



# County of Monterey

Board of Supervisors  
Chambers  
168 W. Alisal St., 1st Floor  
Salinas, CA 93901

## Legislation Details (With Board Report)

**File #:** 19-0743      **Name:** FY 2019-20 Internal Audit Plan  
**Type:** General Agenda Item      **Status:** Passed - Auditor-Controller's Office  
**File created:** 9/16/2019      **In control:** Board of Supervisors  
**On agenda:** 10/1/2019      **Final action:** 10/1/2019  
**Title:** Approve the Auditor-Controller's Fiscal Year 2019-20 Internal Audit Plan.  
**Sponsors:**  
**Indexes:**  
**Code sections:**  
**Attachments:** 1. Board Report, 2. Attachment A - FY 2019-20 Internal Audit Plan, 3. Completed Board Order Item No. 20

Date	Ver.	Action By	Action	Result
10/1/2019	1	Board of Supervisors	approved - auditor controller	Pass

Approve the Auditor-Controller's Fiscal Year 2019-20 Internal Audit Plan.

### RECOMMENDATION:

It is recommended that the Board of Supervisors approve the Auditor-Controller's Fiscal Year 2019-20 Internal Audit Plan.

### SUMMARY/DISCUSSION:

The Internal Audit Division through consultation and discussion with the Auditor-Controller's Office (ACO), County Administrative Office (CAO), and certain Department Heads has identified five (5) internal audit projects for inclusion in the Fiscal Year 2019-20 Internal Audit Plan. This report seeks to obtain approval on scheduling and performing the projects listed on the plan.

### OTHER AGENCY INVOLVEMENT

The information contained in this report has been reviewed and supported by the Budget Committee. This report and related materials are in-line with the Board approved Internal Audit Policy. The ACO Internal Audit Department (IAD) worked with the CAO to finalize the type and nature of IAD projects to engage in for Fiscal Year 2019-20.

### FINANCING:

There are no financing implications as a result of this report.

### BOARD OF SUPERVISORS STRATEGIC INITIATIVES:

The internal audit activity is a key cog in the risk management framework. The mission of the Auditor-Controller's Internal Audit Division is to help Monterey County's Board of Supervisors and Department Heads achieve their stated initiatives and goals by providing effective audit and consulting services designed to provide objective assurance, advice, and insight.

Check the related Board of Supervisors Strategic Initiatives

- Economic Development
- Administration
- Health & Human Services
- Infrastructure
- Public Safety

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Approved by: Rupa Shah, CPA, Auditor-Controller, 755-5040

Attachments:

Board Report

Attachment A - FY 2019-20 Internal Audit Plan