

# **MONTEREY COUNTY RESOURCE MANAGEMENT AGENCY**

PUBLIC WORKS – ROADS & BRIDGES

## **JOB ORDER CONTRACTING 2018 - ROADS & BRIDGES PROJECT SPECIFICATIONS**



**PROJECT: JOC 2018**

**BID NOS.: ROADS & BRIDGES 2018-01  
ROADS & BRIDGES 2018-02  
ROADS & BRIDGES 2018-03**


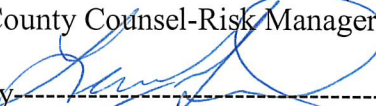
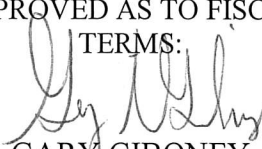
## COVER SHEET

# JOC 2018 - ROADS & BRIDGES PROJECT SPECIFICATIONS

**PROJECT NO.** JOC 2018

**BID NOS.**        **ROADS & BRIDGES 2018-01**  
                      **ROADS & BRIDGES 2018-02**  
                      **ROADS & BRIDGES 2018-03**

7-10-2018

<p>APPROVED AS TO FORM:</p> <div style="text-align: center;"> <b>MARY GRACE PERRY</b> Deputy County Counsel</div>
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<p>APPROVED AS TO FISCAL TERMS:</p> <div style="text-align: center;"> <b>GARY GIBONEY</b> Chief Deputy Auditor Controller</div>

# TITLE SHEET

BOARD OF SUPERVISORS  
COUNTY OF MONTEREY  
STATE OF CALIFORNIA

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John M. Phillips  
Simón Salinas  
Jane Parker  
Mary Adams

Lew C. Bauman, P.E., Ph.D., County Administrative Officer

Carl P. Holm, AICP, Resource Management Agency (RMA) Director  
Neville Pereira, P.E., CBO, Interim RMA Deputy Director of Public Works,  
Parks and Facilities

## JOC 2018 – ROADS & BRIDGES PROJECT SPECIFICATIONS

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**BID NOS.: ROADS & BRIDGES 2018-01  
ROADS & BRIDGES 2018-02  
ROADS & BRIDGES 2018-03**

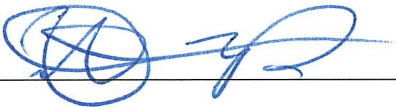
MONTEREY COUNTY

The Construction Task Catalog® and Technical Specifications were prepared by The Gordian Group, Inc., under the direct supervision of:

JONATHAN PASCUA  
SR. CIVIL ENGINEER  
ROAD & BRIDGE ENGINEERING

Signature

Date



7/10/18

RMA-PUBLIC WORKS, PARKS & FACILITIES  
1441 SCHILLING PLACE-SOUTH, 2<sup>ND</sup> FLOOR  
SALINAS, CALIFORNIA 93901-2438  
(831)755-4800

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# **JOC BID CONTACTS**

## **DIVISION 00: CONTRACTS AND PURCHASING**

**PROJECT NO. JOC 2018**

**BID NOS.: ROADS & BRIDGES 2018-01  
ROADS & BRIDGES 2018-02  
ROADS & BRIDGES 2018-03**

### **OWNER**

**COUNTY OF MONTEREY  
RESOURCE MANAGEMENT AGENCY - PUBLIC WORKS, PARKS & FACILITIES  
1441 SCHILLING PLACE-SOUTH 2<sup>ND</sup> FLOOR  
SALINAS, CALIFORNIA 93901-4527  
TELEPHONE: (831)755-4800 FACSIMILE: (831) 755-4958**



# **INFORMATION FOR BIDDERS**

Division 00200

## **1. Invitation to Submit Bids**

County of Monterey (County) invites bids to be submitted at such time and place stated in the Notice to Bidders. **The Notice to Bidders is advertised in a newspaper of general circulation and is posted as a separate document on County of Monterey website, RMA-Public Works project page.** This "Information to Bidders" is intended to assist bidders in the preparation of their bids. If there is any inconsistency between the terms herein and any of the other Contract Documents, the terms in the other Contract Documents shall prevail.

## **2. Examination of JOC 2018 Roads & Bridges Bidding and Contract Documents**

Project Specifications, Construction Task Catalog<sup>®</sup> and Technical Specifications may be examined at RMA-PUBLIC WORKS, PARKS & FACILITIES, 1441 SCHILLING PLACE-SOUTH, 2ND FLOOR, SALINAS, CALIFORNIA 93901-2438/ telephone: (831)755-4800. Contract Documents may be viewed/downloaded/printed free directly from County RMA-Public Works project page: <http://www.co.monterey.ca.us/government/departments-i-z/resource-management-agency-rma/public-works-facilities>

Interested parties must register to view or download documents. Alternately, they may engage a printing service of their choosing to download and print documents from County project page. Contract documents may also be available to view at builders' exchanges listed on the same project page. Members of Ebidboard can access materials directly from its website.

Each bidder shall thoroughly examine and become familiar with the Project Specifications, Construction Task Catalog<sup>®</sup> (CTC), and Technical Specifications. The failure or omission of any bidder to receive or examine any Contract Documents, form, instrument, addendum, or other documents shall in no way relieve such bidder from obligations with respect to such bid or to the Contract. Submission of a bid shall be taken as prima facie evidence of compliance with this section.

## **3. Mandatory Bidders' Meeting**

A mandatory bidders' meeting is required as specified in the Notice to Bidders. A qualified representative of the bidder's firm must attend at the stated time and place. **Interested Parties are responsible to check the Notice to Bidders available on the RMA-Public Works project page for date/time/place of the Mandatory Bidders' Meeting with County Project Manager.** Failure to attend will be cause for rejection of the bid. Any bid received from a bidder who did not fully attend the mandatory bidders' meeting at the stated time and place will be returned unopened. "Fully attend" means attending the entire meeting from start to finish; late arrivals and early departures may be cause for rejection of the bid.

## **4. Contractor's License and Registration Requirements**

License Requirements: Each bidder must be licensed to perform the project in accordance with the provisions of Contractors' State Licensing Law, Chapter 9 (commencing with Section 7000) of Division 3 of the Business and Professions Code, and in accordance with the Notice to Bidders. Contractor's license number and expiration date of the license shall appear on the bid. The classification of Contractor's License required for this project is "A".

Registration Requirements: This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations. No contractor may be awarded a Job Order Contract unless registered with the Department of Industrial Relations. County's Notice of Intent to Award requires that each contractor shall submit as part of their Contract Documents a printout showing active registration with the Public Works Contractor Registration (online registration <https://efiling.dir.ca.gov/PWCR/Search>).

Pursuant to Labor Code Section 1771.1(a), a contractor or subcontractor shall not be qualified to bid on, be listed in a bid proposal, subject to the requirements of Section 4101 of the Public Contract Code, or engage in the performance of any contract for public work, as defined in this chapter, unless currently registered and qualified to perform public work pursuant to Section Labor Code Section 1725.5. It is not a violation of this Section for an unregistered contractor to submit a bid that is authorized by Section 7029.1 of the Business and Professions Code or by Section 10164 or 20103.5 of the Public Contract Code, provided Contractor is registered to perform public work pursuant to Labor Code Section 1725.5 at the time the contract is awarded. For Contractor Registration, go to: <http://www.dir.ca.gov/Public-Works/PublicWorks.html>.

#### 5. Other Requirements

Bidders shall meet the following experience requirements:

- A) Contractors submitting a bid to County shall have a minimum five years continuous experience as prime on projects of comparable quality, size, complexity and type, multi-trade, \$100,000 - \$1,000,000, Public projects.
- B) Contractors submitting a bid to County shall have completed as the prime three projects of comparable quality, size, complexity, and type.
- C) Contractors submitting a bid to County shall, at the time of bid, have a staffed office located within the Monterey Bay Area (Monterey, Santa Cruz, or San Benito counties).
- D) Contractors submitting a bid to County shall be a responsive and responsible bidder. Responsibility will be determined from reliable information concerning ownership, management, skill, judgment, integrity, financial resources, performance of other contracts, conduct, claims, labor practices, and compliance with relevant laws and regulations. Such information may be obtained from bidder, State compliance and regulatory agencies and/or through independent investigation by the County.

Failure to meet any or all of the above criteria may be cause for rejection of the bid.

#### 6. Preparation of Bid Form

All bids must be submitted on the prescribed form. All blanks in the bid form must be appropriately filled in, and all Adjustment Factors must be specified to four (4) decimal places. The Adjustment Factors multiplied by the Tasks in the Construction Task Catalog® must include full compensation for providing all labor, materials, services, tools, equipment and whatever else is required to perform all Work in accordance with the requirements of the Contract. All bids must be submitted in separate sealed envelopes bearing on the outside the bidder's name and address, the bid date and time, and shall be marked in the lower left corner ("bid for" JOC ROADS & BRIDGES 2018-01 or JOC ROADS & BRIDGES 2018-02 or JOC ROADS & BRIDGES 2018-03). It is the sole responsibility of the bidder to see that the bid is received in proper time. Any bid received after the scheduled closing time for receipt of bids will be returned to the bidder unopened.

#### 7. Erasures

The bid submitted must not contain any erasures, interlineations, or other corrections unless each such correction is suitably authenticated by affixing in the margin immediately opposite the correction the surname or surnames of the person or persons signing the bid, in the named person's own handwriting.

#### 8. Modifications

Changes in or additions to the bid form, recapitulations of the Work bid upon, alternative proposals, or any other modification of the bid form which is not specifically called for in the Contract Documents may result in County's rejection of the bid as not being responsive to the invitation to bid. No oral or telephonic modification of any bid submitted will be considered. A telegraphic or telefax modification may be considered.

#### 9. Signatures

The bid must be signed in the name of the bidder and must bear the signature in longhand of the person or persons duly authorized to sign the bid. An original signature is required.

#### 10. Interpretation of Contract Documents

If any person/entity contemplating submitting a bid for the proposed Contract is in doubt as to the true meaning of any part of the Project Specifications, Construction Task Catalog<sup>®</sup> and Technical Specifications and finds discrepancies in the aforementioned documents, he/she/it may submit to County a written request for an interpretation or correction thereof. The person/entity submitting the request shall be responsible for its prompt delivery. Any interpretation or correction of the Contract Documents will be made only by official project Q&A (questions/answers) or addendum duly issued, and a copy of such will be posted on County website, RMA-Public Works' project page  
<http://www.co.monterey.ca.us/government/departments-i-z/resource-management-agency-rma/public-works-facilities>

No oral interpretation of any provision in the Contract Documents will be made.

#### 11. Bidding Questions

All questions regarding the project during the bidding process must be made in writing to the attention of the Bid Processing Coordinator listed on the RMA-Public Works Project Page.

Contact information is listed on County website RMA-Public Works' project page. Deadline for receipt of written questions is posted on the RMA-PUBLIC WORKS, PARKS & FACILITIES project page. Answers to all questions and any addendum regarding the project will be posted on the County website, RMA-PUBLIC WORKS, PARKS & FACILITIES project page

<http://www.co.monterey.ca.us/government/departments-i-z/resource-management-agency-rma/public-works-facilities> Select "projects out to bid" then "project name".

#### 12. Bid Security

Each bid shall be accompanied by bidder's security in the form of cash, a certified or cashier's check payable to County, or a satisfactory bid bond in the form included in this bid book (Division 00440) in favor of County executed by the bidder as principal and a satisfactory corporate surety authorized to do business in the State of California as an admitted surety insurer, in the amount of \$25,000. The security shall be given as a guarantee that, if the Contract is awarded to the bidder,

the bidder will execute the Contract, provide any required insurance certificates, and provide any payment and performance bonds required by the Contract within ten (10) workdays after the bidder receives the Notice of Intent to Award letter. After ten (10) workdays, if the proper bond and insurance documents are not submitted by the lowest responsive bidder, County has the right to determine that bid nonresponsive and contact the second lowest responsive bidder.

### 13. Listing Subcontractors

Because this Job Order Contract (JOC) is an indefinite quantity contract pursuant to which Contractor will perform a variety of Job Orders, Contractor must designate Subcontractors, in accordance with California Public Contracts Code Section 4100 to 4113, inclusive, in each Job Order Proposal Package and not with this bid. As part of each Job Order Proposal Package, the awarded JOC Contractor shall provide a list giving the name and location of place of business, license number, subcontract value, and any other information County deems relevant of each subcontractor who will perform a portion of the Job Order Work in an amount in excess of one-half of one percent of the Job Order Proposal. In each instance the nature and extent of the Work to be subcontracted shall be described. Additionally, Contractor will provide a printout showing an active registration for each designated Subcontractor with the Public Works Contractor Registration (online registration <https://efiling.dir.ca.gov/PWCR/Search>).

Additional WBE/MBE/DBE Subcontractor Participation goals may apply to Projects funded in part or whole by State funds. Contractor is responsible for compliance with all applicable Subcontractor participation goals applying to the use of such funds for Projects completed under this Contract. The details of the participation requirements will be set forth in the Job Order. All subcontractors on any project are required to comply with the Good-Faith Effort to Employ Residents of Monterey Bay Area of Section 5.08.120 of the Monterey County Code.

### 14. Prevailing Wage

Pursuant to Section 1773 of the Labor Code, the general prevailing wage rates in County, in which the Work is to be done have been determined by the Director of the California Department of Industrial Relations and are available at the RMA-Public Works, 1441 SCHILLING PLACE-SOUTH, 2<sup>nd</sup> Floor, Salinas, California 93901, and available from the California Department of Industrial Relations' Internet web site at <http://www.dir.ca.gov/DLSR/PWD>.

It shall be mandatory upon Contractor to whom the Contract is awarded, and upon any subcontractor under him/her/it, to pay not less than said specified rates to all workers employed by them in the execution of the Contract.

### 15. Workers' Compensation Certificate.

In accordance with the provisions of Section 3700 of the Labor Code, Contractor shall secure the payment of workers' compensation to their employees. Each bidder shall certify on the form included as part of the contract documents, which shall accompany each bid, as follows:

*I am aware of the provisions of Section 3700 of the Labor Code, which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the Work of this Contract.*

### 16. Good-Faith Effort to Employ Residents of Monterey Bay Area:

In accordance with Section 5.08.120 of the Monterey County Code, for each Job Order Proposal,

all contractors and subcontractors providing Work, laborers, or material supplier on the project shall make a good-faith effort to employ qualified individuals who are, and have been for at least one (1) year out of the past three (3) years prior to the opening of bids, residents of the Monterey Bay Area in sufficient numbers so that no less than fifty percent (50%) of Contractor's total construction work force, on the project, including any subcontractor work force (with exception of specialty subcontractor items identified in the bid items) measured in labor work hours is comprised of Monterey Bay Area residents. A certification form relating to compliance with Section 5.08.120 is furnished with the bid documents. Bidder must complete the certification form and submit the certification form with the sealed bid. The Monterey County Board of Supervisors may deem your bid nonresponsive for failure to abide by the good-faith local employment provisions of Section 5.08.120.

If any contractor submitting a bid for a contract for public works of improvement fails to abide by the good-faith local employment provisions of this Section, Contractor may be declared by the Board to be a non-responsive bidder for purposes of Chapter 5.08. If the Board finds that a contractor to whom a contract for public works of improvement has been awarded has failed to comply with the good-faith employment provisions of this Section during the performance of the Contract, the Board may disqualify Contractor from bidding on any County contract for public works of improvement for a period of one (1) year from the date of the Board's disqualification. A subsequent violation of this Section by a contractor may result in disqualification by the Board for a period of three (3) years from the date of the subsequent disqualification.

"Resident of Monterey Bay Area" means a person who resides within the boundaries of Monterey County, Santa Cruz County, or San Benito County.

A "good-faith effort" means Contractor will take the following or similar actions to recruit and maintain Monterey Bay Area residents as part of the construction workforce for each Job Order:

- A) Contact local recruitment sources, including local hiring halls, to identify qualified individuals who are Monterey Bay Area residents;
- B) Advertise for qualified Monterey Bay Area residents in trade papers, electronic "on-line" sources, and newspapers of general circulation in the Monterey Bay Area, unless time limits imposed by County do not permit such advertising.
- C) If portions of the Work are to be performed by subcontractors, identify qualified subcontractors whose work force includes Monterey Bay Area residents; and
- D) If current workforce does not exceed the fifty percent (50%) local requirement, develop a written plan to recruit Monterey Bay Area residents as part of the construction workforce.

Contractor shall keep an accurate record on a standardized form showing the name, place of residence (not post office box), trade classifications, hours worked, proof of journeyman or apprenticeship status, per diem wages and benefits of each person employed by Contractor, Contractor's Subcontractors on the project, including full-time, part-time, permanent, and temporary employees, make sure records are available to County with submission of final certified payroll records prior to final payment.

Contractor shall keep, and provide to County, on forms acceptable to County, an accurate record documenting compliance with this provision. Said records shall include; a listing by name and business address of all local recruitment sources contacted by Contractor, the date of the local recruitment contact and the identity and business address of the person contacted, the trade and classification and number of hire referrals requested, the number of local hires made as a result

of the contract, and the residence address of the person(s) hired pursuant to the contract.

At the conclusion of the project, and at other intervals as may be deemed appropriate by the Project Manager, Contractor shall provide a summary report of the percentage of actual labor work hours performed by Monterey Bay Area residents on each Job Order.

Contractors and Subcontractors are referred to the provisions of Section 5.08.120 of the Monterey County Code and the rules, regulations, and procedures adopted to implement Section 5.08.120, which are online at <http://library.municode.com/index.aspx?clientId=16111>.

#### 17. Bidders Interested in More Than One Bid

**Bidders may bid separately on any or all of the contracts;** however, only one contract may be awarded to any bidder. The apparent lowest responsive and responsible bidder shall be determined sequentially for each contract in the following order: **JOC ROADS & BRIDGES 2018-01; JOC ROADS & BRIDGES 2018-02; JOC ROADS & BRIDGES 2018-03.** The apparent lowest responsive and responsible bidder on each JOC contract will be excluded from consideration for successive contracts.

No Contractor will be permitted to submit more than one (1) bid for each Job Order Contract solicitation. Bids by related Contractors are prohibited. For purposes of this solicitation, one (1) Contractor ("Contractor A") will be determined to be related to another Contractor ("Contractor B") if, (i) Contractor A either directly or indirectly owns ten percent (10%) or more of the shares or capital interest in Contractor B; (ii) Contractor A has more than fifty percent (50%) of the voting interest in Contractor B; or (iii) one (1) or more of a Contractor's owners is also an officer, director, or partner in the other Contractor's company.

Bids are to be submitted in separate sealed envelopes. Envelopes shall be marked in lower left corner "Bid for JOC ROADS & BRIDGES 2018-01 or JOC ROADS & BRIDGES 2018-02 or JOC ROADS & BRIDGES 2018-03."

#### 18. Withdrawal of Bids

Any bidder may withdraw their bid either personally, by written request, or by telefaxed request at any time prior to the scheduled closing time for receipt of bids. No bidder may withdraw his/her/its bid for a period of 60 days after the date set for the bid opening.

#### 19. Evidence of Responsibility

Contractors submitting a bid to County shall be a responsive and responsible bidder. Responsibility will be determined from reliable information concerning ownership, management, skill, judgment, integrity, financial resources, performance of other contracts, conduct, claims, labor practices, and compliance with relevant laws and regulations. Such information may be obtained from bidder, State compliance and regulatory agencies and/or through independent investigation by the County.

Upon request of County, a bidder whose bid is under consideration for the award of the Contract shall submit promptly to County satisfactory evidence showing the bidder's responsibility including financial resources, construction experience, and organization available for the performance of the Contract, and upon written request, shall furnish a complete copy of its estimate and all appropriate backup information and supporting documents. County may utilize this information as a basis for determining that a contractor is not responsible and, therefore,



award the Contract to the next lowest responsible and responsive bidder.

20. Award of Contract

County reserves the right to reject any or all bids, or to waive any irregularities or informalities in any bids or in the bidding. The award of the Contract, if made by County, will be to the lowest responsible and responsive bidder. **The lowest bidder will be determined for the project by the lowest Award Criteria Figure.**

21. Documents required upon receipt of Notice of Intent to Award

Within ten (10) days after the bidder receives notice the Notice of Intent to Award letter, the successful bidder shall, in conformity with the Contract Documents, submit the following documents, including the number of originals required in the Special Conditions:

- A) Executed Agreement (Division 00500);
- B) A performance bond and a payment bond, each in an amount equal to \$4,904,581 Million, issued and executed by an admitted surety insurer, authorized to transact surety insurance in California (Division 00600 and 00610);
- C) Insurance certificates showing that the successful bidder has obtained all required insurance coverage including endorsements;
- D) A letter from bonding company stating their ability to obtain Payment and Performance Bonds for Maximum Contract Value;
- E) Printout showing active registration of Contractor with the Public Works Contractor Registration (online registration <https://efiling.dir.ca.gov/PWCR/Search>);
- F) Such other documents as may be required by the Contract Documents; and
- G) Submit JOC System License and Fee Agreement to The Gordian Group (See Exhibit 1)

22. Bid Security Return

The bid security shall be returned to the bidders promptly after a decision is made whether to accept a bid or reject all bids, except that if a contract award is to be made, the bid security of three (3) or more of the lowest bidders (the number being at the discretion of County) will be held for sixty (60) workdays after notice of award is received by the successful bidder or until the successful bidder returns the executed Agreement and posts the required bonds and certificates of insurance, whichever occurs first. If the successful bidder returns the required documents on time, all the remaining bid security will be returned.

23. Forfeiture for Failure to Execute Contract

If the bidder to whom a Notice of Intent to Award is made fails or refuses to execute the Contract and post the required bonds and insurance certificates within ten (10) days from the date of receiving the Notice of Intent to Award letter, County may declare the bidder's bid security forfeited as liquidated damages caused by the failure of the bidder to enter into the Contract, and may award the Work to the next lowest responsible and responsive bidder or may call for new bids. If the Work is awarded to the next bidder, County shall retain the remaining bid securities until such time as a new award is completed with the execution of the Agreement and the posting of bonds and certificates. If new bids are called for, all remaining bid securities will be promptly returned.

24. Progress Payments and Substitution of Security

County will make one (1) payment for all Job Orders that have a Job Order completion time of 30 days or less, or a Job Order Price of \$25,000 or less. For all other Job Orders, County may make progress payments to Contractor. The progress payment will be made each calendar

month on the basis of ninety five percent (95%) of the value of the Work performed. The final payment will be withheld for 35 days after the recordation of the notice of completion. Upon Contractor's request and agreement to substitute securities for the withheld funds, monies withheld from progress payments by County to ensure performance under the Contract may be released in accordance with Public Contract Code Section 22300 and the Contract Documents.

## 25. Non-Discrimination

It is the policy of County that in connection with all Work performed under contracts, there be no discrimination against any prospective or active employee engaged in the Work because of race, color, ancestry, national origin, religious creed, physical disability, mental disability, medical condition (cancer related), sex, sexual orientation, age (over 40), or marital status. Contractor agrees to comply with all applicable federal, state, and local laws and/or regulations including, but not limited to, the California Fair Employment and Housing Act, Government Code Sections 12900 et seq., Labor Code Section 1735, and Monterey County Code, Title 2, Chapter 2.80. In addition, Contractor agrees to require like compliance by any subcontractors employed on the work by Contractor.

## 26. Bid Protests

### A) Who can file a protest?

Any Bidder or directly affected party who is aggrieved in connection with the solicitation or award of Contract (ex. Subcontractor), representatives of the above (ex. Unions) may file a bid protest.

### B) Requirements for Filing a Protest

Bidders who wish to lodge a protest as to the award of the bid must do so before 5 p.m. of the fifth business day following the bid opening. Failure to timely file a written protest shall constitute a waiver of right to protest. Untimely protests will not be accepted or considered. Bid protests must be submitted, in writing and may be hand-delivered or sent via facsimile (831)755-4958, certified postal mail to: MONTEREY COUNTY RMA-PUBLIC WORKS/ATTN: JOC ROADS & BRIDGES BIDS PROJECT MANAGER, 1441 SCHILLING PLACE-SOUTH, 2<sup>ND</sup> FLOOR, SALINAS, CALIFORNIA 93901-2438. Bid protests must include the project name and bid number; a complete statement describing the basis for the bid protest, including a detailed statement of all legal and factual grounds for the protest; any documentation supporting the protestor's grounds for the protest; and the form of relief requested and legal basis for such relief. The party lodging the protest must also include their contact information including mailing address, telephone number, and E-mail address.

If a valid protest is timely filed, RMA-Public Works shall investigate the bid protest. The protested party shall have three (3) business days to respond to any requests to provide additional information from Public Works.

### C) County Response to Protests Received

RMA-Public Works shall respond to the protesting party, in writing, stating its findings. The Director of Public Works shall submit a summary of bid protests received and make a recommendation to the Board of Supervisors regarding the bid protest(s).



## **BID FORM**

Division 00300

### **MONTEREY COUNTY BOARD OF SUPERVISORS**

<b>MAILING ADDRESS</b>	P O BOX 1728, SALINAS, California 93902
<b>PHYSICAL ADDRESS</b>	168 W. ALISAL STREET, 1 <sup>ST</sup> FLOOR SALINAS, CALIFORNIA 93901

### **PROJECT NO. JOC 2018**

SELECT ONE ("X"):

- ☐ **BID PACKAGE NO. ROADS & BRIDGES 2018-01**
- ☐ **BID PACKAGE NO. ROADS & BRIDGES 2018-02**
- ☐ **BID PACKAGE NO. ROADS & BRIDGES 2018-03**

NAME OF BIDDER:

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BUSINESS ADDRESS:

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TELEPHONE NUMBER:

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PLACE OF RESIDENCE:

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**BID FORM: PROJECT NO. JOC 2018**

**SELECT ONE ("X"):**

- ☐ **BID PACKAGE NO. ROADS & BRIDGES 2018-01**
- ☐ **BID PACKAGE NO. ROADS & BRIDGES 2018-02**
- ☐ **BID PACKAGE NO. ROADS & BRIDGES 2018-03**

TO: MONTEREY COUNTY BOARD OF SUPERVISORS

1. Pursuant to and in compliance with Notice to Bidders and the Contract Documents relating to the JOC ROADS & BRIDGES 2018-01 or JOC ROADS & BRIDGES 2018-02 or JOC ROADS & BRIDGES 2018-03 (checked above), the undersigned Bidder, having become thoroughly familiar with the terms and conditions of the Contract Documents and with local conditions affecting the performance and the costs of the Work, hereby proposes and agrees to fully perform authorized Work within the time(s) stated and in strict accordance with the Contract Documents and each Job Order, including providing any and all labor and materials, and performing all the Work required to construct and to complete said Work in accordance with requirements of the Contract Documents, for the following Adjustment Factors, **carried out to four (4) decimal places:**

**Adjustment Factors:** (Enter figure carried 4 decimal places sample 1 . 0 8 5 0)

**Normal Working Hours**

Undersigned shall perform any or all functions called for during normal working hours, in North County or South County, in the quantities specified in individual Job Orders for this Contract for the unit price sum specified in the Construction Task Catalog<sup>®</sup> multiplied by the adjustment factor indicated below:

(carried out 4 decimal places)

**North County Normal Working Hours:**

\_\_\_\_\_ • \_\_\_\_\_

**South County\* Normal Working Hours:**

\_\_\_\_\_ • \_\_\_\_\_

**Other than Normal Working Hours\*\***

Undersigned shall perform any or all functions called for during other than normal working hours, in North County or South County, in the quantities specified in individual Job Orders for this Contract for the unit price sum specified in the Construction Task Catalog<sup>®</sup> multiplied by the adjustment factor indicated below:

(carried out 4 decimal places)

**North County Other than Normal Working Hours\*\*:**

\_\_\_\_\_ • \_\_\_\_\_

**South County\* Other than Normal Working Hours\*\*:**

\_\_\_\_\_ • \_\_\_\_\_

\* *South County is defined as south of but not including King City and Big Sur*

\*\* *Bids will be rejected as nonresponsive if the "Other than Normal Working Hours"*

*Adjustment Factors are not equal to or greater than the "Normal Working Hours" Adjustment Factors.*

### **Award Criteria Figure Formula**

Award Criteria Figure Formula percentages are for Bid purposes only.

County is not obligated to issue Job Orders in the stated percentages.

		Enter Factor carried out Four (4) decimal places					
		<b>Sample</b>	<b>1</b>	<b>•</b>	<b>0</b>	<b>8</b>	<b>5</b> <b>0</b>
Line 1	Enter <i>North County Normal Working Hours Adjustment Factor</i> from prior page in column to right --►		•				
Line 2	Multiply Line 1 Adjustment Factor by 70% = (0.70 x Line 1) and enter in column to the right --►		•				
Line 3	Enter <i>South County Normal Working Hours Adjustment Factor</i> from prior page in column to right --►		•				
Line 4	Multiply Line 3 by 10% = (0.10 x Line 5) an enter in column to right --►		•				
Line 5	Enter <i>North County Other Than Normal Working Hours Adjustment Factor</i> from prior page in column to right --►		•				
Line 6	Multiply Line 5 by 10% = (0.10 x Line 5) and enter in column to right --►		•				
Line 7	<b>Enter <i>South County Other Than Normal Working Hours Adjustment Factor</i></b> from prior page in column to right --►		•				
Line 8	Multiply Line 7 by 10% = (0.10 x Line 7) and enter in column to right --►		•				
		<b>ACF</b>					
Line 9	<b>Award Criteria Figure (ACF)</b> equals the sum of Lines 2, 4, 6, and 8 (Add together: (Line 2+Line 4+Line 6+Line 8) and enter total (sum) in column to right --►		•				

2. Determination of lowest bidder will be based upon the lowest Award Criteria Figure (ACF). ACF above includes consideration of the one percent (1%) license fee payable to The Gordian Group, Inc.
3. Specify lines 1 through 9 to four (4) decimal places. Use conventional rounding methodology (i.e., if number in 5th decimal place is 0-4, the number in the 4th decimal remains unchanged; if the number in 5th decimal place is 5-9, the number in the 4th decimal is rounded upward).
4. The weighted multipliers above are for the purpose of calculating an ACF only. No assurances are made by County that Work will be ordered under the Contract in a distribution consistent with the weighted percentages above. The ACF is used only for the purpose of determining the Bid.
5. When submitting Job Order Price Proposals related to specific Job Orders, the Bidder shall utilize one (1) or more of the Adjustment Factors applicable to the Work being performed.
6. The CTC is priced at a net value of 1.0000. The bid shall be an increase to" (e.g., 1.1000) or decrease (e.g., 0.9500) to the Unit Prices listed in the CTC. Bidders who submit separate Adjustment Factors for separate Unit Prices will be considered nonresponsive and their bid will be rejected.

7. The undersigned has checked all above figures carefully and understands that County will not be responsible for any errors and omissions on the part of the undersigned in making this bid.

8. It is understood that County reserves the right to reject any and all bids or waive any informalities or irregularities in any bids or in the bidding.

9. This bid shall remain valid and will not be withdrawn by the undersigned bidder for a period of sixty (60) days from date prescribed for opening of this bid without written consent of County.

10. Attached hereto are the following:

- a) Non-Collusion Affidavit;
- b) Workers' Compensation Certificate;
- c) Statement Concerning Employment of Undocumented Aliens
- d) Iran Contracting Act Certification
- e) Contractor's Certification of Good-Faith Effort to Employ Monterey Bay Area Residents;
- f) Equal Employment Opportunity Certification
- g) Public Contract Code Section 10285.1 Statement
- h) Public Contract Code Section 10162 Statement
- i) Public Contract Code Section 10232 Statement
- j) Debarment and Suspension Certification
- k) Written Plan to Recruit Monterey Bay Area Residents, when applicable;
- l) Required bidder's security in the amount of \$25,000; and
- l) Acknowledgment of Addenda, if any.

11. If this bid is accepted by County, then the undersigned shall, within ten (10) workdays after receipt of the Notice of Intent to Award letter, execute and deliver to County (a) a Contract in the form set forth in the Contract Documents on which this bid is based, (b) a payment bond for public works, as required by the Contract Documents, and (c) a performance bond, as similarly required, and (d) an Insurance Certificate, as similarly required, and (e) submit the required JOC System License and Fee Agreement to The Gordian Group (See Specifications Exhibit 1). The undersigned will thereafter commence and complete the work within the time required by the Contract Documents.

12. Notice of acceptance and any requests for additional information shall be addressed to the undersigned at the following address or faxed to (831) 755-4958:

COUNTY OF MONTEREY  
RMA - PUBLIC WORKS & FACILITIES  
ATTN JOC BIDS PROJECT MANAGER - ROADS & BRIDGES  
1441 SCHILLING PLACE-SOUTH, 2<sup>ND</sup> FLOOR  
SALINAS, CALIFORNIA 93901-4527

13. The names of all persons interested in the foregoing proposal as principals are as follows:

_____ Name	_____ Title
_____ Name	_____ Title
_____ Name	_____ Title

**(IMPORTANT NOTICE:** If bidder or other interested person is a corporation, state the legal name of the corporation, and the names of the president, vice president, secretary, and treasurer thereof; if a Limited Liability Corporation (LLC), state the legal name of the LLC and the names of the managing members; if a partnership, state the name of the firm and

the names of all the individual partners composing the firm; if the bidder or other interested person is an individual, state the first and last names in full and give all fictitious names under which the individual does business.)

14. By execution of this bid, the undersigned bidder declares that he or she is a contractor licensed in accordance with the Contractors' State License Law, as follows:

Classification: \_\_\_\_\_ License # \_\_\_\_\_

Expiration date: \_\_\_\_\_

15. The undersigned certifies that at the time of bid, Contractor has a staffed office located within the Monterey Bay Area (Monterey County, Santa Cruz County, or San Benito County). Address, Phone and Fax of office that meets the above requirement:

Street: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

16. The undersigned certifies that Contractor meets the experience requirements outlined in the Information to Bidders Section 5 - A-B and within three (3) calendar days of request by County shall submit evidence of compliance with the qualifications and a list of all Work performed, both complete and incomplete, within the previous three (3) years including names and phone numbers of the Public Agencies.

17. In the event bidder to whom Notice of Intent to Award Contract is given fails or refuses to post the required bonds and insurance and return the executed copies of the agreement form within ten (10) working days from the date of receiving the Notice of Intent to Award Letter Contract, County may declare the bidder's security forfeited as damages and contract with the second lowest bidder.

18. Pursuant to Section 7103.5(b) of Public Contract Code, in submitting a bid to County, bidder offers and agrees that if the bid is accepted, it will assign to County all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 USC Section 15) or under the Cartwright Act (Chapter 2 [commencing with Section 16700] of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to bidder.

Dated	_____
Bidder's Business Name	_____
By	_____
Principal Name (Print)	_____
Principal Title (Print)	_____
By	_____
Principal Signature	_____
Principal Name (Print)	_____

Principal Title (Print)

(Corporate Seal)

**NOTE:** *If bidder is a corporation, the full legal name of the corporation shall be set forth above together with signatures of authorized officers or agents and the document shall bear the corporate seal; if bidder is a partnership, the full name of the firm shall be set forth above together with the signature of the partner or partners authorized to sign contracts on behalf of the partnership; and if bidder is an individual, his/her signature shall be placed above.*

19. Addenda bound with Contract Documents, or issued during the time of bidding, are to be acknowledged below

**Addendum No.** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Addendum No.** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Addendum No.** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Addendum No.** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Addendum No.** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Addendum No.** \_\_\_\_\_ **Date:** \_\_\_\_\_

**NON-COLLUSION DECLARATION**  
**TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID**  
(Public Contract Code Section 7106)  
Division 00400

The undersigned declares:

I am the \_\_\_\_\_ of \_\_\_\_\_ the party making the foregoing bid.

The bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation. The bid is genuine and not collusive or sham. The bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid. The bidder has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or to refrain from bidding. The bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder. All statements contained in the bid are true. The bidder has not, directly or indirectly, submitted his/her/its Adjustment Factors or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof, to effectuate a collusive or sham bid, and has not paid, and will not pay, any person or entity for such purpose.

Any person executing this declaration on behalf of a bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed on \_\_\_\_\_ [date], at \_\_\_\_\_ [city], \_\_\_\_\_ [state].

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

**CONTRACTOR'S CERTIFICATE AS TO WORKERS' COMPENSATION**  
**TO BE SUBMITTED WITH BID**

(Labor Code Section 1861)

Division 00410

Labor Code Section 3700 provides, in relevant part:

"Every employer except the state shall secure the payment of compensation in one or more of the following ways:

(a) By being insured against liability to pay compensation in one (1) or more insurers duly authorized to write compensation insurance in this state.

(b) By securing from the Director of Industrial Relations a certificate of consent to self-insure, either as an individual employer, or as one (1) employer in a group of employers, which may be given upon furnishing proof satisfactory to the Director of Industrial Relations of ability to self-insure and to pay any compensation that may become due to his or her employees."

I certify that I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of that Code, and I will comply with such provisions before commencing the performance of the Work of this Contract.

Dated: \_\_\_\_\_

Bidder's Business Name: \_\_\_\_\_

By: \_\_\_\_\_

Print Name: \_\_\_\_\_

Print Title: \_\_\_\_\_



**STATEMENT CONCERNING EMPLOYMENT OF UNDOCUMENTED ALIENS**  
**TO BE SUBMITTED WITH BID**

Division 00420

(Public Contract Code Section 6101)

In conformance with Public Contract Code Section 6101, the bidder certifies compliance with state and federal law with respect to the employment of undocumented aliens by signing this proposal on the signature portion thereof. Public Contract Code Section 6101 provides that no state agency or department, as defined in Section 10335.7, that is subject to this code, shall award a public works or purchase contract to a bidder or contractor, nor shall a bidder or contractor be eligible to bid for or receive a public works or purchase contract, who has, in the preceding five (5) years, been convicted of violation a state or federal law respecting the employment of undocumented aliens.

Dated: \_\_\_\_\_

Bidder's Business Name: \_\_\_\_\_

By: \_\_\_\_\_

Print Name: \_\_\_\_\_

Print Title: \_\_\_\_\_

## **IRAN CONTRACTING ACT CERTIFICATION**

(Public Contract Code Section 2204)

Division 004200

Pursuant to Public Contract Code (PCC) section 2204, an Iran Contracting Act certification is required for solicitations of goods or services of \$1,000,000 or more.

**You must complete one of the following two paragraphs with your bid submittal.** To complete paragraph 1, check the corresponding box and complete the certification for paragraph 1. To complete paragraph 2, check the corresponding box and attach a copy of the written permission from the County with your bid.

☐ 1. We are not on the current list of persons engaged in investment activities in Iran created by the California Department of General Services (“DGS”) pursuant to PCC 2203(b), and we are not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person, for 45 days or more, if that other person will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS.

### **CERTIFICATION FOR PARAGRAPH 1:**

I, the official named below, certify under penalty of perjury, that I am duly authorized to legally bind the proposer/bidder to the clause in paragraph 1. This certification is made under the laws of the State of California.

<i>Company Name (Printed)</i>		<i>Federal ID Number</i>
<i>By (Authorized Signature)</i>		
<i>Printed Name and Title of Person Signing</i>		
<i>Date Executed</i>	<i>Executed in the County of _____ in the State of _____</i>	

OR

☐ 2. We have received written permission from the County to submit a bid or proposal pursuant to 2203(c) or (d). A copy of the written permission from the County is attached hereto.

**CONTRACTOR'S CERTIFICATION OF GOOD-FAITH EFFORT TO  
EMPLOY MONTEREY BAY AREA RESIDENTS  
(TO BE SUBMITTED WITH BID)**

(Monterey County Code Section 5.08.120)  
Division 00430

I CERTIFY THAT I am aware of the provision of Monterey County Code Section 5.08.120.

Monterey County Code Section 5.08.120 provides, in relevant part:

A. General Provisions. All County contracts for public works of improvement shall contain provisions pursuant to which Contractor shall make a good-faith effort to employ qualified individuals who are, and have been for at least one (1) year out of the past three (3) years prior to the opening of bids, residents of the Monterey Bay Area in sufficient numbers so that no less than fifty percent (50%) of Contractors total construction work force, on that particular contract, including any subcontractor work force (with exception of specialty subcontractor items identified in bid items) measured in labor work hours, is comprised of Monterey Bay Area residents.

B. Nonresponsive Bidder Declaration: Enforcement. If any contractor submitting a bid for a contract for public works of improvement fails to abide by the good-faith local employment provisions of this Section, Contractor may be declared by the Board to be a nonresponsive bidder for purposes of this Chapter. If the Board finds that a contractor to whom a contract for public works of improvement has been awarded has failed to comply with the good-faith employment provisions of this Section during the performance of the Contract, the Board may disqualify Contractor from bidding on any County contract for public works of improvement for a period of one (1) year from the date of the Board's disqualification. A subsequent violation of this Section by a contractor may result in disqualification by the Board for a period of three (3) years from the date of the subsequent disqualification.

C. Binding on Subcontractors. Every contractor entering into a contract for public works of improvement subject to the provisions of this Section shall include in each and every subcontract for work, laborers, or material suppliers relating to the project the requirement that the subcontractor shall make a good-faith effort to employ qualified individuals who are, and have been for at least one (1) year out of the past three (3) years prior to the opening of bids, residents of the Monterey Bay Area. If the Board finds that any subcontractor has failed during the performance of the subcontract to comply with this Section, the Board may disqualify said subcontractor from submitting or being listed in any bid for any County contract for public works of improvement for a period of one (1) year from the date of the Board's disqualification. A subsequent violation by a subcontractor may result in disqualification by the Board for a period of three (3) years from the date of the subsequent disqualification.

I FURTHER CERTIFY AS FOLLOWS (check the box that applies):

☐ I CERTIFY that at least fifty percent (50%) of the total construction work force on the project, including any subcontractor work force, measured in labor work hours, will be comprised of qualified individuals who to the best of my knowledge are, and have been for at least one (1) year out of the past three (3) years prior to the effective date of the opening of bids, residents of the Monterey Bay Area. Evidence that I will comply with this requirement is as follows (please use additional pages to provide supporting evidence and/or documentation, as necessary):

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☐ I CERTIFY that I shall make a good-faith effort to employ qualified individuals who, to the best of my knowledge, are, and have been for at least one (1) year out of the past three (3) years prior to the effective date of the opening of bids, residents of the Monterey Bay Area in sufficient numbers such that no less than fifty percent (50%) of the total construction work force on the project, including any subcontractor work force (with the exception of specialty subcontractor items identified in the bid items) measured in labor work hours, will be comprised of Monterey Bay Area residents. **My written plan to recruit Monterey Bay Area residents as part of the construction workforce is attached.**

☐ I CERTIFY that I do not comply with and am unable to make a good-faith effort to comply with the good-faith local employment provisions set forth in Monterey County Code Section 5.08.120. Explanation to why I am not able to comply is as follows (please use additional pages to provide supporting evidence and/or documentation, as necessary):

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I declare under penalty of perjury under the laws of the State of California that the foregoing certification is true and correct. Executed on (date) \_\_\_\_\_  
at (city/state) \_\_\_\_\_.

Bidder's Business Name: \_\_\_\_\_

By: \_\_\_\_\_

Print Name: \_\_\_\_\_

Print Title: \_\_\_\_\_

## **EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION**

The bidder \_\_\_\_\_,  
proposed subcontractor \_\_\_\_\_,  
hereby certifies that he/she/it has \_\_\_\_, has not \_\_\_\_, participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, where required, he/she/it has filed with the Joint Reporting committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

Note: The above Certification is part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of this Certification. Providing false information may result in criminal prosecution or administrative sanctions.

## **PUBLIC CONTRACT CODE**

### **PUBLIC CONTRACT CODE SECTION 10285.1 STATEMENT**

In accordance with Public Contract Code Section 10285.1 (Chapter 376, Stats. 1985), the bidder hereby declares under penalty of perjury under the laws of the State of California that the bidder has \_\_\_\_ , has not \_\_\_\_ been convicted within the preceding three (3) years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or Federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Public Contract Code Section 1101, with any public entity, as defined in Public Contract Code Section 1100, including the Regents of the University of California or the Trustees of the California State University. The term "bidder" is understood to include any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

Note: The bidder must place a check mark after "has" or "has not" in one of the blank spaces provided.

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### **PUBLIC CONTRACT CODE SECTION 10162 QUESTIONNAIRE**

In accordance with Public Contract Code Section 10162, the Bidder shall complete, under penalty of perjury, the following questionnaire:

Has the bidder, any officer of the bidder, or any employee of the bidder who has a proprietary interest in the bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

Yes \_\_\_\_\_ No \_\_\_\_\_

If the answer is yes, explain the circumstances in the following space.

## **PUBLIC CONTRACT CODE SECTION 10232 STATEMENT**

In accordance with Public Contract Code Section 10232, the Contractor, hereby states under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the Contractor within the immediately preceding two (2) year period because of the Contractor's failure to comply with an order of a federal court which orders the Contractor to comply with an order of the National Labor Relations Board.

Note: The above Public Contract Code Statements and Questionnaire are part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of these Statements and Questionnaire. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

## **DEBARMENT AND SUSPENSION CERTIFICATION**

Title 2, Code of Federal Regulations, Part 180

The bidder, under penalty of perjury, certifies that, except as noted below, he/she or any person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past three (3) years;
- does not have a proposed debarment pending; and,
- has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Note: The above Certification is part of the Bid. Signing this Bid on the signature portion thereof shall also constitute signature of this Certification. Providing false information may result in criminal prosecution or administrative sanctions.



**BID BOND**  
Division 00440  
(Public Contract Code Section 20129)

WHEREAS the Principal has submitted the accompanying bid dated \_\_\_\_\_,  
to County of Monterey, for the following project:

**Check Box below corresponding to the accompanying bid:**

- ☐ **PROJECT NO. JOC, BID NO. ROADS & BRIDGES 2018-01**  
☐ **PROJECT NO. JOC, BID NO. ROADS & BRIDGES 2018-02;**  
☐ **PROJECT NO. JOC, BID NO. ROADS & BRIDGES 2018-03; and**

WHEREAS, Principal, as bidder, is required to furnish a bond executed by an admitted Surety in connection with said bid, to secure the timely execution of the Contract and delivery of bonds and insurance certificates, in the event that the Contract is awarded to the Principal.

NOW, THEREFORE, we \_\_\_\_\_  
as Principal, and \_\_\_\_\_

\_\_\_\_\_  
as Surety, are held and firmly bound unto the County of Monterey, a political subdivision of the State of California (hereinafter called "County"), in the penal sum of Twenty five thousand Dollars (\$ 25,000.00), of the Principal submitted to the said County for the above described project, for the payment of which sum in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

**THE CONDITION OF THIS OBLIGATION IS SUCH THAT:**

If the Principal is awarded the Contract and, within the time and manner required under the Contract Documents for the above described project, after the prescribed forms are presented to him/her for signature, (1) enters into a written Contract in the prescribed form, in accordance with the bid, (2) files such insurance certificates with the County as may be required by said Contract Documents, and (3) files a performance bond and a payment bond with the County, in conformity with said Contract Documents, then this obligation shall be null and void; otherwise, it shall remain in full force.

Surety hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract on the call for bids, or to the Work to be performed thereunder, or the specifications accompanying the same, shall in any way affect its obligation under this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of said Contract or the call for bids, or to the Work, or to the specifications.

If the County brings suit upon this bond and judgment is recovered, the Surety shall pay all litigation expenses incurred by the County in such suit, including attorneys' fees, court costs, expert witness fees and investigation expenses.

IN WITNESS WHEREOF, the above bounden parties have executed this instrument under their several seals this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

(Corporate Seal)

\_\_\_\_\_  
Principal  
By: \_\_\_\_\_  
Title: \_\_\_\_\_

(Corporate Seal)

\_\_\_\_\_  
Surety  
By: \_\_\_\_\_  
Title: \_\_\_\_\_

Attach: 1) A Copy of authorization for signature for Principal, and 2) An original or certified copy of unrevoked appointment, Power of Attorney, Attorney-in-Fact Certificate bylaws or other instrument entitling or authorizing person executing bond on behalf of Surety to do so.

# **AGREEMENT**

Division 00500

S A M P L E

THIS AGREEMENT is made by and between COUNTY OF MONTEREY, a political subdivision of the State of California, hereinafter called "COUNTY," and (Name of Contractor), hereinafter called "CONTRACTOR." For reference purposes, the date of this Agreement is the last date opposite the respective signatures below.

COUNTY and CONTRACTOR hereby agree as follows:

## **ARTICLE 1. SCOPE OF WORK**

This Job Order Contract (JOC) is an indefinite quantity contract pursuant to which CONTRACTOR will perform a variety of Job Orders, consisting of specific construction tasks. The scope of this JOC is for general construction, repair, remodel and other repetitive related Work. COUNTY has published a Construction Task Catalog® (CTC) containing a series of construction tasks with preset Unit Prices. The CTC was developed using experienced labor and high quality materials. All Unit Prices are based on local labor, material and equipment prices including the current prevailing wages. CONTRACTOR will bid Adjustment Factors to be applied to the Unit Prices. The price of an individual Job Order will be determined by multiplying the preset Unit Prices and the appropriate quantities by the appropriate Adjustment Factor.

The scope of work for this Contract will be determined by the Detailed Scopes of Work issued in connection with individual Job Orders. The Scope of Work (SOW), for each Job Order will be explained to CONTRACTOR at a Joint Scope Meeting. COUNTY will provide a Request for Job Order Proposal and Detailed SOW to CONTRACTOR. CONTRACTOR will be required to review the Detailed SOW and develop a Price Proposal using appropriate tasks, quantities and the applicable Adjustment Factor. COUNTY will review CONTRACTOR's Proposal in detail and if found to be reasonable and acceptable, a Job Order may be issued. The agreed upon price will be fixed price for the performance of the Detailed SOW.

CONTRACTOR shall, within the time stipulated, perform the Contract **checked below** as herein defined and shall furnish all Work, labor, equipment, transportation, material, and services to construct and complete in a good, expeditious, workmanlike, and substantial manner, the project:

- ☐ **PROJECT NO. JOC, BID NO. ROADS & BRIDGES 2018-01**
- ☐ **PROJECT NO. JOC, BID NO. ROADS & BRIDGES 2018-02**
- ☐ **PROJECT NO. JOC, BID NO. ROADS & BRIDGES 2018-03**

## **ARTICLE 2. TIME FOR START AND COMPLETION**

Contract Time commences upon the written execution of the Contract by COUNTY and shall end either one year from the date signed by COUNTY or upon the payment by COUNTY to CONTRACTOR of the maximum amount payable under this Agreement, whichever occurs

earlier. COUNTY will not issue any new Job Orders after the expiration of this Agreement. Any Job Order authorized prior to the expiration of the Agreement must be completed within the time specified in the Job Order. In the event the scheduled completion for any Job Order extends beyond the term of this Agreement, CONTRACTOR and COUNTY agree that the terms of this Agreement shall continue in effect and be applicable for such Job Orders. A separate Job Order Notice to Proceed (NTP) will be issued for each Job Order. Each Job Order will specify a time limit for completion as stated on the Job Order NTP.

### ARTICLE 3. ADJUSTMENT FACTORS

CONTRACTOR shall perform all Work required, necessary, proper for, or incidental to completing the Detailed SOW called for in each individual Job Order issued pursuant to this Contract for the Unit Prices set forth in the CTC. COUNTY shall pay CONTRACTOR the Job Order Price for completion of Work in accordance with Contract Documents and the Detailed SOW described in each Job Order multiplied by the following Adjustment Factors:

#### **ADJUSTMENT FACTORS**

The Minimum Contract Value is \$25,000. CONTRACTOR will receive Job Orders totaling at least \$25,000 during the Contract term. The Maximum Contract Value is \$4,904,581 for the **JOC ROADS & BRIDGES** ☐ **2018-01** or ☐ **2018-02** or ☐ **2018-03**. COUNTY does not guarantee CONTRACTOR will receive this volume of Work. COUNTY may award contracts or issue Job Orders to other contractors for the same or similar Work during the term of this Agreement. In no event will CONTRACTOR be issued Job Orders which, in total, exceed the Maximum Contract Value. At no time may the sum of the outstanding Job Orders exceed the amount of the Payment Bond and Performance Bond. A Job Order is outstanding until COUNTY has accepted the Work described in the Job Order by recordation of a Notice of Completion. CONTRACTOR will not be issued Job Orders which in total exceed the Maximum Contract Value.

ITEM	DESCRIPTION	ADJUSTMENT FACTORS
1.	Normal Working Hours – North County Hours of Work between 7 a.m. and 5 p.m., Monday through Friday.	
2.	Normal Working Hours – South County Hours of Work between 7 a.m. and 5 p.m., Monday through Friday.	
3.	Other than Normal Working Hours – North County Hours outside Normal Working Hours including all day Saturday, Sunday, and COUNTY Holidays.	
4.	Other than Normal Working Hours – South County Hours outside Normal Working Hours including all day Saturday, Sunday, and COUNTY Holidays.	

### ARTICLE 4. LIQUIDATED DAMAGES

COUNTY and CONTRACTOR recognize that time is of the essence of this Agreement and that COUNTY will suffer financial loss, if all or any part of the Work is not completed within the time specified in the Job Order, plus any extensions thereof. Accordingly, COUNTY and

CONTRACTOR agree that liquidated damages for delay will be established by COUNTY for each Job Order. CONTRACTOR shall pay COUNTY the dollar amount stipulated in the Job Order for each day that expires after the time specified therein for CONTRACTOR to achieve Completion.

These measures of liquidated damages shall apply cumulatively and except as provided below, shall be presumed to be the damages suffered by COUNTY resulting from delay in completion of the Work.

Liquidated damages for delay shall only cover project administrative (such as Project management and consultant expenses) and cost damages suffered by COUNTY as a result of delay. Liquidated damages shall not cover the cost of completion of the Work, damages resulting from Defective Work, lost revenues or costs of substitute Roads & Bridges, or damages suffered by others who then seek to recover their damages from COUNTY (for example, delay claims of other contractors, subcontractors, tenants, or other third parties), and defense costs thereof.

#### ARTICLE 5. NOTIFICATION OF THIRD PARTY CLAIMS

COUNTY shall notify CONTRACTOR of the receipt of any third-party claim relating to the Contract and is entitled to recover its reasonable costs incurred in providing the notification as provided in Public Contract Code Section 9201.

#### ARTICLE 6. COMPONENT PARTS OF THIS CONTRACT

The Contract entered into by this Agreement consists of the following Contract Documents, all of which are component parts of the Contract as if herein set out in full or attached hereto:

- Notice to Bidders
- Information for Bidders
- Bid, as accepted
- Non-collusion Affidavit
- Workers' Compensation Certificate
- Statement Concerning Employment of Undocumented Aliens
- Iran Contracting Certification
- Contractor's Certification of Good Faith Effort to Employ Monterey Bay Area Residents
- Written Plan to Recruit Monterey Bay Area Residents, when applicable
- Bid Bond or Bidder's Security
- Agreement
- Performance Bond
- Payment Bond
- Insurance Certificate
- Division 00710 General Conditions, **Bid Nos. ROADS & BRIDGES 2018-01, ROADS & BRIDGES 2018-02, ROADS & BRIDGES 2018-03**
- Project Specifications
- Construction Task Catalog®
- Technical Specifications
- Community Development Block Grant (CDBG) Standard Provisions and Requirements
- Federal Provisions-Caltrans Local Assistance Manual
- Federal Emergency Management Agency (FEMA) Standard Provisions and Requirements
- Monterey County Telecommunications Cabling and Pathway Systems Requirements
- As issued, Addenda Nos:

All of the above-named Contract Documents are intended to be complementary. Work required

by one of the above-named Contract Documents and not by others shall be done as if required by all.

IN WITNESS WHEREOF, the parties have duly executed four (4) identical counterparts of this instrument, each of which shall be for all purposes deemed an original thereof, on the dates set forth below.

**COUNTY OF MONTEREY****By:** \_\_\_\_\_**Name:** Benny J. Young**Title:** Interim RMA Deputy Director of Public Works  
& Facilities**Date:** \_\_\_\_\_**APPROVED AS TO FORM****CONTRACTS/PURCHASING****By:** \_\_\_\_\_**Name:** Mike Derr**Title:** Contracts/Purchasing Officer**Date:** \_\_\_\_\_**APPROVED AS TO FORM & LEGALITY****COUNTY COUNSEL, CHARLES J. McKEE****By:** \_\_\_\_\_**Name:** Mary Grace Perry**Title:** Deputy County Counsel**Date:** \_\_\_\_\_**APPROVED AS TO FISCAL TERMS****COUNTY AUDITOR-CONTROLLER****By:** \_\_\_\_\_**Name:** Gary Giboney**Title:** Chief Deputy Auditor-Controller**Date:** \_\_\_\_\_**APPROVED AS TO INDEMNITY/INSURANCE  
PROVISIONS****COUNTY COUNSEL-RISK MANAGEMENT****By:** \_\_\_\_\_**Name:** Leslie J. Girard**Title:** Chief Assistant**Date:** \_\_\_\_\_**CONTRACTOR: NAME OF COMPANY****By:** \_\_\_\_\_**Name:** \_\_\_\_\_**Title:** \_\_\_\_\_**Date:** \_\_\_\_\_**& By:** \_\_\_\_\_**Name:** \_\_\_\_\_**Title:** \_\_\_\_\_**Date:** \_\_\_\_\_**COMPANY ADDRESS:****STREET ADDRESS****CITY/STATE/ZIP****Contractor's License Type:** XXXX**License Number:** XXXXX**License Expiration Date:** XXXX

**NOTE:** CONTRACTORS ARE REQUIRED TO BE LICENSED AND REGULATED BY THE CONTRACTORS' STATE LICENSE BOARD. ANY QUESTIONS CONCERNING A CONTRACTOR MAY BE REFERRED TO THE REGISTRAR, CONTRACTORS' STATE LICENSE BOARD, P O BOX 26000, SACRAMENTO, CALIFORNIA 95826

**INSTRUCTIONS:** If bidder is a corporation, the full legal name of the corporation shall be set forth above together with the signatures of two (2) officers authorized to sign per California Corporations Code Section 313 and the document shall bear the corporate seal; if bidder is a Limited Liability Corporation (LLC), the full legal name of the LLC shall be set forth above together with the signatures of its Managing Members; if bidder is a partnership, the full name of the firm shall be set forth above together with the signature of the partner or partners authorized to sign contracts on behalf of the partnership; and if bidder is an individual, his/her signature shall be placed above.

## **PERFORMANCE BOND**

(Public Contract Code Section 20129)

Division 00600

WHEREAS, County of Monterey "COUNTY" has awarded to Principal,

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as "CONTRACTOR", for the following project (**Check One Box**):

☐ **PROJECT NO. JOC 2015, BID NO. ROADS & BRIDGES 2018-01**

OR

☐ **PROJECT NO. JOC 2018, BID NO. ROADS & BRIDGES 2018-02;**

OR

☐ **PROJECT NO. JOC 2018, BID NO. ROADS & BRIDGES 2018-03** and

WHEREAS, Principal, as CONTRACTOR, is required to furnish a bond in connection with said Contract, to secure the faithful performance of said Contract.

NOW, THEREFORE, we \_\_\_\_\_

as Principal, and \_\_\_\_\_

---

as Surety, are held and firmly bound unto the County of Monterey, a political subdivision of the State of California (hereinafter called "COUNTY"), in the penal sum of Four Million, Seven Hundred Sixty-Six Thousand, Three Hundred and Fifty Seven (\$4,904,581 ), for the payment of which sum in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

### THE CONDITION OF THIS OBLIGATION IS SUCH THAT:

If the Principal, as CONTRACTOR, or Principal's heirs, executors, administrators, successors, or assigns, (1) shall in all things stand to and abide by and well and truly keep and perform the covenants, conditions, and agreements in said Contract and any alteration thereof made as therein provided, on Principal's part to be kept and performed, at the time and in the manner therein specified and in all respects according to their true intent and meaning, and (2) shall indemnify, defend, and save harmless the County, the members of its board of supervisors, and its officers, agents, and employees as therein stipulated, then this obligation shall become null and void; otherwise, it shall be and remain in full force and virtue.

Surety hereby stipulates and agrees that no change, extension of time, alteration, or addition to the terms of the Contract or the call for bids, or to the Work to be performed thereunder, or the specifications accompanying the same, shall in any way affect its obligation under this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of said Contract or the call for bids, or to the Work, or to the specifications.



Whenever the Principal, as CONTRACTOR, is in default, and is declared in default, under the Contract by the County of Monterey, the County of Monterey having performed its obligation under the Contract, Surety may promptly remedy the default, or shall promptly:

- 1. Complete the Contract in accordance with its terms or conditions, or
- 2. Obtain a bid or bids for submission to County of Monterey for completing the Contract in accordance with its terms or conditions, and upon determination by the County of Monterey and Surety of the lowest responsible and responsive bidder, arrange for a Contract between such bidder and the County of Monterey, and make available as Work progresses (even though there should be a default or succession of defaults under the Contract or Contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion less the balance of the Contract price.

If suit is brought upon this bond by the COUNTY and judgment is recovered, the Surety shall pay all litigation expenses incurred by the COUNTY in such suit, including attorneys' fees, court costs, expert witness fees, and investigation expenses.

IN WITNESS WHEREOF, the above bounden parties have executed this instrument under their several seals this \_\_\_\_ day of \_\_\_\_\_, 2018, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

(Corporate Seal) \_\_\_\_\_  
Principal

By: \_\_\_\_\_

Title: \_\_\_\_\_

(Corporate Seal) \_\_\_\_\_  
Surety

By: \_\_\_\_\_

Title: \_\_\_\_\_

Attach: 1) Copy of authorization for signature for Principal, and 2) original or certified copy of unrevoked appointment, Power of Attorney, Attorney-in-Fact Certificate bylaws or other instrument entitling or authorizing person executing bond on behalf of Surety to do so.

**PAYMENT BOND**  
(Civil Code Section 9550)  
Division 00610

WHEREAS, the County of Monterey ("COUNTY") has awarded to Principal,

\_\_\_\_\_  
as CONTRACTOR, a Contract for the following (**Check One Box**):

- ☐ **PROJECT NO. JOC 2018, BID NO. ROADS & BRIDGES 2018-01**
- ☐ **PROJECT NO. JOC 2018, BID NO. ROADS & BRIDGES 2018-02;**
- ☐ **PROJECT NO. JOC 2018, BID NO. ROADS & BRIDGES 2018-03, and**

WHEREAS, Principal, as CONTRACTOR, is required to furnish a bond in connection with said Contract, to secure the payment of claims of laborers, mechanics, material providers, and other persons furnishing labor and materials on the project, as provided by law.

NOW, THEREFORE, we \_\_\_\_\_  
as Principal, and \_\_\_\_\_

\_\_\_\_\_  
as Surety, are held and firmly bound unto the County of Monterey, a political subdivision of the State of California (hereinafter called "COUNTY"), and to the persons named in California Civil Code Section 9100 in the penal sum of Four Million, Nine Hundred Four Thousand ,Five Hundred and Eighty One (\$4,904581 ), for the payment of which sum in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

**THE CONDITION OF THIS OBLIGATION IS SUCH THAT:**

If Principal or any of Principal's heirs, executors, administrators, successors, assigns, or subcontractors (1) fails to pay in full all of the persons named in Civil Code Section 9100 with respect to any labor or materials furnished by said persons on the project described above, or (2) fails to pay in full all amounts due under the California Unemployment Insurance Code with respect to work or labor performed under the Contract on the project described above, or (3) fails to pay for any amounts required to be deducted, withheld, and paid over to the Employment Development Department from the wages of employees of the Principal and subcontractors pursuant to Unemployment Insurance Code Section 13020 with respect to such Work and labor, then the Surety shall pay for the same.

Surety hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract on the call for bids, or to the Work to be performed thereunder, or the specifications accompanying the same, shall in any way affect its obligation under this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of said Contract or the call for bids, or to the Work, or to the specifications.

If the COUNTY brings suit upon this bond and judgment is recovered, the Surety shall pay all litigation expenses incurred by the COUNTY in such suit, including attorneys' fees, court costs, expert witness fees and investigation expenses.

This bond inures to the benefit of any of the persons named in Civil Code Section 9100, and such persons or their assigns shall have a right of action in any suit brought upon this bond, subject to any limitations set forth in Civil Code Sections 9550 et seq. (Civil Code, Division 4, Part 6, Title 3, Chapter 5: Payment Bond for Public Works).

IN WITNESS WHEREOF the above bounden parties have executed this instrument under their several seals this \_\_\_\_\_ day of \_\_\_\_\_, 2018, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

(Corporate Seal) \_\_\_\_\_  
Principal

By: \_\_\_\_\_

Title: \_\_\_\_\_

(Corporate Seal) \_\_\_\_\_  
Surety

By: \_\_\_\_\_

Title: \_\_\_\_\_

Attach: 1) Copy of authorization for signature for Principal, and 2) original or certified copy of unrevoked appointment, Power of Attorney, Attorney-in-Fact Certificate bylaws or other instrument entitling or authorizing person executing bond on behalf of Surety to do so.

# GENERAL CONDITIONS

Division 00710

## PART I. INTRODUCTION

### ARTICLE 1. DEFINITIONS

1.01 Adjustment Factor. CONTRACTOR's competitively bid price adjustment to be applied to the Unit Prices as published in the Construction Task Catalog® (CTC). Adjustment Factors are expressed as a multiplicative increase or decrease from the published prices in the CTC.

1.02 Award Criteria Figure (ACF). The sum of the weighted Adjustment Factors, used to determine the low bid, as determined on the basis of the Award Criteria Figure Formula provided in the Bid Form.

1.03 Construction Task Catalog® (CTC). A comprehensive listing of specific repair or refurbishment construction related tasks together with a specific unit of measurement and a published Unit Price (also referred to as the "CTC").

1.04 Detailed Scope of Work (Detailed SOW). The complete description of services to be provided by CONTRACTOR pursuant to an individual Job Order. The Detailed SOW will include documentation for a given project. Documentation may include a narrative description of the Work, partial design documents, or full design documents, depending on the complexity of the specific project (also referred to as "DSW").

1.05 Job Order. A document signed by the COUNTY that describes Work to be accomplished. COUNTY has sole discretion to determine the number and scope of Job Orders issued. Each Job Order will include a Detailed SOW, a firm fixed Job Order Price, a time duration for completion of the Job Order, and any special conditions that might apply to that specific Job Order, such as Liquidated Damages (also referred to as "Job Order Documents"). A Project may consist of one (1) or more Job Orders.

1.06 Job Order Authorization. Written notice signed by COUNTY RMA Deputy Director of Public Works & Facilities, or his/her designee, to CONTRACTOR authorizing the funds appropriation for, and the final scope and costs agreed upon for the individual Job Order.

1.07 Job Order Contract (JOC). A competitively bid, indefinite quantity contract for accomplishing repair and refurbishment, and related services. Work is accomplished through the issuance of individual Job Orders (also referred to as "JOC" and "Contract").

1.08 Job Order Notice to Proceed (NTP). The written notice issued by COUNTY directing CONTRACTOR to proceed with construction activities to complete the Job Order, specifying the first day Work can commence, and the overall duration.

1.09 Job Order Plans. The graphic and pictorial portions of the Job Order as defined in the Detailed SOW, illustrating the design, character, location, and dimensions of the Work to be performed, generally including but not limited to, elevations, sections, details, schedules, General Notes, specific notes, and diagrams (synonymous with "Drawings," "Contract Drawings," and "Plans").

1.10 Job Order Proposal Package. The documents prepared by CONTRACTOR stating a firm fixed price to perform a specific Detailed SOW. The Proposal includes shop drawings and sketches, permits and submittals as necessary, a detailed Price Proposal comprised of line items from the CTC, supporting documentation for any Non Pre-priced items, a construction schedule, a list of subcontractors, and other documentation as may be required by COUNTY prior to the issuance of a Job Order (also referred to as “Proposal”).

1.11 Job Order (Task) Specifications. Description of the manner of performing the Work, the quantities, qualities, and types of materials to be furnished (also referred to as “Specifications”).

1.12 Job Order Price. The value of the approved Job Order Price Proposal compensation sum stated in the Job Order including authorized adjustments by Supplemental Job Order, which is the total amount payable by COUNTY to CONTRACTOR for the performance of the Work pursuant to the Contract Documents.

1.13 Job Order Completion Time(s). Unless otherwise provided, the Job Order Completion Time is the period of time, including authorized adjustments, identified in the Contract Documents for Completion of the Job Order or a designated portion of the Job Order described in the Detailed SOW.

1.14 Job Order Price Proposal. A price proposal prepared by CONTRACTOR that includes the Pre-priced Tasks, Non Pre-priced Tasks, quantities, and appropriate Adjustment Factors required to complete the Detailed SOW.

1.15 Job Order Proposal. A set of documents including at least: (a) Job Order Price Proposal; (b) required drawings or sketches; (c) list of anticipated Subcontractors and Material suppliers; (d) Construction schedule; and (e) other requested documents.

1.16 Joint Scope Meeting. Meeting at the project site attended by COUNTY and CONTRACTOR to discuss the Work before the Detailed SOW is finalized and to jointly scope the Work.

1.17 Maximum Contract Value. The maximum dollar value of Job Orders that COUNTY may order during the term of the Job Order Contract.

1.18 Minimum Contract Value. Minimum dollar value of Job Orders that County must order during the term of the Job Order Contract that CONTRACTOR is guaranteed the opportunity to perform under this Contract.

1.19 Non Pre-priced Tasks (NPP). Units of Work that are not included in the CTC but which are within the general scope and intent of this Contract (also referred to as “NPP”).

1.20 Normal Working Hours. Hours of Work between 7 a.m. and 5 p.m., Monday through Friday.

1.21 Other than Normal Working Hours. Hours outside Normal Working Hours including all day Saturday, Sunday, and COUNTY Holidays.

### 1.22 Pre-priced Task

A task described in, and for which a unit price is set forth in the CTC.

1.23 Project. "Project" means all collective activities necessary for realization of a Job Order, or a series of related Job Orders. This includes design, Contract award(s), execution of the Work itself, and fulfillment of all Contract and warranty obligations. The Work performed under this Contract is directed towards completion of all or a part of the Project.

1.24 Requests for Proposal. COUNTY's formal request for CONTRACTOR to provide a Job Order Proposal Package for the Detailed SOW referenced therein (also referred to as "RFP").

1.25 Supplemental Job Order. A written secondary Job Order agreement entered into after the award of the Job Order that alters or amends the initial Job Order. Changes in the Work requested are accomplished by the issuance of a Supplemental Job Order. Changes involving extensions of time, assessment of liquidated damages, adjustment for net credits, and additive or deductive changes are accomplished by issuance of a Supplemental Job Order to the initial Job Order.

1.26 Technical Specifications. The written requirements for materials, equipment, systems, standards, and workmanship for the Work, and performance of related services.

1.27 Unit Price. The price published in the CTC for a construction or construction related task, specific repair, or refurbishment task. Unit Prices for new Pre-priced Tasks can be established during the course of the Contract and added to the CTCs. The Unit Prices are fixed for the duration of the Contract. Each Unit Price is comprised of the Labor, Equipment, and Materials costs to accomplish that specific task.

1.28 Work. The term "Work" means all contractual obligations required by the Contract Documents, whether completed or partially completed, and includes all other tools, equipment, labor, and materials necessary for CONTRACTOR and/or Subcontractor to perform and complete the Job Order in a good and workmanlike manner within the time set forth and in accordance with the Contract Documents. The Work may constitute the whole or a part of the Project. The Work of this Contract will be set forth in the Detailed Scopes of Work referenced in the individual Job Orders. CONTRACTOR is required to complete each Detailed SOW for the Job Order Price within the Job Order Completion Time.

## ARTICLE 2. CONTRACT INTERPRETATION

2.01 Counting time. When any provision in the Contract Documents calls for computation of time in terms of days, the period so counted shall include all calendar days within the period, including usual workdays as well as weekends and holidays. **Business Days and Workdays refer to Monday through Friday, eight (8) hour duration.**

2.02 Gender and number. References to one gender include the other; references to either singular or plural include the other.

2.03 Headings. Article and paragraph headings are for convenience only, and shall not be used to interpret the provisions of this Contract.

2.04 Expressed and implied Work requirements. This Contract requires the performance of all elements of Work expressly mentioned herein, together with all elements of Work that are reasonably inferable from the express terms of this Contract as being necessary for the proper completion of the Work.

2.05 Technical or trade meanings. Words which have well known technical or trade meanings are used herein in accordance with such recognized meanings.

2.06 Conflicts among Contract Documents - priorities. If there is any conflict between any of the Contract Documents, the conflict shall be resolved by giving effect to the provisions in the documents having higher priority and by disregarding conflicting provisions in documents having lower priority, as follows: (a) Agreement; (b) Addenda (later dates to take preference over earlier dates); (c) Job Order (Included Detailed Scopes of Work and Requests for Proposals); (d) Project Specifications (IFB/RFP); (e) Construction Task Catalog®; (f) Specifications; and (g) Schedules.

### ARTICLE 3. CONTRACT DOCUMENTS

3.01 Contract Documents. The Contract Documents consist of all component parts of the Contract as specified in the Agreement, including the Notice to Bidders, Information for Bidders, Bid as accepted, Non-Collusion Affidavit, Contractor's Certificate as to Workers' Compensation, Statement Concerning Employment of Undocumented Aliens, Contractor's Certification of Good-Faith Effort to Employ Monterey Bay Area Residents, Bid Bond or Bidder's Security, Agreement, Performance Bond, Payment Bond for Public Works, Insurance Certificate, Project Specifications, Construction Task Catalog® (CTC), Technical Specifications, CDBG Standard Provisions and Requirements, Exhibit B-Federal Provisions-Caltrans Local Assistance Manual, FEMA Standard Provisions and Requirements, Monterey County Telecommunications Cabling and Pathway System and all addenda issued prior to execution of the Contract, and all modifications.

3.02 Contract. The Contract Documents form the Contract. The Contract represents the entire and integrated agreement between the parties hereto and supersedes all prior negotiations, representations, or agreements, either written or oral, including the bidding documents. The Contract may be amended or modified only by a modification as defined in paragraph 3.03.

3.03 Modification. A modification is (1) a written amendment to the Contract signed by both parties (2) a Supplemental Job Order is a written agreement entered into after the award of the Job Order that alters or amends the Job Order. A modification may be made only after execution of the Contract.

3.04 Execution in quadruplicate. Unless otherwise specified in the Agreement, the Contract Documents shall be signed in not less than quadruplicate by COUNTY and CONTRACTOR.

3.05 Contract Documents furnished to CONTRACTOR. On each Job Order, COUNTY will arrange for CONTRACTOR to have access to one (1) set of the Detailed SOW including any reproducible Drawings and Specifications. CONTRACTOR may at his/her/its expense, reproduce the documents as needed.

3.06 Ownership of documents. All Documents, Drawings, and Specifications and copies thereof are the property of COUNTY and shall be returned to COUNTY on request at the completion of Work. They are not to be used on other projects or Job Orders.

3.07 Contract Documents on-site. CONTRACTOR will at all times maintain at least one (1) set of the Detailed SOW including any reproducible Drawings and Specifications, showing approval by the State Fire Marshal, as well as all Supplemental Job Orders on the site, to be available to COUNTY and their representatives.

#### ARTICLE 4. SUBCONTRACTORS

4.01 In accordance with California Public Contracts Code Section 4100 to 4113, inclusive, as part of each Job Order Proposal Package, the awarded JOC CONTRACTOR shall provide a list giving the name and location of place of business, license number, subcontract value, proof of an active registration for each designated Subcontractor with the Public Works Contractor Registration (online registration <https://efiling.dir.ca.gov/PWCR/Search>, and any other information COUNTY deems relevant of each subcontractor who will perform a portion of the Job Order Work in an amount in excess of one-half of one percent of the Job Order Proposal. In each instance the nature and extent of the Work to be subcontracted shall be described. CONTRACTOR shall not Contract with any such proposed person or entity to which COUNTY objects.

No contractor or subcontractor may be awarded a contract for public work on a public works project (awarded on or after April 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code Section 1725.5. The JOC CONTRACTOR shall provide COUNTY with proof of such active registration for all designated subcontractors with the Job Order Proposal.

4.02 Intentionally left blank

4.03 CONTRACTOR shall bind every Subcontractor and every Subcontractor agrees to be bound by the terms of the Contract Documents insofar as applicable to their Work. CONTRACTOR shall be responsible for the acts and omissions of Subcontractors.

4.04 CONTRACTOR agrees to pay to each Subcontractor promptly upon receiving payment from COUNTY.

4.05 No contractual relationship between COUNTY and subcontractors. Nothing contained in the Contract Documents shall create any contractual relation between COUNTY and any subcontractor.

4.06 Work performed by subcontractors; substitutions. Subcontracted Work shall be performed only by the subcontractors identified in CONTRACTOR's Job Order Proposal Package, as provided by Public Contract Code Section 4100, et seq. Substitution of subcontractors may be made only in conformity with the Subletting and Subcontracting Fair Practices Act, Public Contract Code Section 4100, et seq.

4.07 Contracts with subcontractors. All Work performed for CONTRACTOR by a subcontractor shall be pursuant to a written agreement between CONTRACTOR and subcontractor (and where appropriate, between subcontractors and sub-subcontractors). All such agreements shall require performance by the subcontractors in conformity with the terms of this Contract, and shall include all the terms of this Contract, which are applicable to subcontractors.



4.08 Payments to subcontractors. CONTRACTOR shall pay each subcontractor, upon receipt of payment from COUNTY, any amount equal to the percentage of completion allowed to CONTRACTOR on account of such subcontractor's work, less the percentage retained from payments to CONTRACTOR. CONTRACTOR shall also require each subcontractor to make similar payments to his/her/its subcontractors. COUNTY shall have the right, but not the obligation, to issue payment by joint checks payable to the order of CONTRACTOR and any of its subcontractors.

If the Project Manager (PM) fails to issue a certificate for payment for any cause which is the fault of CONTRACTOR and not the fault of a particular subcontractor, CONTRACTOR shall pay the subcontractor on demand, made at any time after the certificate for payment should otherwise have been issued, for his/her/its Work to the extent completed, less the retained percentage.

Neither COUNTY nor the PM shall have any obligation to pay or to see to the payment of any monies to any subcontractor except as may otherwise be required by law. All monies paid to CONTRACTOR hereunder shall immediately become and constitute a trust fund and shall be applied by CONTRACTOR for the benefit of all persons supplying labor, materials, or equipment in connection with the Work and shall not be diverted to any other purpose until the claims of such persons have been discharged.

4.09 Information provided to subcontractors. COUNTY's PM may, on request, and at their discretion, furnish to any subcontractor, if practicable, information regarding percentages of completion certified to CONTRACTOR on account of Work done by such subcontractors.

4.10 CONTRACTOR's responsibility for Work of subcontractors. CONTRACTOR shall be as fully responsible to COUNTY for the acts and omissions of any subcontractor and of persons either directly or indirectly employed by the subcontractors, as he/she/it is for acts and omissions of persons directly employed by him/her/it.

## **PART II. CONDUCT OF WORK**

### **ARTICLE 5. ADMINISTRATION OF JOB ORDERS**

5.01 The scope of Work required will be determined by the Detailed Scopes of Work stated in each individual Job Order. COUNTY is not obligated to issue any individual Job Order. All costs incurred preparing Job Order Proposal Packages are the sole responsibility of CONTRACTOR.

5.02 Selection of CONTRACTOR. The award of multiple JOC contracts will be used to maintain control over CONTRACTOR performance, quality of Work, and timely completion at controlled prices. Maintaining flexibility in the contractor selection process will optimize COUNTY's control.

1. COUNTY is under no obligation to award Work beyond the guaranteed minimum value to be established by the Board of Supervisors in the bid documents. COUNTY is under no obligation to award any specific number of Job Orders. However, as Work and funds become available, Job Orders will be provided to each JOC Contractor as early in the JOC Contract period as feasible to satisfy the Minimum Value.

2. To the extent feasible, Job Orders will be distributed on a fair basis, having in mind the goal of meeting the needs of COUNTY and rewarding good performance. Staff may apply criteria

such as, but not limited to, timely and accurate performance of JOC processes, quality construction, timely completion of Job Orders and close-out, safety record, meeting project order goals, project specific requirements, and local employment participation. Each Job Order will be evaluated on Contractor Evaluation form (see Exhibit 2) per the adopted Policy Guidelines.

5.03 CONTRACTOR will perform Work only as authorized by Job Orders issued by County in accordance with the “Administration of Job Orders”. CONTRACTOR must perform all Job Orders up to and including the Maximum Contract Value. COUNTY must order at least the Minimum Contract Value.

5.04 CONTRACTOR must provide all pricing, management, stamped or unstamped design drawings, shop drawings, documents, labor, materials, parts (to include system components), transportation, plant, supervision, and equipment needed to perform each Job Order. CONTRACTOR must provide quality assurance as specified in strict accordance with the Contract.

5.05 In addition to the tasks and requirements stated in the CTC and Technical Specifications, COUNTY may require Non Pre-priced Tasks incidental to Work described in the Job Order. The parties will price these Non Pre-priced Tasks in accordance with the procedures set forth in the “Administration of Job Orders”.

5.06 As Directed by COUNTY in the performance specification, CONTRACTOR will provide incidental architectural or engineering design services in accordance with applicable State laws and COUNTY’s insurance and indemnification requirements. All documents are subject to review and approval of COUNTY. COUNTY may require that design documents be prepared and provided in Computer Aided Design (CADD) format.

5.07 Each Job Order will be prepared under the direction of COUNTY.

5.08 COUNTY staff will notify CONTRACTOR in writing of a Joint Scope Meeting to discuss a potential Job Order.

5.09 Prior to the Joint Scope Meeting, COUNTY will provide CONTRACTOR with all appropriate information and documentation, if any, pertaining to the potential Job Order. CONTRACTOR and their primary trade(s) will participate in the Joint Scope Meeting and visit the proposed site with COUNTY staff. At the Joint Scope Meeting the following items will be discussed:

- Job Order number and title
- Existing site conditions
- Presence of Hazardous Materials
- Methods and alternatives for accomplishing Work
- Definition and refinement of requirements
- General Scope of Work
- Requirements for stamped & unstamped design drawings, sketches, submittals, catalogue cuts, samples, shop drawings, technical data, etc.
- Tentative construction schedule (bar chart or critical path method schedule)
- Preliminary quantity estimates
- Staging areas and site access
- Special conditions regarding unique facility operations and hours of operation
- Safety requirements

- Special insurance requirements
- Liquidated Damages
- Job Order Proposal due date

5.10 Making information available to CONTRACTOR is not to be construed in any way as a waiver of the aforesaid provisions, and CONTRACTOR must satisfy themselves through their own investigations as to conditions to be encountered.

5.11 Upon completion of the joint scoping process, COUNTY will prepare a draft Detailed SOW referencing any sketches, drawings, photographs, and specifications required to document accurately the Work to be accomplished. COUNTY will issue a Request for Job Order Proposal that will require CONTRACTOR prepare a Job Order Proposal. The Detailed SOW unless modified by CONTRACTOR and COUNTY will be the basis on which CONTRACTOR will develop its Job Order Proposal. CONTRACTOR does not have the right to refuse to perform any task or any Work in connection with a particular Project.

5.12 Job Order Proposal Development. The Job Order Price shall be the value of the approved Job Order Price Proposal. The value of the Job Order Price Proposal shall be calculated by summing the total of the calculations for each Pre-priced Tasks (Unit Price  $\times$  quantity  $\times$  Adjustment Factor) plus the value of all Non Pre-priced Tasks. CONTRACTOR will prepare Job Order Proposals in accordance with the following:

Pre-priced Tasks: CONTRACTOR will identify the task and quantities required from CTC. The Unit Price set forth in the CTC serves as the base price.

Non Pre-priced Tasks (NPP): A task that is not set forth in CTC. NPP Tasks, if any, must be separately identified in the Proposal. Information submitted in support of NPP Tasks includes, but is not limited to, the following:

1. Complete specifications and technical data, including task content, support drawings, task cost data, quality control, and inspection requirements.
2. Work schedule.
3. Cost data will include a cost analysis report, establishing the basis for selecting the approach proposed for accomplishment of the task. Unless otherwise directed by COUNTY, cost data will be submitted demonstrating that CONTRACTOR sought and received three (3) price quotes and shall to the extent possible, use Pre-priced Tasks for labor and equipment from the CTC. CONTRACTOR will provide an installed Unit Price (or demolition price if appropriate) which will include all costs required to accomplish the NPP Task. If the Work is to be subcontracted, CONTRACTOR must submit three (3) independent quotes from subcontractors unless otherwise directed by COUNTY. CONTRACTOR shall not submit a quote or bid from any supplier or subcontractor that CONTRACTOR is not prepared to use. COUNTY may require additional quotes and bids if the suppliers or subcontractors are not acceptable or if the prices are not reasonable.

4. The final price submitted for NPP Tasks shall be calculated according to the following formula:

Contractor Performed Duties

A = The number of hours for each labor classification and hourly rates

B = Equipment costs (other than small tools)

C = Three (3) independent quotes for all materials

**Total Cost for self performed work = (A+B+C) x Normal Hours Adjustment Factor or 1.15 (whichever is less)**

**(Only if A & B cannot be priced out of the CTC)**

5. For Work performed by Subcontractors:

If the Work is to be subcontracted, CONTRACTOR must submit three (3) independent bids from Subcontractors. If three (3) quotes or bids cannot be obtained, CONTRACTOR will provide the reason in writing for COUNTY's approval as to why three (3) quotes cannot be submitted.

D = Subcontractor Costs (supported by three (3) quotes)

**Total Costs of NPP Task = D x Normal Hours Adjustment Factor or 1.15 (whichever is less)**

6. After a NPP Task is used on three (3) separate Job Orders and following approval by County of a NPP Task, the NPP Task Unit Price will be entered into computer database and fixed as a permanent Pre-priced task, which no longer requires price justification.
7. The total extended price for the NPP Task will be determined by multiplying the task Unit Price by the quantity required. The price offered in the Proposal will be determined by multiplying the total extended price by an Adjustment Factor of 1.00 (one).
8. COUNTY's determination as to whether an item is a Pre-priced task or a NPP Task is final, binding, and conclusive as to CONTRACTOR.
9. Whenever, because of trade jurisdiction rules or small quantities, the cost of a minor task in the Job Order Price Proposal is less than the cost of the actual labor and material to perform such task, COUNTY may permit CONTRACTOR to be paid for such task as a NPP Task, or use Pre-priced labor tasks and material component pricing to cover the actual costs incurred. Provided, however, that there is no other work for that trade on the Project or other work for that trade cannot be scheduled at the same time and the final charge does not exceed \$1,000.

CONTRACTOR's Job Order Proposal must include support documentation to indicate that adequate engineering and planning for the Job Order has been done, and that the tasks proposed are reasonable for the Work to be performed. Documentation to be submitted with the Proposal must include, but not be limited to:

1. Stamped and unstamped design drawings, calculations, specifications
2. Catalog cuts
3. Subcontractor and material supplier list
4. Construction schedule
5. Special Insurance
6. For special equipment a copy of the warranty document may be required.
7. Other requested documents

CONTRACTOR's Job Order Proposal must be submitted by the date indicated on the RFP. All incomplete Job Order Proposals shall be rejected. The time allowed for preparation of CONTRACTOR's Job Order Proposal will depend on the complexity and urgency of the Job Order but should average between seven (7) and fourteen days. On complex Job Orders, such as Job Orders requiring incidental engineering/architectural drawings and approvals and permits, allowance will be made to provide adequate time for preparation and submittal of the necessary documents.

CONTRACTOR shall make the necessary arrangements for and obtain all filings and permits required for the Work, including the preparation of all drawings, sketches, calculations and other documents and information that may be required therefor. If CONTRACTOR is required to pay an application fee for filing a project, a fee to obtain a building permit, or any other permit fee to the City, State, or other governmental or regulatory agency, then the amount of such fee paid by CONTRACTOR for which a receipt is obtained shall be treated as a Reimbursable Task to be paid without mark-up.

CONTRACTOR shall provide incidental engineering and architectural services required in connection with a particular Job Order including drawings and information required for filing.

**Emergency JOC Ordering.** An emergency means a sudden, unexpected occurrence that poses a clear and imminent danger, requiring immediate action to prevent or mitigate the loss or impairment of life, health, property, or essential public services. Once an emergency has been declared by the responsible COUNTY agent, and pursuant to laws governing an emergency condition, the COUNTY will initiate expedited steps for the processing of an emergency job order.

By submitting a Job Order Proposal to COUNTY, CONTRACTOR agrees to accomplish the Detailed SOW in accordance with the Request for Proposal at the price submitted. It is CONTRACTOR's responsibility to apply the appropriate Adjustment Factor(s) prior to delivering it to COUNTY.

If CONTRACTOR requires clarifications or additional information regarding the Detailed SOW in order to prepare the Job Order Proposal, the request must be submitted so that the submittal of the Job Order Proposal is not delayed.

**5.13 Review of Job Order Proposal.** COUNTY reserves the right to reject a Job Order Proposal for any reason. COUNTY also reserves the right not to issue a Job Order if that is determined to be in the best interests of COUNTY to do so or if the proposed cost exceeds COUNTY's estimate. In these instances, CONTRACTOR has no entitlement to reimbursement for Proposal preparation expenses. COUNTY may pursue the performance of any Work by other means.

COUNTY will evaluate the entire Job Order Price Proposal and compare it with COUNTY's estimate of the Detailed SOW to determine the reasonableness of approach, including the appropriateness of the tasks and quantities proposed.

CONTRACTOR may choose the means and methods of construction; subject however, to COUNTY's right to reject any means and methods proposed by CONTRACTOR that:

1. will constitute or create a hazard to the Work, or to persons, or property;
2. Will not produce finished Work in accordance with the terms of the Contract; or
3. Increases the price of the Job Order when alternative means and methods are available.

By submitting a Job Order Proposal to COUNTY, CONTRACTOR agrees to accomplish the Detailed SOW for the price submitted. It is CONTRACTOR's responsibility to include all necessary Pre-priced and Non Pre-priced Tasks and quantities in the Proposal.

COUNTY will evaluate the Job Order Proposal, including the correct tasks and quantities, and determine if CONTRACTOR proposes to accomplish the Detailed SOW in a manner acceptable to COUNTY.

5.14. Issuance of the Job Order. A Job Order Authorization signed by COUNTY will be provided to CONTRACTOR. Each Job Order includes a Detailed SOW. After COUNTY issues a Job Order, CONTRACTOR's price proposal is of no significance. All provisions of this Contract are applicable to each Job Order. Job Order Authorizations will be written on an appropriate form. The Job Order, signed by COUNTY and delivered to CONTRACTOR, constitutes COUNTY's acceptance of CONTRACTOR's Job Order Proposal. A signed copy of the Job Order will be provided to CONTRACTOR.

COUNTY may direct CONTRACTOR to undertake and possibly complete urgent work prior to the issuance of a Job Order. CONTRACTOR will be compensated in accordance with the provisions of this Contract.

In the event that immediate emergency response is necessary, CONTRACTOR shall be required to follow alternative procedures as established by COUNTY. CONTRACTOR shall begin Work as directed notwithstanding the absence of a fully developed Request for Proposal, Detailed SOW, or Job Order. CONTRACTOR shall be compensated for such Work as if the Work had been ordered under the standard procedures.

If CONTRACTOR has failed to submit a Proposal or the parties fail to reach an agreement, COUNTY may issue a Unilateral Job Order. Compensation for the Work shall be in accordance with the CTC and terms and conditions of the Contract. CONTRACTOR must commence any work issued under a Unilateral Job Order in the time stated in the Job Order. Failure to commence Work will result in termination of this Contract for cause. The issuance of a Unilateral Job Order will not prejudice any of CONTRACTOR's rights to make claims or appeal disputed matters.

5.15 Computer and Communications Equipment Requirements. CONTRACTOR shall maintain at its office for its use a computer with, at a minimum, a 1 GHz processor and an internet connection. CONTRACTOR shall maintain individual e-mail accounts for each of its project managers.

5.16 The Gordian Group, Inc. (TGG) JOC System License and Fee Agreement. CONTRACTOR is required to enter into a JOC System License and Fee Agreement with TGG (See Exhibit 1).

## ARTICLE 6. CONTRACT ADMINISTRATION BY PROJECT MANAGER

6.01 No contractual relationship between Project Manager (PM) and CONTRACTOR. Nothing contained in the Contract Documents shall create any contractual relationship between the Project Manager (PM) and CONTRACTOR or any subcontractor.

6.02 COUNTY's representative. The PM will be COUNTY's representative during construction and until final payment as provided in this Agreement. The PM will have authority to act on behalf of COUNTY to the extent provided in the Contract Documents, unless otherwise modified by written instrument which will be shown to CONTRACTOR. PM will advise and consult with COUNTY, and all of COUNTY's instructions to CONTRACTOR shall be issued through PM. As COUNTY's representative, PM will provide general administration of the Contract, including performance of the functions hereinafter described. In addition, the PM will be COUNTY's Representative for management of construction in the field. The PM is responsible for managing the project schedule, budget, and has the authority to act on behalf of COUNTY as relating to the

management of these items.

6.03 Instructions issued through Project Manager. COUNTY shall issue instructions to CONTRACTOR through the PM, provided that County shall have the right, but not the obligation, to itself or through other project representatives issue Supplemental Job Orders, require additional Work and/or direct the omission of Work previously ordered by written instructions directly to CONTRACTOR, provided such Project representative and instructions have been previously approved in writing by COUNTY.

6.04 Project Manager's access to Work. The PM shall at all times have access to the Work wherever it is in preparation and progress. CONTRACTOR shall provide Roads & Bridges for such access so the PM may perform their functions under the Contract.

6.05 Determination of payments to CONTRACTOR. Based on observations and CONTRACTOR's applications for payment, the PM will determine the amounts owing to CONTRACTOR and will issue certificates for payment in such amounts, as provided in Articles 18-21.

6.06 Decisions on artistic effect. The PM's decisions in matters relating to artistic effect will be final if consistent with the intent of the Contract Documents.

6.07 Authority to reject Work or to require special inspection or testing. The Project Manager may reject Work which does not conform to the Contract Documents. Whenever, in PM's reasonable opinion, PM considers it necessary or advisable to ensure the proper implementation of this Contract, PM may require special inspection or testing of the Work in accordance with Article 11, whether or not such Work is then fabricated, installed, or completed. However, the PM's authority to act under this paragraph, or any decision made by PM in good faith either to exercise or not to exercise such authority, shall not give rise to any duty or responsibility of the PM to CONTRACTOR, any subcontractor, any of their agents or employees, or any other person performing any of the Work.

6.08 Supplemental Job Orders prepared by Project Manager. PM will prepare Supplemental Job Orders and may order minor changes in the Work in accordance with Article 15.

6.09 Inspections and document review. PM will: conduct inspections of the Work (including a final inspection); receive and review written guarantees and related documents required by the Contract and assembled by CONTRACTOR; and issue a final certificate for payment.

## ARTICLE 7. SERVICES PROVIDED BY COUNTY

7.01 Easements obtained by COUNTY. COUNTY shall secure and pay for all easements, rights-of-way, and fee interests in land necessary to enable CONTRACTOR to complete the Work.

7.02 Surveys provided by COUNTY. COUNTY shall furnish all surveys describing the existing physical characteristics, legal limits, and utility locations for the site of the project. Unless specifically provided for in Detailed SOW for the Job Order, COUNTY shall not provide field engineering or construction staking.

7.03 Information and services provided by COUNTY. Information or services under COUNTY's control shall be furnished by COUNTY with reasonable promptness to avoid delay in the orderly

progress of the Work.

## ARTICLE 8. CONTRACTOR'S ADMINISTRATIVE DUTIES

8.01 Review of Contract Documents for errors. CONTRACTOR shall carefully study and compare the Detailed SOW and shall, at once, report in writing to the PM, any error, inconsistency, or omission he/she/it may discover. CONTRACTOR shall not be liable to COUNTY for any damage resulting from any such errors, inconsistencies, or omissions in the Detailed SOW which were reported, in writing, by CONTRACTOR to PM provided no provisions herein shall relieve CONTRACTOR from liability for errors, inconsistencies, or omissions which were known or reasonably should have been known to CONTRACTOR, which were not disclosed in writing to the PM.

8.02 Taxes. CONTRACTOR shall pay all sales, consumer, use, and other similar taxes required by law.

8.03 Transportation and utility service. CONTRACTOR shall pay for all transportation and utility service not later than the 20th day of the calendar month following that in which such services are rendered.

8.04 Materials and equipment. CONTRACTOR shall pay for all materials, tools, and other expendable equipment to the extent of ninety-five (95%) of the cost thereof, not later than the 20th day of the calendar month following that in which such materials, tools, and equipment are delivered at the project site and the balance thereof not later than the 30th day following completion of that part of the Work in or on which such materials, tools, and equipment are incorporated or used.

8.05 CONTRACTOR's Personnel. CONTRACTOR shall assign a full-time person as its representative for this Contract. This person shall be acceptable to COUNTY and shall have a cell phone at which they can be reached at all times. CONTRACTOR shall also have at all times an Office Manager and a Superintendent assigned to this Contract. Additional staff will be provided by CONTRACTOR depending on the volume of Work. For each Job Order issued, CONTRACTOR shall identify the Superintendent responsible for the Job Order. The Superintendent shall be reachable 24 hours a day, seven (7) days a week. If the named Superintendent is not available because of illness or vacation or the like, CONTRACTOR shall notify COUNTY of a substitute Superintendent. At all times, Contractor shall provide at least one (1) Superintendent for every four (4) Job Orders (1:4). Whenever, in the sole discretion of COUNTY, CONTRACTOR is not providing a sufficient level of supervision, COUNTY may direct CONTRACTOR to increase the level of supervision for any or all projects, including but not limited to, the right to direct CONTRACTOR to assign a full-time, dedicated Superintendent for a project, submit daily management, inspection, activity, and planning reports, substitute subcontractors; submit daily photographs of the Work in place and the Work areas prepared for the next day's Work; all at no cost to COUNTY. In the event COUNTY's personnel are required to provide direction or supervision of the Work in the field because CONTRACTOR has not provided sufficient supervision, CONTRACTOR shall reimburse COUNTY \$137 per hour for such effort.

CONTRACTOR shall at all times while Work is being performed at site, be represented on Site by a competent resident Superintendent authorized and competent to receive and carry out any instructions that COUNTY may give, and shall be liable for faithful observance of instructions



delivered to CONTRACTOR. Said Superintendent shall not be replaced without COUNTY's express written consent. The Superintendent shall be CONTRACTOR's representative at the Site and shall have complete authority to act on behalf of CONTRACTOR. All communications to and from the Superintendent shall be as binding as if given to or by CONTRACTOR. Communications, instructions, or Drawings given to CONTRACTOR's representative shall be deemed to have been given to CONTRACTOR.

In the event that the designated Superintendent is unable to be present at the site, CONTRACTOR shall designate a substitute Superintendent, subject to COUNTY's approval, and shall obtain COUNTY's consent as to time and duration of any such substitution.

8.06 CONTRACTOR's responsibility for agents and employees. CONTRACTOR shall be responsible to COUNTY for the acts and omissions of all his/her/its employees and all subcontractors, their agents, and employees, and all other persons performing any of the Work under a contract with CONTRACTOR.

8.07 Communication through Project Manager. CONTRACTOR shall forward all communications to COUNTY through the Superintendent or Foreperson.

## ARTICLE 9. GENERAL PROVISIONS REGARDING CONDUCT OF WORK

9.01 No Work without a Job Order. CONTRACTOR shall do no Work without a Detailed SOW as defined in a Job Order, except as otherwise directed by the Contract Documents.

9.02 Supervision and construction procedures. CONTRACTOR shall supervise and direct the Work, using his/her/its best skill and attention. CONTRACTOR shall be solely responsible for all construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract.

9.03 CONTRACTOR's responsibility for labor, materials, and equipment. Unless otherwise specifically noted, CONTRACTOR shall provide and pay for all labor, materials, equipment, and machinery, water, heat, utilities, transportation, and other Roads & Bridges and services necessary for the proper execution and completion of the Work.

9.04 Conduct and skill of employees. CONTRACTOR shall at all times enforce strict discipline and good order among his/her/its employees and shall not employ on the Work any unfit person or anyone not skilled in the task assigned to him/her. Any person in the employment of CONTRACTOR who COUNTY may deem incompetent or unfit shall be dismissed from the Work and shall not again be employed on it except with the written consent of COUNTY.

9.05 Progress schedule. CONTRACTOR shall, with the Job Order Proposal, submit to COUNTY a schedule for the Work. The schedule shall be a series of tasks representing CONTRACTOR's plan for performing the Work including all activities both onsite and offsite, submittal due dates, submittal review periods, material purchasing, lead or fabrication times, a period for punch list and corrections, final inspection and approvals, and other events or activities having an effect on the progress or completion of the Work. For each task, the schedule shall show the duration, the starting and finish dates, predecessors, successors, and the average work force and equipment planned. The schedule shall be submitted in bar chart and Program Evaluation Review Technique (PERT) chart format and with a separate task list showing all data in spreadsheet format. No single task on the schedule may exceed two (2) weeks in duration.

The schedule shall be revised as required by the progress and conditions of the Work, Supplemental Job Orders, and all other factors that could influence the date of Substantial Completion.

CONTRACTOR shall post a schedule on the Project site in a location readily accessible to COUNTY. The posted schedule shall be updated at least weekly by CONTRACTOR to show actual progress. At least once a month, CONTRACTOR will provide a written progress report to COUNTY in a format approved by COUNTY.

Weather shall be allowed for in CONTRACTOR's Schedule. Additional time will be granted for adverse weather to correspond to the number of scheduled workdays lost due to weather.

9.06 Plans and specifications at site. CONTRACTOR shall maintain at the site for COUNTY one (1) copy of all approved Job Orders with the Detailed SOW, including any related plans, Supplemental Job Orders, and other modifications, in good order and marked to record all changes made during construction. These shall be available to the PM. A reproducible set of plans, marked to record all changes made during construction, shall be delivered to the PM for COUNTY upon completion of the work and prior to release of final payment.

9.07 Cutting and patching. CONTRACTOR shall be responsible for any cutting, fitting, and patching that may be required to complete his/her/its work, except as otherwise specifically provided in the Contract Documents. CONTRACTOR shall not endanger any Work of any other contractor by cutting, excavating, or otherwise altering any Work and shall not cut or alter the Work of any other contractor except with the written consent of the PM.

9.08 Revision of operations. When, in the judgment of COUNTY, it becomes necessary to accelerate the Work, CONTRACTOR when so ordered shall concentrate his/her/its forces at such points as directed and execute such portions of the Work as may be required.

9.09 Damage to Work and property on-site. All damage or loss to any property on or near the site caused in whole or in part by CONTRACTOR, any subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable, shall be remedied by CONTRACTOR, at CONTRACTOR's expense.

## ARTICLE 10. SEPARATE CONTRACTS ON SAME PROJECT

10.01 COUNTY's right to award separate contracts. COUNTY reserves the right to award other contracts in connection with other portions of the project.

10.02 Coordination among contractors. CONTRACTOR shall ascertain to his/her/its own satisfaction the scope of the project and the nature of any other contracts that have been or may be awarded by COUNTY in prosecution of the project, to the end that CONTRACTOR may perform this Contract in light of such other contracts, if any. Nothing herein shall be interpreted as granting to CONTRACTOR exclusive occupancy at the site. CONTRACTOR shall not cause any unnecessary hindrance or delay to any other contractor working on the Project. If simultaneous execution of any contract for the Project is likely to cause interference with the performance of some other contract or contracts, COUNTY shall decide which CONTRACTOR shall cease Work temporarily and which CONTRACTOR shall continue or whether Work can be coordinated so that contractors may proceed simultaneously. COUNTY shall not be responsible

for any damages suffered or extra costs incurred by CONTRACTOR resulting directly or indirectly from the award or performance or attempted performance of any other contract or contracts on the Project, or caused by any decision or omission of COUNTY respecting the order of precedence in performance of the contracts. Any delay in the progress of the Work as a result of such priorities shall not give rise to any adjustments in the Job Order Price. CONTRACTOR understands and agrees that its sole right and remedy therefore shall be an extension of time.

10.03 Responsibility to other contractors. CONTRACTOR shall afford other contractors on the same Project reasonable opportunity for the introduction and storage of their materials and equipment and the execution of their Work, and shall properly connect and coordinate his/her/its Work with theirs.

10.04 Duty to inspect other contractor's Work. If any part of CONTRACTOR's Work depends for proper execution or results upon the Work of any other separate contractor, CONTRACTOR shall inspect and promptly report to the PM any apparent discrepancies or defects in such Work that render it unsuitable for such proper execution and results. Failure of CONTRACTOR so to inspect and report shall constitute an acceptance of the other contractor's Work as fit and proper to receive his/her/its Work, except as to defects which may develop in the other separate contractor's Work after the execution of CONTRACTOR's Work. Any Work exhibiting unacceptable quality as defined by the Contract Documents will result in CONTRACTOR's payment (or a portion thereof) being withheld until the unacceptable Work is corrected to meet the required quality standards, per Article 19 herein.

10.05 Damage to other contractor's Work. Should CONTRACTOR cause damage to the Work or property of any separate contractor on the Project, CONTRACTOR shall, upon due notice settle with such other contractor by agreement or arbitration, if he/she/it will so settle. If such separate contractor sues COUNTY or initiates an arbitration proceeding on account of any damage alleged to have been so sustained, COUNTY shall notify CONTRACTOR who shall defend such proceedings and indemnify and hold harmless COUNTY.

10.06 Responsibility for costs caused by one contractor to another. Any costs to one (1) contractor or his/her/its subcontractors on the Project caused by defective or ill-timed Work by another contractor or his/her/its subcontractors on the project shall be borne by the party responsible for such defective or ill-timed Work.

10.07. COUNTY's right to settle disputes over cleanup. If a dispute arises between the separate contractors as to their responsibility for cleaning up under paragraph 13.02, COUNTY may clean up and charge the cost thereof to the several contractors, as COUNTY shall determine to be just.

## ARTICLE 11. TESTS

11.01 CONTRACTOR's responsibility for required tests. If the Contract Documents, laws, ordinances, rules, regulations, or orders of any public authority having jurisdiction require any Work to be inspected, tested, or approved, CONTRACTOR shall give the PM timely notice of its readiness and of the date arranged so the PM may observe such inspection, testing, or approval. COUNTY shall bear all costs of such inspections, tests, and approval, unless otherwise provided.

11.02 Responsibility for tests not anticipated in Contract. If after the commencement of the Work, the PM determines that any Work requires special inspection, testing, or approval which paragraph 11.01 does not include, PM will instruct CONTRACTOR to order such special

inspection, testing, or approval and CONTRACTOR shall give notice as in paragraph 11.01. If such special inspection or testing reveals a failure of the Work to comply (1) with the requirements of the Contract Documents or (2) with laws, ordinances, rules, regulations, or orders of any public authority having jurisdiction, then CONTRACTOR shall bear all costs thereof, including the PM's additional services made necessary by such failure; otherwise COUNTY shall bear such costs, and an appropriate Supplemental Job Order shall be issued.

11.03 Certificates of inspection. Required certificates of inspection, testing, or approval shall be secured by CONTRACTOR and promptly delivered by CONTRACTOR to the PM.

11.04 Observation by Project Manager. If the PM wishes to observe the inspections, tests, or approvals required by this Article 11, PM will do so promptly and, where practicable, at the source of supply.

11.05 No waiver of CONTRACTOR's responsibility. Neither the observations of the PM in their administration of the construction Contract, nor inspections, tests, or approvals by persons other than CONTRACTOR shall relieve CONTRACTOR from his/her/its obligations to perform the Work in accordance with the Contract Documents.

## ARTICLE 12. TIME FOR PERFORMANCE AND LIQUIDATED DAMAGES

12.01 Time is of the essence. All time limits stated in the Contract Documents are of the essence of the Contract.

12.02 Commencement and completion of Work. Contract Time commences upon the written execution of the Contract by COUNTY and shall end either one (1) year from the date signed by COUNTY or upon the payment by COUNTY to CONTRACTOR of the maximum amount payable under the Agreement, whichever occurs earlier. A separate Job Order Notice to Proceed will be issued for each Job Order. Each Job Order will specify a time limit for completion as stated on the Job Order Notice to Proceed (NTP). COUNTY will not issue any new Job Orders after the expiration of the Agreement. Any Job Order authorized prior to the expiration of the Agreement must be completed within the time specified in the Job Order. In the event the scheduled completion for any Job Order extends beyond the term of the Agreement, CONTRACTOR and COUNTY agree that the terms of the Agreement shall continue in effect and be applicable for such Job Orders.

12.03 Prosecution of Work. CONTRACTOR shall prosecute the Work diligently and expeditiously with adequate forces and shall complete it within the time specified in the Job Order.

12.04 Date of final completion. When CONTRACTOR believes that his/her/its Work is completed, he/she shall request that the PM inspect the Work and certify its completion. The PM will respond promptly to such a request. The date of final completion of the Work or any designated portion thereof is the date on which, after the PM certifies that construction has been completed in accordance with the Contract Documents, the Notice of Completion is recorded.

12.05 Grounds for extension of time. The time for completion of the Work shall be extended by Supplemental Job Order for such reasonable time as the PM may determine if an extension of time is reasonably necessary due to a delay caused to CONTRACTOR by any of the following circumstances:

- Sole act or sole negligence of COUNTY, or any separate contractor employed by COUNTY;
- Any Supplemental Job Order, which change is requested by COUNTY or which is not due to the act or negligence of CONTRACTOR.
- Any labor disputes, fire, unusual delay in transportation, unavoidable casualties, or causes beyond CONTRACTOR's control and which CONTRACTOR could not reasonably have foreseen or made reasonable provisions for, and which are not caused by or the continuance of which is not due to, any act or failure to act on behalf of CONTRACTOR; or
- Any other cause which the PM determines may justify the delay.

12.06 Extensions of time due to failure to furnish interpretation. No extension of time shall be allowed for delay caused by the PM's failure to promptly provide an interpretation of the Contract, except in the following circumstances:

- PM failed to provide the interpretation for over fifteen days after demand was made for such interpretation, and it would be reasonable to extend time due to such failure; or
- The parties have agreed upon a schedule for the provision of interpretations, the PM failed to comply with that schedule, and it would be reasonable to extend time due to such failure.

12.07 Claims for extension of time. Notwithstanding the provisions of Section 12.05 and 12.06 above, none of the causes of delay described therein shall be deemed a valid excuse for CONTRACTOR's failure to start, perform, or complete the Work, or any portion thereof, on time unless CONTRACTOR has notified the PM, in writing, of the alleged cause of delay within five (5) days after commencement of the cause of the delay. Should COUNTY disagree with CONTRACTOR that the alleged delay warrants an extension of time for the performance of any act required hereunder, CONTRACTOR shall notify COUNTY, in writing, as provided in Article 30; provided that CONTRACTOR shall proceed with the Work during the period that the PM and CONTRACTOR seek to resolve the matter.

12.08 Liquidated damages. COUNTY and CONTRACTOR recognize that time is of the essence of this Agreement and that COUNTY will suffer financial loss, if all or any part of the Work is not completed within the time specified in the Job Order, plus any extensions thereof. Accordingly, COUNTY and CONTRACTOR agree that liquidated damages for delay will be established by County for each Job Order. CONTRACTOR shall pay COUNTY the dollar amount stipulated in the Job Order for each day that expires after the time specified therein for CONTRACTOR to achieve Completion.

These measures of liquidated damages shall apply cumulatively and except as provided below, shall be presumed to be the damages suffered by COUNTY resulting from delay in completion of the Work.

Liquidated damages for delay shall only cover project administrative (such as Project management and consultant expenses) and cost damages suffered by COUNTY as a result of delay. Liquidated damages shall not cover the cost of completion of the Work, damages resulting from Defective Work, lost revenues or costs of substitute Roads & Bridges, or damages suffered by others who then seek to recover their damages from COUNTY (for example, delay claims of other contractors, subcontractors, tenants, or other third parties), and defense costs thereof.

12.09 Removal or relocation of main or trunk line utility facilities. CONTRACTOR shall not be assessed for liquidated damages for delay in completion of the Job Order when such delay was caused by the failure of COUNTY or a utility company to provide for removal or relocation of existing main or trunk line utility facilities. However, when CONTRACTOR is aware that

removal or relocation of an existing utility has not been arranged, CONTRACTOR shall promptly notify COUNTY and the utility company in writing so that provision for such removal or relocation may be made to avoid and minimize any delay which might be caused by the failure to remove or relocate the main or trunk line utility facilities, or to provide for their removal or relocation. In accordance with Government Code Section 4215, if CONTRACTOR while performing the Contract discovers any existing main or trunk line utility facilities not identified by COUNTY in the Contract plans or specifications, he/she shall immediately notify the PM and utility in writing. The utility, where it is the owner of the facility, shall have the sole discretion to perform repairs or relocation Work or permit CONTRACTOR to do such repairs or relocation Work at a reasonable price. CONTRACTOR shall be compensated for the costs of locating, repairing damage not due to the failure of CONTRACTOR to exercise reasonable care, and removing or relocating such utility facilities not indicated in the plans and specifications with reasonable accuracy and for equipment on the Job Order necessarily idled during such Work. Such compensation shall be in accordance with the Supplemental Job Orders. Conversely, CONTRACTOR shall not be compensated for the costs of locating, repairing damage, and removing or relocating such utility facilities which is due to the failure of Contractor to exercise reasonable care. In such an event, CONTRACTOR shall not be credited for nor given an extension of time for equipment on the Job Order necessarily idled during such Work necessitated by CONTRACTOR's failure to exercise reasonable care.

#### ARTICLE 13. USE OF SITE

13.01 Limit of operations. CONTRACTOR shall confine his/her/its apparatus, the storage of materials, and the operations of his/her/its workers to limits indicated on the plans, or by law, ordinances, permits, or directions of the PM and shall not unreasonably occupy the premises with his/her/its materials. Insofar as possible, CONTRACTOR shall arrange his/her/its Work and its progress to prevent any interference with the operations of the existing facilities. All utilities must be protected and connections made to utilities so as not to interrupt service.

13.02 Site Cleanup. All construction materials, equipment and debris shall be removed from the staging area at the close of each business day. Equipment and tools are to be properly and securely stored where the Work is underway or taken away at the end of each business day. All existing equipment that must remain due to size is to be covered for the evening and the covering removed prior to the opening of the next business day. All debris will be picked up and properly disposed of at the end of each business day. No debris will be stored in the building. All debris containers will be covered to prevent the wind and/or vandals from scattering refuse.

13.03 Site Restoration. All lawn, landscaping, pavement, and trees are to be protected from construction equipment and/or vehicles. Any compaction, gouging, tearing, removal, or dislocation of the existing lawn or trees that occurs during the staging and construction process is to be restored to preconstruction quality. CONTRACTOR's lay-down area shall be restored back to original condition at completion of Work activities.

13.04 Use of Utilities. Unless otherwise noted, all utilities, including, but not limited to, electricity, water, gas, and telephone, used on the Work shall be furnished and paid for by CONTRACTOR. CONTRACTOR shall furnish and install temporary distribution systems, including meters, if necessary, from distribution points to points on-site where utility is necessary to carry on the Work. Upon completion of the Work, CONTRACTOR shall remove all temporary distribution systems. If this Contract is for an addition to an existing facility, CONTRACTOR may, with the written permission of COUNTY, use COUNTY's existing utilities

by making prearranged payments to COUNTY for utilities used by CONTRACTOR for construction.

13.05 Utility Shut Down - Disruption of Service. CONTRACTOR shall notify COUNTY's representative, in writing, two (2) workdays in advance of any disruption of service, e.g., fire suppression, electrical, water, and CONTRACTOR shall not proceed with the Work without written authorization from COUNTY's representative.

13.06 Metering devices. For the purpose of providing utility service to the Job Order, CONTRACTOR may install or cause to be installed metering devices or other equipment of utility companies or of political subdivisions, title to which is commonly retained by the utility company or political subdivision. If any such metering device or equipment is installed, CONTRACTOR shall advise COUNTY as to the owner of such device or equipment.

13.07 Material Safety Data Sheets (MSDS). MSDS sheets are required on-site for all materials used in the job.

#### ARTICLE 14. MATERIALS

14.01 Materials provided by CONTRACTOR. Except as otherwise expressly stated in this Contract, CONTRACTOR shall provide and pay for all materials, labor, tools, equipment, water, lights, power, transportation, superintendence, temporary constructions of every nature, and all other services and Roads & Bridges of every nature whatsoever necessary to execute and complete this Contract within the specified time. It should be noted that COUNTY has a "Local Preference Policy" and provisions should be made to comply with policy in the purchase of materials to complete the SOW as outlined in the job order. See the policy details at: <http://www.in.co.monterey.ca.us/admin/policies.htm>.

14.02 Quality of materials. Unless otherwise specified, all materials shall be new and both workmanship and materials shall be of good quality.

14.03 Provision and storage of materials. Materials shall be furnished in ample quantities and at such times as to ensure uninterrupted progress of Work and shall be stored properly and protected as required. CONTRACTOR shall be entirely responsible for damage or loss by weather or other causes to materials or Work under this Contract. All stored items shall be inventoried, specified by identification numbers (if applicable), released to COUNTY by sureties of CONTRACTOR, and, if stored offsite, stored only in a reputable bonded warehouse.

14.04 Substitution of materials. Whenever in the specifications any materials, process, or article is indicated or specified by grade, patent, or proprietary name or by the name of the manufacturer, such specification shall be deemed to be used for the purpose of facilitating the description of the material, process, or article desired and shall be deemed to be followed by the words "or equal," and CONTRACTOR may, unless otherwise stated, offer any material, process, or article which shall in every respect be substantially equal to or better than that specified. The burden of proof as to equality of any material, process, or article shall rest with CONTRACTOR. CONTRACTOR shall submit any request for substitution, together with any substantiating data. These provisions authorizing submission of "or equal" justification data shall not in any way authorize an extension of time for performance of this Contract. In the event CONTRACTOR furnished material, processes, or articles are more expensive than those specified, the difference in cost so furnished shall be borne by CONTRACTOR. Requests for substitution of products,

materials, or processes other than those specified must be accompanied by evidence whether or not the proposed substitution: (1) is equal in quality and serviceability to the specified item; (2) will entail changes in detail and construction of related Work; (3) will be acceptable in consideration of the required design and artistic effect; (4) will not provide a cost disadvantage to COUNTY. CONTRACTOR shall promptly provide, upon request, any other information that may be required of it to assist COUNTY in determining whether the proposed substitution is acceptable. The final decision shall be that of COUNTY. COUNTY's approval shall be in writing, and shall be required for the use of a proposed substitute material. COUNTY may condition its approval of the substitution upon delivery to COUNTY of an extended warranty or other assurances of adequate performance of the substitution.

## ARTICLE 15. SUPPLEMENTAL JOB ORDERS

15.01 Supplemental Job Orders. COUNTY, without invalidating the Contract and without consent of Surety, may accomplish changes in the Work within the general scope of the Job Order and the Contract consisting of additions, deletions or other revisions, the Job Order Price and the Job Order Completion Time being equitably adjusted accordingly. All such changes in the Work shall be accomplished by Supplemental Job Order, Field Order, or County's Instructions as may be applicable in accordance with the provisions herein. The Job Order Price and the Job Order Completion Time may be changed only by a Supplemental Job Order. Changes to the Work shall be performed under the applicable provision of the Contract Documents for similar Work. CONTRACTOR agrees to promptly proceed with the Work as so changed.

A Supplemental Job Order Detailed SOW is a written order from COUNTY ordering a change in the Job Order Detailed SOW. Upon receipt of a Supplemental Job Order Detailed SOW, CONTRACTOR shall promptly proceed with the Work as changed. Within twenty (20) calendar days after receiving a Supplemental Job Order Detailed SOW and prior to or simultaneously with proceeding with the change in the Work, CONTRACTOR shall advise COUNTY of CONTRACTOR's disagreement, if any, with the terms of the Supplemental Job Order Detailed SOW, and shall state the nature and extent of the disagreement. Proceeding with the Work as changed without submitting a notice of disagreement indicates CONTRACTOR's full acceptance of the Supplemental Job Order Detailed SOW including the proposed adjustment, if any, in Job Order Price and Job Order Completion Time. A Supplemental Job Order is required to adjust Job Order Price and Job Order Completion Time for changes in the Job Order. CONTRACTOR will not delay the Work for any reason including pending Supplemental Job Orders or unresolved price or time adjustment.

A Supplemental Job Order (duly authorized) is a written agreement entered into after the award of the Job Order that alters or amends the Job Order. Changes in the Work requested are accomplished by the issuance of a Supplemental Job Order. Changes involving extensions of time, assessment of liquidated damages, adjustment for net credits, and additive or deductive changes are accomplished by issuance of a Supplemental Job Order to the original Job Order.

The issuance of the Supplemental Job Order indicates the final and conclusive acceptance of the stated terms and provisions as full compensation for the change to the Job Order. In the event COUNTY and CONTRACTOR do not agree upon the adjustment to the Job Order Price and Job Order Completion Time, COUNTY may issue a Supplemental Job Order unilaterally. A Supplemental Job Order issued unilaterally is signed by COUNTY and issued to CONTRACTOR authorizing an adjustment in the Job Order Price and Job Order Completion Time as COUNTY deems equitable per the Contract Documents.



If CONTRACTOR is in disagreement with the terms or provisions of a unilaterally issued Supplemental Job Order, CONTRACTOR shall give COUNTY written notice of his/her/its disagreement, the basis thereof, and supporting documentation within twenty (20) calendar days of receiving the unilateral Supplemental Job Order. Such notice of disagreement does not excuse performance by CONTRACTOR of all obligations under the Contract Documents and CONTRACTOR shall proceed with the Work including the Work involved with the disagreement. Payments shall be made to CONTRACTOR on the basis of the unilateral Supplemental Job Order. Failure to present such notice of disagreement constitutes a waiver by CONTRACTOR of any entitlement to additional cost or time.

COUNTY has the authority to issue instructions to CONTRACTOR which may require minor changes in the Work not involving an adjustment in the Job Order Price or an extension of Job Order Completion Time. If CONTRACTOR believes an adjustment of Job Order Price or Job Order Completion Time is warranted, CONTRACTOR shall not incur additional cost or delay and notify COUNTY in writing within 24 hours of receiving the notice. CONTRACTOR shall submit a balancing Supplemental Job Order adjusting quantities to reflect those actually used during construction prior to submitting a final estimate.

**15.02 Signatures on Supplemental Job Orders.** A Supplemental Job Order shall be in writing and shall be signed by COUNTY's PM. Except as otherwise provided herein, the Supplemental Job Order shall also be signed by CONTRACTOR in order to be effective, indicating CONTRACTOR's consent to the changes made.

**15.03 Determining credits for Supplemental Job Order.** Credit Job Orders and Tasks shall be calculated at the pre-set unit prices and multiplied by the appropriate Adjustment Factors. The result is that a credit for Tasks that have been deleted from the Detailed SOW will be given at one hundred percent (100%) of the value at which they were included in the original Job Order Price Proposal.

## **ARTICLE 16. UNCOVERING AND CORRECTION OF WORK**

### **16.01 Uncovering of Work.**

- (a) If any Work is covered contrary to the request of the PM, it must, at the request of the PM, be uncovered for his/her/its observation and replaced at CONTRACTOR's expense.
- (b) The PM may ask to see any other Work that has been covered prior to its inspection by the PM, and CONTRACTOR shall uncover the Work. If such Work is found to be in accordance with the Contract Documents, the cost of uncovering and replacement shall, by appropriate Supplemental Job Order, be charged to COUNTY. If such Work is found not to be in accordance with the Contract Documents, CONTRACTOR shall pay such costs unless it is found that a separate contractor caused this condition, and, in that event, COUNTY shall be responsible for the payment of such costs.

### **16.02 Correction of Work.**

- (a) CONTRACTOR shall promptly correct all Work rejected by the PM as defective or as failing to conform to the Contract Documents whether observed before or after substantial completion and whether or not fabricated, installed, or completed. CONTRACTOR shall bear all cost of correcting such rejected Work, including the cost of the PM's additional services made necessary

thereby.

(b) All such defective or nonconforming Work shall be removed from the site if necessary, and the work shall be corrected to comply with the Detailed SOW without cost to COUNTY.

(c) CONTRACTOR shall bear the cost of making good all Work of separate contractors destroyed or damaged by such removal or correction.

16.03 CONTRACTOR's failure to remove defective Work. If CONTRACTOR does not remove such defective or nonconforming Work within a reasonable time fixed by written notice from the PM, COUNTY may remove it and may store the materials or equipment at the expense of CONTRACTOR. If CONTRACTOR does not pay the cost of such removal and storage within ten (10) days thereafter, COUNTY may upon ten (10) additional days written notice sell such Work at auction or a private sale and shall account for the net proceeds thereof, after deducting all the costs that should have been borne by CONTRACTOR, including compensation for additional architectural services. If such proceeds of sale do not cover all costs, which CONTRACTOR should have borne, the difference shall be charged to CONTRACTOR and an appropriate unilateral Supplemental Job Order shall be issued. Such unilateral Supplemental Job Order shall not require CONTRACTOR's consent to be effective. Said amount may be deducted from any payment thereafter due to CONTRACTOR under this or any other contract with COUNTY. If the payments then or thereafter due CONTRACTOR are not sufficient to cover such amount, CONTRACTOR shall pay the difference to COUNTY.

16.04 CONTRACTOR's failure to correct defective Work. If CONTRACTOR fails to correct such defective or nonconforming Work, COUNTY may correct it in accordance with paragraph 31.02.

16.05 Acceptance of defective or nonconforming Work. If COUNTY prefers to accept defective or nonconforming Work, it may do so instead of requiring its removal and correction, in which case a Supplemental Job Order will be issued to reflect an appropriate reduction in the Contract sum, or, if the amount is determined after final payment, it shall be paid by CONTRACTOR. The issuance of the final certificate, final payment, or any provisions in the Contract Documents shall not relieve CONTRACTOR of responsibility for faulty materials, equipment, or workmanship. CONTRACTOR shall remedy any defects due to, and pay for any damage to, other Work in accordance with the applicable guaranty or warranty provisions of the Contract Documents.

16.06 Emergency corrective action by COUNTY. If, in the opinion of COUNTY, defective Work creates a dangerous condition or requires immediate correction or attention to prevent further loss to COUNTY or third parties or to prevent interruption of operations of COUNTY or third parties, COUNTY will attempt to give notice to CONTRACTOR. If CONTRACTOR cannot be contacted promptly or does not comply with COUNTY's request for correction within a reasonable time as determined by COUNTY, COUNTY may, notwithstanding the provisions of this Contract, proceed to make such correction or provide such attention, and the costs of such correction or attention shall be charged against CONTRACTOR. Such action by COUNTY shall not relieve CONTRACTOR of any warranty obligations provided in this Contract.

## **PART III. SAFETY**

### **ARTICLE 17. PROTECTION OF PERSONS AND PROPERTY**

17.01 CONTRACTOR's responsibility for safety. CONTRACTOR shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the

Work. CONTRACTOR shall take all reasonable precautions for the safety of, and shall provide all reasonable protection to prevent damage, injury, or loss to:

- All employees on the Work and all other persons who may be affected thereby;
- All the Work and all materials and equipment to be incorporated therein, whether in storage on or off the site, under the care, custody, or control of CONTRACTOR or any subcontractor; and
- Other property at the site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.

17.02 Compliance with safety requirements. CONTRACTOR shall comply with all applicable laws, ordinances, rules, regulations, and lawful orders of any public authority having jurisdiction for the safety of persons or property or to protect them from damage, injury, or loss. He/she/it shall erect and maintain, as required by existing conditions and progress of the Work, all reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards, promulgating safety regulations, and notifying owners and users of adjacent utilities.

17.03 Trench safety. For all trenches to be made in connection with the Work, CONTRACTOR shall submit a detailed plan showing the design of shoring, bracing, sloping, or other provisions to be made for worker protection from the hazard of caving ground during the excavation of such trenches. If such plan varies from shoring system standards, a registered civil or structural engineer shall prepare the plan. The plan shall be reviewed, and must receive approval as adequate to protect worker safety, by COUNTY or by a registered civil or structural engineer employed by COUNTY, in advance of excavation. The shoring, sloping, or protective system must be at least as effective as that required by the Construction Safety Orders. See Labor Code Section 6705.

17.04 Hazardous substance. The term "hazardous substance" means any substance on the list of hazardous substances established by the Director of Industrial Relations pursuant to the Labor Code Section 6382, which includes asbestos, lead, toxic chemicals, contaminants, any substance designated by the Environmental Protection Agency as a hazardous substance, and other pollutants and contaminants.

If CONTRACTOR encounters on the property any substance reasonably believed to be a Hazardous Substance that has not been rendered harmless, i.e., not potentially hazardous to human health, CONTRACTOR shall immediately stop Work in the area affected and report the condition to COUNTY PM in writing.

Neither CONTRACTOR nor any subcontractor shall cause or permit any Hazardous Substance to be brought upon the property or used in the Work without the prior written consent of COUNTY. CONTRACTOR and each subcontractor shall comply with all laws regarding the handling, treatment, presence, removal, storage, decontamination, cleanup, transportation, or disposal of Hazardous Substances brought onto the property by CONTRACTOR, its Subcontractors, and/or their personnel.

Any handling, treatment, removal, decontamination, cleanup, transportation, disposal, or disturbance in any of Hazardous Substances shall only be performed by CONTRACTOR or any subcontractor licensed and certified to perform the Work. Any hazardous substance abatement or remediation Work will be performed in such a way that is legally consistent with the

recommendations of the certified COUNTY agent, appropriate governmental agencies, and all applicable laws.

If there is a Hazardous Substance on the property, CONTRACTOR shall protect adjoining property and shall provide barricades, temporary fences, and covered walkways required to protect the health and safety of passersby as required by this Agreement, prudent construction practices, and all applicable laws.

17.05. CONTRACTOR's safety monitor. CONTRACTOR shall designate a responsible member of his/her/its organization at the site whose duty shall be the prevention of accidents. This person shall be CONTRACTOR's superintendent unless otherwise designated in writing by CONTRACTOR to COUNTY.

17.06 Unsafe loading. CONTRACTOR shall not load or permit any part of the work to be loaded so as to endanger its safety.

17.07 Emergencies. In any emergency affecting the safety of persons or property, CONTRACTOR shall act, at his/her/its discretion, to prevent threatened damage, injury, or loss. Any additional compensation or extension of time claimed by CONTRACTOR on account of emergency Work shall be determined as provided in Article 15 for changes in the Work.

17.08 Accidents. CONTRACTOR shall promptly report in writing to COUNTY all accidents whatsoever arising out of, or in connection with the performance of the Work, whether on or off the site, which caused death, personal injury, or property damage, giving full details and statements of witnesses. In addition, if death or serious injuries or serious damages are caused, CONTRACTOR shall report the accident immediately to the PM by telephone or messenger. CONTRACTOR shall thereafter promptly report the facts in writing to COUNTY giving full details of the accident.

## **PART IV. PAYMENTS**

### **ARTICLE 18. PROGRESS PAYMENTS**

COUNTY will make one (1) payment for all Job Orders that have a Job Order completion time of 30 days or less, or a Job Order Price of \$25,000 or less. For all other Job Orders, COUNTY may make progress payments to CONTRACTOR. The progress payment will be made each calendar month on the basis of ninety five percent (95%) of the value of the Work performed. The final payment will be withheld for 35 days after the recordation of the notice of completion. Upon CONTRACTOR's request and agreement to substitute securities for the withheld funds, monies withheld from progress payments by COUNTY to ensure performance under the Contract may be released in accordance with Public Contract Code Section 22300 and the Contract Documents.

Before submitting an Application for Payment (Final or Partial) CONTRACTOR shall reach an agreement with the PM concerning the percentage complete of the Detailed SOW and the dollar value for which the Application for Payment may be submitted. CONTRACTOR shall submit to COUNTY an application for payment consisting of a Certificate of Payment, a calculation of completed Work based on the approved payment breakdown, and if required by COUNTY, receipts, releases, or other evidence showing CONTRACTOR's payments for materials, labor, Subcontractors, and any such information as COUNTY may require. Payment shall not be owed if the application does not conform to these requirements.

Payment for materials stored on-site which have not been permanently incorporated into the Work is at the discretion of COUNTY. Payment for materials stored off-site, whether or not specially fabricated for the Job Order, can be made only when payment for such materials has been previously approved by COUNTY and shown on the approved payment breakdown and such payment shall be conditional upon submission by CONTRACTOR of a Bill of Sale in a form acceptable to COUNTY or other such evidence as is required by COUNTY to establish COUNTY's title to such material. All materials stored off-site shall be stored in a bonded warehouse at no additional expense to COUNTY.

CONTRACTOR shall present the application for payment, as required herein, to the PM, if applicable, for approval. PM will review and adjust the Certificate of payment to such amount as he/she decides is properly due. In determining to issue a certificate of payment, the PM must make the following findings, based on his/her/its observations at the site, the schedule of values, and the data included in the application for payments:

- That the Work has progressed to the point indicated;
- That, to the best of his/her/its knowledge, information, and belief, the quality of the Work is in accordance with the job order; and
- That CONTRACTOR is entitled to payment in the amount certified.

COUNTY will retain five percent (5%) of the amount of each payment due CONTRACTOR until 35 days after the recordation of the notice of completion.

No Certificate of Payment issued nor payment made to CONTRACTOR nor partial or entire use of occupancy of the Work by COUNTY shall be an acceptance of any Work not in accordance with the Contract Documents.

CONTRACTOR shall not assign any monies due or to become due hereunder without the written consent of COUNTY and of all sureties executing bonds on behalf of CONTRACTOR in connection with this Contract.

## ARTICLE 19. WITHHOLDING PAYMENTS

19.01 Grounds for withholding payment. The PM may decline to approve an application for payment and may withhold his/her/its certificate as to all or part of the payment amount requested, to the extent reasonably necessary to protect COUNTY. The PM may also decline to approve payment, in whole or in part, and, based on subsequently discovered evidence or subsequent inspections, he/she may nullify the whole or any part of any certificate for payment previously issued, to such extent as may be necessary in his/her/its opinion to protect COUNTY. Such withholding of the certificate or of any amounts requested by CONTRACTOR in connection with the certificate may be based on any of the following grounds:

- Defective Work not remedied;
- Third party claims filed or reasonable evidence indicating probable filing of such claim;
- Failure of CONTRACTOR to make payments properly to subcontractors or for labor, materials, or equipment;
- Reasonable doubt that the Work can be completed for the unpaid balance of the Contract sum;
- Damage to another contractor;
- Reasonable indication that the Work will not be completed within the Contract time;
- Unsatisfactory prosecution of the Work by CONTRACTOR;

- Stop notices filed for any portion of the Work;
- Failure or refusal of CONTRACTOR to fully comply with the Contract requirements; or
- CONTRACTOR's failure to comply within a reasonable time with Article 17 of these conditions.

19.02 Application of withheld amounts. COUNTY may apply any such withheld amounts to payment of such claims or obligations, in COUNTY's sole discretion. In so doing, COUNTY shall be deemed the agent of CONTRACTOR and any payment so made by COUNTY shall be considered as a payment made under contract by COUNTY to CONTRACTOR. COUNTY shall not be liable to CONTRACTOR for any such payments made in good faith. Such payments may be made without prior judicial determination of such claim or obligation. COUNTY will render to CONTRACTOR a proper accounting of any funds so disbursed on behalf of CONTRACTOR.

19.03 Payment when grounds removed. When the above grounds for withholding, payment are removed by CONTRACTOR or by COUNTY, payment of the withheld amounts or the remaining balance thereof shall be made to CONTRACTOR.

## ARTICLE 20. COMPLETION AND FINAL PAYMENT

20.01 Payment. The final payment shall be the one made in response to the one hundred (100%) complete application for payment which will bring the total paid to CONTRACTOR to ninety-five (95%) of the Job Order Price. CONTRACTOR's acceptance of the final payment shall constitute a waiver of all claims by CONTRACTOR except those previously made in writing.

COUNTY is entitled to retain five percent (5%) of the amount of each payment due CONTRACTOR until at least 35 days after the recordation of the Notice of Completion. At that time if any Work is still not complete, COUNTY may continue to withhold all retention or, at COUNTY's option, COUNTY may pay any portion of the retention.

As a prerequisite to the release of retention, CONTRACTOR shall sign a Release of Claims in a form prescribed by COUNTY.

Unless otherwise required by law, CONTRACTOR shall not be paid interest on retention.

20.02 Bond for outstanding claims or liens. If any person refuses to furnish a release or waiver required by COUNTY, CONTRACTOR may furnish a bond satisfactory to COUNTY to indemnify and defend COUNTY against any claim that might be made against COUNTY or any lien that might be placed against the Work on account of such person. If any such claim or lien remains unsatisfied after all payments are made, CONTRACTOR or the Surety shall pay to COUNTY all monies that COUNTY may be compelled to pay in discharging such claim or lien, including all costs and reasonable attorneys' fees.

20.03 Inspection and final certificate. Upon receipt of the above documents, the PM will promptly inspect the Work. The PM shall issue a certificate for final payment, with copies to both COUNTY and CONTRACTOR, if he/she makes the following findings:

- That the Work is acceptable under the Job Order;
- That the Detailed SOW has been fully performed;
- That to the best of his/her knowledge, information, and belief, and on the basis of his/her observations and inspections, the Work has been completed in accordance with the terms and conditions of the Job Order;

- That all potential liens or claims for subcontractors' services and for labor, equipment, and materials on the Work have been satisfied or adequately secured;
- That the balance noted in the final certificate is due and payable; and
- That all necessary approvals of applicable federal, state, or local agencies and/or authorities have been issued.

20.04 Determination not to issue certificate for final payment. If the PM determines that the necessary findings cannot be made to issue a final certificate, the PM shall promptly notify CONTRACTOR, in writing, of the reasons for such determination. CONTRACTOR shall promptly thereafter take appropriate steps to remove the grounds for denial of the final certificate.

20.05 Acceptance by Director of Public Works. Promptly after the PM issues the certificate for final payment, the matter will be submitted to the Director of Public Works for final acceptance of the Work. Work on the Contract shall be deemed complete when the Notice of Completion is filed with COUNTY Clerk-Recorder's Office.

20.06 Effect of final payment as to COUNTY. The making of the final payment by COUNTY to CONTRACTOR hereunder shall not constitute a waiver of any claims which COUNTY may now or hereafter have against CONTRACTOR by reason of this Agreement or any other matter related to the Work.

20.07 Effect of final payment as to CONTRACTOR. The acceptance of final payment shall constitute a waiver of all claims by CONTRACTOR except those previously made in writing and still unsettled.

## ARTICLE 21. ALTERNATIVE PAYMENT OF WITHHELD FUNDS

21.01 Alternatives to withholding. This Contract requires a five percent (5%) withholding from progress payments. Progress payments shall not be made in excess of ninety five percent (95%) of the actual Work completed plus a like percentage of the value of material delivered on the ground or stored subject to, or under the control of, COUNTY, and unused. COUNTY shall withhold five percent (5%) from the progress payments until 35 days after the recordation of Notice of Completion. At CONTRACTOR's request, COUNTY shall make payment of these funds withheld from progress payments through the use of the escrow procedures provided in this paragraph and either paragraph 21.02 or 21.03. As a prerequisite to compliance with paragraph 21.02 or 21.03, CONTRACTOR shall select an escrow agent, who shall be the COUNTY Auditor-Controller or any state or federally chartered bank in California; the parties shall enter into an escrow agreement meeting the requirements of Public Contract Code Section 22300; and the parties shall deposit with the escrow agent the escrow agreement, the withheld portions of the progress payments that have accrued before opening of the escrow, all future withheld portions as they accrue, and all other deposits required below. CONTRACTOR shall pay all expenses incurred in implementing the procedures set forth herein.

21.02 Alternative one: substitution of securities for withheld funds. At CONTRACTOR's request, eligible securities provided by CONTRACTOR, equivalent to the amount withheld, shall be deposited with the escrow agent, who shall then pay the withheld monies to CONTRACTOR. After the initial deposits and disbursements, COUNTY shall deposit all additional amounts to be withheld with the escrow agent as they accrue, and if CONTRACTOR desires their release, CONTRACTOR shall increase the amount of the securities on deposit, if necessary, in order that the value of the securities on deposit shall equal or exceed the total of all amounts currently and

previously authorized to be withheld under the Contract without the substitution of securities. Upon satisfaction of that condition, the escrow agent shall immediately pay the additional withheld amounts to CONTRACTOR. Upon satisfactory completion of the Contract, the securities shall be returned to CONTRACTOR.

21.03 Alternative two: investment of withheld funds. Alternatively, CONTRACTOR may direct that the withheld funds deposited in the escrow be invested in eligible securities. Upon satisfactory completion of the Contract, CONTRACTOR shall receive from the escrow agent all securities, interest, and payments received by the escrow agent from COUNTY. CONTRACTOR shall pay to each subcontractor, not later than 20 days after receipt of the payment, the respective amount of interest earned, net of costs attributed to retention withheld from each subcontractor, on the amount of retention withheld to ensure the performance of CONTRACTOR.

21.04 Eligible securities: interest. Securities eligible to be used under the above paragraphs shall include those listed in Government Code Section 16430, bank or savings and loan certificates of deposit, interest bearing demand deposit accounts, standby letters of credit, or any other security mutually agreed to by CONTRACTOR and COUNTY. Parties must agree upon the value of the securities, as a condition of their deposit in the escrow. CONTRACTOR shall be the beneficial owner of any securities deposited pursuant to this Article 21 and shall receive any interest thereon. CONTRACTOR may withdraw interest earned on securities held in escrow at any time, without notice to COUNTY.

21.05 Inapplicability of Article 21 to certain contracts. The provisions of this Article 21 shall not apply to contracts in which there will be financing provided by the Farmers Home Administration of the United States Department of Agriculture pursuant to the Consolidated Farm and Rural Development Act (7 USC Section 1921 et seq.) and where federal regulations or policies, or both, do not allow the substitution of securities.

## **PART V. EMPLOYMENT PRACTICES**

### **ARTICLE 22. APPRENTICES**

22.01 Compliance with Labor Code apprenticeship requirements. CONTRACTOR and all subcontractors shall comply with the provisions of Labor Code Sections 1777.5, 1777.6, and 1777.7, when applicable, pertaining to apprentices, and with all applicable regulations thereunder (Title 8, California Code of Regulations, Sections 200 et seq., especially Sections 227 et seq.), including, but not limited to, provisions relating to required or permitted ratios of apprentices to experienced workers. When any question exists concerning these requirements, CONTRACTOR and/or any subcontractor concerned should contact the Division of Apprenticeship Standards, 525 Golden Gate Avenue, San Francisco, California, or one of its branch offices, prior to commencement of Work. The prime CONTRACTOR is responsible for ensuring compliance with this paragraph.

22.02 State policy. It is State policy to encourage employment and training of apprentices on public works contracts in conformity with standards set by law. COUNTY further encourages the use of apprentices in the Monterey Bay Area as defined by Section 5.08.120 of the Monterey County Code.



## ARTICLE 23. NONDISCRIMINATION PROVISIONS

23.01 Nondiscrimination in employment practices. CONTRACTOR shall ensure that the evaluation and treatment of its employees and applicants for employment and all persons receiving and requesting services are free of such discrimination. CONTRACTOR and any subcontractor shall, in the performance of this Agreement, fully comply with all federal, state, and local laws and regulations which prohibit discrimination. The provision of services primarily or exclusively to such target population as may be designated in this Agreement shall not be deemed to be prohibited discrimination.

23.02 "Discrimination" defined. As used in this Contract, the term "discrimination" includes, but is not limited to, the illegal denial of equal employment opportunity, harassment (including sexual harassment and violent harassment), disparate treatment, favoritism, subjection to unfair or unequal working conditions, and/or any other prohibited discriminatory practice. The term also includes any act or retaliation.

23.03 Application of Monterey County Code, Chapter 2.80. The provisions of Monterey County Code, Title 2, Chapter 2.80, apply to activities conducted pursuant to this Contract. CONTRACTOR and its officers and employees, in their actions under this Contract, are agents of COUNTY within the meaning of Chapter 2.80, and are responsible for ensuring that their workplace and the services that they provide are free from discrimination, as required by Chapter 2.80. Complaints of discrimination made by CONTRACTOR, subcontractor(s), or any of their employees or agents against COUNTY may be investigated and resolved using the procedures established by Chapter 2.80. CONTRACTOR shall establish and follow its own written procedures for the prompt and fair resolution of discrimination complaints made against CONTRACTOR by its own employees, agents and third parties, and shall provide a copy of such procedures to COUNTY upon demand by COUNTY.

23.04 Compliance with laws. During the performance of this Agreement, CONTRACTOR shall comply with all applicable federal, state, and local laws and regulations, which prohibit discrimination, including, but not limited to, the following:

- California Labor Code Section 1735;
- California Fair Employment and Housing Act, Government Code Sections 12900 et seq., and the administrative regulations issued thereunder, Title 2 California Code of Regulations, Sections 7285.0 et seq. (Division 4 - Fair Employment and Housing Commission);
- California Government Code Sections 11135 - 11139.5 (Title 2, Div. 3, Part 1, Chap.1, Art. 9.5) and any applicable administrative regulations issued thereunder;
- Federal Civil Rights Acts of 1964 and 1991 (see especially Title VII, 42 USC Sections 2000d et seq.), as amended, and all administrative rules and regulations issued thereunder (see especially 45 CFR Part 84); and all guidelines and interpretations issued pursuant thereto;
- The Rehabilitation Act of 1973, Sections 503 and 504 (29 USC Sections 793 and 794), as amended; all requirements imposed by the applicable HHS regulations (45 CFR Part 84); and all guidelines and interpretations issued pursuant thereto;
- Americans With Disabilities Act of 1990 (P.L. 101- 336), as amended, 42 USC Sections 12101 et seq., and 47 USC Sections 225 and 611, and any federal regulations issued pursuant thereto (see 24 CFR Chapter 1; 28 CFR Parts 35 and 36; 29 CFR Parts 1602, 1627 and 1630; and 36 CFR Part 1191;
- Unruh Civil Rights Act, California Civil Code Sections 51 et seq.; and
- Monterey County Code, Title 2, Chapter 2.80, as amended and procedures issued pursuant thereto.

23.05 Written assurances. Upon request by COUNTY, CONTRACTOR will give any written assurances of compliance with the Civil Rights Acts of 1964 and 1991, as amended, the Rehabilitation Act of 1973, as amended, the Americans With Disabilities Act of 1990, as amended, and/or Executive Order 11246, as may be required by the federal government in connection with this Contract, pursuant to 45 CFR Section 80.4 or 45 CFR Section 84.5 or other applicable state or federal regulations.

23.06 Written nondiscrimination policy. CONTRACTOR shall maintain a written statement of its nondiscrimination policies, which shall be consistent with the terms of this Agreement. Such statement shall be available to CONTRACTOR's employees, COUNTY, COUNTY's officers and employees, and members of the public, upon request.

23.07 Notice to labor unions. CONTRACTOR shall give written notice of its obligations under paragraphs 23.01 - 23.09 to labor organizations with which it has a collective bargaining or other agreement.

23.08 Access to records by government agencies. CONTRACTOR shall permit access by COUNTY and by representatives of the California Department of Fair Employment and Housing and the U.S. Equal Employment Opportunity Commission, and any federal and/or state agency providing funds for this Contract upon reasonable notice at any time during normal business hours, but in no case on less than 24-hour notice, to such of its books, records, accounts, Roads & Bridges, and other sources of information as the inspecting party may deem appropriate to ascertain compliance with these nondiscrimination provisions.

23.09 Binding on subcontractors. The provisions of paragraphs 23.01-23.09 shall also apply to all of CONTRACTOR's subcontractors. CONTRACTOR shall include nondiscrimination and compliance provisions of these paragraphs in all subcontracts to perform Work or provide services under this Agreement.

## ARTICLE 24. INTENTIONALLY LEFT BLANK

## ARTICLE 25. PREVAILING WAGES

25.01 Prevailing wage rates determined. The Director of the California Department of Industrial Relations has determined the general prevailing rate of per diem wages in the locality in which said public work is to be performed for each craft, classification, or type of worker needed to execute the Contract in accordance with Labor Code Sections 1770-1775. Copies of prevailing rate of per diem wages are on file and shall be made available to any interested party on request at the County of Monterey, RMA-PUBLIC WORKS, PARKS & FACILITIES, 1441 SCHILLING PLACE-SOUTH, 2<sup>nd</sup> Floor, Salinas, California 93901-2438. Current prevailing wage rate schedules can also be found at the California Department of Industrial Relations website located at <http://www.dir.ca.gov/DLSR/PWD/>.

25.02 Payment of prevailing wage rates required. CONTRACTOR and all subcontractors performing Work under this Contract shall pay wages to their workers employed on such Work at not less than the general prevailing rate of per diem wages for such Work, as required by Labor Code Section 1771.

25.03 Penalties. Failure to pay such prevailing wages shall subject the employer to the penalties set forth in Labor Code Section 1775.

## ARTICLE 26. PAYROLL RECORDS

26.01 Compliance with Labor Code Section 1776. CONTRACTOR and all subcontractors shall comply with Labor Code Section 1776, the requirements of which are set forth in this article. CONTRACTOR shall be responsible for compliance with these provisions by his/her/its subcontractors.

26.02 Accurate payroll records required. CONTRACTOR and each subcontractor shall keep accurate payroll records, showing the name, address, social security number, work classification, straight time, and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by him or her in connection with the public Work.

26.03 Certification and inspection of payroll records. The payroll records enumerated under paragraph 26.02 shall be certified and shall be available for inspection at all reasonable hours at the principal office of CONTRACTOR or subcontractor on the following basis:

- (a) A certified copy of an employee's payroll record shall be made available for inspection or furnished to such employee or his or her authorized representative on request.
- (b) A certified copy of all payroll records enumerated in paragraph 26.02 shall be made available for inspection, or furnished upon request, to a representative of COUNTY, the Division of Labor Standards Enforcement, of the Department of Industrial Relations.
- (c) A certified copy of all payroll records enumerated in paragraph 26.02 shall be made available upon request to the public for inspection or copies thereof made; provided however, that a request by the public shall be made through COUNTY, or the Division of Labor Standards Enforcement. The public shall not be given access to such records at the principal offices of CONTRACTOR.

26.04 Filing of records. CONTRACTOR and each subcontractor shall file a certified copy of the records enumerated in paragraph 26.02 with the entity that requested such records within ten (10) days after receipt of a written request.

26.05 Elimination of personal identification. Any copy of records made available for inspection as copies and furnished upon request to the public or any public agency by COUNTY, or the Division of Labor Standards Enforcement shall be marked or obliterated in such a manner as to prevent disclosure of an individual's name, address, and social security number. The name and address of CONTRACTOR or subcontractor awarded the Contract or performing the Contract shall not be marked or obliterated. Any copy of records made available for inspection by, or furnished to, a joint labor management committee established pursuant to the Federal Labor Management Cooperation Act of 1978 (29 USC 175a) shall be marked or obliterated only to prevent disclosure of an individual's social security number.

26.06 Notice to COUNTY concerning location of records. CONTRACTOR and each subcontractor shall inform COUNTY as to the location of the records enumerated under paragraph 26.02, including the street address, city, and COUNTY, and shall within five (5) work days, provide a notice of any change of location and address.

26.07 Notice of noncompliance; penalties. CONTRACTOR or subcontractor shall, as a penalty to COUNTY, forfeit \$100 for each calendar day, or portion thereof, for each worker, until strict compliance is affected. Upon the request of the Division of Apprenticeship Standards or the

Division of Labor Standards Enforcement, such penalties shall be withheld from progress payments then due.

**26.08 DIR Requirements.** All contractors and subcontractors must furnish the required electronic certified payroll records directly to the Labor Commissioner (State of California Department of Industrial Relations [DIR] Division of Labor Standards Enforcement) on a monthly basis within one month after the end of a payroll period. Additionally, the awarded CONTRACTOR shall submit certified payroll records to COUNTY. Further, the awarded CONTRACTOR shall submit electronic certified payroll records to the PM with each application for payment and/or concurrent with the required monthly submittal to the Labor Commissioner (DIR, Division of Labor Standards).

## **PART VI. LEGAL RELATIONS**

### **ARTICLE 27. COMPLIANCE WITH LAWS**

**27.01 Compliance with laws.** CONTRACTOR shall give all notices and comply with all laws, ordinances, rules, regulations, and orders of any public authority bearing on the performance of the Work. If CONTRACTOR observes that any part of the Detailed Scope of Work is at variance therewith in any respect, he/she/it shall promptly notify the PM, in writing, and any necessary changes shall be adjusted by appropriate modification. If CONTRACTOR performs any Work knowing it to be contrary to such laws, ordinances, rules, and regulations, and without such notice to the PM, he/she shall assume full responsibility therefore, and shall bear all costs attributable thereto. Without limitation of any other provision hereof, if CONTRACTOR performs any Work which is contrary to such laws, ordinances, codes, rules and regulations, CONTRACTOR shall without additional reimbursement or extension of time make all changes and bear all costs as required to comply.

**27.02 Rules of governing agencies.** All Work and materials shall be in full accordance with the Rules and Regulations of the State Fire Marshall, the Safety Orders of the Division of Industrial Safety, and all other applicable codes and regulations.

**27.03 Compliance with uniform codes.** All Work and materials shall comply with the current editions of the California Building Code, the National Electric Code, the Uniform Plumbing Code, the Uniform Mechanical Code, and the Uniform Administrative Code, as adopted and amended by the local jurisdiction in which the Job Order takes place.

**27.04 Statutory regulation of public works.** This Contract is subject to all statutes of the State of California regulating the performance of Work by a public agency or political subdivision of such state, and particularly the following:

- Public Contract Code Sections 4100-4114 (Subletting and Subcontracting Fair Practices Act).
- Labor Code Sections 1720-1743 (Public Works, Scope and Operation).
- Labor Code Sections 1770-1781 (Public Works, Wages).
- Labor Code Sections 1810-1815 (Public Works, Working Hours).

All work performed under this Contract, whether by CONTRACTOR or by any subcontractor, shall comply with all such statutes.

**27.05 Compliance with Clean Air and Clean Water Acts.** CONTRACTOR and all subcontractors shall comply with the federal Clean Air Act (42 USC Sections 1857 et seq. and Sections 7401 et seq.) and with the federal Clean Water Act (33 USC Sections 1251 et seq.) and all other

applicable federal air and water pollution control rules and regulations.

27.06 Federally or State funded Contracts. If the Job Order for which the Work under this Contract is to be performed is funded in whole or in part by grants or loans from the federal or state government, CONTRACTOR and all subcontractors shall comply with regulations adopted by the U.S. Secretary of Labor pursuant to 40 USC Section 276c and with all other statutes, rules, and regulations that are applicable because of such federal or state funding including but not limited to Community Development Block Grant (CDBG) Standard Provisions and Requirements, Exhibit B-Federal Provisions-Caltrans Local Assistance Manual, and Federal Emergency Management Agency (FEMA) Standard Provisions and Requirements attached hereto. CONTRACTOR will comply with all the provisions of said federal or state funding, to the extent applicable to CONTRACTOR or sub-grantee under said federal or state funding agreement, and said federal or state provisions shall be deemed a part of this Contract, as though fully set forth herein.

27.07 Kickbacks and illegal withholdings of pay. CONTRACTOR and all subcontractors shall comply with the provisions of Labor Code Sections 221 and 222, which prohibit kickbacks and withholdings from employee wages.

27.08 Illegal fees. CONTRACTOR and all subcontractors shall comply with the provisions of Labor Code Sections 1778, 1779, and 1780, which prohibit the taking of any portion of the wages of workers employed on public works projects and the collection of certain fees from workers employed on public works projects and from applicants for such employment.

27.09 Provisions required by law deemed inserted. Each and every provision required by law to be inserted in this Contract shall be deemed to be inserted herein, and the Contract shall be read and enforced as though it were included herein. If through mistake or otherwise any such provision is not set forth word for word in the Contract Documents, or is not correctly set forth, then upon the application of either party, the Contract shall forthwith be physically amended to make such insertion or correction.

27.10 Good-faith effort to employ Monterey Bay Area residents. For all provisions of the Good-faith effort to employ Monterey Bay area residents, see Information for Bidders, Division 00200, number 15, and Contractor's Certification of Good-Faith Effort to Employ Monterey Bay Area Residents.

## ARTICLE 28. PERFORMANCE AND PAYMENT BONDS

28.01 Required bonds and amounts. CONTRACTOR shall furnish an initial payment bond and a performance bond each in the amount of not less than 100% of the Maximum Contract Value (\$4,904,581 ), which bond shall remain in force for the duration and until completion of any outstanding Job Order. At no time may the sum of the issued Job Orders exceed the amount of the Payment and Performance bonds. CONTRACTOR shall provide a letter from the bonding company stating their ability to obtain Payment and Performance Bonds for the Maximum Contract Value. Both the Performance Bond and the Payment Bond must be executed by an admitted surety insurer. The form of these bonds shall be as set forth in these Contract Documents.

## ARTICLE 29. INDEMNIFICATION AND INSURANCE

29.01 Indemnification. CONTRACTOR shall indemnify, defend, and hold harmless COUNTY, its officers, agents, and employees, from and against any and all claims, liabilities, and losses whatsoever (including damages to property and injuries to or death of persons, court costs, and reasonable attorneys' fees) occurring or resulting to any and all persons, firms, or corporations furnishing or supplying Work, services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims, liabilities, and losses occurring or resulting to any person, firm, or corporation for damage, injury, or death arising out of or connected with CONTRACTOR's performance of this Agreement, unless such claims, liabilities, or losses arise out of the sole negligence or willful misconduct of COUNTY. "CONTRACTOR's performance" includes CONTRACTOR's action or inaction and the action or inaction of CONTRACTOR's officers, employees, agents, and subcontractors.

29.02 Evidence of Coverage. Prior to commencement of this Contract, CONTRACTOR shall provide a "Certificate of Insurance" certifying that coverage as required herein has been obtained. Individual endorsements executed by the insurance carrier shall accompany the certificate. In addition, a certified copy of the policy or policies shall be provided by CONTRACTOR upon request. This verification of coverage shall be sent to COUNTY Contracts/Purchasing Division, with a copy provided to the RMA-Public Works, Parks & Facilities PM, unless otherwise directed. CONTRACTOR shall not receive Job Orders under this Contract until it has obtained all insurance required and such insurance has been approved by COUNTY. This approval of insurance shall neither relieve nor decrease the liability of CONTRACTOR.

29.03 Qualifying Insurers. All Coverages, except surety, shall be issued by companies which hold a current policyholder's alphabetic and financial size category rating of not less than A-VII, according to the current Best Key Rating Guide or a company of equal financial stability that is approved by COUNTY Contracts/Purchasing Manager.

29.04 General insurance requirements. Without limiting CONTRACTOR's duty to indemnify, CONTRACTOR shall maintain in effect throughout the term of this Contract a policy or policies of insurance with the following minimum limits of liability:

1. Commercial general liability insurance, including, but not limited to, premises and operations, including coverage for Bodily Injury and Property Damage, Personal Injury, Contractual Liability, Broad-form Property Damage, Independent Contractors, Products and Completed Operations, with limits of not less than \$1 Million (\$1,000,000) per occurrence and \$2 Million (\$2,000,000) aggregate.
2. Property insurance, covering the entire Work at the Site to the full insurable value thereof. This insurance shall include the interests of COUNTY, CONTRACTOR, and all subcontractors in the Work and shall insure against the perils of fire, extended coverage, builder's risk, vandalism, and malicious mischief.
3. Business automobile liability insurance, covering all motor vehicles, including owned, leased, non-owned, and hired vehicles, used in providing services under this Contract, with a combined

single limit for Bodily Injury and Property Damage of not less than \$1 Million (\$1,000,000) per occurrence.

4. Workers' Compensation Insurance, if CONTRACTOR employs others in the performance of this Contract, in accordance with California Labor Code Section 3700 and with Employer's Liability limits not less than \$1 Million (\$1,000,000) each person, \$1 Million (\$1,000,000) each accident, and \$1 Million (\$1,000,000) each disease.

5. Professional liability insurance, if required for the professional services being provided, (e.g., those persons authorized by a license to engage in a business or profession regulated by the California Business and Professions Code), in the amount of not less than \$1 Million (\$1,000,000) per claim and \$2 Million (\$2,000,000) in the aggregate, to cover liability for malpractice or errors or omissions made in the course of rendering professional services. If professional liability insurance is written on a "claims-made" basis rather than an occurrence basis, CONTRACTOR shall, upon the expiration or earlier termination of this Contract, obtain extended reporting coverage ("tail coverage") with the same liability limits. Any such tail coverage shall continue for at least three (3) years following the expiration or earlier termination of this Contract.

29.05 Other insurance requirements. All insurance required by this Contract shall be with a company acceptable to COUNTY and issued and executed by an admitted insurer authorized to transact Insurance business in the State of California. Unless otherwise specified by this Contract, all such insurance shall be written on an occurrence basis, or, if the policy is not written on an occurrence basis, such policy with the coverage required herein shall continue in effect for a period of three (3) years following the date CONTRACTOR completes its performance of services under this Contract.

Each liability policy shall provide that COUNTY shall be given notice, in writing, at least thirty (30) days in advance of any endorsed reduction in coverage or limit, cancellation, or intended nonrenewal thereof. Each policy shall provide coverage for CONTRACTOR and additional insured's with respect to claims arising from each subcontractor, if any, performing Work under this Contract, or be accompanied by a certificate of insurance from each subcontractor showing each subcontractor has identical insurance coverage to the above requirements.

Commercial general liability and automobile liability policies shall provide an endorsement naming the County of Monterey, its officers, agents, and employees as Additional Insured's with respect to liability arising out of the CONTRACTOR's Work, including ongoing and completed operations, and shall further provide that such insurance is primary insurance to any insurance or self-insurance maintained by the COUNTY and that the insurance of the Additional Insured's shall not be called upon to contribute to a loss covered by the CONTRACTOR's insurance. The required endorsement form for Commercial General Liability Additional Insured is ISO Form CG 20 10 11-85 or CG 20 10 10 01 in tandem with CG 20 37 10 01 (2000). The required endorsement form for Automobile Additional Insured endorsement is ISO Form CA 20 48 02 99.

Prior to the execution of this Contract by COUNTY, CONTRACTOR shall file certificates of insurance with COUNTY's contract administrator and COUNTY Contracts/Purchasing Division, showing that CONTRACTOR has in effect the insurance required by this Contract. CONTRACTOR shall file a new or amended certificate of insurance within five (5) calendar days after any change is made in any insurance policy which would alter the information on the certificate then on file. Additionally, CONTRACTOR shall provide certificates for subcontractors of any tier in compliance with these provisions. Acceptance or approval of insurance shall in no way modify or change the indemnification clause in this Contract, which

shall continue in full force and effect.

CONTRACTOR shall at all times during the term of this Contract maintain in force the insurance coverage required under this Contract and shall send, without demand by COUNTY, annual certificates to COUNTY's Contract Administrator and COUNTY Contracts/Purchasing Division. If the certificate is not received by the expiration date, COUNTY shall notify CONTRACTOR and CONTRACTOR shall have five (5) calendar days to send in the certificate, evidencing no lapse in coverage during the interim. Failure by CONTRACTOR to maintain such insurance is a default of this Contract which entitles COUNTY, at its sole discretion, to terminate this Contract immediately.

29.06 Acknowledgment of workers' compensation requirements. As required by Labor Code Section 1861, CONTRACTOR and each subcontractor shall, before commencing Work on the Job Order, sign and file with COUNTY, the following certificate:

"I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of the Labor Code, and I will comply with such provisions before commencing the performance of the Work of this Contract."

29.07 Compliance. In the event of the failure of CONTRACTOR to furnish and maintain any insurance required by this Section, COUNTY shall have the right to take out and maintain such insurance for and in the name of CONTRACTOR. CONTRACTOR shall pay the cost thereof and shall furnish all information necessary to obtain and maintain such insurance for the account of CONTRACTOR. COUNTY shall also have the right to set off the costs of obtaining and maintaining such insurance against any amounts due CONTRACTOR under the Contract Documents. Compliance by CONTRACTOR with the requirement to carry insurance and furnish certificates or policies evidencing the same contained in this Article 29 shall not relieve CONTRACTOR from liability assumed under any provision of the Contract Documents, including, without limitation, the obligation to defend and indemnify each of the Indemnities.

29.08 Application of Insurance Proceeds.

(a) In the event of any damage to or destruction of the Work from any cause insured against by the insurance required under this Article 29, or any other insurance obtained by CONTRACTOR or any other source, COUNTY may, in its sole discretion, either (i) require CONTRACTOR to repair any such damage or destruction and reconstruct the Work in accordance with the Contract Documents, or (ii) terminate the Contract and CONTRACTOR shall have no claim arising out of such termination. In the event the Work is repaired or reconstructed, appropriate adjustments, if any, in the amount of the Contract price or for the time of completion of the Work shall be made by Supplemental Job Order. COUNTY shall be given credit against any amount due CONTRACTOR under the Contract Documents for the amount of any insurance proceeds collected by CONTRACTOR to the extent such proceeds cover costs otherwise payable by COUNTY under the Contract Documents. In the event that COUNTY decides not to restore or reconstruct the Work and terminates the Contract, CONTRACTOR shall receive from the insurance proceeds all amounts due CONTRACTOR under the Contract for that portion of the Work completed as of the date of the event of damage or destruction.

(b) In the event of any damage to or destruction of the Work (i) not due to or arising out of the fault or neglect of CONTRACTOR or any subcontractor and (ii) from a cause not insured against by the insurance required under this Article 29, COUNTY may, at its sole discretion, either (i)



require CONTRACTOR to repair any such damage or destruction and reconstruct the Work in accordance with the Contract Documents, or (ii) terminate the Contract. In the event COUNTY decides not to restore or reconstruct the Work in accordance with the Contract Documents and cause termination of the Contract, CONTRACTOR shall have no claim arising out of such termination. In the event that Work is repaired or reconstructed, appropriate adjustments, if any, in the amount of the Contract price and for the time of completion of the Work shall be made by Supplemental Job Order. COUNTY shall be given credit against any amount due CONTRACTOR under the Contract Documents to the extent insurance proceeds payable to CONTRACTOR cover costs otherwise payable by COUNTY under the Contract Documents. In the event that COUNTY decides not to restore or reconstruct the Work and causes termination of the Contract, COUNTY shall pay CONTRACTOR, as its sole compensation, all amounts due under the Contract Documents for the portion of the Work completed as of the date of the event of damage or destruction. CONTRACTOR shall be solely responsible for and shall, without cost or expense to COUNTY, promptly and with all due diligence, restore and reconstruct any uninsured loss or damage to the Work which occurs as a result of any fault or neglect of CONTRACTOR or any subcontractor. This obligation is in addition to COUNTY's remedies under the Contract Documents or by law.

## ARTICLE 30. CLAIMS AND DISPUTE RESOLUTION

30.01 Prompt resolution of differences required. It is the intention of this Article that differences between the parties arising under and by virtue of the Contract be brought to the attention of the PM at the earliest possible time in order that such matters may be promptly settled, if possible, or other appropriate action may be taken promptly.

### 30.02 Contract interpretations, performance judging, and decisions by Project Manager.

(a) All claims may be presented informally first to the PM. To the extent that resolution of the claim does not involve an extension of time or additional payments, the PM may resolve, in writing, or otherwise, claims that have been presented informally.

(b) The PM will be, in the first instance, the interpreter of the requirements of the Contract Documents and the judge of the performance thereunder by both COUNTY and CONTRACTOR. The PM will, within a reasonable time, render such interpretations, as he/she may deem necessary for the proper execution or progress of the Work. Claims, disputes, and other matters in question between CONTRACTOR and COUNTY relating to the execution or progress of the Work or the interpretation of the Detailed SOW shall be referred initially to the PM for decision which he/she will render, in writing, within a reasonable time. In his/her capacity as interpreter and judge, he/she will exercise his/her best efforts to ensure faithful performance by both COUNTY and CONTRACTOR and will not show partiality to either. All interpretations and decisions of the PM shall be consistent with the intent of the Detailed SOW.

30.03 Written notice to Project Manager. Any claim for additional compensation or for an extension of time shall be resolved as hereinafter provided. CONTRACTOR shall not be entitled to the payment of any additional compensation for any occurrence or matter relating to this Contract and will not be granted any extension of time for performance under this Contract, unless CONTRACTOR first gives written notice of such claim to the PM.

30.04 Contents of notice of claim. The written notice of claim shall set forth the reasons for which CONTRACTOR believes additional compensation will or may be due, the nature of the costs involved, the reasons for any extension of time, and, insofar as possible, the amount of

the claim and the amount of any time extension requested.

30.05 Time for giving notice. The notice of claim must be given to the PM as follows:

(a) If the claim is for an increase in the Contract sum, he/she shall give the PM written notice thereof within ten (10) days after the occurrence of the event giving rise to such claim; in addition, this notice shall be given by CONTRACTOR before proceeding to execute the portion of the Work to which the claim relates, except in an emergency endangering life or property, and except where CONTRACTOR could not reasonably have discovered the facts giving rise to the claim prior to commencement of that portion of the Work.

(b) All claims for extension of time shall be made, in writing, to the PM no more than five (5) days after the occurrence of the delay; otherwise they shall be waived. In the case of a continuing cause of delay, only one (1) claim is necessary.

(c) In all other cases, notice shall be given within ten (10) days after the happening of the event, thing, or occurrence giving rise to the claim.

30.06 Response by COUNTY - claims for under \$50,000 and for extensions of time. For claims of less than \$50,000 and for claims for extension of time, COUNTY shall respond, in writing, to any written claim within 45 days of receipt of the claim, or may request, in writing, within 30 days of receipt of the claim any additional documentation supporting the claim or relating to defenses or claims COUNTY may have against the claimant. If further information is thereafter required, it shall be requested and provided pursuant to Public Contract Code Section 20104.2(b) (2). COUNTY's response to the claim, as further documented, shall be submitted to the claimant within 15 days after receipt of the further documentation or within a period of time no greater than that taken by the claimant in producing the additional information, whichever is greater.

30.07 Response by COUNTY - claims of \$50,000 or more and less than or equal to \$375,000.

For claims of \$50,000 or more and less than or equal to \$375,000, and for all claims not covered by paragraph 30.04, COUNTY shall respond, in writing, to any written claim within 60 days of receipt of the claim, or may request, in writing, within 30 days of receipt of the claim any additional documentation supporting the claim or relating to defenses or claims COUNTY may have against the claimant. If further information is thereafter required, it shall be requested and provided pursuant to Public Contract Code Section 20104.2(c) (2).

COUNTY's response to the claim, as further documented, shall be submitted to the claimant within 30 days after receipt of the further documentation, or within a period of time no greater than that taken by the claimant in producing the additional information, whichever is greater.

30.08 Prompt response when needed. Whenever it appears that a prompt response is essential, COUNTY will respond to claims sooner than the limits prescribed above.

30.09 COUNTY's response disputed or not made. If the claimant disputes COUNTY's written response, or if COUNTY fails to respond within the time prescribed, the claimant may so notify COUNTY, in writing, either within 15 days of receipt of COUNTY's response or within 15 days of COUNTY's failure to respond within the time prescribed, respectively, and demand an informal conference to meet and confer for settlement of the issues in dispute. Upon a demand, COUNTY shall schedule a meet and confer conference within 30 days for settlement of the dispute.

30.10 Filing of Government Code claims. If the claimant still remains unsatisfied and desires to preserve his/her/its right to pursue the matter further, the claimant must then file a claim

with COUNTY, pursuant to Government Code Sections 900 et seq. or Sections 910 et seq.

30.11 Civil action. If the Government Code claim is denied, the claimant may file an action in court. Such action shall be subject to Public Contract Code Section 20104.4. This paragraph applies only to claims subject to Public Contract Code Section 20104. If a claim is not subject to Public Contract Code Section 20104, the claimant's right to file a civil action shall be as otherwise provided by law.

30.12 Claims for damages. Should either party to the Contract suffer injury or damage to person or property because of any act or omission of the other party or of any of his/her/its employees, agents, or others for whose acts he/she/it is legally liable, a claim shall be made, in writing, to such other party within a reasonable time after the first observance of such injury or damage, provided that in no case may such a claim be filed after expiration of any applicable statute of limitations for filing such a claim. Claims against COUNTY that are subject to this paragraph shall comply with all procedures set forth in the California Government Code concerning claims against public entities.

30.13 Consistency with Public Contract Code Section 20104 et seq. If any claim arising under this Contract is subject to the provisions of Public Contract Code Section 20104 et seq. (Division 2, Part 3, Chapter 1, Article 1.5), and if the provisions of that Article require a procedure or procedural element different from that established in this Contract, then the provisions of that Article shall apply in place of the conflicting procedure or procedural element established herein.

## ARTICLE 31. DEFAULT AND TERMINATION OF THE CONTRACT

31.01 COUNTY's right to Stop Work on Individual Job Orders. If CONTRACTOR fails to correct defective Work or fails to supply materials or equipment in accordance with the Detailed SOW, COUNTY may order CONTRACTOR to stop the Work, or any portion thereof, until the cause for such Stop Work order has been eliminated.

31.02 COUNTY's rights on CONTRACTOR's default on Individual Job Orders. If CONTRACTOR fails to prosecute the Job Order diligently or fails to perform any provision of the Detailed Scope of Work, COUNTY may, after seven (7) days written notice to CONTRACTOR and without prejudice to any other remedy he/she/it may have, make good such deficiencies. In such case, any appropriate unilateral Supplemental Job Order shall be issued deducting from the payments then or thereafter due CONTRACTOR, the cost of correcting such deficiencies, and other additional services made necessary by such default. Such unilateral Supplemental Job Order shall not require the consent of CONTRACTOR to be effective. The PM must approve both such action and the amount charged to CONTRACTOR. If the payments then or thereafter due CONTRACTOR are not sufficient to cover such amount, CONTRACTOR shall pay the difference to COUNTY.

31.03 COUNTY's Right to Terminate Contract for Cause.

(a) COUNTY may terminate the performance of CONTRACTOR under this Contract, without prejudice to any other right or remedy COUNTY may have, in the manner hereinafter provided, upon certification by the PM that the following circumstances have arisen:

1. CONTRACTOR is adjudged bankrupt, or makes a general assignment for the benefit of his/her/its creditors, or a Receiver is appointed on account of his/her/its insolvency (except as provided in (e) below);
2. CONTRACTOR refuses or fails, except in cases for which an extension of time is

provided, to supply enough properly skilled workers or proper materials;

3. CONTRACTOR fails to make prompt payment to subcontractors, to suppliers of materials or equipment, or to employees;

4. CONTRACTOR disregards laws, ordinances, rules, regulations, or orders of any public authority having jurisdiction; or

5. CONTRACTOR otherwise is guilty of a substantial violation of the Contract.

(b) To terminate the performance of CONTRACTOR, COUNTY shall first give ten (10) days written notice to CONTRACTOR and his/her/its Surety, if any, stating COUNTY's intent to terminate the performance of CONTRACTOR and the reasons therefor.

(c) If within ten (10) days the grounds for termination are not removed, COUNTY may immediately terminate the performance of CONTRACTOR and shall promptly serve notice of termination on CONTRACTOR and the Surety. The Surety shall have the right to take over and perform the Contract, provided that, within fifteen days after service upon it of said notice of termination, the Surety must first give written notice to COUNTY that it intends to take over and perform the Contract, and within thirty days after service upon it of said notice of termination, the Surety must commence performance of the Contract. If Surety fails to take either of these steps in a timely manner, COUNTY may immediately take possession of the Site and of all materials, equipment, tools, construction equipment, and machinery thereon owned by CONTRACTOR and may finish the Work by whatever method it may deem expedient.

(d) If within ten (10) days of COUNTY's notice of intent to terminate, the grounds for termination are not removed, CONTRACTOR shall not be entitled to receive any further payment until the Work is finished. If, upon completion of the Work by COUNTY, the unpaid balance of the Contract sum exceeds the costs of finishing the Work (including compensation for additional architectural, managerial, and administrative services), such excess shall be paid to CONTRACTOR. If such costs exceed such unpaid balance, CONTRACTOR or his/her/its Surety shall pay the difference to COUNTY. The costs incurred by COUNTY as herein provided shall be certified by the PM.

(e) Notwithstanding the foregoing, performance of CONTRACTOR under this Contract may not be terminated, and the Contract may not be modified, where a trustee in bankruptcy has assumed the Contract pursuant to 11 USC Section 365.

#### 31.04 CONTRACTOR's Right to Terminate Contract.

(a) CONTRACTOR may, upon seven (7) days written notice to COUNTY and the PM, terminate the Contract if the Work is stopped for a period of forty five days under an order of any court or other public authority having jurisdiction, or as a result of an act of government, such as a declaration of a national emergency making materials unavailable, through no act or fault of CONTRACTOR or a subcontractor or their agents or employees or any other person performing any of the Work under a contract with CONTRACTOR.

(b) To terminate the Contract, CONTRACTOR must give written notice to COUNTY of such termination, stating the reasons therefor.

(c) CONTRACTOR may then recover from COUNTY payment for all Work executed.

#### 31.05 COUNTY's Right to Terminate Contract for Convenience.

(a) COUNTY reserves the right to terminate this Contract or any Job Order at any time, whenever COUNTY shall determine that termination is in the best interest of COUNTY. CONTRACTOR shall be compensated on the basis of the reasonable value of the portion of Work completed as prorated against the Job Order Price or shown as a separate price and the cost incurred for portions of the Work performed but not completed. The total payments to CONTRACTOR shall not exceed the Job Order Price amount(s). Any such termination shall

be effected by delivery to CONTRATOR of a Notice of Termination specifying the extent to which performance of Work under the Contract is terminated, and the date upon which such termination becomes effective.

(b) After receipt of a Notice of Termination, and except as otherwise directed by COUNTY, CONTRACTOR shall:

1. Stop Work under the Contract on the date and to the extent specified in the Notice of Termination;
2. Place no further orders or subcontracts for materials, services, or Roads & Bridges except as may be necessary for completion of such portion of the Work under the Contract as is not terminated;
3. Terminate all orders and subcontracts to the extent that they relate to the performance of Work terminated by the Notice of Termination;
4. Assign to COUNTY all the right, title, and interests of CONTRACTOR under the orders and subcontracts so terminated, in which case CONTRACTOR shall have the right, in its discretion, to settle or pay any or all claims arising out of the termination of such orders and subcontractors if so directed by COUNTY;
5. Settle all outstanding liabilities and all claims arising out of such termination of orders and subcontracts, subject to the approval of COUNTY;
6. Complete performance of such part of the Work as shall not have been terminated by the Notice of Termination; and
7. Take such action as may be necessary, or as COUNTY may direct, for the protection and preservation of the property related to this contract which is in the possession of CONTRACTOR and in which COUNTY has, or may acquire, an interest.

(c) After receipt of a Notice of Termination, CONTRACTOR shall submit to COUNTY a verified termination claim. Such claim shall be submitted promptly, but in no event later than 30 days from the effective date of termination, unless one (1) or more extensions, in writing, are granted by COUNTY upon request of CONTRACTOR made, in writing, within such period or authorized extension of the period.

## ARTICLE 32. WARRANTIES

32.01 Warranty as to all Work. CONTRACTOR shall guarantee all Work performed under this Contract against defective materials or workmanship for a period of one (1) year from the date of recordation of the notice of completion, or for such longer time period as may be prescribed by law or by the terms of any applicable special guarantee required by the Job Order. CONTRACTOR shall remedy any defects appearing within that time period and pay for any damage resulting therefrom.

32.02 Repair of defective Work. CONTRACTOR shall, within a reasonable time but in no case longer than fifteen (15) days after receipt of written notice thereof, repair and/or replace any defects in materials or workmanship which may develop during said one (1) year period and any damage resulting from the repairing or replacing of such defects at his/her/its own expense and without cost to COUNTY. In the event CONTRACTOR fails to remedy any such defect within such reasonable time, COUNTY may proceed to have such defects remedied at CONTRACTOR's expense, and CONTRACTOR shall pay the costs and charges incurred thereby and any other damages of COUNTY. Nothing contained in this paragraph shall operate to relieve CONTRACTOR from responsibility after one year from the date of final acceptance of the completed Work by COUNTY as regards damages resulting from defects, both latent and patent, departures from the requirements of the contract, fraud, or such other gross mistakes as amount to fraud, and CONTRACTOR shall indemnify, defend, and save COUNTY harmless from and

against liability, loss, or damage arising by reason of any and all such matters. CONTRACTOR shall transfer to COUNTY all guarantees and warranties on equipment included within the Job Order which CONTRACTOR receives from material suppliers and subcontractors. Neither acceptance nor payment nor any provision in these documents shall be deemed a waiver by COUNTY nor relieve CONTRACTOR of any responsibility under the Contract. Notwithstanding the above, failure by CONTRACTOR to take corrective action within 24 hours after personal or telephonic notice by COUNTY on items affecting use of facility, safety, or the preservation of property, will result in COUNTY taking whatever correction action it deems necessary. All costs resulting from such action by COUNTY will be claimed against CONTRACTOR or, if necessary, CONTRACTOR's performance bond.

32.03 Title free of liens at time of each progress payment. CONTRACTOR warrants and guarantees that title to all Work, materials, and equipment covered by an application for payment, whether incorporated into the Job Order or not, will pass to COUNTY upon the receipt of such payment by CONTRACTOR, free and clear of all liens, claims, security interests, or encumbrances.

32.04 Warranty as to liens. No materials, supplies, or equipment for Work under this Contract shall be purchased subject to any chattel mortgage or under a conditional sale or other agreement by which an interest therein or in any part thereof is retained by seller or supplier. CONTRACTOR warrants good title to all materials, supplies, and equipment installed or incorporated in the Work and agrees upon completion of all Work to deliver the premises, together with all improvements and appurtenances constructed or placed thereon by him/her/it, to COUNTY free from claims, liens, or charges. CONTRACTOR further agrees that neither he/she/it nor any person, firm, or corporation furnishing any materials or labor for any Work covered by this contract shall have any right to any lien upon the premises or any improvement or appurtenance thereon. Nothing contained in this article, however, shall defeat or impair the right of persons furnishing material or labor under any bond given CONTRACTOR for their protection or any rights under any law permitting such persons to look to funds due CONTRACTOR in the hands of COUNTY, and this provision shall be inserted in all subcontracts and material contracts and notice of its provisions shall be given to all persons furnishing material for Work when no formal contract is entered into for such material.

32.05 Other Warranties. In addition to the warranties in the Contract Documents, CONTRACTOR shall assign to all assignable warranties it obtains from manufacturers or suppliers with respect to any materials, equipment, or fixtures incorporated into the Work, but the assignment shall not relieve CONTRACTOR of any of its guaranties or obligations. CONTRACTOR's guaranties and the Contract Documents shall not act as a bar to CONTRACTOR's liability for any third party claim against CONTRACTOR, and are in addition to, not exclusive of, CONTRACTOR's other obligations under the Contract Documents, including, without limitation, CONTRACTOR's obligation to indemnify and defend COUNTY.

32.06 No Limitations. Nothing in this Article 32 shall be construed to establish a period of limitation with respect to any latent or patent defects in the Work or claims or liabilities arising therefrom. The establishment of time periods relates only to the specific obligation of CONTRACTOR to correct or cause correction of the Work, and has no relationship to the time within which its obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish CONTRACTOR's liability with respect to its obligations under the Contract Documents or in

connection with the Work.

## **PART VII. MISCELLANEOUS**

### **ARTICLE 33. MISCELLANEOUS PROVISIONS**

33.01 State audits. If this Contract involves the expenditure of public funds in excess of \$10,000, the contracting parties shall be subject to the examination and audit of the State Auditor of the State of California for a period of three (3) years after final payment under the contract, as required by Government Code Section 8546.7. The examination and audit shall be confined to those matters connected with the performance of the Contract, including, but not limited to, the costs of administering the Contract.

33.02 Governing law. The Contract shall be governed by the law of the State of California.

33.03 No assignment. Neither party to the Contract shall assign the Contract without the written consent of the other, nor shall CONTRACTOR assign any monies due or to become due to him/her/it hereunder, without the previous written consent of COUNTY. Should any money due or to become due under this Contract be assigned, it shall be subject to a prior lien for services rendered or material supplied for performance of Work under this Contract in favor of all persons, firms, or corporations rendering such services or supplying such materials to the extent that claims are filed pursuant to the Civil Code, the Code of Civil Procedure, and/or the Government Code.

33.04 Binding on successors and assigns. COUNTY and CONTRACTOR each binds himself/herself/itself, his/her/its partners, successors, assigns, and legal representatives to the other party hereto and to the partners, successors, assigns, and legal representatives of such other party in respect to all covenants, agreements, and obligations contained in the Contract Documents.

33.05 Notices. All notices required or permitted to be given under this Contract shall be in writing and shall be deemed to have been duly served (a) when delivered in person to the individual or member of the firm or to an officer of the corporation for whom it was intended, or (b) 48 hours after the notice is placed in the U.S. mail, properly addressed to the party to whom the notice is to be delivered, for mailing by registered or certified mail, with postage thereon fully prepaid. The proper address shall be that previously specified in writing by the proposed recipient as the address for mailing notice, or, if none, then the last business address for the recipient known to the person giving the notice.

33.06 Contractual rights and remedies not exclusive. The duties and obligations imposed by the Contract Documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights, and remedies, otherwise imposed or available by law, except as otherwise specified herein.

33.07 Assignment of antitrust causes of action. CONTRACTOR and all subcontractors are bound by Public Contract Code Section 7103.5, which provides as follows:

In entering into a public works contract or a subcontract to supply goods, services, or materials pursuant to a public works contract, Contractor or subcontractor offers and

agrees to assign to the awarding body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 USC Section 15) or under the Cartwright Act (Chapter 2, commencing with Section 16700, of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, services, or materials pursuant to the public works contract or the subcontract. This assignment shall be made and become effective at the time the awarding body tenders final payment to Contractor, without further acknowledgment by the parties.

**33.08 Royalties and patents.** CONTRACTOR shall pay all royalties and license fees. He/she/it shall defend all suits or claims for infringement of any patent rights and shall save COUNTY harmless from loss on account thereof, except that COUNTY shall be responsible for all such loss when a particular design, process, or the product of a particular manufacturer or manufacturers is specified, but if CONTRACTOR has reason to believe that the design, process, or product specified is an infringement of a patent, he/she/it shall be responsible for such loss unless he/she/it promptly gives such information to COUNTY.

**33.09 Prohibited interests.** No official of COUNTY who is authorized in such capacity and on behalf of COUNTY to negotiate, make, accept, or approve, or to take part in negotiating, making, accepting or approving any architectural, engineering, inspection, construction or material supply contract or any subcontract in connection with the project, shall become directly or indirectly interested financially in this Contract or in any part thereof. No officer, employee, architect, attorney, engineer, consultant, or inspector of or for COUNTY who is authorized in such capacity and on behalf of COUNTY to exercise any executive supervisory or other similar functions in connection with construction of the project shall become directly or indirectly interested financially in this Contract or in any part thereof.

**33.10 No continuing waiver.** A waiver of rights by COUNTY or CONTRACTOR in one instance hereunder does not constitute a waiver of rights in any similar instance thereafter.

**33.11 Taxable possessory interest.** The terms of this Contract may result in the creation of a possessory interest. If such a possessory interest is vested in a private party to this Contract, the private party may be subjected to the payment of personal property taxes levied on such interest.