

**COUNTY OF MONTEREY
MENTAL HEALTH SERVICES AGREEMENT**

Contract Number: _____

COUNTY Department Contract Representative:

Elsa Jimenez, Interim Director of Health
1270 Natividad Road, Salinas, CA 93906

THIS CONTRACT is made and entered into by and between the **COUNTY OF MONTEREY**, a political subdivision of the State of California (hereinafter "COUNTY") and **INTERIM, INC.** (hereinafter "CONTRACTOR").

RECITALS

WHEREAS, COUNTY desires to enter into an Agreement whereby CONTRACTOR shall provide community mental health services in accordance with the requirements of the Bronzan-McCorquodale Act (California Welfare and Institutions Code § 5600, et seq.), Part 2.5 of Division 5 of the California Welfare & Institutions Code, and Titles 9 and 22 of the California Code of Regulations; and

WHEREAS, CONTRACTOR is able to furnish such services under the terms and conditions of this Agreement and in accordance with applicable law, including all Federal, State of California (State), and local laws, regulations, rules, and guidelines pertaining to the provision of mental health services.

NOW, THEREFORE, IT IS HEREBY AGREED AS FOLLOWS:

I. SERVICES TO BE PROVIDED

CONTRACTOR shall provide the services set forth in this Agreement, including the program services detailed in Exhibit A, to the recipient population and to the COUNTY, in compliance with the terms of this Agreement. These services can be summarized as follows: housing, residential treatment, homeless outreach and support, and supported education and employment services for adults who have serious mental illnesses.

II. EXHIBITS

The following exhibits are attached to this Agreement and incorporated herein by reference:

EXHIBIT A: PROGRAM DESCRIPTION
EXHIBIT B: PAYMENT AND BILLING PROVISIONS
ATTACHMENT 1

- EXHIBIT C: CONFIDENTIALITY OF PATIENT INFORMATION
- EXHIBIT D: ASSURANCE OF COMPLIANCE WITH SECTION 504 OF THE REHABILITATION ACT OF 1973, AS AMENDED
- EXHIBIT E: ASSURANCE OF COMPLIANCE WITH MONTEREY COUNTY CULTURAL COMPETENCY POLICY
- EXHIBIT F: BUSINESS ASSOCIATE AGREEMENT
- EXHIBIT G: COST REIMBURSEMENT INVOICE FORM
- EXHIBIT H: BUDGET AND EXPENDITURE REPORT
- EXHIBIT I: ANNUAL REPORT(S), COST REPORT SETTLEMENT AND AUDIT

III. PAYMENT BY COUNTY

- A. The COUNTY shall pay CONTRACTOR in arrears, as applicable, for eligible services provided under this Agreement and in accordance with the terms and conditions set forth in Exhibit B. Payments are made at applicable rates up to the amounts identified for each Funded Program as shown in Exhibit B and as otherwise may be limited under this Agreement and the attachments thereto. If CONTRACTOR is paid at Provisional Rates or at Cash Flow Advances, COUNTY payments are provisional, until the completion of all settlement activities and audits, as such payments are subject to future Federal, State and/or COUNTY adjustments. For the purposes of this Agreement, a "Funded Program" is a set of services paid through a particular funding source identified in Exhibit H, Budget and Expenditure Report, if made part of this Agreement.
- B. CONTRACTOR shall hold harmless the State and any recipients of services in the event COUNTY does not reimburse CONTRACTOR for services performed under this Agreement.

IV. TERM AND TERMINATION

- A. Term. This Agreement shall be effective July 1, 2016 and shall remain in effect until June 30, 2019.
- B. Termination without Cause. Either party may terminate this Agreement at any time without cause by serving thirty (30) calendar days' advance written notice upon the other party. The notice shall state the effective date of the termination.
- C. Termination with Cause. COUNTY, in its sole and absolute discretion, may terminate this Agreement immediately upon the occurrence of any of the following events:
 - 1. CONTRACTOR'S failure to comply with COUNTY'S Utilization Review procedures;
 - 2. CONTRACTOR'S failure to abide by Grievance decisions;

3. CONTRACTOR'S failure to meet COUNTY qualification criteria;
4. CONTRACTOR'S failure to submit Annual Reports, Provider's Certification, and accompanying audited financial statement, CONTRACTOR'S Year-End Cost Report Settlement and/or other supporting documents in accordance with the terms of a written notice from COUNTY to CONTRACTOR, and/or, if made part of this Agreement, Exhibit I;
5. CONTRACTOR is unable or reasonably expected to be unable to provide the Services for any reason for a period in excess of thirty (30) consecutive days or sixty (60) days in the aggregate over any three (3) month period.
6. CONTRACTOR'S performance of this Agreement poses an imminent danger to the health and safety of any individual client of COUNTY;
7. CONTRACTOR loses its licensure or certification;
8. CONTRACTOR is suspended, excluded or otherwise becomes ineligible to participate in the Medicare, Medi-Cal, or any other government-sponsored health program;
9. Breach by CONTRACTOR of any confidentiality obligation;
10. Breach by CONTRACTOR of the Health Insurance Portability and Accountability Act (HIPAA) and Protected Health Information (PHI);
11. CONTRACTOR makes an assignment for the benefit of creditors, admits in writing the inability to pay its debts as they mature, applies to any court for the appointment of a trustee or receiver over its assets, or upon commencement of any voluntary or involuntary proceedings under any bankruptcy, reorganization, arrangement, insolvency, readjustment of debt, dissolution liquidation or other similar law or any jurisdiction;
12. The insurance required to be maintained by CONTRACTOR under this Agreement is terminated, reduced below the minimum coverage requirements set forth in this Agreement, not renewed or cancelled (whether by action of the insurance company or CONTRACTOR) for any reason, and CONTRACTOR has not obtained replacement coverage as required by this Agreement by the effective date of such termination, reduction, non-renewal or cancellation;
13. CONTRACTOR is rendered unable to comply with the terms of this Agreement for any reason; or
14. COUNTY determines that CONTRACTOR is in violation or breach of any provision of this Agreement or violation of Federal, State or local laws, and thirty (30) calendar days have passed since written notice of the violation or breach has

been given by COUNTY, without remedy thereof by CONTRACTOR to the satisfaction of COUNTY.

D. Termination or Amendment in Response to Reduction of Government Funding.

Notwithstanding any other provision of this Agreement, if Federal, State or local government terminates or reduces its funding to the COUNTY for services that are to be provided under this Agreement, COUNTY, in its sole and absolute discretion after consultation with the CONTRACTOR, may elect to terminate this Agreement by giving written notice of termination to CONTRACTOR effective immediately or on such other date as COUNTY specifies in the notice. Alternatively, COUNTY and CONTRACTOR may mutually agree to amend the Agreement in response to a reduction in Federal, State or local funding.

E. Survival of Obligations after Termination. Termination of this Agreement shall be effected by notice of termination to CONTRACTOR specifying the extent to which performance of work is terminated and the date upon which such termination becomes effective. Upon termination of this Agreement, COUNTY shall no longer refer clients to the CONTRACTOR under this Agreement, and the rights and duties of the parties shall be terminated, except that the following obligations shall survive termination:

1. CONTRACTOR shall, pursuant to this Agreement and upon approval of the Behavioral Health Director, continue treatment of clients who are receiving care from CONTRACTOR until completion of treatment or until continuation of the client's care by another provider can be arranged by COUNTY;
2. COUNTY shall arrange for such transfer of treatment no later than sixty (60) calendar days after Agreement termination if the client's treatment is not by then completed;
3. COUNTY, any payer, and CONTRACTOR shall continue to remain obligated under this Agreement with regard to payment for services rendered prior to termination or required to be rendered after termination;
4. Upon termination or expiration of this Agreement, CONTRACTOR shall continue to remain obligated with respect to any confidentiality obligation as described in Section VIII and in accordance with Exhibit C to this Agreement, HIPAA and PHI in accordance with Exhibit F to this Agreement, indemnification described in Section XI to this Agreement, professional liability insurance described in Section XII to this Agreement, annual reports and cost report settlement described in Section XIV and in accordance with Exhibit I to this Agreement, and access to and audit of records described in Section XV to this Agreement, and in accordance with all applicable laws; and
5. CONTRACTOR shall not do anything or cause any other person to do anything that interferes with COUNTY'S efforts to engage any other person or entity for the provision of the services set forth in this Agreement, or interfere in any way

with any relationship between COUNTY and any other person or entity who may be engaged to provide the services to COUNTY.

V. COMPLIANCE WITH APPLICABLE LAWS AND TERMS OF FEDERAL, STATE AND/OR LOCAL STATUTES AND FEDERAL AND/OR STATE GRANTS

- A. Compliance with Laws. In providing services and meeting requirements for payment reimbursement for mental health treatment services under this Agreement, CONTRACTOR shall comply with all applicable Federal, State, and local laws, regulations, rules, and guidelines, including, but not limited to, Title XIX of the Social Security Act, California Welfare and Institutions Code, Divisions 5, 6, and 9; California Code of Regulations, Titles 9 and 22; any Short-Doyle and Short-Doyle/Medi-Cal policies as identified in the State Letters, Office of Management and Budget (OMB) Circular Nos. A-122 and 133, the Cost Reporting/Data Collection (CR/DC) Manual, and the Mental Health policies issued by the County of Monterey.
- B. Compliance with Terms of Federal and/or State Grants. If this Agreement is funded with monies received by the COUNTY pursuant to contract(s) with the Federal and/or State government in which the COUNTY is the grantee, CONTRACTOR shall comply with all provisions of said contract(s), to the extent applicable to CONTRACTOR as a sub-grantee under said contract(s), and said provisions shall be deemed a part of this Agreement as if fully set forth herein. Upon request, COUNTY shall deliver a copy of said contract(s) to CONTRACTOR at no cost to CONTRACTOR.

VI. CONTRACT MONITORING AND QUALITY CONTROL

- A. The Federal, State and COUNTY shall have the right to inspect and evaluate the quality, appropriateness and timelines of services performed under this Agreement.
- B. The Behavioral Health Director shall assign a Contract Monitor to ensure compliance with the terms and conditions of this Agreement. The Contract Monitor and CONTRACTOR shall meet at intervals deemed appropriate by COUNTY. In addition, the Contract Monitor shall review at regular intervals all statistical reports, financial records, clinical records, and other documents concerning services provided under this Agreement. In addition, CONTRACTOR shall at all times cooperate with the COUNTY'S Quality Improvement ("QI") Plan.
- C. CONTRACTOR shall conduct reviews at regular intervals of the quality and utilization of services for all recipients of service under this Agreement. CONTRACTOR shall furnish all required data and reports in compliance with State Client and Service Information System ("CSI"). Units of time reporting, as stipulated in the Cost Reporting/Data Collection ("CR/DC") manual, are subject to special review and audit.

- D. If CONTRACTOR is an in-patient facility, CONTRACTOR shall submit its patient admissions and length of stay requests for utilization review through existing hospital systems or professional standards review organizations.

VII. LICENSURE, CERTIFICATION AND STAFFING REQUIREMENTS

A. Licensure and Certification. CONTRACTOR shall furnish qualified professional personnel as prescribed by Title 9 of the California Code of Regulations, the California Business and Professions Code, the California Welfare and Institutions Code, and all other applicable laws for the type of services rendered under this Agreement. All personnel providing services pursuant to this Agreement shall be fully licensed in accordance with all applicable law and shall remain in good professional standing throughout the entire duration of this Agreement. CONTRACTOR shall comply with all COUNTY and State certification and licensing requirements and shall ensure that all services delivered by staff are within their scope of licensure and practice.

B. Medi-Cal Certification. If CONTRACTOR is an organizational provider of Medi-Cal specialty mental health services, CONTRACTOR shall maintain certification during the term of this Agreement. This includes meeting all staffing and facility standards required for organizational providers of Medi-Cal specialty mental health services which are claimed and notifying COUNTY'S Contract Monitor in writing of anticipated changes in service locations at least sixty (60) days prior to such change.

C. Staff Training and Supervision. CONTRACTOR shall ensure that all personnel, including any subcontractor(s) performing services under this Agreement, receive appropriate training and supervision. CONTRACTOR shall also maintain appropriate levels of staffing at all times when performing services under this Agreement.

D. Exclusion from Participation in Federal Health Care Program or State Equivalent.

1. CONTRACTOR shall not employ or contract with providers or other individuals and entities excluded from participation in Federal health care programs under either Section 1128 or 1128A of the Social Security Act. Federal Financial Participation (FFP) is not available for providers excluded by Medicare, Medicaid, or the State Children's Insurance Program, except for emergency services.
2. CONTRACTOR shall not employ or contract with services to be provided under the terms of this Agreement by any officer, employee, subcontractor, agent or any other individual or entity that is on the List of Excluded Individuals/Entities maintained by the U.S. Department of Health and Human Services, Office of the Inspector General ("OIG") or the California State Medi-Cal Suspended and Ineligible Provider List ("S&I") maintained by the California Department of Health Care Services (DHCS).

- a. CONTRACTOR shall be responsible to determine on a monthly basis whether any of its officers, employees, subcontractors, agents, or other individuals or entities are on either or both excluded lists of OIG and S&I and shall immediately notify the COUNTY upon discovery that any of its officers, employees, subcontractors, agents, or other individuals or entities appears on either or both excluded lists.
- b. The OIG list is currently found at the following web address: <http://exclusions.oig.hhs.gov>. The S&I list is currently found at the following web address: <http://www.medi-cal.ca.gov/references.asp>.

VIII. PATIENT RIGHTS

- A. CONTRACTOR shall comply with all applicable patients' rights laws including, but not limited to, the requirements set forth in California Welfare and Institutions Code, Division 5, Part 1, sections 5325, et seq., and California Code of Regulations, Title 9, Division 1, Chapter 4, Article 6 (sections 860, et seq.).
- B. As a condition of reimbursement under this Agreement, CONTRACTOR shall ensure that all recipients of services under this Agreement shall receive the same level of services as other patients served by CONTRACTOR. CONTRACTOR shall ensure that recipients of services under this Agreement are not discriminated against in any manner including, but not limited to, admissions practices, evaluation, treatment, access to programs and or activities, placement in special wings or rooms, and the provision of special or separate meals. CONTRACTOR shall comply with Assurance of Compliance requirements as set forth in Exhibit D and incorporated by reference as if fully set forth herein.

IX. MAINTENANCE AND CONFIDENTIALITY OF PATIENT INFORMATION

- A. CONTRACTOR shall maintain clinical records for each recipient of service in compliance with all Federal and State requirements. Such records shall include a description of all services provided by the CONTRACTOR in sufficient detail to make possible an evaluation of services, and all data necessary to prepare reports to the State, including treatment plans, records of client interviews, and progress notes.
- B. CONTRACTOR shall retain clinical records for a minimum of seven (7) years and, in the case of minors, for at least one (1) year after the minor has reached the age of majority, but for a period of no less than seven (7) years. Clinical records shall be the property of the COUNTY and maintained by the CONTRACTOR in accordance with Federal, State and COUNTY standards.
- C. CONTRACTOR shall comply with the Confidentiality of Patient Information requirements set forth in Exhibit C and incorporated by reference as if fully set forth herein.

X. REPORTS OF DEATH, INJURY, DAMAGE, OR ABUSE

- A. Reports of Death, Injury, or Damage. If death, serious personal injury, or substantial property damage occur in connection with the performance of this Agreement, CONTRACTOR shall immediately notify the Behavioral Health Director by telephone. In addition, CONTRACTOR shall promptly submit to COUNTY a written report including: (1) the name and address of the injured/deceased person; (2) the time and location of the incident; (3) the names and addresses of CONTRACTOR'S employees or agents who were involved with the incident; (4) the names of COUNTY employees, if any, involved with the incident; and (5) a detailed description of the incident.
- B. Child Abuse Reporting. CONTRACTOR shall ensure that all known or suspected instances of child abuse or neglect are promptly reported to proper authorities as required by the Child Abuse and Neglect Reporting Act, California Penal Code sections 11164, et seq. CONTRACTOR shall require all of its employees, consultants, and agents performing services under this Agreement who are mandated reporters under the Act to sign statements indicating that they know of and shall comply with the Act's reporting requirements.
- C. Elder Abuse Reporting. CONTRACTOR shall ensure that all known or suspected instances of abuse or neglect of elderly people 65 years of age or older and dependent adults age 18 or older are promptly reported to proper authorities as required by the Elder Abuse and Dependent Adult Protection Act (California Welfare and Institutions Code, sections 15600 Code, et seq.). CONTRACTOR shall require all of its employees, consultants, and agents performing services under this Agreement who are mandated reporters under the Act to sign statements indicating that they know of and shall comply with the Act's reporting requirements.

XI. INDEMNIFICATION

CONTRACTOR shall indemnify, defend, and hold harmless the COUNTY, its officers, agents, and employees, from and against any and all claims, liabilities, and losses whatsoever (including damages to property and injuries to or death of persons, court costs, and reasonable attorneys' fees) occurring or resulting to any and all persons, firms or corporations furnishing or supplying work, services, materials, or supplies, in connection with the performance of this Agreement, and from any and all claims, liabilities, and losses occurring or resulting to any person, firm, or corporation for damage, injury, or death arising out of or connected with the CONTRACTOR'S performance of this Agreement, unless such claims, liabilities, or losses arise out of the sole negligence or willful misconduct of the COUNTY. "CONTRACTOR'S performance" includes CONTRACTOR'S action or inaction and the action or inaction of CONTRACTOR'S officers, employees, agents and subcontractors.

XII. INSURANCE

- A. Evidence of Coverage. Prior to commencement of this Agreement, the CONTRACTOR shall provide a "Certificate of Insurance" certifying that coverage as required herein has been obtained. Individual endorsements executed by the insurance carrier shall accompany the certificate. In addition, the CONTRACTOR upon request shall provide a certified copy of the policy or policies.

This verification of coverage shall be sent to the COUNTY'S Contracts/Purchasing Office, unless otherwise directed. The CONTRACTOR shall not receive approval for services for work under this Agreement until all insurance has been obtained as required and approved by the COUNTY. This approval of insurance shall neither relieve nor decrease the liability of the CONTRACTOR.

- B. Qualifying Insurers. All coverage, except surety, shall be issued by companies which hold a current policy holder's alphabetic and financial size category rating of not less than A- VII, according to the current Best's Key Rating Guide or a company of equal financial stability that is approved by the COUNTY'S Contracts/Purchasing Officer.
- C. Insurance Coverage Requirements. Without limiting CONTRACTOR'S duty to indemnify, CONTRACTOR shall maintain in effect throughout the term of this Agreement a policy or policies of insurance with the following minimum limits of liability:
1. Commercial general liability insurance, including but not limited to premises and operations, including coverage for Bodily Injury and Property Damage, Personal Injury, Contractual Liability, Broadform Property Damage, Independent Contractors, Products and Completed Operations, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.
 2. Business automobile liability insurance, covering all motor vehicles, including owned, leased, non-owned, and hired vehicles, used in providing services under this Agreement, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence if maximum estimated reimbursement obligation by COUNTY to CONTRACTOR under this Agreement is over \$100,000 or of not less than \$500,000 per occurrence if maximum estimated reimbursement obligation by COUNTY to CONTRACTOR under this Agreement is \$100,000 and less.
 3. Workers Compensation Insurance, if CONTRACTOR employs others in the performance of this Agreement, in accordance with California Labor Code section 3700 and with Employer's liability limits not less than \$1,000,000 each person, \$1,000,000 each accident and \$1,000,000 each disease.

4. Professional Liability Insurance, if required for the professional service being provided, (e.g., those persons authorized by a license to engage in business or profession regulated by the California Business and Professional Code), in the amount of not less than \$1,000,000 per claim and \$2,000,000 in the aggregate, to cover liability for malpractice or errors or omissions made in the course of rendering professional services. If professional liability insurance is written on a "claims-made" basis rather than an occurrence basis, the CONTRACTOR shall, upon the expiration or earlier termination of this Agreement, obtain extended reporting coverage ("tail coverage") with the same liability limits. Any such tail coverage shall continue for at least three (3) years following the expiration or earlier termination of this Agreement.

- D. Other Insurance Requirements, All insurance required by this Agreement shall be with a company acceptable to the COUNTY and issued and executed by an admitted insurer authorized to transact insurance business in the State of California. Unless otherwise specified by this Agreement, all such insurance shall be written on an occurrence basis, or, if the policy is not written on an occurrence basis, such policy with the coverage required herein shall continue in effect for a period of three (3) years following the date CONTRACTOR completes its performance of services under this Agreement.

Each liability policy shall provide that the COUNTY shall be given notice in writing at least thirty (30) calendar days in advance of any endorsed reduction in coverage or limit, cancellation, or intended non-renewal thereof. Each policy shall provide coverage for CONTRACTOR and additional insured with respect to claims arising from each subcontractor, if any, performing work under this Agreement, or be accompanied by a certificate of insurance from each subcontractor showing each subcontractor has identical insurance coverage to the above requirements.

Commercial general liability and automobile liability policies shall provide an endorsement naming the County of Monterey, its officers, agents, and employees as Additional Insured with respect to liability arising out of the CONTRACTOR'S work, including ongoing and complete operations, and shall further provide that such insurance is primary insurance to any insurance or self-insurance maintained by the COUNTY and that the insurance of the Additional Insured shall not be called upon to contribute to a loss covered by the CONTRACTOR'S insurance.

Prior to the execution of this Agreement by the COUNTY, CONTRACTOR shall file certificates of insurance with the COUNTY'S contract administrator and the COUNTY'S Contracts/Purchasing Office, showing that the CONTRACTOR has in effect the insurance required by this Agreement. The CONTRACTOR shall file a new or amended certificate of insurance within five (5) calendar days after any change is made in any insurance policy, which would alter the information on the certificate then on file. Acceptance or approval of insurance shall in no way modify

or change the indemnification clause in this Agreement, which shall continue in full force and effect.

CONTRACTOR shall at all times during the term of this Agreement maintain in force the insurance coverage required under this Agreement and shall send, without demand by COUNTY, annual certificates to COUNTY'S Contract Administrator and COUNTY'S Contracts/Purchasing Office. If the certificate is not received by the expiration date, CONTRACTOR shall have five (5) calendar days to send the certificate, evidencing no lapse in coverage during the interim. Failure by CONTRACTOR to maintain such insurance coverage is a breach of this Agreement, which entitles COUNTY, at its sole and absolute discretion, to (1) immediately disallow claim(s) for payment and/or withhold payment(s) by COUNTY to CONTRACTOR, pursuant to Section III (A), for services rendered on or after the effective date of termination, reduction, non-renewal, or cancellation of the insurance coverage maintained by CONTRACTOR, and/or (2) terminate this Agreement pursuant to Section IV.

XIII. BUDGET AND EXPENDITURE REPORT

- A. CONTRACTOR shall submit, as requested by the COUNTY, the Budget and Expenditure Report provided as Exhibit H, if made part of this Agreement, identifying CONTRACTOR'S allowable costs and program revenues. COUNTY shall identify program revenues for COUNTY funds, and CONTRACTOR shall identify allowable costs and other program revenues as defined in Exhibit B, Section VI, paragraph B of this Agreement, if applicable. The budget shall be the basis for payment reimbursements, cost settlement activities, and audits.
- B. CONTRACTOR shall submit an electronic copy of the Six-(6) Month and the Year-to-Date Budget and Expenditure report by February 15 and by the date specified by the COUNTY, respectively, to the COUNTY using Exhibit H as the template format. The report shall include data related to the actual costs incurred, revenues earned, and the number of actual clients served by each funded program.

XIV. PREPARATION OF ANNUAL REPORT(S) AND CONTRACTOR'S YEAR-END COST REPORT SETTLEMENT

- A. Annual Report(s) and CONTRACTOR'S Year-End Cost Report Settlement. CONTRACTOR shall submit by COUNTY'S required deadlines the following, as it pertains to this Agreement:
 - 1. State Cost Report.
 - 2. Annual Mental Health Services Act (MHSA) Revenue and Expenditure Reports.
 - 3. Annual Report(s), as applicable and required by the COUNTY.
 - 4. CONTRACTOR'S Year-End Cost Report Settlement in accordance with the terms and conditions set forth in Exhibit I, if made part of this Agreement.

Such Annual Reports, numbered (1) through (3) above, and such cost report settlement, numbered (4) above, shall be prepared in accordance with generally accepted accounting principles and Federal, State and COUNTY reimbursement requirements using forms, templates and instructions provided by the COUNTY.

- B. Preparation and Submission of Annual Report(s) and CONTRACTOR'S Year-End Cost Report Settlement in Response to Termination or Cancellation of Agreement. If this Agreement is terminated or canceled prior to June 30th of any fiscal year, CONTRACTOR shall prepare and submit to COUNTY an Annual Report(s) and CONTRACTOR'S Year-End Cost Report Settlement with the COUNTY for services/activities rendered during the fiscal year(s) for which the CONTRACTOR'S applicable Report(s) is (are) outstanding and shall adhere to the terms and conditions set forth in Exhibit I, if made part of this Agreement. If Exhibit I is not a part of this Agreement, CONTRACTOR shall prepare and submit to COUNTY a cost report and any applicable reports as requested by the COUNTY.
- C. Non-submission of Annual Report(s) and CONTRACTOR'S Year-End Cost Report Settlement. Failure to submit the Annual Report(s) and/or the CONTRACTOR'S Year-End Cost Report Settlement, described in Section XIV (A), within thirty (30) calendar days after COUNTY'S applicable due date(s) is a breach of this Agreement, which entitles COUNTY, in its sole and absolute discretion, to (1) disallow claim(s) for payment for services/activities rendered during the fiscal year(s) for which the CONTRACTOR'S applicable Report(s) is (are) outstanding, (2) withhold payment(s) for reimbursements payable pursuant to Section III (A) to CONTRACTOR for the current fiscal year by COUNTY to CONTRACTOR, and/or (3) terminate this Agreement pursuant to Section IV. CONTRACTOR shall comply with Annual Report(s) and CONTRACTOR'S Year-End Cost Report Settlement requirements as set forth in Exhibit I, if Exhibit I is made part of this Agreement.
- D. Cost Report Training. CONTRACTOR shall attend a one-time mandatory cost report training provided by the COUNTY. COUNTY shall provide further training as needed and as required in accordance with changes in the State cost report requirements. CONTRACTOR shall adhere to cost report training requirements and shall comply in accordance with Exhibit I, Section III, if made part of this Agreement.

XV. ACCESS TO AND AUDIT OF RECORDS

- A. Right to Inspect Records. At any time during the term of this Agreement or after the expiration or termination of this Agreement, in accordance with Federal and State laws including, but not limited to the California Welfare and Institutions Code (WIC) Sections 14170 et seq., the COUNTY or its representative, Federal or State governments may conduct an audit, review or other monitoring procedures of the CONTRACTOR regarding the services/activities provided under this Agreement. The COUNTY or its representative, Federal or State governments shall have the right to inspect any and all books, records, and facilities maintained by CONTRACTOR

during normal business hours and without advance notice to evaluate the use of funds and the cost, quality, appropriateness, and timeliness of services.

- B. Maintenance of Records. CONTRACTOR shall maintain any and all records documenting all services set forth under this Agreement for a period of seven (7) years from the end of the fiscal year in which such services were provided or until three (3) years after final resolution of any audits, CONTRACTOR'S Year-End Cost Report Settlement, State Cost Report Settlement, or appeals, whichever occurs later. CONTRACTOR shall maintain such records in a form comporting with generally accepted accounting and auditing standards and all applicable laws.
- C. Overpayment. If the results of any audit, CONTRACTOR'S Year-End Cost Report Settlement, or State Cost Report Settlement shows that the funds paid to CONTRACTOR under this Agreement exceeded the amount due, then CONTRACTOR shall pay the excess amount to COUNTY in cash not later than thirty (30) calendar days after the COUNTY notifies the CONTRACTOR of such overpayment; or, at COUNTY'S election, COUNTY may recover the excess or any portion of it by offsets made by COUNTY against any payment(s) owed to CONTRACTOR under this or any other Agreement or as set forth in Exhibit I, if made part of this Agreement.
- D. Responsibility for Audit and/or Cost Report Settlement Exceptions. Any and all audit and/or Cost Report Settlement exceptions by COUNTY or any Federal or State agency resulting from an audit and/or Cost Report Settlement of CONTRACTOR'S performance of this Agreement, or actions by CONTRACTOR, its officers, agents, and employees shall be the sole responsibility of the CONTRACTOR.
- E. Availability of Records for Grievances and Complaints by Recipients of Service. CONTRACTOR shall ensure the availability of records for the prompt handling of grievances or complaints filed by recipients of services. Release of records shall be subject to the confidentiality provisions set forth in this Agreement.
- F. Reports. CONTRACTOR shall prepare any reports and furnish all information required for reports to be prepared by the COUNTY as may be required by the State of California or applicable law.

XVI. NON-DISCRIMINATION

- A. Non-discrimination. During the performance of this Agreement, CONTRACTOR shall not unlawfully discriminate against any person because of race, religion, color, sex, national origin, ancestry, mental or physical handicap, medical condition, marital status, age (over 40), or sexual orientation, either in CONTRACTOR'S employment practices or in the furnishing of services to recipients. CONTRACTOR shall insure that the evaluation and treatment of its employees and applicants for employment and all persons receiving and requesting services are free of such discrimination. The provision of services primarily or exclusively to such target population as may be designated in this Agreement shall not be deemed to be unlawful discrimination. In

addition, CONTRACTOR'S facility access for the disabled shall comply with § 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794).

- B. Discrimination defined. The term "discrimination," as used in this Agreement, is the same term that is used in Monterey County Code, Chapter 2.80 ("Procedures for Investigation and Resolution of Discrimination Complaints"); it means the illegal denial of equal employment opportunity, harassment (including sexual harassment and violent harassment), disparate treatment, favoritism, subjection to unfair or unequal working conditions, and/or discriminatory practice by any Monterey County official, employee or agent, due to an individual's race, color, ethnic group, sex, national origin, ancestry, religious creed, sexual orientation, age, veteran's status, cancer-related medical condition, physical handicap (including AIDS) or disability. The term also includes any act of retaliation.
- C. Application of Monterey County Code Chapter 2.80. The provisions of Monterey County Code Chapter 2.80 apply to activities conducted pursuant to this Agreement. CONTRACTOR and its officers and employees, in their actions under this Agreement, are agents of the COUNTY within the meaning of Chapter 2.80 and are responsible for ensuring that their workplace and the services that they provide are free from discrimination, as required by Chapter 2.80. Complaints of discrimination made by recipients of services against CONTRACTOR may be pursued by using the procedures established by or pursuant to Chapter 2.80. CONTRACTOR shall establish and follow its own written procedures for prompt and fair investigation and resolution of discrimination complaints made against CONTRACTOR by its own employees and agents or recipients of services pursuant to this Agreement, and CONTRACTOR shall provide a copy of such procedures to COUNTY on demand by COUNTY.
- D. Compliance with Applicable Law. During the performance of this Agreement, CONTRACTOR shall comply with all applicable Federal, State and local laws and regulations which prohibit discrimination including, but not limited to, the following:
1. California Code of Regulations, Title 9, §§ 526, 527;
 2. California Fair Employment and Housing Act, (Govt. Code § 12900, et seq.), and the administrative regulations issued thereunder, Cal. Code of Regulations, Title 2, § 7285, et seq.;
 3. California Government Code, sections 11135-11139.5 (Title 2, Div. 3, Part 1, Chap. 1, Art. 9.5) and any applicable administrative rules and regulations issued under these sections;
 4. Federal Civil Rights Acts of 1964 and 1991 (see especially Title VI, 42 U.S.C. § 2000(d), et seq.), as amended, and all administrative rules and regulations issued thereunder (see especially 45 C.F.R. Parts 80);
 5. Sections 503 and 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§ 793 and 794); all requirements imposed by the applicable HHS regulations (45 C.F.R. Part 84); and all guidelines and interpretations issued pursuant thereto;
 6. Americans with Disabilities Act of 1990, 42 U.S.C. §12101, et seq., and 47 U.S.C. §§ 225 and 611, and any Federal regulations issued pursuant thereto (see

24 C.F.R. Chapter 1; 28 C.F.R. Parts 35 and 36; 29 C.F.R. Parts 1602, 1627, and 1630; and 36 C.F.R. Part 1191);

7. Unruh Civil Rights Act, Cal. Civil Code § 51, et seq.
8. California Government Code section 12900 (A-F) and California Code of Regulations, Title 2, Division 4, Chapter 5.

In addition, the applicable regulations of the California Fair Employment and Housing Commission implementing Government Code § 12990 as set forth in Chapter 5, Division 4 of Title 2 of the California Code of Regulations are incorporated into this Agreement by reference and made a part hereof as if set forth in full.

- E. Written Assurance. Upon request by COUNTY, CONTRACTOR shall give any written assurances of compliance with the Civil Rights Acts of 1964 and 1991, the Rehabilitation Act of 1973, as amended, and the Americans with Disabilities Act of 1990, as may be required by the Federal government in connection with this Agreement, pursuant to 45 C.F.R. sec. 80.4 or C.F.R. § 84.5 or other applicable Federal or State regulations.
- F. Written Statement of Non-discrimination Policies. CONTRACTOR shall maintain a written statement of its non-discrimination policies and procedures. Such statement shall be consistent with the terms of this Agreement and shall be available to CONTRACTOR'S employees, recipients of services, and members of the public upon request.
- G. Notice to Labor Unions. CONTRACTOR shall give written notice of its obligations under this section to labor organizations with which it has a collective bargaining or other agreement.
- H. Access to Records by Government Agencies. CONTRACTOR shall permit access by COUNTY and by representatives of the State Department of Fair Employment and Housing and any Federal or State agency providing funds for this contract upon reasonable notice at any time during normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, facilities, and other sources of information as the inspecting party may deem appropriate to ascertain compliance with these nondiscrimination provisions.
- I. Binding on Subcontractors. The provisions above shall also apply to all of CONTRACTOR'S subcontractors who provide services pursuant to this Agreement. CONTRACTOR shall include the non-discrimination and compliance provisions set forth above in all its subcontracts to perform work or provide services under this Agreement.

XVII. CULTURAL COMPETENCY AND LINGUISTIC ACCESSIBILITY

- A. CONTRACTOR shall provide services in a culturally competent manner to assure access to services by all eligible individuals as required by State regulations and policies, other applicable laws, and in accordance with Exhibit E of this Agreement. Cultural competency is defined as a congruent set of practice skills, behaviors, attitudes, and policies that enable staff to work effectively in providing contractual services under this Agreement in cross-cultural situations. Specifically, CONTRACTOR'S provision of services shall acknowledge the importance of culture, adapt services to meet culturally unique needs, and promote congruent skills, behaviors, attitudes, and policies enabling all persons providing services to function effectively in cross-cultural situations.
- B. CONTRACTOR shall provide linguistically accessible services to assure access to services by all eligible individuals as required by State regulations and policies and other applicable laws. Specifically, CONTRACTOR shall provide services to eligible individuals in their primary language through linguistically proficient staff or interpreters. Family members, friends, or neighbors may be used as interpreters only in emergency situations.
- C. For the purposes of this Section, "access" is defined as the availability of medically necessary mental health services in a manner that promotes and provides the opportunity for services and facilitates their use.

XVIII. DRUG FREE WORKPLACE

CONTRACTOR shall submit to the COUNTY evidence of compliance with the California Drug-Free Workplace Act of 1990, California Government Code sections 8350, et seq., to provide a drug-free workplace by doing all of the following:

- A. Publishing a Statement notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the person's or organization's workplace and specifying the actions that shall be taken against employees for violations of the prohibitions.
- B. Establishing a drug-free awareness program to inform employees about all of the following:
 - 1. The dangers of drug abuse in the workplace;
 - 2. The person's or organization's policy of maintaining a drug-free workplace;
 - 3. Any available drug counseling, rehabilitation, and employees assistance programs;
 - 4. The penalties that may be imposed upon employees for drug abuse violations;
 - 5. Requiring that each employee engaged in the performance of the Agreement or grant is given a copy of the company's drug-free policy statement and that, as a condition of employment on the contract or grant, the employee agrees to abide by the terms of the statement.

XIX. INDEPENDENT CONTRACTOR

In the performance of work, duties, and obligations under this Agreement, CONTRACTOR is at all times acting and performing as an independent contractor and not as an employee of the COUNTY. No offer or obligation of permanent employment with the COUNTY or particular COUNTY department or agency is intended in any manner, and CONTRACTOR shall not become entitled by virtue of this Agreement to receive from COUNTY any form of employee benefits including, but not limited to sick leave, vacation, or retirement benefits, workers' compensation coverage, insurance, disability benefits, or social security benefits, or unemployment compensation or insurance. CONTRACTOR shall be solely liable for and obligated to pay directly all applicable taxes including, but not limited to, Federal and State income taxes and Social Security, arising out of CONTRACTOR'S compensation for performance of this Agreement. In connection therewith, CONTRACTOR shall defend, indemnify, and hold the COUNTY harmless from any and all liability COUNTY may incur because of CONTRACTOR'S failure to pay such taxes when due.

XX. SUBCONTRACTING

CONTRACTOR may not subcontract any services under this Agreement without COUNTY'S prior written authorization. At any time, COUNTY may require a complete listing of all subcontractors employed by the CONTRACTOR for the purpose of fulfilling its obligations under the terms of this Agreement. CONTRACTOR shall be legally responsible for subcontractors' compliance with the terms and conditions of this Agreement and with applicable law. All subcontracts shall be in writing and shall comply with all Federal, State, and local laws, regulations, rules, and guidelines. In addition, CONTRACTOR shall be legally responsible to COUNTY for the acts and omissions of any subcontractor(s) and persons either directly or indirectly employed by subcontractor(s).

XXI. GENERAL PROVISIONS

- A. Amendment. This Agreement may be amended or modified only by an instrument in writing signed by all the parties hereto.
- B. Assignment and Subcontracting. The CONTRACTOR shall not assign, sell, or otherwise transfer its interest or obligations in this Agreement, either in whole or in part, without the prior written consent of the COUNTY. None of the services covered by this Agreement shall be subcontracted without the prior written approval of the COUNTY. Any assignment without such consent shall automatically terminate this Agreement. Notwithstanding any such subcontract, CONTRACTOR shall continue to be liable for the performance of all requirements of this Agreement.

- C. Authority. Any individual executing this Agreement on behalf of an entity represents and warrants hereby that he or she has the requisite authority to enter into this Agreement on behalf of such entity and bind the entity to the terms and conditions of the same.
- D. Compliance with Applicable Law. The parties shall comply with all applicable Federal, State, and local laws and regulations in performing this Agreement.
- E. Conflict of Interest. CONTRACTOR represents that it presently has no interest and agrees not to acquire any interest during the term of this Agreement, which would directly or indirectly conflict in any manner or to any degree with the full and complete performance of the professional services required to be rendered under this Agreement.
- F. Construction of Agreement. The parties agree that each party has fully participated in the review and revision of this Agreement and that any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply in the interpretation of this Agreement or any amendment hereto.
- G. Contractor. The term "CONTRACTOR" as used in this Agreement includes CONTRACTOR'S officers, agents, and employees acting on CONTRACTOR'S behalf in the performance of this Agreement.
- H. Counterparts. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same Agreement.
- I. Disputes. CONTRACTOR shall continue to perform under this Agreement during any dispute.
- J. Governing Law. This Agreement shall be governed by and interpreted under the laws of the State of California.
- K. Headings. The section and paragraph headings are for convenience only and shall not be used to interpret the terms of this Agreement.
- L. Integration. This Agreement, including the exhibits hereto, shall represent the entire Agreement between the parties with respect to the subject matter hereof and shall supersede all prior negotiations, representations, and/or agreements, either written or oral, between the parties as of the effective date hereof.
- M. Non-exclusive Agreement. This Agreement is non-exclusive and both parties expressly reserve the right to contract with other entities for the same or similar services.

- N. Severability. In the event of changes in law that effect the provisions of this Agreement, the parties agree to amend the affected provisions to conform to the changes in the law retroactive to the effective date of such changes in law. The parties further agree that the terms of this Agreement are severable and, in the event of changes in law as described above, the unaffected provisions and obligations of this Agreement shall remain in full force and effect.
- O. Successors and Assigns. This Agreement and the rights, privileges, duties, and obligations of the COUNTY and CONTRACTOR under this Agreement, to the extent assignable or delegable, shall be binding upon and insure to the benefit of the parties and their respective successors, permitted assigns, and heirs.
- P. Time is of the essence. Time is of the essence in each and all of the provisions of this Agreement.
- Q. Waiver. Any waiver of any terms and conditions of this Agreement must be in writing and signed by the parties hereto. A waiver of any of the terms and conditions of this Agreement shall not be construed as a waiver of any other terms or conditions in this Agreement.

XXII. NOTICES AND DESIGNATED LIAISONS

Notices to the parties in connection with this Agreement may be given personally or may be delivered by certified mail, return receipt requested, addressed to:

COUNTY OF MONTEREY

Amie Miller, MFT, Psy.D.
Behavioral Health Director
1270 Natividad Road
Salinas, CA 93906
(831) 755-4509

CONTRACTOR

Barbara Mitchell, MSW
Executive Director
P.O. Box 3222
Monterey, CA 93942
(831) 649-4522

IN WITNESS WHEREOF, COUNTY and CONTRACTOR have executed this Agreement as of the day and year written below.

COUNTY OF MONTEREY

By: _____
Contracts/Purchasing Officer

Date: _____

By: _____
Department Head (if applicable)

Date: _____

By: _____
Board of Supervisors (if applicable)

Date: _____

Approved as to Form¹

By: _____
Deputy County Counsel

Date: 6/7/2016

Approved as to Fiscal Provisions²

By: _____
Auditor/Controller

Date: 6/7/16

Approved as to Liability Provisions³

By: _____
Risk Management

Date: _____

CONTRACTOR – INTERIM, INC.

Interim, Inc.
Contractor's Business Name*

By: _____
(Signature of Chair, President,
or Vice-President)*

Barbara L. Mitchell
Name and Title
Exec. Director
5/31/16

By: _____
(Signature of Secretary, Asst. Secretary,
CFO, Treasurer or Asst. Treasurer)*
Pali Weerasakera
Director of Finance
Name and Title

Date: 5/31/16

County Board of Supervisors' Agreement Number: _____

*INSTRUCTIONS: IF CONTRACTOR is a corporation, including limited liability and non-profit corporations, the full legal name of the corporation shall be set forth above together with the signatures of two specified officers. If CONTRACTOR is a partnership, the name of the partnership shall be set forth above together with the signature of a partner who has authority to execute this Agreement on behalf of the partnership. If CONTRACTOR is contracting in an individual capacity, the individual shall set forth the name of the business, if any, and shall personally sign the Agreement.

¹Approval by County Counsel is required; if Agreement is \$100,000 and less approval by County Counsel is required only when modifications are made to any of the Agreement's standardized terms and conditions

²Approval by Auditor-Controller is required ³Approval by Risk Management is necessary only if changes are made in Sections XI or XII

Mental Health Services Agreement

Interim Inc.

FY 2016-17 through FY 2018-19

EXHIBIT A

PROGRAM DESCRIPTION; COMMUNICATION AND COORDINATION BETWEEN CONTRACTOR AND COUNTY; REPORTING REQUIREMENTS

A. PROGRAM DESCRIPTION

CONTRACTOR acknowledges all programs providing mental health treatment services will be provided based on medical necessity criteria, in accordance with an individualized Client Plan, and approved and authorized according to State of California requirements. All individuals served in these programs, with the exception of the following programs: TWELVE through EIGHTEEN and TWENTY must meet the criteria of a serious mental illness diagnosis and have a functional impairment that is temporary and reversible with therapeutic mental health interventions.

PROGRAM ONE:

1. **Program Name:** Manzanita House

2. **Program Description:**

| | |
|----------------------------|---|
| Type of Facility: | Short term Adult Crisis Residential |
| Address of Delivery Site: | 200 Casentini Street, Salinas, CA 93907 |
| Program Schedule: | Provides 24 hour care, 7 days a week. Intake shall be on a 24 hour basis with all County referrals made by Monterey County Behavioral Health Bureau (MCBHB) designated staff and Interim Case Coordinators. |
| Continued Stay Criteria: | Any extension of care beyond 30 days requires authorization from the Behavioral Health Director or designee. No consumer may stay longer than 90 days. |
| Total # of Beds Available: | 15 |
| Target # of Consumers: | 200+ Annually |

Manzanita House ("Manzanita") is a short term crisis residential treatment program which offers community-based rehabilitative services in a non-institutional residential setting with a structured program. Manzanita is an alternative to inpatient psychiatric care for adult clients of the Monterey County Behavioral Health System experiencing an acute psychiatric episode or crisis who do not require in-patient psychiatric treatment and who do not have medical complications requiring nursing care. The

program and facility are licensed by the State of California, Department of Social Services Community Care Licensing (CCL) as a “Social Rehabilitation Facility” and are certified by the Department of Health Care Services as a short-term Crisis Residential Treatment Service Facility. Interventions concentrating on symptom reduction and medication and functional stabilization are the primary focus. Service activities include behavioral health assessment, behavioral health treatment and discharge plan development, individual and group counseling, as well as development of a community support system. Psychiatric services are provided by MCBHB.

3. **Program Purpose**

This community-based short-term crisis residential program is an alternative to in-patient hospitalization. Manzanita focuses on stabilization and referrals for further treatment or stabilization to ease the transition into community living. All MCBH referrals will be offered an assessment for program admission.

4. **Desired Results**

Crisis residential services are therapeutic and/or rehabilitation services that are provided in a 24-hour residential treatment program for individuals experiencing an acute psychiatric episode or crisis, and who do not present criteria for inpatient acute psychiatric care. The program supports individuals in their efforts to restore, maintain and apply interpersonal and independent living skills, and access to community support systems.

5. **What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)**

Evidenced Based Practices: Motivational Interviewing, Seeking Safety, Wellness Recovery Action Plan (WRAP) and Trauma-Informed approaches. Licensed/licensed eligible staff also provides Cognitive Behavioral Therapy.

| Goal | Measurement & Data Source |
|---|--|
| 1. 75% of clients surveyed will increase their ability to manage symptoms in order to improve their self-sufficiency. | <ul style="list-style-type: none"> • Counselors will monitor and document goal completion in Treatment Plans and progress notes (Avatar EMR). • Staff will implement pre and post “Recovery Assessment Scale – Short Form” self-assessment surveys. Respondents will have an aggregate score of 75% or higher by time of exit demonstrating that they agree or strongly agree with statements. • APD will track group attendance. |
| 2. 80% of clients will be satisfied with Manzanita | <ul style="list-style-type: none"> • Survey results will reflect an average of positive responses (agree - strongly agree) to program |

| services. | services questions. |
|--|---|
| 3. 75% will discharge to a lower level of care. | <ul style="list-style-type: none"> Measured by Exit Data. (Lower level of care is anything except in-patient psych, homeless or jail.) |
| 4. 25% of clients or less with unplanned discharge and reason for leaving. | <ul style="list-style-type: none"> Measured by staff notation of abrupt leaving program or return to a higher level of care. |

6. Who are the partners involved in program implementation?

MCBHB Medical Director or designee provides medical consultation to nursing staff at the facility. MCBHB also provides psychiatric services for all residents of Manzanita.

7. What is the eligibility criteria for admission to the program?

- Priorities for admission are those clients from a higher level of care such as inpatient Mental Health Unit or an IMD.
- Financial Eligibility: Short-Doyle/Medi-Cal eligible or based on referral from MCBHB or from Interim Inc. case coordinators.
- Ambulatory adults 18 years of age and older with acute to moderate level of impairment but do not meet 5150 criteria that are under conservatorship or under voluntary terms. A maximum of two non-ambulatory residents with assistive devices and three clients age 60 and over at any time as per CCL restrictions.
- Adults with DSM V serious mental illness Diagnostic Categories including but not limited to: schizophrenia, bipolar disorders, schizoaffective disorders, mental health disorders that substantially interfere with the person's functional ability to carry out primary aspects of daily living in the community
- All clients must meet the general DSS Community Care Licensing, and DHCS requirements for health and safety.

When a client is referred, and staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Interim program staff will collaborate with MCBHB case coordinators to create an alternative referral plan for appropriate services that may include other Interim program services.
- Discharge is when clients are no longer meeting medical necessity, i.e. client has been restored to his/her prior level of functioning prior to the crisis.
- Length of stay depends on the client's functional stability for community living.
- Maximum length of stay is 30 days without additional MCBHB authorization to ensure successful completion of treatment plan.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

MCBHB refers all clients. Interim, Inc. serves economically disadvantaged populations who meet the standards for no/low-income status or are Short-Doyle/Medi-Cal eligible.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individual residents. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary, and eligible admissions/assessments are available 24/7. Admissions are based on most-in-need versus first on waiting list based on MCBHB evaluation.

Input from consumers is provided through the consumer run Recovery Taskforce. Interim offers support team meetings that include family and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity is 15, and annual number to be served is approximately 200.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|----------------------------|--|---|
| Deputy Director | .18 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance | .1 FTE | MA, Licensed Clinical Psychologist, |

| | | |
|---|----------|--|
| Manager | | Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .1 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .125 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | 1 FTE | B.A. & 4 years' experience. 2 years' supervisory experience. Preferred: M.A. and clinical license or BBS registered intern. |
| Assistant Program Director | 1 FTE | B.A & 2 years' experience |
| Clinical Specialist | 1 FTE | M.A. & Clinical License (LCSW, MFT, Psychologist or RN). At least 4 years' experience. |
| Psychiatric Technician (or Licensed Vocational Nurse) | .6 FTE | LVN/LPT required. Must have at least 1 year psychiatric nursing experience. |
| Nurse | .8 FTE | RN, LVN/LPT required. At least 1 year psychiatric nursing experience. |
| Substance Abuse Specialist | .225 FTE | CADCII, or MFT, LCSW, RN. 2 years' experience (minimum). |
| Counselor II | 6 FTE | High School Diploma or GED required. 2 years' experience. B.A. in related field may be substituted for experience. |
| Counselor 1B | 1 FTE | High School Diploma. 2 years' experience. B.A. in related field may be substituted for experience. |
| Overnight Counselor (I-C) | 3.3 FTE | High School Diploma/GED & 2 years' experience. |
| Relief Counselor | 1.42 FTE | High School diploma/GED, 1 year experience or BA substituted for experience. |

PROGRAMS TWO & THREE

1. **Program Names:** Bridge House Dual Diagnosis Program Residential and Full Day, Day Rehabilitation Program (The Academy)

2. Program Descriptions:

| | |
|----------------------------|---|
| Type of Facility: | 24-Hour Adult Transitional Residential Treatment |
| Address of Delivery Site: | 343 Dela Vina Ave, Monterey, CA 93940 |
| Program Schedule: | Provides residents 24 hour care, 7 days a week. Intake will be pre-arranged by appointment. The Day Rehabilitation Program operates Monday through Friday, 5 hours of therapeutic groups offered per day. |
| Limitation of Service | Consumers may receive up to 6 months of transitional residential treatment. |
| Continued Stay Criteria: | Any extension beyond the 6 months requires authorization by the Monterey County Behavioral Health Bureau Director or designee. |
| Total # of Beds Available: | 13 beds and slots in Day Rehabilitation Program. Clients must be enrolled in the Bridge House Residential Treatment Program in order to be enrolled in the Day Rehabilitation Program. |
| Target # of Consumers: | 26+ |

A. Residential

Bridge House ("Bridge") is a transitional residential treatment program for adults with co-occurring serious mental illnesses and substance use disorders. Staff utilize Motivational Interviewing in providing counseling services and other activities. Clients' goals are focused mental health wellness and substance use recovery principles. Clients work to improve symptom management, personal, social and family functioning, and gain substance use recovery skills. The program is licensed by the California Dept. of Social Services, Community Care Licensing as a social rehabilitation facility and certified by the Department of Healthcare Services for transitional residential treatment. Clients are referred by the Monterey County Behavioral Health Bureau or by Interim Inc. Case Coordinators.

B. Full Day, Day Rehabilitation

The Bridge Wellness & Recovery Academy (The Academy) is certified by the State of California, Department of Healthcare Services as a Day Rehabilitation Program, serving consumers with serious mental illnesses and substance use disorders. Program services include skills building groups, group

therapy, community meetings, process groups, therapeutic milieu, service plan development, community outings, and adjunctive therapies.

3. Programs' Purpose

Transitional residential services for individuals with dual diagnosis in non-institutional residential setting where consumers are supported in their efforts to stabilize their psychiatric symptoms while restoring, maintaining, and applying interpersonal and skill building techniques are more cost efficient, and more effective in helping clients transition to being productive community members than institutional alternatives. Bridge's transitional residential treatment program provides a therapeutic/wellness and recovery community including a range of activities and services for consumers who would be at risk of hospitalization or other more restrictive living settings if they were not in a transitional residential program.

The Bridge Day Rehabilitation program (The Academy) uses wellness and recovery principles to develop the coping and recovery skills needed to successfully reintegrate into the community. It provides evaluation, rehabilitation, and mental health services to maintain or restore personal independence and functioning consistent with requirements for learning and development.

4. Desired Results

Bridge House assists consumers with developing the recovery, interpersonal, and independent living skills needed to successfully reintegrate into the community. The program also focuses on developing a personal community support system and to minimize recidivism. Staff help clients work through relapse, return to treatment programs, and learn from the relapse experience.

5. What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)

Evidenced Based Practice: Motivational Interviewing, Seeking Safety, Wellness Recovery Action Plan (WRAP), Trauma-Informed approaches, and Cognitive Skills for Relapse Prevention in Criminal Behavior. Licensed/licensed eligible staff provides Cognitive Behavioral Therapy and Dialectical Behavioral Therapy.

Bridge Residential

| Goal | Measurement & Data Source |
|---|---|
| 1. 70% of all consumers will obtain stable housing upon discharge from the program, e.g. group housing, sober living environment, independent | <ul style="list-style-type: none">Discharge summary information based on client, Case Coordinator, and staff reports. Staff will maintain data spreadsheet. |

| | |
|---|---|
| housing, interdependent housing including family, or board and care facility. | |
| 2. 85% of clients will use substance use relapse prevention skills rather than alcohol and other drugs to cope with symptoms, emotions or life experiences. | <ul style="list-style-type: none"> Measured by clients' use of skills. Data on substance use obtained from results of regular urinalysis testing. Testing results log, staff observations and clients' self-reports as documented in Avatar/EMR. |
| 3. 80% of clients admitted during the FY will eliminate all psychiatric hospitalizations while receiving services from the program or upon exit. | <ul style="list-style-type: none"> Measured by hospitalization data records. Tracking data spreadsheet to include data from Avatar/EMR, client self-report. Also, measured by Case Coordinator report and medication records, tracking medication compliance and non-compliance. |
| 4. 100% of clients will attain a Primary Care Physician (PCP) within 3 months of admission and will meet with their PCP as needed. | <ul style="list-style-type: none"> Client's participation in PCP care. Data will be obtained from staff documentation in clients' EMR. Staff will document appointments on calendar. |
| 5. 90% of clients will attend at least 3 AA/NA/DRA meetings per week, while in the program. | <ul style="list-style-type: none"> Measured as documented by meeting attendance cards located in each client's chart. |

Bridge Day Rehabilitation (The Academy)

| Goal | Measurement & Data Source |
|--|--|
| 1. Upon discharge, 85% of clients will demonstrate knowledge and skills in mental health and substance use recovery, family support and how to work with family systems (as specified in service plan goals) in order to maintain a healthy support system in the community. | <ul style="list-style-type: none"> Measured by staff observations of clients' progress toward Service Plan goals. Staff will document clients' progress in clients' EMR/Avatar. |
| 2. 50% of clients exiting the program will have an individual Wellness Recovery Action plan (WRAP) completed in EMR. | <ul style="list-style-type: none"> WRAP will be documented in client progress notes in Avatar. |
| 3. 80% of all Bridge House | <ul style="list-style-type: none"> Measured by hospitalization data records. Tracking |

| | |
|---|---|
| Day Program consumers discharged during the FY will reduce all psychiatric hospitalizations for a period of one year from time of admittance. | data spreadsheet to include data from Avatar/EMR, client self-report. Also measured by Case Coordinator report and medication records, tracking medication compliance and non-compliance. |
|---|---|

6. Who are the partners involved in program implementation?

MCBHB Medical Director or her/his designee provides medical consultation to nursing staff at the facility.

7. What is the eligibility criteria for admission to these programs? When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Financial Eligibility: Short-Doyle/Medi-Cal eligible, or meet the standards for low-income status, or referral by MCBHB.
- Referral through Interim Case Coordinators and MCBHB Service Coordinators with admission approval by Interim, Inc. staff. Referrals from other community providers will be approved by the Deputy Director of Adult System of Care. Program staff will assess consumers for appropriateness to the level of care, for compatibility with other residents, and safety.
- All clients must meet the general DSS Community Care Licensing, and DHCS requirements for health and safety.
- The populations to be served are adults with major psychiatric disabilities age 18 and older who have a substance abuse disorder diagnosis and who require support to acquire and apply coping, recovery, interpersonal, and independent living skills to function in the community.
- DSM V and Axis I Diagnostic Categories for both serious mental illness and substance abuse disorder. Includes: schizophrenia, bipolar disorders, schizoaffective disorders, and serious mental illness that substantially interferes with the person's ability to carry out primary aspects of daily living in the community.
- Consumers must reside in Bridge's Residential Program to be included in the Day Rehabilitation Program.
- Program staff will collaborate with case coordinators to create an alternative referral plan for appropriate services.
- Discharge is when clients are no longer meeting medical necessity.
- Length of stay depends on medical necessity and ability to place clients into appropriate discharge placements.

- Maximum length of stay is 6 months without additional MCBHB authorization to ensure successful completion of treatment plan.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

MCBHB refers all clients. Interim serves economically disadvantaged populations who meet the standards for low-income status or are Short-Doyle/Medi-Cal eligible.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individuals seeking services. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary, and eligible admission/assessment is available by appointment. Admissions are based on readiness for change versus first on waiting list based on MCBHB evaluation.

Input from consumers is provided through the consumer run Recovery Taskforce as well as resident council and resident community meetings. Interim offers support team meetings that include family members and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity is 13 beds as well as 13 clients in the Day Rehabilitation program. The annual number to be served is 26+ individuals.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

Bridge Residential

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|--|
| Deputy Director | .12 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .1 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .1 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .0625 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .375 FTE | B.A. & 4 years' experience. 2 years' supervisory experience. |
| Assistant Program Director | .625 FTE | B.A. & 4 years' experience or a M.A. with 2 years' experience. |
| Nurse | .4 FTE | RN, LVN, or Psych Tech. 1 year psychiatric nursing experience. |
| Counselor II | 2.75 FTE | High School Diploma or GED required. 2 years' experience. B.A. in related field may be substituted for experience. |
| Counselor 1B | .825 FTE | High School Diploma/GED required. 2 years' experience. B.A. in related field may be substituted for experience. |
| Counselor 1C | 1.65 FTE | High School Diploma/GED required. 2 years' experience. B.A. in related field may be substituted for experience. |
| Wellness Navigator | .5 FTE | High School Diploma/GED Direct experience as a consumer of public or private mental health services; completion of Human Services Certification, or Basic Skills Curriculum for the Mental Health Workforce, or Peer |

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| | | to Peer Certificate. |
| Relief Counselor | .62 FTE | High School diploma/GED, 1 year experience or BA substituted for experience. |

Bridge Day Rehabilitation (The Academy)

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|---|
| Deputy Director | .04FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .05 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .05 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .025 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .375 FTE | B.A. & 4 years' experience in mental health. 2 years supervisory experience. |
| Assistant Program Director | .375 FTE | B.A. & 4 years' experience or a M.A. with 2 years' experience. |
| Day Program Coordinator | 1 FTE | License or license eligible M.A. in mental health related field: (Licensed Clinical Social Worker or Marriage and Family Therapist). 4 years' of experience in counseling and/or mental health related field. |
| Counselor II | 1 FTE | A.A. degree with 6 years' experience, or BA with 4 years' experience, or MA with 2 years' experience. |
| Relief Counselor | .04 FTE | High School diploma/GED, 1 year |

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| | | experience or BA substituted for experience. |
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PROGRAM FOUR:

1. **Program Name:** Community Housing

2. **Program Description:**

Address of Delivery Sites: Casa de Perla, Monterey, CA
 Casa de Los Robles, Monterey, CA
 Dela Vina (Horizons), Monterey, CA
 Pearl Street Apartments, Monterey, CA
 Acacia House, Salinas, CA
 California House, Salinas, CA
 Casa de Paloma, Salinas, CA
 Catalyst Apartments, Salinas, CA
 Mariposa Apartments Salinas, CA
 MCHOPE scattered-site apartments
 other potential locations that may be developed

Program Schedule: Typically Monday through Friday, 8:00 a.m. to 5:00 p.m.
 Staff are on-call and available via cellphone for emergencies. Staff schedule may vary based upon consumers' needs.

Limitation of Service: For some Community Housing locations, there are income limitations and individuals must meet the criteria of being homeless as defined by current HUD regulations.

Target # of Consumers: One hundred (100+) consumers

Community Housing is a permanent supportive housing program, which provides 100+ affordable housing placements for community independent living for adults with serious and persistent, long term psychiatric disabilities. These placements are provided as individual apartments and/or cooperative group housing units. Interim, Inc. provides case coordination, case management, crisis intervention, and mental health treatment services for residents in all the supported housing programs in accordance with state guidelines established under the rehabilitation option.

3. **Program Purpose**

Community Housing provides mental health services and permanent supportive housing to low income individuals with a serious and long term psychiatric disability. Mental health services are interventions

designed to minimize disability and maximize the restoration or maintenance of functioning consistent with the requirements for learning, development, independent living, and enhancing self-sufficiency.

4. **Desired Results**

The primary public health benefit is providing and assisting low income individuals with serious psychiatric disabilities to maintain safe, affordable, supportive permanent housing. This prevents people from homelessness or institutional placement, and improves their quality of life. Federal law requires public mental health systems to provide services in integrated community settings, and Permanent Supportive Housing is a proven approach for doing so. It presents an alternative to hospitals, shelters, and other settings that segregate people by disability, such as nursing homes, board and care homes, and other residential care facilities, in which residency is tied to receiving the particular services the facility offered. Once an individual achieves a higher level of recovery and no longer meets the medical necessity criteria, only with resident consent, Interim will work on locating other sources of permanent housing.

5. **What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)**

Evidenced Based Practice: Motivational Interviewing, Wellness Recovery Action Plan (WRAP), Trauma-Informed approaches, and Permanent Supportive Housing. Licensed/licensed eligible staff provides Cognitive Behavioral Therapy and Dialectical Behavioral Therapy.

| Goal | Measurement & Data Source |
|--|--|
| 1. 90% of the residents will maintain or improve their mental health recovery. | <ul style="list-style-type: none"> Measured at entry, annually, and at exit thereafter via the "Milestones of Recovery Scale" assessment. Complementary Data source: Clients' EMR/Avatar as related to mental health, and monthly QA Reports. |
| 2. 90% of residents will meet with a PCP at least annually. | <ul style="list-style-type: none"> Measured by staff observations and clients' self-reports of engagement in primary care physician appointments. Data source: Data collected in Excel Worksheet and documented in EMR/Avatar as related to mental health needs. |
| 3. 80% of clients will report satisfaction with the quality of services. | <ul style="list-style-type: none"> Outcome measured by client self-report via survey instrument conducted twice per year. Survey respondents will answer strongly agree or agree to questions relating to program satisfaction. |

6. Who are the partners involved in program implementation?

Interim works with the County of Monterey Housing Authority to provide Section 8 housing subsidies for units when possible. Interim administers other rent subsidies through a HUD funded program.

7. What is the eligibility criteria for admission to the program?

- Financial Eligibility: Short-Doyle/Medi-Cal eligible or meet the standards for low-income status.
- Referral through Interim Case Coordinators or MCBHB Service Coordinators with admission approval by Interim, Inc. staff.
- The populations to be served are adults with major psychiatric disabilities and transition age youth age 18 and older who have mental health disorders that substantially interfere with their functional ability to carry out primary aspects of daily living in the community and are receiving psychiatric services through MCBHB or a designee. Upon discharge from MCBHB services or Interim, rehabilitative mental health and case management services will be terminated. However, housing may not be terminated except as allowed under the lease.
- Housing eligibility is governed by funding sources regulatory agreements; some housing is limited to people with specific income levels. Each property has specific income and asset limitations.

Some properties have specific limitations related to criminal records of applicants or rental history.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Program staff will collaborate with case coordinators to create an alternative referral plan for appropriate services.
- Clients aren't involuntarily discharged from housing unless they violate their lease agreement. Anticipated length of service doesn't pertain to permanent supportive housing.
- Clients who no longer need this level of care of supportive housing are encouraged and assisted with discharge plans into available affordable housing in the community.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

MCBHB refers all clients. Interim serves economically disadvantaged populations who meet the standards for low-income status or are Short-Doyle/Medi-Cal eligible. The program addresses one of the top goals of the Monterey County Lead Me Home 10 year Plan by providing affordable permanent supportive housing. Interim is the only provider of permanent supportive housing for adults with mental illness in Monterey County.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individuals seeking services. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary, and staff are available on-call 24-hours a day to provide emergency support.

Input from residents is provided through the consumer run Recovery Taskforce as well as resident council and resident community meetings. Interim offers support team meetings that include family members and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB and other providers in the area.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity and annual number to be served in housing is 103 clients. Clients transitioning out will continue to be served by coordinators for approximately one month. There are approximately five clients at any given time that transition out.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|---|
| Deputy Director | .07 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .11 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .11 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional |

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| | | Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .144 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .7 FTE | B.A. & 4 years' experience, M.A. degree & 2 years' supervisory experience. |
| Assistant Program Director | 1 FTE | B.A. & 2 years' experience. |
| Case Coordinators | 2 FTE | M.A. & Clinical License or license eligible (LCSW, MFT, or Psychologist). 2 years' experience (minimum). |
| Counselor IIs | 3.2 FTE | High School Diploma or GED required. 2 years' experience. B.A. in related field may be substituted for experience. |
| Relief Counselor | .13 FTE | High School diploma/GED, 1 year experience or BA substituted for experience. |

PROGRAM FIVE:

1. **Program Name:** Sandy Shores

2. **Program Description:**

Address of Delivery Sites: Sandy Shores, Marina, CA

Program Schedule: Typically Monday through Friday, 8:00 a.m. to 5:00 p.m. Staff are on-call and available via cellphone for emergencies. Staff schedule may vary based upon consumers' needs.

Limitation of Service: There are income limitations and individuals must meet the criteria of being homeless as defined by HUD regulations. Half the residents must have incomes under 20% AMI and half under 30% AMI.

Target # of Consumers: 28 consumers

Sandy Shores is a permanent supportive housing program, which provides affordable housing for 28 very low-income individuals all of whom are homeless and have a serious mental health diagnosis that substantially interferes with their functional ability to carry out primary aspects of daily living in the

community. All individuals receive case management, crisis intervention, mental health services, and housing services in an effort to assist individuals to live in the community.

3. Program Purpose

Sandy Shores provides mental health services and permanent supportive housing to individuals with a psychiatric disability who are homeless according to HUD guidelines. Mental health services are interventions designed to minimize mental disability and maximize the restoration or maintenance of functioning consistent with the requirements for learning, development, independent living, and enhancing self-sufficiency. Mental Health services are designed to help residents to live successfully in the community.

4. Desired Results

Federal law requires public mental health systems to provide services in integrated community settings, and Permanent Supportive Housing is a proven approach for doing so. It presents an alternative to hospitals, shelters, and other settings that segregate people by disability, such as nursing homes, board and care homes, and other residential care facilities, in which residency is tied to receiving the particular services the facility offered.

The flexibility of support services offered by Permanent Supportive Housing improves residential stability by allowing tenants to remain housed in the same home as their service needs change.

5. What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)

Evidenced Based Practice: Motivational Interviewing, Trauma-Informed approaches, and Wellness Recovery Action Plan (WRAP). Licensed/licensed eligible staff provides Cognitive Behavioral Therapy.

| Goal | Measurement & Data Source |
|---|--|
| 1. 80% of residents will remain housed at Sandy Shores as of the end of the operating year or exit to other permanent housing destinations during the operating year. | <ul style="list-style-type: none">Outcomes will be measured by number of clients remaining housed or exiting to other permanent housing as indicated by HMIS data. |
| 2. 80% of clients served will maintain or improve their mental health recovery. | <ul style="list-style-type: none">Measured at entry, annually, and at exit thereafter via the "Milestones of Recovery Scale" assessment. Complementary Data source: Clients' EMR/Avatar as related to mental health, and monthly QA Reports. |
| 3. 80% of clients with co- | <ul style="list-style-type: none">Outcomes will be measured by recovery meeting |

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| occurring substance use disorders (SUD) will develop resources to manage dual recovery (mental health symptoms and substance use recovery). | attendance obtained from clients self-report, Case Coordinator report, and staff observations. • Data will be documented in clients EMR as related to progress toward treatment plan goals. |
| 4. 80% of residents will maintain or increase their total income, (from all sources), as of the end of the operating year or at project exit. | • Outcome measured by financial verification from HUD and client self-report. Data entered into HMIS. |
| 5. 25% of clients will participate in community programs, social support programs, peer operated wellness and recovery programs, vocational training/volunteer work or will gain employment or attend school. | • Outcome measured by number participating in various programs or employed as reported in HMIS and client's EMR as progress toward mental health related goals. • Data source: Clients' self-report, Case Coordinator and counselor reports. Spreadsheet and EMR as related to mental health needs. |
| 6. 90% of clients will meet with their PCP at least annually. | • Measured by staff observations and clients' self-reports of engagement in primary care physician appointments. • Data source: Data collected in Excel Worksheet and documented in EMR/Avatar as related to mental health needs. |

6. Who are the partners involved in program implementation?

Interim collaborates with the Coalition of Homeless Service Providers as well as the HUD CoC program.

7. What is the eligibility criteria for admission to the program?

- Financial Eligibility: Short-Doyle/Medi-Cal eligible, and meet the standards for HUD homeless status and income limitations as defined by the project funding sources (50% of residents must have income under 20% AMI and 50% under 30% AMI.)
- Referral through HMIS SPDAT score, Interim Case Coordinators, and MCBHB Service Coordinators with admission approval by Interim, Inc. staff.
- The populations to be served are adults with major psychiatric disabilities and transition age youth age 18 and older who have mental health disorders that substantially interfere with their functional

ability to carry out primary aspects of daily living in the community and are receiving psychiatric services through MCBHB or a designee. Upon discharge from MCBHB services or Interim, rehabilitative mental health and case management services will be terminated. However, housing may not be terminated except as allowed under the lease.

- Housing eligibility is governed by funding sources regulatory agreements.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Program staff will collaborate with case coordinators to create an alternative referral plan for appropriate services.
- Clients aren't involuntarily discharged from housing unless they violate their lease agreement. Anticipated length of service doesn't pertain to permanent supportive housing.
- Clients who no longer need this level of care of supportive housing are encouraged and assisted with discharge plans into available affordable housing in the community.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

MCBHB or Interim Inc. case coordinators refer all clients. Interim serves economically disadvantaged populations who meet the standards for HUD's definition of homeless or are Short-Doyle/Medi-Cal eligible. The program addresses one of the top goals of the Monterey County 10 year Homeless Plan, Lead Me Home, by providing affordable permanent supportive housing. Interim is the only provider of permanent supportive housing for adults with mental illness in Monterey County.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individuals seeking services. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary, and staff are available on-call 24-hours a day to provide emergency support.

Input from residents is provided through the consumer run Recovery Taskforce as well as resident council and resident community meetings. Interim offers support team meetings that include family

members and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity and annual number to be served is 28 clients. Clients transitioning out will continue to be served by coordinators for approximately one month.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|--|
| Deputy Director | .05 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .04 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .04 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .025 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .5 FTE | B.A. & 4 years' experience. 2 years' supervisory experience. |
| Assistant Program Director | .5 FTE | B.A. & 4 years' experience or a M.A. with 2 years' experience. |
| Case Coordinator | .6 FTE | M.A. & Clinical License or license eligible (LCSW, MFT, or Psychologist). 2 years' experience (minimum). |
| Counselor IIs | 1.6 FTE | High School Diploma or GED required. |

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| | | 2 years' experience. B.A. in related field may be substituted for experience. |
| Community Support Worker | .25 FTE | Direct experience as a consumer of public or private mental health services. Preferred: High School Diploma/GED. |

PROGRAM SIX:

1. Program Name: Shelter Cove

2. Program Description:

Address of Delivery Sites: Shelter Cove, Marina, CA

Program Schedule: Typically Monday through Friday 8am to 7pm, and Saturday through Sunday 11am to 7pm. Resident Manager provides coverage on an on-call basis 7 days a week from 8pm to 8am. Staff are on-call and available via cellphone for emergencies. Staff schedule may vary based upon consumers' needs.

Limitation of Service: Transitional housing limited to 2 year stay. There are income limitations and individuals must meet the criteria of being homeless as defined by HUD regulations. This program provides transitional housing in individual bedrooms in two and four bedroom units. Residents have individual leases and share the common areas of the units. The project also provides dinner meal service daily.

Target # of Consumers: 36 consumers at a given time, approximately 70 served/year.

Shelter Cove is a supported transitional housing program, which provides housing to 36 very low-income individuals all of whom are homeless, and have a serious mental health diagnosis that substantially interferes with their functional ability to carry out primary aspects of daily living in the community. All individuals receive case management, crisis intervention, mental health services and housing services in an effort to help residents learn the skills they will need to successfully transition to independent living. The program's philosophy is based on the Social Rehabilitation Model.

3. Program Purpose

The Shelter Cove program is designed for individuals who are incapable of living completely independently and who need transitional affordable housing with support services in order to live successfully in the community. The program focuses on helping individuals learn the skills necessary to move into more independent housing. Mental health services are interventions designed to minimize

disability and maximize the restoration or maintenance of functioning consistent with the requirements for learning, development, independent living, and enhancing self-sufficiency.

4. **Desired Results**

The primary public health benefit is providing clients with case management services which help the clients to develop goals that improve their life in areas of health, education, employment, daily living skills in order to help them prepare for independent living. Federal law requires public mental health systems to provide services in integrated community settings, and Permanent and Transitional Supportive Housing is a proven approach for doing so. It presents an alternative to hospitals, shelters, and other settings that segregate people by disability, such as nursing homes, board and care homes, and other residential care facilities, in which residency is tied to receiving the particular services the facility offered.

5. **What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)**

Evidenced Based Practice: Motivational Interviewing, Seeking Safety, Trauma-Informed approaches, and Wellness Recovery Action Plan (WRAP). Licensed/licensed eligible staff provides Cognitive Behavioral Therapy

| Goal | Measurement & Data Source |
|--|---|
| 1. 80% of the clients discharging from the program will exit to permanent housing. | <ul style="list-style-type: none"> Measured by the number of clients exiting into permanent housing upon discharge. Data from HMIS. |
| 2. 60% of clients served will maintain or improve their mental health recovery. | <ul style="list-style-type: none"> Measured at entry, annually, and at exit thereafter via the "Milestones of Recovery Scale" assessment. |
| 3. 75% of clients will have an individual Wellness and Recovery Action Plan (WRAP) completed in EMR. | <ul style="list-style-type: none"> WRAP will be completed within the initial 6 months of program enrollment. |
| 4. 44% of clients will increase their total income (from all sources) by program exit. | <ul style="list-style-type: none"> Staff will gather and enter financial data into HMIS database from client and staff reports as well as financial verification information required for HUD housing. |
| 5. 20% of those served will obtain employment or attend school or vocational training. | <ul style="list-style-type: none"> Outcome measured by number of clients reporting employment, SEES referral and those participating in educational services. Data sources: HMIS, client self-report and Case Coordinator report. |

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| 6. 90% of clients will meet with a PCP at least annually. | <ul style="list-style-type: none"> • Measured by staff observations and clients' self-reports of engagement in primary care physician appointments. • Data source: Data collected in Excel Worksheet and documented in EMR/Avatar as related to mental health needs. |
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6. Who are the partners involved in program implementation?

Interim collaborates with the Coalition of Homeless Service Providers program.

7. What is the eligibility criteria for admission to the program?

- Financial Eligibility: Short-Doyle/Medi-Cal eligible, and meet the standards for HUD homeless status.
- Referral through HMIS SPDAT score, Interim Case Coordinators, and MCBHB Service Coordinators with admission approval by Interim, Inc. staff.
- The populations to be served are adults with major psychiatric disabilities and transition age youth age 18 and older who have mental health disorders that substantially interfere with their functional ability to carry out primary aspects of daily living in the community and are receiving psychiatric services through MCBHB or a designee. Upon discharge from MCBHB services or Interim, rehabilitative mental health and case management services will be terminated. However, housing may not be terminated except as allowed under the lease.
- Housing eligibility is governed by funding sources regulatory agreements.
- This project is slated to undergo re-construction starting in April 2017 at which time the bed total will be reduced to 32 beds.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Program staff will collaborate with case coordinators to create an alternative referral plan for appropriate services.
- Clients aren't involuntarily discharged from housing unless they violate their lease agreement. Anticipated length of service is two years.
- Clients who no longer need this level of care of supportive housing are encouraged and assisted with discharge plans into available affordable housing in the community before their two years.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

MCBHB or Interim case coordinators refer all clients. Interim serves economically disadvantaged populations who meet the standards for HUD's definition of homeless or are Short-Doyle/Medi-Cal eligible. The program addresses one of the goals of the Monterey County Lead Me Home 10 year Homeless Plan by providing affordable transitional supportive housing in order to prepare clients for permanent housing in the community.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individuals seeking services. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary, and staff are available on-call 24-hours a day to provide emergency support.

Input from residents is provided through the consumer run Recovery Taskforce as well as resident council and resident community meetings. Interim offers support team meetings that include family members and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB and other providers in the area.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity is 36 and annual number to be served is approximately 70. After April 2017, capacity will drop to 32 with annual total estimated at 62 clients. Clients transitioning out will continue to be served by coordinators for approximately one month.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|-----------------|---------------------------------------|---|
| Deputy Director | .09 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' |

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| | | experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .1 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .1 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .075 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .5 FTE | B.A. & 4 years' experience. 2 years supervisory experience. |
| Assistant Program Director | .5 FTE | B.A. & 4 years' experience or a M.A. with 2 years' experience. |
| Case Coordinator | 1 FTE | M.A. & Clinical License or license eligible (LCSW, MFT, or Psychologist). 2 years' experience (minimum). |
| Day Program Coordinator | 1 FTE | B.A. & 4 years' experience or M.A. & 2 years' experience. |
| Counselor IIs | 3 FTE | High School Diploma or GED. 2 years' experience. B.A. in related field may be substituted for experience. |
| Substance Abuse Specialist | .075 FTE | CADCII, or MFT, LCSW, RN with BA or Licensed Psychologist. 2 years' experience (minimum). |
| Community Support Worker | .25 | Direct experience as a consumer of public or private mental health services. High School Diploma/GED. |
| Relief Counselor | .21 FTE | High School diploma/GED, 1 year experience or BA substituted for experience. |

PROGRAM SEVEN:

1. **Program Name:** Rockrose Gardens
2. **Program Description:**

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|----------------------------|---|
| Address of Delivery Sites: | Rockrose Gardens, Marina, CA |
| Program Schedule: | Typically Monday through Friday, 8:00 a.m. to 5:00 p.m. Staff are on-call and available via cellphone for emergencies. Staff schedule may vary based upon consumers' needs. |
| Limitation of Service: | There are income limitations based on regulatory agreements and 9 individuals must meet the criteria of being homeless or at-risk as defined by CalHFA regulations under the MHSA Housing Program at the time of placement. |
| Target # of Consumers: | 20 consumers |

Rockrose Gardens is a permanent supportive housing program, which provides housing to 20 very low-income individuals with a serious mental health diagnosis, 9 of these individuals are homeless or at-risk of homelessness. Interim, Inc. provides case management, crisis intervention, and mental health services for residents in accordance with state guidelines established under the rehabilitation option, and in accordance with MHSA funding regulations.

3. Program Purpose

Rockrose Gardens provides mental health services and permanent supportive housing to low income and homeless individuals with a psychiatric disability. Mental health services are interventions designed to minimize disability and maximize the restoration or maintenance of functioning consistent with the requirements for learning, development, independent living, and enhancing self-sufficiency.

4. Desired Results

The primary public health benefit is providing and assisting low income and homeless individuals with serious psychiatric disabilities to maintain safe, affordable, supportive permanent housing. This prevents people from homelessness or institutional placement, and improves their quality of life. Federal law requires public mental health systems to provide services in integrated community settings, and Permanent Supportive Housing is a proven approach for doing so. It presents an alternative to hospitals, shelters, and other settings that segregate people by disability, such as nursing homes, board and care homes, and other residential care facilities, in which residency is tied to receiving the particular services the facility offered.

5. What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)

Evidenced Based Practice: Motivational Interviewing, Trauma-Informed approaches and Wellness Recovery Action Plan (WRAP). Licensed/licensed eligible staff provides Cognitive Behavioral Therapy and Dialectical Behavioral Therapy.

| Goal | Measurement & Data Source |
|---|--|
| 1. 90% of the individuals served will remain housed at Rockrose as of the end of the operating year or exit to other permanent housing destinations during the operating year. | <ul style="list-style-type: none"> • Outcome is measured by the number of clients remaining in permanent housing. • Data Source: Data will be obtained from monthly One Site reports provided by Interim Inc.'s Housing Department on number of months/duration each individual is housed, and clients' payment of monthly rent on time. |
| 2. 80% (or 16 of the residents) will maintain or improve their mental health recovery. | <ul style="list-style-type: none"> • Measured at entry, annually, and at exit thereafter via the "Milestones of Recovery Scale" assessment. • Complementary Data source: Clients' EMR/Avatar as related to mental health, and monthly QA Reports. |
| 3. 90% of clients will meet with their PCP at least annually. | <ul style="list-style-type: none"> • Measured by staff observations and clients' self-reports of engagement in primary care physician appointments. • Data source: Data collected in Excel Worksheet and documented in EMR/Avatar as related to mental health needs. |
| 4. 40% of clients will participate in community programs, social support programs, peer operated wellness and recovery programs, vocational training/volunteer work or will gain employment or attend school. | <ul style="list-style-type: none"> • Data source: Clients' self-report, Case Coordinator and counselor reports. Spreadsheet and EMR as related to mental health needs. |
| 5. 80% of clients will report satisfaction with the quality of services. | <ul style="list-style-type: none"> • Outcome measured by client self-report via survey instrument conducted twice per year. Survey respondents will answer strongly agree or agree to questions relating to program satisfaction. |

6. Who are the partners involved in program implementation?

Interim collaborates with MCBHB, and HUD.

7. What is the eligibility criteria for admission to the program?

- Financial Eligibility: Short-Doyle/Medi-Cal eligible or meet the standards for low-income status. Tenants must meet HUD restrictions on income and assets.

- Referral through Interim Case Coordinators or MCBHB Service Coordinators with admission approval by Interim, Inc. staff.
- The populations to be served are adults with major psychiatric disabilities and transition age youth age 18 and older who have mental health disorders that substantially interfere with their functional ability to carry out primary aspects of daily living in the community and are receiving psychiatric services through MCBHB or a designee. Upon discharge from MCBHB services or Interim, rehabilitative mental health and case management services will be terminated. However, housing may not be terminated except as allowed under the housing lease.
- Nine residents must meet MHSA housing criteria for being homeless or at-risk of homelessness upon entry.
- Housing eligibility is governed by funding sources regulatory agreements.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Program staff will collaborate with MCBHB case coordinators to create an alternative referral plan for appropriate services.
- Clients aren't involuntarily discharged from housing unless they violate their lease agreement. Anticipated length of service doesn't pertain to permanent supportive housing.
- Clients who no longer need this level of care of psychiatric supportive housing are encouraged and assisted with discharge plans into available affordable housing in the community per the terms of their lease agreement.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

MCBHB refers all clients. Interim serves economically disadvantaged populations who meet the standards for low-income status or are Short-Doyle/Medi-Cal eligible. The program addresses one of the top goals of the Monterey County 10 year Homeless Plan, Lead Me Home, by providing affordable permanent supportive housing. Interim is the only provider of permanent supportive housing for adults with mental illness in Monterey County.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services

that are based on individualized goals, set jointly between staff and individuals seeking services. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary, and staff are available on-call 24-hours a day to provide emergency support.

Input from residents is provided through the consumer run Recovery Taskforce as well as resident council and resident community meetings. Interim offers support team meetings that include family members and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB and other providers in the area.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity and annual number to be served is 20 clients. Clients transitioning out of this program will continue to be served by Interim coordinators for approximately one month post discharge.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|--|
| Deputy Director | .02 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .01 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .01 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .025 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' |

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| | | mental health program w/min 2 years' supervisory experience. |
| Program Director | .15 FTE | B.A. & 4 years' experience, M.A. degree & 2 years' experience. |
| Case Coordinator | .4 FTE | M.A. & Clinical License or license eligible (LCSW, MFT, or Psychologist). 2 years' experience (minimum). |
| Counselor II | 1 FTE | High School Diploma or GED required. 2 years' experience. B.A. in related field may be substituted for experience. |
| Community Support Worker | .5 FTE | Direct experience as a consumer of public or private mental health services. Preferred: High School Diploma/GED. |

PROGRAM EIGHT:

1. **Program Name:** Lupine Gardens

2. **Program Description:**

Address of Delivery Sites: Lupine Gardens, Salinas, CA

Program Schedule: Typically Monday through Friday, 8:00 a.m. to 5:00 p.m. Staff are on-call and available via cellphone for emergencies 24 hours/ day. Staff schedule may vary based upon consumers' needs. A resident manager lives on the premises for night emergencies.

Limitation of Service: Full Service Partnership (FSP) program. There are income limitations per HUD and criteria of being homeless or at-risk of homelessness as defined by HCD MHP regulations.

Target # of Consumers: 20 consumers

Lupine Gardens is an intensive permanent supportive housing program, which provides a Full Service Partnership (FSP) level of services to 20 very low-income individuals with a serious mental health diagnosis, all of whom are homeless or at high risk of homelessness. The service array includes: intensive case management provided in the FSP model as required by Mental Health Services Act funding, and assistance with daily living skills i.e., meals, house cleaning, self-administration of medication, and laundry services in order to live independently in the community.

3. **Program Purpose**

Lupine Gardens provides intensive mental health services and permanent supportive housing to vulnerable individuals with a psychiatric disability who are homeless or at-risk of homelessness. The goal is prevent further homelessness, to avoid costly hospitalization or use of short-term crisis residential programs, hospital crisis teams, and unnecessary institutionalization. The program is designed for individuals who have failed in other placements and who need a high level of support to live in permanent housing.

Mental health services are interventions designed to minimize mental disability and maximize the restoration or maintenance of functioning consistent with the requirements for learning, development, independent living, and enhancing self-sufficiency.

4. **Desired Results**

The primary public health benefit is permanent housing for a vulnerable group of individuals. The program also provides intensive case management services in which client and case manager work together to develop goals to improve client's life in areas of health, education, employment, daily living skills. Federal law requires public mental health systems to provide services in integrated community settings, and Permanent Supportive Housing is a proven approach for doing so. It presents an alternative to hospitals, shelters, and other settings that segregate people by disability, such as nursing homes, board and care homes, and other residential care facilities, in which residency is tied to receiving the particular services the facility offered.

5. **What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)**

Evidenced Based Practice: Motivational Interviewing, Trauma-Informed approaches and Wellness Recovery Action Plan (WRAP). Licensed/licensed eligible staff provides Cognitive Behavioral Therapy.

| Goal | Measurement & Data Source |
|--|--|
| 1. 60% of the individuals served each year will remain in permanent housing for at least 1 year. | <ul style="list-style-type: none"> • Outcome is measured by the number of clients remaining in permanent housing. • Data source: monthly One Site reports provided by Interim Inc.'s Housing Department. Clients' ability to meet dietary needs and household upkeep. • Data source: excel worksheet which is reviewed and updated monthly. |
| 2. 80% (or 16 of the residents) will maintain or improve their mental health recovery. | <ul style="list-style-type: none"> • Measured at entry, annually, and at exit thereafter via the "Milestones of Recovery Scale" assessment. • Complementary Data source: Clients' EMR/Avatar as related to mental health, and monthly QA Reports. |
| 3. 40% of clients will participate in various | <ul style="list-style-type: none"> • Data source: Clients' self-report, Case Coordinator and counselor reports. Spreadsheet and EMR as |

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| community programs, social support programs, peer operated wellness and recovery programs, volunteer work or employment. | related to mental health needs. |
| 4. 90% of clients will meet with a PCP at least annually. | <ul style="list-style-type: none"> • Measured by staff observations and clients' self-reports of engagement in primary care physician appointments. • Data source: Data collected in Excel Worksheet and documented in EMR/Avatar as related to mental health needs. |
| 5. 85% of clients will report satisfaction with the quality of services. | <ul style="list-style-type: none"> • Outcome measured by client self-report via survey instrument conducted twice per year. Survey respondents will answer strongly agree or agree to questions relating to program satisfaction. |

6. Who are the partners involved in program implementation?

MCBHB provides all referrals for this program. MCBHB provides medication support services and case coordination.

7. What is the eligibility criteria for admission to the program?

- Financial Eligibility: Short-Doyle/Medi-Cal eligible, or meet the standards for low-income status as well as homelessness or at-risk of homelessness upon entry.
- Referral through Interim Case Coordinators or MCBHB Service Coordinators with admission approval by Interim, Inc. staff.
- The populations to be served are adults with major psychiatric disabilities and transition age youth age 18 and older who have mental health disorders that substantially interfere with their functional ability to carry out primary aspects of daily living in the community and are receiving psychiatric services through MCBHB or a designee. Upon discharge from MCBHB services or Interim, rehabilitative mental health and case management services will be terminated. However, housing may not be terminated except as allowed under the lease.
- Housing eligibility is governed by funding sources regulatory agreements; housing is limited to people with specific income levels.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Program staff will collaborate with case coordinators to create an alternative referral plan for appropriate services.
- Clients aren't involuntarily discharged from housing unless they violate their lease agreement. Anticipated length of service doesn't pertain to permanent supportive housing.
- Clients who no longer need this level of care of supportive housing are encouraged and assisted with discharge plans into available affordable housing in the community.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

MCBHB refers all clients. Interim serves economically disadvantaged populations who meet the standards for low-income status, are homeless or at-risk, and are Short-Doyle/Medi-Cal eligible. The program addresses one of the top goals of the Monterey County 10 year Homeless Plan, Lead Me Home, by providing affordable permanent supportive housing. Interim is the only provider of permanent supportive housing for adults with mental illness in Monterey County.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individuals seeking services. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary, and staff are available on-call 24-hours a day to provide emergency support.

Input from residents is provided through the consumer run Recovery Taskforce as well as resident council and resident community meetings. Interim offers support team meetings that include family members and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB and other providers in the area.

10. Program Capacity and Total Annual Number to Be Served in the Program. Program capacity is 20 housing units and annual number to be served is 20 clients. Housing is provided in studio apartments. The housing units all have Project Based Section 8 vouchers to provide rent subsidies for tenants.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|--|
| Deputy Director | .04 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .03 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .03 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .0375 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .15 FTE | B.A. & 4 years' experience, M.A. degree & 2 years' experience. |
| Assistant Program Director | 1 FTE | B.A. & 2 years' experience. |
| Counselor II | 1 FTE | High School Diploma or GED required. 2 years' experience. B.A. in related field may be substituted for experience. |
| Community Support Worker | .78 FTE | Direct experience as a consumer of public or private mental health services. Preferred: High School Diploma/GED. |

PROGRAM NINE:

1. **Program Name:** Sunflower Gardens

2. **Program Description:**

Address of Delivery Sites: Sunflower Gardens, Salinas, CA

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|------------------------|---|
| Program Schedule: | Typically Monday through Friday, 8:30 a.m. to 5:00 p.m. Staff are on-call and available via cellphone for emergencies. Staff schedule may vary based upon consumers' needs. A resident manager is available at night for emergencies. |
| Limitation of Service: | Full Service Partnership (FSP) program. There are income limitations, and criteria of being homeless or at-risk of homelessness as defined by HCD MHP regulations. 15 Permanent Supportive Housing Units (13 efficiency and 2 shared 4 bedroom units), and 2 Transitional Housing Units (2 efficiency units) |
| Target # of Consumers: | 23 consumers |

Sunflower Gardens is an intensive permanent and transitional supportive housing program, which provides a Full Service Partnership (FSP) level of services to 23 very low-income individuals with a serious mental health diagnosis, all of whom are homeless or at high risk of homelessness. The service array includes: assessments, evaluation, case coordination, intensive case management provided in the FSP model as required by Mental Health Services Act funding, assistance in accessing benefits, and assistance with daily living skills in order to help consumers meet the terms of their lease, and live independently in the community.

Tenants in Sunflower Gardens can be moved from Full Service Partnership (FSP) level of service to a less intense level of service consistent with Community Housing services. All billing for Sunflower will remain under Sunflower Gardens.

3. Program Purpose

Sunflower Gardens provides case coordination, intensive mental health services and permanent or transitional supportive housing to vulnerable individuals with a serious mental illness who are homeless or at-risk of homelessness. The goal is prevent further homelessness, to avoid costly hospitalization or use of short-term crisis residential programs, hospital crisis teams, and unnecessary institutionalization in residential care homes, and instead to increase resilience and self-sufficiency.

Behavioral health services are interventions designed to minimize functional impairment due to serious mental illness and maximize the restoration or maintenance of functioning consistent with the requirements for learning, development, independent living, and enhancing self-sufficiency.

4. **Desired Results**

Homeless or at risk of homelessness individuals with serious mental illness receive the necessary support system to ensure success in obtaining and maintaining housing as well as integrating into the community. Intensive case management services in which client and case manager work together to develop goals to improve client's life in areas of health, education, employment, daily living skills.

Federal law requires public behavioral health systems to provide services in integrated community settings, and Permanent Supportive Housing is a proven approach for doing so. It presents an alternative to hospitals, shelters, and other settings that segregate people by disability, such as nursing homes, board and care homes, and other residential care facilities, in which residency is tied to receiving the particular services the facility offered.

5. **What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)**

Evidenced Based Practice: Motivational Interviewing, Trauma-Informed approaches, Permanent Supportive Housing, and Wellness Recovery Action Plan (WRAP), and Seeking Safety.

Licensed/licensed eligible staff provides Cognitive Behavioral Therapy.

| Goal | Measurement & Data Source |
|--|--|
| 1. 70% of SFG residents will remain housed at SFG as of the end of the operating year or exit to other permanent housing destinations during the operating year. | <ul style="list-style-type: none">• Outcome measured by number of clients remaining housed or exiting to other permanent housing. Staff will enter occupancy data into Caminar.• Data Source: Caminar. |
| 2. 20% of SFG clients will need less intensive services within 2 years of entry. | <ul style="list-style-type: none">• Outcome measured by number of clients moving out of a FSP level of care.• Data Source: Avatar/EMR documentation as related to mental health needs and episode designation. |
| 3. 90% of SFG clients will maintain or improve their mental health recovery. | <ul style="list-style-type: none">• Measured at entry, annually, and at exit thereafter via the "Milestones of Recovery Scale" assessment.• Complementary Data source: Clients' EMR/Avatar as related to mental health, and monthly QA Reports. |
| 4. 90% of SFG residents will report satisfaction with the quality of services provided via an annual consumer satisfaction survey. | <ul style="list-style-type: none">• Outcome measured by client self-report via survey instrument conducted twice per year. Survey respondents will answer strongly agree or agree to questions relating to program satisfaction. |

| | |
|---|--|
| 5. 20% of SFG residents will participate in community programs, social support programs, peer operated wellness and recovery programs, vocational training/volunteer work or will gain employment or attend school. | <ul style="list-style-type: none"> • Outcome measured by clients' self-report, Case Coordinator and counselor reports. • Data Source: Avatar and Caminar (EMR and KET). |
| 6. 80% of clients will meet with a PCP at least annually. | <ul style="list-style-type: none"> • Measured by client's self-report of engagement in primary care physician appointments, and staff observations as documented in EMR. • Data Source: Avatar and Caminar. |
| 7. Clients with a history of mental health unit stays will demonstrate a 50% reduction in number of bed days in mental health facility compared to the year before entry into Sunflower. | <ul style="list-style-type: none"> • Outcome measured by clients' reduction in a mental health unit as per client self-report and staff report as documented via a KET and EMR. • Data Source: Avatar and Caminar. |

SFG=Sunflower Gardens

6. Who are the partners involved in program implementation?

MCBHB or Interim coordinators provide all referrals for this program, including primary health care. MCBHB provides all medication support services.

7. What is the eligibility criteria for admission to the program?

- Financial Eligibility: Short-Doyle/Medi-Cal eligible, or meet the standards for low-income status as well as homelessness or at-risk of homelessness upon entry.
- Referral through Interim Case Coordinators and MCBHB Service Coordinators with admission approval by Interim, Inc. staff.
- The populations to be served are adults with serious mental illness and transition age youth age 18 and older who have mental health disorders that substantially interfere with their functional ability to carry out primary aspects of daily living in the community and are receiving psychiatric services through MCBHB or a designee. Upon discharge from MCBHB services or Interim, rehabilitative mental health and case management services will be terminated. However, housing may not be terminated except as allowed under the lease.
- Housing eligibility is governed by funding sources regulatory agreements.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Program staff will provide case coordination to create an alternative referral plan for appropriate services.
- Clients aren't involuntarily discharged from housing unless they violate their lease agreement. Anticipated length of service doesn't pertain to permanent supportive housing. The length of stay in the two transitional units is two years.
- Clients who no longer need this level of care of supportive housing are encouraged and assisted with discharge plans into available affordable housing in the community.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

The MCHOME outreach program (see PROGRAM TEN below) has outreach workers who engage with individuals on the street and determine their eligibility for this housing option. Interim serves economically disadvantaged populations who meet the standards for low-income status, are homeless or at-risk, and are Short-Doyle/Medi-Cal eligible.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individuals seeking services. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary, and staff are available on-call 24-hours a day to provide emergency support.

Input from residents is provided through the consumer run Recovery Taskforce as well as resident council and resident community meetings. Interim offers support team meetings that include family members and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receives training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB and other providers in the area.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity and annual number to be served is 23 clients.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|--|
| Deputy Director | .03 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .07 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .07 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .125 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .15 FTE | B.A. & 4 years' experience, .1 year supervisory experience. Preferred: M.A. |
| Assistant Program Director | .5 FTE | B.A. & 2 years' experience. |
| Case Coordinator | .5 FTE | M.A. & Clinical License or license eligible (LCSW, MFT, or Psychologist). 2 years' experience (minimum). |
| Counselor IIs | 1 FTE | High School Diploma or GED required. 2 years' experience. B.A. in related field may be substituted for experience. |
| Community Support Worker | .5 FTE | Direct experience as a consumer of public or private mental health services. Preferred: High School Diploma/GED. |

PROGRAM TEN:

1. **Program Name:** MCHOME

2. **Program Description:**

Address of Delivery Sites: MCHOME, Marina, CA with countywide outreach
Soledad House, Salinas, CA
Wesley Oaks, Salinas, CA

Program Schedule: Typically Monday through Sunday, 8:30 a.m. to 5:00 p.m.
Staff are on-call and available via cellphone for emergencies. Staff schedule may vary based upon consumers' needs.

Limitation of Service: Full Service Partnership (FSP) program. There are income limitations per regulatory agreements for the two houses, and criteria of being homeless or at-risk of homelessness as defined by HUD regulations. Serving homeless adults with serious mental illness and/or functioning limitations that substantially interfere with ability to carry out primary aspects of daily living in the community.

Target # of Consumers: 61 unduplicated consumers per fiscal year with 7 residing at Soledad and 4 at Wesley Oaks; enroll 20 new clients into MCHOME FSP each fiscal year.

The MCHOME Program is a Full-Service Partnership, which provides wrap-around services, and outreach for adults with a psychiatric disability who are homeless or at high risk of homelessness. The purpose of the program is to assist adults with mental illness, including those served by the Adult System of Care, to move off the street into housing and employment and/or on benefits through outreach, assessments, intensive case management services, mental health services, and assistance with daily living skills.

Soledad House serves as transitional housing for MCHOME clients to reside in for no more than one year. This housing operates on the housing first model, and may be used for temporary housing for persons not yet enrolled in the FSP. The House provides a central place and a program identity that fosters positive peer support, and provides consumers with the tools to maintain housing.

Wesley Oaks is an intensive permanent supportive housing program, which provides a Full Service Partnership (FSP) level of services to 4 very low-income individuals with a serious mental health diagnosis, all of whom are homeless or at high risk of homelessness. The service array includes: intensive case management and mental health services provided in the FSP model as required by Mental

Health Services Act funding, and independent living skills development in order to help residents live self-sufficiently in the community.

3. Program Purpose

MCHOME provides intensive mental health services and shelter/housing support to vulnerable individuals with a psychiatric disability who are homeless or at-risk of homelessness. The goal is prevent further homelessness, to avoid costly hospitalization or use of short-term crisis residential programs, hospital crisis teams, and unnecessary institutionalization in residential care homes. The program also focuses on helping individuals who are not currently receiving services from the public behavioral healthcare system to obtain psychiatric medications and other needed medical services. The program also works closely with the Department of Social Services to help individuals to enroll in benefits, including SSI.

Mental health services are interventions designed to minimize mental disability and maximize the restoration or maintenance of functioning consistent with the requirements for learning, development, independent living, and enhancing self-sufficiency.

4. Desired Results

Individuals with mental illness who are living on the street are stabilized, housed, and reintegrated into the community. Also, law enforcement, veterans' offices, the Probation Department, city officials, business councils, etc. have a program to which to refer when they are concerned about a homeless individual. MCHOME also works to temporarily move homeless individuals off the streets into motels or shelters to help to stabilize or prevent harm to homeless persons who are particularly vulnerable.

5. What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)

Evidenced Based Practice: Motivational Interviewing, Trauma-Informed approaches, and Wellness Recovery Action Plan (WRAP). Case Coordinators may also provide Cognitive Behavioral Therapy and/or Dialectical Behavioral Therapy.

| Goal | Measurement & Data Source |
|--|---|
| 1. Reduce the number of days clients are homeless by 40% as compared to one year prior to admission. | <ul style="list-style-type: none">• Outcome measured by a decrease in homelessness days from date of admission.• Data source: Key Event Tracking (KETs) forms counting clients' residential stays. |
| 2. 80% of MCHOME clients will maintain or improve their mental health recovery. | <ul style="list-style-type: none">• Measured at entry, annually, and at exit thereafter via the "Milestones of Recovery Scale" assessment. Complementary Data source: Clients' EMR/Avatar as related to mental health, and monthly QA |

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| | Reports. |
| 3. 80% of MCHOME consumers will report satisfaction with the quality of services provided via an annual consumer satisfaction survey. | <ul style="list-style-type: none"> • Outcome measured by client self-report via survey instrument conducted twice per year. Survey respondents will answer strongly agree or agree to questions relating to program satisfaction. |
| 4. Upon discharge from MCHOME, 60% of clients will be residing in transitional and/or permanent housing. | <ul style="list-style-type: none"> • Outcome measured by number of clients discharging to either transitional or permanent housing. • Data Source: Clients self-report and staff observations of discharge locations. Staff will complete a KET and enter into Caminar system. |
| 5. 85% of clients will receive, increase, or maintain income (General Assistance, Cal-Fresh, SSI, SSDI) within the first 6 months of enrollment in the program. | <ul style="list-style-type: none"> • Outcome measured by financial verification from Social Services and/or Social Security, client self-report, and staff observations. |
| 6. 75% of clients will identify and attend appointment with a Primary Care Physician (PCP) within 6 months of enrollment. | <ul style="list-style-type: none"> • Outcome measured by clients self-reports, staff observations, PCP verifications. |
| 7. Clients with a history of mental health unit stays will demonstrate a 50% reduction in number of bed days in mental health facility compared to the previous 12 months before entry. | <ul style="list-style-type: none"> • Outcome measured by clients' reduction in a mental health unit. • Data Source: Staff will document clients' residential status via a KET and the data will be entered in Caminar. |
| 8. Clients with a history of incarceration will demonstrate a 50% reduction in number of bed days in an incarceration setting, while enrolled, compared to the previous 12 months before entry. | <ul style="list-style-type: none"> • Outcome measured by clients' reduction in a jail setting. • Data Source: Staff will document clients' residential status via a KET and the data will be entered in Caminar. |

6. Who are the partners involved in program implementation?

MCHOME collaborates with MCBHB, the Coalition of Homeless Services providers, Community Housing Improvement Systems and Planning Association, Inc. (CHISPA), the Cities of Monterey and

Salinas and numerous community organizations. MCHOME works actively with law enforcement agencies and hospitals to engage homeless persons who are identified as possibly having mental health challenges.

7. What is the eligibility criteria for admission to the program?

- No MCBHB referral is required for admission to MCHOME.
- Financial Eligibility: Short-Doyle/Medi-Cal eligible or meet the standards for low-income status as well as homelessness or at-risk of homelessness upon entry.
- The populations to be served are adults with serious mental illness and/or functioning limitations that substantially interfere with ability to carry out primary aspects of daily living in the community. Upon discharge, rehabilitative mental health and case management services will be terminated.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Program staff will collaborate with case coordinators to create an alternative referral plan for appropriate services.
- Discharge is when clients are no longer meeting medical necessity.
- Length of service depends on medical necessity and ability to place clients into appropriate discharge placements. Clients must agree to be discharged from an FSP unless the client is no longer willing to engage in services.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

Interim serves economically disadvantaged populations who meet the standards for low-income status, are homeless or at-risk, or are Short-Doyle/Medi-Cal eligible.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individual residents. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary, and eligible admission/assessment is available Monday through Friday.

Input from consumers is provided through the consumer run Recovery Taskforce. Interim offers support team meetings that include family and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity and annual number to be served is 61 clients.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|--|
| Deputy Director | .11 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .14 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .14 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .1875 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .85 FTE | B.A. & 4 years' experience. 1 year supervisory experience. Preferred: M.A. |
| Assistant Program Director | .5 FTE | BA & 2 years' experience. |
| Case Coordinators | 1.5 FTE | M.A. & Clinical License or license eligible (LCSW, MFT, or |

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|--------------------------|---------|--|
| | | Psychologist). 2 years 'experience (minimum). |
| Outreach Coordinator | 1 FTE | High School Diploma or GED. 3 years' experience. |
| Counselor IIs | 6.5 FTE | High School Diploma or GED. 2 years' experience. B.A. in related field may be substituted for experience. |
| Community Support Worker | .5 FTE | Direct experience as a consumer of public or private mental health services. Preferred: High School Diploma/GED. |

PROGRAM ELEVEN:

1. **Program Name:** Dual Recovery Services

2. **Program Description:**

Address of Delivery Site: 339 Pajaro St., Salinas, CA 93901

Program Schedule: Monday through Friday, 8am – 5pm.

Limitation of Service: Clients are referred by the Monterey County Behavioral Health Staff or Interim Inc. Case Coordinators.

Target # of Consumers: 85

Dual Recovery Services (DRS) is an outpatient program for adults with co-occurring serious mental illness and substance use disorders. The program aims to assist clients in developing dual recovery skills to maintain successful community living, and to promote a clean and sober lifestyle as they transition out of dual recovery residential programs. Interim staff provides individual and group counseling to help clients develop skills to adjust to community living and/or maintain housing through the evidenced based practice of Motivational Interviewing. Clients develop goals that are focused on increasing daily structure, improving symptom management skills, personal and social functioning, and substance use recovery skills.

3. **Program Purpose**

DRS uses behavioral health wellness and recovery principles to assist clients to develop the coping and recovery skills needed to successfully live in the community. It provides assessment/evaluation,

rehabilitation, and group and individual mental health services to maintain or restore mental health, personal independence and functioning and sobriety.

Best evidence practice indicates that in order to make a successful adjustment back to community living for individuals with dual recovery issues, consumers need activities every day that promote a clean and sober life style. The staff and the consumer develop written daily schedules for individuals to have and to follow. These schedules include various treatment options that include: skill building groups, recovery oriented community based groups and other structured activities which promote healthy community living and help to reduce the triggers that lead to relapse of substance use. Individual written service plans are developed for each consumer moving into this phase of community based treatment and help teach consumers how to avoid drug and alcohol use while strengthening healthy social supports using wellness and recovery principles.

4. **Desired Results**

DRS aims to increase consumers' successful adjustment to community living after completion of dual recovery residential program by reducing the relapse rate.

5. **What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)**

Evidenced Based Practice: Motivational Interviewing, Seeking Safety, Trauma-Informed approaches, and Wellness Recovery Action Plan (WRAP).

| Goal | Measurement & Data Source |
|--|--|
| 1. Program will serve 85 individuals with co-occurring serious mental illness and substance use disorders. | <ul style="list-style-type: none"> Outcome will be measured by the number of individuals participating in the program services during the fiscal year based on data entered into the EMR and the tracking spreadsheet. |
| 1.1. Program will admit 15 new clients to the program each fiscal year. | <ul style="list-style-type: none"> Outcome will be measured by the number of individuals admitted based on data entered into the EMR and the tracking spreadsheet. |
| 2. 85% of clients will use substance use relapse prevention skills rather than alcohol and other drugs to cope with mental health symptoms, emotions, or life experiences. | <ul style="list-style-type: none"> Outcome measured by clients' use of skills and clients' self-report. Data on substance use obtained from result of urinalysis testing. Staff observation of clients' progress towards treatment plan goals. Staff will document clients' progress as related to mental health goals in EMR. Staff will track individual counseling sessions and group attendance using sign-in sheets and electronic attendance logs. |
| 3. 80% of clients will attain or | <ul style="list-style-type: none"> Counseling staff will document progress towards |

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| maintain housing while receiving services from the program. | goals of attaining or maintaining housing on Avatar/EMR. Case coordinator report and client self-report. |
| 4. 85% of clients will improve self-sufficiency by increasing leadership skills, engaging in peer support and providing input on program services and/or development. | <ul style="list-style-type: none"> Outcomes will be measured by a standardized assessment tool, staff observations and client self-report of participation and increased self-sufficiency skills. |
| 5. 90% of clients will report satisfaction with the quality of services received from the program. | <ul style="list-style-type: none"> Outcome measured by client self-report via survey instrument conducted twice per year. Survey respondents will answer strongly agree or agree to questions relating to program satisfaction. |
| 6. 85% of clients will have an individual Wellness Recovery Action Plan (WRAP) completed in EMR. | <ul style="list-style-type: none"> WRAP will be completed within initial 6-8 months of program enrollment. |

6. Who are the partners involved in program implementation?

MCBHB is a key partner in implementation.

7. What is the eligibility criteria for admission to the program?

- Financial Eligibility: Short-Doyle/Medi-Cal eligible.
- Referral through MCBHB Service Coordinators or Interim Case Coordinator with admission approval by Interim, Inc. staff.
- The populations to be served are adults age 18 and older with a primary serious mental illness diagnosis who have a co-occurring substance abuse disorder diagnosis and who require support to acquire and apply coping, recovery, interpersonal, and independent living skills to function in the community.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Interim program staff will collaborate with MCBHB clinical staff to create an alternative referral plan for appropriate services.
- Discharge is when clients have returned to stable community functioning and are able to maintain sobriety.
- Length of service depends on individual need but no longer than two years.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

MCBHB refers all clients. Interim serves economically disadvantaged populations who are Short-Doyle/Medi-Cal eligible.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individual residents. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary, and eligible admission/assessment is available by appointment only Monday through Friday. Admissions are based on most-in-need versus first on waiting list based on MCBHB evaluation.

Input from consumers is provided through the consumer run Recovery Taskforce. Interim offers support team meetings that include family and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity and annual number to be served is 85 individuals.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|-----------------|--|---|
| Deputy Director | .1 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |

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| Clinical Quality Assurance Manager | .05 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .05 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .0375 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .25 FTE | B.A. & 4 years' experience. 2 years' supervisory experience. |
| Program Coordinator | .8 FTE | B.A. & 4 years' experience. Up to 2 years of graduate school may be submitted for experience. Preferred: M.A; supervisory and outreach experience. |
| Counselor IIs | 2.5 FTE | High School Diploma or GED. 2 years' experience. B.A. in related field may be substituted for experience. |
| Community Support Worker | 1.35 FTE | Direct experience as a consumer of public or private mental health services. Preferred: High School Diploma/GED. |

PROGRAM TWELVE:

1. **Program Name:** Outreach and Aftercare Services (SAMHSA block grant)

2. **Program Description:**

Address of Delivery Site: 339 Pajaro St., Salinas, CA 93901, other services delivered in South County in MCBHB operated clinics.

Program Schedule: Monday through Friday, 8am – 5pm.

Target # of Consumers: 40

Outreach and Aftercare Services is an outpatient program for adults, with co-occurring serious mental illnesses and substance use disorders, living in the community who are at risk and/or in need of dual recovery or other substance use treatment program. This program focuses on those individuals not

currently receiving services from Monterey County Adult System of Care. Staff provides individual and group counseling to help clients with harm reduction, clean and sober living, satisfying structured activity, and successful integration into community life (including obtaining/maintaining housing) through the evidenced based practice of Motivational Interviewing. Clients develop goals that are focused on increasing daily structure, and improving symptom management skills, personal and social functioning, and substance use recovery skills.

Outreach and Aftercare staff help to facilitate formation and operation of Dual Recovery Anonymous Groups in Monterey targeting persons with serious mental illness as well as substance abuse disorders. The program provides outreach to South County and operates outreach and groups at County operated BH clinics at least weekly.

3. **Program Purpose**

Outreach and Aftercare uses wellness and recovery principles to develop the coping and recovery skills needed to successfully live in community. It provides evaluation, rehabilitation, and mental health services to maintain or restore personal independence and functioning consistent with requirements for learning and development. Best evidence practice indicates that in order to maintain successful community integration individuals with dual recovery issues need activities every day that promote a clean and sober life style.

4. **Desired Results**

Outreach and Aftercare aims to assist clients with developing the recovery skills necessary to maintain successful community integration, and substance use recovery.

5. **What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)**

Evidenced Based Practice: Motivational Interviewing, Seeking Safety, Trauma-Informed approaches, and Wellness Recovery Action Plan (WRAP)

| Goal | Measurement & Data Source |
|--|---|
| 1. Program will serve 40 adults with co-occurring mental illness and substance use disorders who are not receiving services from Monterey County Behavioral Health Bureau (exception: South County). | <ul style="list-style-type: none"> Outcome measured by the number of clients participating in services as indicated on tracking spreadsheet. |
| 1.1. Admit 15 new clients to the program each fiscal year. | <ul style="list-style-type: none"> Measured by the number of new clients each FY. |

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| | <ul style="list-style-type: none"> • Data Source: Data spreadsheet indicating clients receiving services. |
| 2. 75% of clients will report improved self-sufficiency by using relapse prevention skills rather than alcohol and other drugs to cope with mental health symptoms, emotions, or life experiences. | <ul style="list-style-type: none"> • Outcome measured by clients reporting improved self-sufficiency based on data obtained from standardized assessment tool, staff observations of clients' use of skills, and clients' self-reports. |
| 3. 85% of clients will be referred to and obtain services from community resource providers. | <ul style="list-style-type: none"> • Outcome measured by number of clients referred or participating in community resources. Staff tracking and documentation of referrals made for each individual client. |

6. Who are the partners involved in program implementation?

Other agencies in the BH system and in the Coalition of Homeless Services providers can provide referrals. This program frequently works with faith communities, local hospitals and outpatient health care providers.

7. What is the eligibility criteria for admission to the program?

- The populations to be served are adults with major psychiatric disabilities age 18 and older who have a substance abuse disorder diagnosis and who require support to acquire and apply coping, recovery, interpersonal, and independent living skills to function in the community.
- Dually diagnosed adults who are not opened to the Monterey County Adult System of Care (except in South County, where clients can be also be open to the BH system). Clients open to BH may also be served for non-Medi-Cal eligible services such as organization and recruitment for Dual Recovery Anonymous system.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Program staff will collaborate with case coordinators to create an alternative referral plan for appropriate services.
- Discharge is when clients are no longer meeting medical necessity.
- Length of service depends on medical necessity and ability to place clients into appropriate discharge placements.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

This program reaches those who are not opened to the Monterey County Behavioral Health Care System (except in South County), because they either do not meet the eligibility criteria for the Adult System of Care or are ineligible for Medi-Cal benefits. OAS also takes referrals for homeless adults, those recently released from jail, and those being monitored by the Probation Department who have dual recovery needs. OAS will refer clients who are eligible to MCBHB and/or other resources in the community.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individual residents. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary, and eligible admission/assessment is available by appointment only Monday through Friday. Admissions are based on most-in-need versus first on waiting list based on MCBHB evaluation.

Input from consumers is provided through the consumer run Recovery Taskforce. Interim offers support team meetings that include family and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity and annual number to be served is 40 individuals.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|-----------------|---------------------------------------|--|
| Deputy Director | .02 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, |

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| | | minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .03 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .03 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Program Coordinator | .2 FTE | B.A. & 4 years' experience. Up to 2 years of graduate school may be submitted for experience. Preferred: M.A; supervisory and outreach experience. |
| Counselor IIs | 1 FTE | High School Diploma or GED required. 2 years' experience. B.A. in related field may be substituted for experience. |
| Community Support Worker | .1 FTE | Direct experience as a consumer of public or private mental health services. Preferred: High School Diploma/GED. |

PROGRAM THIRTEEN:

1. **Program Name:** Supported Education Services (SEES)

2. **Program Description:**

Address of Delivery Site: 339 Pajaro St., Salinas, CA 93901

Program Schedule: Monday through Friday, 8am – 5pm

Limitation of Service: Clients are referred by the Monterey County Behavioral Health Department.

Target # of Consumers: 40

The Supported Education Services program (SEES) assists adults with psychiatric disabilities to be successful in the educational environment of their choice. The program's services include assistance with class enrollment, coordination of services with the educational institution, and ongoing support while consumers are pursuing their educational endeavors. The SEES program provides at least eight (8) informational presentations within Interim and MCBHB on Supported Education Services, and facilitates two (2) Peer Support Groups each week.

3. **Program Purpose**

SEES provides consumers with the ability to access and sustain their educational endeavors as well as establish possible vocational plans.

4. **Desired Results**

Supported Education is a SAMHSA Evidence Based Practice. The community benefits include consumers having access and continuing to use the educational environment of their choice. Also, this program allows for diversity within the educational system. The onset of mental illness most commonly occurs between the ages of 15 and 21 when young people are beginning to develop their adult roles. During this time, they are completing their education that prepares them to work, developing relationships that create a social network, and learning their rights and responsibilities within their communities. The onset of a mental illness disrupts this process. Once disrupted, it is extraordinarily difficult to recreate.

Supported Education programs help consumers pursue their individual educational goals. Offered in tandem with Supported Employment, these programs help consumers develop a sense of self-efficacy and independence. Supported Education encourages consumers to think about and plan for their future. It provides an important step to help consumers use their innate talents and abilities and pursue their personal recovery goals. Also, Supported Education promotes career development to improve long-term work opportunities.

Supported Education follows the “choose-get-keep” model, which helps consumers make choices about paths for education and training, get appropriate education and training opportunities, and keep their student status until they achieve their goals.

5. **What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)**

Evidenced Based Practice: Motivational Interviewing and Stages of Change, and Supported Education.

| Goal | Measurement & Data Source |
|--|--|
| 1. Enroll at least 20 consumers each academic semester (fall and spring) in educational institutions within Monterey County of their choice. | <ul style="list-style-type: none">• Measured by the number of consumers enrolled each semester during the FY.• Data Source: Data tracking spreadsheet, recording the number of consumers enrolled in school each semester and the institution they are attending. |
| 2. 40% of consumers enrolled in educational institutions will have educational goals that are tied to a vocational plan. | <ul style="list-style-type: none">• Measured by the percentage of consumers with active vocational plans. Staff will track the number of consumers that attend classes and have an educational goal that ties to their vocational goal. |

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| | <ul style="list-style-type: none"> • Data Source: Record of consumers who are working towards earning a degree, certificate or skill development. |
| 3. 85% of clients will report satisfaction with the quality of services. | <ul style="list-style-type: none"> • Outcome measured by client self-report via survey instrument conducted twice per year. Survey respondents will answer strongly agree or agree to questions relating to program satisfaction. |

6. Who are the partners involved in program implementation?

MCBHB, the California Department of Rehabilitation, and local community colleges disabled student programs are key partners in implementation.

7. What is the eligibility criteria for admission to the program?

- Financial Eligibility: Short-Doyle/Medi-Cal eligible.
- Referral through MCBHB Case Coordinators or Interim Case Coordinators.
- The populations to be served are adults with major psychiatric disabilities age 18 and older who have mental health disorders that substantially interfere with their functional ability to carry out primary aspects of daily living in the community and are receiving psychiatric services through MCBHB. Upon discharge from MCBHB services or Interim, rehabilitative mental health and case management services will be terminated.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Program staff will collaborate with case coordinators/case managers to create an alternative referral plan for appropriate services.
- Clients can self-discharge from the program. Discharge also occurs when clients have met their goals. Lastly, clients are discharged when they stop being in contact with the program.
- Length of service is as long as clients need services.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

MCBHB refers all clients. Interim serves economically disadvantaged populations who are Short-Doyle/Medi-Cal eligible.

9. **How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.**

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individual residents. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary.

Input from consumers is provided through the consumer run Recovery Taskforce. Interim offers support team meetings that include family and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB.

10. **Program Capacity and Total Annual Number to Be Served in the Program.**

Program capacity and annual number to be served is 40 individuals.

11. **Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.**

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|--|
| Deputy Director | .03 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .01 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .01 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 |

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|---|-----------|---|
| | | years' professional experience. |
| Clinical Supervisor | .0125 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .05 FTE | M.A. & License eligible required. 2 years' supervisory experience. |
| Program Coordinator | .25 FTE | B.A. & 2 years' experience in vocational rehabilitation or employment services. Plus 1 year supervisory or program management experience. Preferred: M.A. |
| Employment Training Development Specialist/Counselor II | .5 FTE | High School Diploma or GED required. 2 years' experience. B.A. in related field may be substituted for experience. |
| Community Support Worker | .45 FTE | Direct experience as a consumer of public or private mental health services. Preferred: High School Diploma/GED. |

PROGRAM FOURTEEN:

1. **Program Name:** Workforce Education & Training (WET)

2. **Program Description:**

Address of Delivery Site: 339 Pajaro St., Salinas, CA 93901

Program Schedule: Monday through Friday, 8am – 5pm

Limitation of Service: Clients are self – referred

Target # of Consumers: 60

Workforce Education & Training (WET) promotes successful employment of consumers and family members in the public mental health system in Monterey County. The program provides outreach, recruitment, employment support services, job analysis, training, and job coaching for mental health consumers or family members to promote a diverse and stable mental health workforce. The WET program provides twenty-four (24) trainings per fiscal year on skill development and facilitates three (3) vocational support groups per month.

All services are consistent with MHSA guidelines and incorporate the General Standards set forth in Title 9, California Code of Regulations (CCR), Section 3320:1) wellness, recovery and resilience, 2)

cultural competence, 3) consumer and family driven mental health services, 4) an integrated service experience, and 5) collaboration with the community.

3. Program Purpose

WET provides consumers with gainful employment in the mental health workforce thereby giving them an ability to influence the system of care. This program also helps promote recovery, and creates a more collaborative community.

4. Desired Results

The community benefits include having those who understand and who have experienced the mental health system as consumers or family members share their first-hand experience. Also, this program allows for diversity and improvement to the mental health workforce. Consumer operated or peer support services are an evidence based practice recognized by SAMHSA. Consumer-operated services have diverse sets of practices, but research has recognized four basic types of functions: mutual support, community building, providing services, and advocacy. Some consumer-operated services assume all four of these functions; others emphasize only some of them. People with common life experiences have a unique capacity to help each other because they share a deep understanding that might not exist in other relationships. Mutual support exemplifies the “helper’s principle” which means that both parties benefit from the process. When peers support each other in this way, there is no need to designate who is the “helper” and who is the “helpee.” They might switch back and forth in these roles or act simultaneously. The WET program recruits and trains peers and family member to work in the public mental health system and provides training and support to help consumers and family members effectively work in their jobs.

5. What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)

Evidenced Based Practice: Motivational Interviewing, and peer support.

| Goal | Measurement & Data Source |
|---|--|
| 1. Serve 60 (unduplicated) consumers or family members employed in the public mental health system each fiscal year, including Wellness Navigators. | <ul style="list-style-type: none"> Measured by the number of unduplicated participants each year. Data source: Data spreadsheet indicating consumers or family members participating in the services, i.e. job coaching, employment training, etc. |
| 2. Provide three vocational support groups per month. | <ul style="list-style-type: none"> Measured by staff providing at least three groups and clients attendance in groups. Data Sources: Agenda for support groups and attendance records with attendees signatures. |

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|---|---|
| 3. Provide 24 trainings per fiscal year on skill development. | <ul style="list-style-type: none"> • Measured by staff providing at least 24 trainings each year and clients' attendance in trainings. • Data Sources: Agenda for trainings and attendance records with attendees signatures. |
|---|---|

6. Who are the partners involved in program implementation?

MCBHB is a key partner in implementation. Persons served can be employed by MCBHB or any non-profit or for-profit agency contracted to the public mental health system.

7. What is the eligibility criteria for admission to the program?

- Adults, 18 and over who are mental health consumers or family members and are currently employed by, or interested in becoming employed by the either the public mental health system or a non-profit or profit agency contracted to the public mental health system.
- Referral: Self-referral.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Individuals are admitted to the program on a self-referral basis.
- Clients can self-discharge from the program. Clients also discharge when they are no longer working in mental health field or don't require services.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

Interim serves economically disadvantaged individuals who are interested in working in the public mental health system or are currently working in the public mental health system and who have lived experience or who are family members of those with a serious mental illness.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

All services are provided to consumers and family members. These services are not clinical in nature. A curriculum of groups and trainings are offered that promote cultural competency, wellness and recovery principles, healthy boundaries and communication skills. Services are also provided to supervisors who supervise consumers and family members to help them integrate consumers and family members effectively into the workplace.

Input from consumers is provided through the consumer run Recovery Taskforce. Interim offers support team meetings that include family and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity and annual number to be served is 60 individuals.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|--|
| Deputy Director | .02 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .04 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .04 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .0188 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .05 FTE | M.A. & License eligible required. 2 years' supervisory experience. |
| Program Coordinator | .10 FTE | B.A. & 2 years' experience in vocational rehabilitation or employment services. Plus 1 year supervisory or program management experience. Preferred: M.A.. |

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|----------------------------------|---------|--|
| Workforce Development Specialist | 1 FTE | B.A. 2 years' experience in mental health and substance abuse. Preferred: M.A. |
| Counselor II | .5 FTE | High School Diploma or GED required. 2 years' experience. B.A. in related field may be substituted for experience. |
| Community Support Worker | .45 FTE | Direct experience as a consumer of public or private mental health services. Preferred: High School Diploma/GED. |

PROGRAM FIFTEEN:

1. **Program Name:** OMNI Resource Center

2. **Program Description:**

Address of Delivery Site: 339 Pajaro St., Salinas, CA 93901 & other locations for groups.

Program Schedule: Monday through Friday, 10am – 4pm, some evenings

Target # of Consumers: 500

OMNI's mission is to increase mental health wellness of individuals and the community by providing wellness awareness and innovative programs. The Center is a peer and family member operated facility. The Center serves to assist members in pursuing personal and social growth through self-help groups, socialization groups, and peer support groups in order to specifically address issues of personal growth. Additionally, the Center offers skills and tools to those who choose to become leaders among their peers to take an active role in the wellness and recovery movement through various initiatives.

3. **Program Purpose**

The community benefits include the provision of services for those who are seeking mental health wellness and recovery. The Center works to help individuals find a meaningful role in their community, to gain self-empowerment, to learn advocacy and leadership skills, and to educate the public on mental health and recovery.

4. **Desired Results**

The public health benefits include an inclusive environment where mutual support and resources are available to clients on their pathway to mental health wellness and recovery. Peers come together to socialize, interact with one another, attend support groups and join in planned activities. Additionally, the Center offers skills and tools to those who choose to become leaders among their peers and take an

active role in the wellness and recovery movement at the Center and the community. Through mutual support, self-empowerment and effective programming, the Center's goal is that each individual will be able to connect, meet their challenges, and find balance in their life and a meaningful role in their community.

5. What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)

Evidenced Based Practice: Motivational Interviewing and Consumer-Operated Services (SAMHSA)

| Goal | Measurement & Data Source |
|---|--|
| 1. Provide services to 500 consumers that will expand knowledge of wellness & recovery. | <ul style="list-style-type: none"> • Outcomes measured by the number of consumers attending events/services. Also consumers' self-reports will be used. • Data source: Daily sign in sheets, and tracking meeting attendance. • Staff will administer Satisfaction surveys twice/year: 75% of survey respondents will agree that "Staff are welcoming of diversity and sensitive to my cultural background." |
| 2. 75% of consumers will report being more productive and self-sufficient by participating in opportunities that guide the operation of Center. | <ul style="list-style-type: none"> • Outcomes measured by consumer participation in operation of the Center. • Data sources: Group facilitator database attendance sheets, meeting notes, and volunteer sign in sheets. • Outcomes also measured by consumer self-report via Satisfaction surveys administered twice per year: At least 75% of survey respondents will agree that "I am learning to develop meaningful day-time activities. (School, employment, volunteering, leisure time, etc.)" and to "If given the opportunity, I am sure I could work". • On an annual basis at least 9 peers/family members will work at OMNI. |
| 3. 75% of consumers will self-report an increase in hope & personal connections. | <ul style="list-style-type: none"> • Outcomes measured by Satisfaction Surveys distributed twice/year: At least 75% of survey respondents will agree that "I am learning to be confident in social situations," "I am learning how to use community support services," and "I feel that I can maintain my recovery efforts when I complete this program." • Activities tracked via event sign-in sheets. |
| 4. 75% of consumers will report increased empowerment/ | <ul style="list-style-type: none"> • Outcomes tracked via group sign-in sheets. • Outcomes measured by Satisfaction Surveys |

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| self-sufficiency due to OMNI's skill building groups. | distributed twice/year indicating: At least 75% of survey respondents will agree that "I am learning how to manage my symptoms," and "I am learning how to deal effectively with problems and crisis." |
| 5. 85% of consumers will report satisfaction with the quality of services provided via an annual consumer satisfaction survey. | <ul style="list-style-type: none"> • Outcome measured by client self-report via survey instrument conducted twice per year. Survey respondents will answer strongly agree or agree to questions relating to program satisfaction. |

6. Who are the partners involved in program implementation?

The primary partner involved is MCBHB. OMNI also collaborates with community centers in East Salinas to host offsite groups.

7. What is the eligibility criteria for admission to the program? When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- The Center is open to all mental health consumers; no referrals are necessary.
- OMNI provides outreach to local residential care homes.
- Some activities are offered on the Monterey Peninsula, including peer outreach to in-patient psychiatric unit at Community Hospital of the Monterey Peninsula (CHOMP).
- The populations to be served are adults over 18, who are self-identified as having mental health challenges. There is no admittance and therefore no discharge.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

The Center serves all individuals who are seeking peer support. OMNI staff conduct at least four outreach opportunities a year and facilitate two groups in Spanish in East Salinas monthly. Staff also facilitate a bilingual Spanish group at the Center three times per week. OMNI also offers OMNI After Hours, a program that specifically serves transition age youth and young adults from 18-30 years old.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in peer support, active listening, communication skills, and Motivational Interviewing.

All services are voluntary.

Input from consumers is provided through the consumer run Recovery Taskforce. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity and annual number to be served is 500 individuals.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|--|
| Deputy Director | .04 FTE | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Clinical Quality Assurance Manager | .07 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Registered Nurse or Psychiatric Technician, 2 years' experience. |
| Quality Assurance & Performance Outcomes Specialist | .07 FTE | MA, Licensed Clinical Psychologist, Licensed Clinical Social Worker, MFT, Professional Clinical Counselor or Registered Nurse, (LCSW preferred), 2 years' professional experience. |
| Clinical Supervisor | .0875 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .30 FTE | M.A. & License eligible required. 2 years' supervisory experience. |
| OMNI Administrator | 1 FTE | B.A. & 2 years' experience. |
| Wellness Coordinator | .75 FTE | AA & 2 years' experience. Preferred: B.A. |
| Wellness Navigators/Specialists | 1.38 FTE | High School Diploma/GED. Direct experience as a consumer of public or |

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|--------------------------|----------|--|
| | | private mental health services; completion of Human Services Certification, or Basic Skills Curriculum for the Mental Health Workforce, or Peer to Peer Certificate. |
| Community Support Worker | 1.25 FTE | Direct experience as a consumer of public or private mental health services. Preferred: High School Diploma/GED. |

PROGRAM SIXTEEN:

1. **Program Name:** Success Over Stigma

2. **Program Description:**

Address of Delivery Sites: 339 Pajaro St. Salinas, CA 93901

The “Success Over Stigma” (SOS) program promotes consumer involvement in advocating for public policies that support and empower people with psychiatric disabilities. The program focuses on consumer involvement in planning and executing mental health services and anti-stigma messaging in the community. SOS provides peer consultation to service providers, including increasing peer involvement in developing and strengthening mental health services both locally and at the state level. Lastly, consumers learn how to better advocate for themselves by providing reciprocal peer support and advocacy in their community.

3. **Program Purpose**

The psychiatrically disabled community needs direct recipient representation in order to obtain services and programs that will better serve their needs. This initiative gives clients the opportunity to share their behavioral health experience and impact policy regarding their services.

4. **Desired Results**

The public health benefits include supporting those with serious mental illness in self-efficacy, and exposing the community to a mental health consumer’s experience. This program provides education to the community to directly confront mental health stigma and discrimination issues.

5. **What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)**

Evidenced Based Practice: Consumer-Operated Services (SAMHSA)

| Goal | Measurement & Data Source |
|--|--|
| 1. Reduce mental health stigma in the community by providing 25 educational opportunities in the community. | <ul style="list-style-type: none"> Measured by survey results from presentation attendees and tracking spreadsheet of meetings. Data sources: Roster of consumers being recruited and receiving training; record of presentations being conducted including locations. |
| 2. Reduce mental health stigma in the community by providing 48 (Hope & Recovery) educational opportunities at in-patient units. | <ul style="list-style-type: none"> Measured by the feedback from participants during groups. Data sources: Roster of consumers being recruited and receiving training; record of presentations being conducted including locations; consumer feedback during groups. |
| 3. 35 consumers/peers will participate and provide feedback and consultation in policy and advocacy committees. | <ul style="list-style-type: none"> Measured by the number of consumers attending and participating in committees. Data sources: Spreadsheet identifying the committees and consumer attendance/participation. |

6. Who are the partners involved in program implementation?

MCBHB is a key partner, as well as other community-based service organizations.

7. What is the eligibility criteria for admission to the program?

- The population to be served are adults with mental health challenges.
- No referral is necessary. Participants are recruited by the SOS Coordinator. Participants for the speakers' bureau are selected and trained based on ability to give public presentations and to share their life stories.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Not applicable.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

Interim serves economically disadvantaged populations who meet the standards for low-income status. The program distributes information, makes contact with community (including agencies, churches, etc.), attends networking events to reach and engages underserved populations.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in and use a strengths-based and recovery focused model. Clients are taught self-advocacy techniques.

Input from consumers is provided through the consumer run Recovery Taskforce. Interim offers support team meetings that include family and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity and annual number to be served is 35 clients and/or family members, as speakers. The program provides presentations in schools, faith communities, service clubs, and to law enforcement through the Crisis Intervention Training (CIT) trainings.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|--|
| Program Director | .05FTE | M.A. & License eligible required. 2 years' supervisory experience. |
| Peer Outreach & Advocacy Coordinator/Resource Facilitator | .875 FTE | Direct experience as a consumer of public or private mental health services. BA or Human Services Certification or Basic Skills Curriculum for the Mental Health Workforce or Peer to Peer Certificate. High School Diploma/GED. Preferred: B.A. |
| Community Support Worker | .48 FTE | Direct experience as a consumer of public or private mental health services. Preferred: High School Diploma/GED. |

PROGRAM SEVENTEEN:

1. **Program Name:** Bienestar Wellness Navigators

2. **Program Description:**

Address of Delivery Sites: 339 Pajaro St. Salinas, CA 93901

and MCBHB's Primary Care Integrated Clinics located in
Salinas, Marina, and King City

Limitation of Service: Clients as assigned by MCBHB

Interim, Inc. collaborates with MCBHB in the implementation of the Health Navigation Partnership - "Bienestar" project, which places primary care services in community mental health clinics operated by MCBHB. Interim, Inc. hires peer Wellness Navigators who provide activities that engage, educate and offer support to individuals, their family members, and caregivers in order to successfully connect them to culturally relevant health services. The Wellness Navigators assist in care coordination, provide prevention assistance (such as peer-to-peer smoking cessation) and help clients build skills needed to access primary care services. As clients make enough progress to transition back into mainstream primary care services, Wellness Navigators accompany them and provide support to make sure they are successful in accessing all the services they need.

3. **Program Purpose**

Research has shown that mental health peer programs significantly improve access to medical and mental health care, and that outcomes are improved in both areas. Clients' quality of life will be improved as their health and ability to navigate through the primary care system is expanded.

4. **Desired Results**

The public health benefits include improved access to medical and mental health care by consumers.

5. **What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)**

Interim solely provides the Wellness Navigators.

Evidence based practices: Consumer-Operated Services (SAMHSA) - Evidenced based practices, goal setting, data collection and analysis will be the responsibility of MCBHB for all MCBHB related goals. Wellness Navigators will enter data on clients served into MCBHB's Avatar System.

6. Who are the partners involved in program implementation?

Community mental health clinics operated by MCBHB.

7. What is the eligibility criteria for admission to the program? When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- The population to be served are adults with mental health challenges who are accessing community mental health clinics operated by MCBHB.
- All clients are referred and monitored by MCBHB.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

MCBHB refers all clients. Interim serves economically disadvantaged populations who meet the standards for low-income status or are Short-Doyle/Medi-Cal eligible.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

The Bienestar program is operated by MCBHB. Interim only provides the Wellness Navigators.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Program capacity and annual number to be served is dependent on the number of clients referred by MCBHB.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|------------------|--|---|
| Deputy Director | .02 | MA, Licensed as a Clinical Social Worker or MFT or RN, 4 years' experience in mental health program, minimum 2 years' supervisory experience. |
| Program Director | .05 FTE | M.A. & License eligible required. 2 years supervisory experience. |

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|----------------------|---------|--|
| Wellness Coordinator | .25 FTE | AA & 2 years' experience. |
| Wellness Navigator | 1 FTE | High School Diploma/GED. Direct experience as a consumer of public or private mental health services; completion of Human Services Certification, or Basic Skills Curriculum for the Mental Health Workforce, or Peer to Peer Certificate. |

PROGRAM EIGHTEEN:

1. **Program Name:** Peer Support – Wellness Navigation & Peer Partners for Health
2. **Program Description:**

Address of Delivery Sites: 339 Pajaro St. Salinas, CA 93901

and MCBHB's Adult Services Behavioral Health located in Salinas, Marina, Soledad and King City

Limitation of Service: Clients as assigned by MCBHB

Interim, Inc. provides Wellness Navigators (WNs) for MCBHB's Adult Services' Clinics. WNs, stationed at each Adult Services' clinic, are responsible for welcoming clients into the clinic, while the client is waiting to meet with his/her psychiatrist or coordinator. The WNs help support completion of intake screening tools, and help clients understand the services available to them. They discuss services that suit each client's recovery needs and help connect him/her to community based resources that new clients need support in accessing. The WNs also follow up with a visit or phone call to continue linking clients to services. The Peer Partners for Health is a voluntary training and peer support program focusing on clients who are either in the crisis residential program at Manzanita and/or the Natividad Medical Center in-patient unit to help them with their transition into the community after they are discharged. The goal of the program is to utilize the assistance of a peer and a family member in connecting consumers to community based follow up services in a culturally sensitive manner. The program also aims to decrease frequency of mental health crisis by increasing support in the home to include symptom management skill straining, education on mental health and connecting clients to community resources. WN's services are provided for the consumer or family member in-person or over the phone for a time period of up to three months. WNs are provided a list of measurable tasks to work on with the referred consumer/family member by MCBHB Case Coordinators, and Mental Health inpatient unit staff.

3. Program Purpose

Research has shown that mental health peer programs significantly improve access to medical and mental health care, and that outcomes are improved in both areas. Clients have support in accessing services, and feel as part of a community with the help of peer Wellness Navigators. Wellness Navigators work one-on-one with consumers and family members, promoting mental health recovery and evidence-based practices; providing awareness of the signs and symptoms of mental health challenges; and assisting consumers in strategies such as positive self-talk, cognitive behavior thought records, and crisis management tools. WNs also connect consumers to community resources to promote consumers' self-management of their mental health recovery. Family members are educated on mental illness, how to support their loved one and the importance of never giving up hope. Family members are also referred to community resources, i.e. NAMI.

4. Desired Results

The public health benefits include improved access to medical and mental health care by consumers. This peer support initiative plays an important role in the County's efforts to promote mental health recovery, peer advocacy, and peer leadership. The support offered through the Peer Partners for Health program will reduce the likelihood of recidivism of Manzanita and/or the Natividad Medical Center inpatient unit, which are intensive and costly programs. This strategy will increase resilience, wellness and self-management of health and behavioral health. Through this support consumers will be more equipped to transition back to the community.

5. What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)

Interim solely recruits, trains, and provides the Wellness Navigators who are assigned to a Specialty Team in the MCBHB Adult System of Care. Wellness Navigators will enter data on clients served into MCBHB's Avatar System. Evidenced Based Practices: Consumer-Operated Services (SAMHSA) and Motivational Interviewing.

| Goal | Measurement & Data Source |
|---|---|
| 50% of consumers will connect with least two community resources as a result of WN linkage. | <ul style="list-style-type: none">• Measurement based on staff observation and consumers' self-report of resources contacted.• Data source: WNs recording data on spreadsheet. |

6. Who are the partners involved in program implementation?

Adult services' clinics operated by MCBHB and the inpatient psychiatric units.

7. **What is the eligibility criteria for admission to the program? When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?**

- The population to be served are adults with mental health challenges who are accessing community mental health clinics operated by MCBHB.
- Adults with mental health challenges who are transitioning out of the crisis residential program and/or hospital in-patient units.
- All clients are referred by MCBHB Case Coordinators, and MCBHB Mental Health inpatient unit staff.

8. **What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?**

MCBHB refers all clients. Interim serves economically disadvantaged populations who meet the standards for low-income status or are Short-Doyle/Medi-Cal eligible. Wellness Navigators serve to create a welcoming environment where individuals accessing services for the first time at the outpatient clinics can feel welcome and supported by someone who may have a similar experience.

9. **How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.**

Interim solely recruits, trains, and provides the Wellness Navigators. Wellness Navigators are trained in outreach, wellness and recovery, strength and resiliency, communications, and accessing community services. Wellness Navigators receive training in cultural competency.

10. **Program Capacity and Total Annual Number to Be Served in the Program.**

Program capacity and annual number to be served is dependent on the number of clients referred by MCBHB.

11. **Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.**

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---------------------|---------------------------------------|---|
| Program Director | .05 | M.A. & License eligible required. 2 years supervisory experience. |
| Wellness Navigators | 3 FTE | High School Diploma/GED. Direct |

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| | | experience as a consumer of public or private mental health services; completion of Human Services Certification, or Basic Skills Curriculum for the Mental Health Workforce, or Peer to Peer Certificate. |
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PROGRAM NINETEEN:

1. **Program Name:** Day Treatment Intensive Program

2. **Program Description:**

Type of Program: **Day Treatment Intensive (DTI)** is a structured, multi-disciplinary program of therapy that is an alternative to hospitalization, avoiding clients' placement in a more restrictive setting and maintaining clients in a community setting. The program provides services to adults with serious mental illnesses. Services are site based group and individual therapeutic services, but not all services are delivered at the site.

Address of Delivery Site: 339 Pajaro St., Salinas, CA 93901

Program Schedule: Monday through Friday, providing clients with more than four hours per day of therapeutic groups/activities, not including lunch or other breaks, or collateral staff activities that occur outside of the program hours.

Limitation of Service Clients with serious mental illnesses and/or serious functioning impairments, referred by MCBHB ASOC, TAY, and Interim Inc. who are able to safely participate with peers and staff in an outpatient, milieu setting. Admission preference is given to clients discharging from hospital and Manzanita House (Crisis Residential program). Intake will be prearranged by appointments during program hours.

Continued Stay Criteria: Extension beyond three months requires authorization by the Monterey County Behavioral Health Director or

designee.

Target # of Consumers: Up to 16

The Day Treatment Intensive Program is a community-based, person centered, and trauma informed full day treatment intensive program for up to 16 clients who are diagnosed with serious mental illnesses and referred by the Monterey County Behavioral Health Department Bureau (MCBHB) Adult and TAY Systems of Care in accordance with State/Medi-Cal guidelines. Interim Day Treatment Intensive staff provide mental health evaluation, treatment plan development, treatment, case management, and discharge planning. Services are site based group and individual therapeutic services and available for at least four hours per day, but not all services are delivered at the site. The program includes psychiatric services five days per week provided by MCBHB, symptom management, medication education and medication self-management support as prescribed by MCBHB psychiatrist. Transportation for clients to and from services is provided, as needed. A daily meal break and lunch are also provided.

The program is structured as a therapeutic milieu and includes daily community meetings, process groups, skill building groups, individual therapy, along with adjunctive therapies for physical and social health, case management, and community resource outings. Program staff have at least monthly contact with a family member, caregiver, or other significant support person identified by the client, such as MCBHB or Interim Case Coordinator. Clients are offered referrals to the Bienestar program (integrated health services) for physical health needs. Mutually agreed-upon written treatment plans are created that are authorized by the MCBHB or Interim Case Coordination staff.

3. Program Purpose

The Day Treatment Intensive program is a structured, multi-disciplinary program of therapy that is an alternative to hospitalization or step down from psychiatric hospitalization, avoiding clients' placement in a more restrictive setting, and maintaining clients in a community setting.

4. Desired Results

- Provide treatment to establish mental health stabilization and psychosocial skills building for consumers with serious mental illnesses.
- Improve emotional regulation, daily functioning, and social skills for clients with serious mental illnesses and personality disorder traits.
- Develop meaningful activities to assist with living independently or interpedently in community settings rather than hospitals, jails, or residential crisis treatment.

5. What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)

Evidenced Based Practice: Curricula is based on Cognitive-Behavioral Therapy and Skills training, Dialectical Behavior Therapy Skills training and adjunct therapies, Motivational Interviewing and Seeking Safety, and Trauma-Informed approaches.

| Goal | Activities/data measures |
|--|--|
| Provide more than four hours of Day Treatment Intensive program for up to 16 consumers in compliance with State regulations as informed by MCBHB in a Medi-Cal certified program site. | <p>Staff will:</p> <ul style="list-style-type: none"> • Collaborate with clients to create centered treatment plans, focusing on clients' strengths and needs. • Assist clients with integration and discharge planning into OMNI Center services. • Track outcomes supported by quantifiable numbers, e.g. number of hospitalizations, clinical observation, client self-report and stability indexes based on pre and post treatment. • Maintain a written program description that describes activities, including Community Meetings, Therapeutic Milieu, Process groups, Skill Building Groups, Adjunctive Therapies, Psychotherapy, Mental Health Crisis Protocol, and Written Weekly Schedule. • Provide and document contact with a family member, caregiver, or other significant support person who is identified by the client, such as MCBHB or Interim Case Coordinator, at least monthly and outside of hours of operation of the therapeutic program services. |
| 75% of clients will increase their ability to manage symptoms in order to improve their self-sufficiency. | <ul style="list-style-type: none"> • Counselors will monitor and document goal completion in Treatment Plans and progress notes (Avatar EMR). • Staff will implement pre and post the Recovery Assessment Scale - Short. Questions "My symptoms interfere less and less with my life" and "My symptoms seem to be a problem for shorter periods of time each time they occur." |

6. Who are the partners involved in program implementation?

MCBHB is the primary partner and all clients will have a MCBHB or Interim, Inc. Case Coordinator.

7. What is the eligibility criteria for admission to the program?

- Financial Eligibility: Short-Doyle/Medi-Cal eligible or meet the standards for low-income status.

- Referral through Interim Case Coordinators or MCBHB Service Coordinators with admission approval by Interim Program Director.
- Clients with serious mental illnesses and/or serious functioning impairments, referred by ASOC, TAY, and Interim Inc. who are able to safely participate with peers and staff in an outpatient, milieu setting. Upon discharge from MCBHB services or Interim, rehabilitative mental health and case management services will be terminated.
- Program staff will assess clients for appropriateness to the level of care, for compatibility with other clients. DSM V and ICD-10 diagnostic categories for serious mental illness: schizophrenia, bipolar disorders, schizoaffective disorders, serious mental illness that substantially interferes with the person's ability to carry out primary aspects of daily living in the community.

When a client is referred, but staff at Interim conclude client is not appropriate for the program, how will this be resolved? What is the discharge level of care? What is the anticipated length of service?

- Interim program staff will collaborate with MCBHB case coordinators to jointly create a viable community alternative referral plan for appropriate services.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

Interim serves economically disadvantaged populations who meet the standards for low-income status or are Short-Doyle/Medi-Cal eligible. MCBHB refers all of our clients.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

Staff is trained in Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individuals seeking services. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary.

Input from residents is provided through the consumer run Recovery Taskforce and daily community meetings. Interim offers support team meetings that include family members and other support team members as designated by consumers. Interim also hires peers and family members in every area of agency operations.

Staff receive training on Cultural and Linguistic Competency and Trauma Informed services. Bilingual Spanish services are provided as needed. Interpreters are available in other languages.

Services are integrated and coordinated with MCBHB and other providers in the area.

10. Program Capacity and Total Annual Number to Be Served in the Program.

Maximum program capacity is 16, with average daily attendance projected at 10 and approximate annual number to be served is 40.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---|--|--|
| Clinical Supervisor | .25 FTE | MA, Licensed Social Worker, MFT, 2 years' post-license preferred, 4 years' mental health program w/min 2 years' supervisory experience. |
| Program Director | .2 FTE | M.A. & License eligible required. 2 years' supervisory experience. |
| Clinical Specialist | 1 FTE | LMFT, LCSW, or LPCC |
| Counselor III/Clinician (Behavioral Health Clinician I) | 1 FTE | M.A., plus clinical license (LCSW, Professional Clinical Counselor, MFT, Psychologist or RN), or M.A., plus registered with BBS as registered intern (ASW, MFTI, PCI), and a 2 years' experience in a mental health field |
| Counselor II | 1 FTE | A.A. degree with 6 years' experience, or BA with 4 years' experience, or MA with 2 years' experience. |
| Wellness Navigator | 1 FTE | Direct experience as a consumer of public or private mental health services; completion of Human Services Certification, or Basic Skills Curriculum for the Mental Health Workforce, or Peer to Peer Certificate. High School Diploma/GED. |
| Relief Counselor | .23 FTE | High School diploma/GED, 1 year experience or BA substituted for experience. |

PROGRAM TWENTY:

1. **Program Name:** Chinatown Community Learning Center with California State University at Monterey Bay (CSUMB)

2. **Program Description:**

Address of Delivery Site: 20 Soledad St., Salinas, CA 93901

Program Schedule: Monday through Friday, 9am – 5pm.

Target # of Consumers: 300

Interim continues to sub-contract this service to CSUMB and provides oversight for CSUMB's Chinatown Community Learning Center (CCLC) initiative. The purpose of the collaboration is to enable CSUMB to continue to offer qualified Master of Social Work (MSW) support for the homeless and other marginalized populations in the Chinatown neighborhood of Salinas at the Chinatown Community Learning Center. The Community Learning Center is a resource center with office and classroom space devoted to serving the needs of the homeless and other marginalized residents of the Chinatown neighborhood and surrounding areas. The Center's staff provides structured learning opportunities, access to social services, and supports the development of micro-enterprise activities that serve the needs of the homeless and marginalized in Chinatown, many of whom are also struggling with mental health and addiction issues. Interim provides guidance on setting and meeting goals as well as monitor contract outcomes.

In 2015-16, CSUMB expanded their efforts to include a cohort of 4 MSW students working under the supervision of a faculty member. This unique faculty-led model allows for additional supportive training opportunities in agencies or communities with a dearth of professional social workers who can provide field supervision, or in high-risk, disenfranchised communities where student support is critical. This cohort of MSW students work as a team and provide 64 hours per week of social work service to Chinatown residents. The primary function of the team is to provide ongoing supportive counseling to clients, with a focus on pathways to housing and employment. Students are onsite and available to offer supportive case management, attempting to meet the challenges faced by client residents of Chinatown. They also work closely with related service providers to help clients move off the street and into housing and viable employment.

3. **Program Purpose**

The Chinatown community faces many challenges, as it serves as the main gathering place for homeless persons in our region. With a service-provider-to-client ratio that is sorely tipped toward under-

provision, the numbers of encampments growing, and a significant rise in violence and crime over the past year, the neighborhood and its residents continue to be at-risk, disenfranchised, marginalized and woefully underserved.

The Chinatown Community Learning Center program addresses a number of community needs including: providing clients with cognitive behavioral and other psychosocial education classes, which help clients develop coping skills; helping clients enroll in Medi-Cal and thereby helping them care for their health; helping clients enroll in CalFresh, and providing them with snacks, thereby helping clients increase their basic nutrition ; assisting clients with social security issues thereby helping some of them secure their own housing; assisting some clients secure employment within the Center, which helps them develop work skills, increases their income, and for some, allows them to provide for their own housing.

4. **Desired Results**

The Center provides a safe, warm, respectful, and inviting resource center devoted to serving the needs of the homeless and other marginalized residents of Chinatown. The Center contributes to a safer neighborhood by teaching a portion of the population coping skills, communication skills, conflict resolution skills, mood/anger management skills which all likely result in reduced violence.

5. **What evidence-based practice(s) will be used? How will fidelity be monitored? What are the measurable goals for the program (how will the program assess successful outcomes?)**

Evidenced Based Practice: Motivational Interviewing

Fidelity will be monitored through training, ongoing supervision and observation of intern practice.

| Goal | Measurement & Data Source |
|---|---|
| California State University, Monterey Bay Chinatown Community Learning Center (CSUMB CCLC) staff will work to assist two (2) clients per month toward the completion of supporting documentation necessary to begin the SSI application process as defined by MCBHB | CSUMB CCLC will track all unique client SSDI/SSI intake information and report activities to the contract monitor on a monthly basis. |

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| CSUMB CCLC will facilitate two (2) groups per week, employing a mental health/substance abuse focused evidence based practice, a skill building focus or a curriculum approved by Interim, Inc. and contract monitor. Groups can be rotated based on client need with approval of Interim Inc. contract monitor. | CSUMB CCLC will track all unique client/student participation information and report activities to the contract monitor on a monthly basis. |
| Provide assistance in applications for General Assistance, and/or Medi-Cal or other health benefits, and/or Food Stamps for two (2) individuals with mental illness per month. Services in conjunction with these applications may include assistance in obtaining identification and income verifications. Assistance may also include accompanying consumers to interviews, application appointments, hearings or other appointments necessary to procure benefits. | CSUMB CCLC will track all unique client/student participation information and report activities to the contract monitor on a monthly basis. |
| Provide access to the Chinatown Community Learning Center to Interim's MCHOME Program. Provide access to Chinatown Learning Center for a substance abuse prevention/treatment provider for counseling/support groups. Sub-Contractor is responsible for developing a method to ensure staff has access. | CSUMB CCLC will track all partner organization space use activity and report activities to the contract monitor on a monthly basis. |
| CSUMB CCLC will serve a minimum of twenty-five (25) unduplicated homeless clients/month. | CSUMB CCLC will track all unique client/student participation information and report activities to the contract monitor on a monthly basis. |
| CSUMB CCLC coordinators will meet with Interim, Inc. contract monitor bi-monthly and provide monthly reports to contract monitor on contract goals. | Bimonthly meetings will be set and maintained throughout the year. In cases of scheduling conflicts, alternatives will be arranged in order to maintain a |

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| | minimum of two meetings per month. |
| A minimum of four (4) CSUMB MSWs will provide services four (4) days per week, six (6) hours per day from July 1, 2016 to June 30, 2017. Service provision will be continuous during this time, including during students' traditional holiday breaks. | Student hours will be tracked by a signed and dated timesheet managed by Learning Center Staff. Special scheduling arrangements will be made for each student break to ensure consistent coverage of traditional academic breaks. |
| A minimum of four (4) CSUMB MSW students will provide 480 hours each of service in the Chinatown Community over the course of the contract. | Student hours will be tracked by a signed and dated timesheet managed by Learning Center Staff. |
| A minimum of four (4) CSUMB MSW students will carry an ongoing caseload of between 3-5 clients (24-50 total). For these clients they will provide necessary case management and/or situational crisis counseling services. | CSUMB will track all unique client/student caseload information and report activities to the contract monitor on a monthly basis. |
| A minimum of four (4) CSUMB MSW students will assist 1-2 clients in their caseload (4-8 total) to achieve housing and/or employment during this time period. | CSUMB will track all unique client/student caseload information and report activities to the contract monitor on a monthly basis. |
| CSUMB will ensure that a faculty person will provide 8 hours of service and supervision per week and will be responsible for data collection associated with the MSW interns work. | CSUMB will track professor supervision hours and report activities to the contract monitor on a monthly basis. Faculty person will meet with contract monitor bi-monthly. |
| A minimum of four (4) CSUMB MSW students must use the Homeless Management Information System (HMIS) and enter all clients served into this system. When doing intakes and evaluations, students will use established protocol and paperwork. Services for | CSUMB will track all unique client/student caseload information pertaining to HMIS entries and report activities to the contract monitor on a |

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|--|---|
| clients must be coordinated with other service providers to avoid duplication of services, which HMIS helps. | monthly basis. |
| CSUMB will provide clients with transportation to needed services whenever necessary and within the allowable guidelines of University policy. | CSUMB will track all unique client transportation occurrences and report activities to the contract monitor on a monthly basis. |

6. Who are the partners involved in program implementation?

The Community Learning Center enjoys active collaboration with Interim's MCHOME program as well as other service providers in the Chinatown area including Sun Street Centers, Clinica de Salud, Dorothy's Place, Victory Mission, Center for Independent Living, etc.

7. What are the eligibility criteria for admission to the program?

The population to be served is homeless adults in Chinatown Salinas, who may have a serious mental illness and/or substance use disorder. There are no eligibility criteria for engaging in the services the Chinatown Community Learning Center offers. They are voluntary clients who freely engage in services at their will.

8. What are the health equity goals (how the program will address health disparities and access to services, especially by underserved/unserved populations)?

The Community Learning Center program serves clients living in Chinatown area. These (approximately 170) people live in makeshift shelters and tents. They are generally jobless. They generally suffer from various degrees of mental health issues. Many are actively addicted to street drugs. Most are disconnected from family or other supportive networks. Many suffer significant health issues. They are a disenfranchised population in a medically underserved area. The Community Learning Center is open to all of them, and as such works to address health disparities through a program of supportive community case management and numerous other supportive education and counseling opportunities, all with a goal of helping this population access services.

9. How does the program demonstrate the following principles: Family and Consumer Driven; Accessible and Timely Services; Wellness and Recovery; Culturally and Linguistically Competent; Strength and Resiliency; Integrated and Coordinated Services.

The CSUMB Chinatown Community Learning Center MSW interns and center staff use Motivational Interviewing to ensure services align with the consumer's readiness for change. They are trained in and use a strengths-based and recovery focused model and deliver services that are based on individualized goals, set jointly between staff and individuals seeking services. Psychosocial educational and skill development groups are based on observed needs as well as ongoing feedback from participants. All services are voluntary.

Because the Center is located within the living environment of the homeless population and is open every day for voluntary walk-ins, services are accessible and timely. The addition of the MSW cohort has insured cultural/linguistic diversity among the service providers.

Services are integrated and coordinated with members of the Coalition of Homeless Services Providers and other providers in the Chinatown area.

10. Program Capacity and Total Annual Number to Be Served in the Program.

CSUMB's Chinatown Community Learning Center's Program has the capacity to serve up to 20-30 unique service users per day in the wide variety of services and classes offered. The annual number to be served is 300 unique individuals.

11. Staffing Information: List all positions by: Title, Amount of Time (Full Time Equivalent), License, Other Requirements.

| Title | Amount of Time (Full Time Equivalent) | License/Other Requirements |
|---------------------|--|-----------------------------------|
| Faculty Coordinator | .2 FTE | LCSW |
| Social Worker | .75 FTE | |
| 4 MSW Students | 1.63 FTE | |

B. COMMUNICATION AND COORDINATION BETWEEN CONTRACTOR AND COUNTY

CONTRACTOR, in collaboration with County, will identify service components such as Case Coordination, and by mutual agreement, protocols will be developed and/or modified to assure quality of care and timely access to services.

C. REPORTING REQUIREMENTS

CONTRACTOR will meet regularly with the designated MCBHB Deputy Director or Services Manager ("Contract Monitor") to monitor progress on consumer and program outcomes. MCBHB shall provide to CONTRACTOR the reporting requirements and instructions as required by the State Mental Health Services Oversight and Accountability Commission, the Department of Health Care Services and COUNTY.

For all programs, CONTRACTOR shall collect and report on a quarterly basis client demographic data, i.e. age, gender, race/ethnicity, preferred language and region of residence. CONTRACTOR shall collect and report each program's outcomes data at the mid-point and at the end of each fiscal year.

For programs funded with Mental Health Services Act Community Services & Supports funds and designated as "Full Service Partnership (FSP)" programs, CONTRACTOR shall collect and report the data on each client enrolled in FSP Services.

For programs funded with Mental Health Services Act Prevention & Early Intervention (PEI) funds, CONTRACTOR shall submit reports, consisting of participant demographic data for each service provided, as well as the program outcomes identified in the "Logic Model" document, as required by the PEI regulations. For Programs Fifteen through Eighteen and Twenty, CONTRACTOR will collaborate with Contract Monitor to develop the "Logic Model" and will provide feedback regarding program effectiveness as may be requested.

Reports shall be submitted on a quarterly basis no later than thirty (30) days following the end of each quarter to designated Contract Monitor, and for PEI programs, also to the PEI Coordinator. The "Logic Model" document will be reviewed at least annually and can be revised upon mutual agreement by CONTRACTOR and COUNTY, as part of the PEI Program Evaluation Reporting process.

DESIGNATED CONTRACT MONITOR:

Deputy Director of Behavioral Health, Adult Services
Behavioral Health Administration
1270 Natividad Road
Salinas, CA 93906
831-755-4708

EXHIBIT B
PAYMENT AND BILLING PROVISIONS

I. PAYMENT TYPES

Provisional Rates and Cash Flow Advances (CFA)

II. PAYMENT AUTHORIZATION FOR SERVICES

The COUNTY'S commitment to authorize reimbursement to the CONTRACTOR for services as set forth in this Exhibit B is contingent upon COUNTY authorized admission and service, and CONTRACTOR'S commitment to provide care and services in accordance with the terms of this Agreement.

III. PAYMENT RATES

A. PROVISIONAL RATE: COUNTY MAXIMUM REIMBURSEMENT (CMA)

Case Management and Mental Health Services shall be paid at the COUNTY Maximum Reimbursement (CMA) rates, which are provisional and subject to all the cost report conditions as set forth in this Exhibit B.

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The following program services will be paid in arrears, not to exceed the CMA rates for a total maximum of **\$22,997,892** for **FY 2016-17 through FY 2018-19** as follows:

| Program # in Exhibit A | Service Description | Mode of Service | Service Function Code | FY 2016-17 Units Of Service (est) | CMA Rate per Unit of Service (\$) | Estimated Total FY 2016-17 |
|---|------------------------------|-----------------|------------------------|-----------------------------------|-----------------------------------|----------------------------|
| 1 | Adult Crisis Residential | 5 | 40-49 | 4,553 | \$ 374.07 | \$ 1,703,120 |
| 2 | Bridge House: Residential | 10 | 65-79 | 4,374 | \$ 187.28 | \$ 819,158 |
| 3 | Bridge House: Day Rehab. | 15 | 95-99 | 2,746 | \$ 130.20 | \$ 357,522 |
| 7 | Rockrose Gardens - CM & MHS | 15 | 10-19 10-19 & 30-59 | 54,903 | CM MHS \$ 3.45 | \$ 189,308 |
| 8 | Lupine Gardens - CM & MHS | 15 | 01-09 10-19 & 30-59 | 83,903 | CM MHS \$ 3.45 | \$ 289,302 |
| 9 | Sunflower Gardens - CM & MHS | 15 | 01-09 10-19 & 30-59 | 95,806 | CM MHS \$ 3.45 | \$ 330,344 |
| 10 | MCHOME - CM & MHS | 15 | 01-09 10-19 & 30-59 | 207,413 | CM MHS \$ 3.45 | \$ 715,173 |
| 11 | Dual Recovery - CM & MHS | 15 | 01-09 10-19 & 30-59 | 134,716 | CM MHS \$ 3.45 | \$ 464,508 |
| Estimated Total FY 2016-17 for Programs # 7 - 11: | | | | | | \$ 1,988,635 |
| 4 | Community Housing - CM & MHS | 15 | 01-09 10-19 & 30-59 | 281,201 | CM MHS \$ 3.45 | \$ 969,596 |
| 5 | Sandy Shores - CM & MHS | 15 | 01-09 10-19 & 30-59 | 112,662 | CM MHS \$ 3.45 | \$ 388,463 |
| 6 | Shelter Cove - CM & MHS | 15 | 01-09 10-19 & 30-59 | 225,614 | CM MHS \$ 3.45 | \$ 777,931 |
| 13 | SEES - CM & MHS | 15 | 01-09 10-19 | 42,120 | CM MHS \$ 3.45 | \$ 145,231 |
| Estimated Total FY 2016-17 for Programs # 4, 5, 6 & 13 | | | | | | \$ 2,281,221 |
| 19 | Intensive Day Treatment | 15 | 01-09 10-19 | 2,281 | CM MHS \$226.35 | \$ 516,308 |
| Estimated Total FY 2016-17 for the above listed program: | | | | | | \$ 516,308 |
| Total FY 2016-17 | | | | | | \$ 4,786,164 |

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| Program # in Exhibit A | Service Description | Mode of Service | Service Function Code | FY 2017-18 Units Of Service (est) | CMA Rate per Unit of Service (\$) | Estimated Total FY 2017-18 |
|---|------------------------------|-----------------|------------------------|-----------------------------------|-----------------------------------|----------------------------|
| 1 | Adult Crisis Residential | 5 | 40-49 | 4,553 | \$ 374.07 | \$ 1,703,120 |
| 2 | Bridge House: Residential | 10 | 65-79 | 4,374 | \$ 187.28 | \$ 819,158 |
| 3 | Bridge House: Day Rehab. | 15 | 95-99 | 2,746 | \$ 130.20 | \$ 357,522 |
| 7 | Rockrose Gardens - CM & MHS | 15 | 10-19 10-19 & 30-59 | 54,903 | CM MHS | \$ 3.45 \$ 189,308 |
| 8 | Lupine Gardens - CM & MHS | 15 | 01-09 10-19 & 30-59 | 83,903 | CM MHS | \$ 3.45 \$ 289,302 |
| 9 | Sunflower Gardens - CM & MHS | 15 | 01-09 10-19 & 30-59 | 95,806 | CM MHS | \$ 3.45 \$ 330,344 |
| 10 | MCHOME - CM & MHS | 15 | 01-09 10-19 & 30-59 | 207,413 | CM MHS | \$ 3.45 \$ 715,173 |
| 11 | Dual Recovery - CM & MHS | 15 | 01-09 10-19 & 30-59 | 134,716 | CM MHS | \$ 3.45 \$ 464,508 |
| Estimated Total FY 2017-18 for Programs # 7 - 11: | | | | | | \$ 1,988,635 |
| 4 | Community Housing - CM & MHS | 15 | 01-09 10-19 & 30-59 | 281,201 | CM MHS | \$ 3.45 \$ 969,596 |
| 5 | Sandy Shores - CM & MHS | 15 | 01-09 10-19 & 30-59 | 112,662 | CM MHS | \$ 3.45 \$ 388,463 |
| 6 | Shelter Cove - CM & MHS | 15 | 01-09 10-19 & 30-59 | 225,614 | CM MHS | \$ 3.45 \$ 777,931 |
| 13 | SEES - CM & MHS | 15 | 01-09 10-19 | 42,120 | CM MHS | \$ 3.45 \$ 145,231 |
| Estimated Total FY 2017-18 for Programs # 4, 5, 6 & 13 | | | | | | \$ 2,281,221 |
| 19 | Intensive Day Treatment | 15 | 01-09 10-19 | 2,737 | CM MHS | \$188.64 \$ 516,308 |
| Estimated Total FY 2017-18 for the above listed program: | | | | | | \$ 516,308 |
| Total FY 2017-18 | | | | | | \$ 4,786,164 |

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| Program # in | Service Description | Mode of Service | Service Function | FY 2018-19 Units Of Service (est) | CMA Rate per Unit of Service (\$) | | Estimated Total FY 2018-19 |
|---|------------------------------|-----------------|------------------------|-----------------------------------|-----------------------------------|----------|----------------------------|
| 1 | Adult Crisis Residential | 5 | 40-49 | 4,553 | \$ | 374.07 | \$ 1,703,120 |
| 2 | Bridge House: Residential | 10 | 65-79 | 4,374 | \$ | 187.28 | \$ 819,158 |
| 3 | Bridge House: Day Rehab. | 15 | 95-99 | 2,746 | \$ | 130.20 | \$ 357,522 |
| 7 | Rockrose Gardens - CM & MHS | 15 | 10-19 10-19 & 30-59 | 54,903 | CM MHS | \$ 3.45 | \$ 189,308 |
| 8 | Lupine Gardens - CM & MHS | 15 | 01-09 10-19 & 30-59 | 83,903 | CM MHS | \$ 3.45 | \$ 289,302 |
| 9 | Sunflower Gardens - CM & MHS | 15 | 01-09 10-19 & 30-59 | 95,806 | CM MHS | \$ 3.45 | \$ 330,344 |
| 10 | MCHOME - CM & MHS | 15 | 01-09 10-19 & 30-59 | 207,413 | CM MHS | \$ 3.45 | \$ 715,173 |
| 11 | Dual Recovery - CM & MHS | 15 | 01-09 10-19 & 30-59 | 134,716 | CM MHS | \$ 3.45 | \$ 464,508 |
| Estimated Total FY 2018-19 for Programs # 7 - 11: | | | | | | | \$ 1,988,635 |
| 4 | Community Housing - CM & MHS | 15 | 01-09 10-19 & 30-59 | 281,201 | CM MHS | \$ 3.45 | \$ 969,596 |
| 5 | Sandy Shores - CM & MHS | 15 | 01-09 10-19 & 30-59 | 112,662 | CM MHS | \$ 3.45 | \$ 388,463 |
| 6 | Shelter Cove - CM & MHS | 15 | 01-09 10-19 & 30-59 | 225,614 | CM MHS | \$ 3.45 | \$ 777,931 |
| 13 | SEES - CM & MHS | 15 | 01-09 10-19 | 42,120 | CM MHS | \$ 3.45 | \$ 145,231 |
| Estimated Total FY 2018-19 for Programs # 4, 5, 6 & 13 | | | | | | | \$ 2,281,221 |
| 19 | Intensive Day Treatment | 15 | 01-09 10-19 | 2,737 | CM MHS | \$188.64 | \$ 516,308 |
| Estimated Total FY 2018-19 for the above listed program: | | | | | | | \$ 516,308 |
| Total FY 2018-19 | | | | | | | \$ 4,786,164 |

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B. CASH FLOW ADVANCE

Board & Care and other housing supports, dual recovery, homeless outreach, and peer-led wellness and recovery programs that provide non-Medi-Cal billable services shall be paid as Cash Flow Advances for a total maximum of **\$7,835,872** for **FY 2016-17 through FY 2018-19** as follows:

| Program # in Exhibit A | Interim Cost Reimbursement Services FY 16-17 | FY 16-17 Amount |
|------------------------------|---|--------------------|
| | Service Description | |
| 1 | Manzanita Adult Crisis: Board & Care | \$ 95,105 |
| 2 | Bridge House: Board & Care | \$ 78,119 |
| 4 | Community Housing: Housing | \$ 200,535 |
| 5 | Sandy Shores: Housing | \$ 124,709 |
| 6 | Shelter Cove: Housing | \$ 253,449 |
| 10 | McHome: Non-Medi-Cal/MHSA | \$ 440,074 |
| 10 | McHome: Non-Medi-Cal/PATH Grant | \$ 95,497 |
| 11 | Dual Recovery Services | \$ 37,762 |
| 12 | SAMHSA Support – Dual Diagnosis/SAMHSA Grant | \$ 93,276 |
| 12 | SAMHSA Support – Dual Diagnosis/MHSA | \$ 24,572 |
| 14 | Supported Education Services/WET: Non-Medi-Cal | \$ 221,948 |
| 15 | OMNI Resource Center: Wellness Recovery for Adults | \$ 546,132 |
| 16 | Peer Health Navigation & Advocacy: Success Over Stigma | \$ 75,355 |
| 17 | Peer Health Navigation & Advocacy: Bienestar | \$ 73,702 |
| 18 | Peer Support - Wellness Navigation & Peer Partners for Health | \$ 256,216 |
| 19 | Day Treatment Intensive | \$ 20,000 |
| 20 | Chinatown Community Learning Center with CSUMB | \$ 146,317 |
| | TOTAL FY 2016-17 | \$2,782,768 |

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| Program # in Exhibit A | Interim Cost Reimbursement Services FY 17-18 Service Description | FY 17-18 Amount |
|---------------------------------------|---|----------------------------|
| 1 | Manzanita Adult Crisis: Board & Care | \$ 95,105 |
| 2 | Bridge House: Board & Care | \$ 78,119 |
| 4 | Community Housing: Housing | \$ 200,535 |
| 5 | Sandy Shores: Housing | \$ 124,709 |
| 6 | Shelter Cove: Housing | \$ 253,449 |
| 10 | McHome: Non-Medi-Cal/MHSA | \$ 440,074 |
| 10 | McHome: Non-Medi-Cal/PATH Grant | \$ 95,497 |
| 11 | Dual Recovery Services | \$ 37,762 |
| 12 | SAMHSA Support – Dual Diagnosis/SAMHSA Grant | \$ 93,276 |
| 12 | SAMHSA Support – Dual Diagnosis/MHSA | \$ 24,572 |
| 14 | Supported Education Services/WET: Non-Medi-Cal | \$ 221,948 |
| 15 | OMNI Resource Center: Wellness Recovery for Adults | \$ 546,132 |
| 16 | Peer Health Navigation & Advocacy: Success Over Stigma | \$ 75,355 |
| 17 | Peer Health Navigation & Advocacy: Bienestar | \$ 73,702 |
| 18 | Peer Support - Wellness Navigation & Peer Partners for Health | pending |
| 19 | Day Treatment Intensive | \$ 20,000 |
| 20 | Chinatown Community Learning Center with CSUMB | \$ 146,317 |
| | TOTAL FY 2017-18 | \$2,526,552 |

| Program # in Exhibit A | Interim Cost Reimbursement Services FY 18-19 Service Description | FY 18-19 Amount |
|---------------------------------------|---|----------------------------|
| 1 | Manzanita Adult Crisis: Board & Care | \$ 95,105 |
| 2 | Bridge House: Board & Care | \$ 78,119 |
| 4 | Community Housing: Housing | \$ 200,535 |
| 5 | Sandy Shores: Housing | \$ 124,709 |
| 6 | Shelter Cove: Housing | \$ 253,449 |
| 10 | McHome: Non-Medi-Cal/MHSA | \$ 440,074 |
| 10 | McHome: Non-Medi-Cal/PATH Grant | \$ 95,497 |
| 11 | Dual Recovery Services | \$ 37,762 |
| 12 | SAMHSA Support – Dual Diagnosis/SAMHSA Grant | \$ 93,276 |
| 12 | SAMHSA Support – Dual Diagnosis/MHSA | \$ 24,572 |
| 14 | Supported Education Services/WET: Non-Medi-Cal | \$ 221,948 |
| 15 | OMNI Resource Center: Wellness Recovery for Adults | \$ 546,132 |
| 16 | Peer Health Navigation & Advocacy: Success Over Stigma | \$ 75,355 |
| 17 | Peer Health Navigation & Advocacy: Bienestar | \$ 73,702 |
| 18 | Peer Support - Wellness Navigation & Peer Partners for Health | pending |
| 19 | Day Treatment Intensive | \$ 20,000 |
| 20 | Chinatown Community Learning Center with CSUMB | \$ 146,317 |
| | TOTAL FY 2018-19 | \$2,526,552 |

IV. PAYMENT CONDITIONS

- A. If CONTRACTOR is seeking reimbursement for eligible services funded by the Short-Doyle/Medi-Cal, Mental Health Services Act ("MHSA"), SB 90, Federal or State Grants, and/or COUNTY funds provided pursuant to this Agreement, reimbursement for such services shall be based on actual cost of providing those services less any deductible revenues collected by the CONTRACTOR from other payer sources. In order to reduce COUNTY costs, the CONTRACTOR shall comply with all applicable provisions of the California Welfare and Institutions Code (WIC), the California Code of Regulations, the Code of Federal Regulations, and the federal Social Security Act related to reimbursements by non-County and non-State sources, including, but not limited to, collecting reimbursements for services from clients (which shall be the same as patient fees established pursuant to WIC section 5710) and from private or public third-party payers.

CONTRACTOR shall not claim reimbursement from COUNTY for (or apply sums received from COUNTY with respect to) that portion of its obligations which has been paid by another source of revenue. If CONTRACTOR is seeking reimbursement for mental health services provided pursuant to this Agreement, reimbursement for such services shall be based upon the actual allowable costs of providing those services less any deductible revenues, as stated above. Notwithstanding any other provision of this Agreement, in no event may CONTRACTOR request a rate that exceeds the COUNTY'S Maximum Allowances (CMA), which is based on the most recent State's Schedule of Maximum Allowances (SMA) as established by the State's Department of Mental Health. The SMA Schedule shall be used until COUNTY establishes the COUNTY'S rate Schedule of Maximum Allowances. CONTRACTOR shall be responsible for costs that exceed applicable CMAs. In no case shall payments to CONTRACTOR exceed CMAs. In addition to the CMA limitation, in no event shall the maximum reimbursement that will be paid by COUNTY to CONTRACTOR under this Agreement for any Program Amount be more than the amount identified for each Program Amount for each Funded Program, as identified in this Exhibit B, Section III. Said amounts shall be referred to as the "Maximum Obligation of County," as identified in this Exhibit B, Section V.

- B. To the extent a recipient of services under this Agreement is eligible for coverage under Short-Doyle/Medi-Cal or Medicaid or Medicare or any other Federal or State funded program ("an eligible beneficiary"), CONTRACTOR shall ensure that services provided to eligible beneficiaries are properly identified and claimed to the Funded Program responsible for such services to said eligible beneficiaries. For the Short-Doyle/Medi-Cal Funded Program, CONTRACTOR assumes fiscal responsibility for services provided to all individuals who do not have full-scope Medi-Cal or are not Medi-Cal eligible during the term of this Agreement.
- C. CONTRACTOR shall be responsible for delivering services to the extent that funding is provided by the COUNTY. To the extent that CONTRACTOR does not have

funds allocated in the Agreement for a Funded Program that pays for services to a particular eligible beneficiary, CONTRACTOR shall, at the first opportunity, refer said eligible beneficiary to another CONTRACTOR or COUNTY facility within the same geographic area to the extent feasible, which has available funds allocated for that Funded Program.

- D. In order to receive any payment under this Agreement, CONTRACTOR shall submit reports and claims in such form as General Ledger, Payroll Report and other accounting documents as needed, and as may be required by the County of Monterey Department of Health, Behavioral Health Bureau. Specifically, CONTRACTOR shall submit its claims on Cost Reimbursement Invoice Form provided as Exhibit G, to this Agreement, along with backup documentation, on a monthly basis, to COUNTY so as to reach the Behavioral Health Bureau no later than the thirtieth (30th) day of the month following the month of service. See Section III, above, for payment amount information to be reimbursed each fiscal year period of this Agreement. The amount requested for reimbursement shall be in accordance with the approved budget and shall not exceed the actual net costs incurred for services provided under this Agreement.

CONTRACTOR shall submit via email a monthly claim using Exhibit G, Cost Reimbursement Invoice Form in Excel format with electronic signature along with supporting documentations, as may be required by the COUNTY for services rendered to:

MCHDBHFinance@co.monterey.ca.us

- E. CONTRACTOR shall submit all claims for reimbursement under this Agreement within thirty (30) calendar days after the termination or end date of this Agreement. All claims not submitted after thirty (30) calendar days following the termination or end date of this Agreement shall not be subject to reimbursement by the COUNTY. Any claim(s) submitted for services that preceded thirty (30) calendar days prior to the termination or end date of this Agreement may be disallowed, except to the extent that such failure was through no fault of CONTRACTOR. Any "obligations incurred" included in claims for reimbursements and paid by the COUNTY which remain unpaid by the CONTRACTOR after thirty (30) calendar days following the termination or end date of this Agreement shall be disallowed, except to the extent that such failure was through no fault of CONTRACTOR under audit by the COUNTY.
- F. If CONTRACTOR fails to submit claim(s) for services provided under the terms of this Agreement as described above, the COUNTY may, at its sole discretion, deny payment for that month of service and disallow the claim.
- G. COUNTY shall review and certify CONTRACTOR'S claim either in the requested amount or in such other amount as COUNTY approves in conformity with this Agreement, and shall then submit such certified claim to the COUNTY Auditor. The

County Auditor-Controller shall pay the amount certified within thirty (30) calendar days of receiving the certified invoice.

- H. To the extent that the COUNTY determines CONTRACTOR has improperly claimed services to a particular Program Amount, COUNTY may disallow payment of said services and require CONTRACTOR to resubmit said claim of services for payment from the correct Program Amount, or COUNTY may make corrective accounting transactions to transfer the payment of the services to the appropriate Program Amount.
- I. If COUNTY certifies payment at a lesser amount than the amount requested COUNTY shall immediately notify the CONTRACTOR in writing of such certification and shall specify the reason for it. If the CONTRACTOR desires to contest the certification, the CONTRACTOR must submit a written notice of protest to the COUNTY within twenty (20) calendar days after the CONTRACTOR'S receipt of the COUNTY notice. The parties shall thereafter promptly meet to review the dispute and resolve it on a mutually acceptable basis. No court action may be taken on such a dispute until the parties have met and attempted to resolve the dispute in person.

V. MAXIMUM OBLIGATION OF COUNTY

- A. Subject to the limitations set forth herein, COUNTY shall pay to CONTRACTOR during the term of this Agreement a maximum amount of **\$ 30,833,764** for services rendered under this Agreement.
- B. Maximum Annual Liability:

| Payment Rates | FY 16-17 | FY 17-18 | FY 18-19 |
|---|----------------------|-----------------|-----------------|
| Provisional Rate | \$ 7,665,964 | \$ 7,665,964 | \$ 7,665,964 |
| Cash Flow Advance | \$ 2,782,768 | \$ 2,526,552 | \$ 2,526,552 |
| Annual Total | \$ 10,448,732 | \$ 10,192,516 | \$ 10,192,516 |
| AGREEMENT TOTAL MAXIMUM COUNTY LIABILITY | \$ 30,833,764 | | |

- C. If, as of the date of signing this Agreement, CONTRACTOR has already received payment from COUNTY for services rendered under this Agreement, such amount shall be deemed to have been paid out under this Agreement and shall be counted towards COUNTY'S maximum liability under this Agreement.

- D. If for any reason this Agreement is canceled, COUNTY'S maximum liability shall be the total utilization to the date of cancellation not to exceed the maximum amount listed above.
- E. As an exception to Section D. above with respect to the Survival of Obligations after Termination, COUNTY, any payer, and CONTRACTOR shall continue to remain obligated under this Agreement with regard to payment for services required to be rendered after termination.

VI. BILLING AND PAYMENT LIMITATIONS

- A. Provisional Payments: COUNTY payments to CONTRACTOR for performance of eligible services hereunder are provisional until the completion of all settlement activities and audits, as such payments are subject to future Federal, State and/or COUNTY adjustments. COUNTY adjustments to provisional payments to CONTRACTOR may be based upon COUNTY'S claims processing information system data, State adjudication of Medi-Cal and Healthy Families claims files, contractual limitations of this Agreement, annual cost and MHSA reports, application of various Federal, State, and/or COUNTY reimbursement limitations, application of any Federal, State, and/or COUNTY policies, procedures and regulations, and/or Federal, State, or COUNTY audits, all of which take precedence over monthly claim reimbursements.
- B. Allowable Costs: Allowable costs shall be the CONTRACTOR'S actual costs of developing, supervising and delivering the services under this Agreement, as set forth in the Budget provided in Exhibit H. Only the costs listed in Exhibit H of this Agreement as contract expenses may be claimed as allowable costs. Any dispute over whether costs are allowable shall be resolved in accordance with the provisions of applicable Federal, State and COUNTY regulations.
- C. Cost Control: CONTRACTOR shall not exceed by more than twenty (20%) percent any contract expense line item amount in the budget without the written approval of COUNTY, given by and through the Contract Administrator or Contract Administrator's designee. CONTRACTOR shall submit an amended budget using Exhibit H, or on a format as required by the COUNTY, with its request for such approval. Such approval shall not permit CONTRACTOR to receive more than the maximum total amount payable under this Agreement. Therefore, an increase in one line item shall require corresponding decreases in other line items.
- D. Other Limitations for Certain Funded Programs: In addition to all other limitations provided in this Agreement, reimbursement for services rendered under certain Funded Programs may be further limited by rules, regulations and procedures applicable only to that Funded Program. CONTRACTOR shall be familiar with said rules, regulations and procedures and submit all claims in accordance therewith.

- E. Adjustment of Claims Based on Other Data and Information: The COUNTY shall have the right to adjust claims based upon data and information that may include, but are not limited to, COUNTY'S claims processing information system reports, remittance advices, State adjudication of Medi-Cal claims, and billing system data.

VII. LIMITATION OF PAYMENTS BASED ON FUNDING AND BUDGETARY RESTRICTIONS

- A. This Agreement shall be subject to any restrictions, limitations, or conditions imposed by State which may in any way affect the provisions or funding of this Agreement, including, but not limited to, those contained in State's Budget Act.
- B. This Agreement shall also be subject to any additional restrictions, limitations, or conditions imposed by the Federal government which may in any way affect the provisions or funding of this Agreement.
- C. In the event that the COUNTY'S Board of Supervisors adopts, in any fiscal year, a COUNTY Budget which provides for reductions in COUNTY Agreements, the COUNTY reserves the right to unilaterally reduce its payment obligation under this Agreement to implement such Board reductions for that fiscal year and any subsequent fiscal year during the term of this Agreement, correspondingly. The COUNTY'S notice to the CONTRACTOR regarding said reduction in payment obligation shall be provided within thirty (30) calendar days of the Board's approval of such action.
- D. Notwithstanding any other provision of this Agreement, COUNTY shall not be obligated for CONTRACTOR'S performance hereunder or by any provision of this Agreement during any of COUNTY'S current or future fiscal year(s) unless and until COUNTY'S Board of Supervisors appropriates funds for this Agreement in COUNTY'S Budget for each such fiscal year. In the event funds are not appropriated for this Agreement, then this Agreement shall terminate as of June 30 of the last fiscal year for which funds were appropriated. COUNTY shall notify CONTRACTOR of any such non-appropriation of funds at the earliest possible date and the services to be provided by the CONTRACTOR under this Agreement shall also be reduced or terminated.

VIII. BILLING PROCEDURES AND LIMITATIONS ON COUNTY'S FINANCIAL RESPONSIBILITY FOR PAYMENT OF SERVICES UNDER FEDERAL SOCIAL SECURITY ACT, TITLE XIX SHORT-DOYLE/MEDI-CAL SERVICES AND/OR TITLE XXI HEALTHY FAMILIES

The Short-Doyle/Medi-Cal (SD/MC) claims processing system enables California county Mental Health Plans (MHPs) to obtain reimbursement of Federal funds for medically necessary specialty mental health services provided to Medi-Cal-eligible beneficiaries and to Healthy Families subscribers diagnosed as Seriously Emotionally Disturbed (SED). The Mental Health Medi-Cal program oversees the SD/MC claims processing

system. Authority for the Mental Health Medi-Cal program is governed by Federal and California statutes.

- A. If, under this Agreement, CONTRACTOR has Funded Programs that include Short-Doyle/Medi-Cal services and/or Healthy Families services, CONTRACTOR shall certify in writing annually, by August 1 of each year, that all necessary documentation shall exist at the time any claims for Short-Doyle/Medi-Cal services and/or Healthy Families services are submitted by CONTRACTOR to COUNTY.

CONTRACTOR shall be solely liable and responsible for all service data and information submitted by CONTRACTOR.

- B. CONTRACTOR acknowledges and agrees that the COUNTY, in under taking the processing of claims and payment for services rendered under this Agreement for these Funded Programs, does so as the Mental Health Plan for the Federal, State and local governments.
- C. CONTRACTOR shall submit to COUNTY all Short-Doyle/Medi-Cal, and/or Healthy Families claims or other State required claims data within the thirty (30) calendar day time frame(s) as prescribed by this Agreement to allow the COUNTY to meet the time frames prescribed by the Federal and State governments. COUNTY shall have no liability for CONTRACTOR'S failure to comply with the time frames established under this Agreement and/or Federal and State time frames, except to the extent that such failure was through no fault of CONTRACTOR.
- D. COUNTY, as the Mental Health Plan, shall submit to the State in a timely manner claims for Short-Doyle/Medi-Cal services, and/or Healthy Families services only for those services/activities identified and entered into the COUNTY'S claims processing information system which are compliant with Federal and State requirements. COUNTY shall make available to CONTRACTOR any subsequent State approvals or denials of such claims upon request by the CONTRACTOR.
- E. CONTRACTOR acknowledges and agrees that COUNTY'S final payment for services and activities claimed by CONTRACTOR Short-Doyle/Medi-Cal services and/or Healthy Families services is contingent upon reimbursement from the Federal and State governments and that COUNTY'S provisional payment for said services does not render COUNTY in any way responsible for payment of, or liable for, CONTRACTOR'S claims for payment for these services.
- F. CONTRACTOR'S ability to retain payment for such services and/or activities is entirely dependent upon CONTRACTOR'S compliance with all laws and regulations related to same.
- G. Notwithstanding any other provision of this Agreement, CONTRACTOR shall hold COUNTY harmless from and against any loss to CONTRACTOR resulting from the denial or disallowance of claim(s) for or any audit disallowances related to said services, including any State approved Title XIX Short-Doyle/Medi-Cal and/or Medi-

Cal Administrative Activities, and/or Title XXI Healthy Families services/activities, by the Federal, State or COUNTY governments, or other applicable payer source, unless the denial or disallowance was due to the fault of the COUNTY.

- H. CONTRACTOR shall repay to COUNTY the amount paid by COUNTY to CONTRACTOR for Title XIX Short-Doyle/Medi-Cal and/or Medi-Cal Administrative Activities, and/or Title XXI Healthy Families services/ activities subsequently denied or disallowed by Federal, State and/or COUNTY government.
- I. Notwithstanding any other provision of this Agreement, CONTRACTOR agrees that the COUNTY may off set future payments to the CONTRACTOR and/or demand repayment from CONTRACTOR when amounts are owed to the COUNTY pursuant to Subparagraphs G. and H. above. Such demand for repayment and CONTRACTOR'S repayment shall be in accordance with Exhibit I, Section IV (Method of Payments for Amounts Due to County) of this Agreement.
- J. CONTRACTOR shall comply with all written instructions provided to CONTRACTOR by the COUNTY, State or other applicable payer source regarding claiming and documentation.
- K. Nothing in this Section VIII shall be construed to limit CONTRACTOR'S rights to appeal Federal and State settlement and/or audit findings in accordance with the applicable Federal and State regulations.

IX. PATIENT/CLIENT ELIGIBILITY, UMDAP FEES, THIRD PARTY REVENUES, AND INTEREST

- A. CONTRACTOR shall comply with all Federal, State and COUNTY requirements and procedures relating to:
 - (a) The determination and collection of patient/client fees for services hereunder based on the Uniform Method of Determining Payment (UMDAP), in accordance with the State Department of Mental Health guidelines and WIC sections 5709 and 5710.
 - (b) The eligibility of patients/clients for Short-Doyle/Medi-Cal, Medicaid, Medicare, private insurance, or other third party revenue, and the collection, reporting and deduction of all patient/client and other revenue for patients/clients receiving services hereunder. CONTRACTOR shall pursue and report collection of all patient/client and other revenue.
- B. All fees paid by patients/clients receiving services under this Agreement and all fees paid on behalf of patients/clients receiving services hereunder shall be utilized by CONTRACTOR only for the delivery of mental health service/activities specified in this Agreement.

- C. CONTRACTOR may retain unanticipated program revenue, under this Agreement, for a maximum period of one Fiscal Year, provided that the unanticipated revenue is utilized for the delivery of mental health services/activities specified in this Agreement. CONTRACTOR shall report the expenditures for the mental health services/activities funded by this unanticipated revenue in the Annual Report(s) and Cost Report Settlement submitted by CONTRACTOR to COUNTY.
- D. CONTRACTOR shall not retain any fees paid by any sources for, or on behalf of, Medi-Cal beneficiaries without deducting those fees from the cost of providing those mental health services for which fees were paid.
- E. CONTRACTOR may retain any interest and/or return which may be received, earned or collected from any funds paid by COUNTY to CONTRACTOR, provided that CONTRACTOR shall utilize all such interest and return only for the delivery of mental health services/activities specified in this Agreement.
- F. Failure of CONTRACTOR to report in all its claims and in its Annual Report(s) and Cost Report Settlement all fees paid by patients/clients receiving services hereunder, all fees paid on behalf of patients/clients receiving services hereunder, all fees paid by third parties on behalf of Medi-Cal beneficiaries receiving services and/or activities hereunder, and all interest and return on funds paid by COUNTY to CONTRACTOR, shall result in:
 - 1. CONTRACTOR'S submission of a revised claim statement and/or Annual Report(s) and Cost Report Settlement showing all such non-reported revenue.
 - 2. A report by COUNTY to State of all such non-reported revenue including any such unreported revenue paid by any sources for or on behalf of Medi-Cal beneficiaries and/or COUNTY'S revision of the Annual Report(s).
 - 3. Any appropriate financial adjustment to CONTRACTOR'S reimbursement.

X. CASH FLOW ADVANCE IN EXPECTATION OF SERVICES/ ACTIVITIES TO BE RENDERED OR FIXED RATE PAYMENTS

- A. The Maximum Contract Amount for each period of this Agreement includes Cash Flow Advance (CFA) or fixed rate payments which is an advance of funds to be repaid by CONTRACTOR through the provision of appropriate services/activities under this Agreement during the applicable period.
- B. For each month of each period of this Agreement, COUNTY shall reimburse CONTRACTOR based upon CONTRACTOR'S submitted claims for rendered services/activities subject to claim edits, and future settlement and audit processes.
- C. CFA shall consist of, and shall be payable only from, the Maximum Contract Amount for the particular fiscal year in which the related services are to be rendered and upon which the request(s) is (are) based.

- D. CFA is intended to provide cash flow to CONTRACTOR pending CONTRACTOR'S rendering and billing of eligible services/activities, as identified in this Exhibit B, Sections III. and V., and COUNTY payment thereof. CONTRACTOR may request each monthly Cash Flow Advance only for such services/activities and only to the extent that there is no reimbursement from any public or private sources for such services/activities.
- E. Cash Flow Advance (CFA) Invoice. For each month for which CONTRACTOR is eligible to request and receive a CFA, CONTRACTOR must submit to the COUNTY an invoice of a CFA in a format that is in compliance with the funding source and the amount of CFA CONTRACTOR is requesting. In addition, the CONTRACTOR must submit supporting documentation of expenses incurred in the prior month to receive future CFAs.
- F. Upon receipt of the Invoice, COUNTY, shall determine whether to approve the CFA and, if approved, whether the request is approved in whole or in part.
- G. If a CFA is not approved, COUNTY will notify CONTRACTOR within ten (10) business days of the decision, including the reason(s) for non-approval. Thereafter, CONTRACTOR may, within fifteen (15) calendar days, request reconsideration of the decision.
- H. Year-end Settlement. CONTRACTOR shall adhere to all settlement and audit provisions specified in Exhibit I, of this Agreement, for all CFAs received during the fiscal year.
- I. Should CONTRACTOR request and receive CFAs, CONTRACTOR shall exercise cash management of such CFAs in a prudent manner.

XI. AUTHORITY TO ACT FOR THE COUNTY

The Director of the Health Department of the County of Monterey may designate one or more persons within the County of Monterey for the purposes of acting on his/her behalf to implement the provisions of this Agreement. Therefore, the term "Director" in all cases shall mean "Director or his/her designee."

| INTERIM INC. - FY 16-17 | | | | | | | | | | | |
|-------------------------|---|-----------------|-----------------------|--------------|-------------|-----------------|------------|--------|-----------|-----------|---|
| Proj # | Program | Mode of Service | Service Function Code | Payment Rate | Realignment | FUNDING SOURCES | | | | | Total Funding Needed County Contract Programs |
| | | | | | | SAMHSA | FPF/Med-Cd | PATH | MHSA/CSS | MHSA/PEI | |
| 1 | Marzanita Hse - Adult Crisis Residential | 05 | 40-49 | Provisional | 851,580 | | 851,580 | | | | 1,703,120 |
| 2 | Bridge - Adult Residential | 05 | 65-79 | Provisional | 403,579 | | 403,579 | | | | 819,159 |
| 3 | Bridge - Day Rehabilitation | 10 | 95-99 | Provisional | 173,761 | | 173,761 | | | | 357,522 |
| 19 | Intensive Day Treatment | | | Provisional | 253,154 | | 253,154 | | | | 516,308 |
| | | | | | 1,690,184 | | 1,690,184 | | | | 3,395,108 |
| 11 | Dual Recovery - Case Mgmt/Mental Health Svcs | 15 | 01-09 / 10-19 | Provisional | | | 232,254 | | 232,254 | | 464,508 |
| 7 | Rockrose- Case Mgmt/Mental Health Svcs | 15 | 01-09 / 10-19 | Provisional | | | 94,654 | | 94,654 | | 189,308 |
| 8 | Lupine - Case Mgmt/Mental Health Svcs | 15 | 01-09 / 10-19 | Provisional | | | 144,651 | | 144,651 | | 289,302 |
| 9 | Sunflower - Case Mgmt/Mental Health Svcs | 15 | 01-09 / 10-19 | Provisional | | | 165,172 | | 165,172 | | 330,344 |
| 10 | McDonna - Case Mgmt/Mental Health Svcs (includes Soledad) | 15 | 01-09 / 10-19 | Provisional | | | 357,537 | | 357,537 | | 715,173 |
| | | | | | | | 994,516 | | 994,516 | | 1,989,032 |
| 4 | Community Housing - Case Mgmt/Mental Health Svcs | 15 | 01-09 / 10-19 | Provisional | 484,798 | | 484,798 | | | | 969,596 |
| 5 | Sandy Shores - Case Mgmt/Mental Health Svcs | 15 | 01-09 / 10-19 | Provisional | 194,222 | | 194,222 | | | | 388,463 |
| 6 | Shelter Cove - Case Mgmt/Mental Health Svcs | 15 | 01-09 / 10-19 | Provisional | 388,966 | | 388,966 | | | | 777,931 |
| 13 | SEES Supp ED- Case Mgmt/Mental Health Svcs | 15 | 01-09 / 10-19 | Provisional | 72,616 | | 72,616 | | | | 145,231 |
| | | | | | 140,074 | | 140,074 | | | | 2,267,222 |
| 1 | Marzanita Hse - Adult Crisis Board & Care | 60 | 40-49 | CF Advance | 95,105 | | | | | | 95,105 |
| 2 | Bridge - Residential - Board & Care | 60 | 40-49 | CF Advance | 78,119 | | | | | | 78,119 |
| 4 | Community Housing | 60 | 70 | CF Advance | 200,535 | | | | | | 200,535 |
| 5 | Sandy Shores - Housing | 60 | 70 | CF Advance | 124,709 | | | | | | 124,709 |
| 6 | Shelter Cove - Housing | 60 | 70 | CF Advance | 253,449 | | | | | | 253,449 |
| 10 | McDonna - Outreach (includes Soledad House & Wesley O | 60 | 70 | CF Advance | | | | 95,497 | 440,074 | | 535,571 |
| 11 | Dual Recovery Services | 60 | 70 | CF Advance | | | | | | | 37,762 |
| 12 | SAMHSA Support - Dual Diagnosis | 60 | 76 | CF Advance | | 93,276 | | | 24,572 | | 117,848 |
| 14 | WET - Fixed Rate | 60 | 70 | CF Advance | | | | | 221,948 | | 221,948 |
| 15 | OMNI - Wellness Recovery Center - Adults | 60 | 70 | CF Advance | | | | | | 546,132 | 546,132 |
| 16 | Success Over Stigma (SOS) | 60 | 70 | CF Advance | | | | | | 75,355 | 75,355 |
| 17 | BeneStar | 60 | 70 | CF Advance | | | | | | 73,702 | 73,702 |
| 18 | Peer Support & Peer Partners for Health | 60 | 70 | CF Advance | | | | | | 256,216 | 256,216 |
| 19 | Intensive Day Treatment | 60 | 40-49 | CF Advance | 20,000 | | | | | | 20,000 |
| 20 | CSUMB | 60 | 70 | CF Advance | | | | | | 146,317 | 146,317 |
| TOTALS | | | | | | 93,276 | 3,832,933 | 95,497 | 1,718,574 | 1,067,722 | 10,448,732 |
| | | | | | | 3,510,582 | | | | | |

COUNTY reserves the right to adjust the funding sources as may be necessary during the term of the Agreement.