

**CONFLICT OF INTEREST CODE
OF THE
MONTEREY REGIONAL WASTE MANAGEMENT AUTHORITY**

1. Adoption by Incorporation. The Political Reform Act of 1974, Government Code 81000, *et seq.*, requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission had adopted a regulation, 2 California Code of Regulation §18730, which contains the terms of a standard model Conflict of Interest Code, which may be incorporated by reference and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of 2 California Code of Regulations §18730 and any amendments to it duly adopted by the Fair Political Practices Commission, along with the following Exhibit A and Exhibit B, in which officials and employees are designated by reference and constitute the Conflict of Interest Code of the Monterey Regional Waste Management Authority ("Authority").
2. Filing of Statements. Pursuant to 2 California Code of Regulations §18730 (b) (4), all designated employees shall file statements of economic interests with the Authority. Upon adoption of this Conflict of Interest Code, the Board Secretary shall advise all persons holding designated positions who have not previously been required to file disclosure statements that an initial statement must be filed within thirty days of this Conflict of Interest Code. Upon receipt of the statements of the Authority Board of Directors, the Authority shall make and retain a copy and forward the original of each statement to the code reviewing body. Statements for all other designated employees will be retained by the Authority.

EXHIBIT A: Designated Positions

<u>List of Designated Positions</u>	<u>Assigned Disclosure Category</u>
Authority Board of Directors	1
General Manager	1
Director of Engineering & Compliance/District Engineer	1
Administrative Services Manager	1
Director of Finance & Administration	1
Director of Communications & Sustainability	1
Director of Operations	1
Equipment Maintenance Manager	2
Accounting Manager	1
Assistant Accounting Manager	1
Human Resources Manager	1
Last Chance Mercantile/Hazardous Materials Manager	2
Materials Recovery Facility Manager	2
Assistant Materials Recovery Facility Manager	2
Safety & Risk Manager	2
Senior Engineer	2
Site Operations & Facilities Manager	2
Legal Counsel	1
Consultants*	1

*For purposes of this Code "Consultant" has the same meaning as set forth in 2 Cal. Code of Regs. section 18700(a)(1), as follows:

"Consultant" means an individual who, pursuant to a contract with a state or local government agency:

(A) Makes a governmental decision whether to:

1. Approve a rate, rule or regulations;
2. Adopt or enforce a law;
3. Issue, deny, suspend, or revoke any permit, license, application, certificate, approval, order or similar authorization or entitlement;
4. Authorize the agency to enter into, modify, or renew a contract provided it is the type of contract which requires agency approval;
5. Grant agency approval to a contract which requires agency approval and in which the agency is a part or

to the specifications for such a contract;

6. Grant agency approval to a plan, design, report, study, or similar item;

7. Adopt, or grant agency approval of, policies, standards, or guidelines for the agency, or for any subdivision thereof; or

(B) Serves in a staff capacity with the agency and in that capacity performs the same or substantially all the same duties for the agency that would otherwise be performed by an individual holding a position specified in the agency's Conflict of Interest Code.

Consultants to the Authority shall be subject to disclosure under Category 1, subject to the following limitation:

The Authority General Manager may determine in writing that a particular consultant, although a "Designated Employee" is hired to perform a range of duties that is limited in scope and thus not required to fully comply with the disclosure requirements of Category 1. In such cases, the General Manager may designate a different disclosure requirement. Such designation must be made in writing and shall include a description of the consultant's duties and, based upon that description, a statement of the extent of the consultant's disclosure requirements. The General Manager's designation must be filed, in advance of disclosure by the consultant, with the Authority's conflict of interest code and also filed with the code reviewing body and must be delivered to the consultant along with a copy of the conflict of interest code and the manual and forms for disclosure (FPPC Form 700).

EXHIBIT B: Disclosure Categories

General Provisions:

When a member, officer, or employee who holds a designated position is required to disclose investments, and sources of incomes, or financial interests, he or she shall disclose such investments, in business entities and sources of income, or financial interests in business entities which do business in the jurisdiction, plan to do business in the jurisdiction, or have done business in the jurisdiction within the past two (2) years. In addition to other activities, a business entity is doing business within the jurisdiction if it owns real property within the jurisdiction.

When a designated member, officer or employee who holds a designated position is required to disclose sources of income, he or she shall disclose gifts received from donors located inside, as well as outside, the jurisdiction.

When a designated member, officer, or employee who holds a designated position is required to disclose interests in real property, he or she shall disclose the type of real property described below, if it is located in whole or in part within, or not more than two miles outside of the boundaries of the jurisdiction, or within two miles of any land owned or used by the Authority.

When a designated member, officer, or employee who holds a designated position is required to disclose business position, he or she shall disclose positions in business entities that do business in California, plan to do business in California, or have done business in California within the past two years.

For purposes of this Conflict of Interest Code, the jurisdiction of the Authority is that area within the boundaries of the Monterey Regional Waste Management Authority.

Disclosure Category 1:

A member, officer, or employee holding a position assigned to Disclosure Category 1 shall, in the manner described above, report:

- (a) All investments in business entities and sources of income in the jurisdiction;
- (b) Interests in real property in the jurisdiction ~~which were acquired by, leased or otherwise used by the Monterey Regional Waste Management Authority.~~
- (c) His or her status as director, officer, partner, trustee, employee or holder of a management position in any business entity in the jurisdiction.

Disclosure Category 2:

A member, officer, or employee holding a position assigned to Disclosure Category 2 shall, in the manner described above, report:

- (a) All investments, business positions, sources of income, and financial interests of any type of, or in, business entities which provide services, supplies, materials, machinery, or equipment of the type utilized by the Authority.