

**AMENDMENT NO. 1
TO MENTAL HEALTH SERVICES AGREEMENT A-12682
BY AND BETWEEN
COUNTY OF MONTEREY AND PSYNERGY PROGRAMS, INC.**

THIS AMENDMENT NO. 1 is made to AGREEMENT A-12682 for the provision of community mental health services to adults with severe psychiatric disabilities in an adult residential facility by and between **PSYNERGY PROGRAMS, INC.**, hereinafter "CONTRACTOR", and the County of Monterey, a political subdivision of the State of California, hereinafter referred to as "County".

WHEREAS, the County and CONTRACTOR wish to amend the AGREEMENT to decrease the total amount of the AGREEMENT based on a lesser number of clients being served, increase the allocation for monthly Units of Service per client, add a Special Needs 1 Board and Care Service and Rate, and revise the Payment Provisions, Cost Reimbursement Invoice Form, and Budget and Expenditure Report.

NOW THEREFORE, the County and CONTRACTOR hereby agree to amend the AGREEMENT in the following manner:

1. EXHIBIT B: PAYMENT AND BILLING PROVISIONS is replaced by EXHIBIT B-1: PAYMENT AND BILLING PROVISIONS. All references in the Agreement to EXHIBIT B shall be construed to refer to EXHIBIT B-1.
2. EXHIBIT G: COST REIMBURSEMENT INVOICE FORM is replaced with EXHIBIT G-1: COST REIMBURSEMENT INVOICE FORM. All references in the Agreement to EXHIBIT G shall be construed to refer to EXHIBIT G-1.
3. EXHIBIT H: BUDGET AND EXPENDITURE REPORT is replaced with EXHIBIT H-1: BUDGET AND EXPENDITURE REPORT. All references in the Agreement to EXHIBIT H shall be construed to refer to EXHIBIT H-1.
4. This Amendment No. 1 is effective August 20, 2014.
5. Except as provided herein, all remaining terms, conditions and provisions of the AGREEMENT are unchanged and unaffected by this AMENDMENT and shall continue in full force and effect as set forth in the AGREEMENT.
6. A copy of this AMENDMENT No. 1 shall be attached to the original AGREEMENT executed by the County on May 20, 2014.

IN WITNESS WHEREOF, COUNTY and CONTRACTOR have executed this Agreement as of the day and year written below.

COUNTY OF MONTEREY

By: Neera Bayard
Contracts/Purchasing Officer
Date: 04 Dec 2014

By: [Signature]
Department Head (if applicable)
Date: 12-17-14

By: _____
Board of Supervisors (if applicable)
Date: _____

Approved as to Form ¹

By: Stan Dettle
Deputy County Counsel
Date: 12/4/14

Approved as to Fiscal Provisions²

By: [Signature]
Auditor/Controller
Date: 12-3-14

Approved as to Liability Provisions³

By: _____
Risk Management
Date: _____

CONTRACTOR

PSYNERGY PROGRAMS, INC.
Contractor's Business Name*
By: [Signature]
(Signature of Chair, President,
or Vice-President)*
Ashro Zhrbe Acting CEO
Name and Title
Date: November 20, 2014

By: [Signature]
(Signature of Secretary, Asst. Secretary,
CFO, Treasurer or Asst. Treasurer)*
Michael Weinstein CFO
Name and Title
Date: 11-20-2014

County Board of Supervisors' Agreement Number: _____

*INSTRUCTIONS: If CONTRACTOR is a corporation, including limited liability and non-profit corporations, the full legal name of the corporation shall be set forth above together with the signatures of two specified officers. If CONTRACTOR is a partnership, the name of the partnership shall be set forth above together with the signature of a partner who has authority to execute this Agreement on behalf of the partnership. If CONTRACTOR is contracting in an individual capacity, the individual shall set forth the name of the business, if any, and shall personally sign the Agreement.

¹Approval by County Counsel is required; if Agreement is \$100,000 and less approval by County Counsel is required only when modifications are made to any of the Agreement's standardized terms and conditions

²Approval by Auditor-Controller is required

³Approval by Risk Management is necessary only if changes are made in Sections XI or XII

Monterey County Mental Health Services Agreement

Psynergy Programs, Inc.

Amendment No. 1 to Agreement A-12682

July 1, 2014 – June 30, 2017

EXHIBIT B-1:
PAYMENT AND BILLING PROVISIONS

I. PAYMENT TYPES

Provisional Rate and Negotiated Rate

II. PAYMENT AUTHORIZATION FOR SERVICES

The COUNTY'S commitment to authorize reimbursement to the CONTRACTOR for services as set forth in this Exhibit B-1 is contingent upon COUNTY authorized admission and service, and CONTRACTOR'S commitment to provide care and services in accordance with the terms of this Agreement.

III. PAYMENT RATE

A. PROVISIONAL RATE: COUNTY MAXIMUM REIMBURSEMENT (CMA)

Case Management, Mental Health Services, Medication Support, and Crisis Intervention shall be paid at the County Maximum Reimbursement (CMA) rate, which is provisional and subject to all the cost report conditions as set forth in this Exhibit B-1.

The following program services will be paid in arrears, not to exceed the listed CMA rates for a total maximum amount of **\$417,339** for each **FY 2014-15 thru FY 2016-17** for a total of **\$1,252,017** (amounts are rounded to the nearest dollar):

Service Description	Mode of Service	Function Code	Estimated Units (Mln/Yr)	CMA Rate	Estimated FY 2014-15 Amount	Estimated FY 2015-16 Amount	Estimated FY 2016-17 Amount	Total Per Program Area
Case Management	15	01 - 09	22,320	2	\$48,658	\$48,658	\$48,658	\$145,973
Mental Health Services	15	10 - 59	78,120	3	\$220,298	\$220,298	\$220,298	\$660,895
Medication Support	15	60 - 69	27,900	5	\$145,359	\$145,359	\$145,359	\$436,077
Crisis Intervention	15	70 - 78	720	4	\$3,024	\$3,024	\$3,024	\$9,072
Total Services Billed to Medi-Cal:					\$417,339	\$417,339	\$417,339	\$1,252,017

B. NEGOTIATED RATE: BOARD AND CARE

The following Board and Care service will be paid for actual client usage in arrears, not to exceed the listed daily bed rates, on a monthly basis for a maximum total amount not to exceed **\$421,300** for FY 2014-15, or **\$427,050** for FY2015-16 thru FY 2016-17 for a total of **\$1,275,400**:

Board & Care Service Description	PC	Daily Bed Rate	Estimated Units (Days/Yr)	Estimated FY 2014-15 Total	Estimated FY 2015-16 Total	Estimated FY 2016-17 Total	Total Per Program Location
Cielo Vista Basic	193	\$50	2,555	\$127,750	\$127,750	\$127,750	\$383,250
Nueva Vista Intensive	194	\$90	2,190	\$197,100	\$197,100	\$197,100	\$591,300
Nueva Vista Intensive with Special Needs I *	189	\$115	365	\$36,225	\$41,975	\$41,975	\$120,175
Nueva Vista Intensive with Special Needs II	189	\$165	365	\$60,225	\$60,225	\$60,225	\$180,675
* FY 2014-15 beginning 8-20-14 for this service...							
Maximum County Obligation:				\$421,300	\$427,050	\$427,050	\$1,275,400

C. SCHEDULE OF RESIDENTIAL RATES (daily patch rate):

DESCRIPTION OF SERVICE TYPES	CONDITIONS	RATES
Intensive Residential Services (Nueva Vista)	1 st 90 days intensive	\$90 per client/day
Intensive with Special Needs I (Nueva Vista)	Physically Disabled	\$115 per client/day
Intensive with Special Needs II (Nueva Vista)	Physically Disabled with Transportation Needs	\$165 per client/day
Substance Abuse Rate (Nueva Vista)	Diagnosis of Addiction	*\$125 per client/day
Basic Residential Services (Nueva Vista)	91 st day and beyond	*\$60 per client/day
Specialized Residential Services (Nueva Vista)	Co-morbid medical	\$90 per client/day
Specialized Residential Services (Nueva Vista)	Dually diagnosed	*\$120 per client/day
Basic Residential Services (Cielo Vista)	91 st day and beyond	\$50 per client/day
91 st day and beyond is applicable when clients who are transferred from Nueva Vista to Cielo Vista once the 90-day intensive care level has been completed.		
Bed Hold Rate (Nueva Vista)	5 day maximum	\$60 per client/day
Bed Hold Rate (Cielo Vista)	5 day maximum	\$50 per client/day
*These rates are not included in the contracted amounts of Section III. B. above.		

IV. PAYMENT CONDITIONS

- A. If CONTRACTOR is seeking reimbursement for eligible services funded by the Short-Doyle/Medi-Cal, Mental Health Services Act ("MHSA"), SB 90, Federal or State Grants, and/or COUNTY funds provided pursuant to this Agreement, reimbursement for such services shall be based on actual cost of providing those services less any deductible revenues collected by the CONTRACTOR from other payer sources. In order to reduce COUNTY costs, the CONTRACTOR shall comply with all applicable provisions of the California Welfare and Institutions Code (WIC), the California Code of Regulations, the Code of Federal Regulations, and the federal Social Security Act related to reimbursements by non-County and non-State sources, including, but not limited to, collecting reimbursements for services from clients (which shall be the same as patient fees established pursuant to WIC section 5710) and from private or public third-party payers.

CONTRACTOR shall not claim reimbursement from COUNTY for (or apply sums received from COUNTY with respect to) that portion of its obligations which has been paid by another source of revenue. If CONTRACTOR is seeking reimbursement for mental health services provided pursuant to this Agreement, reimbursement for such services shall be based upon the actual allowable costs of providing those services less any deductible revenues, as stated above. Notwithstanding any other provision of this Agreement, in no event may CONTRACTOR request a rate that exceeds the COUNTY'S Maximum Allowances (CMA). CONTRACTOR shall be responsible for costs that exceed applicable CMAs. In no case shall payments to CONTRACTOR exceed CMAs. In addition to the CMA limitation, in no event shall the maximum reimbursement that will be paid by COUNTY to CONTRACTOR under this Agreement for any Program Amount be more than the amount identified for each Program Amount for each Funded Program, as identified in this Exhibit B-1, Section III. Said amounts shall be referred to as the "Maximum Obligation of County," as identified in this Exhibit B-1, Section V.

- B. To the extent a recipient of services under this Agreement is eligible for coverage under Short-Doyle/Medi-Cal or Medicaid or Medicare or any other Federal or State funded program ("an eligible beneficiary"), CONTRACTOR shall ensure that services provided to eligible beneficiaries are properly identified and claimed to the Funded Program responsible for such services to said eligible beneficiaries. For the Short-Doyle/Medi-Cal Funded Program, CONTRACTOR assumes fiscal responsibility for services provided to all individuals who do not have full-scope Medi-Cal or are not Medi-Cal eligible during the term of this Agreement.
- C. CONTRACTOR shall be responsible for delivering services to the extent that funding is provided by the COUNTY. To the extent that CONTRACTOR does not have funds allocated in the Agreement for a Funded Program that pays for services to a particular eligible beneficiary, CONTRACTOR shall, at the first opportunity, refer said eligible beneficiary to another CONTRACTOR or COUNTY facility within the

same geographic area to the extent feasible, which has available funds allocated for that Funded Program.

- D. In order to receive any payment under this Agreement, CONTRACTOR shall submit reports and claims in such form as General Ledger, Payroll Report and other accounting documents as needed, and as may be required by the County of Monterey Department of Health, Behavioral Health Bureau. Specifically, CONTRACTOR shall submit its claims on Cost Reimbursement Invoice Form provided as Exhibit G-1, to this Agreement, along with backup documentation, on a monthly basis, to COUNTY so as to reach the Behavioral Health Bureau no later than the thirtieth (30th) day of the month following the month of service. See Section III, above, for payment amount information to be reimbursed each fiscal year period of this Agreement. The amount requested for reimbursement shall be in accordance with the approved budget and shall not exceed the actual net costs incurred for services provided under this Agreement.

CONTRACTOR shall submit via email a monthly claim using Exhibit G-1, Cost Reimbursement Invoice Form in Excel format with electronic signature along with supporting documentations, as may be required by the COUNTY for services rendered to:

MCHDBHFinance@co.monterey.ca.us

- E. CONTRACTOR shall submit all claims for reimbursement under this Agreement within thirty (30) calendar days after the termination or end date of this Agreement. All claims not submitted after thirty (30) calendar days following the termination or end date of this Agreement shall not be subject to reimbursement by the COUNTY. Any claim(s) submitted for services that preceded thirty (30) calendar days prior to the termination or end date of this Agreement may be disallowed, except to the extent that such failure was through no fault of CONTRACTOR. Any "obligations incurred" included in claims for reimbursements and paid by the COUNTY which remain unpaid by the CONTRACTOR after thirty (30) calendar days following the termination or end date of this Agreement shall be disallowed, except to the extent that such failure was through no fault of CONTRACTOR under audit by the COUNTY.
- F. If CONTRACTOR fails to submit claim(s) for services provided under the terms of this Agreement as described above, the COUNTY may, at its sole discretion, deny payment for that month of service and disallow the claim.
- G. COUNTY shall review and certify CONTRACTOR'S claim either in the requested amount or in such other amount as COUNTY approves in conformity with this Agreement, and shall then submit such certified claim to the COUNTY Auditor. The County Auditor-Controller shall pay the amount certified within thirty (30) calendar days of receiving the certified invoice.

- H. To the extent that the COUNTY determines CONTRACTOR has improperly claimed services to a particular Program Amount, COUNTY may disallow payment of said services and require CONTRACTOR to resubmit said claim of services for payment from the correct Program Amount, or COUNTY may make corrective accounting transactions to transfer the payment of the services to the appropriate Program Amount.
- I. If COUNTY certifies payment at a lesser amount than the amount requested COUNTY shall immediately notify the CONTRACTOR in writing of such certification and shall specify the reason for it. If the CONTRACTOR desires to contest the certification, the CONTRACTOR must submit a written notice of protest to the COUNTY within twenty (20) calendar days after the CONTRACTOR'S receipt of the COUNTY notice. The parties shall thereafter promptly meet to review the dispute and resolve it on a mutually acceptable basis. No court action may be taken on such a dispute until the parties have met and attempted to resolve the dispute in person.

V. MAXIMUM OBLIGATION OF COUNTY

- A. Subject to the limitations set forth herein, COUNTY shall pay to CONTRACTOR during the term of this Agreement a maximum amount of **\$2,527,417** for services rendered under this Agreement.

B. MAXIMUM ANNUAL LIABILITY:

FISCAL YEAR MAXIMUM LIABILITY	Mental Health / Medi-Cal Services	Board & Care Services	TOTAL AMOUNT PER FISCAL YEAR
July 1, 2014 to June 30, 2015	\$417,339	\$421,300	\$838,639
July 1, 2015 to June 30, 2016	\$417,339	\$427,050	\$844,389
July 1, 2016 to June 30, 2017	\$417,339	\$427,050	\$844,389
TOTAL COUNTY MAXIMUM LIABILITY:	\$1,252,017	\$1,275,400	\$2,527,417

- C. If, as of the date of signing this Agreement, CONTRACTOR has already received payment from COUNTY for services rendered under this Agreement, such amount shall be deemed to have been paid out under this Agreement and shall be counted towards COUNTY'S maximum liability under this Agreement.
- D. If for any reason this Agreement is canceled, COUNTY'S maximum liability shall be the total utilization to the date of cancellation not to exceed the maximum amount listed above.

- E. As an exception to Section D. above with respect to the Survival of Obligations after Termination, COUNTY, any payer, and CONTRACTOR shall continue to remain obligated under this Agreement with regard to payment for services required to be rendered after termination.

VI. BILLING AND PAYMENT LIMITATIONS

- A. Provisional Payments: COUNTY payments to CONTRACTOR for performance of eligible services hereunder are provisional until the completion of all settlement activities and audits, as such payments are subject to future Federal, State and/or COUNTY adjustments. COUNTY adjustments to provisional payments to CONTRACTOR may be based upon COUNTY'S claims processing information system data, State adjudication of Medi-Cal and Healthy Families claims files, contractual limitations of this Agreement, annual cost and MHSA reports, application of various Federal, State, and/or COUNTY reimbursement limitations, application of any Federal, State, and/or COUNTY policies, procedures and regulations, and/or Federal, State, or COUNTY audits, all of which take precedence over monthly claim reimbursements.
- B. Allowable Costs: Allowable costs shall be the CONTRACTOR'S actual costs of developing, supervising and delivering the services under this Agreement, as set forth in the Budget provided in Exhibit H-1. Only the costs listed in Exhibit H-1 of this Agreement as contract expenses may be claimed as allowable costs. Any dispute over whether costs are allowable shall be resolved in accordance with the provisions of applicable Federal, State and COUNTY regulations.
- C. Cost Control: CONTRACTOR shall not exceed by more than twenty (20%) percent any contract expense line item amount in the budget without the written approval of COUNTY, given by and through the Contract Administrator or Contract Administrator's designee. CONTRACTOR shall submit an amended budget using Exhibit H-1, or on a format as required by the COUNTY, with its request for such approval. Such approval shall not permit CONTRACTOR to receive more than the maximum total amount payable under this Agreement. Therefore, an increase in one line item shall require corresponding decreases in other line items.
- D. Other Limitations for Certain Funded Programs: In addition to all other limitations provided in this Agreement, reimbursement for services rendered under certain Funded Programs may be further limited by rules, regulations and procedures applicable only to that Funded Program. CONTRACTOR shall be familiar with said rules, regulations and procedures and submit all claims in accordance therewith.
- E. Adjustment of Claims Based on Other Data and Information: The COUNTY shall have the right to adjust claims based upon data and information that may include, but are not limited to, COUNTY'S claims processing information system reports, remittance advices, State adjudication of Medi-Cal claims, and billing system data.

VII. LIMITATION OF PAYMENTS BASED ON FUNDING AND BUDGETARY RESTRICTIONS

- A. This Agreement shall be subject to any restrictions, limitations, or conditions imposed by State which may in any way affect the provisions or funding of this Agreement, including, but not limited to, those contained in State's Budget Act.
- B. This Agreement shall also be subject to any additional restrictions, limitations, or conditions imposed by the Federal government which may in any way affect the provisions or funding of this Agreement.
- C. In the event that the COUNTY'S Board of Supervisors adopts, in any fiscal year, a COUNTY Budget which provides for reductions in COUNTY Agreements, the COUNTY reserves the right to unilaterally reduce its payment obligation under this Agreement to implement such Board reductions for that fiscal year and any subsequent fiscal year during the term of this Agreement, correspondingly. The COUNTY'S notice to the CONTRACTOR regarding said reduction in payment obligation shall be provided within thirty (30) calendar days of the Board's approval of such action.
- D. Notwithstanding any other provision of this Agreement, COUNTY shall not be obligated for CONTRACTOR'S performance hereunder or by any provision of this Agreement during any of COUNTY'S current or future fiscal year(s) unless and until COUNTY'S Board of Supervisors appropriates funds for this Agreement in COUNTY'S Budget for each such fiscal year. In the event funds are not appropriated for this Agreement, then this Agreement shall terminate as of June 30 of the last fiscal year for which funds were appropriated. COUNTY shall notify CONTRACTOR of any such non-appropriation of funds at the earliest possible date and the services to be provided by the CONTRACTOR under this Agreement shall also be reduced or terminated.

VIII. BILLING PROCEDURES AND LIMITATIONS ON COUNTY'S FINANCIAL RESPONSIBILITY FOR PAYMENT OF SERVICES UNDER FEDERAL SOCIAL SECURITY ACT, TITLE XIX SHORT-DOYLE/MEDI-CAL SERVICES AND/OR TITLE XXI HEALTHY FAMILIES

The Short-Doyle/Medi-Cal (SD/MC) claims processing system enables California county Mental Health Plans (MHPs) to obtain reimbursement of Federal funds for medically necessary specialty mental health services provided to Medi-Cal-eligible beneficiaries and to Healthy Families subscribers diagnosed as Seriously Emotionally Disturbed (SED). The Mental Health Medi-Cal program oversees the SD/MC claims processing system. Authority for the Mental Health Medi-Cal program is governed by Federal and California statutes.

- (a) If, under this Agreement, CONTRACTOR has Funded Programs that include Short-Doyle/Medi-Cal services and/or Healthy Families services, CONTRACTOR shall

certify in writing annually, by August 1 of each year, that all necessary documentation shall exist at the time any claims for Short-Doyle/Medi-Cal services and/or Healthy Families services are submitted by CONTRACTOR to COUNTY.

CONTRACTOR shall be solely liable and responsible for all service data and information submitted by CONTRACTOR.

- (b) CONTRACTOR acknowledges and agrees that the COUNTY, in under taking the processing of claims and payment for services rendered under this Agreement for these Funded Programs, does so as the Mental Health Plan for the Federal, State and local governments.
- C. CONTRACTOR shall submit to COUNTY all Short-Doyle/Medi-Cal, and/or Healthy Families claims or other State required claims data within the thirty (30) calendar day time frame(s) as prescribed by this Agreement to allow the COUNTY to meet the time frames prescribed by the Federal and State governments. COUNTY shall have no liability for CONTRACTOR'S failure to comply with the time frames established under this Agreement and/or Federal and State time frames, except to the extent that such failure was through no fault of CONTRACTOR.
- D. COUNTY, as the Mental Health Plan, shall submit to the State in a timely manner claims for Short-Doyle/Medi-Cal services, and/or Healthy Families services only for those services/activities identified and entered into the COUNTY'S claims processing information system which are compliant with Federal and State requirements. COUNTY shall make available to CONTRACTOR any subsequent State approvals or denials of such claims upon request by the CONTRACTOR.
- E. CONTRACTOR acknowledges and agrees that COUNTY'S final payment for services and activities claimed by CONTRACTOR Short-Doyle/Medi-Cal services and/or Healthy Families services is contingent upon reimbursement from the Federal and State governments and that COUNTY'S provisional payment for said services does not render COUNTY in any way responsible for payment of, or liable for, CONTRACTOR'S claims for payment for these services.
- F. CONTRACTOR'S ability to retain payment for such services and/or activities is entirely dependent upon CONTRACTOR'S compliance with all laws and regulations related to same.
- G. Notwithstanding any other provision of this Agreement, CONTRACTOR shall hold COUNTY harmless from and against any loss to CONTRACTOR resulting from the denial or disallowance of claim(s) for or any audit disallowances related to said services, including any State approved Title XIX Short-Doyle/Medi-Cal and/or Medi-Cal Administrative Activities, and/or Title XXI Healthy Families services/activities, by the Federal, State or COUNTY governments, or other applicable payer source, unless the denial or disallowance was due to the fault of the COUNTY.

- H. CONTRACTOR shall repay to COUNTY the amount paid by COUNTY to CONTRACTOR for Title XIX Short-Doyle/Medi-Cal and/or Medi-Cal Administrative Activities, and/or Title XXI Healthy Families services/ activities subsequently denied or disallowed by Federal, State and/or COUNTY government.
- I. Notwithstanding any other provision of this Agreement, CONTRACTOR agrees that the COUNTY may offset future payments to the CONTRACTOR and/or demand repayment from CONTRACTOR when amounts are owed to the COUNTY pursuant to Subparagraphs G. and H. above. Such demand for repayment and CONTRACTOR'S repayment shall be in accordance with Exhibit I, Section IV (Method of Payments for Amounts Due to County) of this Agreement.
- J. CONTRACTOR shall comply with all written instructions provided to CONTRACTOR by the COUNTY, State or other applicable payer source regarding claiming and documentation.
- K. Nothing in this Section VIII shall be construed to limit CONTRACTOR'S rights to appeal Federal and State settlement and/or audit findings in accordance with the applicable Federal and State regulations.

IX. PATIENT/CLIENT ELIGIBILITY, UMDAP FEES, THIRD PARTY REVENUES, AND INTEREST

- A. CONTRACTOR shall comply with all Federal, State and COUNTY requirements and procedures relating to:
 - 1. The determination and collection of patient/client fees for services hereunder based on the Uniform Method of Determining Payment (UMDAP), in accordance with the State Department of Health Care Services guidelines and WIC sections 5709 and 5710.
 - 2. The eligibility of patients/clients for Short-Doyle/Medi-Cal, Medicaid, Medicare, private insurance, or other third party revenue, and the collection, reporting and deduction of all patient/client and other revenue for patients/clients receiving services hereunder. CONTRACTOR shall pursue and report collection of all patient/client and other revenue.
- B. All fees paid by patients/clients receiving services under this Agreement and all fees paid on behalf of patients/clients receiving services hereunder shall be utilized by CONTRACTOR only for the delivery of mental health service/activities specified in this Agreement.
- C. CONTRACTOR may retain unanticipated program revenue, under this Agreement, for a maximum period of one Fiscal Year, provided that the unanticipated revenue is utilized for the delivery of mental health services/activities specified in this Agreement. CONTRACTOR shall report the expenditures for the mental health

services/activities funded by this unanticipated revenue in the Annual Report(s) and Cost Report Settlement submitted by CONTRACTOR to COUNTY.

- D. CONTRACTOR shall not retain any fees paid by any sources for, or on behalf of, Medi-Cal beneficiaries without deducting those fees from the cost of providing those mental health services for which fees were paid.
- F. CONTRACTOR may retain any interest and/or return which may be received, earned or collected from any funds paid by COUNTY to CONTRACTOR, provided that CONTRACTOR shall utilize all such interest and return only for the delivery of mental health services/activities specified in this Agreement.
- F. Failure of CONTRACTOR to report in all its claims and in its Annual Report(s) and Cost Report Settlement all fees paid by patients/clients receiving services hereunder, all fees paid on behalf of patients/clients receiving services hereunder, all fees paid by third parties on behalf of Medi-Cal beneficiaries receiving services and/or activities hereunder, and all interest and return on funds paid by COUNTY to CONTRACTOR, shall result in:
 - 1. CONTRACTOR'S submission of a revised claim statement and/or Annual Report(s) and Cost Report Settlement showing all such non-reported revenue.
 - 2. A report by COUNTY to State of all such non-reported revenue including any such unreported revenue paid by any sources for or on behalf of Medi-Cal beneficiaries and/or COUNTY'S revision of the Annual Report(s).
 - 3. Any appropriate financial adjustment to CONTRACTOR'S reimbursement.

X. CASH FLOW ADVANCE IN EXPECTATION OF SERVICES/ ACTIVITIES TO BE RENDERED OR FIXED RATE PAYMENTS

- A. The Maximum Contract Amount for each period of this Agreement includes Cash Flow Advance (CFA) or fixed rate payments which is an advance of funds to be repaid by CONTRACTOR through the provision of appropriate services/activities under this Agreement during the applicable period.
- B. For each month of each period of this Agreement, COUNTY shall reimburse CONTRACTOR based upon CONTRACTOR'S submitted claims for rendered services/activities subject to claim edits, and future settlement and audit processes.
- C. CFA shall consist of, and shall be payable only from, the Maximum Contract Amount for the particular fiscal year in which the related services are to be rendered and upon which the request(s) is (are) based.
- D. CFA is intended to provide cash flow to CONTRACTOR pending CONTRACTOR'S rendering and billing of eligible services/activities, as identified in this Exhibit B-1,

Sections III. and V., and COUNTY payment thereof. CONTRACTOR may request each monthly Cash Flow Advance only for such services/activities and only to the extent that there is no reimbursement from any public or private sources for such services/activities.

- E. Cash Flow Advance (CFA) Invoice. For each month for which CONTRACTOR is eligible to request and receive a CFA, CONTRACTOR must submit to the COUNTY an invoice of a CFA in a format that is in compliance with the funding source and the amount of CFA CONTRACTOR is requesting. In addition, the CONTRACTOR must submit supporting documentation of expenses incurred in the prior month to receive future CFAs.
- F. Upon receipt of the Invoice, COUNTY, shall determine whether to approve the CFA and, if approved, whether the request is approved in whole or in part.
- G. If a CFA is not approved, COUNTY will notify CONTRACTOR within ten (10) business days of the decision, including the reason(s) for non-approval. Thereafter, CONTRACTOR may, within fifteen (15) calendar days, request reconsideration of the decision.
- H. Year-end Settlement. CONTRACTOR shall adhere to all settlement and audit provisions specified in Exhibit I, of this Agreement, for all CFAs received during the fiscal year.
- I. Should CONTRACTOR request and receive CFAs, CONTRACTOR shall exercise cash management of such CFAs in a prudent manner.

XI. AUTHORITY TO ACT FOR THE COUNTY

The Director of the Health Department of the County of Monterey may designate one or more persons within the County of Monterey for the purposes of acting on his/her behalf to implement the provisions of this Agreement. Therefore, the term "Director" in all cases shall mean "Director or his/her designee."

Cost Reimbursement Invoice Form

COUNTY OF MONTEREY, Behavioral Health Bureau

Contractor : <u>Psynergy Programs Inc.</u>	Invoice Number : _____
Address Line 1 <u>18225 Hale Avenue, Morgan Hill, CA 95037</u>	County PO No.: _____
Address Line 2 _____	Invoice Period : _____
Tel. No.: <u>408-465-8285</u>	Final Invoice : ☐ (Check if Yes)
Fax No.: <u>408-465-8281</u>	
Contract Term: <u>FY 2014-15 thru FY 2016-17</u>	

FUNDED PROGRAM:

AVATAR Program, Mode and Service Function Code

27CS

Financial Eligibility (Admission Criteria)

Unduplicated Number of Clients Served:

Identify Program

Cielo Vista

Service Description	Mode of Service	SFC	Procedure Code	Units of Service (UOS) Delivered to Date	Total UOS Delivered as of Last Period	UOS Delivered this Period	CMA Rate per Unit	Amount of UOS at CMA Rate or Cash Flow Advance (CFA)	Dollar Amount Requested this Period (lower of Net Cost or CMA/CFA)	Total Dollar Amount Requested last Period	Dollar Amount Requested Year-to-Date	Estimated FFP	AVATAR System	Variance
Case Management	15	1	301				\$ 2.18	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
Medication Support	15	60	361				\$ 5.21	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
Crisis Intervention	15	70	371				\$ 4.20	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
Collateral Services	15	10	311				\$ 2.82	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
Assessment & Evaluation	15	30	331				\$ 2.82	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
Individual Counseling	15	40	341				\$ 2.82	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
Group Counseling	15	50	351				\$ 2.82	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
Mental Health Rehab	15	45	381				\$ 2.82	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
Plan Development	15	45	391				\$ 2.82	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
Therapeutic Behavioral Services	15	58	581				\$ 2.82	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -
TOTALS														
REVENUE														
REMAINING AMOUNT														
TOTAL ALLOWABLE PROGRAM EXPENDITURES														
Less Other Revenues														
Net Cost														
Use of Deferred Revenue														
Net Cost Due from Monterey County														
Amount of UOS at CMA Rate														
Due from Monterey County - lower of Net Cost or CMA/CFA														
Federal Financial Participation (FFP) Revenue														

I certify that the information provided above is, to the best of my knowledge, complete and accurate; the amount requested for reimbursement is in accordance with the contract approved for services provided under the provision of that contract. Full justification and backup records for those claims are maintained in our office at the address indicated.

Signature: _____

Title: _____

Date: _____

Telephone: _____

Send to:

MCHDS-Finance@co.monterey.ca.us
 Behavioral Health Claims Section

Behavioral Health Authorization for Payment

Authorized Signatory

Date

Cost Reimbursement Invoice Form
COUNTY OF MONTEREY, Behavioral Health Bureau

Contractor : Psynergy Programs Inc.	Invoice Number :
Address Line 1 18225 Hale Avenue, Morgan Hill, CA 95037	County PO No.:
Address Line 2	Invoice Period :
Tel. No.: 408-465-8285	Final Invoice : (Check if Yes)
Fax No.: 408-465-8281	
Contract Term: FY 2014-15 thru FY 2016-17	

FUNDED PROGRAM:

AVATAR Program, Mode and Service Function Code
Financial Eligibility (Admission Criteria)
Unduplicated Number of Clients Served:

BRASOCDT

Identify Program Nueva Vista

Service Description	Mode of Service	SFC	Procedure Code	Units of Service (UOS) Delivered to Date	Total UOS Delivered as of Last Period	UOS Delivered this Period	CMA Rate per Unit	Amount of UOS at CMA Rate or Cash Flow Advance (CFA)	Dollar Amount Requested this Period (lower of Net Cost or CMA/CFA)	Total Dollar Amount Requested last Period	Dollar Amount Requested Year-to-Date	Estimated FFP	AVATAR System	Variance
Case Management	15	1	301				\$ 2.18	\$ -	\$ -		\$ -	\$ -		\$ -
Medication Support	15	60	361				\$ 5.21	\$ -	\$ -		\$ -	\$ -		\$ -
Crisis Intervention	15	70	371				\$ 4.20	\$ -	\$ -		\$ -	\$ -		\$ -
Collateral Services	15	10	311				\$ 2.82	\$ -	\$ -		\$ -	\$ -		\$ -
Assessment & Evaluation	15	30	331				\$ 2.82	\$ -	\$ -		\$ -	\$ -		\$ -
Individual Counseling	15	40	341				\$ 2.82	\$ -	\$ -		\$ -	\$ -		\$ -
Group Counseling	15	50	351				\$ 2.82	\$ -	\$ -		\$ -	\$ -		\$ -
Mental Health Rehab	15	45	381				\$ 2.82	\$ -	\$ -		\$ -	\$ -		\$ -
Plan Development	15	45	391				\$ 2.82	\$ -	\$ -		\$ -	\$ -		\$ -
Therapeutic Behavioral Services	15	58	581				\$ 2.82	\$ -	\$ -		\$ -	\$ -		\$ -
TOTALS								\$ -	\$ -	\$ -	\$ -	\$ -		\$ -
Revenue)											\$ 222,480.00			
REMAINING AMOUNT														
Description														
Provisional Rates						Cash Flow Advances	Total BUDGET	Total Dollar Amount Requested last Period	Gross Expenses this Period	Dollar Amount Requested Year-to-Date	Remaining Amount in the Budget			
\$ 222,480.00							\$ 222,480.00	\$ -	\$ -	\$ -	\$ 222,480.00			
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Psynergy Programs, Inc.
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
Fiscal Year 2014-15

Program Name: Cielo Vista & Nueva VistaAVATAR Program(s) B8ASOCDT & 27CS & CFASOCBCUnduplicated Number of Clients Served: 7 & 8Address: 18217 Hale Ave Morgan Hill
14 Park Avenue Greenfield

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (1991 Realignment)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue
Case Management	15	01 - 09	22,320	\$ 48,657.60	22,320	\$ 24,328.80
Mental Health Services	15	10 - 59	78,120	\$ 220,298.40	78,120	\$ 110,149.20
Medication Support	15	60 - 69	27,900	\$ 145,359.00	27,900	\$ 72,679.50
Crisis Intervention	15	70 - 78	720	\$ 3,024.00	720	\$ 1,512.00
Grand Totals			129,060	\$ 417,339.00	129,060	\$ 208,669.50

				Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
A. PROGRAM REVENUES							
Monterey County Funds (Monterey County's Use):							
	Provisional Rates						
		Estimated Federal Financial Participation (FFP)		\$ -	\$ 138,393.54	\$ 208,669.50	\$ 70,275.96
		1991 Realignment		\$ -	\$ 138,393.54	\$ 208,669.50	\$ 70,275.96
				\$ -	\$ -	\$ -	\$ -
	Cash Flow Advances			\$ -	\$ -	\$ -	\$ -
				\$ -	\$ -	\$ -	\$ -
Total Requested Monterey County Funds				\$ -	\$ 276,787.08	\$ 417,339.00	\$ 140,551.92
Other Program Revenues				\$ -	\$ -	\$ -	\$ -
TOTAL PROGRAM REVENUES (equals Allowable Costs)				\$ -	\$ 276,787.08	\$ 417,339.00	\$ 140,551.92
B. ALLOWABLE COSTS - Allowable expenditures for the care and services of placed Monterey County clients allocated in accordance with requirements contained in this Agreement. Expenditures should be reported within the cost categories list. CONTRACTOR is expected to be able to identify direct and indirect costs directly from its financial statements.							
I. Direct Cost Centers - a direct cost, as defined in OMB A-87, is a cost that can be identified specifically with a particular final cost objective.							
	A. Mode Costs (Direct Services)			Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
1	Salaries and wages (please fill out Supplemental Schedule of Salaries and Wages)			\$ 197,056.36	\$ 197,056.36	\$ 433,107.00	\$ 236,050.64
2	Payroll taxes			\$ -	\$ -	\$ -	\$ -
3	Employee benefits			\$ -	\$ -	\$ -	\$ -
4	Workers Compensation			\$ -	\$ -	\$ -	\$ -
5	Severance Pay (if required by law, employer-employee agreement or established written policy or associated with County's loss of funding)			\$ -	\$ -	\$ -	\$ -
6	Temporary Staffing			\$ -	\$ -	\$ -	\$ -
7	Flexible Client Spending (please provide supporting documents)			\$ -	\$ -	\$ -	\$ -
8	Travel (costs incurred to carry out the program)			\$ 3,502.19	\$ 3,502.19	\$ 5,303.21	\$ 1,801.02
9	Employee Travel and Conference			\$ -	\$ -	\$ -	\$ -
10	Communication Costs			\$ 1,564.10	\$ 1,564.10	\$ 2,489.30	\$ 925.20
11	Utilities			\$ 4,400.69	\$ 4,400.69	\$ 6,663.79	\$ 2,263.10
12	Cleaning and Janitorial			\$ 1,114.75	\$ 1,114.75	\$ 1,688.02	\$ 573.27
13	Maintenance and Repairs - Buildings			\$ 1,807.20	\$ 1,807.20	\$ 2,736.58	\$ 929.38
14	Maintenance and Repairs - Equipment			\$ 1,204.80	\$ 1,204.80	\$ 1,824.36	\$ 619.56
15	Printing and Publications			\$ -	\$ -	\$ -	\$ -
16	Memberships, Subscriptions and Dues			\$ -	\$ -	\$ -	\$ -
17	Office Supplies			\$ -	\$ -	\$ -	\$ -

EXHIBIT H-1

		Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
18	Postage and Mailing	\$ -	\$ -	\$ -	\$ -
19	Medical Records	\$ 71.02	\$ 71.02	\$ 107.54	\$ 36.52
20	Data Processing	\$ 311.95	\$ 311.95	\$ 472.36	\$ 160.41
21	Rent and Leases - equipment	\$ 1,513.75	\$ 1,513.75	\$ 2,292.21	\$ 778.46
22	Rent and Leases - building and improvements (please identify the property address and method of cost allocation)	\$ 20,532.17	\$ 20,532.17	\$ 31,090.97	\$ 10,558.80
23	Taxes and assessments (Please identify the property address and method of cost allocation)	\$ 443.17	\$ 443.17	\$ 672.42	\$ 229.25
24	Interest in Other Long-term debts (please identify the property address and method of cost allocation)	\$ 273.51	\$ 273.51	\$ 414.16	\$ 140.65
25	Other Professional and Consultant Services (allowable with prior specific approval from Monterey County and must meet the criteria of a direct cost)	\$ 15,625.22	\$ 15,625.22	\$ 23,660.60	\$ 8,035.38
26	Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))	\$ -	\$ -	\$ -	\$ -
27	Miscellaneous (please provide details)	\$ -	\$ -	\$ -	\$ -
28	Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)	\$ -	\$ -	\$ -	\$ -
29	Total Mode Costs	\$ 249,420.88	\$ 249,420.88	\$ 512,522.53	\$ 263,101.65
	B. Administrative Costs - the allocation base must reasonably reflect the level of service received by the County from the program/activity and there must be a direct causal relationship between the allocation based used and the service provided.				
30	Salaries and Benefits	\$ -	\$ -	\$ -	\$ -
31	Supplies	\$ -	\$ -	\$ -	\$ -
32	Others - please provide details. Expense must be authorized by the County and/or not prohibited under Federal, State or local law or regulations.	\$ 5,343.43	\$ 5,343.43	\$ 8,091.33	\$ 2,747.90
33	Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)	\$ -		\$ -	\$ -
34	Total Administrative Costs	\$ 5,343.43	\$ 5,343.43	\$ 8,091.33	\$ 2,747.90
35	TOTAL DIRECT COSTS	\$ 254,764.31	\$ 254,764.31	\$ 520,613.86	\$ 265,849.55
II. Indirect Cost Centers - include all costs that are incurred for a common or joint purpose benefitting more than one final cost objective, that are not readily assignable to the cost objective specifically benefitted without effort disproportionate to the results achieved. The indirect cost centers correspond directly with the expense accounts defined in the Accounting Standards and Procedures for Counties, which is published by the California State Controller's Office.					
	INDIRECT COSTS	Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
36	Equipment (purchase price of less than \$5000)	\$ -	\$ -	\$ -	\$ -
37	Rent and Leases - equipment	\$ -	\$ -	\$ -	\$ -
38	Rent and Leases - building and improvements	\$ 2,759.24	\$ 2,759.24	\$ 4,178.21	\$ 1,418.97
39	Taxes and assessments	\$ -	\$ -	\$ -	\$ -
40	Insurance and Indemnity	\$ 7,276.01	\$ 7,276.01	\$ 11,017.76	\$ 3,741.75
41	Maintenance - equipment	\$ -	\$ -	\$ -	\$ -
42	Maintenance - building and improvements	\$ -	\$ -	\$ -	\$ -
43	Utilities	\$ -	\$ -	\$ -	\$ -
44	Household Expenses	\$ -	\$ -	\$ -	\$ -
45	Interest in Bonds	\$ -	\$ -	\$ -	\$ -
46	Interest in Other Long-term debts	\$ -	\$ -	\$ -	\$ -
47	Other interest and finance charges	\$ -	\$ -	\$ -	\$ -
48	Contracts Administration	\$ 6,636.26	\$ 6,636.26	\$ 10,049.01	\$ 3,412.75
49	Legal and Accounting (when required for the administration of the County Programs)	\$ 4,685.37	\$ 4,685.37	\$ 7,094.86	\$ 2,409.49
50	Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))	\$ -	\$ -	\$ -	\$ -
51	Data Processing	\$ -	\$ -	\$ -	\$ -
52	Personnel Administration	\$ 756.88	\$ 756.88	\$ 1,146.12	\$ 389.24

EXHIBIT H-1

		Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
53	Medical Records	\$ -	\$ -	\$ -	\$ -
54	Other Professional and Specialized Services	\$ -	\$ -	\$ 20,000.00	\$ 20,000.00
55	Transportation and Travel	\$ -	\$ -	\$ -	\$ -
56	Advertising (for recruitment of admin personnel, procurement of services and disposal of surplus assets)	\$ -	\$ -		\$ -
57	Total Indirect costs	\$ 22,113.76	\$ 22,113.76	\$ 53,485.96	\$ 31,372.20
63	Total Allowable Costs	\$ 276,878.07	\$ 276,878.07	\$ 574,099.82	\$ 297,221.75
COST REPORT INFORMATION:			Budget FY 2013-14	Budget FY 2014-15	Change
64	Land		\$ -	\$ -	\$ -
65	Buildings and Improvements		\$ 2,759.24	\$ 4,178.21	\$ 1,418.97
66	Equipment (purchase price of \$5000 or more)		\$ -	\$ -	\$ -
67	Total		\$ 2,759.24	\$ 4,178.21	\$ 1,418.97

We hereby certify to the best of our knowledge, under penalty of perjury, that the above report is true and correct, that the amounts reported are traceable to (Contractor's Name) accounting records, and that all Monterey County funds received for the purposes of this program were spent in accordance with the Contract's program requirements, the Agreement and all applicable Federal, State and County laws and regulations. Falsification of any amount disclosed herein shall constitute a false claim pursuant to California Government Code Section 12650 et seq.

Executive Director's Signature

Date

Finance Director's Signature

Date

Supplemental Schedule of Salaries and Wages - Mode Cost (Direct Services)

TITLE OF POSITION	Annual Salary/Wage	TE (Full Time Employee)	TOTAL
Clinical and Rehabilitation	\$ 433,107	0.65	\$ 281,520
Medical	\$ 433,107	0.35	\$ 151,587
			\$ -
			\$ -
			\$ -
			\$ -
			\$ -
			\$ -
			\$ -
Total Salaries and Wages			\$ 433,107

Psynergy Programs, Inc.
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
Fiscal Year 2014-15

Program Name: Cielo VistaAVATAR Program(s) 27CS & CFASOCBCUnduplicated Number of Clients Served: 7Address: 14 Park Avenue Greenfield

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (1991 Realignment)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue
Case Management	15	01 - 09	10,416	\$ 22,706.88	10,416	\$ 11,353.44
Mental Health Services	15	10 - 59	36,456	\$ 102,805.92	36,456	\$ 51,402.96
Medication Support	15	60 - 69	13,020	\$ 67,834.20	13,020	\$ 33,917.10
Crisis Intervention	15	70 - 78	360	\$ 1,512.00	360	\$ 756.00
Totals			60,252	\$ 194,859.00	60,252	\$ 97,429.50

	Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
A. PROGRAM REVENUES				
Monterey County Funds (Monterey County's Use):				
Provisional Rates				
Estimated Federal Financial Participation (FFP)	\$ -	\$ 88,508.70	\$ 97,429.50	\$ 8,920.80
1991 Realignment		\$ 88,508.70	\$ 97,429.50	\$ 8,920.80
				\$ -
Total Requested Monterey County Funds	\$ -	\$ 177,017.40	\$ 194,859.00	\$ 17,841.60
Other Program Revenues	\$ -	\$ -	\$ -	\$ -
TOTAL PROGRAM REVENUES (equals Allowable Costs)	\$ -	\$ 177,017.40	\$ 194,859.00	\$ 17,841.60
B. ALLOWABLE COSTS - Allowable expenditures for the care and services of placed Monterey County clients allocated in accordance with requirements contained in this Agreement. Expenditures should be reported within the cost categories list. CONTRACTOR is expected to be able to identify direct and indirect costs directly from its financial statements.				
I. Direct Cost Centers - a direct cost, as defined in OMB A-87, is a cost that can be identified specifically with a particular final cost objective.				
A. Mode Costs (Direct Services)	Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
1 Salaries and wages (please fill out Supplemental Schedule of Salaries and Wages)	\$ 92,616.49	\$ 92,616.49	\$ 288,738.00	\$ 196,121.51
2 Payroll taxes				\$ -
3 Employee benefits				\$ -
4 Workers Compensation				\$ -
5 Severance Pay (if required by law, employer-employee agreement or established written policy or associated with County's loss of funding)				\$ -
6 Temporary Staffing				\$ -
7 Flexible Client Spending (please provide supporting documents)				\$ -
8 Travel (costs incurred to carry out the program)	\$ 1,646.03	\$ 1,646.03	\$ 3,372.81	\$ 1,726.78
9 Employee Travel and Conference				\$ -
10 Communication Costs	\$ 692.83	\$ 692.83	\$ 1,583.17	\$ 890.34
11 Utilities	\$ 2,068.32	\$ 2,068.32	\$ 4,238.12	\$ 2,169.80
12 Cleaning and Janitorial	\$ 523.93	\$ 523.93	\$ 1,073.57	\$ 549.64
13 Maintenance and Repairs - Buildings	\$ 849.38	\$ 849.38	\$ 1,740.44	\$ 891.06
14 Maintenance and Repairs - Equipment	\$ 566.26	\$ 566.26	\$ 1,160.28	\$ 594.02
15 Printing and Publications				\$ -
16 Memberships, Subscriptions and Dues				\$ -
17 Office Supplies				\$ -
18 Postage and Mailing				\$ -
19 Medical Records	\$ 33.38	\$ 33.38	\$ 68.40	\$ 35.02
20 Data Processing	\$ 146.62	\$ 146.62	\$ 300.42	\$ 153.80

EXHIBIT H-1

		Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
21	Rent and Leases - equipment	\$ 711.46	\$ 711.46	\$ 1,457.83	\$ 746.37
22	Rent and Leases - building and improvements (please identify the property address and method of cost allocation)	\$ 9,650.12	\$ 9,650.12	\$ 19,773.64	\$ 10,123.52
23	Taxes and assessments (Please identify the property address and method of cost allocation)	\$ 207.82	\$ 207.82	\$ 427.65	\$ 219.83
24	Interest in Other Long-term debts (please identify the property address and method of cost allocation)	\$ 128.55	\$ 128.55	\$ 263.41	\$ 134.86
25	Other Professional and Consultant Services (allowable with prior specific approval from Monterey County and must meet the criteria of a direct cost)	\$ 7,343.85	\$ 7,343.85	\$ 15,047.98	\$ 7,704.13
26	Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))				\$ -
27	Miscellaneous (please provide details)				\$ -
28	Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)				\$ -
29	Total Mode Costs	\$ 117,185.04	\$ 117,185.04	\$ 339,245.72	\$ 222,060.68
	B. Administrative Costs - the allocation base must reasonably reflect the level of service received by the County from the program/activity and there must be a direct causal relationship between the allocation based used and the service provided.				
30	Salaries and Benefits				\$ -
31	Supplies				\$ -
32	Others - please provide details. Expense must be authorized by the County and/or not prohibited under Federal, State or local law or regulations.	\$ 2,511.41	\$ 2,511.41	\$ 5,146.03	\$ 2,634.62
33	Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)				\$ -
34	Total Administrative Costs	\$ 2,511.41	\$ 2,511.41	\$ 5,146.03	\$ 2,634.62
35	TOTAL DIRECT COSTS	\$ 119,696.45	\$ 119,696.45	\$ 344,391.75	\$ 224,695.30
	II. Indirect Cost Centers - include all costs that are incurred for a common or joint purpose benefitting more than one final cost objective, that are not readily assignable to the cost objective specifically benefitted without effort disproportionate to the results achieved. The indirect cost centers correspond directly with the expense accounts defined in the Accounting Standards and Procedures for Counties, which is published by the California State Controller's Office.				
	INDIRECT COSTS	Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
36	Equipment (purchase price of less than \$5000)	\$ -	\$ -	\$ -	\$ -
37	Rent and Leases - equipment				\$ -
38	Rent and Leases - building and improvements	\$ 1,296.84	\$ 1,296.84	\$ 2,657.31	\$ 1,360.47
39	Taxes and assessments				\$ -
40	Insurance and Indemnity	\$ 3,419.72	\$ 3,419.72	\$ 7,007.22	\$ 3,587.50
41	Maintenance - equipment				\$ -
42	Maintenance - building and improvements				\$ -
43	Utilities				\$ -
44	Household Expenses				\$ -
45	Interest in Bonds				\$ -
46	Interest in Other Long-term debts				\$ -
47	Other interest and finance charges				\$ -
48	Contracts Administration	\$ 3,119.04	\$ 3,119.04	\$ 6,391.10	\$ 3,272.06
49	Legal and Accounting (when required for the administration of the County Programs)	\$ 2,202.12	\$ 2,202.12	\$ 4,512.28	\$ 2,310.16
50	Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))				\$ -
51	Data Processing				\$ -
52	Personnel Administration	\$ 355.73	\$ 355.73	\$ 728.92	\$ 373.19
53	Medical Records				\$ -
54	Other Professional and Specialized Services	\$ -	\$ -	\$ 20,000.00	\$ 20,000.00
55	Transportation and Travel				\$ -
56	Advertising (for recruitment of admin personnel, procurement of services and disposal of surplus assets)				\$ -
57	Total Indirect costs	\$ 10,393.45	\$ 10,393.45	\$ 41,296.83	\$ 30,903.38
63	Total Allowable Costs	\$ 130,089.90	\$ 130,089.90	\$ 385,688.59	\$ 255,598.69
	COST REPORT INFORMATION:		Budget FY 2013-14	Budget FY 2014-15	Change
64	Land				\$ -

EXHIBIT H-1

		Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
65	Buildings and Improvements		\$1,296.84	\$ 2,657.31	\$ 1,360.47
66	Equipment (purchase price of \$5000 or more)				\$ -
67	Total		\$1,296.84	\$2,657.31	\$1,360.47

We hereby certify to the best of our knowledge, under penalty of perjury, that the above report is true and correct, that the amounts reported are traceable to (Contractor's Name) accounting records, and that all Monterey County funds received for the purposes of this program were spent in accordance with the Contract's program requirements, the Agreement and all applicable Federal, State and County laws and regulations. Falsification of any amount disclosed herein shall constitute a false claim pursuant to California Government Code Section 12650 et seq.

Executive Director's Signature

Date

Finance Director's Signature

Date

Supplemental Schedule of Salaries and Wages - Mode Cost (Direct Services)

TITLE OF POSITION	Annual Salary/Wage	TE (Full Time Employee)	TOTAL
Clinical and Rehabilitation	\$ 288,738	0.65	\$ 187,680
Medical	\$ 288,738	0.35	\$ 101,058
			\$ -
			\$ -
			\$ -
			\$ -
			\$ -
			\$ -
			\$ -
			\$ -
Total Salaries and Wages			\$ 288,738

Psynergy Programs, Inc.
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
Fiscal Year 2014-15

Program Name: Nueva VistaAVATAR Program(s) B8ASOCDTUnduplicated Number of Clients Served: 8Address: 18217 Hale Avenue

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (1991 Realignment)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue
Case Management	15	01 - 09	11,904	\$ 25,950.72	11,904	\$ 12,975.36
Mental Health Services	15	10 - 59	41,664	\$ 117,492.48	41,664	\$ 58,746.24
Medication Support	15	60 - 69	14,880	\$ 77,524.80	14,880	\$ 38,762.40
Crisis Intervention	15	70 - 78	360	\$ 1,512.00	360	\$ 756.00
Totals			68,808	\$ 222,480.00	68,808	\$ 111,240.00

	Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
A. PROGRAM REVENUES				
Monterey County Funds (Monterey County's Use):				
Provisional Rates				
Estimated Federal Financial Participation (FFP)	\$ -	\$ 49,884.84	\$ 111,240.00	\$ 61,355.16
1991 Realignment		\$ 49,884.84	\$ 111,240.00	\$ 61,355.16
				\$ -
Total Requested Monterey County Funds	\$ -	\$ 99,769.68	\$ 222,480.00	\$ 122,710.32
Other Program Revenues	\$ -	\$ -	\$ -	\$ -
TOTAL PROGRAM REVENUES (equals Allowable Costs)	\$ -	\$ 99,769.68	\$ 222,480.00	\$ 122,710.32

B. ALLOWABLE COSTS - Allowable expenditures for the care and services of placed Monterey County clients allocated in accordance with requirements contained in this Agreement. Expenditures should be reported within the cost categories list. CONTRACTOR is expected to be able to identify direct and indirect costs directly from its financial statements.

I. Direct Cost Centers - a direct cost, as defined in OMB A-87, is a cost that can be identified specifically with a particular final cost objective.

A. Mode Costs (Direct Services)	Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
1 Salaries and wages (please fill out Supplemental Schedule of Salaries and Wages)	\$ 104,439.87	\$ 104,439.87	\$ 144,369.00	\$ 39,929.13
2 Payroll taxes				\$ -
3 Employee benefits				\$ -
4 Workers Compensation				\$ -
5 Severance Pay (if required by law, employer-employee agreement or established written policy or associated with County's loss of funding)				\$ -
6 Temporary Staffing				\$ -
7 Flexible Client Spending (please provide supporting documents)				\$ -
8 Travel (costs incurred to carry out the program)	\$ 1,856.16	\$ 1,856.16	\$ 1,930.41	\$ 74.25
9 Employee Travel and Conference				\$ -
10 Communication Costs	\$ 871.27	\$ 871.27	\$ 906.12	\$ 34.85
11 Utilities	\$ 2,332.37	\$ 2,332.37	\$ 2,425.66	\$ 93.29
12 Cleaning and Janitorial	\$ 590.82	\$ 590.82	\$ 614.45	\$ 23.63
13 Maintenance and Repairs - Buildings	\$ 957.82	\$ 957.82	\$ 996.13	\$ 38.31
14 Maintenance and Repairs - Equipment	\$ 638.54	\$ 638.54	\$ 664.08	\$ 25.54
15 Printing and Publications				\$ -
16 Memberships, Subscriptions and Dues				\$ -
17 Office Supplies				\$ -
18 Postage and Mailing				\$ -
19 Medical Records	\$ 37.64	\$ 37.64	\$ 39.15	\$ 1.51

EXHIBIT H-1

		Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
20	Data Processing	\$ 165.33	\$ 165.33	\$ 171.94	\$ 6.61
21	Rent and Leases - equipment	\$ 802.29	\$ 802.29	\$ 834.38	\$ 32.09
22	Rent and Leases - building and improvements (please identify the property address and method of cost allocation)	\$ 10,882.05	\$ 10,882.05	\$ 11,317.33	\$ 435.28
23	Taxes and assessments (Please identify the property address and method of cost allocation)	\$ 235.35	\$ 235.35	\$ 244.76	\$ 9.41
24	Interest in Other Long-term debts (please identify the property address and method of cost allocation)	\$ 144.96	\$ 144.96	\$ 150.76	\$ 5.80
25	Other Professional and Consultant Services (allowable with prior specific approval from Monterey County and must meet the criteria of a direct cost)	\$ 8,281.37	\$ 8,281.37	\$ 8,612.62	\$ 331.25
26	Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))				\$ -
27	Miscellaneous (please provide details)				\$ -
28	Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)				\$ -
29	Total Mode Costs	\$ 132,235.84	\$ 132,235.84	\$ 173,276.81	\$ 41,040.97
	B. Administrative Costs - the allocation base must reasonably reflect the level of service received by the County from the program/activity and there must be a direct causal relationship between the allocation based used and the service provided.				
30	Salaries and Benefits				\$ -
31	Supplies				\$ -
32	Others - please provide details. Expense must be authorized by the County and/or not prohibited under Federal, State or local law or regulations.	\$ 2,832.02	\$ 2,832.02	\$ 2,945.30	\$ 113.28
33	Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)				\$ -
34	Total Administrative Costs	\$ 2,832.02	\$ 2,832.02	\$ 2,945.30	\$ 113.28
35	TOTAL DIRECT COSTS	\$ 135,067.86	\$ 135,067.86	\$ 176,222.11	\$ 41,154.25
II. Indirect Cost Centers - include all costs that are incurred for a common or joint purpose benefitting more than one final cost objective, that are not readily assignable to the cost objective specifically benefitted without effort disproportionate to the results achieved. The indirect cost centers correspond directly with the expense accounts defined in the Accounting Standards and Procedures for Counties, which is published by the California State Controller's Office.					
	INDIRECT COSTS	Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
36	Equipment (purchase price of less than \$5000)	\$ -	\$ -	\$ -	\$ -
37	Rent and Leases - equipment				\$ -
38	Rent and Leases - building and improvements	\$ 1,462.40	\$ 1,462.40	\$ 1,520.90	\$ 58.50
39	Taxes and assessments				\$ -
40	Insurance and Indemnity	\$ 3,856.29	\$ 3,856.29	\$ 4,010.54	\$ 154.25
41	Maintenance - equipment				\$ -
42	Maintenance - building and improvements				\$ -
43	Utilities				\$ -
44	Household Expenses				\$ -
45	Interest in Bonds				\$ -
46	Interest in Other Long-term debts				\$ -
47	Other interest and finance charges				\$ -
48	Contracts Administration	\$ 3,517.22	\$ 3,517.22	\$ 3,657.91	\$ 140.69
49	Legal and Accounting (when required for the administration of the County Programs)	\$ 2,483.25	\$ 2,483.25	\$ 2,582.58	\$ 99.33
50	Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))				\$ -
51	Data Processing				\$ -
52	Personnel Administration	\$ 401.15	\$ 401.15	\$ 417.20	\$ 16.05
53	Medical Records				\$ -
54	Other Professional and Specialized Services				\$ -

EXHIBIT H-1

		Actual FY 2012-13	Budget FY 2013-14	Budget FY 2014-15	Change
55	Transportation and Travel				\$ -
56	Advertising (for recruitment of admin personnel, procurement of services and disposal of surplus assets)				\$ -
57	Total Indirect costs	\$ 11,720.31	\$ 11,720.31	\$ 12,189.12	\$ 468.81
63	Total Allowable Costs	\$ 146,788.17	\$ 146,788.17	\$ 188,411.23	\$ 41,623.06
COST REPORT INFORMATION:			Budget FY 2012-13	Budget FY 2013-14	Change
64	Land				\$ -
65	Buildings and Improvements		\$1,462.40	\$1,520.90	\$ 58.50
66	Equipment (purchase price of \$5000 or more)				\$ -
67	Total		\$1,462.40	\$1,520.90	\$ 58.50

We hereby certify to the best of our knowledge, under penalty of perjury, that the above report is true and correct, that the amounts reported are traceable to (Contractor's Name) accounting records, and that all Monterey County funds received for the purposes of this program were spent in accordance with the Contract's program requirements, the Agreement and all applicable Federal, State and County laws and regulations. Falsification of any amount disclosed herein shall constitute a false claim pursuant to California Government Code Section 12650 et seq.

Executive Director's Signature

Date

Finance Director's Signature

Date

Supplemental Schedule of Salaries and Wages - Mode Cost (Direct Services)

TITLE OF POSITION	Annual Salary/Wage	TE (Full Time Employee)	TOTAL
Clinical and Rehabilitation	\$ 144,369	0.65	\$ 93,840
Medical	\$ 144,369	0.35	\$ 50,529
			\$ -
			\$ -
			\$ -
			\$ -
			\$ -
			\$ -
			\$ -
Total Salaries and Wages			\$ 144,369