# **MONTEREY COUNTY**

RESOURCE MANAGEMENT AGENCY

# DEPARTMENT OF PUBLIC WORKS

## **BOOK TWO**

### **BID FORM**

PEACH TREE ROAD BRIDGE NO. 412 PROJECT NO. 2201

State Project No: 05-142284L Federal Aid Project No: STPLZ-5944(041)



# MONTEREY COUNTY BOARD OF SUPERVISORS GOVERNMENT CENTER, 168 W. ALISAL STREET, 1<sup>ST</sup> FLR, CONFERENCE ROOM 1032 SALINAS, CALIFORNIA 93901-2437

### **BOOK TWO**

### **BID FORM**

PEACH TREE ROAD BRIDGE NO. 412
PROJECT NO. 2201
State Project No: 05-142284L
Federal Aid Project No: STPLZ-5944(041)

NAME OF BIDDER			
BUSINESS P.O. BOX			
BUSINESS STREET AD			
		(Please include even if P.O. Box used)	
CITY, STATE, ZIP			
TELEPHONE NO:	AREA CODE (	)	
FAX NO:	AREA CODE (	)	
CONTRACTOR LICENS	SE NO.		

<u>4,28,2016</u> APPROVED AS, TO FORM;

Mary Grace Perry
Deputy County Counsel

# **BOOK TWO**

# **TABLE OF CONTENTS**

	Page No.
BID FORM	4
LIST OF SUBCONTRACTORS	12
EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION	15
PUBLIC CONTRACT CODE	16
NONCOLLUSION DECLARATION	18
DEBARMENT AND SUSPENSION CERTIFICATION	19
NONLOBBYING CERTIFICATION FOR FEDERAL-AID CONTRACTS	20
DISCLOSURE OF LOBBYING ACTIVITIES	21
INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING A	CTIVITIES22
STATEMENT CONCERNING EMPLOYMENT OF UNDOCUMENTED ALIENS	23
CONTRACTOR'S CERTIFICATE AS TO WORKER'S COMPENSATION	24
LIST OF SATISFIED PUBLIC AGENCIES	25
EXHIBIT 15-G LOCAL AGENCY BIDDER DBE COMMITMENT	26
(CONSTRUCTION CONTRACTS)	26
INSTRUCTIONS – EXHIBIT 15-G LOCAL AGENCY BIDDER DBE COMMITMENT CONTRACTS)	
EXHIBIT 15-H DBE INFORMATION – GOOD FAITH EFFORTS	28
BIDDER'S BOND	31

### **BID FORM**

## PEACH TREE ROAD BRIDGE NO. 412 PROJECT NO. 2201

State Project No: 05-142284L Federal Aid Project No: STPLZ-5944(041)

TO: MONTEREY COUNTY BOARD OF SUPERVISORS
Government Center
168 W. Alisal Street, 1<sup>st</sup> Floor, Room 1032
Salinas, California 93901-2683

1. Pursuant to and in compliance with the Notice to Bidders Inviting Formal Bids and with the other documents relating thereto, the undersigned bidder, having become familiar with the terms of the contract, the local conditions affecting the performance of the contract, the cost of the work at the place where the work is to be done, the project plans and specifications, and the other contract documents, hereby proposes and agrees to perform within the time hereinafter set forth the said Specifications and shown on the plans accompanying them, and to provide and furnish any and all labor, materials, equipment, transportation, utilities, and services necessary to perform the contract and complete in a workmanlike manner all of the work required in connection with the following project: PEACH TREE ROAD BRIDGE NO. 412, all in strict conformity with the specifications and other contract documents, including all addenda for the sum hereinafter stated:

# PEACH TREE ROAD BRIDGE PROJECT NO.412 (Project No. 2201) BID:

Item No.	Item Code	F,S	Description	Unit	Quantity	Unit cost	Amount
1	066105		RESIDENT ENGINEER'S OFFICE	LS	1		
2	070012		PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LS	1		
3	070416		CONSTRUCTION SITE MANAGEMENT	LS	1		
4	070417		PREPARE WATER POLLUTION CONTROL PLAN	LS	1		
5	071325		TEMPORARY FENCE (TYPE ESA)	LF	940		
6	074029		TEMPORARY SILT FENCE	LF	940		
7	120100		TRAFFIC CONTROL SYSTEM	LS	1		
8	120090		CONSTRUCTION AREA SIGN	LS	1		
9	1291000		TEMPORARY CRASH CUSHIONS MODULE	EA	11		
10	1291XX		TEMPORARY CRASH CUSHION (TYPE ABSORB 350)	EA	3		
11	14XXXX		BIOLOGICAL MONITORING	EA	1		
12	150605		REMOVE FENCE	LF	160		
13	150620		REMOVE GATE	EA	1		
14	157550		BRIDGE REMOVAL	LS	1		
15	160101		CLEARING AND GRUBBING	LS	1		
16	190101		ROADWAY EXCAVATION	CY	40		
17	190110		LEAD COMPLIANCE PLAN	LS	1		
18	190185		SHOULDER BACKING	TON	40		
19	192003	F	STRUCTURE EXCAVATION (BRIDGE)	CY	120		
20	193003	F	STRUCTURE BACKFILL (BRIDGE)	CY	50		
21	198001		IMPORTED BORROW	CY	400		

Item No.	Item Code	F,S	Description	Unit	Quantity	Unit cost	Amount
22	260201		CLASS 2 AGGREGATE BASE	CY	110		
23	390132		HOT MIX ASPHALT (TYPE A)	TON	150		
24	490603	S	24" CAST-IN-DRILLED HOLE CONCRETE PILING	LF	380		
25	490801		STEEL SHEET PILING	SQ FT	810		
26	510053	F	STRUCTURAL CONCRETE, BRIDGE	CY	58		
27	510072		STRUCTURAL CONCRETE, BARRIER SLAB	CY	19		
28	511123		CONCRETE (RAPID SETTING)	CY	37		
29	512350	FS	FURNISH PRECAST PRESTRESSED CONCRETE SLAB	SQ FT	1,980		
30	512510	S	ERECT PRECAST PRESTRESSED CONCRETE DECK UNIT	EA	6		
31	519088	S	JOINT SEAL (MR 1/2")	LF	66		
32	520102	FS	BAR REINFORCING STEEL (BRIDGE)	LB	28,700		
33	566011		ROADSIDE SIGN - ONE POST	EA	4		
34	720121		ROCK SLOPE PROTECTION (1/2 TON, METHOD A)	CY	620		
35	721028		ROCK SLOPE PROTECTION (No.2, METHOD B)	CY	240		
36	729010		ROCK SLOPE PROTECTION FABRIC	SQ YD	570		
37	800007		FENCE (TYPE BW,5- STRAND, METAL POST)	LF	120		
38	801361A		SPLIT RAIL GATE	EA	1		
39	810110		SURVEY MONUMENT	EA	2		
40	820132		OBJECT MARKER (TYPE L)	EA	3		
41	820134		OBJECT MARKER (TYPE P)	EA	10		
42	832003		METAL BEAM GUARD RAILING (WOOD POST)	LF	130		

Item No.	Item Code	F,S	Description	Unit	Quantity	Unit cost	Amount
43	839541		TRANSITION RAILING (TYPE WB)	EA	3		
44	839584		ALTERNATIVE IN-LINE TERMINAL SYSTEM	EA	2		
45	839585A		QUADGUARD SYSTEM	EA	1		
46	839717	F	CONCRETE BARRIER (TYPE 732 MODIFIED)	LF	200		
47	840501		THERMOPLASTIC TRAFFIC STRIPE	LF	700		
48	999990		MOBILIZATION (10%)	LS	1		

F - Final Pay Item

S - Specialty Item

2. Bids are required for the entire work. The undersigned understands that the quantities given are approximate only, being given as a basis for the comparison of Bids, and the County of Monterey does not, expressly or by implication, agree that the actual amount of work shall correspond therewith, but reserves the right to increase or decrease the amount of any portion of the work, or to omit portions of the work, as may be deemed necessary without claim for damage or loss of anticipated profit and that payment shall be made only on the basis of the actual quantities of work performed.

The bidder shall set forth for each unit basis item of work a unit price and a total for the item, and for each lump sum item a total for the item, all in clearly legible figures in the respective spaces provided for that purpose. In the case of unit basis items, the amount set forth under the "Item Total" column shall be the product of the unit price bid and the estimated quantity for the item.

The basis of award to the lowest responsive, responsible bidder will be the lowest TOTAL BID.

The bid submitted must not contain erasures, interlineations, or other corrections unless each such correction is suitably authenticated by affixing in the margin immediately opposite the correction the surname or surnames of the person or persons signing the bid, in the named person's own handwriting.

In case of discrepancy between the unit price and the item total price set forth for the item, the unit price shall prevail; provided, however, if the amount set forth as the unit price is ambiguous, unintelligible or uncertain for any cause, or is omitted, the amount set forth in the "Item Total" column for the item shall prevail in accordance with the following:

- (1) As to lump sum items, the amount set forth in the "Item Total" column shall be the unit price.
- (2) As to unit basis items, the amount set forth in the "Item Total" column shall be divided by the estimated quantity for the item, and the price thus obtained shall be the unit price.
- 3. The undersigned has checked all of the above figures carefully and understands that the County of Monterey (also referred to herein as "Owner") will not be responsible for any errors and omissions on the part of the undersigned in making this bid.

Symbols such as commas and dollar signs will be ignored and have no mathematical significance in establishing any unit price or item total or lump sums. Written unit prices, item totals and lump sums will be interpreted according to the number of digits and, if applicable, decimal placement. Cents symbols also have no significance in establishing any unit price or item total since all figures are assumed to be expressed in dollars and/or decimal fractions of a dollar.

The foregoing provisions for the resolution of specific irregularities cannot be so comprehensive as to cover every omission, inconsistency, error or other irregularity which may occur in a bid. Any situation not specifically provided for will be determined in the discretion of the Owner, and that discretion will be exercised in the manner deemed by the Owner to best protect the public interest in the prompt and economical completion of the work. The decision of the Owner respecting the amount of a bid, or the existence or treatment of an irregularity in a bid, shall be final.

- 4. It is understood that the Owner reserves the right to reject any and all bids or waive any irregularities or informalities in the bidding.
- 5. This bid shall remain valid and will not be withdrawn by the undersigned bidder for a period of ninety (90) days from the date prescribed for opening of this bid.
- 6. Attached hereto are the following:
  - (1) List of Subcontractors
  - (2) Equal Employment Opportunity Certification
  - (3) Public Contract Code

Section 10285.1 Statement

Section 10162 Questionnaire

Section 10232 Statement

- (4) Noncollusion Declaration
- (5) Debarment and Suspension Certification
- (6) NonLobbying Certification For Federal-Aid Contracts
- (7) Disclosure of Lobbying Activities
- (8) Instructions For Completion of SF-LLL, Disclosure of Lobbying Activities
- (9) Statement Concerning Employment of Undocumented Aliens
- (10) Contractor's Certificate as to Worker's Compensation
- (11) List of Satisfied Public Agencies

- (12) Exhibit 15-G Local Agency Bidder DBE Commitment (Construction Contracts)
- (13) Instructions-Exhibit 15-G Local Agency Bidder DBE Commitment (Construction Contracts)
- (14) Exhibit 15-H DBE Information-Good Faith Efforts
- (15) Bidder's Bond
- 7. If this bid is accepted by the Owner, then the undersigned shall, within ten (10) business days after receipt of the Letter of Intent to Award, execute and deliver to the Owner (a) a contract in the form set forth in the contract documents on which this bid is based, (b) a payment bond for public works, as required by the contract documents, (c) a performance bond, as similarly required, and (d) insurance certificates showing all required insurance coverage. The undersigned will thereafter commence and complete the work within the time required by the contract documents.

In the event the bidder to whom the Letter of Intent to Award Contract is given fails or refuses to contract as required, including to post the required bonds, provide the insurance certificates, and return the executed copies of the agreement form within ten (10) business days from the date of receiving the Letter of Intent to Award Contract, the County may, at its option, determine that the bidder has abandoned the contract, reject the bid, and declare the bidder's security forfeited as damages and same shall become the property of the County of Monterey.

8.	Notice of acceptance and any requests for additional information should be addressed to the undersigned at the following address:
9.	The names of all persons interested in the foregoing proposal as principals are as follows:
	(IMPORTANT NOTICE: If the bidder or other interested person is a corporation, state the legal name of the corporation, and the names of the president, secretary, treasurer, and manager thereof; if a partnership, state the name of the firm and the names of all the individual partners composing the firm; if the bidder or other interested person is an individual, state the first and last names in full and give all fictitious names under which the individual does business.)
10.	By execution of this bid, the undersigned bidder declares that he or she is a contractor licensed in accordance with the Contractors' State License Law, as follows:
4	Classification: License number: Expiration date:

in addei	nda numb	er(s)	,,	<u>,</u> ,	,	 , an	ıd	If	the
					addenda,				
nonresp	onsive.								

(Fill in addenda numbers if addenda have been received and insert, in this Bid, any Engineer's Estimate sheets that were received as part of the addenda. By signing this Bid on the signature portion thereof, the Bidder acknowledges receipt of all addenda.)

12. Pursuant to Section 7103.5(b) of the Public Contract Code, in submitting a bid to the County, the bidder offers and agrees that if the bid is accepted, it will assign to the County all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 USC. Section 15) or under the Cartwright Act (Chapter 2 [commencing with Section 16700] of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder.

By my signature on this bid I certify, under penalty of perjury under the laws of the State of California, the foregoing questionnaire and statements of Public Contract Code Sections 10162, 10232 and 10285.1 are true and correct and the bidder has complied with the requirements of Section 8103 of the Fair Employment and Housing Commission Regulations (Chapter 5, Title 2 of the California Administrative Code). By my signature on this Bid I further certify, under penalty of perjury under the laws of the State of California and the United States of America, the Equal Employment Opportunity Certification; the Title 49 Code of Federal Regulations, Part 29 Debarment and Suspension Certification; the Statement Concerning Employment of Undocumented Aliens; and the Contractor's Certification as to Worker's Compensation are true and correct.

Dated:	
	Bidder's Business Name
	Ву:
	Print Name:Title:
	By:
	Print Name:
	Title:

(NOTE: If bidder is a corporation, the full legal name of the corporation shall be set forth above together with the signatures of authorized officers or agents and the document shall bear the corporate seal; if bidder is a partnership, the full name of the firm shall be set forth above together with the signature of the partner or partners authorized to sign contracts on behalf of the partnership; and if bidder is an individual, his signature shall be placed above.)

### LIST OF SUBCONTRACTORS

In compliance with the Subletting and Subcontracting Fair Practices Act (Chapter 4 [commencing with Section 4100], Part 1, Division 2 of the Public Contract Code) and any amendments thereto, each bidder shall set forth below: (a) the name and the location of the place of business of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the work or improvement to be performed under this contract or a subcontractor licensed by the State of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the work or improvement according to detailed drawings contained in the plans and specifications in an amount in excess of one-half of one percent of the prime contractor's total bid or, in the case of bids or offers for the construction of streets or highways, including bridges, in excess of one-half of one percent of the prime contractor's total bid or \$10,000, whichever is greater, and (b) the portion of the work which will be done by each subcontractor under this Act. The prime contractor shall list only one subcontractor for each such portion as is defined by the prime contractor in this bid.

If a prime contractor fails to specify a subcontractor or if a prime contractor specifies more than one subcontractor for the same portion of the work to be performed under the contract in excess of one-half of one percent of the prime contractor's total bid, he shall be deemed to have agreed that he is fully qualified to perform that portion himself, and that he shall perform that portion himself.

No prime contractor whose bid is accepted shall: (a) substitute any subcontractor, (b) permit any subcontract to be voluntarily assigned or transferred or allow it to be performed by any one other than the original subcontractor listed in the original bid, or (c) sublet or subcontract any portion of the work in excess of one-half of one percent of the prime contractor's total bid as to which his original bid did not designate a subcontractor, except as authorized in the Subletting and Subcontracting Fair Practices Act. Subletting or subcontracting of any portion of the work in excess of one-half of one percent of the prime contractor's total bid as to which no subcontractor was designated in the original bid shall only be permitted in cases of public emergency or necessity, and then only after a finding reduced to writing as a public record of the authority awarding this contract setting forth the facts constituting the emergency or necessity.

Check this box if no subcontractor performed or services to be rende	ors are required to be listed for work or labor to be ered.
	Bidder's business name
	By:
	Print Name:
	Title:

# BIDDER'S LIST OF SUBCONTRACTORS (DBE and NON-DBE)- PART I

The bidder shall list all subcontractors (both DBE and non-DBE) in accordance with Section 2-1.054 of the Standard Specifications and per Title 49, Section 26.11 of the Code of Federal Regulations. This listing is required in addition to listing DBE Subcontractors elsewhere in the proposal. Photocopy this form for additional firms.

	Certified DBE?	YES	ON	If YES list DBE #:		Age of Firm (Yrs.)	   YES	ON	If YES list DBE #:		Age of Firm (Yrs.)	YES	ONO	If YES list DBE #:		Age of Firm (Yrs.)	TYES	ONO	If YES list DBE #:		Age of Firm (Yrs.)	
	Description of Portion of Work to be Performed																					
Annual	Gross Receipts	☐ < \$1 million	☐ < \$5 million	☐ < \$10 million	S15 million	□ > \$15 million	☐ < \$1 million	☐ < \$5 million	☐ < \$10 million	☐ < \$15 million	☐ > \$15 million	☐ < \$1 million	☐ < \$5 million	☐ < \$10 million	☐ < \$15 million	☐ > \$15 million	☐ < \$1 million	☐ < \$5 million	☐ < \$10 million	☐ < \$15 million	☐ > \$15 million	
License #/	Phone/ Fax	Lic.#		Phone		Fax	Lic.#		Phone		Fax	Lic.#		Phone		Fax	Lic.#		Phone		Fax	
Firm Name/	Address/ City, State, ZIP	Name		Address		City State ZIP	Name		Address		City State ZIP	Name		Address		City State ZIP	Name		Address		City State ZIP	

Distribution: 1) Original - Local Agency File

13

# BIDDER'S LIST OF SUBCONTRACTORS (DBE and NON-DBE)- PART II

The bidder shall list all subcontractors who provided a quote or bid but were not selected to participate as a subcontractor on this project. This is required for compliance with Title 49, Section 26 of the Code of Federal Regulations. If this form is not submitted with the bid, it must be received by the Agency no later than 4:00 p.m. on the 4<sup>th</sup> business day after bid opening. Photocopy this form for additional firms.

Firm Name/	License #/	Annual		
Address/ City, State, ZIP	Phone/ Fax	Gross Receipts	Description of Portion of Work to be Performed	Certified DBE?
Name	Lic.#	< \$1 million		YES
		☐ < \$5 million		ONO
Address	Phone	☐ < \$10 million		If YES list DBE #:
		☐ < \$15 million		
City State ZIP	Fax	□ > \$15 million		Age of Firm (Yrs.)
Name	Lic.#			
		S million		ON
Address	Phone	☐ < \$10 million		If YES list DBE #:
		☐ < \$15 million		
City State ZIP	Fax	□ > \$15 million		Age of Firm (Yrs.)
Name	Lic.#	<\$1 million		
		☐ < \$5 million		ON
Address	Phone	☐ < \$10 million		If YES list DBE #:
		☐ < \$15 million		
City State ZIP	Fax	☐ > \$15 million		Age of Firm (Yrs.)
Name	Lic.#	< \$1 million		
		☐ < \$5 million		ON
Address	Phone	☐ < \$10 million		f YES list DBE #:
		☐ < \$15 million		
City State ZIP	Fax	☐ > \$15 million		Age of Firm (Yrs.)

Distribution: 1) Original - Local Agency File

# **EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**

The bidder,	
proposed subcontractor,	hereby
certifies that he/she/it has, has not, participated in a previous contract or subcontract subthe equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that required, he has filed with the Joint Reporting committee, the Director of the Office of Federal Compliance, a Federal Government contracting or administering agency, or the former President Compliance.	, where Contract sident's
Committee on Equal Employment Opportunity, all reports due under the applicable filing requirer	nents.
Note: The above Certification is part of the Bid. Signing this Bid on the signature portion thereof she constitute signature of this Certification. Providing false information may result in criminal prosecutadministrative sanctions.	

# **PUBLIC CONTRACT CODE**

# PUBLIC CONTRACT CODE SECTION 10285.1 STATEMENT

In accordance with Public Contract Code Section 10285.1 (Chapter 376, Stats. 1985), the bidder hereby declares under penalty of perjury under the laws of the State of California that the bidder has, has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or Federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Public Contract Code Section 1101, with any public entity, as defined in Public Contract Code Section 1100, including the Regents of the University of California or the Trustees of the California State University. The term "bidder" is understood to include any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.
Note: The bidder must place a check mark after "has" or "has not" in one of the blank spaces provided.
Public Contract Code Section 10162 Questionnaire
In accordance with Public Contract Code Section 10162, the Bidder shall complete, under penalty of perjury, the following questionnaire:
Has the bidder, any officer of the bidder, or any employee of the bidder who has a proprietary interest in the bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?
Yes No
If the answer is yes, explain the circumstances in the following space.

### PUBLIC CONTRACT CODE SECTION 10232 STATEMENT

In accordance with Public Contract Code Section 10232, the Contractor, hereby states under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the Contractor within the immediately preceding two year period because of the Contractor's failure to comply with an order of a federal court which orders the Contractor to comply with an order of the National Labor Relations Board.

Note: The above Public Contract Code Statements and Questionnaire are part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of these Statements and Questionnaire. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

# NONCOLLUSION DECLARATION TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

(Public Contract Code Section 7106)

The undersigned declares:		
I am the	of	, the party
making the foregoing bid.		
company, association, organization bidder has not directly or indirect. The bidder has not directly or in anyone else to put in a sham bid, or indirectly, sought by agreement bidder or any other bidder, or to any other bidder. All statements of submitted his or her bid price or a or data relative thereto, to any depository, or to any member or and will not pay, any person or entertain this company.	the interest of, or on behalf of, any on, or corporation. The bid is genuine thy induced or solicited any other bidded indirectly colluded, conspired, conniver or to refrain from bidding. The bidded, communication, or conference with fix any overhead, profit, or cost elements of the contained in the bid are true. The bidded in the bid are true. The bidded in the bid are true in the contents of corporation, partnership, company, agent thereof, to effectuate a collusive tity for such purpose.	e and not collusive or sham. The der to put in a false or sham bid. Ed, or agreed with any bidder or r has not in any manner, directly anyone to fix the bid price of the ent of the bid price, or of that of der has not, directly or indirectly, thereof, or divulged information, association, organization, bid e or sham bid, and has not paid, nat is a corporation, partnership,
represents that he or she has full bidder.	power to execute, and does execute,	this declaration on behalf of the
true and correct and that this decla	perjury under the laws of the State of aration is executed on[state].	
	Signature:	_
	Print Name:	

### **DEBARMENT AND SUSPENSION CERTIFICATION**

Title 2, Code of Federal Regulations, Part 180

The bidder, under penalty of perjury, certifies that, except as noted below, he/she/it or any person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past three (3) years;
- does not have a proposed debarment pending; and,
- has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Note: The above Certification is part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of this Certification. Providing false information may result in criminal prosecution or administrative sanctions.

### NONLOBBYING CERTIFICATION FOR FEDERAL-AID CONTRACTS

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

DISCLOSURE OF LOBBYING ACTIVITIES

COMPLETE THIS FORM TO DISCLOSE LOBBYING ACTIVITIES PURSUANT TO 31 U.S.C. 1352

1. Type of Federal Action: 2. Status of Fe	production of the contract of
a. contract a. bid/offer/b. grant b. initial aw	
c. cooperative agreement c. post-awa	rd
d. loan e. loan guarantee	For Material Change Only:  year quarter
f. loan insurance	date of last report
4. Name and Address of Reporting Entity	5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:
Prime Subawardee	Enter Name and Address of Filme.
Tier, if known	
Congressional District, if known	Congressional District, if known
6. Federal Department/Agency:	7. Federal Program Name/Description:
The state of the s	
	CFDA Number, if applicable
8. Federal Action Number, if known:	9. Award Amount, if known:
10. a. Name and Address of Lobby Entity (If individual, last name, first name, MI)	<ul> <li>Individuals Performing Services (including address if different from No. 10a)</li> </ul>
(11 murviduai, fast name, first name, ivit)	(last name, first name, MI)
	W ( ) 10
(attach Continuation S	
11. Amount of Payment (check all that apply)  \$ actual planned	13. Type of Payment (check all that apply)  a. retainer
\$ actual planned	b. one-time fee
12. Form of Payment (check all that apply):	c. commission
a. cash	d. contingent fee
b. in-kind; specify: nature	e deferred
value	f. other, specify
14. Brief Description of Services Performed or to be per officer(s), employee(s), or member(s) contacted, for	
omeon(o), employee(o), or member(o) contacted, for	1 Hymone Maloured in Month 120
(attach Continuation	n Sheet(s) if necessary)
15. Continuation Sheet(s) attached: Yes	No
<b>16.</b> Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying	Signature:
reliance was placed by the tier above when his transaction	
was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be	Print Name:
reported to Congress semiannually and will be available for public inspection. Any person who fails to file the required	Title:
disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Telephone No.: Date:
\$25,000 and not more than \$100,000 for each such familie.	Authorized for Local Reproduction
Federal Use Only:	Standard Form - LLL

Standard Form LLL Rev. 09-12-9

# INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of covered Federal action or a material change to previous filing pursuant to title 31 U.S.C. section 1352. The filing of a form is required for such payment or agreement to make payment to lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with a covered Federal action. Attach a continuation sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is or expects to be a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the first tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in Item 4 checks "Subawardee" then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
- 6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organization level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
- 8. Enter the most appropriate Federal identifying number available for the Federal action identification in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract grant. or loan award number, the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
- 10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influenced the covered Federal action.
  - (b) Enter the full names of the individual(s) performing services and include full address if different from 10 (a). Enter Last Name, First Name and Middle Initial (Ml).
- 11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
- 12. Check the appropriate box. Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
- 13. Check the appropriate box. Check all boxes that apply. If other, specify nature.
- 14. Provide a specific and detailed description of the services that the lobbyist has performed or will be expected to perform and the date(s) of any services rendered. Include all preparatory and related activity not just time spent in actual contact with Federal officials. Identify the Federal officer(s) or employee(s) contacted or the officer(s) employee(s) or Member(s) of Congress that were contacted.
- 15. Check whether or not a continuation sheet(s) is attached.
- 16. The certifying official shall sign and date the form, print his/her name title and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

SF-LLL-Instructions Rev. 06-04-90«ENDIF»

### STATEMENT CONCERNING EMPLOYMENT OF UNDOCUMENTED ALIENS

(Public Contract Code Section 6101)

In conformance with Public Contract Code Section 6101, the bidder certifies compliance with state and federal law with respect to the employment of undocumented aliens by signing this proposal on the signature portion thereof. Public Contract Code Section 6101 provides that no state agency or department, as defined in Section 10335.7, that is subject to this Code, shall award a public works or purchase contract to a bidder or contractor, nor shall a bidder or contractor be eligible to bid for or receive a public works or purchase contract, who has, in the preceding five (5) years, been convicted of violating a state or federal law respecting the employment of undocumented aliens.

Note: The above Statement is part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of this Statement. Providing false information may result in criminal prosecution or administrative sanctions.

### CONTRACTOR'S CERTIFICATE AS TO WORKER'S COMPENSATION

(Labor Code Section 1861)

Labor Code Section 3700 provides, in relevant part:

"Every employer except the State shall secure the payment of compensation in one or more of the following ways:

- "(a) By being insured against liability to pay compensation in one or more insurers duly authorized to write compensation insurance in this State.
- "(b) By securing from the Director of Industrial Relations a certificate of consent to self-insure either as an individual employer, or as one employer in a group of employers, which may be given upon furnishing proof satisfactory to the Director of Industrial Relations of ability to self-insure and to pay any compensation that may become due to his or her employees."

I certify that I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of that Code, and I will comply with such provisions before commencing the performance of the work of this contract.

Note: The above Certification is part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of this Certification. Providing false information may result in criminal prosecution or administrative sanctions.

# <u>LIST OF SATISFIED PUBLIC AGENCIES</u> (Two [2] minimum)

Agency Name	Project Name	<b>Contact Person</b>	Award Date	Phone No.

# EXHIBIT 15-G LOCAL AGENCY BIDDER DBE COMMITMENT (CONSTRUCTION CONTRACTS)

NOTE: PLE	EASE REFER TO INSTRUCTION (CON	NS – EXHIBIT 6 LO ISTRUCTION CONT		DBE COMMITMENT
LOCAL AGENO	CY:	LOCATION	[;	
PROJECT DESC	CRIPTION:		WARANA .	
TOTAL CONTR	RACT AMOUNT: \$		21 To 100 TO	
BID DATE:				
	ме:			
CONTRACT ITEM NO.	ITEM OF WORK AND DESCRIPTION OR SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED (or contracted if the bidder is a DBE)	DBE CERT NO.	NAME OF EACH DBE (Must be certified on the date bids are opened - include DBE address and phone number)	
	Agency to Complete:		Total Claimed DBE Participation	\$
	ontract Number:			/0
	ect Number:			
Federal Share:				
Contract Award I	Date:			
Local Agency cert complete and acc	tifies that all DBE certifications have been v	rerified and information is	Signature of Bidder	
			Date (A	Area Code) Tel. No.
Print Name LocalAgency Rep	Signature oresentative	Date	Person to Contact (P	Please Type or Print)
(Area Code) Telep	phone Number:		Local Agency Bidder DBE Commit (Rev 6/20	

Distribution:

<sup>(1)</sup> Copy – Fax or scan a copy to the Caltrans District Local Assistance Engineer (DLAE) within 30 days of contract execution. Failure to send a copy to the DLAE within 30 days of contract execution may result in de-obligation of funds for this project.

<sup>(2)</sup> Copy - Include in award package to Caltrans District Local Assistance

<sup>(3)</sup> Original – Local agency files

# <u>INSTRUCTIONS – EXHIBIT 15-G LOCAL AGENCY BIDDER DBE COMMITMENT</u> (CONSTRUCTION CONTRACTS)

### **ALL BIDDERS:**

PLEASE NOTE: This information may be submitted with your bid. If it is not, and you are the apparent low bidder or the second or third low bidder, it must submitted and received as specified in the Special Provisions. Failure to submit the required DBE commitment will be grounds for finding the bid nonresponsive.

The form requires specific information regarding the construction contract: Local Agency, Location, Project Description, Total Contract Amount, Bid Date, Bidder's Name, and Contract DBE Goal.

The form has a column for the Contract Item Number and Item of Work and Description or Services to be Subcontracted or Materials to be provided by DBEs. Prime contractors shall indicate all work to be performed by DBEs including, if the prime is a DBE, work performed by its own forces, if a DBE. The DBE shall provide a certification number to the Contractor and expiration date. Enter the DBE prime's and subcontractors' certification numbers. The form has a column for the Names of DBE contractors to perform the work (who must be certified on the date bids are opened and include the DBE address and phone number).

IMPORTANT: Identify all DBE firms participating in the project regardless of tier. Names of the First-Tier DBE Subcontractors and their respective item(s) of work listed should be consistent, where applicable, with the names and items of work in the "List of Subcontractors" submitted with your bid.

There is a column for the DBE participation dollar amount. Enter the Total Claimed DBE Participation dollars and percentage amount of items of work submitted with your bid pursuant to the Special Provisions. (If One Hundred Percent (100%) of item is not to be performed or furnished by the DBE, describe exact portion of time to be performed or furnished by the DBE.) See Section "Disadvantaged Business Enterprise (DBE)," of the Special Provisions (construction contracts), to determine how to count the participation of DBE firms.

Exhibit 15-G must be signed and dated by the person bidding. Also list a phone number in the space provided and print the name of the person to contact.

**Local agencies** should complete the Local Agency Contract Award, Federal-aid Project Number, Federal Share, Contract Award Date fields and verify that all information is complete and accurate before signing and filing.

# **EXHIBIT 15-H DBE INFORMATION – GOOD FAITH EFFORTS**

# DBE INFORMATION –GOOD FAITH EFFORTS

The Country of Moutager and Disadventered Dusiness Enterprise (DDE) of	
The <u>County of Monterey</u> established a Disadvantaged Business Enterprise (DBE) g Five Percent( <u>5</u> %) for this project. The information provided herein shows that a good faith effective made.	
Lowest, second lowest and third lowest bidders shall submit the following information to docume adequate good faith efforts. Bidders should submit the following information even if the "Local Bidder DBE Commitment" form indicates that the bidder has met the DBE goal. This will prote bidder's eligibility for award of the contract if the administering agency determines that the bid to meet the goal for various reasons, e.g., a DBE firm was not certified at bid opening, or the bid made a mathematical error.	l Agency ect the der failed
Submittal of only the "Local Agency Bidder DBE Commitment" form may not provide sufficie documentation to demonstrate that adequate good faith efforts were made.	ent
The following items are listed in the Section entitled "Submission of DBE Commitment" of the Provisions:	e Special
A. The names and dates of each publication in which a request for DBE participation for the placed by the bidder (please attach copies of advertisements or proofs of publication):	nis project was
Publications Dates of Advertisement	
B. The names and dates of written notices sent to certified DBEs soliciting bids for this produces and methods used for following up initial solicitations to determine with certainty DBEs were interested (please attach copies of solicitations, telephone records, fax confi	whether the
dates and methods used for following up initial solicitations to determine with certainty	whether the rmations, etc.):
dates and methods used for following up initial solicitations to determine with certainty DBEs were interested (please attach copies of solicitations, telephone records, fax confi  Names of DBEs Solicited Date of Initial Follow Up Methods and Dates	whether the rmations, etc.):
dates and methods used for following up initial solicitations to determine with certainty DBEs were interested (please attach copies of solicitations, telephone records, fax confi  Names of DBEs Solicited Date of Initial Follow Up Methods and Dates	whether the rmations, etc.):

	bidder with its own forces) into economically feasible units to facilitate DBE participation. I the bidder's responsibility to demonstrate that sufficient work to facilitate DBE participation made available to DBE firms.				-
_	Items of Work	Bidder Normally Performs Item (Y/N)	Breakdown of Items	Amount (\$)	Percentage Of Contract
- D.	The names, addresses and pl rejection of the DBEs, the fi firms involved), and the price	rms selected for that wo	ork (please attach	copies of quo	otes from the
	Names, addresses and phone of the DBEs:	e numbers of rejected D	BEs and the reaso	ons for the bio	lder's rejection
	Names, addresses and phone	e numbers of firms selec	cted for the work	above:	
E.	Efforts made to assist interest technical assistance or infort work which was provided to	nation related to the pla			

C. The items of work which the bidder made available to DBE firms including, where appropriate, any breaking down of the contract work items (including those items normally performed by the

F.	related assistance or services, excluding purchases or leases from the prime co	ng supplies and equipment the D	
G.	The names of agencies, organizations recruiting and using DBE firms (pleas received, i.e., lists, Internet page down	se attach copies of requests to ago	
_	Name of Agency/Organization	Method/Date of Contact	Results
**			
Н.	Any additional data to support a de necessary):	monstration of good faith effor	ts (use additional sheets if

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

### BIDDER'S BOND

WHEREAS, the Principal named below has submitted the accompanying bid to the County of Monterey, a political subdivision of the State of California (hereinafter called "County"), for the following project:
description as on bid); and
WHEREAS, Principal as bidder, is required to furnish a bond executed by an admitted surety in connection with said bid, to secure timely execution of the contract and delivery of the bonds and insurance certificates, in the event that the contract is awarded to Principal; and
WHEREAS, the Principal has submitted the above- mentioned bid to the County, for which bids are to be opened at(city where bid opening), California, on,
(date bid opening).
NOW, THEREFORE, we
, PRINCIPAL, and
as SURETY, are held and firmly
bound unto the County in the penal sum of Dollars
(\$) which sum is not less than Ten Percent (10%) of the total amount of the base
bid amount including all alternates submitted by said above-named Principal to the County, for the
project described below, for the payment of which sum in lawful money of the United States, well and
truly to be made to the County, we bind ourselves, our heirs, executors, administrators and successors,
jointly and severally, firmly by these presents.
THE CONDITION OF THE ODITION IS SHOULD THAT

### THE CONDITION OF THIS OBLIGATION IS SUCH, That

If the aforesaid Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two (2) bonds with the County of Monterey, one (1) to guarantee faithful performance and the other one (1) to guarantee payment for labor and materials, as required by law and said contract documents, then this obligation shall be null and void; otherwise, it shall be and remain in full force and virtue.

Surety hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract on the call for bids, or to the work to be performed thereunder, or the specifications accompanying the same, shall in any way affect its obligation under this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of said contract or the call for bids, or to the work or to the specifications.

and investigation expenses.	anty in such suit, including attorney's fees, court costs, expert witness fee
seals thisday of	he above-bound parties have executed this instrument under their severation, 20, the name and corporate seal of each corporate parties presents duly signed by its undersigned representative, pursuant to be.
(Corporate Seal)	
	Principal
	By
	Name and Title
(Corporate Seal)	
	Surety
	By
	Name and Title

If the County brings suit upon this bond and judgment is recovered, the Surety shall pay all litigation

(Attach notary acknowledgement for all signatures and original or certified copy of unresolved appointment, attorney-in-fact certificate, power of attorney, by laws, or other instrument entitling or authorizing person executing bond on behalf of Surety to do so.)