



County of Monterey

Item No.

Board Report

Board of Supervisors
Chambers
168 W. Alisal St., 1st Floor
Salinas, CA 93901

Legistar File Number: 23-828

November 07, 2023

Introduced: 10/20/2023

Current Status: ATS Review

Version: 1

Matter Type: General Agenda Item

Receive a report summarizing the activities of the Auditor-Controller's Internal Audit Division (IAD).

RECOMMENDATION:

It is recommended that the Board of Supervisors receives a report summarizing the activities of the Auditor-Controller's IAD.

SUMMARY/DISCUSSION:

In May 2022, the Board approved the Internal Audit Work Plan for FY 2023 and FY 2024 (Attachment B), comprising of internal and external audit projects, and compliance monitoring work. While limited in staffing resources, the two-member IAD team has made strides in implementing this Work Plan. The Internal Audit Activity Report (Attachment A) summarizes IAD's activities and performance since adoption of the Work Plan, along with background information on the internal audit function.

OTHER AGENCY INVOLVEMENT:

None

FINANCING:

There are no costs associated with receipt of this report.

BOARD OF SUPERVISORS STRATEGIC INITIATIVES:

The proposed recommendation supports the Board of Supervisors strategic initiatives for Administration.

Mark a check to the related board of Supervisors Strategic Initiatives

- ☐ Economic Development
- ☒ Administration
- ☐ Health & Human Services
- ☐ Infrastructure
- ☐ Public Safety

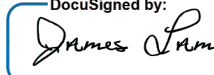
Prepared by: James Lam, Chief Deputy Auditor-Controller, Internal Audit, 755-5493

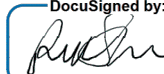
Approved by: Rupa Shah, CPA, Auditor-Controller, 755-5084

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Attachments:

1. Attachment A - Internal Audit Activity Report
2. Attachment B - FY22-23 & FY 23-24 Internal Audit Work Plan

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