

COOPERATIVE AGREEMENT

State SHOPP Minor Fund Contribution

This AGREEMENT, executed on and effective from _____, is between the State of California, acting through its Department of Transportation, referred to as "CALTRANS", and:

County of Monterey, a political subdivision of the State of California, referred to hereinafter as "COUNTY", collectively referred to as "PARTIES" and individually referred to as "PARTY".

RECITALS

1. PARTIES are authorized to enter into a cooperative agreement for improvements to the State Highway System (SHS) per the California Streets and Highways Code, Sections 114 and 130.
2. The term AGREEMENT, as used herein, includes this document and any attachments, exhibits, and amendments.
3. AGREEMENT shall have no force or effect until COUNTY has obtained an encroachment permit from CALTRANS.
4. COUNTY intends to construct the highway component of the Carmel River Floodplain Restoration and Environmental Enhancement (CRFREE or Carmel River FREE) Project which includes replacement of a section of the existing State Route 1 (SR 1) embankment with an overflow bridge within the State Highway System and is referred to herein as PROJECT.
5. COUNTY will follow the CALTRANS encroachment permit process in order to complete the PROJECT.
6. CALTRANS will pay COUNTY in the amount of \$1,000,000 from SHOPP Minor funds required for PROJECT.
7. PARTIES hereby set forth the terms, covenants, and conditions for CALTRANS' contribution toward the PROJECT.

ROLES AND RESPONSIBILITIES

8. COUNTY is responsible for completing all work for PROJECT.
9. At no cost to COUNTY, CALTRANS will perform Quality Management Assessment (QMA) to assure COUNTY's work is performed in accordance with CALTRANS' current policies, procedures, standards, and practices.

GENERAL CONDITIONS

10. All portions of this AGREEMENT, including the RECITALS section, are enforceable.

11. All CALTRANS' obligations and commitments under this AGREEMENT are subject to the appropriation of resources by the Legislature, the State Budget Act authority, programming and allocation of funds by the California Transportation Commission (CTC).
12. Notwithstanding the terms of this AGREEMENT, PARTIES agree to abide by the funding guidelines for all contributed funds that are programmed and allocated by the CTC.
13. If COUNTY fails to complete the PROJECT for any reason, COUNTY shall, at COUNTY's expense, return the State Highway System right-of-way to its original condition or to a safe and operable condition acceptable to CALTRANS.

If COUNTY fails to do so, CALTRANS reserves the right to finish the work or place the PROJECT in a safe and operable condition. CALTRANS will bill COUNTY for all expenses incurred and COUNTY agrees to pay said bill within forty-five (45) days of receipt. The PARTIES understand and agree that the County Auditor-Controller shall have 30 days to process payment upon receipt of an approved invoice.

14. If COUNTY fails to complete the PROJECT for any reason, COUNTY will refund the full amount of CALTRANS' contribution.
15. COUNTY will retain all PROJECT related records for three (3) years after the final voucher.
16. HM-1 is hazardous material (including, but not limited to, hazardous waste) that may require removal and disposal pursuant to federal or state law, whether it is disturbed by the PROJECT or not.

HM-2 is hazardous material (including, but not limited to, hazardous waste) that may require removal and disposal pursuant to federal or state law only if disturbed by the PROJECT.

The management activities related to HM-1 and HM-2, including and without limitation, any necessary manifest requirements and disposal facility designations are referred to herein as HM-1 MANAGEMENT and HM-2 MANAGEMENT respectively.

17. If HM-1 or HM-2 is found during construction, COUNTY will immediately notify CALTRANS.
18. CALTRANS, independent of the PROJECT, is responsible for any HM-1 found within the existing State Highway System right-of-way. CALTRANS will undertake, or cause to be undertaken, HM-1 MANAGEMENT with minimum impact to the PROJECT schedule.

CALTRANS, independent of the PROJECT, will pay, or cause to be paid, the cost of HM-1 MANAGEMENT related to HM-1 found within the existing State Highway System right-of-way.
19. COUNTY, independent of the PROJECT, is responsible for any HM-1 found within the PROJECT limits and outside the existing State Highway System right-of-way. COUNTY will undertake, or cause to be undertaken, HM-1 MANAGEMENT with minimum impact to the PROJECT schedule.

COUNTY, independent of the PROJECT, will pay, or cause to be paid, the cost of HM-1 MANAGEMENT for HM-1 found within the PROJECT limits and outside of the existing State Highway System right-of-way.

20. COUNTY is responsible for HM-2 MANAGEMENT within the PROJECT limits.
21. HM-2 MANAGEMENT costs are PROJECT costs.
22. Neither COUNTY nor any of its officers and employees, are responsible for any injury, damage, or liability occurring by reason of anything done or omitted to be done by CALTRANS, its contractors, sub-contractors, and/or its agents under or in connection with any work, authority, or jurisdiction conferred upon CALTRANS under this AGREEMENT. It is understood and agreed that CALTRANS, to the extent permitted by law, will defend, indemnify, and save harmless COUNTY and all of its officers and employees from all claims, suits, or actions of every name, kind, and description brought forth under, but not limited to, tortious, contractual, inverse condemnation, or other theories and assertions of liability occurring by reason of anything done or omitted to be done by CALTRANS, its contractors, sub-contractors, and/or its agents under this AGREEMENT.
23. Neither CALTRANS nor any of its officers and employees, are responsible for any injury, damage, or liability occurring by reason of anything done or omitted to be done by COUNTY, its contractors, sub-contractors, and/or its agents under or in connection with any work, authority, or jurisdiction conferred upon COUNTY under this AGREEMENT. It is understood and agreed that COUNTY, to the extent permitted by law, will defend, indemnify, and save harmless CALTRANS and all of its officers and employees from all claims, suits, or actions of every name, kind, and description brought forth under, but not limited to, tortious, contractual, inverse condemnation, or other theories and assertions of liability occurring by reason of anything done or omitted to be done by COUNTY, its contractors, sub-contractors, and/or its agents under this AGREEMENT.
24. If the work performed on this PROJECT is done under contract and falls within the Labor Code section 1720(a)(1) definition of "public works" in that it is construction, alteration, demolition, installation, or repair; or maintenance work under Labor Code section 1771 COUNTY must conform to the provisions of Labor Code sections 1720 through 1815, and all applicable provisions of California Code of Regulations found in Title 8, Chapter 8, Subchapter 3, Articles 1-7. COUNTY agrees to include prevailing wage requirements in its contracts for public work. Work performed by COUNTY's own forces is exempt from the Labor Code's Prevailing Wage requirements.

COUNTY shall require its contractors to include prevailing wage requirements in all subcontracts funded by this AGREEMENT when the work to be performed by the subcontractor is "public works" as defined in Labor Code Section 1720(a)(1) and Labor Code Section 1771. Subcontracts shall include all prevailing wage requirements set forth in COUNTY contracts.
25. This AGREEMENT is intended to be PARTIES' final expression and supersedes any oral understanding or writings pertaining to PROJECT.

26. Unless otherwise documented in a maintenance agreement, COUNTY will maintain all PROJECT improvements.
27. AGREEMENT will terminate upon CALTRANS' acceptance of PROJECT. However, all indemnification and maintenance articles of AGREEMENT will remain in effect until terminated or modified in writing by mutual agreement.

INVOICE AND PAYMENT

28. COUNTY will submit to CALTRANS monthly invoices for the prior month's actual expenditures.
29. CALTRANS will pay COUNTY within 45 (forty-five) working days of receipt of invoices.
30. PARTIES agree that the total amount of SHOPP Minor funds paid out to COUNTY will not exceed \$1,000,000.
31. If the work is funded with state or federal funds, any PARTY seeking CALTRANS reimbursement of indirect costs must submit an indirect cost rate proposal and central service cost allocation plan (if any) in accordance with Local Assistance Procedures Manual, 2 CFR, Part 200 and Chapter 5. These documents are to be submitted annually to CALTRANS' Audits and Investigations for review and acceptance prior to CALTRANS' reimbursement of indirect costs.
32. After PARTIES agree that all work for PROJECT is complete, COUNTY will submit a final accounting for all costs. Based on the final accounting, COUNTY will refund or invoice as necessary in order to satisfy the financial commitment of this AGREEMENT.

Contact Information

The information provided below indicates the primary contact information for each PARTY to this AGREEMENT. PARTIES will notify each other in writing of any personnel or location changes. Contact information changes do not require an amendment to this AGREEMENT.

CALTRANS

Ryan Caldera, Project Manager
50 Higuera Street
San Luis Obispo, CA 93401
Office Phone: (805) 458-8457
Email: ryan.caldera@dot.ca.gov

COUNTY OF MONTEREY

Shandy Carroll, Management Analyst III
1141 Schilling Place, Second Floor
Salinas, CA 93901
Office Phone: (831) 784-5643
Email: carrolls@countyofmonterey.gov

SIGNATURES


PARTIES are authorized to enter into this AGREEMENT and have delegated to the undersigned the authority to execute this AGREEMENT on behalf of the respective agencies and hereby covenants to have followed all the necessary legal requirements to validly execute this AGREEMENT. By signing below, the PARTIES each expressly agree to execute this AGREEMENT electronically.

The PARTIES acknowledge that executed copies of this AGREEMENT may be exchanged by facsimile or email, and that such copies shall be deemed to be effective as originals.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Scott Eades
District Director

Verification of Funds and Authority:


Hannah Ferguson (May 16, 2025 09:14 PDT)

Hannah Ferguson
Project Control Manager

Certified as to financial terms and policies:



Lai Saephan
HQ Accounting Supervisor

COUNTY OF MONTEREY

Craig Spencer
Director of Housing and Community
Development

Attest:

Shandy Carroll
Management Analyst III