resulting from any of these**

Form No: SCA 23 500 D (10-2011)

Endorsement Effective Date:

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Underwriting Company: Transportation Insurance Company, 151 N Franklin St, Chicago, IL 60606

Endorsement Expiration Date:

Policy No: BUA 6056712989

Policy Effective Date: 11/22/2020

**WAIVER OF OUR RIGHT TO RECOVER FROM OTHERS ENDORSEMENT**

This endorsement changes the policy to which it is attached effective on inception date of the policy unless a different date is indicated below.

This endorsement, effective 12:01 AM 11/22/2020 forms a part of Policy No. WC 019-39-7906

Issued to MOFFATT & NICHOL

By NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA.

We have the right to recover our payments from anyone liable for an injury covered by this policy. We will not enforce our right against the person or organization named in the Schedule. This agreement applies only to the extent that you perform work under a written contract that requires you to obtain this agreement from us.

This agreement shall not operate directly or indirectly to benefit any one not named in the Schedule.

**Schedule**

ANY PERSON OR ORGANIZATION WITH WHOM YOU HAVE ENTERED INTO A CONTRACT, A CONDITION OF WHICH REQUIRES YOU TO OBTAIN THIS WAIVER FROM US. THIS ENDORSEMENT DOES NOT APPLY TO BENEFITS OR DAMAGES PAID OR CLAIMED:

1. PURSUANT TO THE WORKERS' COMPENSATION OR EMPLOYERS' LIABILITY LAWS OF KENTUCKY, NEW HAMPSHIRE, OR NEW JERSEY; OR,
2. BECAUSE OF INJURY OCCURRING BEFORE YOU ENTERED INTO SUCH A CONTRACT.

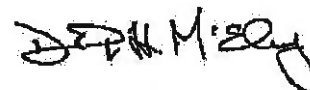
This form is not applicable in Kansas for private construction contracts as defined in K.S.A. 16-1801 through K.S.A. 16-1807 or public construction contracts as defined in K.S.A. 16-1901 through 16-1908, except where permitted by statute or other applicable law, such as for use in wrap-up insurance programs.

Any person or organization for which the employer has agreed by written contract, executed prior to loss, may execute a waiver of subrogation. However, for purposes of work performed by the employer in Missouri, this waiver of subrogation does not apply to any construction group of classifications as designated by the waiver of right to recover from others (subrogation) rule in our manual.

This form is not applicable in California, Kentucky, New Hampshire, New Jersey, Texas, or Utah.

WC 00 03 13  
(Ed. 04/84)

Countersigned by \_\_\_\_\_



Authorized Representative

**BLANKET WAIVER OF OUR RIGHT TO RECOVER FROM OTHERS ENDORSEMENT**

This endorsement changes the policy to which it is attached effective on the inception date of the policy unless a different date is indicated below.

(The following "attaching clause" need be completed only when this endorsement is issued subsequent to preparation of the policy).

This endorsement, effective 12:01 AM 11/22/2020 forms a part of Policy No. WC 019-39-7907

Issued to MOFFATT & NICHOL

By NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA.

We have a right to recover our payments from anyone liable for an injury covered by this policy. We will not enforce our right against any person or organization with whom you have a written contract that requires you to obtain this agreement from us, as regards any work you perform for such person or organization.

The additional premium for this endorsement shall be 2.00 % of the total estimated workers compensation premium for this policy.

