

# APPLICATION AND CERTIFICATE FOR PAYMENT

TO: Natividad Medical Center  
 Attention: Brian Griffin  
 P.O. Box 81611  
 Salinas, CA 93912-1611

PROJECT: Seismic Upgrade  
 (NPC-2/NPC-3)  
 1441 Constitution Blvd.  
 Salinas, CA 93906

FROM: John F. Otto, Inc. dba Otto Construction  
 2150 Garden Road, Suite A-1  
 Monterey, CA 93940

JOB: 12-3090-00 Seismic Upgrade  
 ARCHITECT: Reel Grobman Associates  
 96 North Second Street  
 Sam Jose, CA 95113

APPLICATION NO: 5 RET  
 INVOICE NO: 9602

PERIOD TO: 12/31/12

PROJECT NO: 9600-27  
 P.O. NO: 9600 0000002973

CONTRACT DATE: August 29, 2012

Distribution to:  
 OWNER  
 ARCHITECT  
 CONTRACTOR  
 JOB SITE

## CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for Payment, as shown below, in connection with the Contract.  
 Continuation Sheet, is attached.

- 1. ORIGINAL CONTRACT SUM \$ 306,850.00
- 2. Net change by Change Orders \$ 45,480.00
- 3. CONTRACT SUM TO DATE \$ 352,330.00
- 4. TOTAL COMPLETED & STORED TO DATE \$ 352,330.00

- 5. RETAINAGE:
  - a. 0% of Contract \$ 0.00
  - b. 0% of Stored Material \$ 0.00

Total Retainage (Line 5a +5b) \$ 0.00

6. TOTAL EARNED LESS RETAINAGE \$ 352,330.00  
 (Line 4 less Line 5 Total)

7. LESS PREVIOUS APPLICATIONS FOR PAYMENT \$ 334,713.50  
 8. CURRENT PAYMENT DUE \$ 17,616.50  
 9. BALANCE TO FINISH, PLUS RETAINAGE \$ 0.00  
 (Line 3 less Line 6)

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	0.00	0.00
Total approved this Month	45,480.00	0.00
<b>NET CHANGES by Change Orders</b>	<b>45,480.00</b>	<b>0.00</b>

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due. We certify that the Surety for this project has been notified of the amount of this request.

CONTRACTOR: JOHN F. OTTO, INC.dba OTTO CONSTRUCTION

By:  Date: 11/01/13  
 NAME: Matt Bouquet / Senior Project Manager

## ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising the above application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED \$ \_\_\_\_\_

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)  
 ARCHITECT:

By: \_\_\_\_\_ Date: \_\_\_\_\_  
 This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

# Otto Construction Billing Worksheet

**TO:** Natividad Medical Center  
 Attention: Brian Griffin  
 P.O. Box 81611  
 Salinas, CA 93912-1611

**PROJECT:** Seismic Upgrade  
 (NPC-2/NPC-3)  
 12-3090-00 Seismic Upgrade

**APPLICATION NO:** 5 RET  
**INVOICE NO:** 9602

**FROM:** John F. Otto, Inc. dba Otto Construction  
 2150 Garden Road, Suite A-1  
 Monterey, CA 93940

**ARCHITECT:** Reel Grobman Associates  
 96 North Second Street  
 Sam Jose, CA 95113

**PERIOD TO:** 12/31/12  
**PROJECT NO:** 9600-27  
**P.O. NO:** 9600  
 0000002973  
**CONTRACT DATE:** 8/29/2012

ITEM NUMBER	DESCRIPTION	SCHEDULED VALUE	PREVIOUS AMOUNT	WORK IN PLACE	STORED MATERIALS				TOTAL COMPLETE	PREVIOUS % COMPLETE	% COMPLETE
					PREVIOUS STORED	INSTALLED THIS MONTH	NEW THIS MONTH	CURRENT STORED MATERIALS			
<b>CONSTRUCTION COST</b>											
01000	PERFORMANCE BOND										
	Bonds	3,020.00	3,020.00	-					3,020.00	100.0%	100.0%
	Monthly GC's	74,100.00	74,100.00	-					74,100.00	100.0%	100.0%
	Start up / Mobilization	5,000.00	5,000.00	-					5,000.00	100.0%	100.0%
02000	ALLOWANCE #1 - Time Lost	15,000.00	15,000.00	-					15,000.00	100.0%	100.0%
03000	ALLOWANCE #2 - Hazardous Removal	15,000.00	15,000.00	-					15,000.00	100.0%	100.0%
04000	ACCESS PANELS										
	Demolition	19,500.00	19,500.00	-					19,500.00	100.0%	100.0%
	Access Panels Material FOB	9,264.00	9,264.00	-					9,264.00	100.0%	100.0%
	Install Access Panels	8,700.00	8,700.00	-					8,700.00	100.0%	100.0%
	Bracing and Anchoring Material FOB	19,900.00	19,900.00	-					19,900.00	100.0%	100.0%
	Bracing and Anchoring Installation	58,000.00	58,000.00	-					58,000.00	100.0%	100.0%
05000	LIGHT GAUGE FRAMING										
	Framing Material FOB	3,200.00	3,200.00	-					3,200.00	100.0%	100.0%
	Framing Installation	5,670.00	5,670.00	-					5,670.00	100.0%	100.0%
	T-Bar Repair	1,500.00	1,500.00	-					1,500.00	100.0%	100.0%
06000	PAINTING										
	Painting	7,974.00	7,974.00	-					7,974.00	100.0%	100.0%
07000	FIRE PROTECTION										
	Fire Protection Submittals	1,522.00	1,522.00	-					1,522.00	100.0%	100.0%
	Fire Protection	59,500.00	59,500.00	-					59,500.00	100.0%	100.0%
	<b>SUB TOTAL</b>	<b>306,850.00</b>	<b>306,850.00</b>	<b>-</b>					<b>306,850.00</b>	<b>100.0%</b>	<b>100.0%</b>
<b>CHANGE ORDERS</b>											
20-001	CO #1 - PCO#3 Addition of Another Crew	11,348.00	11,348.00	-					11,348.00	100.0%	100.0%
20-002	CO #1 - PCO#4 Ceiling Removal & Replacement	30,205.00	30,205.00	-					30,205.00	100.0%	100.0%
20-003	CO #1 - PCO#5 Investigative Work	3,927.00	3,927.00	-					3,927.00	100.0%	100.0%
	<b>SUB TOTAL CHANGE ORDERS</b>	<b>45,480.00</b>	<b>45,480.00</b>	<b>-</b>					<b>45,480.00</b>	<b>100.0%</b>	<b>100.0%</b>
<b>GRAND TOTALS</b>		<b>352,330.00</b>	<b>352,330.00</b>	<b>-</b>					<b>352,330.00</b>	<b>100.0%</b>	<b>100.0%</b>

**CONDITIONAL WAIVER AND RELEASE ON FINAL PAYMENT**

**NOTICE: THIS DOCUMENT WAIVES THE CLAIMANT'S LIEN, STOP PAYMENT NOTICE, AND PAYMENT BOND RIGHTS EFFECTIVE ON RECEIPT OF PAYMENT. A PERSON SHOULD NOT RELY ON THIS DOCUMENT UNLESS SATISFIED THAT THE CLAIMANT HAS RECEIVED PAYMENT.**

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**Identifying Information**

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Name of Claimant: John F. Otto, Inc. dba Otto Construction  
Name of Customer: County of Monterey - Natividad Medical Center  
Job Location: Project: Seismic Upgrade (NPC-2/NPC-3) 1441 Constitution Blvd. Salinas, CA 93906  
Job: 12-3090-00 Seismic Upgrades  
Owner: County of Monterey - Natividad Medical Center  
Through Date: 12/31/12

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**Conditional Waiver and Release**

This document waives and releases lien, stop payment notice, and payment bond rights the claimant has for labor and service provided, and equipment and material delivered, to the customer on this job. Rights based upon labor or service provided, or equipment or material delivered, pursuant to a written change order that has been fully executed by the parties prior to the date that this document is signed by the claimant, are waived and released by this document, unless listed as an Exception below. This document is effective only on the claimant's receipt of payment from the financial institution on which the following check is drawn:

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Maker of Check: County of Monterey  
Amount of Check: \$17,616.50  
Check Payable to: John F. Otto, Inc. dba Otto Construction

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**Exceptions**

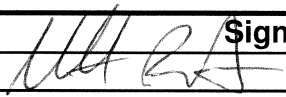
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This document does not affect any of the following: Unpaid progress payment for 11/30/12 & 12/31/12 in the amount of \$209,019.95.  
Disputed claims for extras in the amount of: \$0.00

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**Signature**

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Claimant's Signature:   
Claimant's Title: Matt Bouquet, P.E. Area Manager of Monterey  
Date of Signature: 1/10/13

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