

# MONTEREY COUNTY

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VOLUME ONE

PROJECT MANUAL

BUILDING 400 CARDIOLOGY CLINIC &  
HVAC MECHANICAL UPGRADE  
PROJECT NO. 9600-66  
BID NO. NMC-1012



**TITLE SHEET**

BOARD OF SUPERVISORS  
COUNTY OF MONTEREY  
STATE OF CALIFORNIA

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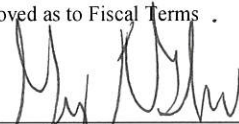
Gary Gray, D.O., Hospital Chief Executive Officer  
Andrea Rosenberg, Assistant Administrator Operations & Support  
Brian Griffin, Project Manager III

Approved as to Form



Anne Brereton  
Deputy County Counsel

Approved as to Fiscal Terms



Gary Giboney  
Chief Deputy Auditor-Controller

**BUILDING 400 CARDIOLOGY CLINIC &  
HVAC MECHANICAL UPGRADE  
PROJECT NO. 9600-66  
BID NO. NMC-1012**

The current General Prevailing Wage determined by the State of California Director of Industrial Relations is on file with RMA-Public Works.

168 W ALISAL ST FL2  
SALINAS CA 93901-2438  
(831)755-4220

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**SIGNATURE SHEET**

**VOLUME ONE**

**DIVISION 00  
PROJECT MANUAL**

**BUILDING 400 CARDIOLOGY CLINIC &  
HVAC MECHANICAL UPGRADE  
PROJECT NO. 9600-66  
BID NO. NMC-1012**

The drawings and specifications were prepared by HGA, under the direct supervision of

Signature

Date

3/18/2016



*Affix stamp below*



# PROJECT CONTACTS

## VOLUME ONE

**DIVISION 00: Procurement & Contracting Requirements**  
**BUILDING 400 CARDIOLOGY CLINIC &**  
**HVAC MECHANICAL UPGRADE**  
**PROJECT No. 9600-66**  
**BID No. NMC-1012**

### **OWNER**

COUNTY OF MONTEREY  
NATIVIDAD MEDICAL CENTER  
ATTN: BRIAN GRIFFIN | PROJECT MANAGER  
1441 CONSTITUTION BLVD, SALINAS, CA 93906  
T 831.783.2605 |  
[GRIFFINB@NATIVIDAD.COM](mailto:GRIFFINB@NATIVIDAD.COM)

### **ARCHITECT**

HGA ARCHITECTS AND ENGINEERS  
96 NORTH 2ND STREET, SAN JOSE, CA 95113  
DIRECT 408.213.8255 | CELL 650.714.9142  
[CMAININI@HGA.COM](mailto:CMAININI@HGA.COM) | HGA.COM

### **INTERIOR DESIGN**

GALLUN SNOW  
1920 MARKET ST., SUITE 201, DENVER, CO 80202  
MAIN 303.433.9500 FAX 303.433.5624  
MELANIE TINDELL | DESIGNER | LEED AP  
330.819.0750  
[MTINDELL@GALLUNSNOW.COM](mailto:MTINDELL@GALLUNSNOW.COM)

COUNTY OF MONTEREY  
BUILDING 400 CARDIOLOGY CLINIC &  
HVAC MECHANICAL UPGRADE  
PROJECT NO. 9600-66 BID NO. NMC-1012  
**NOTICE TO BIDDERS**  
Division 001000

Sealed bids for the above project will be received at OFFICE OF CLERK OF THE BOARD OF SUPERVISORS/ COUNTY OF MONTEREY/168 W ALISAL ST FL1/SALINAS CA 93901 (Mailing Address: P O Box 1728/Salinas CA 93902-1728) **until the submission deadline: 2:00 9.m. on Thursday, June 09, 2016**, at which time they will be publicly opened and read in the Board of Supervisors Conference Room.

Each bid shall be in accordance with the drawings, plans, specifications, and subject to all Special Provisions/Conditions, General Conditions, and other contract documents prepared by the County, and shall be submitted on the bid form prescribed by County. County reserves the right to reject any or all bids or to waive any irregularities or informalities in any bids or in the bidding. No bidder may withdraw his bid for a period of sixty (60) days after the opening of bids without the written consent of County. The bidder shall possess a valid Class B-General Building Contractors License and OSHPD-3 certification at the time of bid opening.

Scope for Base Bid, in general, for this project is in and on Building 400.

1. Remove and replace two rooftop air handling units and all associated plumbing, electrical and duct assemblies, platforms and curbs.
2. Tenant improvements per plans and specifications for a 5252 s.f. Primary care clinic requiring OSHPD-3 certification

Building is a three-story facility at 1441 Constitution Blvd – Bldg 400, Salinas CA 93912. The scope of work is clear as indicated in drawings. Determination of lowest bidder for Base Bid 1. Base Bid 2. will be determined by adding the Base Bid 1. and Base Bid 2.

Contract Documents are available ELECTRONICALLY and can be downloaded for free at the following Monterey County website:<http://www.co.monterey.ca.us/publicworks/bids.htm>. Interested bidders must register to view or download the documents. A file on compact-disc (CD) is also available at Monterey County NMC Engineering Department, Building 900 1441 Constitution Blvd Salinas CA. 93906 for a nonrefundable fee of \$5. Electronic files can be used to print the project plans, specifications, and other such documents at various printing companies.

A MANDATORY BIDDERS' MEETING with NMC Project Manager is scheduled for May 26<sup>th</sup> 10:00 a.m., at Natividad Medical Center/Building 400, 1441 Constitution Blvd., Salinas CA 93912.

All questions during bidding period must be submitted, in writing, as follows: via E-mail to Bid Processing Coordinator [jeskarl@natividad.com] and Project Manager [griffin@natividad.com] or via postal mail to: Bid # NMC-1012 Project Manager/ Monterey County NMC Engineering Department, Bldg. 1441 Constitution Blvd, Salinas, CA 93912. Deadline for receipt of written questions is 10.00 a.m., June 02, 2016. No questions will be accepted after the deadline. Answers to all questions and project addenda will be posted to RMA-PW project page listed above.

Security in an amount equal to at least ten percent of the total amount of the bid in the form of cash, cashier's check, or certified check payable to the County, or a bidders bond in the form attached to the proposal executed by an admitted corporate surety company, must accompany the bid. For the selected contractor, a payment bond and a performance bond, each in the amount of 100% of the Contract, are required.

Pursuant to Public Contract Code section 22300, the Contractor may substitute securities for any monies withheld by the County to ensure performance under the contract.

A contractor or subcontractor shall not be qualified to bid on, be listed in a bid proposal, subject to requirements of Section 4104 of the Public Contract Code, or engaged in performance of any contract for public work, as defined, unless currently registered and qualified to perform public work pursuant to Section 1725.5 of the Labor Code. It is not a violation of this section for an unregistered contractor to submit a bid that is authorized by Section 7029.1 of the Business and Professions Code or by Section 10164 or 20103.5 of the Public Contract Code, provided contractor is registered to perform public work pursuant to Section 1725.5 at the time the contract is awarded.

Bidders must comply with the provisions of Monterey County Code (MCC) Section 5.08.120, requiring a good-faith effort to employ qualified individuals who are residents of the Monterey Bay Area as defined in MCC Section 5.08.120.H.3 "Resident of Monterey Bay Area".

General prevailing wage rates in the county in which the work is to be done have been determined by the Director of California Department of Industrial Relations (DIR) and are on file and available at RMA-PW/168 W Alisal St FL2/Salinas CA 93901 and DIR Internet website: <http://www.dir.ca.gov/DLSR/PWD>. It shall be mandatory upon the Contractor to whom the contract is awarded, and upon any subcontractor under him, to pay not less than said specified rates to all workers employed by them in the execution of the contract. Project is subject to compliance monitoring and enforcement by DIR.

Date: May 10, 2016

BOARD OF SUPERVISORS

COUNTY OF MONTEREY

Publication dates:

May 16, 2016

May 23, 2016



## **INSTRUCTIONS TO BIDDERS**

Division 002000

*This "Instructions to Bidders" is intended to assist bidders in the preparation of their bids. If there is any inconsistency between the terms herein and any of the other contract documents, the terms in the other contract documents shall prevail.*

### **1. Notice To Bidders/Invitation to Submit Bids**

County of Monterey (County) invites bids to be submitted at such time and place stated in the Notice to Bidders. **The Notice to Bidders is advertised in a newspaper of general circulation and is posted as a separate document on County of Monterey website, RMA-Public Works project page.** This "Instructions to Bidders" is intended to assist bidders in the preparation of their bids. If there is any inconsistency between the terms herein and any of the other contract documents, the terms in the other contract documents shall prevail.

### **2. Examination of Site, Bidding and Contract Documents**

Project specifications, drawings, and other contract documents may be examined at Engineering Office bldg. 900 at 1441 CONSTITUTION BLVD, SALINAS, CA 93906 (831)755-4220.

Also, Project documents may be viewed, downloaded, and printed **for free** directly from the Monterey County website project page: <http://www.co.monterey.ca.us/publicworks/announcements.shtml>, select *For Current Bids*, then Project. Interested parties must register to view/download documents.

Alternately, interested parties may engage a printing service of their choosing to download and print documents from County project page. Project documents may also be available to view at builders' exchanges listed on the project page or members of Ebidboard can access materials directly from its website.

Each bidder shall visit the site of the proposed work and become fully acquainted with the conditions relating to the construction and labor in order to understand fully the facilities, difficulties, and restrictions attending the execution of the work under the contract. Bidders shall thoroughly examine and become familiar with the plans, specifications, working details, and existing conditions. The failure or omission of any bidder to receive or examine any contract documents, form, instrument, addendum, or other document, or to visit the site and become acquainted with conditions there existing shall in no way relieve such bidder from obligations with respect to such bid or to the contract. Submission of a bid shall be taken as prima facie evidence of compliance with this section.

### **3. Mandatory Bidder's Meeting**

If a mandatory bidders' meeting is required in the Notice to Bidders, then a qualified representative of the bidder's firm must attend at the stated time and place. Failure to attend will be cause for rejection of the bid. Any bid received from a bidder who did not fully attend the mandatory bidders' meeting at the stated time and place will be returned unopened. "Fully attend" means attending the entire meeting from start to finish; late arrivals and early departures may be cause for rejection of the bid.

### **4. Contractor's License**

Each bidder must be licensed to perform the project in accordance with the provisions of Contractors' State Licensing Law, Chapter 9 (commencing with section 7000) of Division 3 of the Business and Professions Code, and in accordance with the Notice to Bidders. Contractor's license number and expiration date of the license shall appear on the bid. The classification of Contractor's License required for this project is "B - General Building Contractor."

## 5. Contractor Registration with the Department of Industrial Relations

Attention is directed to Department of Industrial Relations Contractor registration for public works projects. Pursuant to Labor Code section 1771.1(a), a contractor or subcontractor shall not be qualified to bid on, be listed in a bid proposal, subject to the requirements of Section 4101 of the Public Contract Code, or engage in the performance of any contract for public work, as defined in this chapter, unless currently registered and qualified to perform public work pursuant to Section 1725.5. It is not a violation of this section for an unregistered contractor to submit a bid that is authorized by Section 7029.1 of the Business and Professions Code or by Section 10164 or 20103.5 of the Public Contract Code, provided the contractor is registered to perform public work pursuant to Section 1725.5 at the time the contract is awarded.

This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations. For Contractor Registration, go to: <http://www.dir.ca.gov/Public-Works/PublicWorks.html>.

## 6. Preparation of Bid Form

All bids must be submitted on the prescribed form. All blanks in the bid form must be appropriately filled in, and all prices must be stated in both words and figures, with the lump sum for which the bid is made. All bids must be submitted in sealed envelopes bearing on the outside the bidder's name and address, the name of the project, the bid date and time, and the bid package number for which the bid is submitted. It is the sole responsibility of the bidder to see that the bid is received at the proper place and in proper time. Any bid received after the scheduled closing time for receipt of bids will be returned to the bidder unopened.

## 7. Erasures

The bid submitted must not contain any erasures, interlineations, or other corrections unless each such correction is suitably authenticated by affixing in the margin immediately opposite the correction the surname or surnames of the person or persons signing the bid, in the named person's own handwriting.

## 8. Modifications

Changes in or additions to the bid form, recapitulations of the work bid upon, alternative proposals, or any other modification of the bid form which is not specifically called for in the contract documents may result in County's rejection of the bid as not being responsive to the invitation to bid. No oral or telephonic modification of any bid submitted will be considered. A telegraphic or telefax modification may be considered.

## 9. Signature

The bid must be signed in the name of the bidder and must bear the signature in longhand of the person or persons duly authorized to sign the bid. An original signature is required.

## 10. Interpretation of Plans and Documents

If any person contemplating submitting a bid for the proposed contract is in doubt as to the true meaning of any part of the plans, specifications, or other contract documents, or finds discrepancies in or omissions from the plans and specifications, he may submit to County a written request for an interpretation or correction thereof. The person submitting the request shall be responsible for its prompt delivery. Any

interpretation or correction of the contract documents will be made only by official project Q&A (questions/answers) or addendum duly issued, and a copy of such will be posted on County website, RMA-Public Works' Project Page [<http://www.co.monterey.ca.us/publicworks/announcements.shtml>, select *For Current Bids*, then the Project]. No oral interpretation of any provision in the contract documents will be made.

#### 11. Bidding Questions

All questions regarding the project during the bidding process must be made in writing to the attention of the designated project bidding coordinator via E-mail, postal mail, or via facsimile (fax). Contact information is listed on County website, RMA-Public Works' Project Page [<http://www.co.monterey.ca.us/publicworks/announcements.shtml>, select *For Current Bids*, then the Project]. No telephone or verbal questions will be accepted. ***QUESTIONS RECEIVED AFTER THE DEADLINE LISTED IN THE NOTICE TO BIDDERS WILL NOT BE ACCEPTED.*** Answers to all questions and any addendum regarding the project will be posted on the Project Page listed above.

#### 12. Bid Security

Each bid shall be accompanied by bidder's security in the form of cash, a certified or cashier's check payable to County, or a satisfactory bid bond in the form included in this bid book (Division 004400) in favor of County executed by the bidder as principal and a satisfactory corporate surety authorized to do business in the State of California as an admitted surety insurer, in an amount not less than ten percent (10%) of the total bid amount. The security shall be given as a guarantee that, if the contract is awarded to the bidder, the bidder will execute the contract, provide any required insurance certificates, and provide any payment and performance bonds required by the contract within ten (10) days after the bidder receives the Notice of Intent to Award letter. After ten (10) days, if the executed agreement, proper bonds and insurance documents are not submitted by the lowest responsive bidder, County has the right to determine that bid non-responsive and contact the second lowest responsive bidder.

#### 13. Listing Subcontractors

Each bidder shall submit with the sealed bid a list of the proposed subcontractors for the project as required by the Subletting and Subcontracting Fair Practices Act (Public Contract Code section 4100, et seq.). Forms for this purpose are furnished with the contract and bid documents. This includes all subcontractors performing work in an amount in excess of one-half of one percent of the prime contractor's total bid. All information is required. Effective July 2014, AB44 specifically requires that the California contractor license number of each subcontractor be provided.

#### 14. Prevailing Wage

The Director of the Department of Industrial Relations has determined the general prevailing rate of per diem wages and the general prevailing rate for holiday and overtime work in the locality in which this work is to be performed for each craft or type of worker needed to execute the contract. Copies of the prevailing rate of per diem wages are on file and shall be made available to any interested party on request in the Resource Management Agency-Public Works' office located at 168 W ALISAL ST FL2/ SALINAS CA 93901-2438. It shall be mandatory upon Contractor to whom the contract is awarded, and upon any subcontractor under him, to pay not less than said specified rates to all workers employed by them in the execution of the contract.

#### 15. Workers' Compensation Certificate

In accordance with the provisions of Section 3700 of the Labor Code, Contractor shall secure the

payment of workers' compensation to their employees. The following certificate, which such form is included as part of the contract documents, shall accompany each bid:

*I am aware of the provisions of Section 3700 of the Labor Code, which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract.*

**16. Good-Faith Effort to Employ Residents of Monterey Bay Area:**

In accordance with Section 5.08.120 of the Monterey County Code, all contractors and subcontractors providing work, laborers, or material suppliers on the project shall make a good-faith effort to employ qualified individuals who are, and have been for at least one (1) year out of the past three (3) years prior to the opening of bids, residents of the Monterey Bay Area in sufficient numbers so that no less than fifty percent (50%) of Contractor's total construction work force, on the project, including any subcontractor work force (with exception of specialty subcontractor items identified in the bid items) measured in labor work hours is comprised of Monterey Bay Area residents. A certification form relating to compliance with Section 5.08.120 is furnished with the bid documents. Bidder must complete the certification form and submit the certification form with the sealed bid. The Monterey County Board of Supervisors may deem your bid non-responsive for failure to abide by the good-faith local hiring provisions of Section 5.08.120.

If any contractor submitting a bid for a contract for public works of improvement fails to abide by the good-faith local employment provisions of this Section, Contractor may be declared by the Board to be a non-responsive bidder for purposes of this Chapter. If a contractor lists in his or her bid a subcontractor who is currently disqualified under the terms of this Section, the Board may declare said contractor to be a non-responsive bidder for purposes of this Chapter. If the Board finds that a contractor to whom a contract for public works of improvement has been awarded has failed to comply with the good-faith employment provisions of this Section during the performance of the contract, the Board may disqualify Contractor from bidding on any County contract for public works of improvement for a period of one (1) year from the date of the Board's disqualification. A subsequent violation of this Section by a contractor may result in disqualification by the Board for a period of three (3) years from the date of the subsequent disqualification.

"Resident of Monterey Bay Area" means a person who resides within the boundaries of Monterey County, Santa Cruz County, or San Benito County.

A "good-faith effort" means Contractor will take the following or similar actions to recruit and maintain Monterey Bay Area residents as part of the construction work force:

- Contact local recruitment sources, including local hiring halls, to identify qualified individuals who are Monterey Bay Area residents;
- Advertise for qualified Monterey Bay Area residents in trade papers, electronic/"on-line" sources, and newspapers of general circulation in the Monterey Bay Area, unless time limits imposed by County do not permit such advertising.
- If portions of the work are to be performed by subcontractors, identify qualified subcontractors whose work force includes Monterey Bay Area residents; and
- If current work force does not exceed the fifty (50) percent local employment requirement, develop a

written plan to recruit Monterey Bay Area residents as part of the construction work force.

Contractor shall keep an accurate record on a standardized form showing the name, place of residence trade classifications, hours worked, proof of journey person or apprenticeship status, per diem wages and benefits of each person employed by Contractor, Contractor's subcontractors on the project, including full-time, part-time, permanent, and temporary employees, make sure records are available to County with submission of final certified payroll records prior to final payment.

Contractor shall keep, and provide to County, on standardized forms acceptable to County, an accurate record documenting compliance with this provision. Said records shall include a listing by name and business address of all local recruitment sources contacted by the contractor, the date of the local recruitment contact and the identity and business address of the person contacted, the trade and classification and number of hire referrals requested, the number of local hires employed as a result of the contract, and the residence address of the person(s) employed pursuant to the contact.

At the conclusion of the project, and at other intervals as may be deemed appropriate by Construction Manager, Contractor shall provide a summary report of the percentage of actual labor work hours performed by Monterey Bay Area residents on the project.

Contractors and subcontractors are referred to the provisions of Section 5.08.120 of the Monterey County Code and the rules, regulations, and procedures adopted to implement Section 5.08.120, which are online at <http://library.municode.com/index.aspx?clientId=16111>.

#### 17. Bidders Interested in More Than One Bid

No person, firm, or corporation shall be allowed to make, or file, or be interested in more than one bid for the same work unless alternate bids are specifically called for. A person, firm, or corporation that has submitted a sub-proposal to a bidder, or that has quoted prices of materials to a bidder, is not thereby disqualified from submitting a sub-proposal or quoting prices to other bidders or making a prime proposal.

#### 18. Withdrawal of Bids

Any bidder may withdraw their bid either personally, by submitting a written request or telefaxed request to the County, at any time prior to the scheduled closing time for receipt of bids.

#### 19. Evidence of Responsibility

Upon request of County, a bidder whose bid is under consideration for the award of the contract shall submit promptly to County satisfactory evidence showing the bidder's financial resources, construction experience, and organization available for the performance of the contract, and upon written request, shall furnish County a complete copy of its estimate and all appropriate backup information and supporting documents. County may utilize this information as a basis for determining that a contractor is not responsible and, therefore, award the contract to the next lowest responsible and responsive bidder.

#### 20. Early Completion of Project

If, as an express or implied condition of their bid, a bidder plans to complete the project before the completion date specified in the contract documents and the amounts bid for the work called for are dependent upon such early completion, the bidder must submit with his bid a preliminary work progress schedule in sufficient detail to permit County or its Architect to determine that the bidder's preliminary

schedule presents a reasonable and practicable plan for performance.

- 1) Preliminary schedule shall be the bidder's proposed working schedule to plan, organize, and execute the work, record and report actual performance and progress, and show how general contractor plans to complete all remaining work as of the end of each progress report period.
- 2) The preliminary schedule shall be in the form of an activity on arrow-oriented (*I-J format*) network diagram (Critical Path Method) and the principles and definition of the terms used shall be as set forth in the Associated General Contractors of America (AGC) publication.
- 3) Failure to include a detailed preliminary work progress schedule with the bidder's bid shall be conclusively deemed to constitute acceptance of County's completion date as specified in the contract documents, and the bidder shall not thereafter be entitled to damages for any delays based on an early completion date proposed by the bidder.
- 4) Bidder who submits with his bid a preliminary work progress schedule which either (a) lacks sufficient detail to permit County to determine that the bidder's preliminary schedule presents a reasonable and practicable plan for performance or (b) is determined by County or its Architect not to be a reasonable and practicable plan for performance shall be deemed nonresponsive to the call for bids and his bid shall be rejected.

#### 21. Award of Contract

County reserves the right to reject any or all bids, or to waive any irregularities or informalities in any bids or in the bidding. The award of the contract, if made by County, will be to the lowest responsible and responsive bidder. The lowest bidder for the project will be determined by the Base Bid or along with any Add Alternates.

#### 22. Documents required upon receipt of Notice of Intent to Award letter

Within ten (10) days after the bidder receives notice the Notice of Intent to Award letter, the successful bidder shall, in conformity with the contract documents, submit the following documents, including the number of originals required in the Supplementary Conditions:

- Executed Agreement (Division 005000);
- A performance bond and a payment bond, each in an amount equal to one hundred percent of the contract sum, issued and executed by an admitted surety insurer, authorized to transact surety insurance in California (Division 006000 and 006100);
- Insurance certificates showing that the successful bidder has obtained all required insurance coverage including endorsements;
- Printout showing active registration of Contractor and all Subcontractors with the Public Works Contractor Registration (online registration <https://efiling.dir.ca.gov/PWCR/Search>);
- Such other documents as may be required by the contract documents.

#### 23. Bid Security Return

The bid security shall be returned to the bidders promptly after a decision is made whether to accept a bid or reject all bids, except that if a contract award is to be made, the bid security of three or more of the lowest bidders (the number being at the discretion of County) will be held for sixty (60) days after notice of award is received by the successful bidder or until the successful bidder returns the executed Agreement and posts the required bonds and certificates of insurance, whichever occurs first. If the successful bidder returns the required documents on time, all the remaining bid security will be returned.

#### 24. Forfeiture for Failure to Execute Contract

If the bidder to whom a Notice of Intent to Award letter is made fails or refuses to execute the contract and post the required bonds and insurance certificates within ten (10) days from the date of receiving the Notice of Intent to Award letter, County may declare the bidder's bid security forfeited as liquidated damages caused by the failure of the bidder to enter into the contract, and may award the work to the next lowest responsible and responsive bidder or may call for new bids. If the work is awarded to the next bidder, County shall retain the remaining bid securities until such time as a new award is completed with the execution of the Agreement and the posting of bonds and certificates. If new bids are called for, all remaining bid securities will be promptly returned.

## 25. Bid Protests

### Who can file a protest?

Any Bidder or directly affected party who is aggrieved in connection with the solicitation or award of contract (ex. Subcontractor), representatives of the above (ex. Unions) may file a bid protest.

### Requirements for Filing a Protest

Bidders who wish to lodge a protest as to the award of the bid must do so before 5 p.m. of the fifth business day following the issuance of the Notice of Intent to Award letter. Failure to timely file a written protest shall constitute a waiver of right to protest. Untimely protests will not be accepted or considered. Bid protests must be submitted, in writing, to: **NATIVIDAD MEDICAL CENTER TO THE ATTENTION OF PROJECT MANAGER/ ENGINEERING OFFICE BLDG. 900 AT 1441 CONSTITUTION BLVD, SALINAS, CA 93906**. Protests may be hand-delivered or sent via facsimile [(831)755-4268], certified postal mail, or E-mailed to the attention of Project Manager at GriffinB@natividad.com. Bid protests must include the project name and bid number; a complete statement describing the basis for the bid protest, including a detailed statement of all legal and factual grounds for the protest; any documentation supporting the protestor's grounds for the protest; and the form of relief requested and legal basis for such relief. The party lodging the protest must also include their contact information including mailing address, telephone number, and E-mail address.

If a valid protest is timely filed, NMC shall investigate the bid protest. The protested party shall have three (3) business days to respond to any requests to provide additional information from NMC.

### County Response to Protests Received

NMC Facilities shall respond to the protesting party, in writing, stating its findings. Assistant Administrator Operations & Support shall submit a summary of bid protests received and make a recommendation to the Board of Supervisors regarding the bid protest(s).

**BID FORM**  
Division 003000

**MONTEREY COUNTY BOARD OF SUPERVISORS**

<b>MAILING ADDRESS</b>		P O BOX 1728 SALINAS CA 93902
<b>PHYSICAL ADDRESS</b>		168 W ALISAL ST 1 <sup>st</sup> FLR SALINAS CA 93901

**BUILDING 400 CARDIOLOGY CLINIC AND HVAC  
PROJECT No. 9600-66 BID No. NMC-1012**

NAME OF BIDDER:

---

BUSINESS ADDRESS:

---

---

TELEPHONE NUMBER:

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PLACE OF RESIDENCE:

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**BID FORM**

**BUILDING 400 CARDIOLOGY CLINIC & HVAC MECHANICAL UPGRADE  
PROJECT No. 9600-66 BID No. NMC-1012**

TO: MONTEREY COUNTY BOARD OF SUPERVISORS

1. Pursuant to and in compliance with your Notice to Bidders inviting formal bids and with the other documents relating thereto, the undersigned bidder, having become familiar with the terms of the contract, the local conditions affecting the performance of the contract, the cost of the work at the place where the work is to be done, the project plans and specifications, and the other contract documents, hereby proposes and agrees to perform within the time stipulated and to provide and furnish any and all labor, materials, equipment, transportation, utilities, and services necessary to perform the contract and complete in a workmanlike manner all of the work required in connection with the above project, all in strict conformity with the drawings and specifications and other contract documents, including addenda nos. \_\_\_\_, \_\_\_\_, \_\_\_\_, \_\_\_\_, and \_\_\_\_, for the sum hereinafter stated (in the event of a discrepancy between the words and figures, the amount in words will govern):

BASE BID:

Scope for Base Bid, in general, this project is in and on Building 400.

3. Remove and replace two rooftop air handling units and all associated plumbing, electrical and duct assemblies, platforms and curbs.
4. Tenant improvements per plans and specifications for a 5252 s.f. Primary care clinic requiring OSHPD-3 certification

BASE BID: Scope 1

\_\_\_\_\_Dollars

\$ \_\_\_\_\_

BASE BID: Scope 2

\_\_\_\_\_Dollars

\$ \_\_\_\_\_

COMBINED TOTAL FOR SCOPE 1 AND 2

Dollars

\$ \_\_\_\_\_ X

ALTERNATE BID #1:

☐ ADD TO THE BASE BID OR ☐ DEDUCT FROM BASE BID

Dollars

\$ \_\_\_\_\_

**TABLE OF UNIT COSTS**

<i>Item</i>	<i>Description</i>	<i>Unit</i>	<i>Cost</i>
1			
2			

2. **Determination of lowest responsible bidder** – Determination of lowest bidder will be based upon the Base Bid of Scope. The contract will then be awarded to the Bidder submitting the lowest amount, or else all bids will be rejected. Unit pricing provided by the bidder, if any, shall be incorporated in the Agreement, and shall be the basis for calculating any costs involving changes to the work. The County of Monterey (County) may contract with the successful bidder for all, some, or none of the alternates.

3. The undersigned has checked all above figures carefully and understands that County will not be responsible for any errors and omissions on the part of the undersigned in making this bid.

4. It is understood that County reserves the right to reject any and all bids or waive any informalities or irregularities in any bids or in the bidding.

5. This bid shall remain valid and will not be withdrawn by the undersigned bidder for a period of sixty (60) days from the date prescribed for opening of this bid without the written consent of County.

6. Attached hereto are the following:

- a) List of Subcontractors;
- b) Non-Collusion Declaration;
- c) Workers' Compensation Certificate;
- d) Contractor's Certification of Good-Faith Effort to Employ Monterey Bay Area Residents;
- e) Written Plan to Recruit Monterey Bay Area Residents, when applicable;
- f) Required bidder's security in an amount not less than 10 percent of the base bid amount;
- g) Detailed preliminary work schedule if the bidder plans to complete the project before the completion date specified in the contract documents; and
- h) Acknowledgment of Addenda, if any.

7. If this bid is accepted by County, then the undersigned shall, within ten (10) days after receipt of the Notice of Intent to Award letter, execute and deliver to County (a) a contract in the form set forth in the contract documents on which this bid is based, (b) a payment bond for public works, as required by the contract documents, (c) a performance bond, as similarly required, (d) an Insurance Certificate, as similarly required; and (e) printout showing active registration of Contractor and all subcontractors with the Public Works Contractor Registration (online registration <https://efiling.dir.ca.gov/PWCR/Search>). The undersigned will thereafter commence and complete the work within the time required by the contract documents.

8. Notice of acceptance and any requests for additional information shall be addressed to the undersigned at the following address:

NATIVIDAD MEDICAL CENTER OR VIA FACSIMILE: (831)755-4268

PROJECT MANAGER BRIAN J. GRIFFIN  
NATIVIDAD MEDICAL CENTER  
1441 CONSTITUTION BLVD  
SALINAS, CA 93906

9. The names of all persons interested in the foregoing proposal as principals are as follows:

_____ Name	_____ Title
_____ Name	_____ Title
_____ Name	_____ Title

**(IMPORTANT NOTICE:** If the bidder or other interested person is a corporation, state the legal name of the corporation, and the names of the president, secretary, treasurer, and manager thereof; if a partnership, state the name of the firm and the names of all the individual partners composing the firm; if the bidder or other interested person is an individual, state the first and last names in full and give all fictitious names under which the individual does business.)

10. By execution of this bid, the undersigned bidder declares that he or she is a contractor licensed in accordance with the Contractors' State License Law, as follows:

Classification: \_\_\_\_\_

License number: \_\_\_\_\_

Expiration date: \_\_\_\_\_

11. In the event the bidder to whom Notice of Intent to Award letter is given fails or refuses to post the required bonds and insurance and return the executed copies of the agreement form within ten (10) days from the date of receiving the Notice of Intent to Award letter, County may declare the bidder's security forfeited as damages and contract with the second lowest bidder.

12. Pursuant to section 7103.5(b) of the Public Contract Code, in submitting a bid to County, the bidder offers and agrees that if the bid is accepted, it will assign to County all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Section 15) or under the Cartwright Act (Chapter 2 [commencing with section 16700] of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder.

Dated \_\_\_\_\_

Bidder's Business Name \_\_\_\_\_

By \_\_\_\_\_

Principal Signature \_\_\_\_\_

Principal Name (Print) \_\_\_\_\_

Principal Title (Print) \_\_\_\_\_

By \_\_\_\_\_

Principal Signature \_\_\_\_\_

Principal Name (Print) \_\_\_\_\_

Principal Title (Print) \_\_\_\_\_

(Corporate Seal) \_\_\_\_\_

**NOTE: If bidder is a corporation, the full legal name of the corporation shall be set forth above together with the signatures of authorized officers or agents and the document shall bear the corporate seal; if bidder is a partnership, the full name of the firm shall be set forth above together with the signature of the partner or partners authorized to sign contracts on behalf of the partnership; and if bidder is an individual, his signature shall be placed above.**

## **LIST OF SUBCONTRACTORS**

Division 003500

In compliance with the Subletting and Subcontracting Fair Practices Act (Chapter 4 [commencing with section 4100], Part 1, Division 2 of the Public Contract Code) and any amendments thereto, each bidder shall set forth below: (a) the name and the location of the place of business of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the work or improvement to be performed under this contract or a subcontractor licensed by the State of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the work or improvement according to detailed drawings contained in the plans and specifications in an amount in excess of one-half of one percent of the prime contractor's total bid, and (b) the portion of the work which will be done by each subcontractor under this Act. The prime contractor shall list only one subcontractor for each such portion as is defined by the prime contractor in this bid. The term "portion of work" refers to the type of work. The prime contractor has up to 24 hours from the time of the bid opening to submit a revised listing to correct any inadvertent error in the required subcontractor license information.

If a prime contractor fails to specify a subcontractor or if a prime contractor specifies more than one subcontractor for the same portion of the work to be performed under the contract in excess of one-half of one percent of the prime contractor's total bid, he shall be deemed to have agreed that he is fully qualified to perform that portion himself, and that he shall perform that portion himself.

No prime contractor whose bid is accepted shall: (a) substitute any subcontractor, (b) permit any subcontract to be voluntarily assigned or transferred or allow it to be performed by any one other than the original subcontractor listed in the original bid, or (c) sublet or subcontract any portion of the work in excess of one-half of one percent of the prime contractor's total bid as to which his original bid did not designate a subcontractor, except as authorized in the Subletting and Subcontracting Fair Practices Act. Subletting or subcontracting of any portion of the work in excess of one-half of one percent of the prime contractor's total bid as to which no subcontractor was designated in the original bid shall only be permitted in cases of public emergency or necessity, and then only after a finding reduced to writing as a public record of the authority awarding this contract setting forth the facts constituting the emergency or necessity.

Bidder's Business Name: \_\_\_\_\_

By: \_\_\_\_\_

Print Name: \_\_\_\_\_

Print Title: \_\_\_\_\_

Date: \_\_\_\_\_

- ☐ Check this box if no subcontractors are required to be listed for work or labor to be performed or services to be rendered. Otherwise provide all requested information below. Assembly Bill No. 44 requires name/location of business/CA contractor's license of all subcontractors

Portion (Type) of Work	Subcontractor Name/ License Number & Expiration Date	Subcontractor's Location of Place of Business

(This form may be duplicated as necessary)

**NONCOLLUSION DECLARATION**  
**TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID**  
(Public Contract Code Section 7106)  
Division 004000

The undersigned declares:

I am the \_\_\_\_\_ of \_\_\_\_\_ the party making the foregoing bid.

The bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation. The bid is genuine and not collusive or sham. The bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid. The bidder has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or to refrain from bidding. The bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder. All statements contained in the bid are true. The bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof, to effectuate a collusive or sham bid, and has not paid, and will not pay, any person or entity for such purpose.

Any person executing this declaration on behalf of a bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed on \_\_\_\_\_[date], at \_\_\_\_\_  
\_\_\_\_\_ [city], \_\_\_\_\_[state].

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

**CONTRACTOR'S CERTIFICATE AS TO WORKERS' COMPENSATION**

(Labor Code section 1861)

Division 004100

Labor Code section 3700 provides, in relevant part:

"Every employer except the state shall secure the payment of compensation in one or more of the following ways:

(a) By being insured against liability to pay compensation in one or more insurers duly authorized to write compensation insurance in this state.

(b) By securing from the Director of Industrial Relations a certificate of consent to self-insure, either as an individual employer, or as one employer in a group of employers, which may be given upon furnishing proof satisfactory to the Director of Industrial Relations of ability to self-insure and to pay any compensation that may become due to his or her employees."

I certify that I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of that Code, and I will comply with such provisions before commencing the performance of the work of this contract.

Dated: \_\_\_\_\_

Bidder's Business Name: \_\_\_\_\_

By: \_\_\_\_\_

Print Name: \_\_\_\_\_

Print Title: \_\_\_\_\_



**CONTRACTOR'S CERTIFICATION OF GOOD-FAITH EFFORT TO EMPLOY**

**MONTEREY BAY AREA RESIDENTS**

(Monterey County Code section 5.08.120)

Division 004300

I CERTIFY THAT I am aware of the provision of Monterey County Code Section 5.08.120.

Monterey County Code Section 5.08.120 provides, in relevant part:

A. General Provisions. All County contracts for public works of improvement shall contain provisions pursuant to which the contractor shall make a good-faith effort to employ qualified individuals who are, and have been for at least one (1) year out of the past three (3) years prior to the opening of bids, residents of the Monterey Bay Area in sufficient numbers so that no less than fifty percent (50%) of the contractors total construction work force, on that particular contract, including any subcontractor work force (with exception of specialty subcontractor items identified in bid items) measured in labor work hours, is comprised of Monterey Bay Area residents.

B. Non-responsive Bidder Declaration: Enforcement. If any contractor submitting a bid for a contract for public works of improvement fails to abide by the good-faith local employment provisions of this Section, Contractor may be declared by the Board to be a non-responsive bidder for purposes of this Chapter. If a contractor lists in his or her bid a subcontractor who is currently disqualified under the terms of this Section, the Board may declare said contractor to be a non-responsive bidder for purposes of this Chapter. If the Board finds that a contractor to whom a contract for public works of improvement has been awarded has failed to comply with the good-faith employment provisions of this Section during the performance of the contract, the Board may disqualify Contractor from bidding on any County contract for public works of improvement for a period of one (1) year from the date of the Board's disqualification. A subsequent violation of this Section by a contractor may result in disqualification by the Board for a period of three (3) years from the date of the subsequent disqualification.

C. Binding on Subcontractors. Every contractor entering into a contract for public works of improvement subject to the provisions of this Section shall include in each and every subcontract for work, laborers, or material supplier relating to the project the requirement that the subcontractor shall make a good-faith effort to employ qualified individuals who are, and have been for at least one (1) year out of the past three (3) years prior to the opening of bids, residents of the Monterey Bay Area. If the Board finds that any subcontractor has failed during the performance of the subcontract to comply with this Section, the Board may disqualify said subcontractor from submitting or being listed in any bid for any County contract for public works of improvement for a period of one (1) year from the date of the Board's disqualification. A subsequent violation by a subcontractor may result in disqualification by the Board for a period of three (3) years from the date of the subsequent disqualification."

I FURTHER CERTIFY AS FOLLOWS (check the box that applies):

☐ I CERTIFY that at least fifty percent (50%) of the total construction work force on the project, including any subcontractor work force, measured in labor work hours, will be comprised of qualified individuals who to the best of my knowledge are, and have been for at least one (1) year out of the past three (3) years prior to the effective date of the opening of bids, residents of the Monterey Bay Area. Evidence that I will comply with this requirement is as follows (please use additional pages to provide supporting evidence and/or documentation, as necessary):

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☐ I CERTIFY that I shall make a good-faith effort to employ qualified individuals who, to the best of my knowledge, are, and have been for at least one (1) year out of the past three (3) years prior to the effective date of the opening of bids, residents of the Monterey Bay Area in sufficient numbers such that no less than fifty percent (50%) of the total construction work force on the project, including any subcontractor work force (with the exception of specialty subcontractor items identified in the bid items) measured in labor work hours, will be comprised of Monterey Bay Area residents. **Attached is my written plan to recruit Monterey Bay Area residents as part of the construction work force.**

☐ I CERTIFY that I do not comply with and am unable to make a good-faith effort to comply with the good-faith local employment provisions set forth in Monterey County Code Section 5.08.120. Explanation to why I am not able to comply is as follows (please use additional pages to provide supporting evidence and/or documentation, as necessary):

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I declare under penalty of perjury under the laws of the State of California that the foregoing certification is true and correct. Executed on (date) \_\_\_\_\_  
at (city/state) \_\_\_\_\_.

Bidder's Business Name: \_\_\_\_\_

By: \_\_\_\_\_

Print Name: \_\_\_\_\_

Print Title: \_\_\_\_\_

**BID BOND**  
Division 004400

(Public Contract Code Section 20129)

WHEREAS the Principal has submitted the accompanying bid dated \_\_\_\_\_, to the County of Monterey, for the following project:

**BUILDING 400 CARDIOLOGY CLINIC & HVAC MECHANICAL UPGRADE  
PROJECT No. 9600-66 BID No. NMC-1012**

And WHEREAS, Principal, as bidder, is required to furnish a bond executed by an admitted surety in connection with said bid, to secure the timely execution of the contract and delivery of bonds and insurance certificates, in the event that the contract is awarded to the Principal.

NOW, THEREFORE, we \_\_\_\_\_ as  
Principal, and \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_ as

Surety, are held and firmly bound unto the County of Monterey, a political subdivision of the State of California (hereinafter called "County"), in the penal sum of

\_\_\_\_\_ Dollars

(\$ \_\_\_\_\_), which sum is not less than ten percent (10%) of the base bid amount including all alternates of the Principal submitted to the said County for the above-described project, for the payment of which sum in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

**THE CONDITION OF THIS OBLIGATION IS SUCH THAT:**

If the Principal is awarded the contract and, within the time and manner required under the contract documents for the above-described project, after the prescribed forms are presented to him for signature, (1) enters into a written contract in the prescribed form, in accordance with the bid, (2) files such insurance certificates with the County as may be required by said contract documents, and (3) files a performance bond and a payment bond with the County, in conformity with said contract documents, then this obligation shall be null and void; otherwise, it shall remain in full force.

Surety hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract on the call for bids, or to the work to be performed there under, or the specifications accompanying the same, shall in any way affect its obligation under this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of said contract or the call for bids, or to the work, or to the specifications.

If County brings suit upon this bond and judgment is recovered, the Surety shall pay all litigation expenses incurred by County in such suit, including attorneys' fees, court costs, expert witness fees and investigation expenses.

IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals this \_\_\_\_\_ day of \_\_\_\_\_, 2016, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

(Corporate Seal)

\_\_\_\_\_  
Principal  
By: \_\_\_\_\_  
Title: \_\_\_\_\_

(Corporate Seal)

\_\_\_\_\_  
Surety  
By: \_\_\_\_\_  
Title: \_\_\_\_\_

Attach: 1) A Copy of authorization for signature for Principal, and 2) An original or certified copy of unrevoked appointment, Power of Attorney, Attorney-in-Fact Certificate bylaws or other instrument entitling or authorizing person executing bond on behalf of Surety to do so.

**AGREEMENT**

Division 005000

THIS AGREEMENT is made by and between the COUNTY OF MONTEREY, a political subdivision of the State of California, hereinafter called "COUNTY," and \_\_\_\_\_ (*Name of Contractor*), hereinafter called "CONTRACTOR." For reference purposes, the date of this Agreement is the date it is executed by the Public Works Director.

THE COUNTY AND CONTRACTOR hereby agree as follows:

**ARTICLE 1 SCOPE OF WORK**

Contractor shall, within the time stipulated, perform the contract as herein defined and shall furnish all work, labor, equipment, transportation, material, and services to construct and complete in a good, expeditious, workmanlike, and substantial manner, the project:

***Building 400 Cardiology Clinic & HVAC Mechanical Upgrade PROJECT No. 9600-66 Bid No. NMC – 1012***

All work shall be completed in strict conformance with the plans, specifications, and working details prepared by ARCHITECT, and the provisions of the documents listed in Article 6 below, and to the satisfaction of COUNTY.

**ARTICLE 2 TIME FOR START AND FINAL COMPLETION**

CONTRACTOR shall commence the work on the starting date established in the Notice to Proceed. The CONTRACTOR shall achieve Final Completion of the entire Work no later than ONE Hundred and Eighty, **(180)** days from the date of commencement.

Additionally, CONTRACTOR shall coordinate their work with all other contractors whose work is affected by the scope of work defined in this Agreement. CONTRACTOR expressly agrees to provide appropriate labor, hours, rates, materials, and equipment in response to adjustments in the Project Schedule made by the Monterey County Director of Public Works or his/her designee during the course of the project in order to maintain the required progress.

**ARTICLE 3 CONTRACT PRICE**

County shall pay CONTRACTOR as full consideration for the performance of the contract, subject to any additions or deductions as provided in the contract documents, the Stipulated Sum of (*written amount*):

\_\_\_\_\_,

*numerical \$ amount*): \$ \_\_\_\_.

The Stipulated Sum is based on the following alternates, if any, which are described in the Contract Documents and are hereby accepted by County:

*(State the numbers or other identification of accepted alternates. If the bidding or proposal documents permit the County to accept other alternates subsequent to the execution of this Agreement, attach a schedule of such other alternates showing the amount for each and the date when that amount expires)*

Unit prices, if any:

*(Identify and state the unit price, and state the quantity limitations, if any, to which the unit price will be applicable)*

Item	Units and Limitations	Price per Unit (\$0.00)
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Allowances included in the Stipulated Sum, if any:

*(Identify allowances and state exclusions, if any, from the allowance price)*

Item	Allowance
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#### ARTICLE 4 LIQUIDATED DAMAGES

THE PARTIES AGREE THAT IN CASE ALL THE WORK CALLED FOR UNDER THE CONTRACT IN ALL PARTS AND REQUIREMENTS IS NOT COMPLETED WITHIN THE TIME SPECIFIED IN THE CONTRACT DOCUMENTS, DAMAGE WILL BE SUSTAINED BY COUNTY, AND THAT IT IS AND WILL BE IMPRACTICABLE AND EXTREMELY DIFFICULT TO DETERMINE THE ACTUAL DAMAGE WHICH COUNTY WILL THEREBY SUSTAIN. THE PARTIES THEREFORE AGREE THAT CONTRACTOR WILL PAY TO COUNTY THE SUM SET FORTH IN THE SUPPLEMENTARY CONDITIONS, IF ANY, FOR EACH CALENDAR DAY OF DELAY UNTIL THE WORK IS COMPLETED AND ACCEPTED. CONTRACTOR AND HIS SURETY SHALL BE LIABLE FOR THE TOTAL AMOUNT THEREOF. CONTRACTOR AGREES TO PAY SAID LIQUIDATED DAMAGES ESTABLISHED HEREIN, AND FURTHER AGREES THAT COUNTY MAY DEDUCT THE AMOUNT THEREOF FROM ANY MONIES DUE OR THAT MAY BECOME DUE CONTRACTOR UNDER THE CONTRACT.

#### ARTICLE 5 NOTIFICATION OF THIRD-PARTY CLAIMS

COUNTY shall notify CONTRACTOR of the receipt of any third-party claim relating to the contract and is entitled to recover its reasonable costs incurred in providing the notification as provided in Public Contract Code Section 9201.

## ARTICLE 6 COMPONENT PARTS OF THIS CONTRACT

The contract entered into by this Agreement consists of the following documents, all of which are component parts of the contract as if herein set out in full or attached hereto:

- Notice to Bidders
- Instructions to Bidders
- Bid, as accepted
- List of Subcontractors
- Noncollusion Declaration
- Workers' Compensation Certificate
- Contractor's Certification of Good-Faith Effort to Employ Monterey Bay Area Residents
- Written Plan to Recruit Monterey Bay Area Residents, when applicable
- Bid Bond or Bidder's Security
- Agreement
- Performance Bond
- Payment Bond
- Insurance Certificate
- Division 007100 General Conditions,
- Division 007300 Supplementary Conditions,
- Specifications and Drawings as Prepared by ARCHITECT (refer to an exhibit attached to this Agreement that lists Section, Title, Date and Pages for Specifications; Number, Title and Date for Drawings.)
- Appendices:
  - List of Drawings
  - Preliminary Project Schedule
- As issued, Project Addenda Nos:

All of the above-named contract documents are intended to be complementary. Work required by one of the above-named contract documents and not by others shall be done as if required by all.

IN WITNESS WHEREOF, the parties have duly executed four (4) identical counterparts of this instrument, each of which shall be for all purposes deemed an original thereof, on the dates set forth below.

**COUNTY OF MONTEREY**

**By:** \_\_\_\_\_

**Name:** Gary Gray, D.O.

**Title:** Chief Executive Officer

**Date:** \_\_\_\_\_, 2016

**APPROVED AS TO FORM**

**CONTRACTS/PURCHASING**

**By:** \_\_\_\_\_

**Name:** Kristen Aldrich

**Title:** Contracts/Purchasing Officer

**Date:** \_\_\_\_\_, 2016

**APPROVED AS TO FORM & LEGALITY**

**COUNTY COUNSEL**

**By:** \_\_\_\_\_

**Name:** Anne Brereton

**Title:** Deputy County Counsel

**Date:** \_\_\_\_\_, 2016

**APPROVED AS TO FISCAL TERMS**

**COUNTY AUDITOR-CONTROLLER**

**By:** \_\_\_\_\_

**Name:** Gary Giboney

**Title:** Chief Deputy Auditor-Controller

**Date:** \_\_\_\_\_, 2016

**CONTRACTOR: NAME OF COMPANY**

**By:** \_\_\_\_\_

**Principal Name:**

**Title:**

**Date:** \_\_\_\_\_, 2016

**By:** \_\_\_\_\_

**Principal Name2:**

**Title:**

**Date:** \_\_\_\_\_, 2016

**COMPANY ADDRESS:**

**Contractor's License Type:**

**License Number:**

**License Expiration Date:**

**NOTE:** CONTRACTORS ARE REQUIRED TO BE LICENSED AND REGULATED BY THE CONTRACTORS' STATE LICENSE BOARD. ANY QUESTIONS CONCERNING A CONTRACTOR MAY BE REFERRED TO THE REGISTRAR, CONTRACTORS' STATE LICENSE BOARD/P O BOX 26000/ SACRAMENTO CA 95826

**INSTRUCTIONS:** If bidder is a corporation, the full legal name of the corporation shall be set forth above together with the signatures of authorized officers or agents and the document shall bear the corporate seal; if bidder is a partnership, the full name of the firm shall be set forth above together with the signature of the partner or partners authorized to sign contracts on behalf of the partnership; and if bidder is an individual, his signature shall be placed above.



**PERFORMANCE BOND**  
(Public Contract Code Section 20129)  
Division 006000

WHEREAS, the County of Monterey has awarded to Principal,

\_\_\_\_\_  
as Contractor, for the following project:

***Building 400 Cardiology Clinic & HVAC Mechanical Upgrade PROJECT No. 9600-66 Bid NMC-1012;***

and

WHEREAS, Principal, as Contractor, is required to furnish a bond in connection with said contract, to secure the faithful performance of said contract.

NOW, THEREFORE, we \_\_\_\_\_

as Principal, and \_\_\_\_\_

\_\_\_\_\_  
as Surety, are held and firmly bound unto the County of Monterey, a political subdivision of the State of California (hereinafter called "County"), in the penal sum of

\_\_\_\_\_ Dollars

(\$\_\_\_\_\_.00), for the payment of which sum in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH THAT:

If the Principal, as Contractor, or Principal's heirs, executors, administrators, successors, or assigns, (1) shall in all things stand to and abide by and well and truly keep and perform the covenants, conditions, and agreements in said contract and any alteration thereof made as therein provided, on Principal's part to be kept and performed, at the time and in the manner therein specified and in all respects according to their true intent and meaning, and (2) shall indemnify, defend, and save harmless the County, the members of its board of supervisors, and its officers, agents, and employees as therein stipulated, then this obligation shall become null and void; otherwise, it shall be and remain in full force and virtue.

Surety hereby stipulates and agrees that no change, extension of time, alteration, or addition to the terms of the contract or the call for bids, or to the work to be performed thereunder, or the specifications accompanying the same, shall in any way affect its obligation under this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of said contract or the call for bids, or to the work, or to the specifications.

Whenever the Principal, as Contractor, is in default, and is declared in default, under the Contract by the County of Monterey, the County of Monterey having performed its obligation under the contract, Surety may promptly remedy the default, or shall promptly:

1. Complete the contract in accordance with its terms or conditions, or
2. Obtain a bid or bids for submission to County of Monterey for completing the Contract in accordance with its terms or conditions, and upon determination by the County of Monterey and Surety of the lowest responsible and responsive bidder, arrange for a contract between such bidder and the County of Monterey, and make available as work progresses (even though there should be a default or succession of defaults under the contract or contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion less the balance of the contract price.

If suit is brought upon this bond by the County and judgment is recovered, the Surety shall pay all litigation expenses incurred by the County in such suit, including attorneys' fees, court costs, expert witness fees, and investigation expenses.

IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals this \_\_\_\_ day of \_\_\_\_\_, 2016, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

(Corporate Seal) \_\_\_\_\_  
Principal

By: \_\_\_\_\_

Title: \_\_\_\_\_

(Corporate Seal) \_\_\_\_\_  
Surety

By: \_\_\_\_\_

Title: \_\_\_\_\_

Attach: 1) Copy of authorization for signature for Principal, and 2) original or certified copy of unrevoked appointment, Power of Attorney, Attorney-in-Fact Certificate bylaws or other instrument entitling or authorizing person executing bond on behalf of Surety to do so.

**PAYMENT BOND**  
(Civil Code section 9550)  
Division 006100

WHEREAS, the County of Monterey has awarded to Principal,

\_\_\_\_\_

as Contractor, a contract for the following project:

***Building 400 Cardiology Clinic & HVAC Mechanical Upgrade PROJECT No. 9600-66 Bid NMC 1012;***  
and

WHEREAS, Principal, as Contractor, is required to furnish a bond in connection with said contract, to secure the payment of claims of laborers, mechanics, material suppliers, and other persons furnishing labor and materials on the project, as provided by law.

NOW, THEREFORE, we \_\_\_\_\_

as Principal, and \_\_\_\_\_

\_\_\_\_\_

as Surety, are held and firmly bound unto the County of Monterey, a political subdivision of the State of California (hereinafter called "County"), and to the persons named in California Civil Code section 9100 in the penal sum of \_\_\_\_\_ Dollars (\$\_\_\_\_\_.\_\_\_\_), for the payment of which sum in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH THAT:

If Principal or any of Principal's heirs, executors, administrators, successors, assigns, or subcontractors (1) fails to pay in full all of the persons named in Civil Code Section 9100 with respect to any labor or materials furnished by said persons on the project described above, or (2) fails to pay in full all amounts due under the California Unemployment Insurance Code with respect to work or labor performed under the contract on the project described above, or (3) fails to pay for any amounts required to be deducted, withheld, and paid over to the Employment Development Department from the wages of employees of the Principal and subcontractors pursuant to Unemployment Insurance Code section 13020 with respect to such work and labor, then the Surety shall pay for the same.

Surety hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract on the call for bids, or to the work to be performed thereunder, or the specifications accompanying the same, shall in any way affect its obligation under this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of said contract or the call for bids, or to the work, or to the specifications.

If the County brings suit upon this bond and judgment is recovered, the Surety shall pay all litigation expenses incurred by the County in such suit, including attorneys' fees, court costs, expert witness fees and investigation expenses.

This bond inures to the benefit of any of the persons named in Civil Code section 9100, and such persons or their assigns shall have a right of action in any suit brought upon this bond, subject to any limitations set forth in Civil Code sections 9550 et seq. (Civil Code, Division 4, Part 6, Title 3, Chapter 5: Payment Bond for Public Works).

IN WITNESS WHEREOF the above-bounden parties have executed this instrument under their several seals this \_\_\_\_\_ day of \_\_\_\_\_, 2016, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

(Corporate Seal) \_\_\_\_\_  
Principal

By: \_\_\_\_\_

Title: \_\_\_\_\_

(Corporate Seal) \_\_\_\_\_  
Surety

By: \_\_\_\_\_

Title: \_\_\_\_\_

Attach: 1) Copy of authorization for signature for Principal, and 2) original or certified copy of unrevoked appointment, Power of Attorney, Attorney-in-Fact Certificate bylaws or other instrument entitling or authorizing person executing bond on behalf of Surety to do so.

## **GENERAL CONDITIONS**

Division 007100

### **PART I INTRODUCTION**

#### **ARTICLE 1 DEFINITIONS**

- 1.01 Architect. The "Architect" is the person or organization identified in the Agreement as Architect, or their authorized representative, or the replacement designated in writing by County.
- 1.02 Change Order. "Change Order" means a written modification of the Contract between County and Contractor, signed by County, Contractor, Construction Manager, and Architect.
- 1.03 Change Order Proposal. "Change Order Proposal" means a Contractor-generated document in response to a Change Order Request (COR).
- 1.04 Change Order Request. "Change Order Request" (COR) means a document which informs Contractor of a proposed change in the Work, and appropriately describes or otherwise documents such change.
- 1.05 Close-Out Documents. "Close-Out Documents" means the product brochures, product/ equipment maintenance and operations instructions, manuals, and other documents/warranties, as-built record documents, affidavit of payment, release of lien and claim, and as may be further defined, identified, and required by the Contract Documents.
- 1.06 Construction Documents. "Construction Documents" means all drawings, specifications, and addenda associated with a specific construction project.
- 1.07 Construction Manager. "Construction Manager" is the entity or person designated by the Director of Public Works responsible for the management of the construction component of Project.
- 1.08 Contract. "Contract" means the entire agreement between County and Contractor, including all of the Contract Documents.
- 1.09 Contract Date. "Contract Date" is the date when the agreement between County and Contractor becomes effective.
- 1.10 Contract Documents. "Contract Documents" means all executed agreements between the County and Contractor; any general, supplementary, or other contract conditions; the drawings and specifications; all addenda issued prior to the execution of the contract; and any other items specifically stipulated as being included in the contract documents.
- 1.11 Contract Sum. The "Contract Sum" is stated in the Agreement and is the total amount payable by County to Contractor for the performance of the work under the contract.
- 1.12 Contract Time. "Contract Time" means the period between the Start Date identified in the Notice to Proceed with Construction and the Substantial Completion Date identified in the Notice to Proceed or as subsequently amended by Change Order.

1.13 Contractor. "Contractor" means the individual, corporation, company, partnership, firm, or other entity contracted to perform the Work and identified as such in the Agreement, or their authorized representative, regardless of the type of construction contract used, so that the term as used herein includes a Construction Manager, Construction Manager-at-Risk, or a Design-Build firm as well as a General or Prime Contractor. The contract documents refer to Contractor as if singular in number.

1.14 Contractor's Project Manager. "Contractor's Project Manager" is the person designated by the Contractor to manage the Work and the Superintendent.

1.15 County. "County" is the County of Monterey, the Owner of the project and identified as such in the Agreement, or its authorized representative.

1.16 Date of Commencement. "Date of Commencement" means the date designated in the Notice to Proceed for Contractor to commence the Work.

1.17 Drawings. "Drawings" are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

1.18 Final Completion. "Final Completion" means the date on which, after Architect and Construction Manager certify that construction has been completed in accordance with the contract documents, the County Board of Supervisors accepts the work.

1.19 Owner. "Owner" means the County of Monterey.

1.20 Owner's Representative. "Owner's Representative" means the individual assigned by County (Owner) to act on its behalf, and to undertake certain activities as specifically outlined in the Contract. The Owner's Representative is the only party authorized to direct changes to the scope, cost, or time of the contract.

1.21 Plans. "Plans" means all drawings, including sections and details; and any supplemental drawings for complete execution of a specific project.

1.22 Project. "Project" means all activities necessary for realization of the Work. This includes design, contract award(s), execution of the Work itself, and fulfillment of all contract and warranty obligations. The Work performed under this contract is directed towards completion of all or a part of the project.

1.23 Project Manager. "Project Manager" is a qualified individual or firm authorized by County to be responsible for coordinating time, equipment, money, tasks and people for all or specified portions of the Project.

1.24 Superintendent. "Superintendent" is the Contractor's representative at the Project site. The Superintendent directs and coordinates the activities of the various trade groups at the Project site.

1.25 Samples. "Samples" are representative physical examples of materials, equipment, or workmanship, used to confirm compliance with requirements and/or to establish standards for use in execution of Work.

1.26 Schedule of Values. "Schedule of Values" means the detailed breakdown of the cost of the

materials, labor, and equipment necessary to accomplish the Work as described in the Contract Documents, submitted by Contractor for approval by County, Construction Manager, and Architect.

1.27 Shop Drawings. "Shop Drawings" means the drawings, diagrams, illustrations, schedules, performance charts, brochures, and other data prepared by Contractor or any subcontractor, manufacturer, supplier, distributor, or agents, and which detail some portion of the work for fabrication and installation.

1.28 Site. "Site" is the geographical area of the location of Work.

1.29 Specifications. "Specifications" are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards, and workmanship for the Work, and performance of related services.

1.30 Subcontractor. "Subcontractor" is a person or organization who has a direct contract with Contractor to perform any of the work at the site or to furnish material worked to a special design according to plans and specifications of this work. The term "subcontractor" also includes sub-subcontractors performing work at the site or furnishing specially designed material for the work, who have only an indirect relationship to Contractor.

1.31 Substantial Completion. "Substantial Completion" means the date determined and certified by Contractor, Architect, Construction Manager and County when the Work or a designated portion thereof is sufficiently complete, in accordance with the Contract, so as to be operational and fit for the use intended.

1.32 Sustainable Objective. "Sustainable Objective" is the County's goal of incorporating Sustainable Measures into the design, construction, maintenance, and operations of the Project to achieve a Sustainability Certification or other benefit to the environment, to enhance the health and well-being of building occupants, or to improve energy efficiency. See further related definitions under Supplementary Conditions section.

1.33 Work. "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

1.34 Working Drawings. "Working Drawings" mean a drawing sufficiently complete with plan and section views, dimensions, details, and notes so that whatever is shown can be constructed and/or replicated without instructions but subject to clarifications. (see Drawings)

## ARTICLE 2 CONTRACT INTERPRETATION

2.01 Counting time. When any provision in the contract documents calls for computation of time in terms of days, the period so counted shall include all calendar days within the period, including usual workdays as well as weekends and holidays. Business Days and Workdays refer to Monday through Friday, eight-hour duration.

2.02 Gender and number. References to one gender include the other; references to either singular or plural include the other.

2.03 Headings. Article and paragraph headings are for convenience only, and shall not be used to

interpret the provisions of this contract.

2.04 Express and implied work requirements. This contract requires the performance of all elements of work expressly mentioned herein, together with all elements of work that are reasonably inferable from the express terms of this contract as being necessary for the proper completion of the work.

2.05 Technical or trade meanings. Words which have well-known technical or trade meanings are used herein in accordance with such recognized meanings.

2.06 Interpretations by Architect. Written interpretations necessary for the proper execution or progress of the work, in the form of drawings or otherwise, will be issued with reasonable promptness by Architect and in accordance with any schedule agreed upon. Contractor shall make written request to Architect for such interpretations. Such interpretations shall be consistent with, and reasonably inferable from the contract documents, and may be made by field orders issued pursuant to Article 15.

2.07 Conflicts among contract documents - priorities. If there is any conflict between any of the contract documents, the conflict shall be resolved by giving effect to the provisions in the documents having higher priority and by disregarding conflicting provisions in documents having lower priority, as follows: first priority, any modifications, with the most recent having priority over earlier modifications; second priority, the Agreement; third priority, any addenda, with the most recent having priority over earlier addenda; fourth priority, the Supplementary Conditions; and fifth priority, the General Conditions.

2.08 Conflicts and interpretation problems involving plans, specifications, or working details. If a conflict or other problem of interpretation involves plans, specifications, or working details, the problem shall be resolved as follows: Dimensions take precedence over scale at all times. Figured dimensions on plans shall govern, but work not dimensioned shall be as directed. Work not particularly shown or specified shall be the same as similar parts that are shown or specified. Large-scale details shall take precedence over smaller-scale details as to shape and details of construction. Specifications shall govern as to materials, workmanship, and installation procedures. Plans, specifications, and working details are intended to be fully cooperative and to agree. However, if Contractor observes that plans, specifications, and/or working details are in conflict, he shall promptly notify Architect with a copy to the Director of Public Works/Designee in writing and any necessary changes shall be adjusted as provided elsewhere in the contract documents for changes in work. Architect shall resolve all conflicts involving plans, specifications, or working details wherever the foregoing principles do not apply, or where, if applied, they lead to results that appear unreasonable.

### ARTICLE 3 CONTRACT DOCUMENTS

3.01 Contract Documents. Contract documents consist of all component parts of the contract as specified in the Agreement, including the Notice to Bidders, Instructions to Bidders, Bid as accepted, List of Subcontractors, Non-Collusion Affidavit, Contractor's Certificate as to Workers' Compensation, Contractor's Certification of Good-Faith Effort to Employ Monterey Bay Area Residents, Bid Bond or Bidder's Security, Agreement, Performance Bond, Payment Bond for Public Works, Insurance Certificate, General Conditions, Supplementary Conditions, Project Manual, Specifications, Plans, Working Details, all addenda issued prior to execution of the contract, and all modifications.

3.02 Contract. The contract documents form the Contract. The Contract represents the entire and



integrated agreement between the parties hereto and supersedes all prior negotiations, representations, or agreements, either written or oral, including the bidding documents. The Contract may be amended or modified only by a modification as defined in paragraph 3.03. No contractor or subcontractor may be awarded a contract for public works on a public works project (awarded on or after April 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5.

3.03 Modification. A modification is (1) a written amendment to the contract signed by both parties (2) a change order (3) a written interpretation issued by Architect or (4) a written order for a minor change in the work issued by Architect pursuant to Article 15. A modification may be made only after execution of the contract.

3.04 Execution in quadruplicate. Unless otherwise specified in the Agreement, the contract documents shall be signed in not less than quadruplicate by County and Contractor.

3.05 Familiarity with site and local conditions. Prior to submitting a bid, and prior to executing this contract, Contractor shall visit the work site, familiarize himself with the local conditions under which the work is to be performed, and correlate his observations with the requirements of this contract. By executing the contract, Contractor represents that he has done so. Based on such visits and investigations, Contractor shall notify County, in writing, of any discrepancies between the local conditions and the requirements of the contract. Contractor's failure to notify County prior to submitting its bid shall be deemed an acknowledgment of and acceptance of any such discrepancies, and a waiver of any claims for extra work, which may result therefrom.

3.06 Contract documents furnished to Contractor. Unless otherwise provided in the contract documents, Contractor will be furnished, one full size set and one half-size set of all the contract documents, including the plans, specifications, and working details to facilitate the execution of the work. Additional copies of the contract documents may be obtained at cost of reproduction.

3.07 Ownership of documents. All plans, specifications, working details, and copies thereof furnished by Architect are and shall remain the property of County. Such documents shall not be used on any other project and shall be returned to County on request at the completion of the work.

3.08 Organization of contract documents not controlling. The organization of the specifications into divisions, sections, and articles, and the arrangement of the plans or working details shall not control Contractor in dividing the work among subcontractors or in establishing the extent of work to be performed by any trade.

3.09 Contract documents on-site. Contractor will at all times maintain at least one complete, up-to-date set of the contract documents, showing approval by the State Fire Marshal (including the original documents as well as all change orders and other supplemental and additional documents) on the site, to be available to County, Architect, and their representatives.

#### ARTICLE 4 SUBCONTRACTORS

4.01 No contractual relationship between County and subcontractors. Nothing contained in the contract documents shall create any contractual relation between County, Architect, or Construction Manager, and any subcontractor.

4.02 Work performed by subcontractors; substitutions. Subcontracted work shall be performed only by the subcontractors identified in Contractor's bid documents, as provided by Public Contract Code sections 4100, et seq. Substitution of subcontractors may be made only in conformity with the Subletting and Subcontracting Fair Practices Act, Public Contract Code sections 4100, et seq.

4.03 Contracts with subcontractors. All work performed for Contractor by a subcontractor shall be pursuant to a written agreement between Contractor and the subcontractor (and where appropriate, between subcontractors and sub-subcontractors). All such agreements shall require performance by the subcontractors in conformity with the terms of this contract, and shall include all the terms of this contract, which are applicable to subcontractors.

4.04. Payments to subcontractors.

(a) Contractor shall pay each subcontractor, upon receipt of payment from County, any amount equal to the percentage of completion allowed to Contractor on account of such subcontractor's work, less the percentage retained from payments to Contractor. Contractor shall also require each subcontractor to make similar payments to his subcontractors. County shall have the right, but not the obligation, to issue payment by joint checks payable to the order of Contractor and any of its subcontractors.

(b) If the Construction Manager fails to issue a certificate for payment for any cause which is the fault of Contractor and not the fault of a particular subcontractor, Contractor shall pay the subcontractor on demand, made at any time after the certificate for payment should otherwise have been issued, for his work to the extent completed, less the retained percentage.

(c) Neither County nor Construction Manager shall have any obligation to pay or to see to the payment of any monies to any subcontractor except as may otherwise be required by law. All monies paid to Contractor hereunder shall immediately become and constitute a trust fund and shall be applied by Contractor for the benefit of all persons supplying labor, materials, or equipment in connection with the work and shall not be diverted to any other purpose until the claims of such persons have been discharged.

4.05 Information provided to subcontractors. Construction Manager, County, and Architect may, on request, and at their discretion, furnish to any subcontractor, if practicable, information regarding percentages of completion certified to Contractor on account of work done by such subcontractors.

4.06 Contractor's responsibility for work of subcontractors. Contractor shall be as fully responsible to County for the acts and omissions of any subcontractor and of persons either directly or indirectly employed by the subcontractors, as he is for acts and omissions of persons directly employed by him.

## **PART II CONDUCT OF WORK**

### **ARTICLE 5 CONTRACT ADMINISTRATION BY ARCHITECT AND CONSTRUCTION MANAGER**

5.01 No contractual relationship between Architect, Construction Manager, and Contractor. Nothing contained in the contract documents shall create any contractual relationship between Architect, Construction Manager, and Contractor or any subcontractor.

5.02 The Role of the Architect and Construction Manager. The Architect and Construction Manager will be County's representatives during construction and until final payment as provided in this Agreement. The Architect and the Construction Manager will have authority to act on behalf of County to the extent provided in the contract documents, unless otherwise modified by written instrument which will be shown to Contractor. Construction Manager will advise and consult with County, and all of County's instructions to Contractor shall be issued through the Construction Manager. The Construction Manager

will provide general administration of the contract, including performance of the functions hereinafter described. The Construction Manager will provide management of construction in the field. The Construction Manager is responsible for managing the construction schedule, construction budget, and has the authority to act on behalf of County as relating to the management of these items.

5.03 Instructions issued through Construction Manager. County shall issue instructions to Contractor through the Construction Manager, provided that County shall have the right, but not the obligation, to itself or through other project representatives issue change orders, require additional work and/or direct the omission of work previously ordered by written instructions directly to Contractor, provided such project representative and instructions have been previously approved, in writing, by County.

5.04 Construction Manager, and Architect access to work. County, Construction Manager, and Architect shall at all times have access to the Work wherever it is in preparation and progress. Contractor shall provide facilities for such access so County, Construction Manager, and Architect may perform their functions under the contract.

5.05 Inspections. Architect will make periodic visits to the site to familiarize themselves generally with the progress and quality of the work and to determine, in general, if the work is proceeding in accordance with the contract documents. On the basis of their on-site observations, they will keep County informed of the progress of the work, and will endeavor to guard County against defects and deficiencies in the work of Contractor. Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the work. They will not be responsible for construction means, methods, techniques, sequences, or procedures, or for safety precautions and programs in connection with the work, and they will not be responsible for Contractor's failure to carry out the work in accordance with the contract documents, except to the extent such failure is due to Architect's breach of agreement with County or is otherwise due to the negligence or willful misconduct of Architect.

5.06 Determination of payments to Contractor. Based on such observations and Contractor's applications for payment, Architect and Construction Manager will determine the amounts owing to Contractor and will issue certificates for payment in such amounts, as provided in Articles 18-21.

5.07 Decisions on artistic effect. Architect's decisions in matters relating to artistic effect will be final if consistent with the intent of the contract documents.

5.08 Authority to reject work or to require special inspection or testing. Construction Manager and Architect may reject work, which does not conform to the contract documents. Whenever, in their reasonable opinion, they consider it necessary or advisable to ensure the proper implementation of this contract, they may require special inspection or testing of the work in accordance with Article 11, whether or not such work is then fabricated, installed, or completed. However, the Construction Manager's and Architect's authority to act under this paragraph, nor any decision made by them in good faith either to exercise or not to exercise such authority, shall not give rise to any duty or responsibility of Construction Manager or Architect to Contractor, any subcontractor, any of their agents or employees, or any other person performing any of the work.

5.09 Review of shop drawings and samples. Architect will review shop drawings and samples as provided in Article 9.

5.10 Change orders prepared by Construction Manager. Construction Manager will prepare change orders and may order minor changes in the work in accordance with Article 15.

5.11 Inspections and document review. Construction Manager will conduct inspections of the work (including a final inspection); receive and review written guarantees and related documents required by the contract and assembled by Contractor; and issue a final certificate for payment.

5.12 Communications Facilitating Contract Administration. Except as otherwise provided in the Contract Documents or when direct communications have been specially authorized, the Owner and Contractor shall endeavor to communicate with each other through the Construction Manager, and shall contemporaneously provide the same communications to the Architect about matters arising out of or relating to the Contract Documents. Communications by and with Architect's consultants shall be through the Architect. Communications by and with Subcontractors and material suppliers shall be through the Contractor. Communications by and with the County's own forces shall be through the County designee.

## ARTICLE 6 SERVICES PROVIDED BY COUNTY

6.01 Easements obtained by County. County shall secure and pay for all easements, rights-of-way, and fee interests in land necessary to enable Contractor to complete the work.

6.02 Surveys provided by County. County shall furnish all surveys describing the existing physical characteristics, legal limits, and utility locations for the site of the project. Unless specifically provided for in the plans and specifications, County shall not provide field engineering or construction staking.

6.03 Information and services provided by County. Information or services under County's control shall be furnished by County with reasonable promptness to avoid delay in the orderly progress of the work. The County shall endeavor to forward all communications to the Contractor through the Construction Manager and shall contemporaneously provide the same communication to the Architect about matters arising out of or relating to the Contract Documents.

## ARTICLE 7 CONTRACTOR'S ADMINISTRATIVE DUTIES

7.01 Review of contract documents for errors. Contractor shall carefully study and compare the contract documents and shall, at once, report, in writing, to Architect, with a copy to Construction Manager, any error, inconsistency, or omission he may discover. Contractor shall not be liable to County or Architect for any damage resulting from any such errors, inconsistencies, or omissions in the contract document which were reported, in writing, by Contractor to Architect, with a copy of the correspondence to Construction Manager, provided no provisions herein shall relieve Contractor from liability for errors, inconsistencies, or omissions which were known or reasonably should have been known to Contractor, which were not disclosed in writing to Architect, with a copy of the correspondence to Construction Manager.

7.02 Taxes. Contractor shall pay all sales, consumer, use, and other similar taxes required by law.

7.03 Transportation and utility service. Contractor shall pay for all transportation and utility service not later than the 20th day of the calendar month following that in which such services are rendered.

7.04 Contractor's Superintendent. Contractor shall employ a competent, qualified Superintendent who shall provide full time, on-site supervision of all aspects of the work. Full time means any and all times that contractor, its agents, employees, or subcontractors are performing any and all work. The Contractor, as soon as practicable after award of the Contract, shall furnish, in writing, to County and

Architect through Construction Manager, the name and qualifications of a proposed Superintendent. The Construction Manager may reply within 14 days to the Contractor in writing state (1) whether County, the Construction Manager, or Architect has reasonable objection to the proposed Superintendent or (2) that any of them require additional time to review. Failure of the Construction Manager to reply within the 14 day period shall constitute notice of no reasonable objection. The Superintendent shall be satisfactory to County, Construction Manager, or Architect and shall not be changed except with the consent of County. County may request at any time that a Contractor remove its Superintendent from the project and provide an alternate superintendent as approved by County. The Superintendent shall represent Contractor and all communications given to the superintendent shall be as binding as if given to Contractor. Important communications will be confirmed in writing. Other communications will be so confirmed on written request in each case.

7.05 Contractor's Project Manager. Contractor shall employ a competent, qualified Project Manager to manage the entire construction project and the Superintendent. Contractor shall provide Construction Manager with the Contractor's Project Manager's resumé. County and Architect must approve the Contractor's project manager. County reserves the right to interview the Project Manager at any time. County at any time during the course of construction may require Contractor to substitute the Project Manager based on poor performance, lack of experience, product knowledge, project management skills, or the ability to prosecute the work in a workmanlike manner.

7.06 Contractor's responsibility for agents and employees. Contractor shall be responsible to County for the acts and omissions of all his employees and all subcontractors, their agents, and employees, and all other persons performing any of the work under a contract with Contractor.

7.07 Communication through Construction Manager. Contractor shall forward all communications to County through the Construction Manager.

## ARTICLE 8 GENERAL PROVISIONS REGARDING CONDUCT OF WORK

8.01 No work without construction documents. Contractor shall do no work without current plans, specifications, working details, etc.

8.02 Supervision and construction procedures. Contractor shall supervise and direct the work, using his best skill and attention. He shall be solely responsible for all construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the work under the contract.

8.03 Contractor's responsibility for labor, materials, and equipment. Unless otherwise specifically noted, Contractor shall provide and pay for all labor, materials, equipment, and machinery, water, heat, utilities, transportation, and other facilities and services necessary for the proper execution and completion of the work.

8.04 Conduct and skill of employees. Contractor shall at all times enforce strict discipline and good order among his employees and shall not employ on the work any unfit person or anyone not skilled in the task assigned to him. Any person in the employ of Contractor whom County may deem incompetent or unfit shall be dismissed from the work and shall not again be employed on it except with the written consent of County.

8.05 Contractor's Construction Schedule. Contractor, immediately after being awarded the contract, shall prepare and submit for County's and Architect's information and the Construction Manager's

approval, an estimated Construction Schedule for the work. The Construction Schedule shall be related to the entire project to the extent required by the contract documents. The Construction Schedule shall indicate the dates for the starting and completion of the various stages of construction and shall be revised weekly, subject to Architect's approval. The Contractor shall cooperate with the Construction Manager in scheduling and performing the Contractor's Work to avoid conflict with, and as to cause no delay in, the construction or operations of the Owner's own forces. The Contractor shall make revisions to the Construction Schedule as deemed necessary by the Construction Manager to conform to the Project Schedule.

8.06 Plans and specifications at site. Contractor shall maintain at the site for County one copy of all approved shop drawings, plans, specifications, working details, addenda, change orders, and other modifications, in good order and marked to record all changes made during construction. These shall be available to Construction Manager. A reproducible set of plans and working details, marked to record all changes made during construction, shall be delivered to Construction Manager for County upon completion of the work and prior to release of final payment.

8.07 Dimensions to be checked. All dimensions shall be carefully checked by the various artisans. Each Contractor shall be held responsible for the accuracy of the dimensions of its own work. Dimensions shown on plans shall be adhered to insofar as it is possible, and no deviation from such dimensions shall be made except with the consent of Architect. Where the work of one Contractor comes in contact with the work of another Contractor, each Contractor shall carefully check all dimensions which affect its own work. Wherever possible, dimensions shall be taken at the building, but no work shall be delayed or held up waiting for building dimensions, when by the exercise of foresight and proper cooperation, the dimensions may be established in advance of construction. Contractor shall verify all dimensions at the site and shall be solely responsible for same or deviations from same.

8.08 Cutting and patching. Contractor shall be responsible for any cutting, fitting, and patching that may be required to complete his work, except as otherwise specifically provided in the contract documents. Contractor shall not endanger any work of any other contractors by cutting, excavating, or otherwise altering any work and shall not cut or alter the work of any other contractor except with the written consent of Construction Manager.

8.09 Revision of operations. When, in the judgment of County, it becomes necessary to accelerate the work, Contractor when so ordered shall concentrate his forces at such points as directed and execute such portions of the work as may be required.

8.10 Damage to work and property on-site. All damage or loss to any property on or near the site caused in whole or in part by Contractor, any subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable, shall be remedied by Contractor, at his expense, except damage or loss attributable to faulty specifications or working details, or to the acts or omissions of County, Construction Manager, Architect, or anyone employed by either of them, or for whose acts either of them may be liable, and not attributable to the fault or negligence of Contractor.

## ARTICLE 9 SHOP DRAWINGS AND SAMPLES

9.01 Submittal of shop drawings and samples. Contractor shall review, stamp with his approval, and submit to Construction Manager, in accordance with the Submittal Schedule, with reasonable promptness and in orderly sequence so as to cause no delay in the work or in the work of any other contractor, all shop drawings and samples required by the contract documents or subsequently by Architect as

covered by modifications. Shop drawings and samples shall be properly identified as specified, or as Architect may require. At the time of submission, Contractor shall inform Construction Manager and Architect, in writing, of any deviation in the shop drawings or samples from the requirements of the contract documents.

9.02 Warranties concerning shop drawings and samples. By approving and submitting shop drawings and samples, Contractor thereby represents that he has determined and verified all field measurements, field construction criteria, materials, catalog numbers, and similar data, or will do so, and that he has checked and coordinated each Shop Drawing and Sample with the requirements of the work and of the contract documents.

9.03 Architect review and approval. Architect will review shop drawings and samples with reasonable promptness so as to cause no delay, but only for conformance with the design concept of the project and with information given in the contract documents. Architect's approval of a separate item shall not indicate approval of an assembly in which the item functions.

9.04 Corrections. Contractor shall make any corrections required by Architect and shall resubmit the required number of corrected copies of shop drawings or new samples until approved. Contractor shall direct specific attention, in writing, or on resubmitted shop drawings, to revisions other than the corrections requested by Architect on previous submissions.

9.05 Contractor's responsibility. Architect's approval of shop drawings or samples shall not relieve Contractor of responsibility for any deviation at the time of submission, nor shall Architect's approval relieve Contractor from responsibility for errors or omission in the shop drawings or samples.

9.06 Completion of work in accordance with shop drawings and samples. No portion of the work requiring a Shop Drawing or Sample submission shall be commenced until Architect has approved the submission. All such portions of the work shall be in accordance with approved shop drawings and samples.

## ARTICLE 10 SEPARATE CONTRACTS ON SAME PROJECT

10.01 County's right to award separate contracts. County reserves the right to award other contracts in connection with other portions of the project.

10.02 Coordination among contractors. Contractor shall ascertain to his own satisfaction the scope of the project and the nature of any other contracts that have been or may be awarded by County in prosecution of the project, to the end that Contractor may perform this contract in light of such other contracts, if any. Nothing herein shall be interpreted as granting to Contractor exclusive occupancy at the site. Contractor shall not cause any unnecessary hindrance or delay to any other contractor working on the project. If simultaneous execution of any contract for the project is likely to cause interference with the performance of some other contract or contracts, County shall decide which contractor shall cease work temporarily and which contractor shall continue or whether work can be coordinated so that contractors may proceed simultaneously. County shall not be responsible for any damages suffered or extra costs incurred by Contractor resulting directly or indirectly from the award or performance or attempted performance of any other contract or contracts on the project, or caused by any decision or omission of County respecting the order of precedence in performance of the contracts. Any delay in the progress of the work as a result of such priorities shall not give rise to any adjustments in the Contract Price and Contractor agrees that its sole right and remedy therefore shall be an extension of

time.

10.03 Responsibility to other contractors. Contractor shall afford other contractors on the same project reasonable opportunity for the introduction and storage of their materials and equipment and the execution of their work, and shall properly connect and coordinate his work with theirs.

10.04 Duty to inspect other contractor's work. If any part of Contractor's work depends for proper execution or results upon the work of any other separate contractor, Contractor shall inspect and promptly report to Construction Manager any apparent discrepancies or defects in such work that render it unsuitable for such proper execution and results. Failure of Contractor so to inspect and report shall constitute an acceptance of the other contractor's work as fit and proper to receive his work, except as to defects which may develop in the other separate contractor's work after the execution of Contractor's work. Any work exhibiting unacceptable quality as defined by the contract documents will result in Contractor's payment (or a portion thereof) being withheld until the unacceptable work is corrected to meet the required quality standards, per Article 19 herein.

10.05 Damage to other contractor's work. Should Contractor cause damage to the work or property of any separate contractor on the project, Contractor shall, upon due notice, settle with such other contractor by agreement or arbitration, if he will so settle. If such separate contractor sues County or initiates an arbitration proceeding on account of any damage alleged to have been so sustained, County shall notify Contractor who shall defend such proceedings and indemnify and hold harmless County.

10.06 Responsibility for costs caused by one contractor to another. Any costs to one contractor or his subcontractors on the project caused by defective or ill-timed work by another contractor or his subcontractors on the project shall be borne by the party responsible for such defective or ill-timed work.

10.07 County's right to settle disputes over cleanup. If a dispute arises between the separate contractors as to their responsibility for cleaning up under paragraph 13.07, County may clean up and charge the cost thereof to the several contractors, as County shall determine to be just.

## ARTICLE 11 TESTS

11.01 Contractor's responsibility for required tests. If contract documents, laws, ordinances, rules, regulations, or orders of any public authority having jurisdiction require any work to be inspected, tested, or approved, Contractor shall give Construction Manager and Architect timely notice of its readiness and of the date arranged so Construction Manager and Architect may observe such inspection, testing, or approval. County shall bear all costs of such inspections, tests, and approval, unless otherwise provided.

11.02 Responsibility for tests not anticipated in contract. If after the commencement of the work, Construction Manager, Architect, or County determines that any work requires special inspection, testing, or approval which paragraph 11.01 does not include, he will, upon written authorization from County provided through the Construction Manager and Architect, instruct Contractor to order such special inspection, testing, or approval, and Contractor shall give notice as in paragraph 11.01. If such special inspection or testing reveals a failure of the work to comply (1) with the requirements of the contract documents or (2) with laws, ordinances, rules, regulations, or orders of any public authority having jurisdiction, then Contractor shall bear all costs thereof, including Construction Manager's and Architect's additional services made necessary by such failure; otherwise County shall bear such costs, and an appropriate change order shall be issued.



11.03 Certificates of inspection. Required certificates of inspection, testing, or approval shall be secured by Contractor and promptly delivered by him to Construction Manager for transmittal to Architect.

11.04 Observation by Construction Manager. If Construction Manager or Architect wishes to observe the inspections, tests, or approvals required by this Article 11, the Construction Manager or Architect will do so promptly and, where practicable, at the source of supply.

11.05 No waiver of Contractor's responsibility. Neither the observations of Construction Manager or Architect in their administration of the construction contract, nor inspections, tests, or approvals by persons other than Contractor shall relieve Contractor from his obligations to perform the work in accordance with the contract documents.

## ARTICLE 12 TIME FOR PERFORMANCE AND LIQUIDATED DAMAGES

12.01 Time is of the essence. All time limits stated in the contract documents are of the essence of the contract.

12.02 Commencement and completion of work. Contractor shall commence the work on the starting date established in the Notice to Proceed and shall complete the work thereafter within the time limit established in the Project Schedule as defined in Supplementary Conditions, Section 1. If there is no Notice to Proceed, Contractor shall commence the work on the starting date established in the Supplementary Conditions and shall complete the work thereafter within the time limit established in the Supplementary Conditions. If there is no Notice to Proceed and if the Supplementary Conditions do not establish a starting or completion date, Contractor shall commence the work promptly after the Agreement is executed by all parties and shall prosecute the work regularly and diligently so as to complete the work within a reasonable time thereafter.

12.03 Prosecution of work. Contractor shall prosecute the work diligently and expeditiously with adequate forces and shall complete it within the time specified in the contract documents.

12.04 Date of final completion. When Contractor believes that his work is completed, he shall request that Architect and Construction Manager inspect the work and certify its completion. Architect and Construction Manager will respond promptly to such a request. The date of final completion of the work or any designated portion thereof is the date on which, after Architect and Construction Manager certify that construction has been completed in accordance with the contract documents, the County Board of Supervisors accepts the work.

12.05 Grounds for extension of time. The time for completion of the work shall be extended by change order for such reasonable time as Architect or County may determine, if an extension of time is reasonably necessary due to a delay caused to Contractor by any of the following circumstances:

- (a) Sole act or sole negligence of County, Architect, any employee of either, or any separate contractor employed by County;
- (b) Any change ordered in the work, which change is requested by County or Architect or which is not due to the act or negligence of Contractor.
- (c) Any labor disputes, fire, unusual delay in transportation, unavoidable casualties, or causes beyond Contractor's control and which Contractor could not reasonably have foreseen or made reasonable provisions for, and which are not caused by or the continuance of which is not due to, any act or failure to act on behalf of Contractor; or

(d) Any other cause which Architect or Construction Manager determines may justify the delay.

12.06 Extensions of time due to failure to furnish interpretation. No extension of time shall be allowed for delay caused by Construction Manager's failure to promptly provide an interpretation of the contract, except in the following circumstances:

(a) Construction Manager failed to provide the interpretation for over fifteen days after demand was made for such interpretation, and it would be reasonable to extend time due to such failure; or

(b) The parties have agreed upon a schedule for the provision of interpretations, Construction Manager failed to comply within that schedule, and it would be reasonable to extend time due to such failure.

12.07 Claims for extension of time. Notwithstanding the provisions of Section 12.05 and 12.06 above, none of the causes of delay described therein shall be deemed a valid excuse for Contractor's failure to start, perform, or complete the work, or any portion thereof, on time unless Contractor has notified Construction Manager, in writing, of the alleged cause of delay within ten (10) days after commencement of the cause of the delay. Should Architect and County disagree with Contractor that the alleged delay warrants an extension of time for the performance of any act required hereunder, Contractor shall notify County, in writing, as provided in Article 30; provided that Contractor shall proceed with the work during the period that Architect and Contractor seek to resolve the matter.

12.08 Liquidated damages. THE PARTIES AGREE THAT IN CASE ALL WORK CALLED FOR UNDER THE CONTRACT IN ALL PARTS AND REQUIREMENTS IS NOT COMPLETED WITHIN THE TIME SPECIFIED IN THE CONTRACT DOCUMENTS, DAMAGE WILL BE SUSTAINED BY COUNTY, AND THAT IT IS AND WILL BE IMPRACTICABLE AND EXTREMELY DIFFICULT TO DETERMINE THE ACTUAL DAMAGE WHICH COUNTY WILL THEREBY SUSTAIN. THE PARTIES THEREFORE AGREE THAT CONTRACTOR WILL PAY TO COUNTY THE SUM SET FORTH IN THE SUPPLEMENT-ARY CONDITIONS, IF ANY, FOR EACH CALENDAR DAY OF DELAY UNTIL THE WORK IS COMPLETED AND ACCEPTED. CONTRACTOR AND HIS SURETY SHALL BE LIABLE FOR THE TOTAL AMOUNT THEREOF. CONTRACTOR AGREES TO PAY SAID LIQUIDATED DAMAGES ESTABLISHED HEREIN, AND FURTHER AGREES THAT COUNTY MAY DEDUCT THE AMOUNT THEREOF FROM ANY MONIES DUE OR THAT MAY BECOME DUE CONTRACTOR UNDER THE CONTRACT.

12.09 Removal or relocation of main or trunk line utility facilities. Contractor shall not be assessed for liquidated damages for delay in completion of the project, when such delay was caused by the failure of County or a utility company to provide for removal or relocation of existing main or trunk line utility facilities; however, when Contractor is aware that removal or relocation of an existing utility has not been arranged, Contractor shall promptly notify County and the utility company, in writing, so that provision for such removal or relocation may be made to avoid and minimize any delay which might be caused by the failure to remove or relocate the main or trunk line utility facilities, or to provide for their removal or relocation. In accordance with Government Code section 4215, if Contractor while performing the contract discovers any existing main or trunk line utility facilities not identified by County in the contract plans or specifications, he shall immediately notify Construction Manager and utility in writing. The utility, where it is the owner of the facilities, shall have the sole discretion to perform repairs or relocation work or permit Contractor to do such repairs or relocation work at a reasonable price. Contractor shall be compensated for the costs of locating, repairing damage not due to the failure of Contractor to exercise reasonable care, and removing or relocating such utility facilities not indicated in the plans and specifications with reasonable accuracy and for equipment on the project necessarily idled during such work. Such compensation shall be in accordance with the extra work provisions set forth elsewhere in the contract documents. Conversely, Contractor shall not be compensated for the costs of locating, repairing damage, and removing or relocating such utility facilities which is due to the failure of Contractor to exercise reasonable care. In such an event, Contractor shall not be credited for nor given an extension of time for equipment on the project

necessarily idled during such work necessitated by Contractor's failure to exercise reasonable care.

12.10 Partial Occupancy or Use. The County may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the County, Construction Manager, and Contractor have accepted, in writing, the responsibilities assigned to each of them for payments, retainage if any, security, maintenance, heat, utilities, damage to the Work, and insurance, and have agreed, in writing, concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor and Construction Manager shall jointly prepare and submit a list to the Architect. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of progress of the Work shall be determined by written agreement between the County and Contractor or, if no agreement is reached, by decision of the Architect after consultation with the Construction Manager. Immediately prior to such partial occupancy or use, the County, Construction Manager, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work. Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

### ARTICLE 13 USE OF SITE

13.01 Limit of operations. Contractor shall confine his apparatus, the storage of materials, and the operations of his workers to limits indicated on the plans, or by law, ordinances, permits, or directions of Construction Manager and shall not unreasonably occupy the premises with his materials. Insofar as possible, Contractor shall arrange his work and its progress to prevent any interference with the operations of the existing facilities. All utilities must be protected and connections made to utilities so as not to interrupt service.

13.02 Utilities. Unless otherwise noted, all utilities, including, but not limited to, electricity, water, gas, and telephone, used on the work shall be furnished and paid for by Contractor. Contractor shall furnish and install temporary distribution systems, including meters, if necessary, from distribution points to points on-site where utility is necessary to carry on the work. Upon completion of the work, Contractor shall remove all temporary distribution systems. If this contract is for an addition to an existing facility, Contractor may, with the written permission of County, use County's existing utilities by making prearranged payments to County for utilities used by Contractor for construction.

13.03 Metering devices. For the purpose of providing utility service to the project, Contractor may install or cause to be installed metering devices or other equipment of utility companies or of political subdivisions, title to which is commonly retained by the utility company or political subdivision. If any such metering device or equipment is installed, contractor shall advise County as to the owner of such device or equipment.

13.04 Sanitary facilities. Contractor shall provide sanitary toilet facilities for the use of all workers and subcontractors. The building shall be properly stocked and maintained in a sanitary condition at all times and shall be left at the site until removal is directed by Construction Manager. Use of the toilet facilities in the Work under construction shall not be permitted.

13.05 Field office. *If box is checked, requirement is deleted for this project.* ☒

xContractor shall provide for the exclusive use of Architect and County a temporary, private office of not less than 150 square feet of floor area to be located as directed by County and to be maintained until removal is authorized by County. The office shall be of substantial waterproof construction with adequate natural light and ventilation by means of stock-design windows. The door shall have a key-type lock or padlock hasp. A table satisfactory for study of plans and two chairs shall be provided by Contractor. Contractor shall provide and pay for adequate lights, heat, and air conditioning for the field office until authorized removal.

13.06 Telephone/Internet Access. *If box is checked, requirement is deleted for this project.* ☒ Contractor shall install a working telephone and provide internet access in Architect and County's office and shall maintain the same until the final completion of the contract and the acceptance of work. Architect and County shall have free, unrestricted use of this telephone and internet access for purposes connected with the Work. The cost of the installation and all charges for the use of the telephone and internet access shall be paid by Contractor.

13.07 Cleaning up during and after work. Contractor, at all times, shall keep the premises free from accumulation of waste materials or rubbish caused by his operations. At the completion of the work, he shall remove all his waste materials and rubbish from and about the project as well as all his tools, construction equipment, machinery, and surplus materials. If Contractor fails to clean up, County may do so and the cost thereof shall be charged to Contractor as provided in paragraph 31.02.

#### ARTICLE 14 MATERIALS

14.01 Materials provided by Contractor. Except as otherwise expressly stated in this contract, Contractor shall provide and pay for all materials, labor, tools, equipment, water, lights, power, transportation, superintendence, temporary constructions of every nature, and all other services and facilities of every nature whatsoever necessary to execute and complete this contract within the specified time.

14.02 Quality of materials. Unless otherwise specified, all materials shall be new and both workmanship and materials shall be of good quality. If required by the Construction Manager or Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

14.03 Provision and storage of materials. Materials shall be furnished in ample quantities and at such times as to ensure uninterrupted progress of work and shall be stored properly and protected as required. Contractor shall be entirely responsible for damage or loss by weather or other causes to materials or work under this contract. All stored items shall be inventoried, specified by identification numbers (if applicable), released to County by sureties of Contractor, and, if stored offsite, stored only in a reputable bonded warehouse.

14.04 Substitution of materials. Whenever in the specifications any materials, process, or article is indicated or specified by grade, patent, or proprietary name or by the name of the manufacturer, such specification shall be deemed to be used for the purpose of facilitating the description of the material, process, or article desired and shall be deemed to be followed by the words "or equal," and Contractor may, unless otherwise stated, offer any material, process, or article which shall in every respect be substantially equal to or better than that specified only with the consent of County, after evaluation by Architect, in consultation with Construction Manager, and in accordance with a Change Order or Construction Change Directive. The burden of proof as to equality of any material, process, or article shall rest with Contractor. Contractor shall submit any request for substitution, together with any

substantiating data, within (35) thirty-five days after the award of this contract. These provisions authorizing submission of "or equal" justification data shall not in any way authorize an extension of time for performance of this contract. In the event Contractor-furnished material, processes, or articles are more expensive than those specified, the difference in cost so furnished shall be borne by Contractor. Requests for substitution of products, materials, or processes other than those specified must be accompanied by evidence whether or not the proposed substitution: (1) is equal in quality and serviceability to the specified item; (2) will entail changes in detail and construction of related work; (3) will be acceptable in consideration of the required design and artistic effect; (4) will not provide a cost disadvantage to Architect or County. Contractor shall promptly provide, upon request, any other information that may be required of it to assist Architect, Construction Manager, and County in determining whether the proposed substitution is acceptable. The final decision shall be that of Architect in consultation with Construction Manager and County. County's and Architect's approval shall be in writing, shall follow the procedure for change orders, and shall be required for the use of a proposed substitute material. County may condition its approval of the substitution upon delivery to County of an extended warranty or other assurances of adequate performance of the substitution.

## ARTICLE 15 CHANGES IN THE WORK

15.01 Change orders. County, without invalidating the contract, may order changes in the work within the general scope of the contract consisting of additions, deletions, or other revisions. The contract sum and the time for performance of the work shall be adjusted accordingly. All such changes in the work shall be authorized by change order, and shall be executed under the applicable conditions of the contract documents. The contract sum and the time for performance of the work may be changed only by change order.

The amount to be paid to Contractor pursuant to the Contract Documents shall, where applicable, be increased or decreased in the manner hereinafter set forth; provided however, that if Contractor should proceed with a Change in the Work upon an oral order, by whomsoever given, it shall constitute a waiver by Contractor of any claim for an increase in the Contract Sum on account thereof. Upon receipt of said written Change Order or Written Directive, Contractor shall promptly proceed with the Change in the Work, even though the amount of any resultant increase or decrease in the contract sum has not yet been determined. All Changes in the Work shall be performed in accordance with the Contract Documents.

15.02 Method to calculate adjustments in contract price. Determination of the method to be used to calculate adjustments in the Contract Price shall be at the sole discretion of County. The use by Contractor of the Total Cost Method (calculating the total sum of expenses incurred on the project, less amounts paid, marked up by overhead and profit) of pricing changes and claims is expressly prohibited (provided however, County may use a "make whole" analysis to determine the reasonableness of Contractor's claim). One of the following methods shall be used:

(a) Unit Price Method:

1. Whenever County or its representative authorizes Contractor to perform on a Unit Price basis, County's authorization shall clearly state the:
  - a. Scope of Work to be performed;
  - b. Applicable Unit Price; and
  - c. Not-to-exceed amount of reimbursement as established by County.
2. The applicable unit price shall include reimbursement for all direct and indirect costs of the Work, including overhead and profit.
3. Contractor shall only be paid under this method for the actual quantity of materials incorporated

in or removed from the Work and such quantities must be supported by field measurement statements verified by County.

(b) Firm Fixed Price Method:

1. Contractor and County may mutually agree on a fixed amount as the total compensation for the performance of changed work.
2. Any adjustments to the Contract Price using the Firm Fixed Price Method shall include: all reasonable costs for labor (hours and rates), equipment, materials, overhead, and profit. Such overhead and profit shall be calculated in accordance with provision 15.04(b)(4)f.
3. Whenever County authorizes Contractor to perform changed work on a Firm Fixed Price Method, County's authorization shall clearly state:
  - a. Scope of Work to be performed
  - b. Total Fixed Price payment for performing such work

(c) Time and Materials Method:

1. Whenever County authorizes Contractor to perform Work on a Time and Material basis, County's authorization shall clearly state:
  - a. Scope of Work to be performed;
  - b. A not-to-exceed amount of reimbursement as established by County.
2. Contractor shall:
  - a. Cooperate with County and assist in monitoring the Work being performed;
  - b. Contractor's and subcontractors' labor hours, materials, and equipment charged to work under the Time and Materials Method shall be substantiated by detailed time cards or logs completed on a daily basis before the close of business each workday. Contractor shall initial each time card and/or log at the close of each workday. Records of Contractor and Subcontractors pertaining to work paid for on a Time and Materials method shall be maintained and available for inspection as requested by County or its representatives;
  - c. Perform all work in accordance with this provision as efficiently as possible; and
  - d. Not exceed any cost limit(s) without County's prior written approval.
3. Contractor shall submit costs and any additional information requested by County to support Contractor's requested price adjustment.

No change in the Contract Price shall be allowed to the extent (1) Contractor's changed cost of performance is due to the fault, acts, or omissions of Contractor, or anyone for whose acts or omissions Contractor is responsible; (2) the change is concurrently caused by Contractor and County; or (3) the change is caused by an act of *Force Majeure*.

County shall not be responsible for, and Contractor shall not be entitled to, unallowable costs. Unallowable costs include, but are not limited to, (1) interest or attorney's fees of any type other than those mandated by California statutes, (2) claim preparation or filing costs, (3) the cost of preparing or reviewing Change Proposals or Requests for Change Orders, (4) lost profits, lost income or earnings, (5) rescheduling costs, (6) costs for idle equipment when such equipment is not at the Site, has not been employed in the Work and is not scheduled to be used at the Site, (7) lost earnings or interest on unpaid retention, (8) claims consulting costs, (9) the costs of corporate officers or staff visiting the Site or participating in meetings with County, (10) any compensation due to the fluctuation of foreign currency conversions or exchange rates, (11) loss of other business, and (12) any other special, consequential, or incidental damages incurred by Contractor or subcontractors.

**15.03 Signatures on change orders.** A change order shall be in writing and shall be signed by County, Construction Manager, Contractor, and Architect. Alternatively, the change order may be signed by Architect alone, provided he has written authority from County for such procedure and that a copy of

such written authority is furnished to Contractor if he agrees to the adjustment in the contract sum or the contract time. Except as otherwise provided herein, the change order shall also be signed by Contractor in order to be effective, indicating Contractor's consent to the changes made.

15.04 Determining cost or credit for change order.

(a) The cost or credit to County resulting from a Change in the work shall be determined in one or more of the following ways:

1. by mutual acceptance of a lump sum for work and materials properly itemized;
2. by unit prices stated in the contract documents or subsequently agreed upon; or
3. as provided in subsection b.

(b) All parties to the agreement shall observe the following procedures for all change proposals and shall require all subcontractors to follow the same procedures:

1. Each change proposal will carry a unique identifying number, such as C-001, A-001 or O-001 which identifies the originator, i.e. C = Contractor, A = Architect, O = Owner and a chronological serial number. All correspondence referring to that change order, no matter who originates the correspondence, shall refer to the same identifying number. Any change proposal without such number shall be returned to the originator.

2. The items of Work involved shall be identified by specific reference to drawing and detail number and specification section if possible.

3. The quantities of material or other Work involved will be identified along with the costs thereof. The items of Work shall be arrayed in a manner that is consistent with the Construction Specifications Institute (CSI) (48) forty-eight division uniform system for classifying construction activities used for the schedule of values for each project component.

4. The total cost of a change proposal shall be limited to the following elements of cost, overhead, and profit:

a. Labor - For all labor, including foreman supervision, but excluding general superintendents, as may be necessary, Contractor shall be reimbursed for labor costs as provided herein. The labor cost of a change in the work shall be calculated as the sum of the following.

- i. Wages of labor on Contractor's payroll, including foreman, directly engaged in the Work; hourly rates for each classification of worker shall be identified;
- ii. Engineering and drafting performed;
- iii. Fringe benefits established by the governing trade organizations;
- iv. Federal Insurance Contributions Act costs and Federal and State Unemployment Taxes;
- v. Net actual premium change for Commercial Liability, Workers' Compensation, Property Damage, and any other forms of Insurance.

b. Materials – The cost of materials resulting from a change in the Work shall be calculated in one or more of the following methods, at County's election:

- i. Invoice Cost – Contractor may be paid the actual invoice cost of materials including actual freight and express charges and applicable taxes less all available discounts, rebates, and back-charges, notwithstanding the fact that they may not have been taken by Contractor. This method shall be considered only to the extent Contractor's invoice costs are reasonable and Contractor provides copies of vendor invoices, freight and express bills, and other evidence of cost accounting and payment satisfactory to County. As to materials furnished from Contractor's stocks for which an invoice is not available, Contractor shall furnish an affidavit certifying its actual cost of such materials and such other information as County may reasonably require;
- ii. Wholesale Price - Contractor may be paid the lowest current wholesale price for which the materials are available in the quantities required, including customary costs of delivery and all applicable taxes less all available discounts, rebates, and back-charges; or,

- iii. County-Furnished Materials - County reserves the right to furnish such materials as it deems advisable; Contractor shall have no claim for costs, overhead, or profit on such materials.
- c. Equipment – The additional cost, if any, of machine-power tools and equipment usage shall be calculated in accordance with the following:
  - i. Equipment Rates - Contractor's own charge rates may be used if verified and approved by County and based on Contractor's actual ownership and operating cost experience. Rental rates contained in published rate guides may be used if their cost formulas and rate factors are identifiable, reflect Contractor's historical acquisition cost, utilization, and useful life, and do not include replacement cost, escalation contingency reserves, general and administrative expense, or profit. Rates shall be based on Contractor's actual allowable costs incurred or the rates established according to the Rental Rate Blue Book for Construction Equipment, published by Machinery Information Division of PRIMEDIA, whichever is less. The Rental Rate Blue Book established rate shall be the monthly rate for the equipment plus the monthly rate for required attachments, divided by 176, plus the hourly operating cost, multiplied by the appropriate area adjustment factor if appropriate. The rates shall apply for actual equipment usage up to eight hours per day. For all hours in excess of eight hours per day or 176 hours per month, the established monthly rate shall be divided by 352, plus the hourly operating cost, multiplied by the area adjustment factor, if appropriate.
  - ii. Transportation - If necessary equipment is not already at the Site and it is not anticipated that it would be required for the performance of other work under the terms of the Contract, the calculation shall include a reasonable amount for the costs of the necessary transportation of such equipment.
  - iii. Standby - Contractor shall only be entitled to standby equipment costs if (a) the equipment is ready, able, and available to do the Work at a moment's notice; (b) Contractor is required to have equipment standby because of an event or condition solely caused by County; and (c) Contractor can demonstrate that it could have and intended to use the equipment on other projects or jobs. Contractor shall be compensated at 50 percent (50%) of the adjusted hourly rate identified in the Rental Rate Blue Book for Construction Equipment, published by Machinery Information Division of K-111 Directory Corp. Standby shall not be paid during periods of Contractor-caused delay, concurrent delay, unusually severe weather conditions, during any seasonal shutdown, routine maintenance, downtime, or occurrence specified in the Contract Documents. No payment shall be made for a 24-hour period. Standby costs shall not be paid for weekends, holidays, and any time the equipment was not intended to be used on the project as demonstrated by the Project Schedule.
- d. Subcontractor's Cost - The Subcontractor's cost of Work shall be calculated and itemized in the same manner as prescribed herein for Contractor.
- e. Bonds - Itemized statement of changes in costs of bonds.
- f. Markup - Allowed markup for change order work shall not exceed the following two items:
  - i. 10 percent (10%) combined overhead and profit markup for Contractor performing the actual change order work and,
  - ii. 5 percent (5%) combined overhead and profit markup on the direct costs for Contractor's markup of subcontractor work. In no event shall the total combined overhead and profit markup for Contractor and all intermediate tier subcontractors and suppliers exceed 15 percent (15%) of the direct cost to perform the Change Order Work. Direct costs shall include Labor (as defined in provision 15.04(b)(4)a, Materials (as defined in provision 15.04(b)(4)b, Equipment (as defined in provision 15.04(b)(4)c, Subcontractor Costs (as defined in provision 15.04(b)(4)d, Bond (as defined in provision 15.04(b)(4)e. All other costs shall be deemed overhead costs. Profit markup shall be allowed on delay, acceleration, unabsorbed overhead, or any other asserted impact costs.
- g. Taxes - Taxes required to be paid by Contractor, but not included above.



- (c) Invoices or quotes shall accompany Change Proposals from vendors. Change proposals shall be sent to Architect and Construction Manager, in duplicate, who shall maintain a database of all proposals which can readily determine the location and status of the change request. Change proposals shall include all cost backup, including breakdown of hours expended by jobsite personnel per task with or without overall execution of the work. Lump sum change proposals lacking necessary backup, as determined by County, will not be accepted or approved.
- (d) All change proposals shall be checked by Architect and Construction Manager for accuracy and fairness. Should Contractor utilize SMACNA or NECA cost-estimating standards, they will use 70 percent (70%) of the most favorable labor productivity rates.
- (e) When the final costs are agreed upon by County, Construction Manager, Contractor, and Architect, a Change Order will be prepared by County for signature by County, Construction Manager, Contractor, and Architect. The Change Order shall be the record document defining the costs and time extensions, if any, of the required and agreed-to change in the Work. A Change Order calculated in accordance with the provisions of this Agreement shall be full and complete compensation and final settlement of all changes and claims for all (a) time; (b) direct, indirect, and overhead costs; (c) profit; and (d) any and all costs or damages associated with delay, inconvenience, disruption of schedule, impact, ripple effect, loss of efficiency or productivity, acceleration of work, lost profits, and/or any other costs or damages related to any work either covered or affected by the changed work, or related to the events giving rise to the change.
- (f) Contractor shall keep present, in the American Institute of Architects' format, an itemized accounting together with appropriate supporting data. Pending final determination of cost to County, payments on account shall be made on Contractor's certificate for payment. The amount of credit to be allowed by Contractor to County for any deletion or change which results in a net decrease in cost will be the amount of the actual net decrease as confirmed by Architect and Construction Manager. When both additions and credits are involved in any one change, the allowance for overhead and profit shall be figured on the basis of net increase, if any.
- (g) If no agreement can be reached on changes in the work or costs, or Contractor refuses to accept a Change Order, County may issue the Change Order unilaterally. Contractor shall comply with the requirements of the Change Order. County shall provide for an equitable adjustment to the Contract Price and compensate Contractor accordingly. If Contractor does not agree that the adjustment is equitable, it may submit a claim in accordance with Article 30. If Contractor refuses to comply with the Change Order, County may have the work done by another contractor or its own forces.

#### 15.05 Changes requiring an increase in contract sum.

- (a) If County elects to have the Change in the Work performed on a lump sum basis, its election shall be based on a lump sum proposal which shall be submitted by Contractor to County within five (5) workdays of County's request therefor, but County's request for a lump sum proposal shall not be deemed an election by County to have the Change in the Work performed on a lump-sum basis.
- (b) If County elects to have the Change in the work performed on a unit-cost basis, its election shall be based on a unit price proposal which shall be submitted by Contractor to County within five (5) workdays of County's request therefore, but County's request for a unit price proposal shall not be deemed an election by County to have Change in the Work performed on a unit-price basis.
- (c) If County elects to have the Change in the work performed on a time and materials basis, the same shall be performed, its election shall be based on a time-and-materials price proposal which shall be submitted by Contractor within five (5) workdays of County's request therefor, but County's request for a time-and-materials price proposal shall not be deemed an election by County to have the Change in the work performed on a time-and-materials basis.
- (d) Nothing herein contained shall preclude County from requesting a lump sum proposal, a unit price

proposal, and a time and materials price proposal, or any two of those, with respect to the same Change in the Work, in which event, Contractor shall submit all proposals requested.

(e) Until such time as County makes its election under this paragraph, Contractor shall submit daily time-and-materials tickets to County as required under subparagraph (c) and section 15.04(b), which shall be subject to authentication as therein provided. At such time as County makes its election under this paragraph, an appropriate Change Order will be issued; provided however, that until such time, County shall pay to Contractor up to County's reasonable estimated value of the Change in the Work.

(f) Contractor's proposal shall be in compliance with sections 15.02, 15.03, and 15.04 of the General Conditions.

**15.06 Changes requiring a decrease in contract sum.** If the Change in the Work will result in a decrease in the contract sum, County may request a quotation by Contractor of the amount of such decrease for use in preparing a Change Order. Contractor's quotation shall be forwarded to County within five (5) days of County's request and, if acceptable to County, shall be incorporated in the Change Order. If not acceptable, the parties shall make every reasonable effort to agree as to the amount of such decrease, which may be based on a lump sum properly itemized, on unit prices stated in the Contract Documents and/or on such other basis as the parties may mutually determine. If the parties are unable to so agree, the amount of such decrease shall be the total of the estimated reduction in actual cost of the work, as determined by County in its reasonable judgment, plus ten percent (10%) thereof as overhead and profit. Contractor's proposal shall be in compliance with sections 15.02, 15.03, and 15.04 of the General Conditions.

**15.07 Changes affecting contract time.** If Change in the Work will result in an extension or contraction of the contract time, and the parties are unable to agree as to number of days by which the contract time will be extended or contracted, County shall not be required to make its determination until the work has been completed, at which time its determination shall be based on a review of Contractor's books and records relating to the time involved in performing the Change in the Work and on County's judgment as to whether Contractor diligently performed the same.

**15.08 Disputes regarding changes.** If any dispute should arise between the parties with respect to an increase or decrease in the Contract Sum or an expansion or contraction in the contract time as a result of a Change in the Work, Contractor shall not suspend performance of a Change in the Work or the Work itself unless otherwise so ordered by County in writing. County shall, however, pay to Contractor up to County's reasonable estimate of the value of the Change in the Work, regardless of the dispute, if said Change in the Work results in an increase in the Contract Sum; and County shall have the right to decrease the Contract Sum to County's reasonable estimated value of the Change in the Work, regardless of the dispute, if said Change in the Work results in a decrease in the contract sum.

**15.09 Adjustment of unit prices.** If unit prices are stated in the contract documents or subsequently agreed upon, and if the quantities originally contemplated are so changed in a proposed change order that application of the agreed unit prices to the quantities of work proposed will create a hardship on County or Contractor, the applicable unit prices shall be equitably adjusted to prevent such hardship.

**15.10 Concealed or unknown conditions.** If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to County, Construction Manager, and the Architect before conditions are disturbed and, in no event, later

than 20 days after first observance of the conditions. The Architect and Construction Manager will promptly investigate such conditions and, if the Architect, in consultation with the Construction Manager, determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend an equitable adjustment in the Contract Sum or Contract Time or both. If the Architect, in consultation with the Construction Manager, determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify County, Construction Manager, and Contractor, in writing, stating reasons. If County or Contractor disputes the Architect's determination or recommendation, either party may proceed as provided in Article 15.08.

15.11 Claims for additional cost. All claims for additional compensation or for an increase in the contract sum shall be made as provided in Article 30. Any change in the contract sum resulting from such claim shall be authorized by change order.

15.12 Minor changes in the work. Subject to approval by County, Architect may order minor changes in the work not involving an adjustment in the contract sum or an extension of the contract time and not inconsistent with the intent of the contract documents. Such changes may be made by other written order issued through the Construction Manager. Such changes shall be binding on County and Contractor.

15.13 Limitations. Except as expressly provided by this Section, there shall be no change whatsoever in the plans and specifications and in the work. Contractor shall not vary the work, the contract documents, or change, add to, or omit any element, component part, or portion of the work without the express written consent of Construction Manager or Architect contained in an executed change order or field order as herein provided. County shall not be liable for the cost for any extra work or any substitutions, changes, additions, omissions, or deviations from the plans and specifications unless the same have been authorized by and the cost thereof approved in writing by change order. No extension of time for performance of the work shall be allowed hereunder unless claim for such extension shall be made at the time changes in the work are ordered and such duly adjusted in writing by Construction Manager and Architect. Contractor recognizes and acknowledges that timely completion of the work is paramount and that its duty is to proceed with the work in accordance with the contract documents, notwithstanding any request for change in the work, to the extent that proceeding is reasonable and feasible under the circumstances.

15.14 Review of Contract Documents. Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed and correlated personal observations with requirements of the Contract Documents. Contractor shall carefully study and compare the Contract Documents including, but not limited to, the Agreement, general conditions, drawings, specifications, addenda, and modifications, and shall at once report to Architect and Construction Manager any error, inconsistency, or omission it may discover. Contractor shall not work without proper drawings and specifications or interpretations. If Contractor performs any construction activity knowing it involves a recognized error, inconsistency, or omission in the Contract Documents without such notice to Architect and Construction Manager, Contractor shall assume appropriate responsibility for such performance and shall bear an appropriate amount of the costs attributable for correction.

15.15 Requests for Information. Contractor shall review all Requests for Information (RFI), or other Contractor or subcontractor-initiated RFI, prior to submission to Construction Manager to ensure that

the information requested in such RFI is not already provided in the Contract Documents. RFI submittals shall come only from Contractor (not from any subcontractors). Contractor shall prepare RFI on an RFI form approved by the Construction Manager, which shall include a detailed description of the conditions, cause, and/or reason for the request. RFI shall also include a proposed resolution. All RFI shall reference the applicable Construction Documents. A transmittal letter over a subcontractor's RFI does not constitute an approved form. The Construction Manager will receive and review RFI from the Contractor, and forward each RFI to the Architect and County, with the Construction Manager's recommendations. The Architect and County will review and respond, in writing, to the Construction Manager to RFI about the Contract Documents. The Construction Manager's recommendation and the Architect's response to each request will be made, in writing, within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the RFI.

## ARTICLE 16 UNCOVERING AND CORRECTION OF WORK

### 16.01 Uncovering of work.

- (a) If any work is covered contrary to the request of Architect or Construction Manager, it must, be uncovered for their observation and replaced at Contractor's expense.
- (b) Architect or Construction Manager may ask to see any other work that has been covered prior to its inspection by Architect or Construction Manager, and Contractor shall uncover the work. If such work is found to be in accordance with the contract documents, the cost of uncovering and replacement shall, by appropriate change order, be charged to County. If such work is found not to be in accordance with the contract documents, Contractor shall pay such costs unless it is found that a separate contractor caused this condition, and, in that event, County shall be responsible for the payment of such costs.

### 16.02 Correction of work.

- (a) Contractor shall promptly correct all work rejected by Construction Manager or Architect as defective or as failing to conform to the contract documents whether observed before or after substantial Completion and whether or not fabricated, installed, or completed. In addition to the Contractor's obligation under Section 32.01, if, within one year after the date of Substantial Completion of the Work or designated portion thereof, or after the date for commencement of warranties established under Section 12.09, or by terms of an applicable special warranty required by the Contract Documents, any of the Work is found not to be in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of written notice from the County to do so unless the County has previously given the Contractor a written acceptance of such condition. The County shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the County waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the County or Architect, the County may correct it in accordance with Section 31.02. The one-year period shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work. The one-year period for correction of Work shall not be extended by correct Work performed by the Contractor pursuant to this Section 16.02. Contractor shall bear all cost of correcting such rejected work, including the cost of Construction Manager's and Architect's additional services made necessary thereby.
- (b) All such defective or non-conforming work shall be removed from the site if necessary, and the work shall be corrected to comply with the contract documents without cost to County.
- (c) Contractor shall bear the cost of making good all work of separate contractors destroyed or damaged

by such removal or correction.

16.03 Contractor's failure to remove defective work. If Contractor does not remove such defective or non-conforming work within a reasonable time fixed by written notice from Construction Manager, County may remove it and may store the materials or equipment at the expense of Contractor. If Contractor does not pay the cost of such removal and storage within ten (10) days thereafter, County may upon ten (10) additional days' written notice sell such work at auction or a private sale and shall account for the net proceeds thereof, after deducting all the costs that should have been borne by Contractor, including compensation for additional architectural services. If such proceeds of sale do not cover all costs, which Contractor should have borne, the difference shall be charged to Contractor and an appropriate change order shall be issued. Such change order shall not require Contractor's consent to be effective. Said amount may be deducted from any payment thereafter due to Contractor under this or any other contract with County. If the payments then or thereafter due Contractor are not sufficient to cover such amount, Contractor shall pay the difference to County.

16.04 Contractor's failure to correct defective work. If Contractor fails to correct such defective or non-conforming work, County may correct it in accordance with paragraph 31.02.

16.05 Acceptance of defective or non-conforming work. If County prefers to accept defective or non-conforming work, it may do so instead of requiring its removal and correction, in which case a change order will be issued to reflect an appropriate reduction in the contract sum, or, if the amount is determined after final payment, it shall be paid by Contractor. The issuance of the final certificate, final payment, or any provisions in the contract documents shall not relieve Contractor of responsibility for faulty materials, equipment, or workmanship. Contractor shall remedy any defects due to, and pay for any damage to, other work in accordance with the applicable guaranty or warranty provisions of the Contract Documents.

16.06 Emergency corrective action by County. If, in the opinion of County, defective work creates a dangerous condition or requires immediate correction or attention to prevent further loss to County or third parties or to prevent interruption of operations of County or third parties, County will attempt to give notice to Contractor. If Contractor cannot be contacted promptly or does not comply with County's request for correction within a reasonable time as determined by County, County may, notwithstanding the provisions of this contract, proceed to make such correction or provide such attention, and the costs of such correction or attention shall be charged against Contractor. Such action by County shall not relieve Contractor of any warranty obligations provided in this contract.

## **PART III SAFETY**

### **ARTICLE 17 PROTECTION OF PERSONS AND PROPERTY**

17.01 Contractor's responsibility for safety. Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the work. Contractor shall take all reasonable precautions for the safety of, and shall provide all reasonable protection to prevent damage, injury, or loss to:

- (a) All employees on the work and all other persons who may be affected thereby;
- (b) All the work and all materials and equipment to be incorporated therein, whether in storage on or off the site, under the care, custody, or control of Contractor or any subcontractor; and
- (c) Other property at the site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.

17.02 Compliance with safety requirements. Contractor shall comply with all applicable laws, ordinances, rules, regulations, and lawful orders of any public authority having jurisdiction for the safety of persons or property or to protect them from damage, injury, or loss. He shall erect and maintain, as required by existing conditions and progress of the work, all reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards, promulgating safety regulations, and notifying owners and users of adjacent utilities.

17.03 Trench safety. For all trenches to be made in connection with the work, Contractor shall submit a detailed plan showing the design of shoring, bracing, sloping, or other provisions to be made for worker protection from the hazard of caving ground during the excavation of such trenches. If such plan varies from the shoring system standards, a registered civil or structural engineer shall prepare the plan. The plan shall be reviewed, and must receive approval as adequate to protect worker safety, by County or by a registered civil or structural engineer employed by County, in advance of excavation. The shoring, sloping, or protective system must be at least as effective as that required by the Construction Safety Orders. See Labor Code section 6705.

17.04 Hazardous substance. The term "hazardous substance" means any substance on the list of hazardous substances established by the Director of Industrial Relations pursuant to the Labor Code section 6382, which includes asbestos, lead, toxic chemicals, contaminants, any substance designated by the Environmental Protection Agency as a hazardous substance, and other pollutants and contaminants.

(a) If Contractor encounters on the property any substance reasonably believed to be a Hazardous Substance that has not been rendered harmless, i.e., not potentially hazardous to human health, Contractor shall immediately stop work in the area affected and report the condition to County, Construction Manager and Architect in writing.

(b) Neither Contractor nor any subcontractor shall cause or permit any Hazardous Substance to be brought upon the property or used in the work without the prior written consent of County. Contractor and each subcontractor shall comply with all laws regarding the handling, treatment, presence, removal, storage, decontamination, cleanup, transportation, or disposal of Hazardous Substances brought onto the property by Contractor, its Subcontractors, and/or their personnel.

(c) Any handling, treatment, removal, decontamination, cleanup, transportation, disposal, or disturbance in any of Hazardous Substances shall only be performed by Contractor or any subcontractor licensed and certified to perform the work. Any hazardous substance abatement or remediation work will be performed in such a way that is legally consistent with the recommendations of the certified County agent, appropriate governmental agencies, and all applicable laws.

(d) If there is a Hazardous Substance on the property, Contractor shall protect adjoining property and provide barricades, temporary fences, and covered walkways required to protect the health and safety of passersby as required by this Agreement, prudent construction practices, and all applicable laws.

17.05 Contractor's safety monitor. Contractor shall designate a responsible member of his organization at the site whose duty shall be the prevention of accidents. This person shall be Contractor's Superintendent unless otherwise designated in writing by Contractor to County, Construction Manager, and Architect.

17.06 Unsafe loading. Contractor shall not load or permit any part of the work to be loaded so as to endanger its safety.

17.07 Emergencies. In any emergency affecting the safety of persons or property, Contractor shall act, at his discretion, to prevent threatened damage, injury, or loss. Any additional compensation or extension of time claimed by Contractor on account of emergency work shall be determined as provided in Article 15 for changes in the work.

17.08 Accidents. Contractor shall promptly report, in writing, to Architect, Construction Manager, and County all accidents whatsoever arising out of, or in connection with the performance of the work, whether on or off the site, which caused death, personal injury, or property damage, giving full details and statements of witnesses. In addition, if death or serious injuries or serious damages are caused, Contractor shall report the accident immediately to Construction Manager by telephone or messenger. Contractor shall thereafter promptly report the facts, in writing, to Architect, Construction Manager, and County giving full details of the accident.

## **PART IV PAYMENTS**

### **ARTICLE 18 PROGRESS PAYMENTS**

18.01 Monthly progress payments. Monthly progress payments shall be made to Contractor, as provided in this Article.

18.02 Schedule of values. Before Contractor submits any application for payment, Contractor shall submit to Construction Manager and Architect a schedule of values of the various portions of the work, to be used to enable County to estimate the timing and amounts of the successive progress payments. If required by Construction Manager, the schedule shall include quantities aggregating the total contract sum, divided so as to show Contractor's anticipated payments to subcontractors. The schedule shall be prepared in such form as may be specified in the contract documents or by Construction Manager, or as may be agreed upon by Construction Manager and Contractor. The schedule shall include such data as Construction Manager and Architect may require substantiating its correctness. Each item in the schedule shall include its proper share of overhead and profit. This schedule, when approved by Construction Manager, shall be used only for preparing and reviewing Contractor's applications for payment, and will not be considered as fixing a basis for additions to or deductions from the contract sum.

18.03 Application for payment. On or before the fifth day of each month, Contractor shall submit to Construction Manager an application for payment including a schedule of values, requesting payment for the work completed up to the end of that same month, using the standard AIA form for requesting progress payments or such other form as may be prescribed by County. The application shall be itemized by task and shall be supported by such data substantiating Contractor's right to payment as County, Architect or Construction Manager may require.

18.04 Payment for stored materials and equipment. If payments are to be made on account of materials or equipment not incorporated in the work but delivered and suitably stored at the site, or at some other location agreed upon in writing, such payments shall be conditioned upon submission by Contractor of bills of sale or such other procedures satisfactory to County to establish County's title to such materials or equipment or otherwise protect County's interest including applicable insurance and transportation to the site.

18.05 Certificates for payment. If Contractor has made application for payment as above, Construction

Manager will, with reasonable promptness but not more than ten (10) days after the receipt of the issue a certificate for payment to County, with a copy to Contractor, for such amount as he determines to be properly due, or state in writing his reasons for withholding a certificate as provided in para-graph 19.01. A payment request determined not to be a proper payment request suitable for payment will be returned to Contractor within seven (7) days with a statement setting forth the reasons why the payment request is not proper. The final payment, if unencumbered, or any part thereof unencumbered, shall be made not later than 60 days after completion of the work and submission of all completion documents. Payments shall be made on demands drawn in the manner required by law, accompanied by a certificate signed by Project Manager, stating the work for which payment is demanded has been performed in accordance with the terms of the contract. Contractor is entitled to interest pursuant to Public Contract Code Section 20104.50 if County fails to make the progress payment within 30 days after receipt of an undisputed properly submitted payment request.

18.06 Findings to issue certificate of payment. In determining to issue a certificate of payment, Construction Manager and Architect must make the following findings, based on observations at the site, the schedule of values, and the data included in the application for payment:

- (a) that the work has progressed to the point indicated;
- (b) that, to the best of their knowledge, information, and belief, the quality of the work is in accordance with the contract documents (subject to an evaluation of the work for conformance with the contract documents upon Substantial Completion, to the results of any subsequent tests required by the contract documents, to minor deviations from the contract documents correctable prior to completion, and to any specific qualifications stated in his certificate); and
- (c) that Contractor is entitled to payment in the amount certified.

18.07 Amount of progress payment. The amount of each progress payment shall equal ninety-five percent of the estimated value of work performed up through the last day of the previous month, less the aggregate of all previous payments. The amount of the progress payment may be further reduced by any withholdings or deductions that may be taken from the payment pursuant to other provisions of this contract. For the purpose of determining the amount of any particular progress payment, the value of work completed is only an estimate; such value or estimate shall be used for no other purpose in connection with this contract and shall not be binding on County Architect or Construction Manager for any other purpose or any other payment, and County, Architect and Construction Manager shall have the right to correct any error in such value or estimate for later payments.

18.08 Payment by County. Promptly after Construction Manager has issued a certificate for payment, County shall submit the appropriate documentation to the Monterey County Auditor-Controller, who shall make payment to Contractor within 30 days thereafter. All materials and work covered by payments made shall thereupon become the sole property of County, and this provision shall not be construed as relieving Contractor from the continuing responsibility for all materials and work upon which payments have been made or the restoration of any damaged work, or as a waiver of any right of County to require the fulfillment of all terms of this Agreement. Title to all work completed in the course of construction and to all materials, including the specifications and other documents prepared by Architect, Construction Manager, and/or Contractor on account of which payment has been made shall be vested in County.

18.09 Limited effect of issuance of certificate or progress payment. By issuing a certificate for payment, Construction Manager and Architect shall not thereby be deemed to represent that they have made exhaustive or continuous on-site inspections to check the quality or quantity of the work or that they have reviewed the construction means, methods, techniques, sequences, or procedures, or that they have



made any examination to ascertain how or for what purpose Contractor has used the monies previously paid on account of the contract sum. Further, no certificate for a progress payment, nor any progress payment, nor any partial or entire use or occupancy of the project by County, shall constitute an acceptance of any work not in accordance with the contract documents.

## ARTICLE 19 WITHHOLDING PAYMENTS

19.01 Grounds for withholding payment. The Architect or Construction Manager may decline to approve an application for payment and may withhold his certificate as to all or part of the payment amount requested, to the extent reasonably necessary to protect County, if in the Architect's or Construction Manager's opinion he is not able to make the findings set forth in paragraph 18.06. Architect or Construction Manager may also decline to approve payment, in whole or in part, and, based on subsequently discovered evidence or subsequent inspections, Architect or Construction Manager may nullify the whole or any part of any certificate for payment previously issued, to such extent as may be necessary in the Architect's or Construction Manager's opinion to protect County. Such withholding of the certificate or of any amounts requested by Contractor in connection with the certificate, may be based on any of the following grounds:

- (a) defective work not remedied;
- (b) third-party claims filed or reasonable evidence indicating probable filing of such claim;
- (c) failure of Contractor to make payments properly to subcontractors or for labor, materials, or equipment;
- (d) reasonable doubt that the work can be completed for the unpaid balance of the contract sum;
- (e) damage to another contractor;
- (f) reasonable indication that the work will not be completed within the contract time;
- (g) unsatisfactory prosecution of the work by Contractor;
- (h) stop notices filed for any portion of the work;
- (i) failure or refusal of Contractor to fully comply with the contract requirements; or
- (j) Contractor's failure to comply within a reasonable time with Article 17 of these conditions.

19.02 Application of withheld amounts. County may apply any such withheld amounts to payment of such claims or obligations, in County's sole discretion. In so doing, County shall be deemed the agent of Contractor and any payment so made by County shall be considered as a payment made under contract by County to Contractor. County shall not be liable to Contractor for any such payments made in good faith. Such payments may be made without prior judicial determination of such claim or obligation. County will render to Contractor a proper accounting of any funds so disbursed on behalf of Contractor.

19.03 Payment when grounds removed. When the above grounds for withholding payment are removed by Contractor or by County, payment of the withheld amounts or the remaining balance thereof shall be made to Contractor.

## ARTICLE 20 COMPLETION AND FINAL PAYMENT

20.01 Substantial Completion. When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall notify the Construction Manager, and the Contractor and Construction Manager shall jointly prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

Upon receipt of the list, the Architect, assisted by the Construction Manager, will make an inspection to determine whether the Work, or designated portion thereof, is substantially complete. If the Architect's inspection discloses any item, whether or not included on the list, which is not sufficiently complete in accordance with the requirements of the Contract Documents so that the Owner can occupy or utilize the Work, or designated portion thereof, for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect, assisted by the Construction Manager, to determine Substantial Completion.

When the Architect, assisted by the Construction Manager, determines that the Work, or designated portion thereof, is substantially complete, the Construction Manager will prepare, and the Construction Manager and Architect shall execute, a Certificate of Substantial Completion that shall establish the date of Substantial Completion; shall establish responsibilities of the County and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and shall fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work, or designated portion thereof, unless otherwise provided in the Certificate of Substantial Completion.

The Certificate of Substantial Completion shall be submitted to the County and Contractor for their written acceptance of responsibilities assigned to them in such Certificate. Upon such acceptance and consent of surety, if any, the County shall make payment of retainage applying to such Work, or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

20.02 Application for final payment. When the work is complete, Contractor shall submit to Construction Manager the following documents:

- (a) a written notice that the work is ready for final inspection;
- (b) an application for final payment;
- (c) an affidavit that all payrolls, bills for materials and equipment, and other indebtedness connected with the work for which County might in any way be responsible, have been paid or otherwise satisfied;
- (d) consent of the sureties, if any, to final payment; and
- (e) if required by County, other proof (such as receipts, releases, and waivers of liens) establishing payment or satisfaction of all obligations arising out of the contract, to the extent and in such form as may be designated by County.

The final payment, if unencumbered, or any part thereof unencumbered, shall be made not later than 60 days after completion of the work and submission of all completion documents.

20.03 Bond for outstanding claims or liens. If any person refuses to furnish a release or waiver required by County, Contractor may furnish a bond satisfactory to County to indemnify and defend County against any claim that might be made against County or any lien that might be placed against the work on account of such person. If any such claim or lien remains unsatisfied after all payments are made, Contractor or the surety shall pay to County all monies that County may be compelled to pay in discharging such claim or lien, including all costs and reasonable attorneys' fees.

20.04 Inspection and final certificate. Upon receipt of the above documents, Architect and Construction Manager will promptly inspect the work. Architect and Construction Manager shall issue a certificate for final payment, with copies to both County and Contractor, if they make the following findings:

- (a) that the work is acceptable under the contract documents;
- (b) that the contract has been fully performed;
- (c) that to the best of his knowledge, information, and belief, and on the basis of his observations and inspections, the work has been completed in accordance with the terms and conditions of the contract documents;
- (d) that all potential liens or claims for subcontractors' services and for labor, equipment, and materials on the work have been satisfied or adequately secured;
- (e) that the balance noted in the final certificate is due and payable; and
- (f) that all necessary approvals of applicable federal, state, or local agencies and/or authorities have been issued.

20.05 Determination not to issue certificate for final payment. If the Architect and Construction Manager determine that the necessary findings cannot be made to issue a final certificate, the Construction Manager shall promptly notify Contractor, in writing, of the reasons for such determination. Contractor shall promptly thereafter take appropriate steps to remove the grounds for denial of the final certificate.

20.06 Acceptance by Board of Supervisors. Promptly after the Architect and Construction Manager issue the certificate for final payment, the matter will be submitted to the County Board of Supervisors for final acceptance of the work. Work on the contract shall be deemed complete when the Board of Supervisors accepts the work. Not later than fifteen (15) days after such acceptance, County shall record its notice of completion.

20.07 Effect of final payment as to County. The making of the final payment by County to Contractor hereunder shall not constitute a waiver of any claims which County may now or thereafter have against Contractor by reason of this Agreement or any other matter related to the work.

20.08 Effect of final payment as to Contractor. Acceptance of final payment shall constitute a waiver of all claims by Contractor except those previously made, in writing, and remaining still unsettled.

## ARTICLE 21 ALTERNATIVE PAYMENT OF WITHHELD FUNDS

21.01 Alternatives to withholding. This contract requires a five percent (5%) withholding from progress payments. Progress payments shall not be made in excess of 95 percent of the actual work completed plus a like percentage of the value of material delivered on the ground or stored subject to, or under the control of, County, and unused. County shall withhold five (5) percent from the progress payments until final completion and acceptance of the project by the Board of Supervisors. At Contractor's request, County shall make payment of these funds withheld from progress payments through the use of the escrow procedures provided in this paragraph and either paragraph 21.02 or 21.03. As a prerequisite to compliance with paragraph 21.02 or 21.03, Contractor shall select an escrow agent, who shall be County Auditor-Controller or any state or federally chartered bank in California; the parties shall enter into an escrow agreement meeting the requirements of Public Contract Code Section 22300; and the parties shall deposit with the escrow agent the escrow agreement, the withheld portions of the progress payments that have accrued before opening of the escrow, all future withheld portions as they accrue, and all other deposits required below. Contractor shall pay all expenses incurred in implementing the procedures set forth herein.

21.02 Alternative one: substitution of securities for withheld funds. At Contractor's request, eligible securities provided by Contractor, equivalent to the amount withheld, shall be deposited with the escrow

agent, who shall then pay the withheld monies to Contractor. After the initial deposits and disbursements, County shall deposit all additional amounts to be withheld with the escrow agent as they accrue, and if Contractor desires their release, Contractor shall increase the amount of the securities on deposit, if necessary, in order that the value of the securities on deposit shall equal or exceed the total of all amounts currently and previously authorized to be withheld under the contract without the substitution of securities. Upon satisfaction of that condition, the escrow agent shall immediately pay the additional withheld amounts to Contractor. Upon satisfactory completion of the contract, the securities shall be returned to Contractor.

21.03 Alternative two: investment of withheld funds. Alternatively, Contractor may direct that the withheld funds deposited in the escrow be invested in eligible securities. Upon satisfactory completion of the contract, Contractor shall receive from the escrow agent all securities, interest, and payments received by the escrow agent from County. Contractor shall pay to each subcontractor, not later than 20 days after receipt of the payment, the respective amount of interest earned, net of costs attributed to retention withheld from each subcontractor, on the amount of retention withheld to ensure the performance of Contractor.

21.04 Eligible securities: interest. Securities eligible to be used under the above paragraphs shall include those listed in Government Code Section 16430, bank or savings and loan certificates of deposit, interest-bearing demand deposit accounts, standby letters of credit, or any other security mutually agreed to by Contractor and County. The parties must agree upon the value of the securities, as a condition of their deposit in the escrow. Contractor shall be the beneficial owner of any securities deposited pursuant to this Article 21 and shall receive any interest thereon. Contractor may withdraw interest earned on securities held in escrow at any time, without notice to County.

21.05 Inapplicability of Article 21 to certain contracts. The provisions of this Article 21 shall not apply to contracts in which there will be financing provided by the Farmers Home Administration of the United States Department of Agriculture pursuant to the Consolidated Farm and Rural Development Act (7 U.S.C. Sec. 1921 et seq.) and where federal regulations or policies, or both, do not allow the substitution of securities.

## **PART V EMPLOYMENT PRACTICES**

### **ARTICLE 22 APPRENTICES**

22.01 Compliance with Labor Code apprenticeship requirements. Contractor and all subcontractors shall comply with the provisions of Labor Code sections 1777.5, 1777.6, and 1777.7, when applicable, pertaining to apprentices, and with all applicable regulations there under (Title 8, Calif. Code of Regulations, sections 200 et seq., especially sections 227 et seq.), including, but not limited to, provisions relating to required or permitted ratios of apprentices to experienced workers. When any question exists concerning these requirements, Contractor and/or any subcontractor concerned should contact the Division of Apprenticeship Standards, 525 Golden Gate Avenue, San Francisco, CA, or one of its branch offices, prior to commencement of work. The prime contractor is responsible for ensuring compliance with this section.

22.02 State policy. It is State policy to encourage the employment and training of apprentices on public works contracts in conformity with standards set by law.

### **ARTICLE 23 NON-DISCRIMINATION PROVISIONS**

23.01 Non discrimination in employment practices. Contractor shall ensure that the evaluation and treatment of its employees and applicants for employment and all persons receiving and requesting services are free of such discrimination. Contractor and any subcontractor shall, in the performance of this Agreement, fully comply with all federal, state, and local laws and regulations which prohibit discrimination. The provision of services primarily or exclusively to such target population as may be designated in this Agreement shall not be deemed to be prohibited discrimination.

23.02 "Discrimination" defined. As used in this contract, the term "discrimination" includes, but is not limited to, the illegal denial of equal employment opportunity, harassment (including sexual harassment and violent harassment), disparate treatment, favoritism, subjection to unfair or unequal working conditions, and/or any other prohibited discriminatory practice. The term also includes any act or retaliation.

23.03 Application of Monterey County Code, Chapter 2.80. The provisions of Monterey County Code, Title 2, Chapter 2.80, apply to activities conducted pursuant to this contract. Contractor and its officers and employees, in their actions under this contract, are agents of the Owner within the meaning of Chapter 2.80, and are responsible for ensuring that their workplace and the services that they provide are free from discrimination, as required by Chapter 2.80. Complaints of discrimination made by Contractor, subcontractor(s), or any of their employees or agents against the Owner may be investigated and resolved using the procedures established by Chapter 2.80. Contractor shall establish and follow its own written procedures for the prompt and fair resolution of discrimination complaints made against Contractor by its own employees, agents and third parties, and shall provide a copy of such procedures to County upon demand by County.

23.04 Compliance with laws. During the performance of this agreement, Contractor shall comply with all applicable federal, state, and local laws and regulations, which prohibit discrimination, including, but not limited to, the following:

- (a) California Labor Code section 1735;
- (b) California Fair Employment and Housing Act, Government Code sections 12900 et seq., and the administrative regulations issued thereunder, Title 2 California Code of Regulations, sections 7285.0 et seq. (Division 4 - Fair Employment and Housing Commission);
- (c) California Government Code sections 11135 - 11139.5 (Title 2, Div. 3, Part 1, Chap.1, Art. 9.5) and any applicable administrative regulations issued thereunder;
- (d) Federal Civil Rights Acts of 1964 and 1991 (see especially Title VII, 42 USC sections 2000d et seq.), as amended, and all administrative rules and regulations issued thereunder (see especially 45 CFR Part 84); and all guidelines and interpretations issued pursuant thereto;
- (e) The Rehabilitation Act of 1973, sections 503 and 504 (29 USC sections 793 and 794), as amended; all requirements imposed by the applicable HHS regulations (45 CFR Part 84); and all guidelines and interpretations issued pursuant thereto;
- (f) Americans With Disabilities Act of 1990 (P.L. 101- 336), as amended, 42 USC sections 12101 et seq., and 47 USC sections 225 and 611, and any federal regulations issued pursuant thereto (see 24 CFR Chapter 1; 28 CFR Parts 35 and 36; 29 CFR Parts 1602, 1627 and 1630; and 36 CFR Part 1191;
- (g) Unruh Civil Rights Act, California Civil Code sections 51 et seq.; and
- (h) Monterey County Code, Title 2, Chapter 2.80, as amended and procedures issued pursuant thereto.

23.05 Written assurances. Upon request by County, Contractor will give any written assurances of compliance with the Civil Rights Acts of 1964 and 1991, as amended, the Rehabilitation Act of 1973, as

amended, the Americans With Disabilities Act of 1990, as amended, and/or Executive Order 11246, as may be required by the federal government in connection with this contract, pursuant to 45 CFR Sec. 80.4 or 45 CFR Sec. 84.5 or other applicable state or federal regulations.

23.06 Written non-discrimination policy. Contractor shall maintain a written statement of its non-discrimination policies, which shall be consistent with the terms of this agreement. Such statement shall be available to Contractor's employees, the Owner, Owner's officers and employees, and members of the public, upon request.

23.07 Notice to labor unions. Contractor shall give written notice of its obligations under paragraphs 23.01-23.09 to labor organizations with which it has a collective bargaining or other agreement.

23.08 Access to records by government agencies. Contractor shall permit access by Owner and by representatives of the California Department of Fair Employment and Housing and the U.S. Equal Employment Opportunity Commission, and any federal and/or state agency providing funds for this contract upon reasonable notice at any time during normal business hours, but in no case on less than 24-hour notice, to such of its books, records, accounts, facilities, and other sources of information as the inspecting party may deem appropriate to ascertain compliance with these non-discrimination provisions.

23.09 Binding on subcontractors. The provisions of paragraphs 23.01 - 23.09 shall also apply to all of Contractor's subcontractors. Contractor shall include the non-discrimination and compliance provisions of these paragraphs in all subcontracts to perform work or provide services under this agreement.

## ARTICLE 24 HOURS OF WORK

24.01 Eight-hour day; 40-hour week. No work shall be performed by employees of Contractors in excess of eight (8) hours per day or 40 hours during any one week, unless such employees are compensated for all such excess hours at not less than one-and-one-half times the basic rate of pay, as provided in Labor Code Sec. 1815. Holiday work when permitted by law shall also be compensated at not less than one-and-one-half times the basic rate of pay.

24.02 Penalties. Pursuant to Labor Code Sec. 1813, Contractor shall forfeit, as a penalty to County, \$25 for each worker employed in the execution of the contract by Contractor or any subcontractor under him for each calendar day during which such worker is required or permitted to work more than eight (8) hours in any one calendar day and 40 hours in any one calendar week in violation of the provisions of the Labor Code sections 1810-1815.

24.03 Approvals. Contractor will not be entitled to additional compensation for work performed outside of regular working hours, except to the extent such compensation is approved in advance, in writing, by Construction Manager. If so approved, such compensation shall in such event cover only the direct cost of the premium portion of the time involved, when permitted, and be without any overhead or profit.

## ARTICLE 25 PREVAILING WAGES

25.01 Prevailing wage rates determined. The Director of the California Department of Industrial Relations has determined the general prevailing rate of per diem wages in the locality in which said public work is to be performed for each craft, classification, or type of worker needed to execute the

contract in accordance with Labor Code sections 1770-75. Copies of the prevailing rate of per diem wages are on file and shall be made available to any interested party on request in the RMA-Public Works' office located at 168 West Alisal Street FL2, Salinas CA 93901. Current prevailing wage rate schedules can also be found at the California Department of Industrial Relations website located at <http://www.dir.ca.gov/DLSR/PWD/>.

25.02 Payment of prevailing wage rates required. Contractor and all subcontractors performing work under this contract shall pay wages to their workers employed on such work at not less than the general prevailing rate of per diem wages for such work, as required by Labor Code Sec. 1771.

25.03 Penalties. Failure to pay such prevailing wages shall subject the employer to the penalties set forth in Labor Code Sec. 1775.

## ARTICLE 26 PAYROLL RECORDS

26.01 Compliance with Labor Code Sec. 1776. Contractor and all subcontractors shall comply with Labor Code Sec. 1776, the requirements of which are set forth in this article. Contractor shall be responsible for compliance with these provisions by his or her subcontractors.

26.02 Accurate payroll records required. Contractor and each subcontractor shall keep accurate pay-roll records, showing the name, address, social security number, work classification, straight time, and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice worker, or other employee employed by him or her in connection with the public work.

26.03 Certification and inspection of payroll records. The payroll records enumerated under paragraph 26.02 shall be certified and shall be available for inspection at all reasonable hours at the principal office of Contractor or subcontractor on the following basis:

- (a) A certified copy of an employee's payroll record shall be made available for inspection or furnished to such employee or his or her authorized representative on request.
- (b) A certified copy of all payroll records enumerated in paragraph 26.02 shall be made available for inspection, or furnished upon request, to a representative of County, the Division of Labor Standards Enforcement, of the Department of Industrial Relations.
- (c) A certified copy of all payroll records enumerated in paragraph 26.02 shall be made available upon request to the public for inspection or copies thereof made; provided however, that a request by the public shall be made through County, or the Division of Labor Standards Enforcement. The public shall not be given access to such records at the principal offices of Contractor.

26.04 Filing of records. Contractor and each subcontractor shall file a certified copy of the records enumerated in paragraph 26.02 with the entity that requested such records within ten (10) days after receipt of a written request.

26.05 Elimination of personal identification. Any copy of records made available for inspection as copies and furnished upon request to the public or any public agency by County, or the Division of Labor Standards Enforcement shall be marked or obliterated in such a manner as to prevent disclosure of an individual's name, address, and social security number. The name and address of Contractor or subcontractor awarded the contract or performing the contract shall not be marked or obliterated. Any copy of records made available for inspection by, or furnished to, a joint labor-management committee established pursuant to the Federal Labor Management Cooperation Act of 1978 (29USC 175a) shall be marked or obliterated only to prevent disclosure of an individual's social security number.

26.06 Notice to County concerning location of records. Contractor and each subcontractor shall inform County as to the location of the records enumerated under paragraph 26.02, including the street address, city, and county, and shall within five (5) workdays, provide a notice of any change of location and address.

26.07 Notice of non-compliance; penalties. The contractor or subcontractor has ten days in which to comply subsequent to receipt of a written notice requesting the records enumerated in Section 26.02. In the event that the Contractor or subcontractor fails to comply with the 10-day period, he or she shall, as a penalty to the County, forfeit one hundred dollars (\$100) for each calendar day, or portion thereof, for each worker, until strict compliance is effectuated. Upon the request of the Division of Labor Standards Enforcement, these penalties shall be withheld from progress payments then due. A contractor is not subject to a penalty assessment pursuant to this section due to the failure of a subcontractor to comply with this section.

26.08 DIR Requirements. All contractors and subcontractors must furnish electronic certified payroll records directly to the Labor Commissioner (Division of Labor Standards Enforcement). Additionally, the awarded Contractor shall submit electronic certified payroll records to Construction Manager with each application for payment and/or concurrent with the required monthly submittal to DIR.

## **PART VI LEGAL RELATIONS**

### **ARTICLE 27 COMPLIANCE WITH LAWS**

27.01 Compliance with laws. Contractor shall give all notices and comply with all laws, ordinances, rules, regulations, and orders of any public authority bearing on the performance of the work. If Contractor observes that any of the contract documents are at variance therewith in any respect, he shall promptly notify Construction Manager in writing, and any necessary changes shall be adjusted by appropriate modification. If Contractor performs any work knowing it to be contrary to such laws, ordinances, rules, and regulations, and without such notice to Construction Manager, he shall assume full responsibility therefore, and shall bear all costs attributable thereto. Without limitation of any other provision hereof, if Contractor performs any work which is contrary to such laws, ordinances, codes, rules and regulations, Contractor shall without additional reimbursement or extension of time make all changes and bear all costs as required to comply.

27.02 Rules of governing agencies. All work and materials shall be in full accordance with the Rules and Regulations of the State Fire Marshall, the Safety Orders of the Division of Industrial Safety, and all other applicable codes and regulations.

27.03 Compliance with uniform codes. All work and materials shall comply with the current editions of the California Building Code, the National Electric Code, the Uniform Plumbing Code, the Uniform Mechanical Code, and the Uniform Administrative Code, as adopted and amended by the local jurisdiction in which the construction project takes place.

27.04 Statutory regulation of public works. This contract is subject to all statutes of the State of California regulating the performance of work by a public agency or political subdivision of such state, and particularly the following:

- Public Contract Code sections 4100-4114 (Subletting and Subcontracting Fair Practices Act).



- Labor Code sections 1720-1743 (Public Works, Scope, and Operation).
- Labor Code sections 1770-1781 (Public Works, Wages).
- Labor Code sections 1810-1815 (Public Works, Working Hours).

All work performed under this contract, whether by Contractor or by any subcontractor, shall comply with all such statutes.

**27.05 Compliance with Clean Air and Clean Water Acts.** Contractor and all subcontractors shall comply with the federal Clean Air Act (42 USC sections 1857 et seq. and sections 7401 et seq.) and with the federal Clean Water Act (33 USC sections 1251 et seq.) and all other applicable federal air and water pollution control rules and regulations.

**27.06 Federally funded contracts.** If the project for which the work under this contract is to be performed is funded in whole or in part by grants or loans from the federal government, Contractor and all subcontractors shall comply with regulations adopted by the U.S. Secretary of Labor pursuant to 40 USC section 276c and with all other statutes, rules, and regulations that are applicable because of such federal funding.

**27.07 Kickbacks and illegal withholdings of pay.** Contractor and all subcontractors shall comply with the provisions of Labor Code sections 221 and 222, which prohibit kickbacks and withholdings from employee wages.

**27.08 Illegal fees.** Contractor and all subcontractors shall comply with the provisions of Labor Code sections 1778, 1779, and 1780, which prohibit the taking of any portion of the wages of workers employed on public works projects and the collection of certain fees from workers employed on public works projects and from applicants for such employment.

**27.09 Provisions required by law deemed inserted.** Each and every provision required by law to be inserted in this contract shall be deemed to be inserted herein, and the contract shall be read and enforced as though it were included herein. If through mistake or otherwise any such provision is not set forth word for word in the contract documents, or is not correctly set forth, then upon the application of either party, the contract shall forthwith be physically amended to make such insertion or correction.

**27.10 Good-faith effort to employ Monterey Bay Area residents.** For all provisions of the Good-faith effort to employ Monterey Bay area residents, see Instructions to Bidders, Division 002000, number 16, and Contractor's Certification of Good-Faith Effort to Employ Monterey Bay Area Residents.

**27.11 Employment of undocumented aliens.** Comply with California Public Contract Code Section 6101 which provides that no state agency or department, as defined in Section 10335.7, that is subject to this code, shall award a public works or purchase contract to a bidder or contractor, nor shall a bidder or contractor be eligible to bid for or receive a public works or purchase contract, who has, in the preceding five years, been convicted of violation a state or federal law respecting the employment of undocumented aliens.

## **ARTICLE 28 PERFORMANCE AND PAYMENT BONDS**

**28.01 Required bonds and amounts.** Contractor shall furnish a surety bond in an amount equal to one hundred percent (100%) of the contract sum as security for faithful performance of this contract ("Performance Bond") and shall furnish a separate surety bond in an amount at least equal to one

hundred percent (100%) of the contract sum as security for the payment of all persons performing labor and furnishing materials in connection with the contract ("Payment Bond"). Both the Performance Bond and the Payment Bond must be executed by an admitted surety insurer. The form of these bonds shall be as set forth in these contract documents.

## ARTICLE 29 INDEMNIFICATION AND INSURANCE

29.01 Indemnification. Contractor shall indemnify, defend, and hold harmless County, and officers, agents, and employees from and against any and all claims, liabilities, and losses whatsoever (including damages to property and injuries to or death of persons, court costs, and reasonable attorneys' fees) occurring or resulting to any and all persons, firms, or corporations furnishing or supplying work, services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims, liabilities, and losses occurring or resulting to any person, firm, or corporation for damage, injury, or death arising out of or connected with Contractor's performance of this Agreement, unless such claims, liabilities, or losses arise out of the sole negligence or willful misconduct of County. "Contractor's performance" includes Contractor's action or inaction and the action or inaction of Contractor's officers, employees, agents, and subcontractors.

29.02 Evidence of Coverage. Prior to commencement of this Agreement, Contractor shall provide a "Certificate of Insurance" to the Construction Manager for transmittal to County with a copy to the Architect prior to commencement of the Work and thereafter upon renewal or replacement of each required policy of insurance certifying that coverage as required herein has been obtained. Individual endorsements executed by the insurance carrier shall accompany the certificate. In addition a certified copy of the policy or policies shall be provided by Contractor upon request.

Contractor shall not receive a "Notice to Proceed" with the work under this Agreement until it has obtained all insurance required and such, insurance has been approved by County. This approval of insurance shall neither relieve nor decrease the liability of Contractor.

29.03 Qualifying Insurers. All Coverages, except surety, shall be issued by companies which hold a current policyholder's alphabetic and financial size category rating of not less than A-VII, according to the current Best Key Rating Guide or a company of equal financial stability that is approved by County Contracts/Purchasing Manager.

29.04 General insurance requirements. Without limiting Contractor's duty to indemnify, Contractor shall maintain in effect throughout the term of this Agreement a policy or policies of insurance with the following minimum limits of liability:

1. Commercial general liability insurance, including, but not limited to, premises and operations, including coverage for Bodily Injury and Property Damage, Personal Injury, Contractual Liability, Broadform Property Damage, Independent Contractors, Products and Completed Operations, with a combined single limit for Bodily Injury and Property Damage of not less than \$1 Million (\$1,000,000) per occurrence.
2. Property insurance, covering the entire work at the Site to the full insurable value thereof. This insurance shall include the interests of the County, Contractor, and all subcontractors in the work and shall insure against the perils of fire, extended coverage, builder's risk, vandalism, and malicious mischief.
3. Business automobile liability insurance, covering all motor vehicles, including owned, leased, non-owned, and hired vehicles, used in providing services under this Agreement, with a combined single limit for Bodily Injury and Property Damage of not less than \$1 Million (\$1,000,000) per occurrence.

4. Workers' Compensation Insurance, if Contractor employs others in the performance of this Agreement, in accordance with California Labor Code section 3700 and with Employer's Liability limits not less than \$1 Million (\$1,000,000) each person, \$1 Million (\$1,000,000) each accident, and \$1 Million (\$1,000,000) each disease.

5. Professional liability insurance, if required for the professional services being provided, (e.g., those persons authorized by a license to engage in a business or profession regulated by the California Business and Professions Code), in the amount of not less than \$1 Million (\$1,000,000) per claim and \$2 Million (\$2,000,000) in the aggregate, to cover liability for malpractice or errors or omissions made in the course of rendering professional services. If professional liability insurance is written on a "claims-made" basis rather than an occurrence basis, Contractor shall, upon the expiration or earlier termination of this Agreement, obtain extended reporting coverage ("tail coverage") with the same liability limits. Any such tail coverage shall continue for at least three (3) years following the expiration or earlier termination of this Agreement.

29.05 Other insurance requirements. All insurance required by this Agreement shall be with a company acceptable to the County and issued and executed by an admitted insurer authorized to transact Insurance business in the State of California. Unless otherwise specified by this Agreement, all such insurance shall be written on an occurrence basis, or, if the policy is not written on an occurrence basis, such policy with the coverage required herein shall continue in effect for a period of three (3) years following the date Contractor completes its performance of services under this Agreement.

Each liability policy shall provide that the County shall be given notice, in writing, at least thirty (30) days in advance of any endorsed reduction in coverage or limit, cancellation, or intended non-renewal thereof. Each policy shall provide coverage for Contractor and additional insureds with respect to claims arising from each subcontractor, if any, performing work under this Agreement, or be accompanied by a certificate of insurance from each subcontractor showing each subcontractor has identical insurance coverage to the above requirements.

Commercial general liability and automobile liability policies shall provide an endorsement naming the County of Monterey, its officers, agents, and employees as Additional Insureds with respect to liability arising out of Contractor's work, including ongoing and completed operations, and shall further provide that such insurance is primary insurance to any insurance or self-insurance maintained by the County and that the insurance of the Additional Insureds shall not be called upon to contribute to a loss covered by the Contractor's insurance. The required endorsement form for Commercial General Liability Additional Insured is ISO Form CG 20 10 11-85 or CG 20 10 10 01 in tandem with CG 20 37 10 01 (2000). The required endorsement form for Automobile Additional Insured endorsement is ISO Form CA 20 48 02 99.

Prior to the execution of this Agreement by the County, Contractor shall file certificates of insurance with County's contract administrator and County Contracts/Purchasing Division, showing that Contractor has in effect the insurance required by this Agreement. The Contractor shall file a new or amended certificate of insurance within five (5) calendar days after any change is made in any insurance policy which would alter the information on the certificate then on file. Additionally, Contractor shall provide certificates for subcontractors of any tier in compliance with these provisions. Acceptance or approval of insurance shall in no way modify or change the indemnification clause in this Agreement, which shall continue in full force and effect.

Contractor shall at all times during the term of this Agreement maintain in force the insurance coverage required under this Agreement and shall send, without demand by County, annual certificates to

County's Contract Administrator and County Contracts/Purchasing Division. If the certificate is not received by the expiration date, County shall notify Contractor and Contractor shall have five (5) calendar days to send in the certificate, evidencing no lapse in coverage during the interim. Failure by Contractor to maintain such insurance is a default of this Agreement which entitles County, at its sole discretion, to terminate this Agreement immediately.

**29.06 Acknowledgment of workers' compensation requirements.** As required by Labor Code section 1861, Contractor and each subcontractor shall, before commencing work on the project, sign and file with the County, the following certificate:

*"I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of the Labor Code, and I will comply with such provisions before commencing the performance of the work of this contract."*

**29.07 Compliance.** In the event of the failure of Contractor to furnish and maintain any insurance required by this Section, County, Construction Manager, or Architect shall have the right to take out and maintain such insurance for and in the name of Contractor. Contractor shall pay the cost thereof and shall furnish all information necessary to obtain and maintain such insurance for the account of Contractor. County, Construction Manager, and Architect each shall also have the right to set-off the costs of obtaining and maintaining such insurance against any amounts due Contractor under the Contract Documents. Compliance by Contractor with the requirement to carry insurance and furnish certificates or policies evidencing the same contained in this Article 29 shall not relieve Contractor from liability assumed under any provision of the Contract Documents, including, without limitation, the obligation to defend and indemnify each of the Indemnities.

**29.08 Application of Insurance Proceeds.**

(a) In the event of any damage to or destruction of the work from any cause insured against by the insurance required under this Article 29, or any other insurance obtained by Contractor or any other source, County may, in its sole discretion, either (i) require Contractor to repair any such damage or destruction and reconstruct the work in accordance with the contract documents, and Contractor agrees to perform any such requirement of Architect, or (ii) terminate the Contract and Contractor shall have no claim arising out of such termination. In the event the work is repaired or reconstructed, appropriate adjustments, if any, in the amount of the contract price or for the time of completion of the work shall be made by change order. County shall be given credit against any amount due Contractor under the contract documents for the amount of any insurance proceeds collected by Contractor to the extent such proceeds cover costs otherwise payable by County under the contract documents. In the event that County decides not to restore or reconstruct the work and terminates the contract, Contractor shall receive from the insurance proceeds all amounts due Contractor under the Contract for that portion of the work completed as of the date of the event of damage or destruction.

(b) In the event of any damage to or destruction of the work (i) not due to or arising out of the fault or neglect of Contractor or any subcontractor and (ii) from a cause not insured against by the insurance required under this Article 29, County may, in its sole discretion, either (i) require Contractor to repair any such damage or destruction and reconstruct the work in accordance with the Contract Documents, and Contractor agrees to perform any such requirements of Architect, or (ii) terminate the Contract. In the event County decides not to restore or reconstruct the work in accordance with the Contract Documents and cause termination of the Contract, Contractor shall have no claim arising out of such termination. In the event that work is repaired or reconstructed, appropriate adjustments, if any, in the

amount of the contract price and for the time of completion of the work shall be made by change order. County shall be given credit against any amount due Contractor under the contract documents to the extent insurance proceeds payable to Contractor cover costs otherwise payable by County under the contract documents. In the event that County decides not to restore or reconstruct the work and causes termination of the contract, County shall pay Contractor, as its sole compensation, all amounts due under the Contract Documents for the portion of the work completed as of the date of the event of damage or destruction. Contractor shall be solely responsible for and shall, without cost or expense to County, promptly and with all due diligence, restore and reconstruct any uninsured loss or damage to the work which occurs as a result of any fault or neglect of Contractor or any subcontractor. This obligation is in addition to County's remedies under the Contract Documents or by law.

## ARTICLE 30 CLAIMS AND DISPUTE RESOLUTION

30.01 Prompt resolution of differences required. It is the intention of this Article that differences between the parties arising under and by virtue of the contract be brought to the attention of Construction Manager and Architect at the earliest possible time in order that such matters may be promptly settled, if possible, or other appropriate action may be taken promptly.

30.02 Contract interpretations/performance judging/decisions by Architect and Construction Manager.

- (a) All claims may be presented informally first to Architect. To the extent that resolution of the claim does not involve an extension of time or additional payments, Architect may resolve, in writing, or otherwise, claims that have been presented informally.
- (b) The Architect will be, in the first instance, the interpreter of the requirements of the contract documents and the judge of performance thereunder by both County and Contractor. The Architect will, within a reasonable time, render such interpretations, as he may deem necessary for the proper execution or progress of the work. Claims, disputes, and other matters in question between Contractor and County relating to the execution or progress of the Work or interpretation of the contract documents shall be referred initially to the Architect for decision which he will render, in writing, within a reasonable time. In his capacity as interpreter and judge, he will exercise his best efforts to ensure faithful performance by both County and Contractor and will not show partiality to either. All interpretations and decisions of the Architect shall be consistent with the intent of the contract documents.

30.03 Written notice to Construction Manager. Any claim for additional compensation or for an extension of time shall be resolved as hereinafter provided. Contractor shall not be entitled to the payment of any additional compensation for any occurrence or matter relating to this contract and will not be granted any extension of time for performance under this contract, unless Contractor first gives written notice of such claim to Construction Manager with copy to Architect.

30.04 Contents of notice of claim. The written notice of claim shall set forth the reasons for which Contractor believes additional compensation will or may be due, the nature of the costs involved, the reasons for any extension of time, and, insofar as possible, the amount of the claim and the amount of any time extension requested.

30.05 Time for giving notice. Notice of claim must be given to the Architect as follows:

- (a) If the claim is for an increase in the contract sum, he shall give the Architect written notice thereof within ten (10) days after the occurrence of the event giving rise to such claim; in addition, this notice shall be given by Contractor before proceeding to execute the portion of the work to which the claim relates, except in an emergency endangering life or property, and except where Contractor could not reasonably have discovered the facts giving rise to the claim prior to commencement of that

portion of the work.

(b) All claims for extension of time shall be made, in writing, to the Architect no more than ten (10) days after the occurrence of the delay; otherwise they shall be waived. In the case of a continuing cause of delay, only one claim is necessary.

(c) In all other cases, notice shall be given within ten (10) days after the happening of the event, thing, or occurrence giving rise to the claim.

30.06 Response by County-Claims for under \$50,000 and for extensions of time. For claims of less than \$50,000 and for claims for extension of time, County shall respond, in writing, to any written claim within 45 days of receipt of the claim, or may request, in writing, within 30 days of receipt of the claim any additional documentation supporting the claim or relating to defenses or claims the County may have against the claimant. If further information is thereafter required, it shall be requested and provided pursuant to Public Contract Code Section 20104.2(b)(2). The County's response to the claim, as further documented, shall be submitted to the claimant within 15 days after receipt of further documentation or within a period of time no greater than that taken by the claimant in producing the additional information, whichever is greater.

30.07 Response by County-Claims of \$50,000 or more and less than or equal to \$375,000. For claims of \$50,000 or more and less than or equal to \$375,000, and for all claims not covered by paragraph 30.04, County shall respond, in writing, to any written claim within 60 days of receipt of the claim, or may request, in writing, within 30 days of receipt of the claim any additional documentation supporting the claim or relating to defenses or claims the County may have against the claimant. If further information is thereafter required, it shall be requested and provided pursuant to Public Contract Code Section 20104.2(c)(2). The County's response to the claim, as further documented, shall be submitted to the claimant within 30 days after receipt of the further documentation or within a period of time no greater than that taken by the claimant in producing the additional information, whichever is greater.

30.08 Prompt response when needed. Whenever it appears that a prompt response is essential, County will respond to claims sooner than the limits prescribed above.

30.09 County's response disputed or not made. If the claimant disputes County's written response, or if County fails to respond within the time prescribed, the claimant may so notify County, in writing, either within 15 days of receipt of County's response or within 15 days of County's failure to respond within the time prescribed, respectively, and demand an informal conference to meet and confer for settlement of the issues in dispute. Upon a demand, County shall schedule a meet-and-confer conference within 30 days for settlement of the dispute.

30.10 Filing of Government Code claims. If the claimant still remains unsatisfied and desires to preserve his right to pursue the matter further, he must then file a claim with County, pursuant to Government Code sections 900 et seq. or sections 910 et seq.

30.11 Civil action. If the Government Code claim is denied, claimant may file an action in court. Such action shall be subject to Public Contract Code section 20104.4. This paragraph applies only to claims subject to Public Contract Code section 20104. If a claim is not subject to Public Contract Code section 20104, the claimant's right to file a civil action shall be as otherwise provided by law.

30.12 Claims for damages. Should either party to the contract suffer injury or damage to person or property because of any act or omission of the other party or of any of his employees, agents, or others

for whose acts he is legally liable, claim shall be made, in writing, to such other party within a reasonable time after the first observance of such injury or damage, provided that in no case may such a claim be filed after expiration of any applicable statute of limitations for filing such a claim. Claims against County that are subject to this paragraph shall comply with all procedures set forth in the California Government Code concerning claims against public entities.

30.13 Consistency with Public Contract Code sections 20104 et seq. If any claim arising under this contract is subject to the provisions of Public Contract Code sections 20104 et seq. (Div. 2, Part 3, Chapter 1, Article 1.5), and if provisions of that Article require a procedure or procedural element different from that established in this contract, then the provisions of that Article shall apply in place of the conflicting procedure or procedural element established herein.

## ARTICLE 31 DEFAULT AND TERMINATION OF THE CONTRACT

31.01 County's right to stop work. If Contractor fails to correct defective work or fails to supply materials or equipment in accordance with the contract documents, County may order Contractor to stop the work, or any portion thereof, until the cause for such order has been eliminated.

31.02 County's rights on Contractor's default. If Contractor fails to prosecute the work diligently or fails to perform any provision of the contract, County may, after seven (7) days' written notice to Contractor and without prejudice to any other remedy he may have, make good such deficiencies. In such case, any appropriate change order shall be issued deducting from the payments then or thereafter due Contractor, the cost of correcting such deficiencies, including the cost of Architect's, Construction Manager's, and other County Contractors' additional services made necessary by such default. Such change order shall not require the consent of Contractor to be effective. County, Construction Manager, and Architect must approve both such action and the amount charged to Contractor. If the payments then or thereafter due Contractor are not sufficient to cover such amount, Contractor shall pay the difference to County.

### 31.03 Termination by County.

(a) County may terminate the performance of Contractor under this contract, without prejudice to any other right or remedy County may have, in the manner hereinafter provided, upon certification by Construction Manager that the following circumstances have arisen:

1. Contractor is adjudged bankrupt, or makes a general assignment for the benefit of his creditors, or a receiver is appointed on account of his insolvency (except as provided in (e) below);
2. Contractor refuses or fails, except in cases for which an extension of time is provided, to supply enough properly skilled workers or proper materials;
3. Contractor fails to make prompt payment to subcontractors, to suppliers of materials or equipment, or to employees;
4. Contractor disregards laws, ordinances, rules, regulations, or orders of any public authority having jurisdiction; or
5. Contractor otherwise is guilty of a substantial violation of the contract.

(b) To terminate the performance of Contractor, County shall first give ten days' written notice to Contractor and his surety, if any, stating County's intent to terminate the performance of Contractor unless within ten days the grounds for such termination have been removed, and giving his reasons therefor.

(c) If within ten days the grounds for termination are not removed, County may immediately terminate the performance of Contractor and shall promptly serve notice of termination on Contractor and the surety. The surety shall have the right to take over and perform the contract, provided that, within

fifteen days after service upon it of said notice of termination, the surety must first give written notice to County that it intends to take over and perform the contract, and within thirty days after service upon it of said notice of termination, the surety must commence performance of the contract. If surety fails to take either of these steps in a timely manner, County may immediately take possession of the Site and all materials, equipment, tools, construction equipment, and machinery thereon owned by Contractor and may finish the work by whatever method it may deem expedient.

(d) If within ten (10) days of County's notice of intent to terminate, the grounds for termination are not removed, Contractor shall not be entitled to receive any further payment until the work is finished. If, upon completion of the work by County, the unpaid balance of the contract sum exceeds the costs of finishing the work (including compensation for additional architectural, managerial, and administrative services), such excess shall be paid to Contractor. If such costs exceed such unpaid balance, Contractor or his surety shall pay the difference to County. The costs incurred by County as herein provided shall be certified by Construction Manager.

(e) Notwithstanding the foregoing, performance of Contractor under this contract may not be terminated, and the contract may not be modified, where a trustee in bankruptcy has assumed the contract pursuant to 11 U.S.C. Sec. 365.

#### 31.04 Termination by Contractor.

(a) Contractor may, upon seven days' written notice to County, Architect, and Construction Manager, terminate the contract if the work is stopped for a period of forty-five days under an order of any court or other public authority having jurisdiction, or as a result of an act of government, such as a declaration of a national emergency making materials unavailable, through no act or fault of Contractor or a subcontractor or their agents or employees or any other person performing any of the work under a contract with Contractor.

(b) To terminate the contract, Contractor must give written notice to County, Construction Manager, and Architect of such termination, stating the reasons therefore.

(c) Contractor may then recover from County payment for all work executed, for any proven loss sustained upon any materials, equipment, tools, construction equipment and machinery, for lost profits, and for all other damages suffered by Contractor on account of such stoppage of work.

#### 31.05 Termination for Convenience of County.

(a) The performance of work under this contract may be terminated by County in accordance with the section in whole, or from time-to-time in part, whenever County shall determine that termination is in the best interest of County. Any such termination shall be effected by delivery to Contractor of a Notice of Termination specifying the extent to which performance of work under the contract is terminated, and the date upon which such termination becomes effective.

(b) After receipt of a Notice of Termination, and except as otherwise directed by County, Contractor shall:

1. Stop work under the contract on the date and to the extent specified in the Notice of Termination;
2. Place no further orders or subcontracts for materials, services, or facilities except as may be necessary for completion of such portion of the work under the contract as is not terminated;
3. Terminate all orders and subcontracts to the extent that they relate to the performance of work terminated by the Notice of Termination;
4. Assign to County all the right, title, and interests of Contractor under the orders and subcontracts so terminated, in which case Contractor shall have the right, in its discretion, to settle or pay any or all claims arising out of the termination of such orders and subcontractors if so directed by County;
5. Settle all outstanding liabilities and all claims arising out of such termination of orders and subcontracts, subject to the approval of County;
6. Complete performance of such part of the work as shall not have been terminated by the Notice of



Termination; and

7. Take such action as may be necessary, or as County may direct, for the protection and preservation of the property related to this contract which is in the possession of Contractor and in which County has, or may acquire, an interest.

(c) After receipt of a Notice of Termination, Contractor shall submit to County a verified termination claim. Such claim shall be submitted promptly, but in no event later than 30 days from the effective date of termination, unless one or more extensions, in writing, are granted by County upon request of Contractor made, in writing, within such period or authorized extension of the period.

(d) Contractor and County may agree upon the whole or any part of the amount or amounts to be paid to Contractor by reason of the total or partial termination of work pursuant to this article, which amount or amounts may include a reasonable allowance for profit on work done; provided that total contract price as reduced by the amount of payments otherwise made and as further reduced by contract price of work not terminated does not exceed the contract sum.

## ARTICLE 32 WARRANTIES

32.01 Warranty as to all work. Contractor shall guarantee all work performed under this contract against defective materials or workmanship for a period of one year from the date of final acceptance by County, or for such longer time period as may be prescribed by law or by the terms of any applicable special guarantee required by the contract. Contractor shall remedy any defects appearing within that time period and pay for any damage resulting therefrom.

32.02 Repair of defective work. Contractor shall, within a reasonable time but in no case longer than fifteen (15) days after receipt of written notice thereof, repair and/or replace any defects in materials or workmanship which may develop during said one-year period and any damage resulting from the repairing or replacing of such defects at his own expense and without cost to County. In the event Contractor fails to remedy any such defect within such reasonable time, County may proceed to have such defects remedied at Contractor's expense, and Contractor shall pay the costs and charges incurred thereby and any other damages of County. Nothing contained in this paragraph shall operate to relieve Contractor from responsibility after one year from the date of final acceptance of the completed work by County as regards damages resulting from defects, both latent and patent, departures from the requirements of the contract, fraud, or such other gross mistakes as amount to fraud, and Contractor shall indemnify, defend, and save County harmless from and against liability, loss, or damage arising by reason of any and all such matters. Contractor shall transfer to County all guarantees and warranties on equipment included within the project which Contractor receives from suppliers and subcontractors. Neither acceptance nor payment nor any provision in these documents shall be deemed a waiver by County nor relieve Contractor of any responsibility under the contract. Notwithstanding the above, failure by Contractor to take corrective action within 24 hours after personal or telephonic notice by County on items affecting use of facility, safety, or the preservation of property, will result in County taking whatever correction action it deems necessary. All costs resulting from such action by County will be claimed against Contractor or, if necessary, Contractor's performance bond.

32.03 Title free of liens at time of each progress payment. Contractor warrants and guarantees that title to all work, materials, and equipment covered by an application for payment, whether incorporated in the project or not, will pass to County upon the receipt of such payment by Contractor, free and clear of all liens, claims, security interests, or encumbrances.

32.04 Warranty as to liens. No materials, supplies, or equipment for work under this contract shall

be purchased subject to any chattel mortgage or under a conditional sale or other agreement by which an interest therein or in any part thereof is retained by seller or supplier. Contractor warrants good title to all materials, supplies, and equipment installed or incorporated in the work and agrees upon completion of all work to deliver the premises, together with all improvements and appurtenances constructed or placed thereon by him, to County free from claims, liens, or charges. Contractor further agrees that neither he, nor any person, firm, or corporation furnishing any materials or labor for any work covered by this contract, shall have any right to any lien upon the premises or any improvement or appurtenance thereon. Nothing contained in this article, however, shall defeat or impair the right of persons furnishing material or labor under any bond given Contractor for their protection or any rights under any law permitting such persons to look to funds due Contractor in the hands of County, and this provision shall be inserted in all subcontracts and material contracts and notice of its provisions shall be given to all persons furnishing material for work when no formal contract is entered into for such material.

**32.05 Other warranties.** In addition to the warranties in the Contract Documents, Contractor shall assign to County through Architect all assignable warranties it obtains from manufacturers or suppliers with respect to any materials, equipment, or fixtures incorporated into the work, but the assignment shall not relieve Contractor of any of its guaranties or obligations. Contractor's guaranties and the Contract Documents shall not act as a bar to Contractor's liability for any third-party claim against Contractor, and are in addition to, not exclusive of, Contractor's other obligations under the Contract Documents, including, without limitation, Contractor's obligation to indemnify and defend County and Architect.

**32.06 No limitations.** Nothing in this Article 32 shall be construed to establish a period of limitation with respect to any latent or patent defects in the work or claims or liabilities arising therefrom. The establishment of time periods relates only to the specific obligation of Contractor to correct or cause correction of the work, and has no relationship to the time within which its obligation to comply with the contract documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish Contractor's liability with respect to its obligations under the contract documents or in connection with the work.

## **PART VII MISCELLANEOUS**

### **ARTICLE 33 MISCELLANEOUS PROVISIONS**

**33.01 Audits.** If this contract involves the expenditure of public funds in excess of \$10,000, the contracting parties shall be subject to the examination and audit in accordance with applicable local, state, and federal regulations and the State Auditor of the State of California for a minimum period of three (3) years after final payment under the contract, as required by Government Code Sec. 8546.7. The examination and audit shall be confined to those matters connected with the performance of the contract, including, but not limited to, the costs of administering the contract.

With respect to any Change in the Work, other than one based on an agreed lump sum price, resulting in an increase in the contract sum or extension of the contract time, Contractor shall cause its subcontractors and sub-subcontractors to afford access to County at all reasonable times to any books, correspondence, instructions, receipts, vouchers, memoranda, and records of any kind relating thereto, all of which each of them shall maintain for a period of at least three (3) years from and after the date County makes payment on account of such Change in the Work. Contractor and its subcontractors and sub-subcontractors shall make the same available within three (3) calendar days following notification

to Contractor of County's intent to audit, failing which Contractor's claim for an increase in the contract sum and/or extension of the contract time, as applicable, shall be disallowed, and Contractor shall have no recourse on account of such disallowance. Contractor authorizes County, and shall cause its subcontractors and sub-subcontractors to authorize County, to check directly with any suppliers of labor and material with respect to any item chargeable to County under this article, to confirm balances due and to obtain sworn statements and waivers of lien, all if County so elects.

33.02 Governing law. The contract shall be governed by the law of the State of California.

33.03 No assignment. Neither party to the contract shall assign the contract without the written consent of the other, nor shall Contractor assign any monies due or to become due to him hereunder, without the previous written consent of County. Should any money due or to become due under this contract be assigned, it shall be subject to a prior lien for services rendered or material supplied for performance of work under this contract in favor of all persons, firms, or corporations rendering such services or supplying such materials to the extent that claims are filed pursuant to the Civil Code, the Code of Civil Procedure, and/or the Government Code.

33.04 Binding on successors and assigns. County and Contractor each binds himself, his partners, successors, assigns, and legal representatives to the other party hereto and to the partners, successors, assigns, and legal representatives of such other party in respect to all covenants, agreements, and obligations contained in the contract documents.

33.05 Notices. All notices required or permitted to be given under this contract shall be in writing and shall be deemed to have been duly served (a) when delivered in person to the individual or member of the firm or to an officer of the corporation for whom it was intended, or (b) 48 hours after the notice is placed in the U.S. mail, properly addressed to the party to whom the notice is to be delivered, for mailing by registered or certified mail, with postage thereon fully prepaid. The proper address shall be that previously specified in writing by the proposed recipient as the address for mailing notice, or, if none, then the last business address for the recipient known to the person giving the notice.

33.06 Contractual rights and remedies not exclusive. The duties and obligations imposed by the contract documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights, and remedies, otherwise imposed or available by law, except as otherwise specified herein.

33.07 Assignment of antitrust causes of action. Contractor and all subcontractors are bound by Public Contract Code section 7103.5, which provides as follows: "In entering into a public works contract or a subcontract to supply goods, services, or materials pursuant to a public works contract, Contractor or subcontractor offers and agrees to assign to the awarding body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 USC section 15) or under the Cartwright Act (Chapter 2, commencing with section 16700, of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, services, or materials pursuant to the public works contract or the subcontract. This assignment shall be made and become effective at the time the awarding body tenders final payment to Contractor, without further acknowledgment by the parties."

33.08 Royalties and patents. Contractor shall pay all royalties and license fees. He shall defend all suits or claims for infringement of any patent rights and shall save County, harmless from loss on

account thereof, except that County shall be responsible for all such loss when a particular design, process, or the product of a particular manufacturer or manufacturers is specified but if the Contractor has reason to believe that the design, process, or product specified is an infringement of a patent, he shall be responsible for such loss unless he promptly gives such information to Architect through the Construction Manager.

33.09 Prohibited interests. No official of County who is authorized in such capacity and on behalf of County to negotiate, make, accept, or approve, or to take part in negotiating, making, accepting or approving any architectural, engineering, inspection, construction or material supply contract or any subcontract in connection with the project, shall become directly or indirectly interested financially in this contract or in any part thereof. No officer, employee, architect, attorney, engineer, or inspector of or for County who is authorized in such capacity and on behalf of County to exercise any executive supervisory or other similar functions in connection with construction of the project shall become directly or indirectly interested financially in this contract or in any part thereof.

33.10. No continuing waiver. A waiver of rights by County or Contractor in one instance here-under does not constitute a waiver of rights in any similar instance thereafter.

33.11 Taxable possessory interest. The terms of this document may result in the creation of a possessory interest. If such a possessory interest is vested in a private party to this document, the private party may be subjected to the payment of personal property taxes levied on such interest.

## **SUPPLEMENTARY CONDITIONS**

(Division 007300)

The supplementary conditions below are included as part of the contract for the project.

1. Starting and completion date. Under Article 12 of the General Conditions, Contractor shall commence and complete work within the following time limits:

The duration to execute the scope of work for the above project is One Hundred and Eighty (180) calendar days as it pertains to Contractor's Scope of Work for base bid and accepted alternates defined by the contract documents. Additionally, Contractor shall coordinate their work with all other Contractors whose work is affected by the Scope of Work defined in this Agreement. Contractor expressly agrees to provide appropriate labor, material, and equipment in response to adjustments in the Project Schedule made by Architect and Construction Manager during the course of the project in order to maintain the required progress.

2. Hours of work. Work will not commence earlier than 7 a.m. and proceed past 7 p.m. without written consent of County.

3. Site access. The site is adjacent to fully operational facilities: Natividad Medical Center. Contractor shall secure the immediate work site and staging area with temporary fencing and or barricades and appropriate signage.

4. Site restoration. All lawn, landscaping, pavement, and trees are to be protected from construction equipment and/or vehicles. Any compaction, gouging, tearing, removal, or dislocation of the existing lawn or trees that occurs during the staging and construction process is to be restored to preconstruction quality. Contractor's laydown area shall be restored back to original condition at completion of work activities.

5. Utilities, disruption of service. Contractor shall notify Construction Manager, in writing, two (2) workdays in advance of any disruption of service, e.g., fire suppression, electrical, water, and Contractor shall not proceed with the Work without written authorization from Construction Manager .

6. Contractor parking. Contractor will be designated a staging area which can be used for delivery of materials and construction vehicles. Barricades must be placed showing Contractor's name to reserve the spaces when Contractor's vehicles are not at the site either during the day or overnight for the next morning.

7. Noise during construction. The construction site is in an occupied building. Although construction noise cannot be eliminated, excessive noise is to be avoided. Contractor will notify Construction Manager every week of the upcoming weekly schedule.

See Appendix D for additional Mitigation Monitoring Reporting Program requirements.

8. Liquidated damages. Pursuant to the Agreement and Article 12 of the General Conditions, the amount of liquidated damages shall be Seventy-Five Hundred Dollars per day [\$7,500 per day].

9. Agreement and bonds. Contractor will provide specified number of originals for each of the following:

<u>4</u> Executed Agreements	<u>1</u> Performance Bond
<u>1</u> Payment Bond	<u>1</u> Certificate of Liability Insurance with endorsements

10. Contract documents furnished to Contractor. The number of originals of the contract documents to be provided under Article 3 of the General Conditions is as follows:

- 1 fully executed Agreement
- 1 each Addendum
- 1 Plans and Specifications

11. Supervision. Section 7.05 of the General Conditions require that Contractor employ a competent, qualified Superintendent to provide full time, on-site supervision of all aspects of the work and further require that such Superintendent and Contractor's Project Manager be satisfactory to County. If Contractor fails to have such Superintendent on-site at any time during the progress of the work, a penalty of One Thousand Dollars (\$1,000.00) per day shall be deducted from the compensation otherwise due to Contractor for each day on which such failure occurs. Such penalty shall not apply to temporary absences approved in advance by Architect or County.

12. Owner's representative Article 5 and 7. All coordination must be made with Construction Manager. All communication with Construction Manager must be made by Contractor's Superintendent or Contractor's Project Manager to maintain control and to prevent misunderstandings. All communication with Contractor and Construction Manager will be in writing.

13. Determining cost for change orders. Section 15.04 of the General Conditions designates the maximum markup(s) allowed by County.

14. Material Safety Data Sheets (MSDS). Article 14 and 17. MSDS sheets are required on-site for all materials used in the job.

15. As-built drawings. Per General Conditions Article 8.06, Contractor will be required to maintain a current set of as-built drawings throughout the duration of the project. Upon final completion of the project as outlined in Article 20 of the General Conditions, Contractor will be responsible to provide the close-out documents to Construction Manager as follows:

- 1 Half-size, complete as-built drawing set showing all information from Contractor, Subcontractor(s), and Sub-Subcontractor(s)
- 2 CD's with complete as-built drawings, Operations & Maintenance Manual, and Warranty Certifications (with required contact names, addresses, and telephone numbers) in pdf format
- 2 Printed Operation & Maintenance Manuals for all installed materials and equipment
- 2 Printed Warranty Certifications as noted in the Project Manual with required contact names, addresses and phone numbers

16. Partnering. This contract imposes an obligation of good faith and fair dealing in its performance and enforcement. County intends to encourage the foundation of a cohesive partnership with Contractor and its principal subcontractors and suppliers. The objectives are effective and efficient contract performance and completion within budget, on schedule, and in accordance with the contract documents.

17. Meetings. Contractor will be responsible for attending brief weekly meetings during this contract. The meetings shall be attended by Contractor's Project Superintendent and/or Project Manager and will be located on-site. Contractor shall bear the administrative costs of their attendance.

18. Submittal schedule. Upon receipt of the Intent to Award letter, Contractor shall prepare and update it as necessary to maintain a current submittal schedule which will be due to Architect and Construction Manager no later than County's projected Notice to Proceed date as listed in County's overall Project Schedule. Contractor shall make revisions to the submittal schedule as deemed necessary by the Construction Manager to conform to the Project Schedule.

19. Permits. All required permits to start project will be obtained by Construction Manager, but paid for directly by NMC. The Contractor shall secure and pay for other permits, fees, licenses and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after the execution of the Contract and legally required at the time bids are received or negotiations concluded.

20. Time Extension. No extension of time will be allowed for a schedule delay caused by Contractor's failure or neglect to construct and maintain all weather protection. No extension of time will be allowed for "normal" weather conditions for the particular time of the year.

21. Codes and Standards. Project shall conform to applicable requirements prescribed by governmental bodies having jurisdiction and in accordance with those listed on the drawings produced by the Architect, HGA Architects And Engineers, of California, for this Project. Should any part of the design fail to comply with such requirements, the discrepancy shall be called to the attention of the Architect and Construction Manager as quickly as possible. Should there be any direct conflict between the drawings and/or specifications and the above rules and regulations, the rules and regulations shall take precedence. However, when the indicated materials, workmanship, arrangement, or construction is of a superior quality or capacity to that required by the listed rules and regulations, the drawings and/or specifications shall take precedence. The rulings and interpretations of enforcing agencies shall be considered as part of the regulations.

22. Sustainability. The following shall be incorporated into the Contract Documents:

- a. Special Definitions under Article 1 of the General Conditions:
  - i. The Sustainable Objective is the County's goal of incorporating Sustainable Measures into the design, construction, maintenance, and operations of the Project to achieve a Sustainability Certification or other benefit to the environment, to enhance the health and well-being of building occupants, or to improve energy efficiency.
  - ii. A Sustainable Measure is a specific design or construction element, or post occupancy use, operation, maintenance or monitoring requirement that must be completed in order to achieve the Sustainability Objective.

- iii. The Sustainability Certification is the initial third-party certification of sustainable design, construction or environmental or energy performance, such as LEED, Green Globes, or Energy Star or another rating or certification system, that may be designated as the Sustainable Objective or part of the Sustainable Objective for the Project. The term Sustainability Certification shall not apply to any recertification or certification occurring subsequent to the initial certification.
- iv. The Sustainability Documentation includes all documentation related to the Sustainability Objective or to a specific Sustainable Measure that the County, Architect, Construction Manager, or Contractor is required to prepare in accordance with the Contract Documents.
- v. The Certifying Authority is the entity that established criteria for achievement of a Sustainable Certification and is authorization to grant or deny a Sustainability Certification.
- b. County Provisions under Article 6 of the General Conditions:
  - i. The County shall comply with the requirements of the Certifying Authority as they relate to the ownership, operation, and maintenance of the Project both during construction and after completion of the Project.
- c. Contractor Provisions under Article 7 of the General Conditions:
  - i. The Contractor shall perform the Work in accordance with any Sustainability Measures identified in the Contract Documents as the responsibility of the Contractor.
  - ii. The Contractor shall meet with the County, Architect, and Construction Manager to discuss alternatives in the event the County, Architect, or Construction Manager recognizes a condition that will affect achievement of a Sustainable Measure or achievement of the Sustainable Objective. If any condition is discovered by, or made known to, the Contractor that will adversely affect the Contractor's achievement of a Sustainable Measure for which the Contractor is responsible pursuant to the Contract Documents, the Contractor will promptly provide notice to the Construction Manager and the Architect, and meet with the County, Architect, and Construction Manager to discuss alternatives to remedy the situation.
  - iii. The Contractor is not required to ascertain that the Contract Documents are in accordance with the requirements of the Certifying Authority, but the Contractor shall promptly report to the Construction Manager and Architect any nonconformity discovered or made known to the Contractor as a request for information submitted to Construction Manager in such form as the Construction Manager and Architect may require.
  - iv. The Contractor shall include, with any request for substitution, a written representation identifying any potential effects a substitution may have on the Project's achievement of a Sustainable Measure or the Sustainable Objective. The County, Architect, and Construction Manager shall be entitled to rely on any such representation. In preparing this representation, the Contractor may request additional information from the Architect, in accordance with Section 5 describing the product, material, or equipment, for which a substitution is proposed, was intended to satisfy the requirements of a Sustainable Measure, or contribute toward achievement of the Sustainability Objective. The Architect shall respond to the Contractor's request in accordance with Section 14.04.
  - v. The Contractor shall perform the Sustainable Measures required to be performed by the Contractor in accordance with the Contract Documents; however, nothing contained herein shall be construed as a guarantee or warranty by the Contractor that the Project will achieve the Sustainable Objective.
  - vi. The Contractor shall be responsible for preparing and completing the Sustainability Documentation required from the Contractor by the Contract Documents. Including any Sustainability Documentation required to be submitted after Substantial Completion. The



- Contractor shall submit the Sustainability Documentation to the Construction Manager in accordance with any schedules or deadlines set forth in, or as otherwise required by, the Contract Documents. In the absence of schedules or deadlines for submission of Sustainability Documentation in the Contract Documents, the Contractor will submit the Sustainability Documentation with reasonable promptness so that the Construction Manager may submit the Sustainability Documentation to the Architect for submission to the Certifying Authority.
- d. In the event the Contractor's design professional proposes the use of materials that have had limited testing or verification of performance, the Contractor shall discuss with the Architect, County and Construction Manager the proposed use of such materials or equipment and potential effects on the Sustainability Objective that may occur if the materials or equipment fail to perform in accordance with the manufacturer's or supplier's representations. The County will render a written decision regarding the use of such materials or equipment in a timely manner. In the event the County elects to proceed with the use of such materials or equipment, the Contractor, Architect, and Construction Manager shall be permitted to rely on the manufacturer's or supplier's representations and shall not be responsible for any damages arising from the failure of the material or equipment to perform in accordance with the manufacturer's or supplier's representations.
    - i. The Contractor shall also recycle, reuse, remove, or dispose of materials as required by the Contract Documents.
    - ii. The Contractor, in accordance with the Contract Documents, shall prepare and submit to the Construction Manager and Architect a construction waste management and disposal plan setting forth the procedures and processes for salvaging, recycling, or disposing of construction waste generated from the Project.
  - e. Contract Administration by Architect and Construction Manager under Article 5 of the General Conditions:
    - i. If the Architect determines that implementation of a proposed change would materially impact a Sustainable Measure or the Sustainable Objective, the Architect shall notify the County who may authorize further investigation of such change.
    - ii. The Construction Manager will receive and forward to the County Sustainability Documentation required by the Contract and assembled by the Contractor.
  - f. Completion and Final Payment under Article 20 of the General Conditions:
    - i. Except for the portion of the Sustainability Documentation, which by its nature must be provided after Substantial Completion, the Contractor shall submit all other Sustainability Documentation required from the Contractor by the Contract Documents no later than the date of Substantial Completion. Verification that the Project has achieved the Sustainable Objective, or the actual achievement of the Sustainable Objective, shall not be a condition precedent to issuance of a Certificate of Substantial Completion in accordance with Section 20.01.
    - ii. Verification that the Project has achieved the Sustainability Objective, or the actual achievement of the Sustainable Objective, shall not be a condition precedent to issuance of the final Certificate for Payment.
    - iii. In addition to the requirements for final payment and release of retainage, the Contractor shall submit to the Architect through the Construction Manager all Sustainability Documentation required from the Contractor by the Contract Documents prior to final payment and release of retainage.

**23. Special Healthcare Requirements.** The Contractor will be required to comply with the following prior to commencing work:

- i. Infection Control Protocol and Practices for Healthcare Settings. The Contractor shall provide a plan for Infection Control Measures.
- ii. Contractor shall provide a current Tuberculosis Skin Test (PPD Test) for all his employees and all subcontractors, their agents and employees, and all other persons performing any of the work under a contract with the Contractor.
- iii. Contractor shall provide a current Drug Screening Test for all his employees and all subcontractors, their agents and employees, and all other persons performing any of the work under a contract with the Contractor.
- iv. Contractor shall provide a pre-construction risk assessment to determine if Interim Life Safety Measures (ILSM) must be implemented to temporarily compensate for hazards posed by construction activities, in or adjacent to construction areas. The ILSM apply to all personnel, and will be implemented upon project development and continuously enforced through project completion

## Scope of Work

1. Two AHU's Air Handler Units are to be replaced on the roof of this building; this will require the removal and demolition of existing assemblies, construction of structural platforms or supporting foundations, plumbing, mechanical including equipment, re-configurations of curbs, electrical, and roofing as required to accommodate the equipment replacement. This bid will also include all methods and means to complete the task at hand. This segment of the project is essentially on the fourth floor and will require the use of Cranes and other heavy equipment to execute this project.

### SECTION 15050-GENERAL MECHANICAL REQUIREMENTS

#### PART I

1.01 Related Documents: Requirements of the Contract Documents including Division I specifications apply to work of this section.

1.02 Scope of Work:

- A. Provide labor, material, equipment, and services required to furnish and install work in sections listed below.

Section 15050- General Electrical Requirements for Mechanical Systems

Section 15400- Plumbing Systems

Section 15600- HVAC System

- B. Intent of Specifications: In the Specifications, plans, schedules, and details, information conveyed by means of brief mention or notation which regardless of brevity, shall be binding exactly as if presented in complete sentences employing mandatory language. Work not explicitly detailed in the Specification; or Drawings, but clearly standard practice of the trade as necessary to complete the systems shall be included as though fully specified and drawn.
- C. Examination of the Site: Examine the site and premises prior to bidding to determine conditions under which the work is to be performed. No allowance will be made for extra expense incurred due to failure to examine the premises. Existing site conditions, locations of services and all requirements of the existing utilities shall be coordinated with the drawings and specifications.

#### 2. Building 400 1st floor CARDIOLOGY CLINIC

The Scope of work purpose is to relocate outpatient cardiology, Pulmonary and Woman's Diagnostic Imaging for the main Hospital (Building 5000) into the vacant tenant space on the First Floor of the Building 500. This is a Primary Care Clinic which requires OSPHD-3 certification. OSHPD-3 Certified Tenant improvement work is approximately 5,252 square feet will include: Demolition, Ceiling work, Mechanical, Millwork, Plumbing, Finishes, Electrical, Data, New interior walls, Storefront.