

**COUNTY OF MONTEREY
MENTAL HEALTH SERVICES AGREEMENT**

Contract Number: A 12779

COUNTY Department Contract Representative:

Ray Bullick, Director of Health
1270 Natividad Road, Salinas, CA 93906

THIS CONTRACT is made and entered into by and between the **COUNTY OF MONTEREY**, a political subdivision of the State of California (hereinafter "COUNTY") and **DOOR TO HOPE** (hereinafter "CONTRACTOR").

RECITALS

WHEREAS, COUNTY desires to enter into an Agreement whereby CONTRACTOR shall provide community mental health services in accordance with the requirements of the Bronzan-McCorquodale Act (California Welfare and Institutions Code § 5600, et seq.), Part 2.5 of Division 5 of the California Welfare & Institutions Code, and Titles 9 and 22 of the California Code of Regulations; and

WHEREAS, CONTRACTOR is able to furnish such services under the terms and conditions of this Agreement and in accordance with applicable law, including all Federal, State of California (State), and local laws, regulations, rules, and guidelines pertaining to the provision of mental health services.

NOW, THEREFORE, IT IS HEREBY AGREED AS FOLLOWS:

I. SERVICES TO BE PROVIDED

CONTRACTOR shall provide the services set forth in this Agreement, including the program services detailed in Exhibit A, to the recipient population and to the COUNTY, in compliance with the terms of this Agreement. These services can be summarized as follows: (i) Outpatient mental health for interactive parenting education services to eligible children and their families/caregivers; (ii) outpatient mental health services to eligible youth ages 12-17 and young adults ages 18-25 who have both substance abuse and mental health disorders and who are involved or at risk for involvement in the juvenile justice system or criminal justice system, respectively, and their families, (iii) outpatient mental health services and medication support to eligible infants and children ages 0-5 who require early prevention services and children ages 6-11 involved with child welfare, (iv) residential mental health services to pregnant or parenting women, over the age of 18 who are experiencing problems with alcohol and/or other drugs, and (v) residential mental health services to females ages 13-17 who required residential care and are placed out-of-home by the Department of Probation or Department of Social Services.

II. EXHIBITS

The following exhibits are attached to this Agreement and incorporated herein by reference:

- EXHIBIT A: PROGRAM DESCRIPTION
- EXHIBIT B: PAYMENT AND BILLING PROVISIONS
- EXHIBIT C: CONFIDENTIALITY OF PATIENT INFORMATION
- EXHIBIT D: ASSURANCE OF COMPLIANCE WITH SECTION 504 OF THE REHABILITATION ACT OF 1973, AS AMENDED
- EXHIBIT E: ASSURANCE OF COMPLIANCE WITH MONTEREY COUNTY CULTURAL COMPETENCY POLICY
- EXHIBIT F: BUSINESS ASSOCIATE AGREEMENT
- EXHIBIT G: COST REIMBURSEMENT INVOICE FORM
- EXHIBIT H: BUDGET AND EXPENDITURE REPORT
- EXHIBIT I: ANNUAL REPORT(S), COST REPORT SETTLEMENT AND AUDIT

III. PAYMENT BY COUNTY

- A. The COUNTY shall pay CONTRACTOR in arrears, as applicable, for eligible services provided under this Agreement and in accordance with the terms and conditions set forth in Exhibit B. Payments are made at applicable rates up to the amounts identified for each Funded Program as shown in Exhibit B and as otherwise may be limited under this Agreement and the attachments thereto. If CONTRACTOR is paid at Provisional Rates or at Cash Flow Advances, COUNTY payments are provisional, until the completion of all settlement activities and audits, as such payments are subject to future Federal, State and/or COUNTY adjustments. For the purposes of this Agreement, a "Funded Program" is a set of services paid through a particular funding source identified in Exhibit H, Budget and Expenditure Report, if made part of this Agreement.
- B. CONTRACTOR shall hold harmless the State and any recipients of services in the event COUNTY does not reimburse CONTRACTOR for services performed under this Agreement.

IV. TERM AND TERMINATION

- A. Term. This Agreement shall be effective July 1, 2015 and shall remain in effect until June 30, 2018.
- B. Termination without Cause. Either party may terminate this Agreement at any time without cause by serving thirty (30) calendar days' advance written notice upon the other party. The notice shall state the effective date of the termination.
- C. Termination with Cause. COUNTY, in its sole and absolute discretion, may terminate this Agreement immediately upon the occurrence of any of the following events:
 - 1. CONTRACTOR'S failure to comply with COUNTY'S Utilization Review procedures;

2. CONTRACTOR'S failure to abide by Grievance decisions;
3. CONTRACTOR'S failure to meet COUNTY qualification criteria;
4. CONTRACTOR'S failure to submit Annual Reports, Provider's Certification, and accompanying audited financial statement, CONTRACTOR'S Year-End Cost Report Settlement and/or other supporting documents in accordance with the terms of a written notice from COUNTY to CONTRACTOR, and/or, if made part of this Agreement, Exhibit I;
5. CONTRACTOR is unable or reasonably expected to be unable to provide the Services for any reason for a period in excess of thirty (30) consecutive days or sixty (60) days in the aggregate over any three (3) month period.
6. CONTRACTOR'S performance of this Agreement poses an imminent danger to the health and safety of any individual client of COUNTY;
7. CONTRACTOR loses its licensure or certification;
8. CONTRACTOR is suspended, excluded or otherwise becomes ineligible to participate in the Medicare, Medi-Cal, or any other government-sponsored health program;
9. Breach by CONTRACTOR of any confidentiality obligation;
10. Breach by CONTRACTOR of the Health Insurance Portability and Accountability Act (HIPAA) and Protected Health Information (PHI);
11. CONTRACTOR makes an assignment for the benefit of creditors, admits in writing the inability to pay its debts as they mature, applies to any court for the appointment of a trustee or receiver over its assets, or upon commencement of any voluntary or involuntary proceedings under any bankruptcy, reorganization, arrangement, insolvency, readjustment of debt, dissolution liquidation or other similar law or any jurisdiction;
12. The insurance required to be maintained by CONTRACTOR under this Agreement is terminated, reduced below the minimum coverage requirements set forth in this Agreement, not renewed or cancelled (whether by action of the insurance company or CONTRACTOR) for any reason, and CONTRACTOR has not obtained replacement coverage as required by this Agreement by the effective date of such termination, reduction, non-renewal or cancellation;
13. CONTRACTOR is rendered unable to comply with the terms of this Agreement for any reason; or
14. COUNTY determines that CONTRACTOR is in violation or breach of any provision of this Agreement or violation of Federal, State or local laws, and thirty (30) calendar days have passed since written notice of the violation or breach has

been given by COUNTY, without remedy thereof by CONTRACTOR to the satisfaction of COUNTY.

D. Termination or Amendment in Response to Reduction of Government Funding. Notwithstanding any other provision of this Agreement, if Federal, State or local government terminates or reduces its funding to the COUNTY for services that are to be provided under this Agreement, COUNTY, in its sole and absolute discretion after consultation with the CONTRACTOR, may elect to terminate this Agreement by giving written notice of termination to CONTRACTOR effective immediately or on such other date as COUNTY specifies in the notice. Alternatively, COUNTY and CONTRACTOR may mutually agree to amend the Agreement in response to a reduction in Federal, State or local funding.

E. Survival of Obligations after Termination. Termination of this Agreement shall be effected by notice of termination to CONTRACTOR specifying the extent to which performance of work is terminated and the date upon which such termination becomes effective. Upon termination of this Agreement, COUNTY shall no longer refer clients to the CONTRACTOR under this Agreement, and the rights and duties of the parties shall be terminated, except that the following obligations shall survive termination:

1. CONTRACTOR shall, pursuant to this Agreement and upon approval of the Behavioral Health Director, continue treatment of clients who are receiving care from CONTRACTOR until completion of treatment or until continuation of the client's care by another provider can be arranged by COUNTY;
2. COUNTY shall arrange for such transfer of treatment no later than sixty (60) calendar days after Agreement termination if the client's treatment is not by then completed;
3. COUNTY, any payer, and CONTRACTOR shall continue to remain obligated under this Agreement with regard to payment for services rendered prior to termination or required to be rendered after termination;
4. Upon termination or expiration of this Agreement, CONTRACTOR shall continue to remain obligated with respect to any confidentiality obligation as described in Section VIII and in accordance with Exhibit C to this Agreement, HIPAA and PHI in accordance with Exhibit F to this Agreement, indemnification described in Section XI to this Agreement, professional liability insurance described in Section XII to this Agreement, annual reports and cost report settlement described in Section XIV and in accordance with Exhibit I to this Agreement, and access to and audit of records described in Section XV to this Agreement, and in accordance with all applicable laws; and
5. CONTRACTOR shall not do anything or cause any other person to do anything that interferes with COUNTY'S efforts to engage any other person or entity for the provision of the services set forth in this Agreement, or interfere in any way with any relationship between COUNTY and any other person or entity who may be engaged to provide the services to COUNTY.

V. COMPLIANCE WITH APPLICABLE LAWS AND TERMS OF FEDERAL, STATE AND/OR LOCAL STATUTES AND FEDERAL AND/OR STATE GRANTS

- A. Compliance with Laws. In providing services and meeting requirements for payment reimbursement for mental health treatment services under this Agreement, CONTRACTOR shall comply with all applicable Federal, State, and local laws, regulations, rules, and guidelines, including, but not limited to, Title XIX of the Social Security Act, California Welfare and Institutions Code, Divisions 5, 6, and 9; California Code of Regulations, Titles 9 and 22; any Short-Doyle and Short-Doyle/Medi-Cal policies as identified in the State Letters, Office of Management and Budget (OMB) Circular Nos. A-122 and 133, the Cost Reporting/Data Collection (CR/DC) Manual, and the Mental Health policies issued by the County of Monterey.
- B. Compliance with Terms of Federal and/or State Grants. If this Agreement is funded with monies received by the COUNTY pursuant to contract(s) with the Federal and/or State government in which the COUNTY is the grantee, CONTRACTOR shall comply with all provisions of said contract(s), to the extent applicable to CONTRACTOR as a sub-grantee under said contract(s), and said provisions shall be deemed a part of this Agreement as if fully set forth herein. Upon request, COUNTY shall deliver a copy of said contract(s) to CONTRACTOR at no cost to CONTRACTOR.

VI. CONTRACT MONITORING AND QUALITY CONTROL

- A. The Federal, State and COUNTY shall have the right to inspect and evaluate the quality, appropriateness and timelines of services performed under this Agreement.
- B. The Behavioral Health Director shall assign a Contract Monitor to ensure compliance with the terms and conditions of this Agreement. The Contract Monitor and CONTRACTOR shall meet at intervals deemed appropriate by COUNTY. In addition, the Contract Monitor shall review at regular intervals all statistical reports, financial records, clinical records, and other documents concerning services provided under this Agreement. In addition, CONTRACTOR shall at all times cooperate with the COUNTY'S Quality Improvement ("QI") Plan.
- C. CONTRACTOR shall conduct reviews at regular intervals of the quality and utilization of services for all recipients of service under this Agreement. CONTRACTOR shall furnish all required data and reports in compliance with State Client and Service Information System ("CSI"). Units of time reporting, as stipulated in the Cost Reporting/Data Collection ("CR/DC") manual, are subject to special review and audit.
- D. If CONTRACTOR is an in-patient facility, CONTRACTOR shall submit its patient admissions and length of stay requests for utilization review through existing hospital systems or professional standards review organizations.

VII. LICENSURE, CERTIFICATION AND STAFFING REQUIREMENTS

- A. Licensure and Certification. CONTRACTOR shall furnish qualified professional personnel as prescribed by Title 9 of the California Code of Regulations, the California Business and Professions Code, the California Welfare and Institutions Code, and all other applicable laws for the type of services rendered under this Agreement. All personnel providing services pursuant to this Agreement shall be fully licensed in accordance with all applicable law and shall remain in good professional standing throughout the entire duration of this Agreement. CONTRACTOR shall comply with all COUNTY and State certification and licensing requirements and shall ensure that all services delivered by staff are within their scope of licensure and practice.
- B. Medi-Cal Certification. If CONTRACTOR is an organizational provider of Medi-Cal specialty mental health services, CONTRACTOR shall maintain certification during the term of this Agreement. This includes meeting all staffing and facility standards required for organizational providers of Medi-Cal specialty mental health services which are claimed and notifying COUNTY'S Contract Monitor in writing of anticipated changes in service locations at least sixty (60) days prior to such change.
- C. Staff Training and Supervision. CONTRACTOR shall ensure that all personnel, including any subcontractor(s) performing services under this Agreement, receive appropriate training and supervision. CONTRACTOR shall also maintain appropriate levels of staffing at all times when performing services under this Agreement.
- D. Exclusion from Participation in Federal Health Care Program or State Equivalent.
1. CONTRACTOR shall not employ or contract with providers or other individuals and entities excluded from participation in Federal health care programs under either Section 1128 or 1128A of the Social Security Act. Federal Financial Participation (FFP) is not available for providers excluded by Medicare, Medicaid, or the State Children's Insurance Program, except for emergency services.
 2. CONTRACTOR shall not employ or contract with services to be provided under the terms of this Agreement by any officer, employee, subcontractor, agent or any other individual or entity that is on the List of Excluded Individuals/Entities maintained by the U.S. Department of Health and Human Services, Office of the Inspector General ("OIG") or the California State Medi-Cal Suspended and Ineligible Provider List ("S&I") maintained by the California Department of Health Care Services (DHCS).
 - a. CONTRACTOR shall be responsible to determine on a monthly basis whether any of its officers, employees, subcontractors, agents, or other individuals or entities are on either or both excluded lists of OIG and S&I and shall immediately notify the COUNTY upon discovery that any of its officers, employees, subcontractors, agents, or other individuals or entities appears on either or both excluded lists.

- b. The OIG list is currently found at the following web address: <http://exclusions.oig.hhs.gov>. The S&I list is currently found at the following web address: <http://www.medi-cal.ca.gov/references.asp>.

VIII. MAINTENANCE AND CONFIDENTIALITY OF PATIENT INFORMATION

- A. CONTRACTOR shall maintain clinical records for each recipient of service in compliance with all Federal and State requirements. Such records shall include a description of all services provided by the CONTRACTOR in sufficient detail to make possible an evaluation of services, and all data necessary to prepare reports to the State, including treatment plans, records of client interviews, and progress notes.
- B. CONTRACTOR shall retain clinical records for a minimum of seven (7) years and, in the case of minors, for at least one (1) year after the minor has reached the age of majority, but for a period of no less than seven (7) years. Clinical records shall be the property of the COUNTY and maintained by the CONTRACTOR in accordance with Federal, State and COUNTY standards.
- C. CONTRACTOR shall comply with the Confidentiality of Patient Information requirements set forth in Exhibit C and incorporated by reference as if fully set forth herein.

IX. PATIENT RIGHTS

- A. CONTRACTOR shall comply with all applicable patients' rights laws including, but not limited to, the requirements set forth in California Welfare and Institutions Code, Division 5, Part 1, sections 5325, et seq., and California Code of Regulations, Title 9, Division 1, Chapter 4, Article 6 (sections 860, et seq.).
- B. As a condition of reimbursement under this Agreement, CONTRACTOR shall ensure that all recipients of services under this Agreement shall receive the same level of services as other patients served by CONTRACTOR. CONTRACTOR shall ensure that recipients of services under this Agreement are not discriminated against in any manner including, but not limited to, admissions practices, evaluation, treatment, access to programs and or activities, placement in special wings or rooms, and the provision of special or separate meals. CONTRACTOR shall comply with Assurance of Compliance requirements as set forth in Exhibit D and incorporated by reference as if fully set forth herein.

X. REPORTS OF DEATH, INJURY, DAMAGE, OR ABUSE

- A. Reports of Death, Injury, or Damage. If death, serious personal injury, or substantial property damage occur in connection with the performance of this Agreement, CONTRACTOR shall immediately notify the Behavioral Health Director by telephone. In addition, CONTRACTOR shall promptly submit to COUNTY a written report including: (1) the name and address of the injured/deceased person; (2) the time and location of the incident; (3) the names and addresses of CONTRACTOR'S employees or agents who were involved with the incident; (4) the

names of COUNTY employees, if any, involved with the incident; and (5) a detailed description of the incident.

- B. Child Abuse Reporting. CONTRACTOR shall ensure that all known or suspected instances of child abuse or neglect are promptly reported to proper authorities as required by the Child Abuse and Neglect Reporting Act, California Penal Code sections 11164, et seq. CONTRACTOR shall require all of its employees, consultants, and agents performing services under this Agreement who are mandated reporters under the Act to sign statements indicating that they know of and shall comply with the Act's reporting requirements.
- C. Elder Abuse Reporting. CONTRACTOR shall ensure that all known or suspected instances of abuse or neglect of elderly people 65 years of age or older and dependent adults age 18 or older are promptly reported to proper authorities as required by the Elder Abuse and Dependent Adult Protection Act (California Welfare and Institutions Code, sections 15600 Code, et seq.). CONTRACTOR shall require all of its employees, consultants, and agents performing services under this Agreement who are mandated reporters under the Act to sign statements indicating that they know of and shall comply with the Act's reporting requirements.

XI. INDEMNIFICATION

CONTRACTOR shall indemnify, defend, and hold harmless the COUNTY, its officers, agents, and employees, from and against any and all claims, liabilities, and losses whatsoever (including damages to property and injuries to or death of persons, court costs, and reasonable attorneys' fees) occurring or resulting to any and all persons, firms or corporations furnishing or supplying work, services, materials, or supplies, in connection with the performance of this Agreement, and from any and all claims, liabilities, and losses occurring or resulting to any person, firm, or corporation for damage, injury, or death arising out of or connected with the CONTRACTOR'S performance of this Agreement, unless such claims, liabilities, or losses arise out of the sole negligence or willful misconduct of the COUNTY. "CONTRACTOR'S performance" includes CONTRACTOR'S action or inaction and the action or inaction of CONTRACTOR'S officers, employees, agents and subcontractors.

XII. INSURANCE

- A. Evidence of Coverage. Prior to commencement of this Agreement, the CONTRACTOR shall provide a "Certificate of Insurance" certifying that coverage as required herein has been obtained. Individual endorsements executed by the insurance carrier shall accompany the certificate. In addition, the CONTRACTOR upon request shall provide a certified copy of the policy or policies.

This verification of coverage shall be sent to the COUNTY'S Contracts/Purchasing Office, unless otherwise directed. The CONTRACTOR shall not receive approval for services for work under this Agreement until all insurance has been obtained as required and approved by the COUNTY. This approval of insurance shall neither relieve nor decrease the liability of the CONTRACTOR.

- B. Qualifying Insurers. All coverage, except surety, shall be issued by companies which hold a current policy holder's alphabetic and financial size category rating of not less than A- VII, according to the current Best's Key Rating Guide or a company of equal financial stability that is approved by the COUNTY'S Contracts/Purchasing Officer.
- C. Insurance Coverage Requirements. Without limiting CONTRACTOR'S duty to indemnify, CONTRACTOR shall maintain in effect throughout the term of this Agreement a policy or policies of insurance with the following minimum limits of liability:
1. Commercial general liability insurance, including but not limited to premises and operations, including coverage for Bodily Injury and Property Damage, Personal Injury, Contractual Liability, Broadform Property Damage, Independent Contractors, Products and Completed Operations, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.
 2. Business automobile liability insurance, covering all motor vehicles, including owned, leased, non-owned, and hired vehicles, used in providing services under this Agreement, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence if maximum estimated reimbursement obligation by COUNTY to CONTRACTOR under this Agreement is over \$100,000 or of not less than \$500,000 per occurrence if maximum estimated reimbursement obligation by COUNTY to CONTRACTOR under this Agreement is \$100,000 and less.
 3. Workers Compensation Insurance, if CONTRACTOR employs others in the performance of this Agreement, in accordance with California Labor Code section 3700 and with Employer's liability limits not less than \$1,000,000 each person, \$1,000,000 each accident and \$1,000,000 each disease.
 4. Professional Liability Insurance, if required for the professional service being provided, (e.g., those persons authorized by a license to engage in business or profession regulated by the California Business and Professional Code), in the amount of not less than \$1,000,000 per claim and \$2,000,000 in the aggregate, to cover liability for malpractice or errors or omissions made in the course of rendering professional services. If professional liability insurance is written on a "claims-made" basis rather than an occurrence basis, the CONTRACTOR shall, upon the expiration or earlier termination of this Agreement, obtain extended reporting coverage ("tail coverage") with the same liability limits. Any such tail coverage shall continue for at least three (3) years following the expiration or earlier termination of this Agreement.
- D. Other Insurance Requirements. All insurance required by this Agreement shall be with a company acceptable to the COUNTY and issued and executed by an admitted insurer authorized to transact insurance business in the State of California. Unless otherwise specified by this Agreement, all such insurance shall be written on an occurrence basis, or, if the policy is not written on an occurrence basis, such policy with the coverage required herein shall continue in effect for a period of three (3)

years following the date CONTRACTOR completes its performance of services under this Agreement.

Each liability policy shall provide that the COUNTY shall be given notice in writing at least thirty (30) calendar days in advance of any endorsed reduction in coverage or limit, cancellation, or intended non-renewal thereof. Each policy shall provide coverage for CONTRACTOR and additional insured with respect to claims arising from each subcontractor, if any, performing work under this Agreement, or be accompanied by a certificate of insurance from each subcontractor showing each subcontractor has identical insurance coverage to the above requirements.

Commercial general liability and automobile liability policies shall provide an endorsement naming the County of Monterey, its officers, agents, and employees as Additional Insured with respect to liability arising out of the CONTRACTOR'S work, including ongoing and complete operations, and shall further provide that such insurance is primary insurance to any insurance or self-insurance maintained by the COUNTY and that the insurance of the Additional Insured shall not be called upon to contribute to a loss covered by the CONTRACTOR'S insurance.

Prior to the execution of this Agreement by the COUNTY, CONTRACTOR shall file certificates of insurance with the COUNTY'S contract administrator and the COUNTY'S Contracts/Purchasing Office, showing that the CONTRACTOR has in effect the insurance required by this Agreement. The CONTRACTOR shall file a new or amended certificate of insurance within five (5) calendar days after any change is made in any insurance policy, which would alter the information on the certificate then on file. Acceptance or approval of insurance shall in no way modify or change the indemnification clause in this Agreement, which shall continue in full force and effect.

CONTRACTOR shall at all times during the term of this Agreement maintain in force the insurance coverage required under this Agreement and shall send, without demand by COUNTY, annual certificates to COUNTY'S Contract Administrator and COUNTY'S Contracts/Purchasing Office. If the certificate is not received by the expiration date, CONTRACTOR shall have five (5) calendar days to send the certificate, evidencing no lapse in coverage during the interim. Failure by CONTRACTOR to maintain such insurance coverage is a breach of this Agreement, which entitles COUNTY, at its sole and absolute discretion, to (1) immediately disallow claim(s) for payment and/or withhold payment(s) by COUNTY to CONTRACTOR, pursuant to Section III (A), for services rendered on or after the effective date of termination, reduction, non-renewal, or cancellation of the insurance coverage maintained by CONTRACTOR, and/or (2) terminate this Agreement pursuant to Section IV.

XIII. BUDGET AND EXPENDITURE REPORT

- A. CONTRACTOR shall submit, as requested by the COUNTY, the Budget and Expenditure Report provided as Exhibit H, if made part of this Agreement, identifying CONTRACTOR'S allowable costs and program revenues. COUNTY shall identify program revenues for COUNTY funds, and CONTRACTOR shall

identify allowable costs and other program revenues as defined in Exhibit B, Section VI, paragraph B of this Agreement, if applicable. The budget shall be the basis for payment reimbursements, cost settlement activities, and audits.

- B. CONTRACTOR shall submit an electronic copy of the Six-(6) Month and the Year-to-Date Budget and Expenditure report by February 15 and by the date specified by the COUNTY, respectively, to the COUNTY using Exhibit H as the template format. The report shall include data related to the actual costs incurred, revenues earned, and the number of actual clients served by each funded program.

XIV. PREPARATION OF ANNUAL REPORT(S) AND CONTRACTOR'S YEAR-END COST REPORT SETTLEMENT

- A. Annual Report(s) and CONTRACTOR'S Year-End Cost Report Settlement. CONTRACTOR shall submit by COUNTY'S required deadlines the following, as it pertains to this Agreement:

1. State Cost Report.
2. Annual Mental Health Services Act (MHSA) Revenue and Expenditure Reports.
3. Annual Report(s), as applicable and required by the COUNTY.
4. CONTRACTOR'S Year-End Cost Report Settlement in accordance with the terms and conditions set forth in Exhibit I, if made part of this Agreement.

Such Annual Reports, numbered (1) through (3) above, and such cost report settlement, numbered (4) above, shall be prepared in accordance with generally accepted accounting principles and Federal, State and COUNTY reimbursement requirements using forms, templates and instructions provided by the COUNTY.

- B. Preparation and Submission of Annual Report(s) and CONTRACTOR'S Year-End Cost Report Settlement in Response to Termination or Cancellation of Agreement. If this Agreement is terminated or canceled prior to June 30th of any fiscal year, CONTRACTOR shall prepare and submit to COUNTY an Annual Report(s) and CONTRACTOR'S Year-End Cost Report Settlement with the COUNTY for services/activities rendered during the fiscal year(s) for which the CONTRACTOR'S applicable Report(s) is (are) outstanding and shall adhere to the terms and conditions set forth in Exhibit I, if made part of this Agreement. If Exhibit I is not a part of this Agreement, CONTRACTOR shall prepare and submit to COUNTY a cost report and any applicable reports as requested by the COUNTY.

- C. Non-submission of Annual Report(s) and CONTRACTOR'S Year-End Cost Report Settlement. Failure to submit the Annual Report(s) and/or the CONTRACTOR'S Year-End Cost Report Settlement, described in Section XIV (A), within thirty (30) calendar days after COUNTY'S applicable due date(s) is a breach of this Agreement, which entitles COUNTY, in its sole and absolute discretion, to (1) disallow claim(s) for payment for services/activities rendered during the fiscal year(s) for which the CONTRACTOR'S applicable Report(s) is (are) outstanding, (2) withhold payment(s) for reimbursements payable pursuant to Section III (A) to CONTRACTOR for the current fiscal year by COUNTY to CONTRACTOR, and/or (3) terminate this Agreement pursuant to Section IV. CONTRACTOR shall comply with Annual

Report(s) and CONTRACTOR'S Year-End Cost Report Settlement requirements as set forth in Exhibit I, if Exhibit I is made part of this Agreement.

- D. Cost Report Training. CONTRACTOR shall attend a one-time mandatory cost report training provided by the COUNTY. COUNTY shall provide further training as needed and as required in accordance with changes in the State cost report requirements. CONTRACTOR shall adhere to cost report training requirements and shall comply in accordance with Exhibit I, Section III, if made part of this Agreement.

XV. ACCESS TO AND AUDIT OF RECORDS

- A. Right to Inspect Records. At any time during the term of this Agreement or after the expiration or termination of this Agreement, in accordance with Federal and State laws including, but not limited to the California Welfare and Institutions Code (WIC) Sections 14170 et seq., the COUNTY or its representative, Federal or State governments may conduct an audit, review or other monitoring procedures of the CONTRACTOR regarding the services/activities provided under this Agreement. The COUNTY or its representative, Federal or State governments shall have the right to inspect any and all books, records, and facilities maintained by CONTRACTOR during normal business hours and without advance notice to evaluate the use of funds and the cost, quality, appropriateness, and timeliness of services.
- B. Maintenance of Records. CONTRACTOR shall maintain any and all records documenting all services set forth under this Agreement for a period of seven (7) years from the end of the fiscal year in which such services were provided or until three (3) years after final resolution of any audits, CONTRACTOR'S Year-End Cost Report Settlement, State Cost Report Settlement, or appeals, whichever occurs later. CONTRACTOR shall maintain such records in a form comporting with generally accepted accounting and auditing standards and all applicable laws.
- C. Overpayment. If the results of any audit, CONTRACTOR'S Year-End Cost Report Settlement, or State Cost Report Settlement shows that the funds paid to CONTRACTOR under this Agreement exceeded the amount due, then CONTRACTOR shall pay the excess amount to COUNTY in cash not later than thirty (30) calendar days after the COUNTY notifies the CONTRACTOR of such overpayment; or, at COUNTY'S election, COUNTY may recover the excess or any portion of it by offsets made by COUNTY against any payment(s) owed to CONTRACTOR under this or any other Agreement or as set forth in Exhibit I, if made part of this Agreement.
- D. Responsibility for Audit and/or Cost Report Settlement Exceptions. Any and all audit and/or Cost Report Settlement exceptions by COUNTY or any Federal or State agency resulting from an audit and/or Cost Report Settlement of CONTRACTOR'S performance of this Agreement, or actions by CONTRACTOR, its officers, agents, and employees shall be the sole responsibility of the CONTRACTOR.
- E. Availability of Records for Grievances and Complaints by Recipients of Service. CONTRACTOR shall ensure the availability of records for the prompt handling of

grievances or complaints filed by recipients of services. Release of records shall be subject to the confidentiality provisions set forth in this Agreement.

- F. Reports. CONTRACTOR shall prepare any reports and furnish all information required for reports to be prepared by the COUNTY as may be required by the State of California or applicable law.

XVI. NON-DISCRIMINATION

- A. Non-discrimination. During the performance of this Agreement, CONTRACTOR shall not unlawfully discriminate against any person because of race, religion, color, sex, national origin, ancestry, mental or physical handicap, medical condition, marital status, age (over 40), or sexual orientation, either in CONTRACTOR'S employment practices or in the furnishing of services to recipients. CONTRACTOR shall insure that the evaluation and treatment of its employees and applicants for employment and all persons receiving and requesting services are free of such discrimination. The provision of services primarily or exclusively to such target population as may be designated in this Agreement shall not be deemed to be unlawful discrimination. In addition, CONTRACTOR'S facility access for the disabled shall comply with § 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794).
- B. Discrimination defined. The term "discrimination," as used in this Agreement, is the same term that is used in Monterey County Code, Chapter 2.80 ("Procedures for Investigation and Resolution of Discrimination Complaints"); it means the illegal denial of equal employment opportunity, harassment (including sexual harassment and violent harassment), disparate treatment, favoritism, subjection to unfair or unequal working conditions, and/or discriminatory practice by any Monterey County official, employee or agent, due to an individual's race, color, ethnic group, sex, national origin, ancestry, religious creed, sexual orientation, age, veteran's status, cancer-related medical condition, physical handicap (including AIDS) or disability. The term also includes any act of retaliation.
- C. Application of Monterey County Code Chapter 2.80. The provisions of Monterey County Code Chapter 2.80 apply to activities conducted pursuant to this Agreement. CONTRACTOR and its officers and employees, in their actions under this Agreement, are agents of the COUNTY within the meaning of Chapter 2.80 and are responsible for ensuring that their workplace and the services that they provide are free from discrimination, as required by Chapter 2.80. Complaints of discrimination made by recipients of services against CONTRACTOR may be pursued by using the procedures established by or pursuant to Chapter 2.80. CONTRACTOR shall establish and follow its own written procedures for prompt and fair investigation and resolution of discrimination complaints made against CONTRACTOR by its own employees and agents or recipients of services pursuant to this Agreement, and CONTRACTOR shall provide a copy of such procedures to COUNTY on demand by COUNTY.
- D. Compliance with Applicable Law. During the performance of this Agreement, CONTRACTOR shall comply with all applicable Federal, State and local laws and regulations which prohibit discrimination including, but not limited to, the following:

1. California Code of Regulations, Title 9, §§ 526, 527;
2. California Fair Employment and Housing Act, (Govt. Code § 12900, et seq.), and the administrative regulations issued thereunder, Cal. Code of Regulations, Title 2, § 7285, et seq.;
3. California Government Code, sections 11135-11139.5 (Title 2, Div. 3, Part 1, Chap. 1, Art. 9.5) and any applicable administrative rules and regulations issued under these sections;
4. Federal Civil Rights Acts of 1964 and 1991 (see especially Title VI, 42 U.S.C. § 2000(d), et seq.), as amended, and all administrative rules and regulations issued thereunder (see especially 45 C.F.R. Parts 80);
5. Sections 503 and 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§ 793 and 794); all requirements imposed by the applicable HHS regulations (45 C.F.R. Part 84); and all guidelines and interpretations issued pursuant thereto;
6. Americans with Disabilities Act of 1990, 42 U.S.C. §12101, et seq., and 47 U.S.C. §§ 225 and 611, and any Federal regulations issued pursuant thereto (see 24 C.F.R. Chapter 1; 28 C.F.R. Parts 35 and 36; 29 C.F.R. Parts 1602, 1627, and 1630; and 36 C.F.R. Part 1191);
7. Unruh Civil Rights Act, Cal. Civil Code § 51, et seq.
8. California Government Code section 12900 (A-F) and California Code of Regulations, Title 2, Division 4, Chapter 5.

In addition, the applicable regulations of the California Fair Employment and Housing Commission implementing Government Code § 12990 as set forth in Chapter 5, Division 4 of Title 2 of the California Code of Regulations are incorporated into this Agreement by reference and made a part hereof as if set forth in full.

- E. Written Assurance. Upon request by COUNTY, CONTRACTOR shall give any written assurances of compliance with the Civil Rights Acts of 1964 and 1991, the Rehabilitation Act of 1973, as amended, and the Americans with Disabilities Act of 1990, as may be required by the Federal government in connection with this Agreement, pursuant to 45 C.F.R. sec. 80.4 or C.F.R. § 84.5 or other applicable Federal or State regulations.
- F. Written Statement of Non-discrimination Policies. CONTRACTOR shall maintain a written statement of its non-discrimination policies and procedures. Such statement shall be consistent with the terms of this Agreement and shall be available to CONTRACTOR'S employees, recipients of services, and members of the public upon request.
- G. Notice to Labor Unions. CONTRACTOR shall give written notice of its obligations under this section to labor organizations with which it has a collective bargaining or other agreement.
- H. Access to Records by Government Agencies. CONTRACTOR shall permit access by COUNTY and by representatives of the State Department of Fair Employment and Housing and any Federal or State agency providing funds for this contract upon reasonable notice at any time during normal business hours, but in no case less than

24 hours' notice, to such of its books, records, accounts, facilities, and other sources of information as the inspecting party may deem appropriate to ascertain compliance with these nondiscrimination provisions.

- I. Binding on Subcontractors. The provisions above shall also apply to all of CONTRACTOR'S subcontractors who provide services pursuant to this Agreement. CONTRACTOR shall include the non-discrimination and compliance provisions set forth above in all its subcontracts to perform work or provide services under this Agreement.

XVII. CULTURAL COMPETENCY AND LINGUISTIC ACCESSIBILITY

- A. CONTRACTOR shall provide services in a culturally competent manner to assure access to services by all eligible individuals as required by State regulations and policies, other applicable laws, and in accordance with Exhibit E of this Agreement. Cultural competency is defined as a congruent set of practice skills, behaviors, attitudes, and policies that enable staff to work effectively in providing contractual services under this Agreement in cross-cultural situations. Specifically, CONTRACTOR'S provision of services shall acknowledge the importance of culture, adapt services to meet culturally unique needs, and promote congruent skills, behaviors, attitudes, and policies enabling all persons providing services to function effectively in cross-cultural situations.
- B. CONTRACTOR shall provide linguistically accessible services to assure access to services by all eligible individuals as required by State regulations and policies and other applicable laws. Specifically, CONTRACTOR shall provide services to eligible individuals in their primary language through linguistically proficient staff or interpreters. Family members, friends, or neighbors may be used as interpreters only in emergency situations.
- C. For the purposes of this Section, "access" is defined as the availability of medically necessary mental health services in a manner that promotes and provides the opportunity for services and facilitates their use.

XVIII. DRUG FREE WORKPLACE

CONTRACTOR shall submit to the COUNTY evidence of compliance with the California Drug-Free Workplace Act of 1990, California Government Code sections 8350, et seq., to provide a drug-free workplace by doing all of the following:

- A. Publishing a Statement notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the person's or organization's workplace and specifying the actions that shall be taken against employees for violations of the prohibitions.
- B. Establishing a drug-free awareness program to inform employees about all of the following:
 1. The dangers of drug abuse in the workplace;

2. The person's or organization's policy of maintaining a drug-free workplace;
3. Any available drug counseling, rehabilitation, and employees assistance programs;
4. The penalties that may be imposed upon employees for drug abuse violations;
5. Requiring that each employee engaged in the performance of the Agreement or grant is given a copy of the company's drug-free policy statement and that, as a condition of employment on the contract or grant, the employee agrees to abide by the terms of the statement.

XIX. INDEPENDENT CONTRACTOR

In the performance of work, duties, and obligations under this Agreement, CONTRACTOR is at all times acting and performing as an independent contractor and not as an employee of the COUNTY. No offer or obligation of permanent employment with the COUNTY or particular COUNTY department or agency is intended in any manner, and CONTRACTOR shall not become entitled by virtue of this Agreement to receive from COUNTY any form of employee benefits including, but not limited to sick leave, vacation, or retirement benefits, workers' compensation coverage, insurance, disability benefits, or social security benefits, or unemployment compensation or insurance. CONTRACTOR shall be solely liable for and obligated to pay directly all applicable taxes including, but not limited to, Federal and State income taxes and Social Security, arising out of CONTRACTOR'S compensation for performance of this Agreement. In connection therewith, CONTRACTOR shall defend, indemnify, and hold the COUNTY harmless from any and all liability COUNTY may incur because of CONTRACTOR'S failure to pay such taxes when due.

XX. SUBCONTRACTING

CONTRACTOR may not subcontract any services under this Agreement without COUNTY'S prior written authorization. At any time, COUNTY may require a complete listing of all subcontractors employed by the CONTRACTOR for the purpose of fulfilling its obligations under the terms of this Agreement. CONTRACTOR shall be legally responsible for subcontractors' compliance with the terms and conditions of this Agreement and with applicable law. All subcontracts shall be in writing and shall comply with all Federal, State, and local laws, regulations, rules, and guidelines. In addition, CONTRACTOR shall be legally responsible to COUNTY for the acts and omissions of any subcontractor(s) and persons either directly or indirectly employed by subcontractor(s).

XXI. GENERAL PROVISIONS

- A. Amendment. This Agreement may be amended or modified only by an instrument in writing signed by all the parties hereto.
- B. Assignment and Subcontracting. The CONTRACTOR shall not assign, sell, or otherwise transfer its interest or obligations in this Agreement, either in whole or in part, without the prior written consent of the COUNTY. None of the services covered by this Agreement shall be subcontracted without the prior written approval of the COUNTY. Any assignment without such consent shall automatically terminate this

Agreement. Notwithstanding any such subcontract, CONTRACTOR shall continue to be liable for the performance of all requirements of this Agreement.

- C. Authority. Any individual executing this Agreement on behalf of an entity represents and warrants hereby that he or she has the requisite authority to enter into this Agreement on behalf of such entity and bind the entity to the terms and conditions of the same.
- D. Compliance with Applicable Law. The parties shall comply with all applicable Federal, State, and local laws and regulations in performing this Agreement.
- E. Conflict of Interest. CONTRACTOR represents that it presently has no interest and agrees not to acquire any interest during the term of this Agreement, which would directly or indirectly conflict in any manner or to any degree with the full and complete performance of the professional services required to be rendered under this Agreement.
- F. Construction of Agreement. The parties agree that each party has fully participated in the review and revision of this Agreement and that any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply in the interpretation of this Agreement or any amendment hereto.
- G. Contractor. The term "CONTRACTOR" as used in this Agreement includes CONTRACTOR'S officers, agents, and employees acting on CONTRACTOR'S behalf in the performance of this Agreement.
- H. Counterparts. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same Agreement.
- I. Disputes. CONTRACTOR shall continue to perform under this Agreement during any dispute.
- J. Governing Law. This Agreement shall be governed by and interpreted under the laws of the State of California.
- K. Headings. The section and paragraph headings are for convenience only and shall not be used to interpret the terms of this Agreement.
- L. Integration. This Agreement, including the exhibits hereto, shall represent the entire Agreement between the parties with respect to the subject matter hereof and shall supersede all prior negotiations, representations, and/or agreements, either written or oral, between the parties as of the effective date hereof.
- M. Non-exclusive Agreement. This Agreement is non-exclusive and both parties expressly reserve the right to contract with other entities for the same or similar services.

- N. Severability. In the event of changes in law that effect the provisions of this Agreement, the parties agree to amend the affected provisions to conform to the changes in the law retroactive to the effective date of such changes in law. The parties further agree that the terms of this Agreement are severable and, in the event of changes in law as described above, the unaffected provisions and obligations of this Agreement shall remain in full force and effect.
- O. Successors and Assigns. This Agreement and the rights, privileges, duties, and obligations of the COUNTY and CONTRACTOR under this Agreement, to the extent assignable or delegable, shall be binding upon and insure to the benefit of the parties and their respective successors, permitted assigns, and heirs.
- P. Time is of the essence. Time is of the essence in each and all of the provisions of this Agreement.
- Q. Waiver. Any waiver of any terms and conditions of this Agreement must be in writing and signed by the parties hereto. A waiver of any of the terms and conditions of this Agreement shall not be construed as a waiver of any other terms or conditions in this Agreement.

XXII. NOTICES AND DESIGNATED LIAISONS

Notices to the parties in connection with this Agreement may be given personally or may be delivered by certified mail, return receipt requested, addressed to:

COUNTY OF MONTEREY

Ray Bullick
 Health Director
 1270 Natividad Road
 Salinas, CA 93906
 (831) 755-4509

DOOR TO HOPE

Chris Shannon
 Executive Director
 130 W. Gabilan Street
 Salinas, CA 93901
 (831) 758-0181

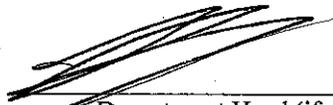
IN WITNESS WHEREOF, COUNTY and CONTRACTOR have executed this Agreement as of the day and year written below.

COUNTY OF MONTEREY

CONTRACTOR

By: _____
Contracts/Purchasing Officer

Date: _____

By:  _____
Department Head (if applicable)

Date: 7-9-15

By: _____
Board of Supervisors (if applicable)

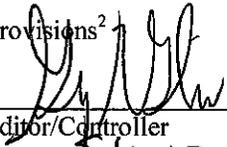
Date: _____

Approved as to Form ¹

By:  _____
Deputy County Counsel

Date: 5/18/15

Approved as to Fiscal Provisions ²

By:  _____
Auditor/Controller

Date: 2-4-15

Approved as to Liability Provisions ³

By: _____
Risk Management

Date: _____

County Board of Supervisors' Agreement Number: _____

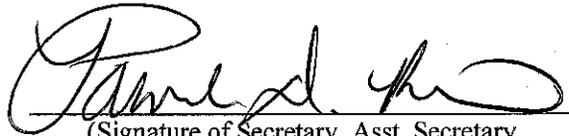
DOOR TO HOPE

Contractor's Business Name*

By:  _____

(Signature of Chair, President,
or Vice-President)*
Chris Shannon, Executive Director
Name and Title

Date: 4/27/15

By:  _____
(Signature of Secretary, Asst. Secretary,
CFO, Treasurer or Asst. Treasurer)*

Pamela Brown, President
Name and Title

Date: 4/28/2015

*INSTRUCTIONS: If CONTRACTOR is a corporation, including limited liability and non-profit corporations, the full legal name of the corporation shall be set forth above together with the signatures of two specified officers. If CONTRACTOR is a partnership, the name of the partnership shall be set forth above together with the signature of a partner who has authority to execute this Agreement on behalf of the partnership. If CONTRACTOR is contracting in an individual capacity, the individual shall set forth the name of the business, if any, and shall personally sign the Agreement.

¹Approval by County Counsel is required; if Agreement is \$100,000 and less approval by County Counsel is required only when modifications are made to any of the Agreement's standardized terms and conditions

²Approval by Auditor-Controller is required

³Approval by Risk Management is necessary only if changes are made in Sections XI or XII

**EXHIBIT A:
PROGRAM 1 DESCRIPTION**

I. IDENTIFICATION OF PROVIDER

Door to Hope
130 W. Gabilan St.
Salinas, CA 93901
831 758-0181

**II. SERVICE DESCRIPTION: FAMILIES FIRST AND FOREMOST (FFF)
PROGRAM NARRATIVE**

Door to Hope will provide assessment, case management, and mental health rehabilitation services for interactive parenting education services to eligible Monterey County children and their families and/or caregivers who are referred for services by the Family and Children Services Division of the Monterey County Department of Social Services and/or The Action Council. Services will be provided using the strength-based, home visitation model by Certified Parent Educators using the Parents as Teachers evidence-based curriculum. Services will be individualized and customized to meet the discerned needs of each child and family as identified in their specific mental health plan.

III. PROGRAM GOALS

Door to Hope's Families First and Foremost program goals are as follows:

- A. Identify child/family needs,
- B. Provide parent/child interaction to reinforce the parent-child bond,
- C. Improve the family's ability to meet the child's developmental needs, and
- D. Improve the mental health, functioning, and well-being of the child and family.

IV. PROGRAM OBJECTIVES

- A. Assess each child's and family's needs from a strength-based evaluation and develop an appropriate mental health plan.
- B. Provide developmental screenings for each child if it is not a duplication of recent service.
- C. Provide interactive parent education and mental health rehabilitation therapy utilizing an evidence-based model of home visitation.
- D. Improve the child's health and well-being and the family's parenting skills and stability.

V. TREATMENT SERVICES

1. Modes of Service, Service Function Codes and Contracted Units of Service

FFF SERVICE	MODE	SFC	FY 2015-16 EST. UNITS	FY 2016-17 EST. UNITS	FY 2017-18 EST. UNITS
Case Management	15	01	75,029	75,029	75,029
Mental Health Services	15	10, 30, 45	108,741	108,741	108,741

2. Delivery Site

Door to Hope
130 Church St.
Salinas, CA 93901

Services will be provided at the MCSTART Clinic in Salinas, but will also be available in the child's natural environment, including the home and/or the child's school or daycare.

3. Hours of Operation

The MCSTART Clinic will operate five (5) days per week, Monday – Friday from 8:00 AM – 6:00 PM. Home visitation services will be made available, whenever possible, at the convenience of the child and his/her family and will be available by appointment in the evenings and weekends.

VI. POPULATION/CATCHMENT AREA TO BE SERVED

All eligible residents of Monterey County who have full scope Medi-Cal will be served. Clients without Medi-Cal eligibility are not part of this Agreement.

VII. FINANCIAL ELIGIBILITY

Monterey County children that are full scope Medi-Cal.

VIII. LIMITATION OF SERVICE/PRIOR AUTHORIZATION

All services will require prior authorization by Monterey County Behavioral Health.

IX. CLIENT DESCRIPTION/CHARACTERISTICS

Populations served are:

Monterey County referred families or caregivers in need of child development assessment and parent education that include the following:

Infants or children, ages 0 to 5 years of age with:

1. Severe social and emotional developmental delays or disturbances; or
2. DC 0-3 disorder of infancy; or
3. Axis I diagnosis indicating mental impairment or behavioral disturbance and substantial impairment.

X. LEGAL STATUS

Voluntary or juvenile dependents (W&I Code, 300 et. seq.) and wards (W&I Code, 602 et. seq.)

XI. REPORTING REQUIREMENTS

CONTRACTOR will meet regularly with the designated Children's Behavioral Health Services Manager to monitor progress on client and project outcomes. CONTRACTOR will be required to report outcomes data regularly to the Monterey County Behavioral Health Bureau (MCBHB) according to the requirements as set forth by the State Department of Health Care Services (DHCS). MCBHB will provide to the CONTRACTOR the reporting requirements, forms and instructions as required by DHCS and the MCBHB.

XII. DESIGNATED CONTRACT MONITOR

Dana Edgull
Behavioral Health Services Manager II
Monterey County Behavioral Health Bureau
951 Blanco Circle, Suite B
Salinas, CA 93901
(831) 796-6110

**EXHIBIT A:
PROGRAM 2 DESCRIPTION**

I. IDENTIFICATION OF PROVIDER

Door to Hope
130 W. Gabilan Street
Salinas, CA 93901
831-758-0181

II. SERVICE DESCRIPTION: INTEGRATED CO-OCCURRING TREATMENT (ICT) PROGRAM NARRATIVE

Door to Hope will provide Mental Health Services to eligible youth ages 12 to 17 and to eligible young adults ages 18 to 25 who require outpatient services. The primary focus of the program will be to identify, assess, and treat youth who have both substance abuse and mental health disorders and who are involved or at risk for involvement in the juvenile justice system and criminal justice system, respectively. Such interventions will stabilize crises, reduce mental health symptomology and substance abuse, improve youth and family functioning, and reduce the possibility of future residential care, hospitalization, and/or incarceration.

Door to Hope will provide outpatient mental health services to eligible youth and young adults and their families. Mental health services refer to those individual, family, or group therapies and interventions that are designed to provide reduction of mental disability and improvement and maintenance of functioning consistent with the goals of learning, development, independent living and enhanced self-sufficiency and family functioning. Service activities may include, but are not limited to assessment, plan development, therapy, case management and linkage, rehabilitation, and other collateral therapy.

ICT is built upon the following foundation:

- home-based service delivery,
- integrated mental health and substance abuse services,
- stage-wise treatment,
- motivational interviewing,
- harm reduction approach, and
- focus on resiliency.

ICT will provide age-specific treatment tracts, separating youth age 12 – 17 years and young adults age 18 – 25 years.

III. PROGRAM GOALS

The Integrated Co-occurring Treatment (“ICT”) Program goals of services are:

1. Provide mental health services to eligible youth and their families;
2. Improve the youth’s overall functioning;

3. Reduce acute mental health and substance abuse symptoms;
4. Improve family functioning; and
5. Reduce need for residential care.

IV. PROGRAM OBJECTIVES

1. Establish mental health interventions integrated with alcohol and drug treatment programs using evidence-based practices for 48 children and their families annually;
2. Provide services that incorporate the “full services partnership model” as defined in the requirements for implementation of the Mental Health Services (MHSA) for 6 children and their families annually. This model provides “whatever it takes” to insure progress to goals (i.e. intensive treatment/case management available 24/7);
3. Assure that services are provided in a culturally and linguistically competent manner and setting;
4. Treat adolescents with serious substance abuse problems in addition to their mental health issues;
5. Implement an evidence-based practice, i.e. “Integrated Co-Occurring Treatment Model”;
6. Form a cooperative agreement with the local school system to insure youth continue to attain education credits while involved in treatment;
7. Provide and/or arrange for transportation;
8. Include clients that do not have Medi-Cal;
9. Provide services at locations county wide, and at times convenient for families;
10. Function as sole provider or in collaboration with Behavioral Health and/or other partners; and
11. Demonstrate capacity to bill Medi-Cal and leverage funds.

V. EXPECTED OUTCOMES

1. 75% of referred youth will be engaged in the treatment program.
2. 80% of client/families served are satisfied with the cultural congruency of services provided.
3. 60% of youth served will demonstrate reduction of substance abuse.
4. 60% of youth served will demonstrate improvement in functioning.
5. 60% of families served will demonstrate improvement in functioning.
6. 75% of youth served will not commit crimes and/or re-offend.

VI. TREATMENT SERVICES

1. Modes of Service, Service Function Codes (SFC) and Contracted Units of Service

ICT SERVICE	MODE	SFC	FY 2015-16 EST. UNITS	FY 2016-17 EST. UNITS	FY 2017-18 EST. UNITS
Case Management	15	01	108,333	108,333	108,333
Mental Health Services	15	10, 30,40, 45, 50	189,813	189,813	189,813

2. **Service Delivery Sites:**

Door to Hope
150 Cayuga Street, Suite 3
Salinas, CA 93901

Services will be provided at Door to Hope's offices in Salinas, but will also be available in the youth's natural environment, including the home, the youth's school, and other community sites.

3. **Hours of Operation:**

The ICT Program will be available to clients 24/7. Scheduled services will be made available, whenever possible, at the convenience of the adolescent and his/her family.

VII. POPULATION/CATCHMENT AREA TO BE SERVED

All eligible youth ages 12 to 17 and/or eligible young adults ages 18 to 25 residing in Monterey County.

VIII. FINANCIAL ELIGIBILITY

Monterey County youth, regardless of ability to pay or Medi-Cal eligibility. It is anticipated that more than sixty percent (60%) of clients will have Medi-Cal eligibility.

IX. LIMITATION OF SERVICE/PRIOR AUTHORIZATION

All services will require prior authorization by Monterey County Health Department, Behavioral Health Bureau (BHB).

X. CLIENT DESCRIPTION/CHARACTERISTICS

Populations served are adolescents, ages 12 to 17 and young adults ages 18 to 25 years of age who:

1. Have a significant moderate to severe substance abuse disorder, of either abuse (meets DSM V criteria) or dependence that necessitates intervention; and
2. Have a co-occurring moderate to severe mental health Axis I disorder, (excluding a sole diagnosis of Conduct Disorder or disruptive behavior disorder NOS); and/or
3. Have behavioral disorders that co-occur with other Axis I Mental Health Disorders; and
4. Are medically and psychiatrically stable and able to participate in an active out-program of therapy, counseling, education, and other treatment activities; and
5. Are willing to participate in a home and strength-based program with a duration of 12 - 24 weeks.

XI. LEGAL STATUS

Voluntary or juvenile dependents and wards.

XII. REPORTING REQUIREMENTS

Contractor will meet regularly with the designated Children's BHB Service Manager to monitor progress on client and project outcomes. Contractor will be required to report outcomes data regularly to BHB according to the requirements as set forth by the State Department of Health Care Services (DHCS). BHB will provide to the Contractor the reporting requirements, forms and instructions as required by DHCS and the BHB.

XIII. DESIGNATED CONTRACT MONITOR

Theresa Innis-Scimone
Behavioral Health Services Manager
Monterey County Behavioral Health
1441 Constitution Blvd, Bldg 400 Suite 200
Salinas, CA 93906
(831) 755-5521

**EXHIBIT A:
PROGRAM 3 DESCRIPTION**

I. IDENTIFICATION OF PROVIDER

Door to Hope
130 W. Gabilan Street
Salinas, CA 93901
831 758-0181

II. SERVICE DESCRIPTION: MONTEREY COUNTY SCREENING TEAM FOR ASSESSMENT, REFERRAL, AND TREATMENT (“MCSTART”) PROGRAM NARRATIVE

Door to Hope will provide case management, mental health rehabilitation services and medication support to eligible infants and children. The primary focus of the program will be to identify, assess, refer, and treat children affected by the broad spectrum of developmental, social/emotional, and neurobehavioral disorders caused by prenatal alcohol/drug exposure and/or early childhood trauma. Such interventions will improve the child’s development, improve the child’s health, promote school readiness, improve family functioning, and reduce the possibility of future residential care, out-of-the-home placement, and/or hospitalization.

MCSTART will provide health assessment, outpatient mental health services, and medication management to eligible children and their families. Health assessment and medication support services are provided to ensure these high-risk children are physically healthy and mentally stable. Mental health services refer to those individual, dyadic, family, or group therapies and interventions that are designed to provide reduction of mental disability and improvement and maintenance of functioning consistent with the goals of development, learning, enhanced self-regulation and family functioning. Mental health service activities may include, but are not limited to assessment, plan development, therapy, case management and linkage, rehabilitation, and other collateral therapy.

III. PROGRAM GOALS

The goals of MCSTART’s services are:

1. Increase early intervention and case management to prenatally substance and/or trauma exposed children and their families;
2. Increase the number of high-risk children prepared to enter school ready and able to learn.
3. Increase the number of substance and/or trauma exposed children able to maintain an adequate level of academic capability and social/emotional development during their grade school years.
4. Build community capacity to respond to the needs of substance-exposed children and their families through linkage and service integration.

IV. PROGRAM OBJECTIVES

1. 90% of parents and caregivers will increase the skills of parenting high-risk children who demonstrate challenging behaviors that contribute to a stable, growth-enhancing home life.
2. 90% of children served will receive the screening and early intervention services necessary to improve their level of motor, cognitive, language, and social/emotional development
3. 90% of children served will receive the services necessary to improve age-appropriate self-regulation and reduce challenging behavior
4. 80% of families, either biological, foster, adoptive, or relative caregivers, will be able to access and utilize the services necessary to promote the healthy development of their child(ren).
5. Provide developmental screenings, medical assessments, psycho-social assessments, and/or mental health status reviews for 300 infants or children ages 0-5 years as appropriate to their needs and medical necessity.
6. Provide case management, mental health services, and medication support for up to 50 children ages 6-11 years involved with child welfare with a history of prenatal substance exposure and/or trauma.
7. Provide evidence-based and trauma-informed individual, dyadic, family, and group therapy practices for high-risk children and their families/caregivers to increase their behavioral, emotional, and social well-being.

V. TREATMENT SERVICES

1. Modes of Service, Service Function Codes (SFC) and Contracted Units of Service for **Medi-Cal eligible 0 – 5 clients.**

MCSTART SERVICE 0 - 5	MODE	SFC	FY 2015-16 EST. UNITS	FY 2016-17 EST. UNITS	FY 2017-18 EST. UNITS
Case Management	15	01	336,020	336,020	336,020
Mental Health Services	15	10, 30, 40, 45, 50	120,518	120,518	120,518
Medication Support	15	60	92,716	92,716	92,716

2. Modes of Service, Service Function Codes (SFC) and Contracted Units of Service for **Medi-Cal eligible 6 – 11 clients.**

MCSTART SERVICE 6 - 11	MODE	SFC	FY 2015-16 EST. UNITS	FY 2016-17 EST. UNITS	FY 2017-18 EST. UNITS
Case Management	15	01	35,808	35,808	35,808
Mental Health Services	15	10, 30, 40, 45, 50	158,450	158,450	158,450
Medication Support	15	60	19,045	19,045	19,045

3. **Delivery Site**

Door to Hope
MCSTART Clinic
130 Church Street
Salinas, CA 93901

Services will be provided at the MCSTART Clinic in Salinas, but will also be available in the child's natural environment, including the home and/or the child's school or daycare.

4. **Hours of Operation**

The MCSTART Clinic will operate five (5) days per week, Monday – Friday from 8:00 AM – 6:00 PM. Home visitation services will be made available, whenever possible, at the convenience of the child and his/her family and will be available by appointment in the evenings and weekends.

VI. POPULATION/CATCHMENT AREA TO BE SERVED

All eligible residents of Monterey County who have full scope Medi-Cal will be served. Clients without Medi-Cal eligibility will be served under the Non Medi-Cal eligible program component.

VII. FINANCIAL ELIGIBILITY

Monterey County children that are full scope Medi-Cal or Monterey County children who qualify under the Non Medi-Cal eligible component of the program.

VIII. LIMITATION OF SERVICE/PRIOR AUTHORIZATION

All services will require prior authorization by Monterey County Health Department Behavioral Health Bureau.

IX. CLIENT DESCRIPTION/CHARACTERISTICS

Populations served are:

A. Infants or children, ages 0 to 5 years of age with:

1. Severe social and emotional developmental delays or disturbances; or
2. DC 0-3 disorder of infancy; or
3. Axis I diagnosis indicating mental impairment or behavioral disturbance and substantial impairment.

B. Children ages 6-11 years of age with:

1. Open child welfare case of documented history of child abuse or neglect, or
2. Current qualification for adoption assistance, and
3. Axis I diagnosis indicating mental impairment or behavioral disturbance and substantial impairment.

X. LEGAL STATUS

Voluntary or juvenile dependents and wards.

XI. REPORTING REQUIREMENTS

Contractor will meet regularly with the designated Children’s Behavioral Health Service Manager to monitor progress on client and project outcomes. Contractor will be required to report outcomes data regularly to MCBHB according to the requirements as set forth by the State Department of Health Care Services (DHCS). MCBHB will provide to the Contractor the reporting requirements, forms and instructions as required by DHCS and the MCBHB.

XII. DESIGNATED CONTRACT MONITOR

Dana Edgull
Behavioral Health Services Manager
Monterey County Behavioral Health
951 Blanco Circle, Suite B
Salinas, CA 93901
(831) 796-6110

**EXHIBIT A:
PROGRAM 4 DESCRIPTION**

I. IDENTIFICATION OF PROVIDER

Door to Hope
130 Church St.
Salinas, CA 93901
831-758-0181

II. SERVICE DESCRIPTION: NUEVA ESPERANZA PROGRAM NARRATIVE

Door to Hope opened Nueva Esperanza, a recovery program for women with young children in February of 2002. Nueva Esperanza serves pregnant or parenting women, many of whom are of Hispanic origin, over the age of 18 who are experiencing problems with alcohol and/or other drugs of sufficient severity who need residential care for themselves and their young children.

In July of 2011, Nueva Esperanza modified its primary mission and service delivery system to provide an expanded constellation of mental health services to better meet the needs of the women and the children it serves. Historically all of the women admitted to Nueva Esperanza have co-occurring mental health disorders, i.e. bi-polar, mood, and trauma disorders. Door to Hope and Nueva Esperanza meet the needs of this population through the provision of integrated mental health and substance abuse disorder treatment. Program services now provide strong interventions and practices to treat both substance abuse and mental health disorders during the treatment program.

These services are provided in a warm and comfortable drug-free, non-smoking environment which provides private rooms for each individual family to promote cohesion and autonomy of each family served. Each resident is viewed as a unique individual and each family is seen from a strength-based approach. Due to the comprehensive nature of behavioral health disorders, Nueva Esperanza makes available a complete range of medical, psychological, recovery, dyadic, parenting, and other social services on either a programmatic, consultative, or referral basis.

III. PROGRAM GOALS

The goals of Nueva Esperanza Program services are:

1. Provide counseling interventions designed to increase the woman's readiness and ability to change to treat chronic mental health and substance abuse disorders;
2. Provide education for pregnant women and mothers of young children on the effects of alcohol, drug, and/or trauma exposure on the unborn or young child;
3. Utilize evidence-based practices, including the Matrix Model, Motivational Enhancement, Seeking Safety, CBT, Theraplay, Circle of Security, in treatment of trauma, substance abuse, and mental health disorders to guide/direct the provision of treatment services;

4. Provide a warm, family-like therapeutic environment for women and their young children that is safe, alcohol and drug-free, comfortable, and supportive; and
5. Provide priority admission and services to pregnant and IV-drug using woman.

IV. PROGRAM OBJECTIVES

1. To promote successful program completion and graduation with at least 60% of admissions.
2. To successfully re-unify and resolve child welfare issues with at least 60% of admissions.
3. To assist at-risk children to be physically healthy and emotionally and developmentally prepared to enter kindergarten.
4. To assist 50% of women graduating from the program to be successfully transitioned back to the community in order to be employed and/or enrolled in vocational training.
5. To provide 1,442 residential family bed nights to pregnant or parenting women and their young children.

V. TREATMENT SERVICES

1. Modes of Service, Service Function Codes (SFC) and Contracted Units of Service for Medi-Cal eligible clients:

Adults Units of Service					
NUEVA ESPERANZA SERVICE	MODE	SFC	FY 2015-16 EST. UNITS	FY 2016-17 EST. UNITS	FY 2017-18 EST. UNITS
Case Management	15	01	6,277	6,277	6,277
Mental Health Services	15	10, 30, 40, 45, 50	128,081	128,081	128,081

Children Units of Service					
NUEVA ESPERANZA SERVICE	MODE	SFC	FY 2015-16 EST. UNITS	FY 2016-17 EST. UNITS	FY 2017-18 EST. UNITS
Case Management	15	01	11,766	11,766	11,766
Mental Health Services	15	10, 30, 40, 45, 50	65,002	65,002	65,002

2. Service Delivery Site:

Nueva Esperanza
325 California Street
Salinas, CA 93901

3. Hours of Operation:

The Nueva Esperanza Program operates 24 hours, seven days a week.

VI. POPULATION/CATCHMENT AREA TO BE SERVED

All eligible residents of Monterey County who have full scope Medi-Cal will be served.

VII. FINANCIAL ELIGIBILITY

Monterey County children and their mothers that are full scope Medi-Cal. Contractor shall screen clients for Medi-Cal and CalWORKS eligibility and ensure eligible clients are enrolled in these financial benefits.

VIII. LIMITATION OF SERVICE/PRIOR AUTHORIZATION

All services will require prior authorization by Monterey County Health Department, Behavioral Health Bureau (BHB). Additionally, all services provided must meet medical necessity and be in accordance with a current treatment plan.

IX. CLIENT DESCRIPTION/CHARACTERISTICS

1. Be over the age of 18;
2. Be pregnant; OR
3. Be in custody of or, will have custody within 60 days of a child age five or under; and, if applicable, be a sibling, aged 5-11 years, of that young child and determined to be behaviorally stable and appropriate for admission;
4. Have a significant substance abuse disorder, or either abuse or dependence that necessitates intervention (meets DSM IV-TR criteria);
5. Have a co-occurring mental health Axis I disorder,(excluding a sole diagnosis of Conduct Disorder or disruptive behavior disorder NOS);
6. Be medically and psychiatrically stable and able to participate in an active program of counseling, education and their recovery activities;
7. Be able to parent the young child/children in their custody; and
8. Demonstrate the motivation and willingness to follow all program principles, guidelines, and structure.

X. LEGAL STATUS

Voluntary or juvenile dependents and wards.

XI. REPORTING REQUIREMENTS

Contractor will meet regularly with the designated Children's BHB Service Manager to monitor progress on client and project outcomes. Contractor will be required to report outcomes data regularly to BHB according to the requirements as set forth by the State Department of Health Care Services (DHCS). BHB will provide to the Contractor the reporting requirements, forms and instructions as required by DHCS and the BHB.

XII. DESIGNATED CONTRACT MONITOR

Dana Edgull
Behavioral Health Services Manager
Monterey County Behavioral Health
951 Blanco Circle, Suite B
Salinas, CA 93901
(831) 796-6110

**EXHIBIT A:
PROGRAM 5 DESCRIPTION**

I. IDENTIFICATION OF PROVIDER

Door to Hope
130 W. Gabilan St.
Salinas, CA 93901
831-758-0181

II. SERVICE DESCRIPTION: SANTA LUCIA RESIDENTIAL PARTNERSHIP PROGRAM FOR ADOLESCENT FEMALES PROGRAM NARRATIVE

Door to Hope will provide mental health services to eligible females ages 13 - 17 who require residential care and who are placed out-of-the-home by the Department of Probation or Department of Social Services. The primary focus of the program will be to identify, assess, and treat adolescent females who exhibit the highest level of psychiatric, emotional/behavioral, and co-occurring needs.

Door to Hope will provide mental health services in conjunction with the Behavioral Health Division to eligible adolescent females and their families. Mental health services refer to those individual, family, or group therapies and interventions that are designed to provide reduction of mental disability and improvement and maintenance of functioning consistent with the goals of learning, development, independent living and enhanced self-sufficiency and family functioning. Service programming and services must address such critical needs as: serious emotional disturbance, behavioral dyscontrol, sexual exploitation, involvement with juvenile justice systems, multiple foster care and/or residential placements, high risk parents and/or community, substance abuse, out-of-norm traumas, and populations whose cultural differences have historically excluded them from traditional mental health services. Interventions and activities may include, but are not limited to assessment, plan development, therapy, case management and linkage, rehabilitation, and other collateral therapy.

III. PROGRAM GOALS

The Santa Lucia Residential Program goals of services are:

1. Provide mental health services to eligible youth and their families
2. Improve the youth's overall functioning
3. Reduce acute mental health and substance abuse symptoms;
4. Improve family functioning; and,
5. Reduce need for residential care.

All service goals will comply with the guiding principles of Monterey County's System of Care and will be Family Driven and Youth Guided; Strength Based; Culturally Competent; Community Based; and will Utilize Evidence Based and Best Practice Models and reflect Multi-Agency Collaboration.

IV. SCOPE OF SERVICES

1. Establish mental health interventions integrated with alcohol and drug treatment services using evidence-based practices for an annual residential capacity of 18 youth and their families.
2. Assure services are provided in culturally and linguistically competent manner and setting;
3. Treat adolescents with serious substance abuse problems in addition to their mental health issues;
4. Implement evidence-based practice, i.e. "Integrated Co-Occurring Treatment Model", Seeking Safety, Seven Challenges, Cognitive Behavioral Therapy, Eye Movement Desensitization Reprocessing (EMDR) and Matrix.
5. Maintain residential group home licensure with the Community Care Licensing;
6. Maintain program structure and guidelines with clients 24 hours per day, 7 days of the week.
7. Maintain clear policies and procedures regarding drug testing, medication management, and appropriate safety and security measures for clients;
8. Provide and/or arrange for transportation of clients to various appointments and activities;
9. Function in collaboration with Behavioral Health and/or other partners, including the Probation Department, Department of Social Services, and the Monterey County Office of Education; and
10. Demonstrate capacity to bill Medi-Cal and leverage funds.

V. EXPECTED OUTCOMES

1. 60% of referred youth will be engaged in the treatment program as measured by percentage of clients who stay in the program for at least three (3) months.
2. 30% of referred youth will complete the program as measured by successfully attaining individualized treatment goals.
3. 75% of client/families served are satisfied with the cultural congruency of services provided as measured by the program's client/family satisfaction survey.
4. 60% of youth served will demonstrate reduction of substance abuse as measured by negative toxicology screens and no reported use episodes during their treatment episode.
5. 75% of youth served will demonstrate improvement in functioning as measured by a 10 point or more drop on the problem severity scale of the Ohio Scales taken at the time of admission and at 3-month intervals during the treatment episode.
6. 60% of families served will demonstrate improvement in functioning as measured by an 8 point or more drop on the parent functioning scale of the Ohio Scales taken at the time of admission and at 3-month intervals during the treatment episode.
7. 75% of youth completing treatment will not commit crimes and/or re-offend as measured at 6- and 12-month post-discharge intervals
8. Achievement of the above goals will be reported by DTH on a quarterly basis showing aggregate year to date progress (fiscal year) and quarterly numbers. Reports must be sent to Contract Monitor not later than 15 days after the end of the quarter.

9. Contractor will provide on a quarterly and annual basis tabulated results from the Ohio Scale to demonstrate #5 and #6 above, Contractor will also report on financial information on a quarterly basis.

VI. TREATMENT SERVICES

1. **Modes of Service:** Day Services

2. **Service Delivery Sites:**

Santa Lucia Program
1929 Oxford Court
Salinas, CA 93906

3. **Hours of Operation:**

The Santa Lucia Program operates 24 hours a day, 7 days a week.

Modes of Service, Service Function Codes and Contracted Units of Service per Fiscal Year. There is no limitation on units of service or the mix of units of service other than the maximum contract dollar amount found in Exhibit B of this contract.

SANTA LUCIA SERVICE	MODE	SFC	FY 2015-16 EST. UNITS	FY 2016-17 EST. UNITS	FY 2017-18 EST. UNITS
Case Management	15	01	34,199	34,199	34,199
Mental Health Services	15	10, 30, 40, 45, 50	129,778	129,778	129,778

VII. POPULATION/CATCHMENT AREA TO BE SERVED

All eligible adolescent females age 13 -17 residing in Monterey County

VIII. FINANCIAL ELIGIBILITY

Monterey County residents with full-scope Medi-Cal eligibility. Full scope Medi-Cal eligibility will be determined by Medi-Cal aid code as defined in Title XXI of the Social Security Act and the State Department of Mental Health latest Aid Codes Master Chart. The Chart can be found at the following web URL: <http://www.dhcs.ca.gov/services/mh/Pages/MedCCC-Library.aspx>

The contractor must monitor referrals and verify Medi-Cal eligibility for each client referred by checking on the website: <https://www.medi-cal.ca.gov/Eligibility/Login.asp>.

Any discrepancies of Medi-Cal eligibility must be communicated immediately to the Contract Monitor and resolved. Services provided to non Medi-Cal eligible children will not be reimbursed to contractor unless the Assistant Director of Behavioral Health has approved for these services in writing.

IX. SERVICE CHARGE ENTRY, ADMISSION AND DISCHARGES

The contractor will be responsible for entering into the AVATAR system, within 72 hours of occurrence, Client Information System (CSI) Admission and Discharges and entering services provided.

X. LIMITATION OF SERVICE / PRIOR AUTHORIZATION

All services will require prior authorization by Monterey County Behavioral Health.

XI. CLIENT DESCRIPTION / CHARACTERISTICS

Populations served are adolescent females, ages 13 to 17 years of age with:

- A. Severe emotional and/or behavioral disturbances; or
- B. Axis I diagnosis indicating mental impairment or behavioral disturbance and co-occurring substance abuse.

XII. LEGAL STATUS

Voluntary or juvenile dependents and wards

XIII. REPORTING REQUIREMENTS

Contractor will meet regularly with the designated Children's Behavioral Health Service Manager to monitor progress on client and project outcomes. Contractor will be required to report outcomes data regularly to Monterey County Behavioral Health Bureau (MCBHB) according to the requirements as set forth by the State Department of Health Care Services (DHCS). MCBH will provide to the Contractor the reporting requirements, forms and instructions as required by DHCS and the MCBHB.

Achievement of outcomes outlined in letter D "expected outcomes" will be reported by Door to Hope on a quarterly basis showing aggregate year to date progress (fiscal year) and quarterly numbers. Reports must be sent to Contract Monitor no later than 15 days after the end of the quarter.

****All reporting requirements are based upon current standards that are subject to change due to Monterey County Behavioral Health's use of the Electronic Medical Records System. CONTRACTOR shall be notified should a change occur.**

XIV. DESIGNATED CONTRACT MONITOR

Theresa Innis-Scimone
Behavioral Health Services Manager
Monterey County Behavioral Health
1441 Constitution Blvd. Bldg. 400, Suite 200
Salinas, CA 93906
(831) 755-5521

**EXHIBIT B:
PAYMENT AND BILLING PROVISIONS**

I. PAYMENT TYPES

Provisional Rates and Cash Flow Advances (CFA)

II. PAYMENT AUTHORIZATION FOR SERVICES

The COUNTY'S commitment to authorize reimbursement to the CONTRACTOR for services as set forth in this Exhibit B is contingent upon COUNTY authorized admission and service, and CONTRACTOR'S commitment to provide care and services in accordance with the terms of this Agreement.

III. PAYMENT RATE

A. PROVISIONAL RATE: COUNTY MAXIMUM REIMBURSEMENT (CMA)

Case Management, Mental Health Services, and Medication Support shall be paid at the County Maximum Reimbursement (CMA) rates, which are provisional and subject to all the cost report conditions as set forth in this Exhibit B.

- See the following pages for Provisional Rates and Funding Source Tables

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The total program services will be paid in arrears, not to exceed the CMA rates for a total maximum of \$4,467,348 for FY 2015-16 through FY 2017-18.

Door To Hope: Provisional Rates for FY 2015-16							
Service Description	Avatar Program Code & Description	Mode of Service	Service Function Code	FY 2015-16 Units of Service (est.)	Estimated Total CMA Rate per Unit of Service (\$FY 2015-16)		Estimated Total FY 2015-16
Families First & Foremost and Expansion – CM & MHS	CCCSOC: DTH Families First and Foremost & CCCSOCAC: DTH Families First Foremost/AC	15	01	75,029	CM	2.27	170,316
			10, 30, 45	108,741	MHS	2.93	318,611
Integrated Co-Occurring Treatment – CM & MHS	BVCSOCSDV: DTH Co-occurring Disorder SD, BVCSOCFSP: DTH Co-occurring Disorder FSP	15	01	108,333	CM	2.27	245,917
			10, 30, 40, 45	189,813	MHS	2.93	556,153
MCSTART 0-5 – CM, MHS & MS	BUCSOC: DTH MCSTART	15	01	336,020	CM	2.27	762,766
			10, 30, 40, 45	120,518	MHS	2.93	353,119
			60	92,716	MS	5.42	502,521
MCSTART 6-11 – CM, MHS & MS	BUCSOC2: DTH MCSTART 6-11 & BUCSOCDSSES: DTH MCSTART 6-11 DSES	15	01	35,808	CM	2.27	81,283
			10, 30, 40, 45	158,450	MHS	2.93	464,260
			60	19,045	MS	5.42	103,225
Nueva Esperanza – CM & MHS	27CX: DTH Nueva Esperanza	15	01	18,044	CM	2.27	40,959
			10, 30, 40, 45	193,083	MHS	2.93	565,732
Santa Lucia – CM & MHS	CDCSOC: DTH Santa Lucia	15	01-09	34,199	CM	2.27	77,632
			10-19	129,778	MHS	2.93	380,249
TOTAL ANNUAL AMOUNT PER FY							\$4,622,743
Less Contractor Match Amount Per FY							(\$155,395)
TOTAL MAXIMUM COUNTY ANNUAL AMOUNT FOR FY 2015-16							\$4,467,348

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Door To Hope: Provisional Rates for FY 2016-17							
Service Description	Avatar Program Code & Description	Mode of Service	Service Function Code	FY 2016-17 Units of Service (est.)	Estimated Total CMA Rate per Unit of Service (\$FY 2016-17)		Estimated Total FY 2016-17
Families First & Foremost and Expansion – CM & MHS	CCCSOC: DTH Families First and Foremost & CCCSOCAC: DTH Families First Foremost/AC	15	01	75,029	CM	2.27	170,316
			10, 30, 45	108,741	MHS	2.93	318,611
Integrated Co-Occurring Treatment – CM & MHS	BVCSOCSDV: DTH Co-occurring Disorder SD, BVCSOCFSP: DTH Co-occurring Disorder FSP	15	01	108,333	CM	2.27	245,917
			10, 30, 40, 45	189,813	MHS	2.93	556,153
MCSTART 0-5 – CM, MHS & MS	BUCSOC: DTH MCSTART	15	01	336,020	CM	2.27	762,766
			10, 30, 40, 45	120,518	MHS	2.93	353,119
			60	92,716	MS	5.42	502,521
MCSTART 6-11 – CM, MHS & MS	BUCSOC2: DTH MCSTART 6-11 & BUCSOC2SES: DTH MCSTART 6-11 DSES	15	01	35,808	CM	2.27	81,283
			10, 30, 40, 45	158,450	MHS	2.93	464,260
			60	19,045	MS	5.42	103,225
Nueva Esperanza – CM & MHS	27CX: DTH Nueva Esperanza	15	01	18,044	CM	2.27	40,959
			10, 30, 40, 45	193,083	MHS	2.93	565,732
Santa Lucia – CM & MHS	CDCSOC: DTH Santa Lucia	15	01-09	34,199	CM	2.27	77,632
			10-19	129,778	MHS	2.93	380,249
TOTAL ANNUAL AMOUNT PER FY							\$4,622,743
Less Contractor Match Amount Per FY							(\$155,395)
TOTAL MAXIMUM COUNTY ANNUAL AMOUNT FOR FY 2016-17							\$4,467,348

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Door To Hope: Provisional Rates for FY 2017-18							
Service Description	Avatar Program Code & Description	Mode of Service	Service Function Code	FY 2017-18 Units of Service (est.)	Estimated Total CMA Rate per Unit of Service (\$FY 2017-18)		Estimated Total FY 2017-18
Families First & Foremost and Expansion – CM & MHS	CCCSOC: DTH Families First and Foremost & CCCSOCAC: DTH Families First Foremost/AC	15	01	75,029	CM	2.27	170,316
			10, 30, 45	108,741	MHS	2.93	318,611
Integrated Co-Occurring Treatment – CM & MHS	BVCSOCSDV: DTH Co-occurring Disorder SD, BVCSOCFSP: DTH Co-occurring Disorder FSP	15	01	108,333	CM	2.27	245,917
			10, 30, 40, 45	189,813	MHS	2.93	556,153
MCSTART 0-5 – CM, MHS & MS	BUCSOC: DTH MCSTART	15	01	336,020	CM	2.27	762,766
			10, 30, 40, 45	120,518	MHS	2.93	353,119
			60	92,716	MS	5.42	502,521
MCSTART 6-11 – CM, MHS & MS	BUCSOC2: DTH MCSTART 6-11 & BUCSOCDSSES: DTH MCSTART 6-11 DSES	15	01	35,808	CM	2.27	81,283
			10, 30, 40, 45	158,450	MHS	2.93	464,260
			60	19,045	MS	5.42	103,225
Nueva Esperanza – CM & MHS	27CX: DTH Nueva Esperanza	15	01	18,044	CM	2.27	40,959
			10, 30, 40, 45	193,083	MHS	2.93	565,732
Santa Lucia – CM & MHS	CDCSOC: DTH Santa Lucia	15	01-09	34,199	CM	2.27	77,632
			10-19	129,778	MHS	2.93	380,249
TOTAL ANNUAL AMOUNT PER FY							\$4,622,743
Less Contractor Match Amount Per FY							(\$155,395)
TOTAL MAXIMUM COUNTY ANNUAL AMOUNT FOR FY 2017-18							\$4,467,348

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B. FUNDING MATCH SOURCES

ANNUAL Maximum Match Amount/Liability Breakdown for FY 2015-16 thru FY 2017-18							
Program Description	Units of Service	FFP/Medi-Cal	EPSDT	MHSA/CSS	Other DSS	Other Contractor Match	Total County Maximum Funding Per Program
Families First & Foremost and Expansion	183,770	244,464	195,571	-	15,000	33,893	488,927
Integrated Co-Occurring Treatment	298,147	240,621	192,497	368,952	-	-	802,069
MCSTART 0-5	549,255	787,603	630,083	79,218	-	121,502	1,618,406
MCSTART 6-11	213,303	324,384	259,507	34,877	30,000	-	648,768
Nueva Esperanza	211,126	303,346	86,866	196,479	20,000	-	606,692
Santa Lucia	163,977	228,941	183,152	45,788	-	-	457,881
TOTALS	1,619,578	2,129,358	1,547,676	725,314	65,000	155,395	\$4,622,743
Less Contractor Match Amount Per FY							(\$155,395)
TOTAL MAXIMUM COUNTY ANNUAL AMOUNT FOR EACH FISCAL YEAR							\$4,467,348

C. MATCH REQUIREMENTS

1. FFF EXPANSION PROGRAM

CONTRACTOR shall provide a funding match estimated at **\$33,893** for each Fiscal Year during the term of this Agreement. The match requirement for the FFF Expansion program services will be calculated on a monthly basis using the total amount of services provided during the invoice month and multiplied by ten percent (10%).

2. MCSTART 0 – 5 PROGRAM

CONTRACTOR shall provide a funding match not to exceed **\$121,502** for each Fiscal Year during the term of this Agreement. The match requirement for MCSTART 0 – 5 program services will be calculated on a monthly basis, using the total amount of services provided during the invoice month and multiplied by ten percent (10%).

IV. PAYMENT CONDITIONS

- A. If CONTRACTOR is seeking reimbursement for eligible services funded by the Short-Doyle/Medi-Cal, Mental Health Services Act (“MHSA”), SB 90, Federal or State Grants, and/or COUNTY funds provided pursuant to this Agreement, reimbursement for such services shall be based on actual cost of providing those services less any deductible revenues collected by the CONTRACTOR from other payer sources. In order to reduce COUNTY costs, the CONTRACTOR shall comply with all applicable provisions of the California Welfare and Institutions Code (WIC),

the California Code of Regulations, the Code of Federal Regulations, and the federal Social Security Act related to reimbursements by non-County and non-State sources, including, but not limited to, collecting reimbursements for services from clients (which shall be the same as patient fees established pursuant to WIC section 5710) and from private or public third-party payers.

CONTRACTOR shall not claim reimbursement from COUNTY for (or apply sums received from COUNTY with respect to) that portion of its obligations which has been paid by another source of revenue. If CONTRACTOR is seeking reimbursement for mental health services provided pursuant to this Agreement, reimbursement for such services shall be based upon the actual allowable costs of providing those services less any deductible revenues, as stated above. Notwithstanding any other provision of this Agreement, in no event may CONTRACTOR request a rate that exceeds the COUNTY'S Maximum Allowances (CMA). CONTRACTOR shall be responsible for costs that exceed applicable CMAs. In no case shall payments to CONTRACTOR exceed CMAs. In addition to the CMA limitation, in no event shall the maximum reimbursement that will be paid by COUNTY to CONTRACTOR under this Agreement for any Program Amount be more than the amount identified for each Program Amount for each Funded Program, as identified in this Exhibit B, Section III. Said amounts shall be referred to as the "Maximum Obligation of County," as identified in this Exhibit B, Section V.

- B. To the extent a recipient of services under this Agreement is eligible for coverage under Short-Doyle/Medi-Cal or Medicaid or Medicare or any other Federal or State funded program ("an eligible beneficiary"), CONTRACTOR shall ensure that services provided to eligible beneficiaries are properly identified and claimed to the Funded Program responsible for such services to said eligible beneficiaries. For the Short-Doyle/Medi-Cal Funded Program, CONTRACTOR assumes fiscal responsibility for services provided to all individuals who do not have full-scope Medi-Cal or are not Medi-Cal eligible during the term of this Agreement.
- C. CONTRACTOR shall be responsible for delivering services to the extent that funding is provided by the COUNTY. To the extent that CONTRACTOR does not have funds allocated in the Agreement for a Funded Program that pays for services to a particular eligible beneficiary, CONTRACTOR shall, at the first opportunity, refer said eligible beneficiary to another CONTRACTOR or COUNTY facility within the same geographic area to the extent feasible, which has available funds allocated for that Funded Program.
- D. In order to receive any payment under this Agreement, CONTRACTOR shall submit reports and claims in such form as General Ledger, Payroll Report and other accounting documents as needed, and as may be required by the County of Monterey Department of Health, Behavioral Health Bureau. Specifically, CONTRACTOR shall submit its claims on Cost Reimbursement Invoice Form provided as Exhibit G, to this Agreement, along with backup documentation, on a monthly basis, to COUNTY so as to reach the Behavioral Health Bureau no later than the thirtieth (30th) day of the

month following the month of service. See Section III, above, for payment amount information to be reimbursed each fiscal year period of this Agreement. The amount requested for reimbursement shall be in accordance with the approved budget and shall not exceed the actual net costs incurred for services provided under this Agreement.

CONTRACTOR shall submit via email a monthly claim using Exhibit G, Cost Reimbursement Invoice Form in Excel format with electronic signature along with supporting documentations, as may be required by the COUNTY for services rendered to: MCHDBHFinance@co.monterey.ca.us

- E. CONTRACTOR shall submit all claims for reimbursement under this Agreement within thirty (30) calendar days after the termination or end date of this Agreement. All claims not submitted after thirty (30) calendar days following the termination or end date of this Agreement shall not be subject to reimbursement by the COUNTY. Any claim(s) submitted for services that preceded thirty (30) calendar days prior to the termination or end date of this Agreement may be disallowed, except to the extent that such failure was through no fault of CONTRACTOR. Any "obligations incurred" included in claims for reimbursements and paid by the COUNTY which remain unpaid by the CONTRACTOR after thirty (30) calendar days following the termination or end date of this Agreement shall be disallowed, except to the extent that such failure was through no fault of CONTRACTOR under audit by the COUNTY.
- F. If CONTRACTOR fails to submit claim(s) for services provided under the terms of this Agreement as described above, the COUNTY may, at its sole discretion, deny payment for that month of service and disallow the claim.
- G. COUNTY shall review and certify CONTRACTOR'S claim either in the requested amount or in such other amount as COUNTY approves in conformity with this Agreement, and shall then submit such certified claim to the COUNTY Auditor. The County Auditor-Controller shall pay the amount certified within thirty (30) calendar days of receiving the certified invoice.
- H. To the extent that the COUNTY determines CONTRACTOR has improperly claimed services to a particular Program Amount, COUNTY may disallow payment of said services and require CONTRACTOR to resubmit said claim of services for payment from the correct Program Amount, or COUNTY may make corrective accounting transactions to transfer the payment of the services to the appropriate Program Amount.
- I. If COUNTY certifies payment at a lesser amount than the amount requested COUNTY shall immediately notify the CONTRACTOR in writing of such certification and shall specify the reason for it. If the CONTRACTOR desires to contest the certification, the CONTRACTOR must submit a written notice of protest to the COUNTY within twenty (20) calendar days after the CONTRACTOR'S

receipt of the COUNTY notice. The parties shall thereafter promptly meet to review the dispute and resolve it on a mutually acceptable basis. No court action may be taken on such a dispute until the parties have met and attempted to resolve the dispute in person.

V. MAXIMUM OBLIGATION OF COUNTY

- A. Subject to the limitations set forth herein, COUNTY shall pay to CONTRACTOR during the term of this Agreement a maximum amount of **\$4,467,348** for services rendered under this Agreement.
- B. Maximum Annual Liability:

SERVICE DESCRIPTION	FY 2015-16 ANNUAL AMOUNT	FY 2016-17 ANNUAL AMOUNT	FY 2017-18 ANNUAL AMOUNT	Program Total
Families First and Foremost (FFF)	\$455,034	\$455,034	\$455,034	\$1,365,102
Integrated Co-Occurring Treatment (ICT) Program	\$802,069	\$802,069	\$802,069	\$2,406,208
Monterey County Screening Team For Assessment, Referral, And Treatment ("MCSTART") Program (Medi-Cal Eligible) 0 - 5	\$1,496,904	\$1,496,904	\$1,496,904	\$4,490,712
Monterey County Screening Team For Assessment, Referral, And Treatment ("MCSTART") Program (Medi-Cal Eligible) 6 - 11	\$648,768	\$648,768	\$648,768	\$1,946,304
Nueva Esperanza Program Adults and Children	\$606,692	\$606,692	\$606,692	\$1,820,076
Santa Lucia Program	\$457,881	\$457,881	\$457,881	\$1,373,643
TOTAL AGREEMENT MAXIMUM COUNTY OBLIGATION PER FISCAL YEAR	\$4,467,348	\$4,467,348	\$4,467,348	\$13,402,045

- C. If, as of the date of signing this Agreement, CONTRACTOR has already received payment from COUNTY for services rendered under this Agreement, such amount shall be deemed to have been paid out under this Agreement and shall be counted towards COUNTY'S maximum liability under this Agreement.
- D. If for any reason this Agreement is canceled, COUNTY'S maximum liability shall be the total utilization to the date of cancellation not to exceed the maximum amount listed above.

- E. As an exception to Section D. above with respect to the Survival of Obligations after Termination, COUNTY, any payer, and CONTRACTOR shall continue to remain obligated under this Agreement with regard to payment for services required to be rendered after termination.

VI. BILLING AND PAYMENT LIMITATIONS

- A. Provisional Payments: COUNTY payments to CONTRACTOR for performance of eligible services hereunder are provisional until the completion of all settlement activities and audits, as such payments are subject to future Federal, State and/or COUNTY adjustments. COUNTY adjustments to provisional payments to CONTRACTOR may be based upon COUNTY'S claims processing information system data, State adjudication of Medi-Cal and Healthy Families claims files, contractual limitations of this Agreement, annual cost and MHSA reports, application of various Federal, State, and/or COUNTY reimbursement limitations, application of any Federal, State, and/or COUNTY policies, procedures and regulations, and/or Federal, State, or COUNTY audits, all of which take precedence over monthly claim reimbursements.
- B. Allowable Costs: Allowable costs shall be the CONTRACTOR'S actual costs of developing, supervising and delivering the services under this Agreement, as set forth in the Budget provided in Exhibit H. Only the costs listed in Exhibit H of this Agreement as contract expenses may be claimed as allowable costs. Any dispute over whether costs are allowable shall be resolved in accordance with the provisions of applicable Federal, State and COUNTY regulations.
- C. Cost Control: CONTRACTOR shall not exceed by more than twenty (20%) percent any contract expense line item amount in the budget without the written approval of COUNTY, given by and through the Contract Administrator or Contract Administrator's designee. CONTRACTOR shall submit an amended budget using Exhibit H, or on a format as required by the COUNTY, with its request for such approval. Such approval shall not permit CONTRACTOR to receive more than the maximum total amount payable under this Agreement. Therefore, an increase in one line item shall require corresponding decreases in other line items.
- D. Other Limitations for Certain Funded Programs: In addition to all other limitations provided in this Agreement, reimbursement for services rendered under certain Funded Programs may be further limited by rules, regulations and procedures applicable only to that Funded Program. CONTRACTOR shall be familiar with said rules, regulations and procedures and submit all claims in accordance therewith.
- E. Adjustment of Claims Based on Other Data and Information: The COUNTY shall have the right to adjust claims based upon data and information that may include, but are not limited to, COUNTY'S claims processing information system reports, remittance advices, State adjudication of Medi-Cal claims, and billing system data.

VII. LIMITATION OF PAYMENTS BASED ON FUNDING AND BUDGETARY RESTRICTIONS

- A. This Agreement shall be subject to any restrictions, limitations, or conditions imposed by State which may in any way affect the provisions or funding of this Agreement, including, but not limited to, those contained in State's Budget Act.
- B. This Agreement shall also be subject to any additional restrictions, limitations, or conditions imposed by the Federal government which may in any way affect the provisions or funding of this Agreement.
- C. In the event that the COUNTY'S Board of Supervisors adopts, in any fiscal year, a COUNTY Budget which provides for reductions in COUNTY Agreements, the COUNTY reserves the right to unilaterally reduce its payment obligation under this Agreement to implement such Board reductions for that fiscal year and any subsequent fiscal year during the term of this Agreement, correspondingly. The COUNTY'S notice to the CONTRACTOR regarding said reduction in payment obligation shall be provided within thirty (30) calendar days of the Board's approval of such action.
- D. Notwithstanding any other provision of this Agreement, COUNTY shall not be obligated for CONTRACTOR'S performance hereunder or by any provision of this Agreement during any of COUNTY'S current or future fiscal year(s) unless and until COUNTY'S Board of Supervisors appropriates funds for this Agreement in COUNTY'S Budget for each such fiscal year. In the event funds are not appropriated for this Agreement, then this Agreement shall terminate as of June 30 of the last fiscal year for which funds were appropriated. COUNTY shall notify CONTRACTOR of any such non-appropriation of funds at the earliest possible date and the services to be provided by the CONTRACTOR under this Agreement shall also be reduced or terminated.

VIII. BILLING PROCEDURES AND LIMITATIONS ON COUNTY'S FINANCIAL RESPONSIBILITY FOR PAYMENT OF SERVICES UNDER FEDERAL SOCIAL SECURITY ACT, TITLE XIX SHORT-DOYLE/MEDI-CAL SERVICES AND/OR TITLE XXI HEALTHY FAMILIES

The Short-Doyle/Medi-Cal (SD/MC) claims processing system enables California county Mental Health Plans (MHPs) to obtain reimbursement of Federal funds for medically necessary specialty mental health services provided to Medi-Cal-eligible beneficiaries and to Healthy Families subscribers diagnosed as Seriously Emotionally Disturbed (SED). The Mental Health Medi-Cal program oversees the SD/MC claims processing system. Authority for the Mental Health Medi-Cal program is governed by Federal and California statutes.

- A. If, under this Agreement, CONTRACTOR has Funded Programs that include Short-Doyle/Medi-Cal services and/or Healthy Families services, CONTRACTOR shall

certify in writing annually, by August 1 of each year, that all necessary documentation shall exist at the time any claims for Short-Doyle/Medi-Cal services and/or Healthy Families services are submitted by CONTRACTOR to COUNTY.

CONTRACTOR shall be solely liable and responsible for all service data and information submitted by CONTRACTOR.

- B. CONTRACTOR acknowledges and agrees that the COUNTY, in under taking the processing of claims and payment for services rendered under this Agreement for these Funded Programs, does so as the Mental Health Plan for the Federal, State and local governments.
- C. CONTRACTOR shall submit to COUNTY all Short-Doyle/Medi-Cal, and/or Healthy Families claims or other State required claims data within the thirty (30) calendar day time frame(s) as prescribed by this Agreement to allow the COUNTY to meet the time frames prescribed by the Federal and State governments. COUNTY shall have no liability for CONTRACTOR'S failure to comply with the time frames established under this Agreement and/or Federal and State time frames, except to the extent that such failure was through no fault of CONTRACTOR.
- D. COUNTY, as the Mental Health Plan, shall submit to the State in a timely manner claims for Short-Doyle/Medi-Cal services, and/or Healthy Families services only for those services/activities identified and entered into the COUNTY'S claims processing information system which are compliant with Federal and State requirements. COUNTY shall make available to CONTRACTOR any subsequent State approvals or denials of such claims upon request by the CONTRACTOR.
- E. CONTRACTOR acknowledges and agrees that COUNTY'S final payment for services and activities claimed by CONTRACTOR Short-Doyle/Medi-Cal services and/or Healthy Families services is contingent upon reimbursement from the Federal and State governments and that COUNTY'S provisional payment for said services does not render COUNTY in any way responsible for payment of, or liable for, CONTRACTOR'S claims for payment for these services.
- F. CONTRACTOR'S ability to retain payment for such services and/or activities is entirely dependent upon CONTRACTOR'S compliance with all laws and regulations related to same.
- G. Notwithstanding any other provision of this Agreement, CONTRACTOR shall hold COUNTY harmless from and against any loss to CONTRACTOR resulting from the denial or disallowance of claim(s) for or any audit disallowances related to said services, including any State approved Title XIX Short-Doyle/Medi-Cal and/or Medi-Cal Administrative Activities, and/or Title XXI Healthy Families services/activities, by the Federal, State or COUNTY governments, or other applicable payer source, unless the denial or disallowance was due to the fault of the COUNTY.

- H. CONTRACTOR shall repay to COUNTY the amount paid by COUNTY to CONTRACTOR for Title XIX Short-Doyle/Medi-Cal and/or Medi-Cal Administrative Activities, and/or Title XXI Healthy Families services/ activities subsequently denied or disallowed by Federal, State and/or COUNTY government.
- I. Notwithstanding any other provision of this Agreement, CONTRACTOR agrees that the COUNTY may offset future payments to the CONTRACTOR and/or demand repayment from CONTRACTOR when amounts are owed to the COUNTY pursuant to Subparagraphs G. and H. above. Such demand for repayment and CONTRACTOR'S repayment shall be in accordance with Exhibit I, Section IV (Method of Payments for Amounts Due to County) of this Agreement.
- J. CONTRACTOR shall comply with all written instructions provided to CONTRACTOR by the COUNTY, State or other applicable payer source regarding claiming and documentation.
- K. Nothing in this Section VIII shall be construed to limit CONTRACTOR'S rights to appeal Federal and State settlement and/or audit findings in accordance with the applicable Federal and State regulations.

IX. PATIENT/CLIENT ELIGIBILITY, UMDAP FEES, THIRD PARTY REVENUES, AND INTEREST

- A. CONTRACTOR shall comply with all Federal, State and COUNTY requirements and procedures relating to:
 - 1. The determination and collection of patient/client fees for services hereunder based on the Uniform Method of Determining Payment (UMDAP), in accordance with the State Department of Health Care Services guidelines and WIC sections 5709 and 5710.
 - 2. The eligibility of patients/clients for Short-Doyle/Medi-Cal, Medicaid, Medicare, private insurance, or other third party revenue, and the collection, reporting and deduction of all patient/client and other revenue for patients/clients receiving services hereunder. CONTRACTOR shall pursue and report collection of all patient/client and other revenue.
- B. All fees paid by patients/clients receiving services under this Agreement and all fees paid on behalf of patients/clients receiving services hereunder shall be utilized by CONTRACTOR only for the delivery of mental health service/activities specified in this Agreement.
- C. CONTRACTOR may retain unanticipated program revenue, under this Agreement, for a maximum period of one Fiscal Year, provided that the unanticipated revenue is utilized for the delivery of mental health services/activities specified in this Agreement. CONTRACTOR shall report the expenditures for the mental health

services/activities funded by this unanticipated revenue in the Annual Report(s) and Cost Report Settlement submitted by CONTRACTOR to COUNTY.

- D. CONTRACTOR shall not retain any fees paid by any sources for, or on behalf of, Medi-Cal beneficiaries without deducting those fees from the cost of providing those mental health services for which fees were paid.
- F. CONTRACTOR may retain any interest and/or return which may be received, earned or collected from any funds paid by COUNTY to CONTRACTOR, provided that CONTRACTOR shall utilize all such interest and return only for the delivery of mental health services/activities specified in this Agreement.
- G. Failure of CONTRACTOR to report in all its claims and in its Annual Report(s) and Cost Report Settlement all fees paid by patients/clients receiving services hereunder, all fees paid on behalf of patients/clients receiving services hereunder, all fees paid by third parties on behalf of Medi-Cal beneficiaries receiving services and/or activities hereunder, and all interest and return on funds paid by COUNTY to CONTRACTOR, shall result in:
 - 1. CONTRACTOR'S submission of a revised claim statement and/or Annual Report(s) and Cost Report Settlement showing all such non-reported revenue.
 - 2. A report by COUNTY to State of all such non-reported revenue including any such unreported revenue paid by any sources for or on behalf of Medi-Cal beneficiaries and/or COUNTY'S revision of the Annual Report(s).
 - 3. Any appropriate financial adjustment to CONTRACTOR'S reimbursement.

X. CASH FLOW ADVANCE IN EXPECTATION OF SERVICES/ ACTIVITIES TO BE RENDERED OR FIXED RATE PAYMENTS

- A. The Maximum Contract Amount for each period of this Agreement includes Cash Flow Advance (CFA) or fixed rate payments which is an advance of funds to be repaid by CONTRACTOR through the provision of appropriate services/activities under this Agreement during the applicable period.
- B. For each month of each period of this Agreement, COUNTY shall reimburse CONTRACTOR based upon CONTRACTOR'S submitted claims for rendered services/activities subject to claim edits, and future settlement and audit processes.
- C. CFA shall consist of, and shall be payable only from, the Maximum Contract Amount for the particular fiscal year in which the related services are to be rendered and upon which the request(s) is (are) based.
- D. CFA is intended to provide cash flow to CONTRACTOR pending CONTRACTOR'S rendering and billing of eligible services/activities, as identified in this Exhibit B, Sections III. and V., and COUNTY payment thereof. CONTRACTOR may request each monthly Cash Flow Advance only for such services/activities and only to the

extent that there is no reimbursement from any public or private sources for such services/activities.

- E. Cash Flow Advance (CFA) Invoice. For each month for which CONTRACTOR is eligible to request and receive a CFA, CONTRACTOR must submit to the COUNTY an invoice of a CFA in a format that is in compliance with the funding source and the amount of CFA CONTRACTOR is requesting. In addition, the CONTRACTOR must submit supporting documentation of expenses incurred in the prior month to receive future CFAs.
- F. Upon receipt of the Invoice, COUNTY, shall determine whether to approve the CFA and, if approved, whether the request is approved in whole or in part.
- G. If a CFA is not approved, COUNTY will notify CONTRACTOR within ten (10) business days of the decision, including the reason(s) for non-approval. Thereafter, CONTRACTOR may, within fifteen (15) calendar days, request reconsideration of the decision.
- H. Year-end Settlement. CONTRACTOR shall adhere to all settlement and audit provisions specified in Exhibit I, of this Agreement, for all CFAs received during the fiscal year.
- I. Should CONTRACTOR request and receive CFAs, CONTRACTOR shall exercise cash management of such CFAs in a prudent manner.

X. AUTHORITY TO ACT FOR THE COUNTY

The Director of the Health Department of the County of Monterey may designate one or more persons within the County of Monterey for the purposes of acting on his/her behalf to implement the provisions of this Agreement. Therefore, the term "Director" in all cases shall mean "Director or his/her designee."

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EXHIBIT C:

CONFIDENTIALITY OF PATIENT INFORMATION

Confidentiality of Patient Information and Records. All patient information and records are confidential. CONTRACTOR shall maintain the confidentiality of all patient records, including billings and computerized records, in accordance with all state and federal law relating to confidentiality of patient records and patient information, including but not limited to: Welfare and Institutions Code sections 5328, *et seq.*, 14100.2, and 10850, *et seq.*; Title 45 Code of Federal Regulations section 205.50, and Title 42, CFR, section 431.300 *et seq.*

"Patient information" or "confidential information" includes any patient/recipient of services identifying information including, but not limited to: name, identifying numbers, symbol, fingerprint, photograph or voice print. In addition, "patient information" or "confidential information" includes all information CONTRACTOR has obtained about a patient/recipient of services whether or not a documentary record of such information exists.

Use and Disclosure of Patient Information. Confidential information gained by CONTRACTOR from access to records and from contact with patients/recipients of service and complainants shall be used by CONTRACTOR only in connection with its performance under this Agreement. CONTRACTOR shall not disclose patient records or information, including the identities of patients/recipients of service, without proper consent to such disclosure or a court order requiring disclosure. In addition, CONTRACTOR shall obtain COUNTY's authorization to such disclosure prior to any release of confidential information. The COUNTY, through the Behavioral Health Director, shall have access to such confidential information.

Penalty for Unauthorized Disclosure. CONTRACTOR understands that disclosure of patient information in violation of law may subject the party releasing the information to a minimum of \$10,000 in civil damages, as set forth in Welfare and Institutions Code Section 5330.

Duty to Warn. CONTRACTOR understands that persons providing services under this Agreement may, in certain situations involving a patient or recipient of services who is a danger to himself or others, have a duty to warn third parties of such danger and should consult supervisory staff and/or legal counsel about such duty to warn as appropriate.

Dissemination of these Confidentiality Provisions. CONTRACTOR shall inform all its officers, employees, agents, and subcontractors providing services hereunder of these provisions.

By my signature below, as the authorized representative of the CONTRACTOR named below, I certify acceptance and understanding for myself and the CONTRACTOR of the above confidentiality provisions.

	DOOR TO HOPE
	_____ Business Name of Contractor
	Chris Shannon
	_____ Name of Authorized Representative (printed)
	Executive Director
	_____ Title of Authorized Representative
 _____ Signature of Authorized Representative	
4/27/15 _____ Date	

**EXHIBIT D:
ASSURANCE OF COMPLIANCE WITH
SECTION 504 OF THE REHABILITATION ACT OF 1973, AS AMENDED**

CONTRACTOR hereby agrees that it will comply with: (1) Section 504 of the Rehabilitation Act of 1973, as amended (29. U.S.C. 794), (2) all requirements imposed by the applicable HHS Regulations (45 C.F.R. Part 84) and, (3) all guidelines and interpretations issued pursuant thereto.

Pursuant to Section 84.5(a) of the Regulation (45 C.F.R. 84.5a) CONTRACTOR gives this Assurance in consideration of and for the purpose of obtaining any and all federal grants, loans, contracts (except procurement contracts and contracts of insurance or guaranty), property, discounts or other federal financial assistance extended after the date of this Assurance, including payments or other assistance made after such date on applications for federal financial assistance which will be extended in reliance on the representations and agreements made in this Assurance. The United States will have the right to enforce this Assurance through lawful means. This Assurance is binding on CONTRACTOR, its successors, transferees and assignees. The person or persons whose signatures appear below are authorized to sign this Assurance on behalf of CONTRACTOR.

This Assurance obligates CONTRACTOR for the period during which federal financial assistance is extended or, where the assistance is in the form of real or personal property, for the period provided for in section 84.5(b) of the Regulations (45 C.F.R. 84.5b).

In addition, CONTRACTOR gives this assurance for the purpose of obtaining payment from the COUNTY under this Agreement, regardless of the funding source. This assurance obligates the CONTRACTOR during the entire term of this Agreement.

CONTRACTOR: (Please check A or B)

- A. Employs fewer than fifteen persons;
- B. Employs fifteen or more persons, and pursuant to Section 84.7(a) of the Regulations (45 C.F.R. 84.7a), has designated the following person(s) to coordinate its efforts to comply with the HHS regulations.
- C.

Contractor's Business Name		DOOR TO HOPE	
Name of Contractor's Designee		Chris Shannon	
Title of Designee		Executive Director	
Street	130 W. Gabilan Street		
City	Salinas	State	CA Zip 93901
IRS Employer Identification Number	94-2240770		
I certify that the above information is complete and correct to the best of my knowledge and belief.			
Signature of Contractor	Date 4/27/15		

**EXHIBIT E:
ASSURANCE OF COMPLIANCE WITH MONTEREY COUNTY'S CULTURAL
COMPETENCY POLICY**

In a culturally competent system, each provider organization shows respect for and responds to individual differences and special needs. Services are provided in the appropriate cultural context and without discrimination related to race, national origin, income level, religion, gender, sexual orientation, age, or physical disability, to name a few. Culturally competent caregivers are aware of the impact of their own culture on their relationships with consumers/families and know about and respect cultural and ethnic differences. They adapt their skills to meet each individual's/family's values and customs. Cultural competence is a developmental and dynamic process – one that occurs over time.

Organizations in a Culturally Competent Service System Promote:

Quality Improvement

- Continuous evaluation and quality improvement
- Supporting evidence-based, promising, community defined, and emerging practices that are congruent with ethnic/racial/linguistic group belief systems, cultural values and help-seeking behaviors.

Collaboration

- Collaborating with Behavioral Health and other community programs
- Resolving barriers to partnerships with other service providers

Access

- Providing new services to unserved and underserved children, youth, adults and/or older adults
- Reducing disparities in access to, and retention in, care as identified in the Mental Health Services Act Plan
- Ensuring representation of mental health services consumers, family members of a mental health services consumer, and/or representatives from unserved communities on their advisory/governance body or committee for development of service delivery and evaluation (with a minimum target of 40%).
- Developing recruitment, hiring, and retention plans that are reflective of the population focus, communities' ethnic, racial, and linguistic populations.

Cultural Competent Services:

- Are available, accessible and welcoming to all clients regardless of race, ethnicity, language, age, and sexual orientation.
- Provide a physical environment that is friendly, respectful and inclusive of all cultures.
- Provide information, resources and reading materials in multilingual formats.
- Promote and foment culturally accepted social interactions, respect and healthy behaviors within the family constellation and service delivery system.
- Provide options for services, which are consistent with the client's beliefs, values, healing traditions, including individual preferences for alternative, spiritual and/or holistic approaches to health.
- Offer services in unserved and underserved communities.
- Have services available in the evening and on weekends to ensure maximum accessibility.

- Offer services in Spanish and other necessary languages (such as Tagalog, Vietnamese, Oaxacan, Triqui and other languages spoken of Monterey County residents).

Definitions for Cultural Competency

“Cultural Competence” is defined as a set of congruent practice skills, knowledge, behaviors, attitudes, and policies that come together in a system, agency, or among consumer providers, family members, and professionals that enables that system, agency, or those professionals and consumers, and family member providers to work effectively in cross-cultural situations.

(Adapted from Cross, et al., 1989; cited in DMH Information Notice No.02-03).

“Cultural Competence” is a means to eliminating cultural, racial and ethnic disparities. Cultural Competence enhances the ability of the whole system to incorporate the languages, cultures, beliefs and practices of its clients into the service. In this way all clients benefit from services that address their needs from the foundation of their own culture. Strategies for elimination of these disparities must be developed and implemented. Cultural Competence must be supported at all levels of the system.

(CMHDA Framework for Eliminating Cultural, Linguistic, Racial and Ethnic Behavioral Health Disparities)

[Cultural Competency] A set of congruent behaviors, attitudes, and policies that come together in a system, agency or amongst professionals and consumers and enables that system, agency or those professionals and consumers to work effectively in cross-cultural situations.

(Cross, Bazron, Dennis & Issacs, 1989)

The ability to work effectively with culturally diverse clients and communities.

(Randall David, 1994)

CONTRACTOR hereby agrees that it will comply with the principles and guidelines set forth in Monterey County’s Health Department – Behavioral Health’s Cultural Competency Policy (as outlined above), and will:

1. Develop organizational capacity to provide services in a culturally and linguistically competent manner. This may include: hiring staff with the linguistic capabilities needed to meet the diverse language needs in Monterey County (for example, Spanish, Tagalog, Vietnamese, Oaxacan, Triqui, American Sign Language (ASL), Middle Eastern languages); providing staff with training in cultural competency; making services accessible at locations and times that minimize access barriers, and ensuring that staff have an open, welcoming and positive attitude and feel comfortable working with diverse cultures.
2. Create a physical environment that ensures people of all cultures, ages and sexual orientation feel welcome and cared for. This may include: decorating waiting and treatment areas with pictures that reflect the diverse cultures of Monterey County; providing reading materials, resources and magazines in varied languages, at appropriate reading levels and suitable for different age groups, including children and youth; consideration of cultural differences and preferences when offering refreshments; ensuring that any pictures, symbols or materials on display are not unintentionally disrespectful to another culture.

3. Provide a services delivery environment that ensures people of all cultures, ages and sexual orientation feel welcome and cared for. This may include: respect for individual preferences for alternative, spiritual and/or holistic approaches to health; a reception staff that is competent in the different languages spoken by consumers/families; staff that is knowledgeable of cultural and ethnic differences and needs, and is able and willing to respond in an appropriate and respectful manner.
4. Support the county's goal to reduce disparities to care by increasing access and retention while decreasing barriers to services by unserved and underserved communities.
5. Include the voice of multi-cultural youth, client and family members, including: monolingual and bilingual clients and family members and representatives from unserved and underserved communities, in the advisory/governance body or committee for development of service delivery, planning and evaluation (County Goal: 40%).
6. Participate in outcome evaluation activities aimed at assessing individual organizations as well as countywide cultural competency in providing mental health services.
7. As requested, meet with the Monterey County Health Department - Behavioral Health Director or designee to monitor progress and outcomes of the project.
8. Ensure that 100% of staff, over a 3 year period, participate in cultural competency training including, but not limited to, those offered by Monterey County Behavioral Health.

Dissemination of these Provisions. CONTRACTOR shall inform all its officers, employees, agents, and subcontractors providing services hereunder of these provisions.

By my signature below, as the authorized representative of the CONTRACTOR named below, I certify acceptance and understanding for myself and the CONTRACTOR of the above provisions.

	DOOR TO HOPE

	Business Name of Contractor
	Chris Shannon

 _____ Signature of Authorized Representative	Name of Authorized Representative (printed)
_____ 4/27/15 _____ Date	Executive Director _____ Title of Authorized Representative

**EXHIBIT F:
BUSINESS ASSOCIATE AGREEMENT**

This Business Associate Agreement (“Agreement”), effective **July 1 2015** (“Effective Date”), is entered into by and among the County of Monterey, a political subdivision of the State of California, on behalf of the Health Department (“Covered Entity”) and **DOOR TO HOPE** (“Business Associate”) (each a “Party” and collectively the “Parties”).

Business Associate provides certain services for Covered Entity (“Services”) that involve the use and disclosure of Protected Health Information that is created or received by Business Associate from or on behalf of Covered Entity (“PHI”). The Parties are committed to complying with the Standards for Privacy of Individually Identifiable Health Information, 45 C.F.R. Part 160 and Part 164, Subparts A and E as amended from time to time (the “Privacy Rule”), and with the Security Standards, 45 C.F.R. Part 160 and Part 164, Subpart C as amended from time to time (the “Security Rule”), under the Health Insurance Portability and Accountability Act of 1996 (“HIPAA”), as amended by the Health Information Technology for Economic and Clinical Health Act and its implementing regulations (“HITECH”). Business Associate acknowledges that, pursuant to HITECH, 45 C.F.R. §§ 164.308 (administrative safeguards), 164.310 (physical safeguards), 164.312 (technical safeguards), 164.316 (policies and procedures and documentation requirements) and 164.502 *et. seq.* apply to Business Associate in the same manner that such sections apply to Covered Entity. The additional requirements of Title XIII of HITECH contained in Public Law 111-005 that relate to privacy and security and that are made applicable with respect to covered entities shall also be applicable to Business Associate. The Parties are also committed to complying with the California Confidentiality of Medical Information Act, Ca. Civil Code §§ 56 *et seq.* (“CMIA”), where applicable. Business Associate acknowledges that the CMIA prohibits Business Associate from further disclosing the PHI it receives from Covered Entity where such disclosure would be violative of the CMIA. The Parties are also committed to complying with applicable requirements of the Red Flag Rules issued pursuant to the Fair and Accurate Credit Transactions Act of 2003 (“Red Flag Rules”). This Agreement sets forth the terms and conditions pursuant to which PHI, and, when applicable, Electronic Protected Health Information (“EPHI”), shall be handled. The Parties further acknowledge that state statutes or other laws or precedents may impose data breach notification or information security obligations, and it is their further intention that each shall comply with such laws as well as HITECH and HIPAA in the collection, handling, storage, and disclosure of personal data of patients or other personal identifying information exchanged or stored in connection with their relationship.

The Parties agree as follows:

1. DEFINITIONS

All capitalized terms used in this Agreement but not otherwise defined shall have the meaning set forth in the Privacy Rule, Security Rule and HITECH.

2. **PERMITTED USES AND DISCLOSURES OF PHI**

2.1 Unless otherwise limited herein, Business Associate may:

(a) use or disclose PHI to perform functions, activities or Services for, or on behalf of, Covered Entity as requested by Covered Entity from time to time, provided that such use or disclosure would not violate the Privacy or Security Rules or the standards for Business Associate Agreements set forth in 45 C.F.R. § 164.504(e), exceed the minimum necessary to accomplish the intended purpose of such use or disclosure, violate the additional requirements of HITECH contained in Public Law 111-005 that relate to privacy and security, or violate the CMLA;

(b) disclose PHI for the purposes authorized by this Agreement only: (i) to its employees, subcontractors and agents; (ii) as directed by this Agreement; or (iii) as otherwise permitted by the terms of this Agreement;

(c) use PHI in its possession to provide Data Aggregation Services to Covered Entity as permitted by 45 C.F.R. § 164.504(e)(2)(i)(B);

(d) use PHI in its possession for proper management and administration of Business Associate or to carry out the legal responsibilities of Business Associate as permitted by 45 C.F.R. § 164.504(e)(4)(i);

(e) disclose the PHI in its possession to third parties for the proper management and administration of Business Associate to the extent and in the manner permitted under 45 C.F.R. § 164.504(e)(4)(ii); provided that disclosures are Required by Law ; or Business Associate obtains reasonable assurances from the persons to whom the information is disclosed that it will remain confidential and used or further disclosed only as Required by Law or for the purpose for which it was disclosed to the person, and the person notifies the Business Associate of any instances of which it is aware in which the confidentiality of the information has been breached;

(f) use PHI to report violations of law to appropriate Federal and state authorities, consistent with 45 C.F.R. § 164.502(j)(1);

(g) de-identify any PHI obtained by Business Associate under this Agreement for further use or disclosure only to the extent such de-identification is pursuant to this Agreement, and use such de-identified data in accordance with 45 C.F.R. § 164.502(d)(1).

3. **RESPONSIBILITIES OF THE PARTIES WITH RESPECT TO PHI**

3.1 Responsibilities of Business Associate. With regard to its use and/or disclosure of PHI, Business Associate shall:

(a) use and/or disclose the PHI only as permitted or required by this Agreement or as otherwise Required by Law;

(b) report to the privacy officer of Covered Entity, in writing, (i) any use and/or disclosure of the PHI that is not permitted or required by this Agreement of which Business Associate becomes aware, and (ii) any Breach of unsecured PHI as specified by HITECH, within two (2) days of Business Associate's determination of the occurrence of such unauthorized use and/or disclosure. In such event, the Business Associate shall, in consultation with the Covered Entity, mitigate, to the extent practicable, any harmful effect that is known to the Business Associate of such improper use or disclosure. The notification of any Breach of unsecured PHI shall include, to the extent possible, the identification of each individual whose unsecured PHI has been, or is reasonably believed by the Business Associate to have been, accessed, acquired, used or disclosed during the Breach.

(c) use commercially reasonable safeguards to maintain the security of the PHI and to prevent use and/or disclosure of such PHI other than as provided herein;

(d) obtain and maintain an agreement with all of its subcontractors and agents that receive, use, or have access to, PHI pursuant to which agreement such subcontractors and agents agree to adhere to the same restrictions and conditions on the use and/or disclosure of PHI that apply to Business Associate pursuant to this Agreement;

(e) make available all internal practices, records, books, agreements, policies and procedures and PHI relating to the use and/or disclosure of PHI to the Secretary for purposes of determining Covered Entity or Business Associate's compliance with the Privacy Rule;

(f) document disclosures of PHI and information related to such disclosure and, within ten (10) days of receiving a written request from Covered Entity, provide to Covered Entity such information as is requested by Covered Entity to permit Covered Entity to respond to a request by an individual for an accounting of the disclosures of the individual's PHI in accordance with 45 C.F.R. § 164.528, as well as provide an accounting of disclosures, as required by HITECH, directly to an individual provided that the individual has made a request directly to Business Associate for such an accounting. At a minimum, the Business Associate shall provide the Covered Entity with the following information: (i) the date of the disclosure, (ii) the name of the entity or person who received the PHI, and if known, the address of such entity or person; (iii) a brief description of the PHI disclosed; and (iv) a brief statement of the purpose of such disclosure which includes an explanation of the basis for such disclosure. In the event the request for an accounting is delivered directly to the Business Associate, the Business Associate shall, within two (2) days, forward such request to the Covered Entity. The Business Associate shall implement an appropriate recordkeeping process to enable it to comply with the requirements of this Section;

(g) subject to Section 4.4 below, return to Covered Entity within twenty-one (21) days of the termination of this Agreement, the PHI in its possession and retain no copies, including backup copies;

(h) disclose to its subcontractors, agents or other third parties, and request from

Covered Entity, only the minimum PHI necessary to perform or fulfill a specific function required or permitted hereunder;

- (i) if all or any portion of the PHI is maintained in a Designated Record Set:
 - (i) upon ten (10) days' prior written request from Covered Entity, provide access to the PHI in a Designated Record Set to Covered Entity or, as directed by Covered Entity, the individual to whom such PHI relates or his or her authorized representative to meet a request by such individual under 45 C.F.R. § 164.524; and
 - (ii) upon ten (10) days' prior written request from Covered Entity, make any amendment(s) to the PHI that Covered Entity directs pursuant to 45 C.F.R. § 164.526;
- (j) maintain policies and procedures to detect and prevent identity theft in connection with the provision of the Services, to the extent required to comply with the Red Flag Rules;
- (k) notify the Covered Entity within five (5) days of the Business Associate's receipt of any request or subpoena for PHI. To the extent that the Covered Entity decides to assume responsibility for challenging the validity of such request, the Business Associate shall cooperate fully with the Covered Entity in such challenge;
- (l) maintain a formal security program materially in accordance with all applicable data security and privacy laws and industry standards designed to ensure the security and integrity of the Covered Entity's data and protect against threats or hazards to such security

The Business Associate acknowledges that, as between the Business Associate and the Covered Entity, all PHI shall be and remain the sole property of the Covered Entity.

3.2 Additional Responsibilities of Business Associate with Respect to EPHI. In the event that Business Associate has access to EPHI, in addition to the other requirements set forth in this Agreement relating to PHI, Business Associate shall:

- (a) implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of EPHI that Business Associate creates, receives, maintains, or transmits on behalf of Covered Entity as required by 45 C.F.R. Part 164, Subpart C;
- (b) ensure that any subcontractor or agent to whom Business Associate provides any EPHI agrees in writing to implement reasonable and appropriate safeguards to protect such EPHI; and
- (c) report to the privacy officer of Covered Entity, in writing, any Security Incident involving EPHI of which Business Associate becomes aware within two (2) days of Business Associate's discovery of such Security Incident. For purposes of

this Section, a Security Incident shall mean (consistent with the definition set forth at 45 C.F.R. § 164.304), the attempted or successful unauthorized access, use, disclosure, modification, or destruction of information or interference with systems operations in an information system. In such event, the Business Associate shall, in consultation with the Covered Entity, mitigate, to the extent practicable, any harmful effect that is known to the Business Associate of such improper use or disclosure.

3.3 Responsibilities of Covered Entity. Covered Entity shall, with respect to Business Associate:

(a) provide Business Associate a copy of Covered Entity's notice of privacy practices ("Notice") currently in use;

(b) notify Business Associate of any limitations in the Notice pursuant to 45 C.F.R. § 164.520, to the extent that such limitations may affect Business Associate's use or disclosure of PHI;

(c) notify Business Associate of any changes to the Notice that Covered Entity provides to individuals pursuant to 45 C.F.R. § 164.520, to the extent that such changes may affect Business Associate's use or disclosure of PHI;

(d) notify Business Associate of any changes in, or withdrawal of, the consent or authorization of an individual regarding the use or disclosure of PHI provided to Covered Entity pursuant to 45 C.F.R. § 164.506 or § 164.508, to the extent that such changes may affect Business Associate's use or disclosure of PHI; and

(e) notify Business Associate, in writing and in a timely manner, of any restrictions on use and/or disclosure of PHI as provided for in 45 C.F.R. § 164.522 agreed to by Covered Entity, to the extent that such restriction may affect Business Associate's use or disclosure of PHI.

4. TERMS AND TERMINATION

4.1 Term. This Agreement shall become effective on the Effective Date and shall continue in effect unless terminated as provided in this Article 4. Certain provisions and requirements of this Agreement shall survive its expiration or other termination as set forth in Section 5.1 herein.

4.2 Termination. Either Covered Entity or Business Associate may terminate this Agreement and any related agreements if the terminating Party determines in good faith that the terminated Party has breached a material term of this Agreement; provided, however, that no Party may terminate this Agreement if the breaching Party cures such breach to the reasonable satisfaction of the terminating Party within thirty (30) days after the breaching Party's receipt of written notice of such breach.

4.3 Automatic Termination. This Agreement shall automatically terminate without any further action of the Parties upon the termination or expiration of Business Associate's provision of Services to Covered Entity.

4.4 Effect of Termination. Upon termination or expiration of this Agreement for any reason, Business Associate shall return all PHI pursuant to 45 C.F.R. § 164.504(e)(2)(ii)(I) if, and to the extent that, it is feasible to do so. Prior to doing so, Business Associate shall recover any PHI in the possession of its subcontractors or agents. To the extent it is not feasible for Business Associate to return or destroy any portion of the PHI, Business Associate shall provide Covered Entity a statement that Business Associate has determined that it is infeasible to return or destroy all or some portion of the PHI in its possession or in possession of its subcontractors or agents. Business Associate shall extend any and all protections, limitations and restrictions contained in this Agreement to any PHI retained after the termination of this Agreement until such time as the PHI is returned to Covered Entity or destroyed.

5. MISCELLANEOUS

5.1 Survival. The respective rights and obligations of Business Associate and Covered Entity under the provisions of Sections 4.4, 5.1, 5.6, and 5.7, and Section 2.1 (solely with respect to PHI that Business Associate retains in accordance with Section 4.4 because it is not feasible to return or destroy such PHI), shall survive termination of this Agreement until such time as the PHI is returned to Covered Entity or destroyed. In addition, Section 3.1(i) shall survive termination of this Agreement, provided that Covered Entity determines that the PHI being retained pursuant to Section 4.4 constitutes a Designated Record Set.

5.2 Amendments; Waiver. This Agreement may not be modified or amended, except in a writing duly signed by authorized representatives of the Parties. To the extent that any relevant provision of the HIPAA, HITECH or Red Flag Rules is materially amended in a manner that changes the obligations of Business Associates or Covered Entities, the Parties agree to negotiate in good faith appropriate amendment(s) to this Agreement to give effect to the revised obligations. Further, no provision of this Agreement shall be waived, except in a writing duly signed by authorized representatives of the Parties. A waiver with respect to one event shall not be construed as continuing, or as a bar to or waiver of any right or remedy as to subsequent events.

5.3 No Third Party Beneficiaries. Nothing express or implied in this Agreement is intended to confer, nor shall anything herein confer, upon any person other than the Parties and the respective successors or assigns of the Parties, any rights, remedies, obligations, or liabilities whatsoever.

5.4 Notices. Any notices to be given hereunder to a Party shall be made via U.S. Mail or express courier to such Party's address given below, and/or via facsimile to the facsimile telephone numbers listed below.

If to Business Associate, to:
Door To Hope

130 W. Gabilan Street, Salinas, CA 93901
Attn: Chris Shannon, Executive Director
Tel: 831-758-0181
Fax: 831-758-5127

If to Covered Entity, to:
Monterey County Health Department

Behavioral Health Bureau
Attn: Ray Bullick, Interim Behavioral Health Director
Tel: 831-755-4578
Fax: 831-755-4980

Each Party named above may change its address and that of its representative for notice by the giving of notice thereof in the manner hereinabove provided. Such notice is effective upon receipt of notice, but receipt is deemed to occur on next business day if notice is sent by FedEx or other overnight delivery service.

5.5 Counterparts; Facsimiles. This Agreement may be executed in any number of counterparts, each of which shall be deemed an original. Facsimile copies hereof shall be deemed to be originals.

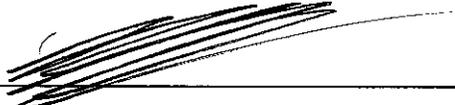
5.6 Choice of Law; Interpretation. This Agreement shall be governed by the laws of the State of California; as provided, however, that any ambiguities in this Agreement shall be resolved in a manner that allows Business Associate to comply with the Privacy Rule, and, if applicable, the Security Rule and the CMIA.

5.7 Indemnification. Contractor shall indemnify, defend, and hold harmless the County of Monterey (hereinafter County), its officers, agents, and employees from any claim, liability, loss, injury, cost, expense, penalty or damage, including the County's reasonable cost of providing notification of and of mitigating any acquisition, access, use or disclosure of PHI in a manner not permitted by this BAA, arising out of, or in connection with, performance of this BAA by Contractor and/or its agents, members, employees, or sub-contractors, excepting only loss, injury, cost, expense, penalty or damage caused by the negligence or willful misconduct of personnel employed by the County. It is the intent of the parties to this BAA to provide the broadest possible indemnification for the County. Contractor shall reimburse the County for all costs, attorneys' fees, expenses, and liabilities incurred by the County with respect to any investigation, enforcement proceeding or litigation in which Contractor is obligated to indemnify, defend, and hold harmless the County under this BAA. This provision is in addition to and independent of any indemnification provision in any related or other agreement between the Covered Entity and the Business Associate.

IN WITNESS WHEREOF, each of the undersigned has caused this Agreement to be duly executed in its name and on its behalf as of the Effective Date.

**COUNTY OF MONTEREY, ON BEHALF OF
THE HEALTH DEPARTMENT**

DOOR TO HOPE

By: 

By: 

Print Name: Ray Bullick

Print Name: Chris Shannon

Print Title: Director of Health

Print Title: Executive Director

Date: 7-19-15

Date: 4/27/15

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Behavioral Health Cost Reimbursement Invoice

Contractor: Door To Hope - Families First and Foremost (medi-Cal Eligible Clients) FY 2015-16
Address Line 1: 130 W. Gabilan Street
Address Line 2: Salinas, CA 93901
Tel. No.: (831) 758-0181
Fax No.:
Contract Term: July 1, 2015 - June 30, 2016
BH Bureau: Mental Health

Service Description	Mode of Service	SFC	Procedure Code	Rate of Reimbursement per Unit	Total Contracted UOS	UOS Delivered this Period	Total UOS Delivered as of Last Period	UOS Delivered to Date	% Delivered to Date of Contracted UOS	Remaining Deliverables	% of Remaining Deliverables	Total Contract Amount	Dollar Amount Requested for Period	Dollar Amount Requested to Date	Dollar Amount Remaining	% Remain of Total Contract Amount
Case Management	15	01	301	2.27	75,029					75,029	100.0%	153,369.24			153,369.24	100.0%
Mental Health Services	15															
Collateral	15	10	311	2.93	108,741					108,741	100.0%	301,665.17			301,665.17	100.0%
Assessment/Evaluation	15	30	331	2.93												
Rehabilitation	15	45	381	2.93												
Plan Development	15	45	391	2.93												
TOTALS					163,770					163,770		465,034			465,034	

Invoice Number: _____
County PO No.: _____
Invoice Period: _____
Final Invoice: (Check if Yes)

BH Control Number: _____

Signature: _____ **Title:** Director of Finance
Telephone: 831-759-0181

Behavioral Health Claims Section
MCHDBHFinance@co.monterey.ca.us

Behavioral Health Authorization for Payment
Authorized Signatory: _____ **Date:** _____

I certify that the information provided above is, to the best of my knowledge, complete and accurate; the amount requested for reimbursement is in accordance with the contract approved for services provided under the provision of that contract. Full justification and backup records for those claims are maintained in our office at the address indicated.

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Behavioral Health Cost Reimbursement Invoice

Contractor: Door To Hope - Integrated Co-Occurring Treatment (ICT) FY 2015-16
Address Line 1: 130 W. Gabilan Street
Address Line 2: Salinas, CA 93901
Tel. No.: (831) 758-0181
Contract Term: July 1, 2015 - June 30, 2016
Final Invoice: (Check if Yes)

BH Bureau: Mental Health **BH Control Number:**

Service Description	Mode of Service	SFC	Procedure Code	Rate of Reimbursement per Unit	Total Contracted UOS	UOS Delivered this Period	Total UOS Delivered as of Last Period	UOS Delivered to Date	% Delivered to Date of Contracted UOS	Remaining Deliverables	% of Remaining Deliverables	Total Contract Amount	Dollar Amount Requested for this Period	Dollar Amount Returned to Date	Dollar Amount Remaining	% Remain of Total Contract Amount
Case Management	15	01	301	2.27	109,333					109,333	100.0%	245,916.70			245,916.70	100.0%
Mental Health Services	15	--	--	2.93	189,813					189,813	100.0%	556,152.75			556,152.75	100.0%
Collateral Assessment/Evaluation	15	10	311	2.93												
Individual Counseling	15	30	331	2.93												
Group Counseling	15	40	341	2.93												
Rehabilitation	15	50	351	2.93												
Plan Development	15	45	381	2.93												
Plan Development	15	45	391	2.93												
TOTALS					299,146					299,146		802,069			802,069	

I certify that the information provided above is, to the best of my knowledge, complete and accurate; the amount requested for reimbursement is in accordance with the contract approved for services provided under the provision of that contract. Full justification and backup records for those claims are maintained in our office at the address indicated.

Signature: _____ **Title:** Director of Finance
Behavioral Health Claims Section
 MCHDHFFinance@co.monterey.ca.us
Authorized Signatory _____ **Date** _____
Behavioral Health Authorization for Payment
 Date: _____ Telephone: 831-758-0181

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Behavioral Health Cost Reimbursement Invoice

Contractor: Door to Hope - MCSTART (0-5 medi-Cal Eligible Clients) FY 2015-16
Address Line 1: 130 W. Gabilan Street
Address Line 2: Salinas, CA 93901
Tel. No.: (831) 758-0181
Fax No.:
Contract Term: July 1, 2015 - June 30, 2016
BH Bureau: Mental Health

Service Description	Mode of Service	SFC	Procedure Code	Rate of Reimbursement per Unit	Total Contracted UOS	UOS Delivered this Period	Total UOS Delivered as of Last Period	UOS Delivered to Date	% Delivered to Date of Contracted UOS	Remaining Deliverables	% of Remaining Deliverables	Total Contract Amount	Dollar Amount Requested this Period	Dollar Amount Received to Date	Dollar Amount Remaining	% Remaining Total Contract Amount
Case Management	15	01	301	2.27	336,020					336,020	100.0%	705,502.44			705,502.44	100.0%
Medication Support	15	80	361	5.42	92,716					92,716	100.0%	326,620.66			326,620.66	100.0%
Mental Health Services	15	-	-	2.93	120,516					120,516	100.0%	464,780.84			464,780.84	100.0%
Collateral	15	10	311	2.93												
Assessment/Evaluation	15	30	331	2.93												
Individual Counseling	15	40	341	2.93												
Group Counseling	15	50	351	2.93												
Rehabilitation	15	45	381	2.93												
Plan Development	15	45	391	2.93												
TOTALS					549,254					549,254		1,496,904			1,496,904	

I certify that the information provided above is, to the best of my knowledge, complete and accurate; the amount requested for reimbursement is in accordance with the contract approved for services provided under the provision of that contract. Full justification and backup records for those claims are maintained in our office at the address indicated.

Signature: _____ **Title:** Director of Finance
Date: _____ **Telephone:** 831-758-0181

Behavioral Health Claims Section
 MCHDBHFinance@co.monterey.ca.us

Behavioral Health Authorization for Payment
 Authorized Signatory _____ Date _____

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Behavioral Health Cost Reimbursement Invoice

Contractor: Door To Hope - MCSTART (6-11 medi-Cal Eligible Clients) FY 2015-16
Invoice Number: _____
County PO No.: _____
Address Line 1: 130 W. Gabilan Street
Invoice Period: _____
Address Line 2: Salinas, CA 93901
Final Invoice: (Check if Yes)
Tel. No.: (831) 758-0181
Fax No.: _____
Contract Term: July 1, 2015 - June 30, 2016

BH Bureau: Mental Health											BH Control Number					
Service Description	Mode of Service	SFC	Procedure Code	Rate of Reimbursement per Unit	Total Contracted UOS	UOS Delivered this Period	Total UOS Delivered as of Last Period	UOS Delivered to Date	% Delivered to Date of Contracted UOS	Remaining Deliverables	% of Remaining Deliverables	Total Contract Amount	Dollar Amount Requested in this Period	Dollar Amount Requested to Date	Dollar Amount Remaining	% of Total Contract Amount
Case Management	15	01	301	2.27	35,808					35,808	100.0%	81,283.03			81,283.03	100.0%
Medication Support	15	60	361	5.42	188,450					188,450	100.0%	464,259.61			464,259.61	100.0%
Mental Health Services	15	--	--	2.93	19,045					19,045	100.0%	103,225.08			103,225.08	100.0%
Collateral	15	10	311	2.93												
Assessment/Evaluation	15	30	381	2.93												
Individual Counseling	15	40	341	2.93												
Group Counseling	15	50	351	2.93												
Rehabilitation	15	45	381	2.93												
Plan Development	15	45	391	2.93												
TOTALS					213,303					213,303		648,788			648,788	

I certify that the information provided above is, to the best of my knowledge, complete and accurate; the amount requested for reimbursement is in accordance with the contract approved for services provided under the provision of that contract. Full justification and backup records for those claims are maintained in our office at the address indicated.

Signature: _____ **Title:** Director of Finance
Telephone: 831-758-0181
Date: _____

Behavioral Health Claims Section
MCHDBHFinance@co.monterey.ca.us
Behavioral Health Authorization for Payment
Authorized Signatory _____ **Date** _____

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Behavioral Health Cost Reimbursement Invoice

Contractor: Door To Hope - Nueva Esperanza ADULTS services (medi-Cal Eligible Clients) FY 2015-16
Address Line 1: 130 W. Gabilan Street
Address Line 2: Salinas, CA 93901
Tel. No.: (831) 758-0181
Fax No.:
Contract Term: July 1, 2015 - June 30, 2016
Invoice Number:
County PO No.:
Invoice Period:
Final Invoice: (Check if Yes)

Service Description	SFC	Procedure Code	Rate of Reimbursement per Unit	Total Contracted UOS	UOS Delivered this Period	Total UOS Delivered as of Last Period	UOS Delivered to Date	% Delivered to Date of Contracted UOS	Remaining Deliverables	% of Remaining Deliverables	Total Contract Amount	Dollar Amount Requested in Period	Dollar Amount Requested to Date	Dollar Amount Remaining	% Remainder of Total Contract Amount
Case Management	01	301	2.27	6,277					6,277	100.0%	14,249.43			14,249.43	100.0%
Mental Health Services															
Collateral	10	311	2.93	128,081					128,081	100.0%	375,276.26			375,276.26	100.0%
Assessment/Evaluation	30	331	2.93												
Individual Counseling	40	341	2.93												
Group Counseling	50	351	2.93												
Rehabilitation	45	381	2.93												
Plan Development	45	391	2.93												
TOTALS				134,358					134,358		389,526			389,526	100.0%

I certify that the information provided above is, to the best of my knowledge, complete and accurate; the amount requested for reimbursement is in accordance with the contract approved for services provided under the provision of that contract. Full justification and backup records for those claims are maintained in our office at the address indicated.

Signature: _____ **Title:** Director of Finance
Telephone: 831-758-0181
Behavioral Health Claims Section
MCHDBHFinance@co.monterey.ca.us
Behavioral Health Authorization for Payment
Authorized Signatory _____ **Date** _____

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Behavioral Health Cost Reimbursement Invoice

Contractor: Door To Hope - Nueva Esperanza CHILDREN services (medi-Cal Eligible Clients) FY 2015-16
Address Line 1: 130 W. Gabilan Street
Address Line 2: Salinas, CA 93901
Tel. No.: (831) 758-0181
Fax No.:
Contract Term: July 1, 2015 - June 30, 2016

BH Bureau: Mental Health
BH Control Number:

Service Description	Mode of Service	SFC	Procedure Code	Rate of Reimbursement 1 per Unit	Total Contracted UOS	UOS Delivered this Period	Total UOS Delivered as of Last Period	UOS Delivered to Date	% Delivered to Date of Contracted UOS	Remaining Deliverables	% of Remaining Deliverables	Total Contract Amount	Dollar Amount Requested this Period	Dollar Amount Requested to Date	Dollar Amount Refused to Date	% Remain of Total Contract Amount
Case Management	15	01	301	2.27	11,799			11,799	100.0%	11,799	100.0%	28,709.91				100.0%
Mental Health Services	15	-	-	2.93	65,002			65,002	100.0%	65,002	100.0%	190,456.09				100.0%
Collateral	15	10	311	2.93												
Assessment/Evaluation	15	30	331	2.93												
Individual Counseling	15	40	341	2.93												
Group Counseling	15	50	351	2.93												
Rehabilitation	15	45	381	2.93												
Plan Development	15	45	391	2.93												
TOTALS					78,768			78,768		78,768		217,196				100.0%

I certify that the information provided above is, to the best of my knowledge, complete and accurate; the amount requested for reimbursement is in accordance with the contract approved for services provided under the provision of that contract. Full justification and backup records for those claims are maintained in our office at the address indicated.

Signature: _____ **Title:** Director of Finance
Behavioral Health Claims Section
 MCHDHFIn@co.monterey.ca.us
Authorized Signatory _____ **Date** _____
Behavioral Health Authorization for Payment
 Telephone: 831-758-0181

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Behavioral Health Cost Reimbursement Invoice

Contractor: Door To Hope - Santa Lucia (medi-Cal Eligible Clients) FY 2015-16
Address Line 1: 130 W. Gabilan Street
Address Line 2: Salinas, CA 93901
Tel. No.: (831) 758-0181
Fax No.:
Contract Term: July 1, 2015 - June 30, 2016
Invoice Number:
County PO No.:
Invoice Period:
Final Invoice: (Check if Yes)

BH Bureau: Mental Health **BH Control Number:**

Service Description	Mode of Service	SFC	Procedure Code	Rate of Reimbursement per Unit	Total Contracted UOS	UOS Delivered this Period	Total UOS Delivered as of Last Period	UOS Delivered to Date	% Delivered to Date of Contracted UOS	Remaining Deliverables	% of Remaining Deliverables	Total Contract Amount	Dollar Amount Requested to Date	Dollar Amount Requested to Date	Dollar Amount Remaining	% Remain of Total Contract Amount
Case Management	15	01	301	2.27	34,199					34,199	100.0%	77,631.73			77,631.73	100.0%
Mental Health Services	15			2.93	129,778					129,778	100.0%	380,249.31			380,249.31	100.0%
Collateral	15	10	311	2.93												
Assessment/Evaluation	15	30	331	2.93												
Individual Counseling	15	40	341	2.93												
Group Counseling	15	50	351	2.93												
Rehabilitation	15	45	381	2.93												
Plan Development	15	45	391	2.93												
TOTALS					163,977					163,977		487,881			487,881	

I certify that the information provided above is, to the best of my knowledge, complete and accurate; the amount requested for reimbursement is in accordance with the contract approved for services provided under the provision of that contract. Full justification and backup records for those claims are maintained in our office at the address indicated.

Signature: _____ **Title:** Director of Finance
Telephone: 831-758-0181
Behavioral Health Claims Section
MCHDBHFinance@co.monterey.ca.us
Behavioral Health Authorization for Payment
Authorized Signatory _____ **Date** _____

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DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
 For Monterey County - Behavioral Health
 FISCAL YEAR 2015-16

Program Name: DTH Mental Health Programs 1 - 6
GRAND TOTALS

AVATAR Program(s): _____

Unduplicated Number of Clients to be Served: _____

Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Amount Due from the COUNTY	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue
Case Management	15	01	607,433	\$ 1,304,662.47	\$ 554,888	\$ 1,127,935.52
Mental Health Services	15	10, 30, 40, 45	900,384	\$ 2,594,679.87	\$ 821,252	\$ 2,015,531.30
Medication Support	15	60	111,761	\$ 568,005.91	\$ 109,382	\$ 533,587.01
				\$ 4,467,348.25		\$ 3,677,033.84

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
A. PROGRAM REVENUES				
Monterey County Funds (Monterey County's Use):				
Provisional Rates				
Estimated Federal Financial Participation (FFP) and EPSDT	\$ 2,861,332.19	\$ 3,120,459.40	\$ 3,677,033.84	\$ 556,574.44
Mental Health Services Act (MHSA)/CSS Funds	\$ 304,326.49	\$ 575,579.64	\$ 725,314.41	\$ 149,734.77
Monterey County Department of Social Services (DSS)	\$ 56,160.12	\$ 60,000.00	\$ 65,000.00	\$ 5,000.00
				\$ -
Total Requested Monterey County Funds	\$ 3,221,818.80	\$ 3,756,039.04	\$ 4,467,348.25	\$ 711,309.21
Other Program Revenues				
CONTRACTOR Match from First 5 Funds	\$ 121,502.30	\$ 121,502.30	\$ 121,502.30	\$ -
CONTRACTOR Match from Action Council Funds	\$ 25,000.00	\$ 28,021.30	\$ 33,892.71	\$ 5,871.41
CONTRACTOR Match	\$ 325,293.11	\$ -	\$ -	\$ -
Total CONTRACTOR Program Revenue	\$ 471,795.41	\$ 149,523.60	\$ 155,395.01	\$ 5,871.41
TOTAL PROGRAM REVENUES (equals Allowable Costs)	\$ 3,693,614.21	\$ 3,905,562.64	\$ 4,622,743.26	\$ 717,180.62

B. ALLOWABLE COSTS - Allowable expenditures for the care and services of placed Monterey County clients allocated in accordance with requirements contained in this Agreement. Expenditures should be reported within the cost categories list. CONTRACTOR is expected to be able to identify direct and indirect costs directly from its financial statements.

I. Direct Cost Centers - a direct cost, as defined in OMB A-87, is a cost that can be identified specifically with a particular final cost objective.

A. Mode Costs (Direct Services)	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
1 Salaries and wages (please fill out Supplemental Schedule of Salaries and Wages)	\$ 1,507,995.00	\$ 1,990,323.00	\$ 2,757,205.00	\$ 766,882.00
2 Payroll taxes	\$ 131,678.00	\$ 175,078.51	\$ 269,171.00	\$ 94,092.49
3 Employee benefits	\$ 155,317.00	\$ 212,210.00	\$ 329,915.00	\$ 117,705.00
4 Workers Compensation	\$ 27,984.00	\$ 36,711.00	\$ 53,823.00	\$ 17,112.00
5 Severance Pay (if required by law, employer-employee agreement or established written policy or associated with County's loss of funding)	\$ -	\$ -	\$ -	\$ -
6 Temporary Staffing	\$ -	\$ -	\$ -	\$ -
7 Flexible Client Spending (please provide supporting documents)	\$ -	\$ -	\$ -	\$ -
8 Travel (costs incurred to carry out the program)	\$ 36,500.00	\$ 53,500.00	\$ 62,100.00	\$ 8,600.00
9 Employee Travel and Conference	\$ 45,900.00	\$ 43,160.00	\$ 48,800.00	\$ 5,840.00
10 Communication Costs	\$ 38,787.00	\$ 45,640.00	\$ 50,300.00	\$ 4,660.00
11 Utilities	\$ 25,700.00	\$ 28,400.00	\$ 29,000.00	\$ 800.00
12 Cleaning and Janitorial	\$ 23,711.00	\$ 20,300.00	\$ 21,700.00	\$ 1,400.00
13 Maintenance and Repairs - Buildings	\$ 101,500.00	\$ 111,700.00	\$ 104,200.00	\$ (7,500.00)
14 Maintenance and Repairs - Equipment	\$ -	\$ -	\$ -	\$ -
15 Printing and Publications	\$ 21,000.00	\$ 25,200.00	\$ 33,900.00	\$ 8,700.00
16 Memberships, Subscriptions and Dues	\$ 2,000.00	\$ 2,500.00	\$ 4,300.00	\$ 1,800.00
17 Office Supplies	\$ 33,830.00	\$ 42,500.00	\$ 55,000.00	\$ 12,500.00

EXHIBIT H

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
18 Postage and Mailing	\$ 1,100.00	\$ 1,000.00	\$ 1,550.00	\$ 550.00
19 Medical Records	\$ -	\$ -	\$ -	\$ -
20 Data Processing	\$ -	\$ -	\$ -	\$ -
21 Rent and Leases - equipment	\$ 10,126.00	\$ 9,200.00	\$ 12,800.00	\$ 3,600.00
22 Rent and Leases - building and improvements (please identify the property address and method of cost allocation)	\$ -	\$ -	\$ -	\$ -
23 Taxes and assessments (Please identify the property address and method of cost allocation)	\$ -	\$ -	\$ -	\$ -
24 Interest In Other Long-term debts (please identify the property address and method of cost allocation)	\$ 5,615.00	\$ 5,615.00	\$ 6,000.00	\$ 385.00
25 Other Professional and Consultant Services (allowable with prior specific approval from Monterey County and must meet the criteria of a direct cost. Please provide List of Providers)	\$ 246,500.00	\$ 251,500.00	\$ 290,300.00	\$ 38,800.00
26 Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133) and Certified Public Expenditure requirement of Monterey County)	\$ -	\$ -	\$ -	\$ -
27 Miscellaneous (please provide details)	\$ 22,385.00	\$ 27,385.21	\$ 27,000.00	\$ (385.21)
28 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)	\$ -	\$ -	\$ -	\$ -
29 Total Mode Costs	\$ 2,437,628.00	\$ 3,081,922.72	\$ 4,157,064.00	\$ 1,075,141.28
B. Administrative Costs - the allocation base must reasonably reflect the level of service received by the County from the program/activity and there must be a direct causal relationship between the allocation based used and the service	\$ -	\$ -	\$ -	
30 Salaries and Benefits	\$ 140,882.00	\$ 65,000.00	\$ -	\$ (65,000.00)
31 Supplies	\$ 53,900.00	\$ 62,900.00	\$ 33,200.00	\$ (29,700.00)
32 Others - please provide details. Expense must be authorized by the County and/or not prohibited under Federal, State or local law or regulations.	\$ 268,554.00	\$ 263,554.00	\$ -	\$ (263,554.00)
33 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)	\$ -	\$ -	\$ -	\$ -
34 Total Administrative Costs	\$ 463,336.00	\$ 391,454.00	\$ 33,200.00	\$ (358,254.00)
35 TOTAL DIRECT COSTS	\$ 2,900,964.00	\$ 3,473,376.72	\$ 4,190,264.00	\$ 716,887.28

II Indirect Cost Centers - include all costs that are incurred for a common or joint purpose benefitting more than one final cost objective, that are not readily assignable to the cost objective specifically benefitted without effort disproportionate to the results achieved. The indirect cost centers correspond directly with the expense accounts defined in the Accounting Standards and Procedures for Counties, which is published by the California State Controller's Office.

INDIRECT COSTS	FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
36 Equipment (purchase price of less than \$5000)	\$ -	\$ -	\$ -	\$ -
37 Rent and Leases - equipment	\$ -	\$ -	\$ -	\$ -
38 Rent and Leases - building and improvements	\$ 205,860.00	\$ 216,688.00	\$ 233,000.00	\$ 16,332.00
39 Taxes and assessments	\$ 7,700.00	\$ 7,700.00	\$ 8,400.00	\$ 700.00
40 Insurance and Indemnity	\$ 3,922.00	\$ 3,922.00	\$ 4,172.00	\$ 250.00
41 Maintenance - equipment	\$ 49,500.00	\$ 49,500.00	\$ 50,000.00	\$ 500.00
42 Maintenance - building and improvements	\$ 11,852.00	\$ 11,812.00	\$ 23,500.00	\$ 11,688.00
43 Utilities	\$ 500.00	\$ 500.00	\$ 800.00	\$ 300.00
44 Household Expenses	\$ 35,000.00	\$ 35,000.00	\$ 33,100.00	\$ (1,900.00)
45 Interest in Bonds	\$ -	\$ -	\$ -	\$ -
46 Interest in Other Long-term debts	\$ -	\$ -	\$ -	\$ -
47 Other interest and finance charges	\$ -	\$ -	\$ -	\$ -
48 Contracts Administration	\$ -	\$ -	\$ -	\$ -
49 Legal and Accounting (when required for the administration of the County Programs)	\$ -	\$ -	\$ -	\$ -
50 Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133) and Certified Public Expenditure requirement of Monterey County)	\$ 20,644.00	\$ 21,644.00	\$ 24,944.00	\$ 3,300.00
51 Data Processing	\$ -	\$ -	\$ -	\$ -
52 Personnel Administration	\$ 69,931.08	\$ 34,112.00	\$ 35,000.00	\$ 888.00
53 Medical Records	\$ -	\$ -	\$ -	\$ -

EXHIBIT H

		Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
54	Other Professional and Specialized Services	\$ 17,000.00	\$ 17,000.00	\$ 19,400.00	\$ 2,400.00
55	Transportation and Travel	\$ -	\$ -	\$ -	\$ -
56	Advertising (for recruitment of admin personnel, procurement of services and disposal of surplus assets)	\$ -	\$ -	\$ -	\$ -
57	Total Indirect costs	\$ 421,909.08	\$ 397,858.00	\$ 432,316.00	\$ 34,458.00
63	Total Allowable Costs	\$ 3,322,873.08	\$ 3,871,234.72	\$ 4,622,580.00	\$ 751,345.28
COST REPORT INFORMATION:		Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
64	Land		\$ -	\$ -	\$ -
65	Buildings and Improvements		\$ -	\$ -	\$ -
66	Equipment (purchase price of \$5000 or more)		\$ -	\$ -	\$ -
67	Total		\$ -	\$ -	\$ -

We hereby certify to the best of our knowledge, under penalty of perjury, that the above report is true and correct, that the amounts reported are traceable to (Contractor's Name) accounting records, and that all Monterey County funds received for the purposes of this program were spent in accordance with the Contract's program requirements, the Agreement and all applicable Federal, State and County laws and regulations. Falsification of any amount disclosed herein shall constitute a false claim pursuant to California Government Code Section 12650 et seq.

Executive Director's Signature _____ Date _____ Finance Director's Signature _____ Date _____

DOOR TO HOPE

BUDGET AND EXPENDITURE REPORT

For Monterey County - Behavioral Health

FISCAL YEAR 2015-16

Program Name: DTH Families First & Foremost and Expansion

AVATAR Program(s): CCCSOC & DTH Families First Foremost/AC

Unduplicated Number of Clients to be Served: 82

Address:

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHA/PEI)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management	15	01	75,029	\$ 153,369.24	75,029	\$ 153,284.04
Mental Health	15	10, 30, 45	108,741	\$ 301,665.17	108,741	\$ 288,750.37
				\$ 455,034.41		\$ 440,034.41

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
A. PROGRAM REVENUES				
Monterey County Funds (Monterey County's Use):				
Provisional Rates				
Estimated Federal Financial Participation (FFP) and EPSDT	\$ 388,440.89	\$ 387,191.70	\$ 440,034.41	\$ 52,842.71
Monterey County Department of Social Services (DSS)	\$ 18,160.10	\$ 15,000.00	\$ 15,000.00	\$ -
	\$ -	\$ -		\$ -
Total Requested Monterey County Funds	\$ 406,600.99	\$ 402,191.70	\$ 455,034.41	\$ 52,842.71
Other Program Revenues				
CONTRACTOR Match from Action Council Funds	\$ 25,000.00	\$ 28,021.30	\$ 33,892.71	\$ 5,871.41
TOTAL PROGRAM REVENUES (equals Allowable Costs)	\$ 431,600.99	\$ 430,213.00	\$ 488,927.12	\$ 58,714.12

B. ALLOWABLE COSTS - Allowable expenditures for the care and services of placed Monterey County clients allocated in accordance with requirements contained in this Agreement. Expenditures should be reported within the cost categories list. CONTRACTOR is expected to be able to identify direct and indirect costs directly from its financial statements.

I. Direct Cost Centers - a direct cost, as defined in OMB A-87, is a cost that can be identified specifically with a particular final cost objective.

A. Mode Costs (Direct Services)	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
1 Salaries and wages (please fill out Supplemental Schedule of Salaries and Wages)	\$ 294,812.00	\$ 311,892	\$ 360,750	\$ 48,858.00
2 Payroll taxes	\$ 21,400.00	\$ 22,639	\$ 33,642	\$ 11,003.00
3 Employee benefits	\$ 25,392.00	\$ 26,862	\$ 37,738	\$ 10,876.00
4 Workers Compensation	\$ 4,456.00	\$ 5,198	\$ 6,380	\$ 1,182.00
5 Severance Pay (if required by law, employer-employee agreement or established written policy or associated with County's loss of funding)				\$ -
6 Temporary Staffing				\$ -
7 Flexible Client Spending (please provide supporting documents)				\$ -
8 Travel (costs incurred to carry out the program)	\$ 12,000.00	\$ 7,000	\$ 8,100	\$ 1,100.00
9 Employee Travel and Conference	\$ 8,500.00	\$ 5,300	\$ 6,500	\$ 1,200.00
10 Communication Costs	\$ 4,400.00	\$ 5,200	\$ 6,500	\$ 1,300.00
11 Utilities	\$ 2,200.00	\$ 1,200	\$ 2,000	\$ 800.00
12 Cleaning and Janitorial	\$ 2,400.00	\$ 1,200	\$ 2,000	\$ 800.00
13 Maintenance and Repairs - Buildings				\$ -
14 Maintenance and Repairs - Equipment				\$ -
15 Printing and Publications	\$ 1,000.00	\$ 2,000	\$ 3,000	\$ 1,000.00
16 Memberships, Subscriptions and Dues				\$ -

**DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16**

Program Name: DTH Families First & Foremost and Expansion

AVATAR Program(s): CCCSOC & DTH Families First Foremost/AC

Unduplicated Number of Clients to be Served: 82

Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/PEI)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management	15	01	75,029	\$ 153,369.24	75,029	\$ 153,284.04
Mental Health	15	10, 30, 45	108,741	\$ 301,665.17	108,741	\$ 288,750.37
				\$ 455,034.41		\$ 440,034.41

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
17 Office Supplies	\$ 6,000.00	\$ 8,000	\$ 9,300	\$ 1,300.00
28 Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))				\$ -
27 Equipment (purchase price of less than \$5000)	\$ 5,000.21	\$ 3,500	\$ 4,000	\$ 500.00
28 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)			\$ -	\$ -
29 Total Mode Costs	\$ 387,560	\$ 399,991	\$ 479,910	\$ 79,919.00
B. Administrative Costs - the allocation base must reasonably reflect the level of service received by the County from the program/activity and there must be a direct causal relationship between the allocation based used and the service				
30 Salaries and Benefits			\$ -	\$ -
31 Supplies			\$ -	\$ -
32 Others - please provide details. Expense must be authorized by the County and/or not prohibited under Federal, State or local law or regulations. Match money	\$ 15,000.00		\$ -	\$ -
33 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)			\$ -	\$ -
34 Total Administrative Costs	\$ 15,000.00	\$ -	\$ -	\$ -
35 TOTAL DIRECT COSTS	\$ 402,560	\$ 399,991	\$ 479,910	\$ 79,919.00

II Indirect Cost Centers - include all costs that are incurred for a common or joint purpose benefitting more than one final cost objective, that are not readily assignable to the cost objective specifically benefitted without effort disproportionate to the results achieved. The Indirect cost centers correspond directly with the expense accounts defined in the Accounting Standards and Procedures for Counties, which is published by the California State Controller's Office.

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
INDIRECT COSTS				
36 Equipment (purchase price of less than \$5000)				\$ -
37 Rent and Leases - equipment				\$ -
38 Rent and Leases - building and improvements	\$ 7,200	\$ 7,200	\$ 9,000	\$ 1,800.00
52 Personnel Administration	\$ 35,819	\$ -	\$ -	\$ -
57 Total Indirect costs	\$ 43,019.08	\$ 7,200	\$ 9,000	\$ 1,800.00
63 Total Allowable Costs	\$ 445,579	\$ 407,191	\$ 488,910	\$ 81,719.00
COST REPORT INFORMATION:				
64 Land				
65 Buildings and Improvements				
66 Equipment (purchase price of \$5000 or more)				
67 Total				

We hereby certify to the best of our knowledge, under penalty of perjury, that the above report is true and correct, that the amounts reported are traceable to (Contractor's Name) accounting records, and that all Monterey County funds received for the purposes of this program were spent in accordance with the Contract's program requirements, the Agreement and all applicable Federal, State and County laws and regulations. Falsification of any amount disclosed herein shall constitute a false claim pursuant to California Government Code Section 12650 et seq.

**DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16**

Program Name: DTH Families First & Foremost and Expansion

AVATAR Program(s): CCCSOC & DTH Families First Foremost/AC

Unduplicated Number of Clients to be Served: 82

Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/PEI)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management	15	01	75,029	\$ 153,369.24	75,029	\$ 153,284.04
Mental Health	15	10, 30, 45	108,741	\$ 301,665.17	108,741	\$ 286,750.37
				\$ 455,034.41		\$ 440,034.41

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change

Executive Director's Signature _____

Date _____

Finance Director's Signature _____

Date _____

Supplemental Schedule of Salaries and Wages - Mode Cost (Direct Services)

TITLE OF POSITION	Annual Salary/Wage	FTE (Full Time Employee)	TOTAL
Senior Clinical Director	\$ 125,000	0.25	\$ 31,250
Quality Assurance Clerk	\$ 40,000	0.50	\$ 20,000
Parent Educator Bi-Jingual	\$ 70,000	2.00	\$ 140,000
Parent Educator	\$ 68,000	1.50	\$ 102,000
Therapist	\$ 67,500	1.00	\$ 67,500

**DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16**

Program Name: Integrated Co-Occuring Treatment (ICT) Program **AVATAR Program(s):** BVCSOCSDV, BVCSOCFSP
Unduplicated Number of Clients to be Served: 57 **Address:** _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/CSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management	15	01	108,333	\$ 245,916.70	65,000	\$ 132,795.02
Mental Health Services	15	10, 30, 40, 45	189,813	\$ 556,152.75	113,888	\$ 300,322.49
				\$ 802,069.46		\$ 433,117.51

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
A. PROGRAM REVENUES				
Monterey County Funds (Monterey County's Use):				
Provisional Rates				
Estimated Federal Financial Participation (FFP) and EPSDT	\$ 208,094.93	\$ 308,577.60	\$ 433,117.51	\$ 124,539.91
Mental Health Services Act (MHSA)/CSS Funds	\$ 177,266.06	\$ 262,862.40	\$ 368,951.95	\$ 106,089.55
Total Requested Monterey County Funds	\$ 385,360.99	\$ 571,440.00	\$ 802,069.46	\$ 230,629.46
Other Program Revenues				\$ -
TOTAL PROGRAM REVENUES (equals Allowable Costs)	\$ 385,360.99	\$ 571,440.00	\$ 802,069.46	\$ 230,629.46

B. ALLOWABLE COSTS - Allowable expenditures for the care and services of placed Monterey County clients allocated in accordance with requirements contained in this Agreement. Expenditures should be reported within the cost categories list. CONTRACTOR is expected to be able to identify direct and indirect costs directly from its financial statements.

I. Direct Cost Centers - a direct cost, as defined in OMB A-87, is a cost that can be identified specifically with a particular final cost objective.

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
A. Mode Costs (Direct Services)				
1 Salaries and wages (please fill out Supplemental Schedule of Salaries and Wages)	\$ 247,375	\$ 374,270	\$ 500,000	\$ 125,730.00
2 Payroll taxes	\$ 24,989	\$ 37,808	\$ 51,120	\$ 13,312.49
3 Employee benefits	\$ 26,501	\$ 40,095	\$ 58,920	\$ 18,825.00
4 Workers Compensation	\$ 5,378	\$ 8,137	\$ 9,960	\$ 1,823.00
8 Travel (costs incurred to carry out the program)	\$ 8,500	\$ 13,500	\$ 23,000	\$ 9,500.00
9 Employee Travel and Conference	\$ 6,900	\$ 6,160	\$ 11,800	\$ 5,640.00
10 Communication Costs	\$ 5,787	\$ 6,240	\$ 10,800	\$ 4,560.00
11 Utilities	\$ -	\$ -		\$ -
12 Cleaning and Janitorial	\$ 5,711	\$ 2,400	\$ 4,200	\$ 1,800.00
13 Maintenance and Repairs - Buildings	\$ -	\$ 3,200	\$ 6,200	\$ 3,000.00
14 Maintenance and Repairs - Equipment				\$ -
15 Printing and Publications	\$ 2,500	\$ 5,200	\$ 9,100	\$ 3,900.00
16 Memberships, Subscriptions and Dues	\$ 1,000	\$ 1,000	\$ 1,800	\$ 800.00
17 Office Supplies	\$ 5,600	\$ 6,500	\$ 11,400	\$ 4,900.00
18 Postage and Mailing	\$ 200	\$ 200	\$ 350	\$ 150.00
21 Rent and Leases - equipment	\$ 676	\$ 1,000	\$ 2,000	\$ 1,000.00
22 Rent and Leases - building and improvements (please identify the property address and method of cost allocation)				\$ -
23 Taxes and assessments (Please identify the property address and method of cost allocation)				\$ -

BUDGET AND EXPENDITURE REPORT

For Monterey County - Behavioral Health

FISCAL YEAR 2015-16

Program Name: Integrated Co-Occuring Treatment (ICT) Program

AVATAR Program(s): BVCSOCSOV, BVCSOCFSP

Unduplicated Number of Clients to be Served: 57

Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/CSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management	15	01	108,333	\$ 245,916.70	65,000	\$ 132,795.02
Mental Health Services	15	10, 30, 40, 45	189,813	\$ 556,152.75	113,888	\$ 300,322.49
				\$ 802,069.46		\$ 433,117.51

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
24 Interest in Other Long-term debts (please identify the property address and method of cost allocation)				\$ -
25 Other Professional and Consultant Services (allowable with prior specific approval from Monterey County and must meet the criteria of a direct cost)	\$ 4,500	\$ 7,500	\$ 14,100	\$ 6,600.00
27 Miscellaneous (please provide details)				\$ -
28 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)				\$ -
29 Total Mode Costs	\$ 345,617	\$ 513,210	\$ 714,750	\$ 201,540.49
B. Administrative Costs - the allocation base must reasonably reflect the level of service received by the County from the program/activity and there must be a direct causal relationship between the allocation based used and the service				
30 Salaries and Benefits			\$ -	\$ -
31 Supplies	\$ 6,800	\$ 12,800	\$ 22,200	\$ 9,400.00
32 Others - please provide details. Expense must be authorized by the County and/or not prohibited under Federal, State or local law or regulations.			\$ -	\$ -
33 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)			\$ -	\$ -
34 Total Administrative Costs	\$ 6,800	\$ 12,800	\$ 22,200	\$ 9,400.00
35 TOTAL DIRECT COSTS	\$ 352,417	\$ 526,010	\$ 736,950	\$ 210,940.49

II Indirect Cost Centers - include all costs that are incurred for a common or joint purpose benefitting more than one final cost objective, that are not readily assignable to the cost objective specifically benefitted without effort disproportionate to the results achieved. The indirect cost centers correspond directly with the expense accounts defined in the Accounting Standards and Procedures for Counties, which is published by the California State Controller's Office.

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
INDIRECT COSTS				
36 Equipment (purchase price of less than \$5000)				\$ -
37 Rent and Leases - equipment				\$ -
38 Rent and Leases - building and improvements	\$ 21,860	\$ 28,668	\$ 34,000	\$ 5,332.00
39 Taxes and assessments				\$ -
40 Insurance and Indemnity	\$ 1,450	\$ 1,450	\$ 1,700	\$ 250.00
41 Maintenance - equipment				\$ -
42 Maintenance - building and improvements	\$ 11,852	\$ 11,812	\$ 23,500	\$ 11,688.00
50 Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))	\$ 2,500	\$ 3,500	\$ 6,000	\$ 2,500.00
57 Total Indirect costs	\$ 37,662	\$ 45,430	\$ 65,200	\$ 19,770.00
63 Total Allowable Costs	\$ 390,079	\$ 571,440	\$ 802,150	\$ 230,710.49
COST REPORT INFORMATION:	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
64 Land				
65 Buildings and Improvements				
66 Equipment (purchase price of \$5000 or more)				

EXHIBIT H

BUDGET AND EXPENDITURE REPORT

For Monterey County - Behavioral Health

FISCAL YEAR 2015-16

Program Name: Integrated Co-Occuring Treatment (ICT) Program

AVATAR Program(s): BVCSOCSDV, BVCSOCFSP

Unduplicated Number of Clients to be Served: 57

Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/CSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management	15	01	108,333	\$ 245,916.70	65,000	\$ 132,795.02
Mental Health Services	15	10, 30, 40, 45	189,813	\$ 556,152.75	113,888	\$ 300,322.49
				\$ 802,069.46		\$ 433,117.51

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
67 Total				

We hereby certify to the best of our knowledge, under penalty of perjury, that the above report is true and correct, that the amounts reported are traceable to (Contractor's Name) accounting records, and that all Monterey County funds received for the purposes of this program were spent in accordance with the Contract's program requirements, the Agreement and all applicable Federal, State and County laws and regulations. Falsification of any amount disclosed herein shall constitute a false claim pursuant to California Government Code Section 12650 et seq.

Executive Director's Signature _____

Date _____

Finance Director's Signature _____

Date _____

Supplemental Schedule of Salaries and Wages - Mode Cost (Direct Services)

TITLE OF POSITION	Annual Salary/Wage	FTE (Full Time Employees)	TOTAL
Therapists	\$ 70,000	5.00	\$ 350,000
Clinical Supervisor	\$ 80,000	1.00	\$ 80,000
Clinical Director	\$ 105,000	0.50	\$ 52,500
Admin Assistant	\$ 35,000	0.50	\$ 17,500

**DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16**

Program Name: MCSTART 0-5 and Non Medi-Cal AVATAR Program(s): BUCSOC
 Unduplicated Number of Clients to be Served: _____ Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/CSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management	15	01	336,020	\$ 705,502.44	328,808	\$ 667,669.55
Mental Health Services	15	10, 30, 40, 45	120,518	\$ 326,620.66	117,312	\$ 309,351.80
Medication Support	15	60	92,716	\$ 484,780.84	80,337	\$ 440,684.44
Grand Total				\$ 1,496,903.83		\$ 1,417,685.79

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
A. PROGRAM REVENUES				
Monterey County Funds (Monterey County's Use):				
Provisional Rates				
Estimated Federal Financial Participation (FFP) and EPSDT	\$ 1,136,458.06	\$ 1,181,013.85	\$ 1,417,685.79	\$ 236,671.94
Mental Health Services Act (MHSA)/CSS Funds	\$ 46,341.41	\$ 52,921.26	\$ 79,218.14	\$ 26,296.88
				\$ -
Total Requested Monterey County Funds	\$ 1,182,799.47	\$ 1,233,935.11	\$ 1,496,903.93	\$ 262,968.82
Other Program Revenues				
CONTRACTOR Match from First 5 Funds	\$ 121,502.30	\$ 121,502.30	\$ 121,502.30	\$ -
Other CONTRACTOR Program Revenues	\$ 291,684.00	\$ -	\$ -	\$ -
Total CONTRACTOR Program Revenues	\$ 413,186.30	\$ 121,502.30	\$ 121,502.30	\$ -
TOTAL PROGRAM REVENUES (equals Allowable Costs)	\$ 1,595,985.77	\$ 1,355,437.41	\$ 1,618,406.23	\$ 262,968.82

B. ALLOWABLE COSTS - Allowable expenditures for the care and services of placed Monterey County clients allocated in accordance with requirements contained in this Agreement. Expenditures should be reported within the cost categories list. CONTRACTOR is expected to be able to identify direct and indirect costs directly from its financial statements.

I. Direct Cost Centers - a direct cost, as defined in OMB A-97, is a cost that can be identified specifically with a particular final cost objective.

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
A. Mode Costs (Direct Services)				
1 Salaries and wages (please fill out Supplemental Schedule of Salaries and Wages)	\$ 553,370	\$ 417,704	\$ 822,300	\$ 404,596
2 Payroll taxes	\$ 47,290	\$ 35,700	\$ 84,072	\$ 48,372
3 Employee benefits	\$ 54,506	\$ 41,143	\$ 96,900	\$ 55,757
4 Workers Compensation	\$ 10,398	\$ 6,883	\$ 16,380	\$ 9,497
8 Travel (costs incurred to carry out the program)	\$ 3,000	\$ 3,000	\$ 6,000	\$ 3,000
9 Employee Travel and Conference	\$ 24,000	\$ 14,000	\$ 15,000	\$ 1,000
10 Communication Costs	\$ 18,000	\$ 18,000	\$ 18,000	\$ -
11 Utilities	\$ 8,700	\$ 8,700	\$ 9,000	\$ 300
12 Cleaning and Janitorial	\$ 13,000	\$ 13,000	\$ 13,000	\$ -
13 Maintenance and Repairs - Buildings	\$ 52,500	\$ 52,500	\$ 50,000	\$ (2,500)
14 Maintenance and Repairs - Equipment	\$ -	\$ -	\$ -	\$ -
15 Printing and Publications	\$ 11,500	\$ 11,500	\$ 14,000	\$ 2,500
16 Memberships, Subscriptions and Dues	\$ 500	\$ 500	\$ 2,000	\$ 1,500
17 Office Supplies	\$ 14,000	\$ 14,000	\$ 18,000	\$ 4,000
18 Postage and Mailing	\$ 300	\$ 300	\$ 500	\$ 200
21 Rent and Leases - equipment	\$ 3,000	\$ 3,000	\$ 4,500	\$ 1,500
25 Other Professional and Consultant Services (allowable with prior specific approval from Monterey County and must meet the criteria of a direct cost)	\$ 201,000	\$ 201,000	\$ 227,700	\$ 26,700
26 Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))				\$ -

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DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16

Program Name: MCSTART 0-5 and Non Medi-Cal AVATAR Program(s): BUCSOC
 Unduplicated Number of Clients to be Served: _____ Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/CSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management	15	01	336,020	\$ 705,502.44	326,808	\$ 667,669.55
Mental Health Services	15	10, 30, 40, 45	120,518	\$ 326,620.66	117,312	\$ 309,351.80
Medication Support	15	60	92,716	\$ 464,780.84	90,337	\$ 440,664.44
Grand Total				\$ 1,496,903.93		\$ 1,417,685.79

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
27 Miscellaneous (please provide details)				\$ -
28 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)				\$ -
29 Total Mode Costs	\$ 1,015,064	\$ 840,930	\$ 1,397,352	\$ 556,422
B. Administrative Costs - the allocation base must reasonably reflect the level of service received by the County from the program/activity and there must be a direct causal relationship between the allocation based used and the service provided.				
30 Salaries and Benefits	\$ 140,882	\$ 65,000	\$ -	\$ (65,000)
31 Supplies	\$ 36,100	\$ 36,100	\$ -	\$ (36,100)
32 Others - match money	\$ 188,554	\$ 188,554	\$ -	\$ (188,554)
33 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)			\$ -	\$ -
34 Total Administrative Costs	\$ 365,536	\$ 289,654	\$ -	\$ (289,654)
35 TOTAL DIRECT COSTS	\$ 1,380,600	\$ 1,130,584	\$ 1,397,352	\$ 266,768

II Indirect Cost Centers - include all costs that are incurred for a common or joint purpose benefitting more than one final cost objective, that are not readily assignable to the cost objective specifically benefitted without effort disproportionate to the results achieved. The indirect cost centers correspond directly with the expense accounts defined in the Accounting Standards and Procedures for Counties, which is published by the California State Controller's Office.

INDIRECT COSTS	Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
36 Equipment (purchase price of less than \$5000)				\$ -
37 Rent and Leases - equipment				\$ -
38 Rent and Leases - building and improvements	\$ 128,800	\$ 128,800	\$ 130,000	\$ 1,200
39 Taxes and assessments	\$ 6,600	\$ 6,600	\$ 6,800	\$ 200
40 Insurance and Indemnity				\$ -
41 Maintenance - equipment	\$ 49,500	\$ 49,500	\$ 50,000	\$ 500
42 Maintenance - building and improvements				\$ -
43 Utilities	\$ 500	\$ 500	\$ 800	\$ 300
50 Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))	\$ 13,200	\$ 13,200	\$ 14,000	\$ 800
54 Other Professional and Specialized Services	\$ 17,000	\$ 17,000	\$ 19,400	\$ 2,400
55 Transportation and Travel				\$ -
56 Advertising (for recruitment of admin personnel, procurement of services and disposal of surplus assets)				\$ -
57 Total Indirect costs	\$ 215,600.00	\$ 215,600	\$ 221,000	\$ 5,400
63 Total Allowable Costs	\$ 1,596,200.00	\$ 1,346,184	\$ 1,618,352	\$ 272,168
COST REPORT INFORMATION:				
	Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
64 Land				
65 Buildings and Improvements				
66 Equipment (purchase price of \$5000 or more)				

**DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16**

Program Name: MCSTART 0-5 and Non Medi-Cal AVATAR Program(s): BUCSOC
 Unduplicated Number of Clients to be Served: _____ Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHA/CSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management	15	01	336,020	\$ 705,502.44	326,808	\$ 667,669.55
Mental Health Services	15	10, 30, 40, 45	120,518	\$ 326,620.66	117,312	\$ 309,351.80
Medication Support	15	60	92,716	\$ 484,780.84	90,337	\$ 440,664.44
Grand Total				\$ 1,496,903.93		\$ 1,417,685.79

		Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
67	Total				

We hereby certify to the best of our knowledge, under penalty of perjury, that the above report is true and correct, that the amounts reported are traceable to (Contractor's Name) accounting records, and that all Monterey County funds received for the purposes of this program were spent in accordance with the Contract's program requirements, the Agreement and all applicable Federal, State and County laws and regulations. Falsification of any amount disclosed herein shall constitute a false claim pursuant to California Government Code Section 12850 et seq.

Executive Director's Signature _____ Date _____ Finance Director's Signature _____ Date _____

Supplemental Schedule of Salaries and Wages - Mode Cost (Direct Services)

TITLE OF POSITION	Annual Salary/Wage	FE (Full Time Employee)	TOTAL
Clinical Services Director	\$ 125,000	1.00	\$ 125,000
Clinical Supervisor	\$ 80,000	1.25	\$ 100,000
Occupational Therapist	\$ 88,400	2.00	\$ 176,800
Case Manager	\$ 58,000	3.50	\$ 203,000
Quality Assurance	\$ 70,000	1.50	\$ 105,000
Parent Coordinator/Educator	\$ 60,000	1.00	\$ 60,000
Admin Assistant	\$ 35,000	1.50	\$ 52,500
			\$ -
Total Salaries and Wages			\$ 822,300

EXHIBIT H

**DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16**

Program Name: DTH MCSTART 6 - 11 DSES

AVATAR Program(s) BUCSOC2, BUCSOCSES

Unduplicated Number of Clients to be Served: _____

Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/CSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management	15	01	35,808	\$ 81,283.03	35,808	\$ 73,154.72
Mental Health Services	15	10, 30, 40, 45	158,450	\$ 464,259.61	158,450	\$ 417,833.65
Medication Support	15	60	19,045	\$ 103,225.08	19,045	\$ 92,902.57
				\$ 648,767.71		\$ 583,890.94

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
A. PROGRAM REVENUES				
Monterey County Funds (Monterey County's Use):				
Provisional Rates				
Estimated Federal Financial Participation (FFP) and EPSDT	\$ 322,488.49	\$ 471,704.40	\$ 583,890.94	\$ 112,186.54
Mental Health Services Act (MHSA)/CSS Funds	\$ 17,832.03	\$ 27,411.60	\$ 34,876.77	\$ 7,465.17
Monterey County Department of Social Services (DSS)	\$ 18,000.02	\$ 25,000.00	\$ 30,000.00	\$ 5,000.00
Total Requested Monterey County Funds	\$ 358,321	\$ 524,116	\$ 648,767.71	\$ 124,651.71
Other Program Revenues	\$ -	\$ -	\$ -	\$ -
TOTAL PROGRAM REVENUES (equals Allowable Costs)	\$ 358,321	\$ 524,116	\$ 648,767.71	\$ 124,651.71

B. ALLOWABLE COSTS - Allowable expenditures for the care and services of placed Monterey County clients allocated in accordance with requirements contained in this Agreement. Expenditures should be reported within the cost categories list. CONTRACTOR is expected to be able to identify direct and indirect costs directly from its financial statements.

I. Direct Cost Centers - a direct cost, as defined in OMB A-87, is a cost that can be identified specifically with a particular final cost objective.

A. Mode Costs (Direct Services)	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
1 Salaries and wages (please fill out Supplemental Schedule of Salaries and Wages)	\$ 154,600	\$ 336,760.00	\$ 411,600.00	\$ 74,840.00
2 Payroll taxes	\$ 11,403	\$ 23,814.00	\$ 32,598.00	\$ 8,784.00
3 Employee benefits	\$ 19,316	\$ 42,075.00	\$ 58,282.00	\$ 16,207.00
4 Workers Compensation	\$ 2,372	\$ 5,167.00	\$ 7,904.00	\$ 2,737.00
8 Travel (costs incurred to carry out the program)	\$ 7,000	\$ 4,000.00	\$ 7,000.00	\$ 3,000.00
9 Employee Travel and Conference	\$ 6,000	\$ 3,500.00	\$ 6,500.00	\$ 3,000.00
10 Communication Costs	\$ 6,000	\$ 6,000.00	\$ 6,000.00	\$ -
11 Utilities	\$ -	\$ -	\$ -	\$ -
12 Cleaning and Janitorial	\$ 5,000	\$ 2,500.00	\$ 2,500.00	\$ -
13 Maintenance and Repairs - Buildings				\$ -
14 Maintenance and Repairs - Equipment				\$ -
15 Printing and Publications	\$ 4,000	\$ 2,500.00	\$ 4,000.00	\$ 1,500.00
16 Memberships, Subscriptions and Dues				\$ -
17 Office Supplies	\$ 6,230	\$ 6,000.00	\$ 7,800.00	\$ 1,800.00
18 Postage and Mailing	\$ 400	\$ 300.00	\$ 500.00	\$ 200.00
19 Medical Records				\$ -
20 Data Processing				\$ -
21 Rent and Leases - equipment	\$ 5,150	\$ 3,500.00	\$ 4,000.00	\$ 500.00
22 Rent and Leases - building and improvements (please identify the property address and method of cost allocation)				\$ -
23 Taxes and assessments (Please identify the property address and method of cost allocation)				\$ -
24 Interest in Other Long-term debts (please identify the property address and method of cost allocation)				\$ -
25 Other Professional and Consultant Services (allowable with prior specific approval from Monterey County and must meet the criteria of a direct cost)	\$ 28,000	\$ 30,000.00	\$ 35,000.00	\$ 5,000.00

EXHIBIT H

For Monterey County - Behavioral Health

FISCAL YEAR 2015-16

Program Name: DTH MCSTART 6 - 11 DSES

AVATAR Program(s) BUCSOC2, BUCSOCDSSES

Unduplicated Number of Clients to be Served: _____

Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/CSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Casa Management	15	01	35,808	\$ 81,283.03	35,808	\$ 73,154.72
Mental Health Services	15	10, 30, 40, 45	158,450	\$ 464,259.61	158,450	\$ 417,833.65
Medication Support	15	60	19,045	\$ 103,225.08	19,045	\$ 92,902.57
				\$ 648,767.71		\$ 583,890.94

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
26 Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))				\$ -
27 Miscellaneous (please provide details)				\$ -
28 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)				\$ -
29 Total Mode Costs	\$ 255,471	\$ 466,116	\$ 583,684	\$ 117,568.00
B. Administrative Costs - the allocation base must reasonably reflect the level of service received by the County from the program/activity and there must be a direct causal relationship between the allocation based used and the service provided.				\$ -
30 Salaries and Benefits			\$ -	\$ -
31 Supplies			\$ -	\$ -
32 Others - please provide details. Expense must be authorized by the County and/or not prohibited under Federal, State or local law or regulations.			\$ -	\$ -
33 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)			\$ -	\$ -
34 Total Administrative Costs	\$ -	\$ -	\$ -	\$ -
35 TOTAL DIRECT COSTS	\$ 255,471	\$ 466,116	\$ 583,684	\$ 117,568.00
If Indirect Cost Centers - include all costs that are incurred for a common or joint purpose benefiting more than one final cost objective, that are not readily assignable to the cost objective specifically benefited without effort disproportionate to the results achieved. The indirect cost centers correspond directly with the expense accounts defined in the Accounting Standards and Procedures for Counties, which is published by the California State Controller's Office.				
INDIRECT COSTS	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
36 Equipment (purchase price of less than \$5000)				\$ -
37 Rent and Leases - equipment				\$ -
38 Rent and Leases - building and improvements	\$ 18,000	\$ 22,000	\$ 30,000.00	\$ 8,000.00
39 Taxes and assessments				\$ -
40 Insurance and Indemnity				\$ -
41 Maintenance - equipment				\$ -
42 Maintenance - building and improvements				\$ -
43 Utilities				\$ -
44 Household Expenses				\$ -
45 Interest in Bonds				\$ -
46 Interest in Other Long-term debts				\$ -
47 Other interest and finance charges				\$ -
48 Contracts Administration				\$ -
49 Legal and Accounting (when required for the administration of the County Programs)				\$ -
50 Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))				\$ -
51 Data Processing				\$ -
52 Personnel Administration	\$ 34,112	\$ 34,112	\$ 35,000.00	\$ 888.00
53 Medical Records				\$ -
54 Other Professional and Specialized Services				\$ -
55 Transportation and Travel				\$ -

EXHIBIT H

For Monterey County - Behavioral Health

FISCAL YEAR 2015-16

Program Name: DTH MCSTART 6 - 11 DSES

AVATAR Program(s) BUCSOC2 , BUCSOC2DSES

Unduplicated Number of Clients to be Served: _____

Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSACSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management	15	01	35,808	\$ 81,283.03	35,808	\$ 73,154.72
Mental Health Services	15	10, 30, 40, 45	158,450	\$ 464,259.61	158,450	\$ 417,833.65
Medication Support	15	60	19,045	\$ 103,225.08	19,045	\$ 92,502.57
				\$ 648,767.71		\$ 563,890.94

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
Advertising (for recruitment of admin personnel, procurement of services and disposal of surplus assets)				\$ -
57 Total Indirect costs	\$ 52,112	\$ 56,112	\$ 65,000	\$ 8,888.00
63 Total Allowable Costs	\$ 307,583	\$ 522,228	\$ 648,684	\$ 126,455.00
COST REPORT INFORMATION:				
	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
64 Land				
65 Buildings and Improvements				
66 Equipment (purchase price of \$5000 or more)				
67 Total				

We hereby certify to the best of our knowledge, under penalty of perjury, that the above report is true and correct, that the amounts reported are traceable to (Contractor's Name) accounting records, and that all Monterey County funds received for the purposes of this program were spent in accordance with the Contract's program requirements, the Agreement and all applicable Federal, State and County laws and regulations. Falsification of any amount disclosed herein shall constitute a false claim pursuant to California Government Code Section 12850 et seq.

Executive Director's Signature _____

Date _____

Finance Director's Signature _____

Date _____

Supplemental Schedule of Salaries and Wages - Mode Cost (Direct Services)

TITLE OF POSITION	Annual Salary/Wage	FTE (Full Time Employee)	TOTAL
Therapists	\$ 70,000	1.00	\$ 70,000
Occupational Therapist	\$ 88,400	1.50	\$ 132,600
Clinical Director	\$ 96,000	0.25	\$ 24,000
Case Manager	\$ 58,000	2.50	\$ 145,000
Clinical Supervisor	\$ 80,000	0.50	\$ 40,000
			\$ -
			\$ -
			\$ -
			\$ -
Total Salaries and Wages	\$ 392,400		\$ 411,600

**DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16**

Program Name: DTH Nueva Esperanza

AVATAR Program# 27CX

Unduplicated Number of Clients Served: _____

Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/CSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management (Adult)	15	01	6,277	\$ 14,249.43	6,277	\$ 7,124.71
Mental Health Services (Adult)	15	10, 30, 40, 45	128,081	\$ 375,276.28	128,081	\$ 187,838.14
			Subtotals	\$ 389,525.70		\$ 194,962.85
Case Management (Children)	15	01	11,766	\$ 26,709.91	11,766	\$ 24,038.82
Mental Health Services (Children)	15	10, 30, 40, 45	65,002	\$ 190,458.09	65,002	\$ 171,410.48
			Subtotals	\$ 217,166.00		\$ 195,449.40
			Maximum County Liability	\$ 606,691.70		\$ 390,212.25

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
A. PROGRAM REVENUES				
Monterey County Funds (Monterey County's Use):				
Provisional Rates				
Estimated Federal Financial Participation (FFP)	\$ 424,885.38	\$ 375,497.05	\$ 390,212.25	\$ 14,715
Mental Health Services Act (MHSA)/CSS Funds	\$ 20,535.39	\$ 188,331.62	\$ 196,479.45	\$ 8,148
Monterey County Department of Social Services (DSS)	\$ 20,000.00	\$ 20,000.00	\$ 20,000.00	\$ -
Total Requested Monterey County Funds	\$ 465,220.75	\$ 583,828.67	\$ 606,691.70	\$ 22,863
Other Program Revenues				
CONTRACTOR Match Amount	\$ 85,825.32	\$ -	\$ -	\$ -
Other Program Revenues				
TOTAL PROGRAM REVENUES (equals Allowable Costs)	\$ 581,146.07	\$ 583,828.67	\$ 606,691.70	\$ 22,863

B. ALLOWABLE COSTS - Allowable expenditures for the care and services of placed Monterey County clients allocated in accordance with requirements contained in this Agreement. Expenditures should be reported within the cost categories list. CONTRACTOR is expected to be able to identify direct and indirect costs directly from its financial statements.

i. Direct Cost Centers - a direct cost, as defined in OMB A-87, is a cost that can be identified specifically with a particular final cost objective.				
A. Mode Costs (Direct Services)	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
1 Salaries and wages (please fill out Supplemental Schedule of Salaries and Wages)	\$ 297,095.00	\$ 298,672.00	\$ 382,055.00	\$ 83,383.00
2 Payroll taxes	\$ 21,495.00	\$ 28,252.00	\$ 39,061.00	\$ 10,809.00
3 Employee benefits	\$ 24,647.00	\$ 31,005.00	\$ 45,021.00	\$ 14,016.00
4 Workers Compensation	\$ 4,323.00	\$ 5,583.00	\$ 7,611.00	\$ 2,028.00
5 Severance Pay (if required by law, employer-employee agreement or established written policy or associated with County's loss of funding)				\$ -
6 Temporary Staffing				\$ -
7 Flexible Client Spending (please provide supporting documents)				\$ -
8 Travel (costs incurred to carry out the program)	\$ 7,000.00	\$ 10,000.00	\$ 7,000.00	\$ (3,000.00)
9 Employee Travel and Conference	\$ 5,000.00	\$ 7,000.00	\$ 5,000.00	\$ (2,000.00)
10 Communication Costs	\$ 4,500.00	\$ 6,500.00	\$ 4,500.00	\$ (2,000.00)
11 Utilities	\$ 9,000.00	\$ 9,500.00	\$ 9,000.00	\$ (500.00)
12 Cleaning and Janitorial				\$ -
13 Maintenance and Repairs - Buildings	\$ 35,000.00	\$ 42,000.00	\$ 34,000.00	\$ (8,000.00)
14 Maintenance and Repairs - Equipment				\$ -
15 Printing and Publications	\$ 2,000.00	\$ 4,000.00	\$ 2,000.00	\$ (2,000.00)
16 Memberships, Subscriptions and Dues	\$ 500.00	\$ 1,000.00	\$ 500.00	\$ (500.00)
17 Office Supplies	\$ 6,000.00	\$ 8,000.00	\$ 6,000.00	\$ (2,000.00)
18 Postage and Mailing	\$ 100.00	\$ 100.00	\$ 100.00	\$ -
19 Medical Records				\$ -
20 Data Processing				\$ -
21 Rent and Leases - equipment	\$ 300.00	\$ 700.00	\$ 300.00	\$ (400.00)

EXHIBIT H

**DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16**

Program Name: DTH Nueva Esperanza AVATAR Program(s): 27CX
 Unduplicated Number of Clients Served: _____ Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/CSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management (Adult)	15	01	6,277	\$ 14,249.43	6,277	\$ 7,124.71
Mental Health Services (Adult)	15	10, 30, 40, 45	128,081	\$ 375,276.28	128,081	\$ 187,838.14
			Subtotals	\$ 389,525.70		\$ 194,962.85
Case Management (Children)	15	01	11,766	\$ 26,709.91	11,766	\$ 24,038.92
Mental Health Services (Children)	15	10, 30, 40, 45	65,002	\$ 190,456.09	65,002	\$ 171,410.48
			Subtotals	\$ 217,166.00		\$ 195,449.40
			Maximum County Liability	\$ 606,691.70		\$ 390,212.25

22	Rent and Leases - building and improvements (please identify the property address and method of cost allocation)					\$ -
23	Taxes and assessments (Please identify the property address and method of cost allocation)					\$ -
24	Interest in Other Long-term debts - 325 California Street mortgage interest		\$ 5,615.00	\$ 5,615.00	\$ 6,000.00	\$ 385.00
25	Other Professional and Consultant Services (allowable with prior specific approval from Monterey County and must meet the criteria of a direct cost)		\$ 4,000.00	\$ 4,000.00	\$ 4,000.00	\$ -
26	Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))					\$ -
27	Miscellaneous - mortgage & labs/drug testing		\$ 22,385.00	\$ 22,385.00	\$ 23,000.00	\$ 615.00
28	Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)					\$ -
29	Total Mode Costs		\$ 448,960.00	\$ 484,312.00	\$ 575,148.00	\$ 90,836.00
	B. Administrative Costs - the allocation base must reasonably reflect the level of service received by the County from the program/activity and there must be a					\$ -
30	Salaries and Benefits					\$ -
31	Supplies		\$ 11,000.00	\$ 14,000.00	\$ 11,000.00	\$ (3,000.00)
32	Others - match money already pd ytd		\$ 80,000.00	\$ 60,000.00		\$ (60,000.00)
33	Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)					\$ -
34	Total Administrative Costs		\$ 91,000.00	\$ 74,000.00	\$ 11,000.00	\$ (63,000.00)
35	TOTAL DIRECT COSTS		\$ 539,960.00	\$ 558,312.00	\$ 586,148.00	\$ 27,836.00

II Indirect Cost Centers - include all costs that are incurred for a common or joint purpose benefiting more than one final cost objective, that are not readily assignable to the cost objective specifically benefitted without effort disproportionate to the results achieved. The indirect cost centers correspond directly with the expense accounts defined in the Accounting Standards and Procedures for Counties, which is published by the California State Controller's Office.

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
INDIRECT COSTS				
36	Equipment (purchase price of less than \$5000)			\$ -
37	Rent and Leases - equipment			\$ -
38	Rent and Leases - building and improvements			\$ -
39	Taxes and assessments	\$ 100.00	\$ 100.00	\$ 100.00
40	Insurance and Indemnity	\$ 2,472.00	\$ 2,472.00	\$ 2,472.00
41	Maintenance - equipment			\$ -
42	Maintenance - building and improvements			\$ -
43	Utilities			\$ -
44	Household Expenses	\$ 15,000.00	\$ 15,000.00	\$ 13,100.00
45	Interest in Bonds			\$ -
46	Interest in Other Long-term debts			\$ -
47	Other interest and finance charges			\$ -
48	Contracts Administration			\$ -
49	Legal and Accounting (when required for the administration of the County Programs)			\$ -
50	Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133))	\$ 4,944.00	\$ 4,944.00	\$ 4,944.00
51	Data Processing			\$ -
52	Personnel Administration			\$ -
53	Medical Records			\$ -
54	Other Professional and Specialized Services			\$ -

**DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16**

Program Name: DTH Nueva Esperanza
Unduplicated Number of Clients Served: _____

AVATAR Program#: 27CX
Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/CSS)	Estimated Medi-Cal Units of Service	Estimated Federal Financial Participation (FFP) Revenue and EPSDT Revenue
Case Management (Adult)	15	01	6,277	\$ 14,249.43	6,277	\$ 7,124.71
Mental Health Services (Adult)	15	10, 30, 40, 45	128,081	\$ 375,276.28	128,081	\$ 187,638.14
			Subtotals	\$ 389,525.70		\$ 194,762.85
Case Management (Children)	15	01	11,766	\$ 26,709.91	11,766	\$ 24,038.92
Mental Health Services (Children)	15	10, 30, 40, 45	65,002	\$ 190,456.09	65,002	\$ 171,410.48
			Subtotals	\$ 217,166.00		\$ 195,449.40
			Maximum County Liability	\$ 606,691.70		\$ 390,212.25

55	Transportation and Travel					\$ -
56	Advertising (for recruitment of admin personnel, procurement of services and disposal of surplus assets)					\$ -
57	Total Indirect costs			\$ 22,516.00	\$ 22,516.00	\$ 20,616.00
63	Total Allowable Costs			\$ 562,476.00	\$ 580,828.00	\$ 606,764.00
COST REPORT INFORMATION:				Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16
64	Land					
65	Buildings and Improvements					
66	Equipment (purchase price of \$5000 or more)					
67	Total					

We hereby certify to the best of our knowledge, under penalty of perjury, that the above report is true and correct, that the amounts reported are traceable to (Contractor's Name) accounting records, and that all Monterey County funds received for the purposes of this program were spent in accordance with the Contract's program requirements, the Agreement and all applicable Federal, State and County laws and regulations. Falsification of any amount disclosed herein shall constitute a false claim pursuant to California Government Code Section 12650 et seq.

Executive Director's Signature _____ Date _____ Finance Director's Signature _____ Date _____

Supplemental Schedule of Salaries and Wages - Mode Cost (Direct Services)

TITLE OF POSITION	Annual Salary/Wage	TE (Full Time Employee)	TOTAL
Program Coordinator/Senior Counselor	\$ 60,000	1.00	\$ 60,000
Counselor	\$ 45,000	2.00	\$ 90,000
Parent Educator	\$ 37,440	1.00	\$ 37,440
Program Aide	\$ 29,120	2.00	\$ 58,240
Child Development Specialist	\$ 53,040	1.00	\$ 53,040
Senior Clinical Director	\$ 125,000	0.25	\$ 31,250
Residential Services	\$ 37,960	1.00	\$ 37,960
Intake Coordinator	\$ 56,500	0.25	\$ 14,125
Total Salaries and Wages			\$ 382,055

EXHIBIT H

**DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16**

Program Name: DTH Santa Lucia AVATAR Program CDCSOC
 Unduplicated Number of Clients Served: _____ Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHSA/CSS)	Estimated Medi-Cal Units of Service	Estimated FFP & EPSDT Revenue
Case Management	15	01	34,199	\$ 77,631.73	34,199	\$ 69,868.56
Mental Health	15	10, 30, 40, 45	129,778	\$ 380,249.31	129,778	\$ 342,224.38
Maximum County Liability				\$ 457,881.04		\$ 412,092.93

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
A. PROGRAM REVENUES				
Monterey County Funds (Monterey County's Use):				
Provisional Rates				
Estimated Federal Financial Participation (FFP) & EPSDT	\$ 381,164.46	\$ 396,474.80	\$ 412,092.93	\$ 15,618.13
Mental Health Services Act (MHSA)/CSS Funds	\$ 42,351.60	\$ 44,052.76	\$ 45,788.10	\$ 1,735.34
Total Requested Monterey County Funds	\$ 423,516.06	\$ 440,527.56	\$ 457,881.03	\$ 17,353.47
Other Program Revenues				\$ -
TOTAL PROGRAM REVENUES (equals Allowable Costs)	\$ 423,516.06	\$ 440,527.56	\$ 457,881.03	\$ 17,353.47

B. ALLOWABLE COSTS - Allowable expenditures for the care and services of placed Monterey County clients allocated in accordance with requirements contained in this Agreement. Expenditures should be reported within the cost categories list. CONTRACTOR is expected to be able to identify direct and indirect costs directly from its financial statements.

I. Direct Cost Centers - a direct cost, as defined in OMB A-87, is a cost that can be identified specifically with a particular final cost objective.

	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
A. Mode Costs (Direct Services)				
1 Salaries and wages (please fill out Supplemental Schedule of Salaries and Wages)	\$ 255,555.00	\$ 268,105.00	\$ 280,500.00	\$ 12,395.00
2 Payroll taxes	\$ 26,501.00	\$ 28,105.00	\$ 28,678.00	\$ 573.00
3 Employee benefits	\$ 30,347.00	\$ 32,500.00	\$ 33,054.00	\$ 554.00
4 Workers Compensation	\$ 5,513.00	\$ 6,485.00	\$ 5,588.00	\$ (897.00)
5 Severance Pay (if required by law, employer-employee agreement or established written policy or associated with County's loss of funding)				\$ -
6 Temporary Staffing				\$ -
7 Flexible Client Spending (please provide supporting documents)				\$ -
8 Travel (costs incurred to carry out the program)	\$ 11,000.00	\$ 11,000.00	\$ 11,000.00	\$ -
9 Employee Travel and Conference	\$ 4,000.00	\$ 4,000.00	\$ 4,000.00	\$ -
10 Communication Costs	\$ 4,500.00	\$ 4,500.00	\$ 4,500.00	\$ -
11 Utilities	\$ 8,000.00	\$ 8,000.00	\$ 9,000.00	\$ 1,000.00
12 Cleaning and Janitorial				\$ -
13 Maintenance and Repairs - Buildings	\$ 14,000.00	\$ 14,000.00	\$ 14,000.00	\$ -
14 Maintenance and Repairs - Equipment				\$ -
15 Printing and Publications	\$ 1,000.00	\$ 1,000.00	\$ 1,800.00	\$ 800.00
16 Memberships, Subscriptions and Dues				\$ -
17 Office Supplies	\$ 2,000.00	\$ 2,000.00	\$ 2,500.00	\$ 500.00
18 Postage and Mailing	\$ 100.00	\$ 100.00	\$ 100.00	\$ -
21 Rent and Leases - equipment	\$ 1,000.00	\$ 1,000.00	\$ 2,000.00	\$ 1,000.00
22 Rent and Leases - building and improvements (please identify the property address and method of cost allocation)				\$ -
23 Taxes and assessments (Please identify the property address and method of cost allocation)				\$ -
24 Interest in Other Long-term debts (please identify the property address and method of cost allocation)				\$ -
25 Other Professional and Consultant Services (allowable with prior specific approval from Monterey County and must meet the criteria of a direct cost)	\$ 9,000.00	\$ 9,000.00	\$ 9,500.00	\$ 500.00
26 Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133)				\$ -
27 Miscellaneous (please provide details)				\$ -
28 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)				\$ -
29 Total Mode Costs	\$ 372,516.00	\$ 389,795.00	\$ 406,220.00	\$ 16,425.00
B. Administrative Costs - the allocation base must reasonably reflect the level of service received by the County from the program/activity and there must be a direct causal relationship between the allocation based used and the service provided.				
30 Salaries and Benefits				\$ -
31 Supplies				\$ -
32 Others - please provide details. Expense must be authorized by the County and/or not prohibited under Federal, State or local law or regulations.				\$ -
33 Depreciation Expenses (please exclude assets purchased by COUNTY funds and provide Schedule of Depreciation expense.)				\$ -

EXHIBIT H

**DOOR TO HOPE
BUDGET AND EXPENDITURE REPORT
For Monterey County - Behavioral Health
FISCAL YEAR 2015-16**

Program Name: DTH Santa Lucia
Unduplicated Number of Clients Served: _____

AVATAR Program CDCSOC
Address: _____

Service Description	Mode of Service	Service Function Code	Total Units of Service	Maximum County Liability by Program (MHA/CSS)	Estimated Medi-Cal Units of Service	Estimated FFP & EPSDT Revenue
Case Management	15	01	34,199	\$ 77,631.73	34,199	\$ 69,668.56
Mental Health	15	10, 30, 40, 45	129,778	\$ 380,249.31	129,778	\$ 342,224.38
Maximum County Liability				\$ 457,881.04		\$ 412,092.93

34	Total Administrative Costs	\$ -	\$ -	\$ -	\$ -
35	TOTAL DIRECT COSTS	\$ 372,516.00	\$ 389,795.00	\$ 406,220.00	\$ 16,425.00

If Indirect Cost Centers - include all costs that are incurred for a common or joint purpose benefitting more than one final cost objective, that are not readily assignable to the cost objective specifically benefited without effort disproportionate to the results achieved. The indirect cost centers correspond directly with the expense accounts defined in the Accounting Standards and Procedures for Counties, which is published by the California State Controller's Office.

	INDIRECT COSTS	Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
36	Equipment (purchase price of less than \$5000)				\$ -
37	Rent and Leases - equipment				\$ -
38	Rent and Leases - building and improvements	\$ 30,000.00	\$ 30,000.00	\$ 30,000.00	\$ -
39	Taxes and assessments	\$ 1,000.00	\$ 1,000.00	\$ 1,500.00	\$ 500.00
40	Insurance and indemnity				\$ -
41	Maintenance - equipment				\$ -
42	Maintenance - building and improvements				\$ -
43	Utilities				\$ -
44	Household Expenses	\$ 20,000.00	\$ 20,000.00	\$ 20,000.00	\$ -
45	Interest in Bonds				\$ -
46	Interest in Other Long-term debts				\$ -
47	Other interest and finance charges				\$ -
48	Contracts Administration				\$ -
49	Legal and Accounting (when required for the administration of the County Programs)				\$ -
50	Audit Costs and Related Services (Audits required by and conducted in accordance with the Single Audit Act (OMB Circular A-133)				\$ -
51	Data Processing				\$ -
52	Personnel Administration				\$ -
53	Medical Records				\$ -
54	Other Professional and Specialized Services				\$ -
55	Transportation and Travel				\$ -
56	Advertising (for recruitment of admin personnel, procurement of services and disposal of surplus assets)				\$ -
57	Total Indirect costs	\$ 51,000.00	\$ 51,000.00	\$ 51,500.00	\$ 500.00
63	Total Allowable Costs	\$ 423,516.00	\$ 440,795.00	\$ 457,720.00	\$ 16,925.00
COST REPORT INFORMATION:		Actual Budget FY 2013-14	Budget FY 2014-15	Budget FY 2015-16	Change
64	Land				
65	Buildings and Improvements				
66	Equipment (purchase price of \$5000 or more)				
67	Total				

We hereby certify to the best of our knowledge, under penalty of perjury, that the above report is true and correct, that the amounts reported are traceable to (Contractor's Name) accounting records, and that all Monterey County funds received for the purposes of this program were spent in accordance with the Contract's program requirements, the Agreement and all applicable Federal, State and County laws and regulations. Falsification of any amount disclosed herein shall constitute a false claim pursuant to California Government Code Section 12650 et seq.

Executive Director's Signature _____ Date _____ Finance Director's Signature _____ Date _____

Supplemental Schedule of Salaries and Wages - Mode Cost (Direct Services)

TITLE OF POSITION	Annual Salary/Wage	TE (Full Time Employee)	TOTAL
Childcare Counselor	\$ 40,000	3.50	\$ 140,000
Residential Services	\$ 34,000	1.00	\$ 34,000
Clinical Director	\$ 105,000	0.50	\$ 52,500
Therapist	\$ 54,000	1.00	\$ 54,000
Total Salaries and Wages			\$ 280,500

**EXHIBIT I:
ANNUAL REPORT(S), COST REPORT SETTLEMENT, AND AUDIT**

I. ANNUAL REPORT(S)

- A. For each fiscal year or portion thereof that this Agreement is in effect, CONTRACTOR shall provide COUNTY with accurate and complete Annual Report(s) known as the State Cost Report, the Annual Mental Health Services Act (MHSA) Revenue and Expenditure Reports, and the Annual Report(s), as applicable and required by the COUNTY (numbered (1)-(3) in Section XIV (A) in electronic forms and hard copies along with duly signed Provider's Certification and copy of audited financial statement and/or other supporting documents that the COUNTY may require, by the due date specified in this Exhibit I, Section I., Paragraph C.
- B. An accurate and complete State Cost Report and/or Annual MHSA Revenue and Expenditure Report shall be defined as Annual Report(s) which is (are) completed to the best of the ability of CONTRACTOR on such forms or in such formats as specified by the COUNTY and consistent with such instructions as the COUNTY may issue and are based on the best available data and based on the CONTRACTOR'S Financial Summary applicable to the fiscal year. Further, CONTRACTOR shall certify under penalty of perjury that the CONTRACTOR has not violated any of the provisions of Section 1090 through 1096 of the Government Code and with respect to MHSA funding; is in compliance with California Code of Regulations, Title 9, Division 1, Chapter 14, Article 4, Section 3410, Non-Supplant and Article 5, Section 3500, non-Supplant Certification and Reports; that the amount for which reimbursement is claimed in the Annual Report(s) is in accordance with Chapter 3, Part 2. Division 5 of the Welfare and Institutions Code; and WIC Section 5891 and that to the best of the CONTRACTOR'S knowledge and belief the information on Annual Report(s) is (are) in all respects, correct, and in accordance with the law.
- C. The Annual Report(s) shall be due on September 15th for the fiscal year ending on the previous June 30th or seventy-five (75) days following the expiration or termination date of this Agreement, or forty-five (45) days after the COUNTY transmits the cost report template electronically to the CONTRACTOR, whichever occurs later. Should the due date fall on a weekend, such report(s) shall be due on the following business day.
1. Failure to submit the Annual Report(s) within thirty (30) calendar days after the due date specified in this Exhibit I, Section I, Subsection (C) is a breach of this Agreement. In addition to, and without limiting, any other remedy available to the COUNTY for such breach, COUNTY may undertake any or all of the following to remedy such breach:
 - a. COUNTY, in its sole and absolute discretion, may disallow claim(s) for payment for services/activities rendered during the fiscal year(s) for which the

CONTRACTOR'S Annual Report(s) is (are) outstanding or withhold payment(s) for reimbursements payable pursuant to Section III (A) to CONTRACTOR for the current fiscal year by COUNTY to CONTRACTOR until the Annual Report(s) is (are) submitted. If COUNTY exercises its discretion to disallow claim(s) or withhold payment(s), COUNTY shall give CONTRACTOR written notice, during the thirty (30) calendar days after the due date specified in this Exhibit I, Section I, Subsection (C), of its intention to disallow claim(s) or withhold payment(s) as of the date specified in the notice, including the reason(s) for its intended action. Thereafter, CONTRACTOR, within the time specified in the notice, shall submit the Annual Report(s) to avoid disallowance of claims or withholding of payments.

- b. In such instance that CONTRACTOR does not submit the Annual Report(s) by thirty (30) calendar days after the applicable due date specified in this Exhibit I, Section I, Subsection (C), COUNTY, in its sole and absolute discretion, may deem as due and owing to COUNTY by CONTRACTOR all amounts paid pursuant to Section III (A) by COUNTY to CONTRACTOR for services/activities for the fiscal year(s) for which the Annual Report(s) is (are) outstanding. CONTRACTOR shall pay COUNTY according to the method described in this Exhibit I, Section IV (Method of Payments for Amounts Due to COUNTY). Such payments shall be submitted to the persons at the address identified in the COUNTY invoice.

D. The Annual Report(s) shall be prepared by the CONTRACTOR in accordance with the instructions, rules, policies and procedures established by the Federal governments, State and COUNTY.

II. COST REPORT SETTLEMENT

A. CONTRACTOR shall submit the CONTRACTOR'S Year-End Cost Report Settlement with the COUNTY based on the Annual Report(s) submitted pursuant to this Exhibit I of this Agreement, for the fiscal year(s) for which the CONTRACTOR'S Year-End Cost Report Settlement is (are) outstanding.

1. Failure to submit the CONTRACTOR'S Year-End Cost Report Settlement within thirty (30) calendar days after the due date specified by written notice of the COUNTY is a breach of this Agreement. In addition to, and without limiting, any other remedy available to the COUNTY for such breach, COUNTY may undertake any or all of the following to remedy such breach:
 - a. COUNTY, in its sole and absolute discretion, may disallow claim(s) for payment for services/activities rendered during the fiscal year(s) for which the CONTRACTOR'S Year-End Cost Report Settlement is outstanding or withhold payment(s) for reimbursements payable pursuant to Section III (A) to CONTRACTOR for the current fiscal year by COUNTY to CONTRACTOR until the CONTRACTOR'S Year-End Cost Report

Settlement is submitted. If COUNTY exercises its discretion to disallow claim(s) or withhold payment(s), COUNTY shall give CONTRACTOR written notice, during the thirty (30) calendar days after the due date specified by the COUNTY, of its intention to disallow claim(s) or withhold payment(s) as of the date specified in the notice, including the reason(s) for its intended action. Thereafter, CONTRACTOR, within the time specified in the notice, shall submit the CONTRACTOR'S Year-End Cost Report Settlement to avoid disallowance of claim(s) or withholding of payment(s).

- b. In such instance that CONTRACTOR does not submit the CONTRACTOR'S Year-End Cost Report Settlement by thirty (30) calendar days after the applicable due date specified by written notice of the COUNTY, COUNTY, in its sole and absolute discretion, may deem as due and owing to COUNTY by CONTRACTOR all amounts paid pursuant to Section III (A) by COUNTY to CONTRACTOR for services/activities for the fiscal year(s) for which the CONTRACTOR'S Year-End Cost Report Settlement is outstanding. CONTRACTOR shall pay COUNTY according to the method described in this Exhibit I, Section IV (Method of Payments for Amounts Due to COUNTY). Such payments shall be submitted to the persons at the address identified in the COUNTY invoice.

B. All payments made to the CONTRACTOR and the actual Federal Financial Participation (FFP) revenue generated by the CONTRACTOR shall be reconciled with CONTRACTOR'S Year-End Cost Report Settlement and/or State Cost Report Settlement. CONTRACTOR'S Year-End Cost Report Settlement shall be based upon the allowable costs as stipulated in Exhibit B, Section VI, Subsection B, less any deductible revenues collected by CONTRACTOR from other payor sources. FFP revenue shall be based upon the FFP claimed by the CONTRACTOR in accordance to the provision of Exhibit B and the reconciled amount of FFP as reflected in the State Cost Report Settlement. Such settlement shall be subject to the terms and conditions of this Agreement and all other applicable Federal, State and local statutes, regulations, policies, procedures and/or other requirements. In addition, audit procedures may be performed by the COUNTY in accordance with the Generally Accepted Accounting Principles and Generally Accepted Auditing Standards.

C. COUNTY shall issue its findings regarding CONTRACTOR'S Year-End Cost Report Settlement and/or State Cost Report Settlement ("COUNTY'S Findings") at any time after the COUNTY received the calculation of the cost settlement from the CONTRACTOR and/or COUNTY completed the State Cost Settlement process.

1. As part of its cost report settlement, COUNTY shall identify any amounts due to CONTRACTOR by the COUNTY or due from the CONTRACTOR to the COUNTY.

2. Upon issuance of the COUNTY'S Findings, CONTRACTOR may, within thirty (30) calendar days, submit a written request to the COUNTY for review of the Findings.
 - a. Upon receipt by COUNTY of the CONTRACTOR'S written request, the COUNTY shall, within thirty (30) calendar days, meet with the CONTRACTOR to review the COUNTY'S Findings and to consider any documentation or information presented by the CONTRACTOR. CONTRACTOR may waive such meeting and elect to proceed based on written submission at its sole discretion.
 - b. Within thirty (30) calendar days of the meeting specified in Subsection C., 2., a. above, or if no meeting is requested, within thirty (30) calendar days of the issuance of the COUNTY'S Findings, COUNTY shall issue a final cost report settlement finding to the CONTRACTOR including confirming or adjusting any amounts due to CONTRACTOR by the COUNTY or due from CONTRACTOR to the COUNTY.
3. In the event that the COUNTY'S Findings indicates that the CONTRACTOR is due payment from the COUNTY, COUNTY shall make payment to CONTRACTOR within thirty (30) calendar days following the expiration of the date to request a review as specified in Paragraph C., 2. above or issuance of the COUNTY'S Findings as specified in Paragraph C., 2., b. above, whichever is later.
4. In the event that the COUNTY'S Findings indicates that the CONTRACTOR owes payments to the COUNTY, CONTRACTOR shall make payment to the COUNTY within thirty (30) calendar days following the expiration of the date to request a review as specified in Paragraph C., 2. above or issuance of the COUNTY'S Findings as specified in Paragraph C., 2. b. above, whichever is later. Said payment shall be submitted to the person and at the address identified in the COUNTY invoice.
5. Regardless of any other provision of this Section II, reimbursement to CONTRACTOR shall not exceed the Maximum Contract Amount and shall not exceed the Maximum Program Amount for each Funded Program, as identified in Exhibit B.

III. COST REPORT TRAINING

CONTRACTOR shall attend a one-time mandatory cost report training provided by the COUNTY. COUNTY shall provide further cost report training as needed and/or as required according to changes in the State cost report requirements. Failure by the CONTRACTOR to attend the one-time mandatory cost report training, and subsequent training(s), as needed and requested by the COUNTY, may result in disallowance of any claims for payment. If CONTRACTOR continues to neglect attendance to scheduled

training(s), claims for payment shall be disallowed due to delayed training completion or non-compliance.

IV. AUDIT(S) AND AUDIT APPEALS

- A. At any time during the term of this Agreement or after the expiration or termination of this Agreement, in accordance with Federal and State law including but not limited to the California Welfare and Institutions Code (WIC) Sections 14170 et seq., authorized representatives from the Federal governments, State or COUNTY may conduct an audit of CONTRACTOR regarding the services/activities provided under the fiscal year(s) for which the audit is outstanding. In addition, contract compliance audits or reviews may be conducted by the Monterey County's Auditor-Controller's Office or designated representative. Furthermore, the California State Controller Office performs audits of the mandated cost claims for the seriously emotionally disturbed pupils for the Out-of-State Mental Health Services Program and Handicapped and Disabled Students Programs. The Centers for Medicare and Medicaid Services (CMS) also perform audits of the Certified Public Expenditure (CPE) processes, negotiated rate audit information, and other issues.
- B. Settlement of audit findings shall be conducted according to the auditing party's procedures in place at the time of the audit.
- C. In the case of a Federal Government or State audit, COUNTY may perform a post-audit based on Federal or State audit findings. Such post-audit shall take place when the Federal Government or State initiates its settlement action, which customarily is after the issuance of the audit report by the Federal Government or State and before the Federal Government or State's audit appeal process.
1. If the Federal Government or State stays its collection of any amounts due or payable because of the audit findings, COUNTY shall also stay its settlement of the same amounts due or payable until the responsible auditing party initiates its settlement action with COUNTY.
 2. COUNTY shall follow all applicable Federal, State and local laws, regulations manuals, guidelines and directives in recovering from CONTRACTOR any amount due to the COUNTY.
 3. COUNTY shall issue an invoice to CONTRACTOR for any amount due to the COUNTY no later than ninety (90) calendar days after the Federal or State issues its audit settlement letter to the COUNTY. CONTRACTOR shall make payment to the COUNTY in accordance with the terms of Section IV (Method of Payments for Amounts Due to COUNTY) of this Exhibit I. Said payment shall be submitted to the person and at the address identified in the COUNTY invoice.
- D. CONTRACTOR may appeal any such audit findings in accordance with the audit appeal process established by the party performing the audit.

1. For Federal audit exceptions, Federal audit appeal processes shall be followed.
 2. CONTRACTOR may appeal the State audit findings in conformance with provisions of Sections 51016 et seq. of Title 22 of the California Code of Regulations. Such appeals must be filed through COUNTY. COUNTY shall notify CONTRACTOR of State appeal deadlines after COUNTY'S receipt from State of the audit report.
 3. If at any time the Appeal process results in a revision to the audit findings, and the Federal Government or State recalculates the final settlement with COUNTY, COUNTY may perform a post-audit based on the Federal or State revised findings after the Federal Government or State has issued its revised settlement with the COUNTY, based on such re-computed final settlement.
 - a. If the re-computed final settlement results in amounts due to CONTRACTOR by the COUNTY, COUNTY shall make such payments to CONTRACTOR within thirty (30) calendar days of issuing the revised settlement amount to the CONTRACTOR.
 - b. If the re-computed final settlement results in amounts due from CONTRACTOR to the COUNTY, CONTRACTOR shall make payment to the COUNTY within thirty (30) days that the COUNTY issues its invoice to the CONTRACTOR.
- E. Notwithstanding any other provisions of this Agreement, if CONTRACTOR appeals any audit report, the appeal shall not prevent the COUNTY from recovering from CONTRACTOR any amount owed by CONTRACTOR that the Federal Government or State has recovered from COUNTY.
- F. Should the auditing party be the COUNTY, CONTRACTOR shall have thirty (30) calendar days from the date of the audit report with in which to file an appeal with COUNTY. The letter providing the CONTRACTOR with notice of the audit findings shall indicate the person(s) and address to which the appeal should be directed. COUNTY shall consider all information provided by CONTRACTOR with its appeal, and shall issue its decision on the appeal after such consideration. Such decision is final. COUNTY shall issue an invoice for any amount due COUNTY fifteen (15) calendar days after COUNTY has notified CONTRACTOR of the COUNTY'S audit appeal findings. CONTRACTOR shall make payment to the COUNTY in accordance with the terms of Section IV (Method of Payments for Amounts Due to COUNTY) of this Exhibit I. Said payment shall be submitted to the person and at the address identified in the COUNTY invoice.

IV. METHOD OF PAYMENTS FOR AMOUNTS DUE TO COUNTY

- A. Within ten (10) business days after written notification by COUNTY to CONTRACTOR of any amount due by CONTRACTOR to COUNTY, CONTRACTOR shall notify COUNTY as to which of the following five (5) payment

options CONTRACTOR requests be used as the method by which such amount shall be recovered by COUNTY.

Any such amount shall be:

1. paid in one cash payment by CONTRACTOR to COUNTY;
 2. deducted from future claims over a period not to exceed six (6) months;
 3. deducted from any amounts due from COUNTY to CONTRACTOR whether under this Agreement or otherwise;
 4. paid by cash payment(s) by CONTRACTOR to COUNTY over a period not to exceed six (6) months; or
 5. a combination of any or all of the above.
- B. If CONTRACTOR does not so notify COUNTY within such ten (10) days, or if CONTRACTOR fails to make payment of any such amount to COUNTY as required, then Director, in his sole discretion, shall determine which of the above five (5) payment options shall be used by COUNTY for recovery of such amount from CONTRACTOR.