

**MEMORANDUM OF UNDERSTANDING
BETWEEN
THE COUNTY OF MONTEREY, BY AND THROUGH THE COUNTY OF MONTEREY
HEALTH DEPARTMENT, BEHAVIORAL HEALTH BUREAU
AND
CITY OF SEASIDE**

SEASIDE GANG REDUCTION, INTERVENTION AND PREVENTION PROGRAM

This Memorandum of Understanding (hereafter referred to as “MOU”) is made and entered by and between the County of Monterey, a political subdivision of the State of California by and through the Monterey County Health Department, Behavioral Health Bureau (hereafter the “County”) and City of Seaside (hereafter the “Collaborator”).

RECITALS

- A. The Seaside Gang Reduction, Intervention and Prevention Program (hereafter referred to as “Program”) is a partnership between the City of Seaside and the County to provide youth in the City of Seaside prevention and intervention services and strategies to prevent and decrease their involvement in gangs. The implementation of this program will use funds awarded to the City of Seaside from the State of California’s Board of State and Community Corrections California Gang Reduction, Intervention and Prevention (hereafter referred to as “CalGRIP”) Program and the County’s Mental Health Services Act Prevention and Early Intervention funds (hereafter referred to as “MHSA PEI”).
- B. The Collaborator and the County will work together to reduce gang activity through the use of evidence-based prevention, intervention and suppression activities. The Program will consist of obtaining referrals from Collaborator, assessment, individual/family/group therapy, community presentations as needed and referrals to community agencies utilizing a newly fitted “one-stop” resource center for youth and gang violence prevention and interventions services, known as the Seaside Youth Resource Center (hereafter referred to as “SYRC”).
- C. The partnership between Collaborator and County will establish a referral system network that will identify youth who are in need of youth and gang violence prevention and intervention services. Youth and their families will gain access to the Program by referral from Collaborator. The fiscal year 2015-2016 will be the first year of program implementation. The goal is to serve at least FIFTY (50) youth each fiscal year.

The goals of the PROGRAM are to:

- Provide a wide range services to at-risk, on probation, and gang-involved youth and their families to reduce risk factors for violence and increase protective factors.
- Coordinate community resources;
- Promote healthy family environments; and
- Reduce recidivism in criminal activity by addressing emotional and psychological needs of youth through the provision of mental health early intervention services.

1. Term of MOU

Unless terminated earlier pursuant to the provisions set forth below, this MOU shall remain in full force and effect from **July 1, 2015 through June 30, 2016.**

2. Fiscal

The County and Collaborator will use a mixture of funds from CalGRIP grant, MHSA PEI and Medi-Cal revenue for case management, individual, group and family therapy services to be provided by a 1.0 Full Time Equivalent (“FTE”) County Psychiatric Social Worker (“Behavioral Health Therapist”), .20 FTE County Service Manager and .20 FTE County Unit Supervisor. The Collaborator’s financial obligation to the County shall be fulfilled through payments from their CalGRIP grant to the County described in Section 7(a) of this MOU. Funding by the County and Collaborator for the program is as follows:

TOTAL BUDGET FY 2015-16	
PROGRAM FTE TOTAL	TOTAL PROGRAM COST
1.0 FTE Behavioral Health Psychiatric Social Worker	\$188,098.00
.20 FTE Behavioral Health Services Manager	
.20 FTE Behavioral Health Unit Supervisor	

County Maximum Liability for FY 2015-16	
FTE Total	Total County Maximum Program (MHSA-PEI/Medi-Cal Revenue)
.50 FTE Behavioral Health Psychiatric Social Worker	\$61,348.00
.20 FTE Behavioral Health Services Manager	\$35,666.00
.20 FTE Behavioral Health Unit Supervisor	\$29,736.00
County Total Maximum Liability	\$126,750.00

Collaborator Maximum Liability for FY 2015-16	
FTE Total	Total CalGRIP Grant
.50 FTE Behavioral Health Psychiatric Social Worker	\$61,348.00
Collaborator Maximum Contract Liability	\$61,348.00

3. Role of the Collaborator:

a. The Collaborator shall provide a dedicated and confidential room within the SYRC that is large enough to accommodate three to four people. The room shall be furnished with a desk, chairs, locking file cabinet, and telephone. The room shall be able to accommodate confidential individual therapy, family therapy, and consultation with parents. The room shall be made available at times designated in a schedule of hours to be established by the County and Collaborator. The schedule established pursuant to this MOU shall include professional

development time for the County's Behavioral Health Therapist, Service Manager and Unit Supervisor.

b. The Collaborator shall identify and refer at-risk youth ages seventeen (17) and under (at the time of the referral) in need of an assessment to the Behavioral Health Therapist while adhering to the confidentiality rules and regulations, refer to Section c., below.

c. The Collaborator shall enforce all confidentiality rules and regulations. Collaborator shall be responsible for informing and obtaining the written consent from the youth's parent or guardian for a **referral** to the programs under the Program for a mental health assessment (Exhibit D). Parenting education and family counseling will be offered to youth and families who are in need of services and who agree to participate in the Program.

4. Role of the County:

a. The County shall assign to the Collaborator 1.0 FTE Behavioral Health Therapist, a .20 FTE County Services Manager and a .20 FTE County Health Unit Supervisor for the provision of mental health services for at-risk youth participating in the Program.

b. The Behavioral Health Therapist shall report to the Collaborator's site five (5) days a week providing forty (40) hours per week at site; unless ill, away from the office or in training. The County Service Manager and Supervisor shall provide a total of 20 hours each per month.

c. In the event of any change in scheduling, staff, or procedures, the County shall notify the Collaborator within three (3) business days.

d. The Behavioral Health Therapist, Behavioral County Service Manager and the County Unit Supervisor shall participate in briefings or other meetings at the assigned Collaborator's site, as appropriate.

e. The County Unit Supervisor shall provide administrative control and oversight of the delivery of mental health services by the Behavioral Health Therapist

f. The County Service Manager shall attend SYRC meetings, accept referrals, triage cases and provide clinical oversight of the County Services Supervisor.

g. The Behavioral Health Therapist shall be available to Collaborator as needed for training and consultation to assist Collaborator to be responsive to the special needs of the referred youths.

h. The Behavioral Health Therapist will explain and obtain parental/guardianship signatures on all County required consent forms for services provided through the Program at the time of the assessment appointment with the parent or guardian. The Behavioral Health Therapist shall be responsible for obtaining the necessary County-approved consent and release of information forms to be shared with other agencies or professionals as needed or agreed to by the parties (Exhibit D).

i. The County shall monitor the overall status of the Program activities conducted at Collaborator's Site to ensure efficient and effective implementation of services rendered by the County.

j. The County shall provide the Collaborator data relating to this MOU available to the Collaborator upon seventy-two (72) hours advance written notice in accordance with the specifications or any modifications thereof and in compliance with the law.

k. The County shall retain all data related to the Program at the County for such period as required by law set forth in Section 8(a) of this MOU.

5. Exhibits

The following attached exhibits are incorporated herein by reference and constitute a part of this Memorandum of Understanding:

EXHIBIT A: CONFIDENTIALITY OF PATIENT INFORMATION

EXHIBIT B: ASSURANCE OF COMPLIANCE WITH MONTEREY COUNTY CULTURAL COMPETENCY POLICY

EXHIBIT C: BUSINESS ASSOCIATE AGREEMENT

EXHIBIT D: CONSENT FORMS

6. Personnel

The County assumes full responsibility for the actions of staff while performing services pursuant to this MOU and shall be solely responsible for the supervision, daily directions, and control of such matters. The County shall pay all salaries and expenses owed to the County staff assigned for the Collaborator pursuant to this MOU. The Collaborator's only financial obligation to the County staff shall be the payments to the County described in Section 7(a) of this MOU.

7. Payment Provisions

a. Subject to the limitations set forth herein, Collaborator shall pay the County **\$61,348.00** for the provision of County's services during the term of this MOU and in accordance with the following schedule:

Period	FTE Total	Collaborator Maximum Total Annual Amount
FY 2015-2016	1.0 FTE Behavioral Health Psychiatric Social Worker	\$61,348.00 --1 st semi-annual payment of \$30,674.00 shall be invoiced on or about December 31, 2015. --2 nd semi-annual payment of \$30,674.00 shall be invoiced on or about June 30, 2016.

b. The County shall prepare and submit its invoice for the requested amount, as described in Section 4(a) above, along with such other information pertinent to the invoice, and submit to the Collaborator at the following address:

City of Seaside
Attn: Theresa Zamora, MBA
440 Harcourt Avenue
Seaside, CA 93955

Collaborator shall pay the County's invoice in the requested amount within 30 days of receiving the County's invoice.

c. If for any reasons this MOU is terminated, the Collaborator's maximum liability shall be the total utilization to the date of termination not to exceed the maximum amount listed above.

d. Subject to the limitations set forth herein, the County shall provide additional funds from MHSA PEI funds and Medi-cal billing revenue for the provision of the County's services during the term of the MOU for funding not covered by the Collaborator's allotment per Section 7(a) of this MOU.

8. Maintenance and Confidentiality of Patient Information

a. The County shall maintain clinical records for each recipient of service in compliance with all state and federal requirements. Such records shall include a description of all services provided by the County in sufficient detail to make possible an evaluation of services, and all data necessary to prepare reports to the State, including treatment plans, records of client interviews, and progress notes. The County shall retain clinical records for a minimum of seven (7) years and, in the case of minors, for at least one (1) year after the minor has reached the age of majority, but for a period of no less than seven years.

b. Collaborator shall comply with the confidentiality and the Health Insurance Portability and Accountability Act of 1996 ("HIPAA") requirements set forth in Exhibits A and C of this MOU and incorporated by reference as if fully set forth herein.

9. Modification

This MOU may be revised at any time with thirty (30) days written notice provided by any partner to the other partner, and upon mutual MOU of all the partners. Any revisions/amendments must be signed by all partners and attached to the original MOU.

10. Termination

The County or Collaborator may cancel this MOU at any time upon thirty (30) calendar days' written notice. In addition, if the Collaborator defaults performance of the work under this MOU, the County may immediately terminate this MOU by written notice to Collaborator.

11. Assignment

This MOU may not be assigned without the prior written consent of the County.

12. General Provisions

- a. All work described herein shall be performed in accordance with applicable Federal, State and local laws and regulations.
- b. There shall be no discrimination because of race, color, religion, sex, national origin, ancestry, age, marital status, sexual orientation, or disability.
- c. Collaborator shall act as independent Collaborator in the performance of the duties hereunder, and no officer, employee or agent of Collaborator employed under this MOU shall be deemed to be an officer, employee or agent of the County in carrying out the duties of this MOU. Nothing in this MOU shall create any of the rights, powers, privileges or immunities of an employee of the County.

13. Termination due to Cessation of the MHSA PEI Program Funding

County shall have the right to terminate this MOU upon three (3) days' written notice in the event that the receipt of funds by County is reduced, suspended or terminated for any reason.

14. Mutual Indemnification

a. The Collaborator shall indemnify, defend, and hold harmless the County, its officers, agents and employees from any claim, liability, loss, injury or damage arising out of, or in connection with, performance of this MOU by Collaborator and/or its agents, employees or other collaborators, excepting only loss, injury or damage caused by the negligence or willful misconduct of personnel employed by the County.

It is the intent of the parties to this MOU to provide the broadest possible coverage for the County. The Collaborator shall reimburse the County for all costs, attorneys' fees, expenses and liabilities incurred with respect to any litigation in which the Collaborator is obligated to indemnify, defend and hold harmless the County under this MOU.

b. County shall indemnify, defend, and hold harmless Collaborator, its officers, agents and employees from any claim, liability, loss, injury or damage arising out of, or in connection with, performance of this MOU by the County and/or its agents, employees or other collaborators, excepting only loss, injury or damage caused by the negligence or willful misconduct of personnel employed by the Collaborator. It is the intent of the parties to this MOU to provide the broadest possible coverage for the Collaborator. The County shall reimburse the Collaborator for all costs, attorneys' fees, expenses and liabilities incurred with respect to any litigation in which the County is obligated to indemnify, defend and hold harmless the Collaborator under this MOU.

15. Insurance

a. Insurance Coverage Requirements. Without limiting Collaborator's duty to indemnify, Collaborator shall maintain in effect throughout the term of this MOU a policy or policies of insurance with the following minimum limits of liability:

1. Commercial general liability insurance, including but not limited to premises and operations, including coverage for Bodily Injury and Property Damage, Personal Injury, Contractual Liability, Broadform Property Damage, Independent Contractors, Products and Completed Operations, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

2. Business automobile liability insurance, covering all motor vehicles, including owned, leased, non-owned, and hired vehicles, used in providing services under this MOU, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

3. Workers Compensation Insurance, if Collaborator employs others in the performance of this MOU, in accordance with California Labor Code section 3700 and with Employer's liability limits not less than \$1,000,000 each person, \$1,000,000 each accident and \$1,000,000 each disease.

4. Professional Liability Insurance, if required for the professional service being profession regulated by the California Business and Professional Code), in the amount of not less than \$1,000,000 per claim and \$2,000,000 in the aggregate, to cover liability for malpractice or errors or omissions made in the course of rendering professional services. If professional liability insurance is written on a "claims-made" basis rather than an occurrence basis, the Collaborator shall, upon the expiration or earlier termination of this MOU, obtain extended reporting coverage ("tail coverage") with the same liability limits. Any such tail coverage shall continue for at least three years following the expiration or earlier termination of this MOU.

b. Other Insurance Requirements. All insurance required by this MOU shall be with a company acceptable to the County and issued and executed by an admitted insurer authorized to transact insurance business in the State of California. Unless otherwise specified by this MOU, all such insurance shall be written on an occurrence basis, or, if the policy is not written on an occurrence basis, such policy with the coverage required herein shall continue in effect for a period of three years following the date Collaborator completes its performance of services under this MOU.

Each liability policy shall provide that the County shall be given notice in writing at least thirty days in advance of any endorsed reduction in coverage or limit, cancellation, or intended non-renewal thereof. Each policy shall provide coverage for Collaborator and additional insureds with respect to claims arising from each subcontractor, if any, performing work under this MOU, or be accompanied by a certificate of insurance from each subcontractor showing each subcontractor has identical insurance coverage to the above requirements.

Prior to the execution of this MOU by the County, Collaborator shall file certificates of insurance with the County's contract administrator and the County's Contracts/Purchasing Division, showing that the Collaborator has in effect the insurance required by this MOU. The Collaborator shall file a new or amended certificate of insurance within five calendar days after any change is made in any insurance policy, which would alter the information on the certificate then on file. Acceptance or approval of insurance shall in no way modify or change the indemnification clause in this MOU, which shall continue in full force and effect.

Collaborator shall at all times during the term of this MOU maintain in force the insurance coverage required under this MOU and shall send, without demand by County, annual certificates to County's Contract Administrator and County's Contracts/Purchasing Division. If the certificate is not received by the expiration date, County shall notify Collaborator and Collaborator shall have five calendar days to send the certificate, evidencing no lapse in coverage during the interim. Failure by Collaborator to maintain such insurance is a default of this MOU, which entitles County, at its sole discretion, to terminate this MOU immediately.

16. Cultural Competency and Linguistic Accessibility

a. The County shall provide services in a culturally competent manner to assure access to services by all eligible individuals as required by the Department of Health Care Services' regulations and policies and other applicable laws. Cultural competency is defined as congruent set of practice skills behaviors, attitudes, and policies that enable staff to work effectively in providing contractual services under this MOU in cross-cultural situations. Specifically, the County's provision of services shall acknowledge the importance of culture, adapt services to meet culturally unique needs, and promote congruent skills, behaviors, attitudes, and policies enabling all persons providing services to function effectively in cross-cultural situations.

b. The Collaborator shall provide linguistically accessible services to assure access to services by all eligible individuals as required by Department of Health Care Service's regulations and policies and other applicable laws. Specifically, the Collaborator shall provide services to eligible individuals in their primary language through linguistically proficient staff or interpreters. Family members, friends, or neighbors may be used as interpreters only in emergency situations.

c. For the purposes of this section, "access" is defined as the availability of medically necessary mental health services in a manner that promotes, provides the opportunity for and facilitates their use.

17. Notices

Any notices to be given hereunder to a Party shall be made via U.S. Mail or express courier to such Party's address given below, and/or via facsimile to the facsimile telephone numbers listed below.

If to Collaborator, to:
City of Seaside
440 Harcourt Avenue, Seaside, CA 93955
Attn: Theresa Zamora, MBA
Tel: 831-899-6745
Fax: 831-899-6227

If to the County, to:
Monterey County Health Department,
1270 Natividad Road, Salinas, CA 93906
Attn: Ray Bullick, Health Director
Tel: 831- 755-4526
Fax: 831-755-4797

This MOU constitutes the entire MOU between the parties and supersedes all previous communications, representations or MOUs regarding this subject, whether written, or oral, between the parties.

Consent to the terms of this MOU is indicated by the authorized signatures affixed and dated on the following page.

The remaining of this page is intentionally blank.

IN WITNESS WHEREOF, COUNTY and COLLABORATOR have executed this MOU as of the day and year written below.

COUNTY OF MONTEREY

By: _____
Ray Bullick, Director of Health

Date: _____

Approved as to Form

By: _____
Stacy L. Sietta, Deputy County Counsel

Date: _____

Approved as to Fiscal Provisions

By: _____
Gary Giboney, Auditor-Controller

Date: _____

Approved as to Liability Provisions

By: _____
Steven F. Mauck, Risk Management

Date: _____

**COLLABORATOR
CITY OF SEASIDE**

Collaborator's Business Name*

By: _____
(Signature of Chair, President, or Vice-President)*

John Dunn, City Manager
Name and Title

Date: _____

By: _____
(Signature of Secretary, Asst. Secretary, CFO, or Asst. Treasurer)*

Name and Title

Date: _____

*INSTRUCTIONS: If COLLABORATOR is a corporation, including limited liability and non-profit corporations, the full legal name of the corporation shall be set forth above together with the signatures of two specified officers. If COLLABORATOR is a partnership, the name of the partnership shall be set forth above together with the signature of a partner who has authority to execute this MOU on behalf of the partnership. If COLLABORATOR is contracting in an individual capacity, the individual shall set forth the name of the business, if any, and shall personally sign the MOU.

EXHIBIT A: CONFIDENTIALITY OF PATIENT INFORMATION

Confidentiality of Patient Information and Records. All patient information and records are confidential. COLLABORATOR shall maintain the confidentiality of all patient records, including billings and computerized records, in accordance with all state and federal law relating to confidentiality of patient records and patient information, including but not limited to: Welfare and Institutions Code sections 5328, *et seq.*, 14100.2, and 10850, *et seq.*; Title 45 Code of Federal Regulations section 205.50, and Title 42, CFR, section 431.300 *et seq.*

“Patient information” or “confidential information” includes any patient/recipient of services identifying information including, but not limited to: name, identifying numbers, symbol, fingerprint, photograph or voice print. In addition, “patient information” or “confidential information” includes all information COLLABORATOR has obtained about a patient/recipient of services whether or not a documentary record of such information exists.

Use and Disclosure of Patient Information. Confidential information gained by COLLABORATOR from access to records and from contact with patients/recipients of service and complainants shall be used by COLLABORATOR only in connection with its performance under this MOU. COLLABORATOR shall not disclose patient records or information, including the identities of patients/recipients of service, without proper consent to such disclosure or a court order requiring disclosure. In addition, COLLABORATOR shall obtain COUNTY's authorization to such disclosure prior to any release of confidential information. The COUNTY, through the Behavioral Health Director, shall have access to such confidential information.

Penalty for Unauthorized Disclosure. COLLABORATOR understands that disclosure of patient information in violation of law may subject the party releasing the information to a minimum of \$10,000 in civil damages, as set forth in Welfare and Institutions Code Section 5330.

Duty to Warn. COLLABORATOR understands that persons providing services under this MOU may, in certain situations involving a patient or recipient of services who is a danger to himself or others, have a duty to warn third parties of such danger and should consult supervisory staff and/or legal counsel about such duty to warn as appropriate.

Dissemination of these Confidentiality Provisions. COLLABORATOR shall inform all its officers, employees, agents, and subcontractors providing services hereunder of these provisions.

By my signature below, as the authorized representative of the COLLABORATOR named below, I certify acceptance and understanding for myself and the COLLABORATOR of the above confidentiality provisions.

Signature of Authorized Representative

Date

CITY OF SEASIDE

Business Name of Collaborator

John Dunn, City Manager

Name of Authorized Representative (printed)

Title of Authorized Representative

EXHIBIT B: ASSURANCE OF COMPLIANCE WITH MONTEREY COUNTY'S CULTURAL COMPETENCY POLICY

In a culturally competent system, each provider organization shows respect for and responds to individual differences and special needs. Services are provided in the appropriate cultural context and without discrimination related to race, national origin, income level, religion, gender, sexual orientation, age, or physical disability, to name a few. Culturally competent caregivers are aware of the impact of their own culture on their relationships with consumers/families and know about and respect cultural and ethnic differences. They adapt their skills to meet each individual's/family's values and customs. Cultural competence is a developmental and dynamic process – one that occurs over time.

Organizations in a Culturally Competent Service System Promote:

Quality Improvement

- Continuous evaluation and quality improvement
- Supporting evidence-based, promising, community defined, and emerging practices that are congruent with ethnic/racial/linguistic group belief systems, cultural values and help-seeking behaviors.

Collaboration

- Collaborating with Behavioral Health and other community programs
- Resolving barriers to partnerships with other service providers

Access

- Providing new services to unserved and underserved children, youth, adults and/or older adults
- Reducing disparities in access to, and retention in, care as identified in the Mental Health Services Act Plan
- Ensuring representation of mental health services consumers, family members of a mental health services consumer, and/or representatives from unserved communities on their advisory/governance body or committee for development of service delivery and evaluation (with a minimum target of 40%).
- Developing recruitment, hiring, and retention plans that are reflective of the population focus, communities' ethnic, racial, and linguistic populations.

Culturally Competent Services:

- Are available, accessible and welcoming to all clients regardless of race, ethnicity, language, age, and sexual orientation.
- Provide a physical environment that is friendly, respectful and inclusive of all cultures.
- Provide information, resources and reading materials in multilingual formats.
- Promote and foment culturally accepted social interactions, respect and healthy behaviors within the family constellation and service delivery system.

- Provide options for services, which are consistent with the client’s beliefs, values, healing traditions, including individual preferences for alternative, spiritual and/or holistic approaches to health.
- Offer services in unserved and underserved communities.
- Have services available in the evening and on weekends to ensure maximum accessibility.
- Offer services in Spanish and other necessary languages (such as Tagalog, Vietnamese, Oaxacan, Trique and other languages spoken of Monterey County residents).

Definitions for Cultural Competency

“Cultural Competence” is defined as a set of congruent practice skills, knowledge, behaviors, attitudes, and policies that come together in a system, agency, or among consumer providers, family members, and professionals that enables that system, agency, or those professionals and consumers, and family member providers to work effectively in cross-cultural situations.

(Adapted from Cross, et al., 1989; cited in DMH Information Notice No.02-03).

“Cultural Competence” is a means to eliminating cultural, racial and ethnic disparities. Cultural Competence enhances the ability of the whole system to incorporate the languages, cultures, beliefs and practices of its clients into the service. In this way all clients benefit from services that address their needs from the foundation of their own culture. Strategies for elimination of these disparities must be developed and implemented. Cultural Competence must be supported at all levels of the system.

(CMHDA Framework for Eliminating Cultural, Linguistic, Racial and Ethnic Behavioral Health Disparities)

[Cultural Competency] A set of congruent behaviors, attitudes, and policies that come together in a system, agency or amongst professionals and consumers and enables that system, agency or those professionals and consumers to work effectively in cross-cultural situations.

(Cross, Bazron, Dennis & Issacs, 1989)

The ability to work effectively with culturally diverse clients and communities.

(Randall David, 1994)

COLLABORATOR hereby agrees that it will comply with the principles and guidelines set forth in Monterey County’s Health Department – Behavioral Health’s Cultural Competency Policy (as outlined above), and will:

1. Develop organizational capacity to provide services in a culturally and linguistically competent manner. This may include: hiring staff with the linguistic capabilities needed to meet the diverse language needs in Monterey County (for example, Spanish, Tagalog,

Vietnamese, Oaxacan, Trique, American Sign Language (ASL), Middle Eastern languages); providing staff with training in cultural competency; making services accessible at locations and times that minimize access barriers, and ensuring that staff have an open, welcoming and positive attitude and feel comfortable working with diverse cultures.

2. Create a physical environment that ensures people of all cultures, ages and sexual orientation feel welcome and cared for. This may include: decorating waiting and treatment areas with pictures that reflect the diverse cultures of Monterey County; providing reading materials, resources and magazines in varied languages, at appropriate reading levels and suitable for different age groups, including children and youth; consideration of cultural differences and preferences when offering refreshments; ensuring that any pictures, symbols or materials on display are not unintentionally disrespectful to another culture.
3. Provide a services delivery environment that ensures people of all cultures, ages and sexual orientation feel welcome and cared for. This may include: respect for individual preferences for alternative, spiritual and/or holistic approaches to health; a reception staff that is competent in the different languages spoken by consumers/families; staff that is knowledgeable of cultural and ethnic differences and needs, and is able and willing to respond in an appropriate and respectful manner.
4. Support the county's goal to reduce disparities to care by increasing access and retention while decreasing barriers to services by unserved and underserved communities.
5. Include the voice of multi-cultural youth, client and family members, including: monolingual and bilingual clients and family members and representatives from unserved and underserved communities, in the advisory/governance body or committee for development of service delivery, planning and evaluation (County Goal: 40%).
6. Participate in outcome evaluation activities aimed at assessing individual organizations as well as countywide cultural competency in providing mental health services.
7. As requested, meet with the Monterey County Health Department - Behavioral Health Director or designee to monitor progress and outcomes of the project.
8. Ensure that 100% of staff, over a 3 year period, participate in cultural competency training including, but not limited to, those offered by Monterey County Behavioral Health.

Dissemination of these Provisions. COLLABORATOR shall inform all its officers, employees, agents, and subcontractors providing services hereunder of these provisions.

By my signature below, as the authorized representative of the COLLABORATOR named below, I certify acceptance and understanding for myself and the COLLABORATOR of the above provisions.

	<u>CITY OF SEASIDE</u>
	Contractor (Organization Name)
<u>Signature of Authorized Representative</u>	<u>John Dunn</u>
	Name of Authorized Representative (printed)
<u>Date</u>	<u>City Manager, City of Seaside</u>
	Title of Authorized Representative

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EXHIBIT C: BUSINESS ASSOCIATE AGREEMENT

This Business Associate MOU (“MOU”), effective **July 1, 2015** (“Effective Date”), is entered into by and among the County of Monterey, a political subdivision of the State of California, on behalf of the Health Department (“Covered Entity”) and **City of Seaside** (“Business Associate”) (each a “Party” and collectively the “Parties”).

Business Associate provides certain services for Covered Entity (“Services”) that involve the use and disclosure of Protected Health Information that is created or received by Business Associate from or on behalf of Covered Entity (“PHI”). The Parties are committed to complying with the Standards for Privacy of Individually Identifiable Health Information, 45 C.F.R. Part 160 and Part 164, Subparts A and E as amended from time to time (the “Privacy Rule”), and with the Security Standards, 45 C.F.R. Part 160 and Part 164, Subpart C as amended from time to time (the “Security Rule”), under the Health Insurance Portability and Accountability Act of 1996 (“HIPAA”), as amended by the Health Information Technology for Economic and Clinical Health Act and its implementing regulations (“HITECH”). Business Associate acknowledges that, pursuant to HITECH, 45 C.F.R. §§ 164.308 (administrative safeguards), 164.310 (physical safeguards), 164.312 (technical safeguards), 164.316 (policies and procedures and documentation requirements) and 164.502 et. seq. apply to Business Associate in the same manner that such sections apply to Covered Entity. The additional requirements of Title XIII of HITECH contained in Public Law 111-005 that relate to privacy and security and that are made applicable with respect to covered entities shall also be applicable to Business Associate. The Parties are also committed to complying with the California Confidentiality of Medical Information Act, Ca. Civil Code §§ 56 et seq. (“CMIA”), where applicable. Business Associate acknowledges that the CMIA prohibits Business Associate from further disclosing the PHI it receives from Covered Entity where such disclosure would be violative of the CMIA. The Parties are also committed to complying with applicable requirements of the Red Flag Rules issued pursuant to the Fair and Accurate Credit Transactions Act of 2003 (“Red Flag Rules”). This MOU sets forth the terms and conditions pursuant to which PHI, and, when applicable, Electronic Protected Health Information (“EPHI”), shall be handled. The Parties further acknowledge that state statutes or other laws or precedents may impose data breach notification or information security obligations, and it is their further intention that each shall comply with such laws as well as HITECH and HIPAA in the collection, handling, storage, and disclosure of personal data of patients or other personal identifying information exchanged or stored in connection with their relationship.

The Parties agree as follows:

1. DEFINITIONS

All capitalized terms used in this MOU but not otherwise defined shall have the meaning set forth in the Privacy Rule, Security Rule and HITECH.

2. PERMITTED USES AND DISCLOSURES OF PHI

2.1 Unless otherwise limited herein, Business Associate may:

(a) use or disclose PHI to perform functions, activities or Services for, or on behalf of, Covered Entity as requested by Covered Entity from time to time, provided that such use or disclosure would not violate the Privacy or Security Rules or the standards for Business Associate MOUs set forth in 45 C.F.R. § 164.504(e), exceed the minimum

necessary to accomplish the intended purpose of such use or disclosure, violate the additional requirements of HITECH contained in Public Law 111-005 that relate to privacy and security, or violate the CMIA;

(b) disclose PHI for the purposes authorized by this MOU only: (i) to its employees, subcontractors and agents; (ii) as directed by this MOU; or (iii) as otherwise permitted by the terms of this MOU;

(c) use PHI in its possession to provide Data Aggregation Services to Covered Entity as permitted by 45 C.F.R. § 164.504(e)(2)(i)(B);

(d) use PHI in its possession for proper management and administration of Business Associate or to carry out the legal responsibilities of Business Associate as permitted by 45 C.F.R. § 164.504(e)(4)(i);

(e) disclose the PHI in its possession to third parties for the proper management and administration of Business Associate to the extent and in the manner permitted under 45 C.F.R. § 164.504(e)(4)(ii); provided that disclosures are Required by Law , or Business Associate obtains reasonable assurances from the persons to whom the information is disclosed that it will remain confidential and used or further disclosed only as Required by Law or for the purpose for which it was disclosed to the person, and the person notifies the Business Associate of any instances of which it is aware in which the confidentiality of the information has been breached;

(f) use PHI to report violations of law to appropriate Federal and state authorities, consistent with 45 C.F.R. § 164.502(j)(1);

(g) de-identify any PHI obtained by Business Associate under this MOU for further use or disclosure only to the extent such de-identification is pursuant to this MOU, and use such de-identified data in accordance with 45 C.F.R. § 164.502(d)(1).

3. RESPONSIBILITIES OF THE PARTIES WITH RESPECT TO PHI

3.1 Responsibilities of Business Associate. With regard to its use and/or disclosure of PHI, Business Associate shall:

(a) use and/or disclose the PHI only as permitted or required by this MOU or as otherwise Required by Law;

(b) report to the privacy officer of Covered Entity, in writing, (i) any use and/or disclosure of the PHI that is not permitted or required by this MOU of which Business Associate becomes aware, and (ii) any Breach of unsecured PHI as specified by HITECH, within two (2) days of Business Associate's determination of the occurrence of such unauthorized use and/or disclosure. In such event, the Business Associate shall, in consultation with the Covered Entity, mitigate, to the extent practicable, any harmful effect that is known to the Business Associate of such improper use or disclosure. The notification of any Breach of unsecured PHI shall include, to the extent possible, the identification of each individual whose unsecured PHI has been, or is reasonably believed by the Business Associate to have been, accessed, acquired, used or disclosed during the Breach.

(c) use commercially reasonable safeguards to maintain the security of the PHI and to prevent use and/or disclosure of such PHI other than as provided herein;

(d) obtain and maintain an MOU with all of its subcontractors and agents that receive, use, or have access to, PHI pursuant to which MOU such subcontractors and agents agree to adhere to the same restrictions and conditions on the use and/or disclosure of PHI that apply to Business Associate pursuant to this MOU;

(e) make available all internal practices, records, books, MOUs, policies and procedures and PHI relating to the use and/or disclosure of PHI to the Secretary for purposes of determining Covered Entity or Business Associate's compliance with the Privacy Rule;

(f) document disclosures of PHI and information related to such disclosure and, within ten (10) days of receiving a written request from Covered Entity, provide to Covered Entity such information as is requested by Covered Entity to permit Covered Entity to respond to a request by an individual for an accounting of the disclosures of the individual's PHI in accordance with 45 C.F.R. § 164.528, as well as provide an accounting of disclosures, as required by HITECH, directly to an individual provided that the individual has made a request directly to Business Associate for such an accounting. At a minimum, the Business Associate shall provide the Covered Entity with the following information: (i) the date of the disclosure, (ii) the name of the entity or person who received the PHI, and if known, the address of such entity or person; (iii) a brief description of the PHI disclosed; and (iv) a brief statement of the purpose of such disclosure which includes an explanation of the basis for such disclosure. In the event the request for an accounting is delivered directly to the Business Associate, the Business Associate shall, within two (2) days, forward such request to the Covered Entity. The Business Associate shall implement an appropriate recordkeeping process to enable it to comply with the requirements of this Section;

(g) subject to Section 4.4 below, return to Covered Entity within twenty-one (21) days of the termination of this MOU, the PHI in its possession and retain no copies, including backup copies;

(h) disclose to its subcontractors, agents or other third parties, and request from Covered Entity, only the minimum PHI necessary to perform or fulfill a specific function required or permitted hereunder;

(i) if all or any portion of the PHI is maintained in a Designated Record Set:

(i) upon ten (10) days' prior written request from Covered Entity, provide access to the PHI in a Designated Record Set to Covered Entity or, as directed by Covered Entity, the individual to whom such PHI relates or his or her authorized representative to meet a request by such individual under 45 C.F.R. § 164.524; and

(ii) upon ten (10) days' prior written request from Covered Entity, make any amendment(s) to the PHI that Covered Entity directs pursuant to 45 C.F.R. § 164.526;

(j) maintain policies and procedures to detect and prevent identity theft in connection with the provision of the Services, to the extent required to comply with the Red Flag Rules;

(k) notify the Covered Entity within five (5) days of the Business Associate's receipt of any request or subpoena for PHI. To the extent that the Covered Entity decides to

assume responsibility for challenging the validity of such request, the Business Associate shall cooperate fully with the Covered Entity in such challenge;

(l) maintain a formal security program materially in accordance with all applicable data security and privacy laws and industry standards designed to ensure the security and integrity of the Covered Entity's data and protect against threats or hazards to such security

The Business Associate acknowledges that, as between the Business Associate and the Covered Entity, all PHI shall be and remain the sole property of the Covered Entity.

3.2 Additional Responsibilities of Business Associate with Respect to EPHI. In the event that Business Associate has access to EPHI, in addition to the other requirements set forth in this MOU relating to PHI, Business Associate shall:

(a) implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of EPHI that Business Associate creates, receives, maintains, or transmits on behalf of Covered Entity as required by 45 C.F.R. Part 164, Subpart C;

(b) ensure that any subcontractor or agent to whom Business Associate provides any EPHI agrees in writing to implement reasonable and appropriate safeguards to protect such EPHI; and

(c) report to the privacy officer of Covered Entity, in writing, any Security Incident involving EPHI of which Business Associate becomes aware within two (2) days of Business Associate's discovery of such Security Incident. For purposes of this Section, a Security Incident shall mean (consistent with the definition set forth at 45 C.F.R. § 164.304), the attempted or successful unauthorized access, use, disclosure, modification, or destruction of information or interference with systems operations in an information system. In such event, the Business Associate shall, in consultation with the Covered Entity, mitigate, to the extent practicable, any harmful effect that is known to the Business Associate of such improper use or disclosure.

3.3 Responsibilities of Covered Entity. Covered Entity shall, with respect to Business Associate:

(a) provide Business Associate a copy of Covered Entity's notice of privacy practices ("Notice") currently in use;

(b) notify Business Associate of any limitations in the Notice pursuant to 45 C.F.R. § 164.520, to the extent that such limitations may affect Business Associate's use or disclosure of PHI;

(c) notify Business Associate of any changes to the Notice that Covered Entity provides to individuals pursuant to 45 C.F.R. § 164.520, to the extent that such changes may affect Business Associate's use or disclosure of PHI;

(d) notify Business Associate of any changes in, or withdrawal of, the consent or authorization of an individual regarding the use or disclosure of PHI provided to Covered Entity pursuant to 45 C.F.R. § 164.506 or § 164.508, to the extent that such changes may affect Business Associate's use or disclosure of PHI; and

(e) notify Business Associate, in writing and in a timely manner, of any restrictions on use and/or disclosure of PHI as provided for in 45 C.F.R. § 164.522 agreed to by Covered Entity, to the extent that such restriction may affect Business Associate's use or disclosure of PHI.

4. TERMS AND TERMINATION

4.1 Term. This MOU shall become effective on the Effective Date and shall continue in effect unless terminated as provided in this Article 4. Certain provisions and requirements of this MOU shall survive its expiration or other termination as set forth in Section 5.1 herein.

4.2 Termination. Either Covered Entity or Business Associate may terminate this MOU and any related MOUs if the terminating Party determines in good faith that the terminated Party has breached a material term of this MOU; provided, however, that no Party may terminate this MOU if the breaching Party cures such breach to the reasonable satisfaction of the terminating Party within thirty (30) days after the breaching Party's receipt of written notice of such breach.

4.3 Automatic Termination. This MOU shall automatically terminate without any further action of the Parties upon the termination or expiration of Business Associate's provision of Services to Covered Entity.

4.4 Effect of Termination. Upon termination or expiration of this MOU for any reason, Business Associate shall return all PHI pursuant to 45 C.F.R. § 164.504(e)(2)(ii)(I) if, and to the extent that, it is feasible to do so. Prior to doing so, Business Associate shall recover any PHI in the possession of its subcontractors or agents. To the extent it is not feasible for Business Associate to return or destroy any portion of the PHI, Business Associate shall provide Covered Entity a statement that Business Associate has determined that it is infeasible to return or destroy all or some portion of the PHI in its possession or in possession of its subcontractors or agents. Business Associate shall extend any and all protections, limitations and restrictions contained in this MOU to any PHI retained after the termination of this MOU until such time as the PHI is returned to Covered Entity or destroyed.

5. MISCELLANEOUS

5.1 Survival. The respective rights and obligations of Business Associate and Covered Entity under the provisions of Sections 4.4, 5.1, 5.6, and 5.7, and Section 2.1 (solely with respect to PHI that Business Associate retains in accordance with Section 4.4 because it is not feasible to return or destroy such PHI), shall survive termination of this MOU until such time as the PHI is returned to Covered Entity or destroyed. In addition, Section 3.1(i) shall survive termination of this MOU, provided that Covered Entity determines that the PHI being retained pursuant to Section 4.4 constitutes a Designated Record Set.

5.2 Amendments; Waiver. This MOU may not be modified or amended, except in a writing duly signed by authorized representatives of the Parties. To the extent that any relevant provision of the HIPAA, HITECH or Red Flag Rules is materially amended in a manner that changes the obligations of Business Associates or Covered Entities, the Parties agree to negotiate in good faith appropriate amendment(s) to this MOU to give effect to the revised obligations. Further, no provision of this MOU shall be waived, except in a writing duly signed by authorized representatives of the Parties. A waiver with respect to one event shall not be construed as continuing, or as a bar to or waiver of any right or remedy as to subsequent events.

5.3 No Third Party Beneficiaries. Nothing express or implied in this MOU is intended to confer, nor shall anything herein confer, upon any person other than the Parties and the respective successors or assigns of the Parties, any rights, remedies, obligations, or liabilities whatsoever.

5.4 Notices. Any notices to be given hereunder to a Party shall be made via U.S. Mail or express courier to such Party's address given below, and/or via facsimile to the facsimile telephone numbers listed below.

If to Business Associate, to:
City of Seaside
440 Harcourt Avenue, Seaside, CA 93955
Attn: Theresa Zamora, MBA
Tel: (831) 899-6745
Fax: (831) 899-6227

If to Covered Entity, to:
Monterey County Health Department/Behavioral Health Bureau
1270 Natividad Road, Salinas, CA 93906
Attn: Ray Bullick, Monterey County Health Director
Tel: (831) 755-4500
Fax: (831) 755-4797

Each Party named above may change its address and that of its representative for notice by the giving of notice thereof in the manner hereinabove provided. Such notice is effective upon receipt of notice, but receipt is deemed to occur on next business day if notice is sent by FedEx or other overnight delivery service.

5.5 Counterparts; Facsimiles. This MOU may be executed in any number of counterparts, each of which shall be deemed an original. Facsimile copies hereof shall be deemed to be originals.

5.6 Choice of Law; Interpretation. This MOU shall be governed by the laws of the State of California; as provided, however, that any ambiguities in this MOU shall be resolved in a manner that allows Business Associate to comply with the Privacy Rule, and, if applicable, the Security Rule and the CMIA.

5.7 Indemnification. Contractor shall indemnify, defend, and hold harmless the County of Monterey (hereafter County), its officers, agents, and employees from any claim, liability, loss, injury, cost, expense, penalty or damage, including the County's reasonable cost of providing notification of and of mitigating any acquisition, access, use or disclosure of PHI in a manner not permitted by this BAA, arising out of, or in connection with, performance of this BAA by Contractor and/or its agents, members, employees, or sub-contractors, excepting only loss, injury, cost, expense, penalty or damage caused by the negligence or willful misconduct of personnel employed by the County. It is the intent of the parties to this BAA to provide the broadest possible indemnification for the County. Contractor shall reimburse the County for all costs, attorneys' fees, expenses, and liabilities incurred by the County with respect to any investigation, enforcement proceeding or litigation in which Contractor is obligated to indemnify, defend, and hold harmless the County under this BAA. This provision is in addition to and independent of any

indemnification provision in any related or other MOU between the Covered Entity and the Business Associate.

IN WITNESS WHEREOF, each of the undersigned has caused this MOU to be duly executed in its name and on its behalf as of the Effective Date.

***COUNTY OF MONTEREY, ON BEHALF OF
THE HEALTH DEPARTMENT***

[BUSINESS ASSOCIATE]

CITY OF SEASIDE

By: _____

By: _____

Print Name: Ray Bullick

Print Name: John Dunn

Print Title: Director of Health

Print Title: City Manager

Date: _____

Date: _____

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EXHIBIT D: CONSENT FORMS



Monterey County Behavioral Health

Authorization for Use, Exchange, and/or Disclosure of Confidential Behavioral Health Information

Completion of this document authorizes the use or release of confidential behavioral health information about you or your child. It is important that you complete this Authorization if you wish to authorize Monterey County Behavioral Health to use, disclose, or exchange confidential health information about you or your child.

I _____ hereby authorize
(name of client or client's representative)

☐ My or my child's Monterey County Behavioral Health mental health provider

☐ My or my child's alcohol/other drug providers in the Monterey County Behavioral Health Alcohol and Other Drug Programs

to disclose confidential information about me/my child to the following person/entity:

(name of person/entity)

for the following purpose(s): _____

I specifically authorize the use, exchange or disclosure of the following information:

☐ All health information pertaining to my or my child's medical history, mental or physical condition, assessment, and treatment received, including:

☐ Mental health information

☐ HIV test results

☐ Alcohol/drug treatment information

EXHIBIT D: CONSENT FORMS – CONTINUED

☐ This authorization expires 90 days after my or my child's treatment ends or when there is no longer any need for access by Monterey County Behavioral Health treatment program providers, whichever is sooner.

OR

☐ This authorization expires on (insert date or event):_____.

I may refuse to sign this Authorization. My refusal may affect my or my child's ability to receive services from Monterey County Behavioral Health but will not affect my or my child's ability to receive individual services provided by other County healthcare programs for which I or my child may qualify.

I may inspect or obtain a copy of the health information that I am being asked to allow the use or disclosure of.

I may revoke this authorization at any time either in writing or by verbally informing my Monterey County Behavioral Health service provider. My revocation will take effect upon receipt, except to the extent others have acted in reliance on this Authorization.

I have a right to receive a copy of this authorization.

Information used, exchanged and disclosed pursuant to this authorization will not be redisclosed by any user or recipient except as required or permitted by law.

Date:_____

Time:_____

Signature:_____
(name of client/representative)

If signed by someone other than the client please indicate relationship:_____

Witness:_____



Monterey County Behavioral Health

Authorization for Use, Exchange, and/or Disclosure of Confidential Behavioral Health Information for Multi-Disciplinary Teams

Completion of this document authorizes the use or release of confidential behavioral health information about you or your child. It is important that you complete this Authorization if you wish to authorize Monterey County Behavioral Health to use, disclose, or exchange confidential health information about you or your child.

I _____ hereby authorize
(name of client or client's representative)

- ☐ My or my child's Monterey County Behavioral Health mental health provider(s)
- ☐ My or my child's alcohol/other drug provider(s) in the Monterey County Behavioral Health Alcohol and Other Drugs Programs
- ☐ Staff from the Monterey County Department of Probation involved in providing services to me/my child
- ☐ Staff from the Monterey County Department of Social Services involved in providing services to me/my child
- ☐ My child's school Individualized Education Plan Team
- ☐ _____
(Monterey County Multi-Disciplinary Team providing services to me/my child)
- ☐ Other: _____
- ☐ Other: _____
- ☐ Other: _____

to use, exchange, or disclose confidential information about me for the following purpose(s):

- ☐ To evaluate my/my child's need for behavioral or other health services;
- ☐ To provide and coordinate the services that are provided to me/my child by a Monterey County Multi-Disciplinary Team;
- ☐ To evaluate my/my child's participation and progress in a Monterey County Multi-Disciplinary Program
- ☐ Other: _____

EXHIBIT D: CONSENT FORMS – CONTINUED

I specifically authorize the use, exchange or disclosure of the following information:

- ☐ All health information pertaining to my medical history, mental or physical condition, assessment, and treatment received, including:
 - ☐ Mental health information
 - ☐ HIV test results
 - ☐ Alcohol/drug treatment information
- ☐ This authorization expires 90 days after my or my child's treatment ends or when there is no longer any need for access by Monterey County Behavioral Health treatment program providers, whichever is sooner.

OR

- ☐ This authorization expires on (insert date or event):_____.

I may refuse to sign this Authorization. My refusal may affect my or my child's ability to receive services from Monterey County Behavioral Health and multi-disciplinary program(s) Monterey County Behavioral Health participates in but will not affect my or my child's ability to receive individual services provided by other County healthcare programs for which I or my child may qualify.

I may inspect or obtain a copy of the health information that I am being asked to allow the use or disclosure of.

I may revoke this authorization at any time either in writing or by verbally informing my Monterey County Behavioral Health service provider. My revocation will take effect upon receipt, except to the extent others have acted in reliance on this Authorization.

I have a right to receive a copy of this authorization.

Information used, exchanged and disclosed pursuant to this authorization will not be redisclosed by any user or recipient except as required or permitted by law.

Date:_____

Time:_____

Signature:_____
(name of client/representative)

If signed by someone other than the client please indicate relationship:_____

Witness:_____