



## Monterey County Board of Supervisors

168 West Alisal Street,  
1st Floor  
Salinas, CA 93901  
831.755.5066

### Board Order

#### Resolution No. 18-276

Upon motion of Supervisor Parker, seconded by Supervisor Adams and carried by those members present, the Board of Supervisors hereby:

Adopted Resolution No. 18-276 to approve a newly established Conflict of Interest Code for the Monterey-Salinas Transit District from the 2016 Biennial Review of Conflict of Interest Codes.

PASSED AND ADOPTED on this 28th day of August 2018, by the following vote, to wit:

AYES: Supervisors Alejo, Salinas, Phillips, Parker and Adams

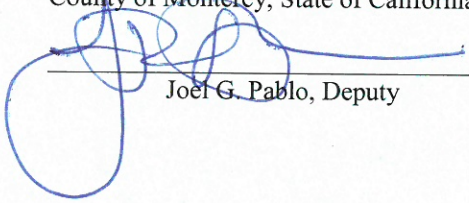
NOES: None

ABSENT: None

I, Valerie Ralph, Clerk of the Board of Supervisors of the County of Monterey, State of California, hereby certify that the foregoing is a true copy of an original order of said Board of Supervisors duly made and entered in the minutes thereof of Minute Book 81 for the meeting August 28, 2018.

Dated: August 28, 2018  
File ID: RES 18-110

Valerie Ralph, Clerk of the Board of Supervisors  
County of Monterey, State of California

  
\_\_\_\_\_  
Joel G. Pablo, Deputy





**Before the Board of Supervisors in and for the  
County of Monterey, State of California**

**Resolution No. 18-276**

Adopt Resolution No. 18-276 approving the       )  
Amended Conflict of Interest Code of the       )  
Monterey-Salinas Transit District..... )

WHEREAS, pursuant to Government Code sections 87300 and 87301, the Monterey-Salinas Transit District has adopted a Conflict of Interest Code;

WHEREAS, pursuant to Government Code section 87306, the Monterey-Salinas Transit District has amended its Conflict of Interest Code as necessitated by changed circumstances;

WHEREAS, pursuant to Sections 82011 and 87303 of the Government Code, the Monterey-Salinas Transit District has submitted its amended Code to the Monterey County Board of Supervisors, the code reviewing body, for approval;

WHEREAS, the amended Conflict of Interest Code of the Monterey-Salinas Transit District is attached hereto as Attachment A and incorporated herein by reference;

WHEREAS, pursuant to Government Code section 87303, the Board of Supervisors as code reviewing body may approve the Code as submitted, may revise the proposed Code and approve it as revised, or may return the proposed Code to the agency for revision and resubmission; and

WHEREAS, the proposed Code, as amended, is lawful under the Political Reform Act of 1974;

NOW THEREFORE, BE IT RESOLVED THAT, the Board of Supervisors does hereby approve the Amended Conflict of Interest Code of the Monterey-Salinas Transit District as submitted, attached here to as Attachment A, and directs the Clerk of the Board of Supervisors to notify the Monterey-Salinas Transit District of the Board's approval.

PASSED AND ADOPTED on this 28th day of August 2018, by the following vote, to wit:

AYES: Supervisors Alejo, Salinas, Phillips, Parker and Adams

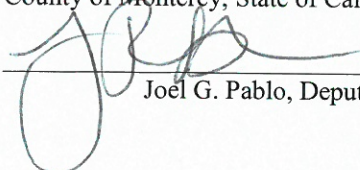
NOES: None

ABSENT: None

I, Valerie Ralph, Clerk of the Board of Supervisors of the County of Monterey, State of California, hereby certify that the foregoing is a true copy of an original order of said Board of Supervisors duly made and entered in the minutes thereof of Minute Book 81 for the meeting August 28, 2018.

Dated: August 28, 2018  
File ID: RES 18-110

Valerie Ralph, Clerk of the Board of Supervisors  
County of Monterey, State of California

  
Joel G. Pablo, Deputy







## **RESOLUTION NO. 2018-20**

### **A RESOLUTION OF THE MONTEREY-SALINAS TRANSIT DISTRICT ESTABLISHING A CONFLICT OF INTEREST CODE**

WHEREAS, the Political Reform Act, Government Code section 81000 et seq., requires state and local government agencies to adopt a promulgate Conflict of Interest Codes; and

WHEREAS, this Resolution codifies current conflict-of-interest requirements for Monterey-Salinas Transit District and provides means to further promote disclosure of conflicts and sets forth disqualification procedures for the District;

THEREFORE, BE IT RESOLVED, the Board of Directors of Monterey-Salinas Transit does resolve:

The Political Reform Act of 1974 requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission (FPPC) has adopted a regulation, Section 18730 of Title 2 of the California Code of Regulations, which contains the terms of a standard conflict of interest code that can be incorporated by reference in an agency's code. The terms of Section 18730, and any amendments to it duly adopted by the FPPC, are hereby incorporated by reference. This regulation shall constitute the Conflict of Interest Code (Code) of the Monterey-Salinas Transit District (District).

Individuals holding designated positions shall file their statement of economic interests with the District Secretary who will make the statements available for public inspection and reproduction pursuant to Government Code §81008. The District's jurisdiction (jurisdiction) is wholly within the County of Monterey.

#### **A. Designation of Employees and Disclosure Categories**

Persons holding positions that make or participate in making decisions are listed in Section C and are "designated employees" for the purposes of this Code. These positions involve voting on matters, approving a budget, negotiating contracts, or making recommendations on purchases without substantive review.

A primary purpose of the Code is to require disclosure of those types of investments, interests in real property, sources of income and business positions held by designated positions that may affect their decision-making. The disclosure categories set forth in Section D of this Code specify the kinds of financial interests that are reportable.

#### **B. Filing of Statements of Economic Interests**

Designated employees shall file Statements of Economic Interest (Statements or Form 700) with the Clerk of the District Board (Clerk) . The Clerk shall make and retain a copy of the Statements

of the members and alternates of the District Board of Directors and all other designated employees.

### **C. Designated Positions**

All public officials, employees, and contractors holding the following designated positions shall file a Form 700 as provided in this Code. The applicable disclosure category is shown as a numeral (1) or (2) before the listed position.

#### **Public Officials**

- 1 Board Members and Alternates
- 2 Members of MST advisory or oversight Committees, as appropriate\*

#### **MST Staff and Consultants\*\***

- 1 General Manager
- 1 Assistant General Manager
- 2 Chief Operations Officer
- 2 Deputy Chief Operations Officer
- 2 Director of Human Resources and Risk Management
- 2 Director of Planning and Marketing
- 6 Director of Information Technology
- 2 Executive Assistant to the General Manager/Clerk of the Board
- 4 Purchasing Manager
- 2 Marketing and Customer Services Manager
- 2 Compliance Analyst
- 2 Accounting Budget Manager
- 2 Business and Development Planner
- 2 Capital Improvements Projects Manager
- 5 Grants Analyst

#### **General Counsel**

- 1 General Counsel
- 1 Assistant or Alternate General Counsel

\*Unsalaries members of the District's advisory and oversight committees will be required to file Statements only if the committee makes or participates in making governmental decisions as provided in Title 2, California Code of Regulations, Section 18700.

### **D. Disclosure Categories**

When an individual who holds a designated position is required to disclose investments and sources of income, he or she shall disclose investments in business entities and sources of income which do business in the jurisdiction, plan to do business in the jurisdiction, or have done business in the jurisdiction within the past two years. In addition to other activities, a business entity is doing business within the jurisdiction if it owns real property within the jurisdiction.

When an individual who holds a designated position is required to disclose sources of income, he or she shall include gifts received from donors located inside as well as outside of the jurisdiction.

When an individual who holds a designated position is required to disclose interests in real property, he or she shall disclose the type of real property described below if it is located within the jurisdiction, or not more than two miles outside the boundaries of the jurisdiction, or within two miles of any land owned or used by the District.

When an individual who holds a designated position is required to disclose a business position, he or she shall disclose positions in business entities that do business in the jurisdiction, plan to do business in the jurisdiction, or have done business in the jurisdiction within the past two years.

For purposes of this Code, the jurisdiction of the District is the area of the County of Monterey within the District boundaries.

**Category 1**

A designated position in this category must report all investments; business positions; interests in real property; and sources of income, including gifts, loans, and travel payments.

**Category 2**

A designated position in this category must report all investments; business positions; and sources of income, including gifts, loans, and travel payments.

**Category 3**

A designated position in this category must report all investments; business positions and income; including gifts, loans, and travel payments from sources that are subject to the regulatory, permit or licensing authority of, or have an application for a license or permit pending before, the District.

**Category 4**

A designated position in this category must report all investments, business positions and income, including gifts, loans, and travel payments, from sources which are of the type to supply materials, products, supplies, commodities, services, machinery, vehicles, or equipment utilized by the District.

**Category 5**

A designated position in this category must report all investments, business positions and income, including gifts, loans, and travel payments, from sources which are of the type to receive grants or other monies from or through the District.

**Category 6**


A designated position in this category must report all investments; business positions in business entities and income; including gifts, loans, and travel payments from sources that provide information technology and telecommunications goods, products or services, including but not limited to, computer hardware or software companies, computer consultant services, training, data processing firms, and media services. \*\*Consultants/New Positions shall disclose pursuant to the broadest disclosure category, subject to the following limitation:

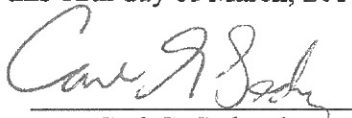
The District General Manager or his/her designee may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The determination of the District General Manager or his/her designee is a public record and shall be retained for public inspection in the same manner and location as this Code. (Gov. Code § 81008.)

Consultant means an individual who, pursuant to a contract with a state or local governmental agency, makes a governmental decision as provided in Title 2, California Code of Regulations, Section 18700 or serves in a staff capacity with the District and in that capacity performs the same or substantially the same duties for the District that would otherwise be performed by an individual holding a designated position specified in this Code.

BE IT FURTHER RESOLVED that this Resolution and Conflict of Interest Code shall become effective on the thirtieth day following passage and adoption hereof.

THE BOARD OF DIRECTORS OF MONTEREY-SALINAS TRANSIT  
PASSED AND ADOPTED RESOLUTION 2018-20 this 12th day of March, 2018.

  
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Tony Barrera  
Chairperson

  
\_\_\_\_\_  
Carl G. Sedoryk  
Secretary

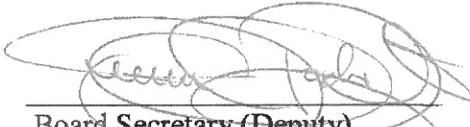
PASSED AND ADOPTED BY THE MONTEREY-SALINAS TRANSIT BOARD OF  
DIRECTORS THIS 12th day of March, 2018, by the following vote:

AYES: Directors: Albert, Alejo, Barrera, Bonincontri, Carbone, Lintell, Cunco, Hardy,  
LeBarre, Martinez, Pacheco, O'Connell, Stewart

NOES:

ABSENT: Directors: Clark, Velazquez

ATTEST:

  
\_\_\_\_\_  
Board Secretary (Deputy)